

# Phenomenology

## Lecture 1: Introduction

Daniel Maître

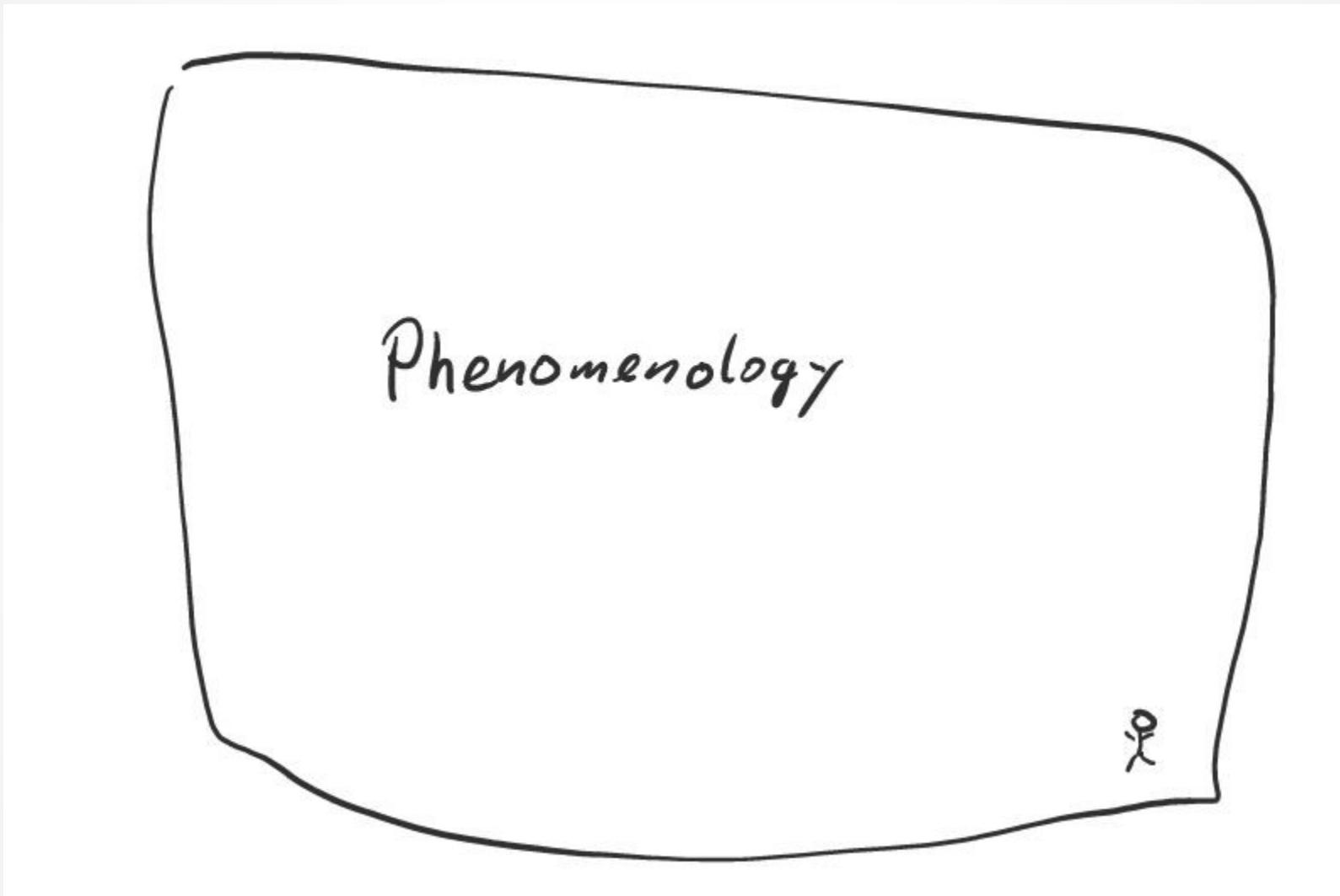
IPPP, Durham



# Phenomenology

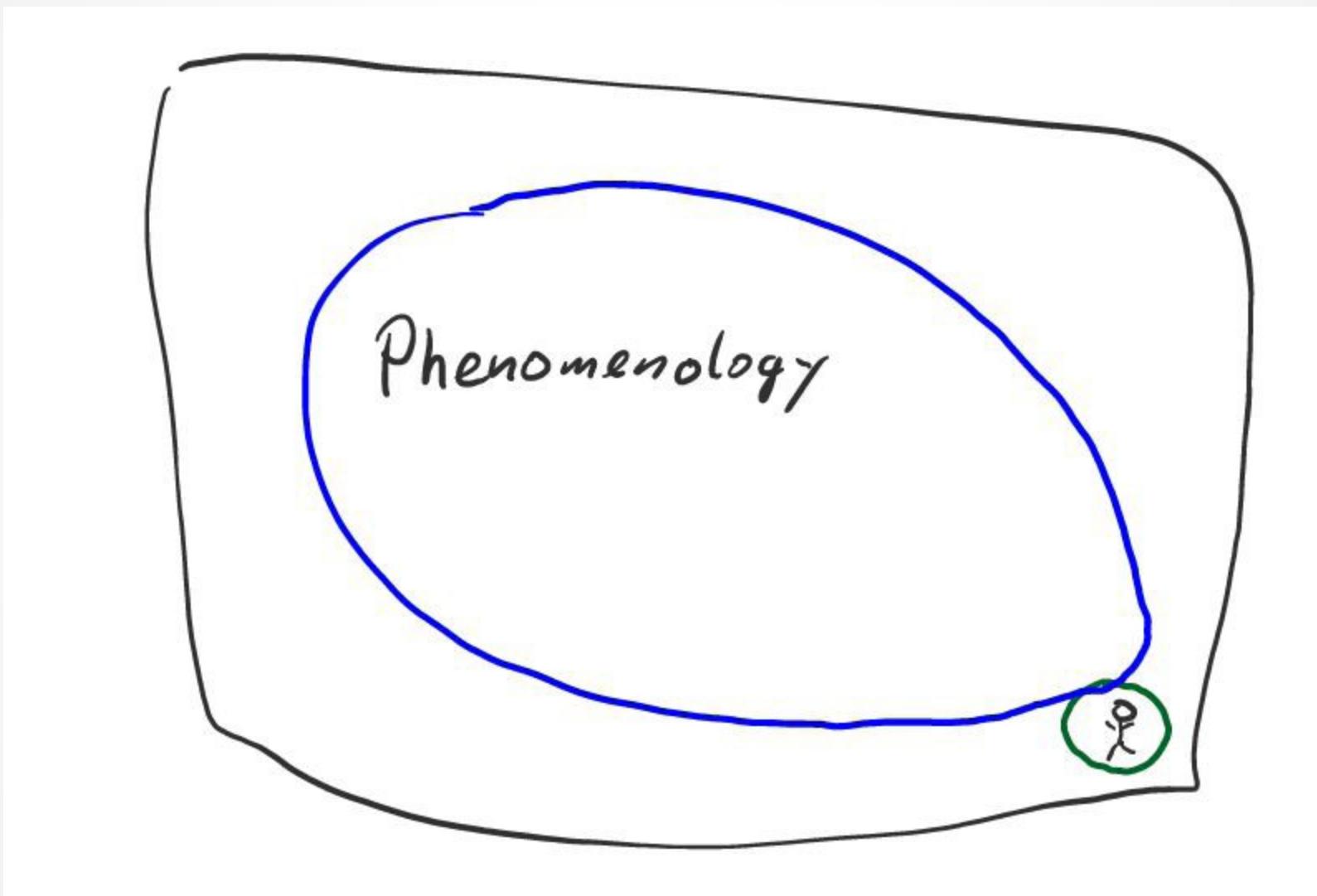
Phenomenology

# Phenomenology



Phenomenology

# Phenomenology



# Introduction

- This set of lectures have been adapted from those given by Peter Richardson in the past (which probably were inspired by other earlier lectures), but
  - Added my own typos, english mistakes
  - Changed the emphasis on some topics
- Don't hesitate to ask questions

# Outline of the lectures

- Introduction
- QCD (perturbative calculations)
- QCD (jets)
- QCD (Monte Carlo)
- Electro-Weak Physics
- Higgs Boson
- BSM
- Flavour physics/neutrino (?)

# Literature

- **Quarks and Leptons** Halzen and Martin
- **Collider Physics** Barger and Philips
- **QCD and Collider Physics** Ellis, Stiling, Webber
- **Quantum Chromodynamics** Dissertori, Knowles Schmelling
- **The Higgs Hunter's Guide** Gunion, Haber, Kane and Dawson
- **Towards Jetography** arXiv:0906.1833 Salam
- **General-purpose event generators for LHC physics** arXiv:1101.2599 (15 authors)

# Outline of lecture 1

- Cross section calculation
- Electron-positron annihilation
- Deep inelastic scattering
- Hadron colliders
- Higher order calculation

# Cross section calculation

- $2 \rightarrow n$  cross section

$$d\sigma = \frac{(2\pi)^4}{4\sqrt{(p_a \cdot p_b)^2 - m_a^2 m_b^2}} d\Phi_n(p_a + p_b; p_1 \dots p_n) |\bar{\mathcal{M}}|^2$$

- Where the  $n$ -particle phase-space element is given by

$$\begin{aligned} d\Phi_n(p_a + p_b; p_1 \dots p_n) &= \\ \delta^4(p_a + p_b - p_1 - p_2) \frac{d^3 p_1}{(2\pi)^3 2E_1} \frac{d^3 p_2}{(2\pi)^3 2E_2} \end{aligned}$$

- The invariant mass of the initial state particles is denoted with  $s = (p_a + p_b)^2$
- For massless particles  $4\sqrt{(p_a \cdot p_b)^2 - m_a^2 m_b^2} = 2s$

# Cross section calculation

- For  $2 \rightarrow 2$  processes:

$$\begin{aligned} & d\Phi_n(p_a + p_b; p_1 \dots p_n) \\ &= \delta^4(p_a + p_b - p_1 - p_2) \frac{d^3 p_1}{(2\pi)^3 2E_1} \frac{d^3 p_2}{(2\pi)^3 2E_2}, \\ &= \delta(E_a + E_b - E_1 - E_2) \frac{1}{(2\pi)^6 4E_1 E_2} |p_1|^2 d|p_1| d\cos\theta d\phi, \\ &= \frac{1}{8\pi(2\pi)^4} \frac{|p_1|}{\sqrt{s}} d\cos\theta. \end{aligned}$$

# $2 \rightarrow 2$ Cross section

- The cross section is:

$$d\sigma = \frac{1}{16\pi s} \frac{|p_1|}{\sqrt{s}} d\cos\theta |\bar{\mathcal{M}}|^2.$$

- It is common to use the Mandelstam variables  $s, t, u$  (only two are independent)

$$s = (p_a + p_b)^2, \quad t = (p_a - p_1)^2, \quad u = (p_a - p_2)^2$$

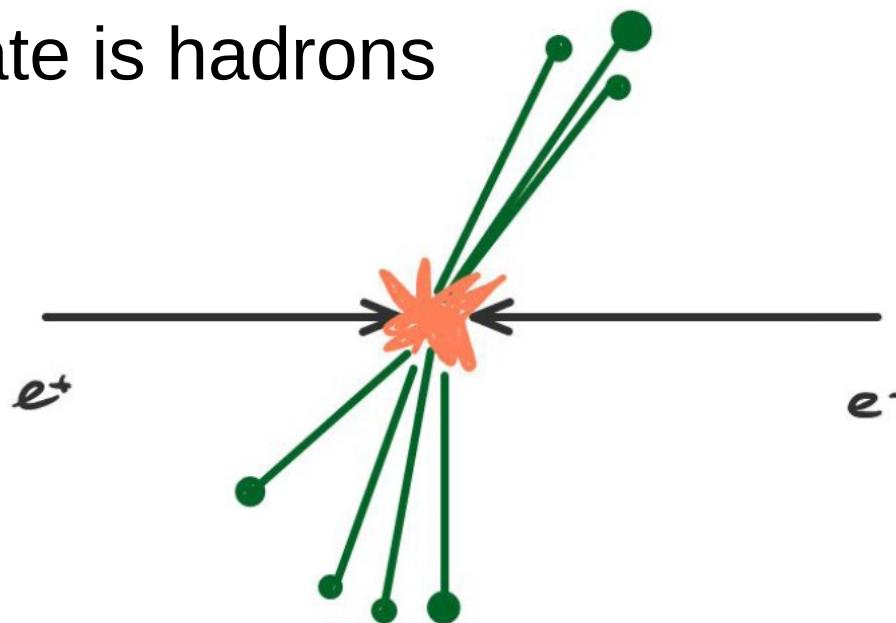
$$s + t + u = m_1^2 + m_2^2 + m_a^2 + m_b^2 \xrightarrow{\text{massless}} 0$$

- Using these variables the cross section is:

$$d\sigma = \frac{1}{16\pi s^2} dt |\bar{\mathcal{M}}|^2$$

# Electron positron annihilation

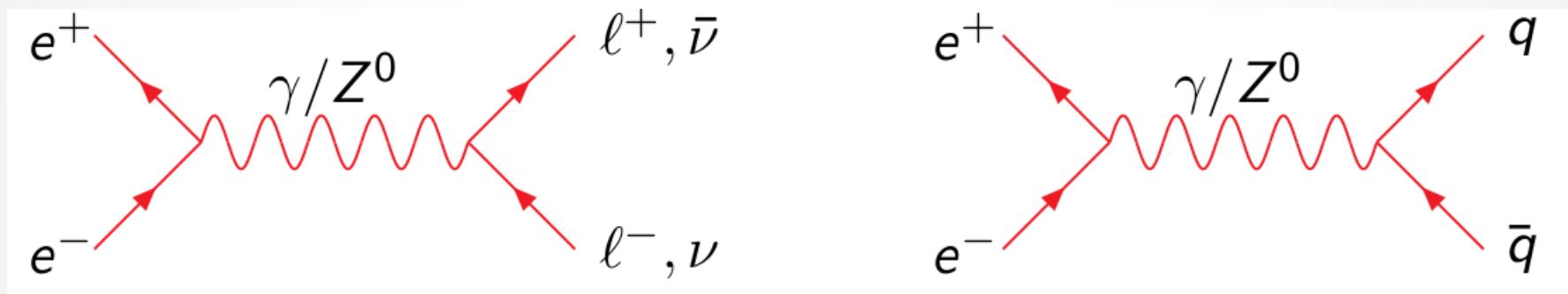
- LEP results are still important
- Theoretical description is easier than hadron colliders
- Most likely final state is hadrons



- There are no Feynman rules for electrons and hadrons, only with quarks and gluons (how to relate to the hadrons is going to be covered later)

# Electron positron annihilation

- The Feynman diagrams for the annihilation involve an off-shell photon or a Z boson decaying in a lepton or quark pair



- The quarks will produce hadrons with probability one, so the total cross section for hadrons can be computed from the total cross section for  $e^+e^- \rightarrow q\bar{q}$
- In general we want observables for which we can compute things using partons, this is the easiest example

# Electron positron annihilation

- From the other lectures we know how to compute the total cross section for the annihilation
- To reduce experimental uncertainties it is useful to compute the ratio

$$R \equiv \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)},$$

- At low energies the contribution from the  $Z$  boson can be neglected, leaving only the photon contribution. We get:

$$\sigma(\mu^+\mu^-) = \frac{4\pi\alpha^2}{3s}$$

- We get the same cross section for each quark of each color (assuming we can neglect the mass)

# Electron positron annihilation

- The cross section for the production of quarks is

$$\sigma(\text{hadrons}) = \frac{4\pi\alpha^2}{3s} \sum_q e_q^2 N_C$$

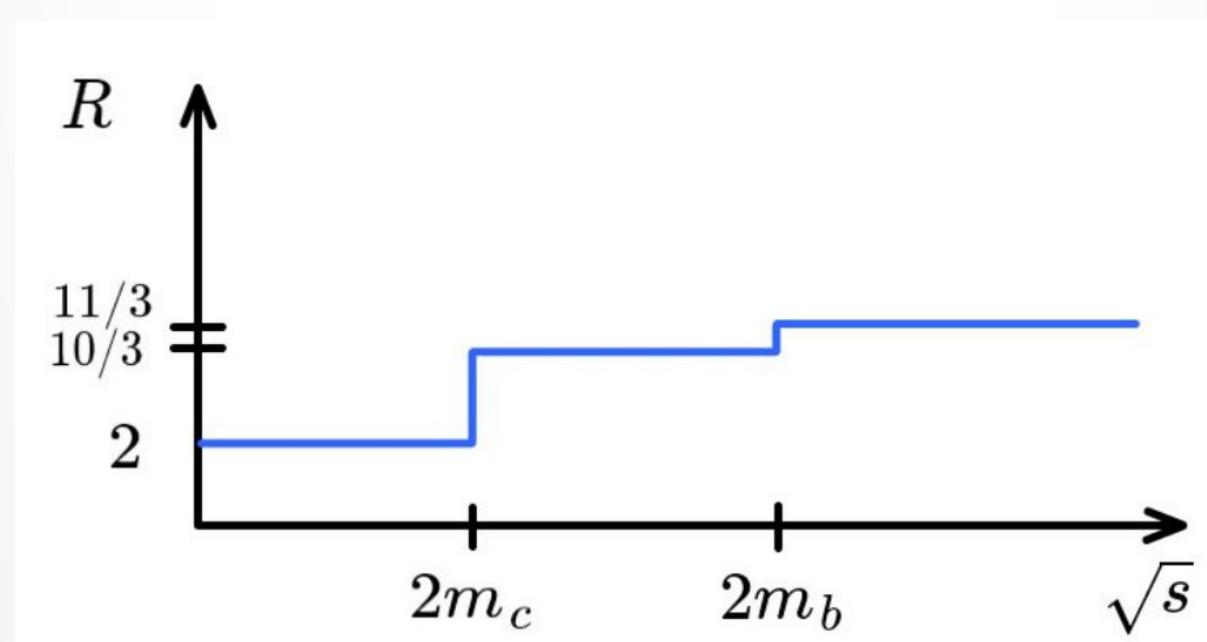
where  $e_q$  is the charge of the quark in units of the positron charge and the sum runs over all quarks above the production threshold  $\sqrt{s} > 2m_q$

- The factor of  $N_c$  comes from the fact that we need to sum over all non-observed quantum numbers
- So we get for the  $R$  ratio:

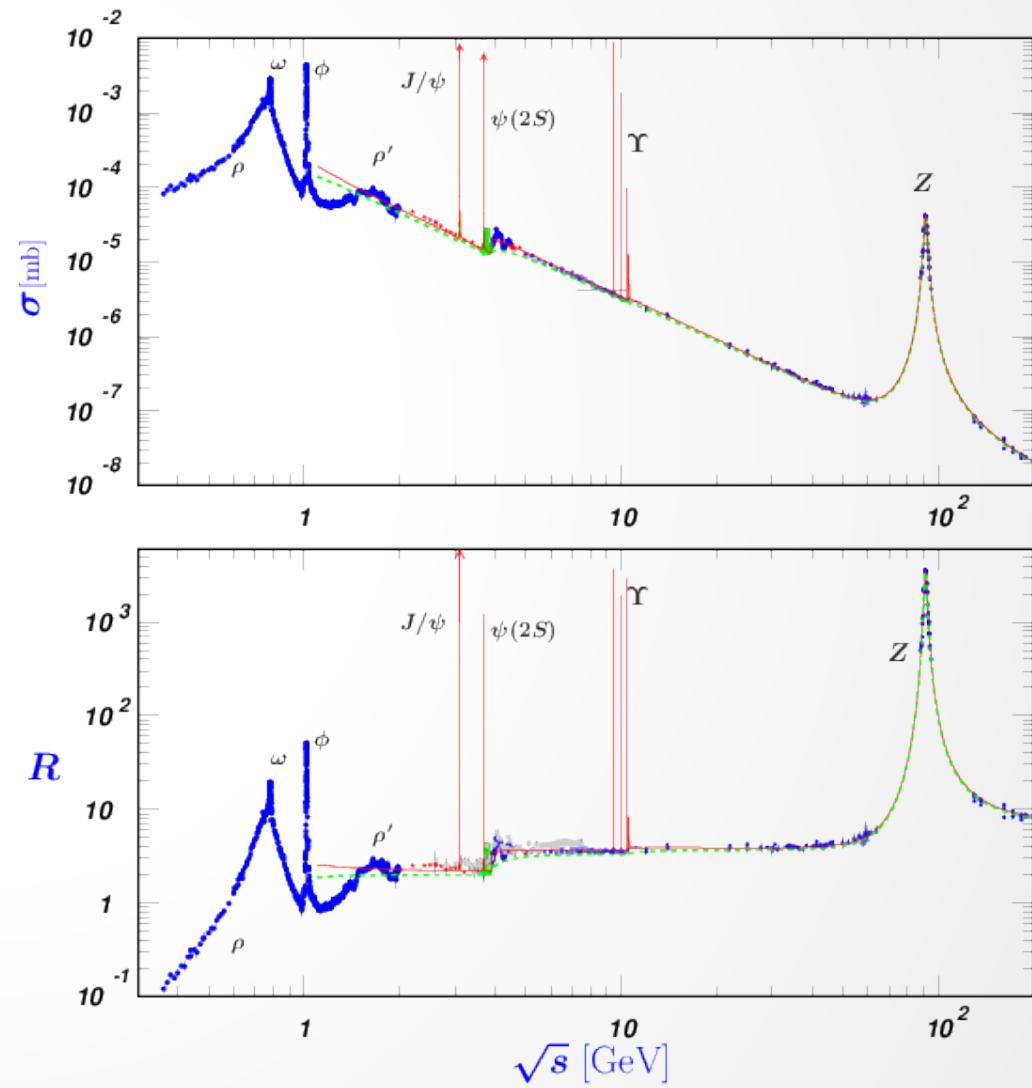
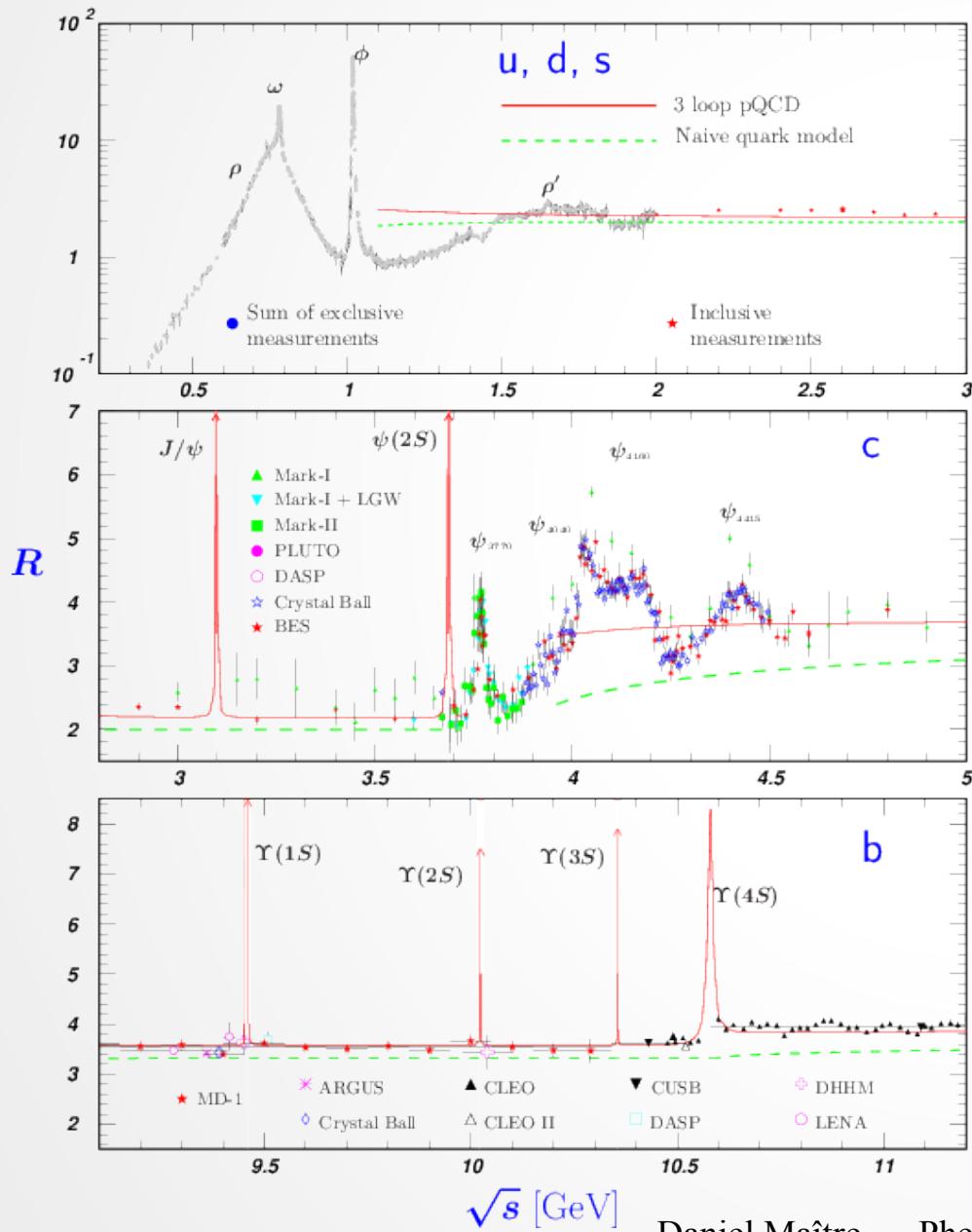
$$R = \sum_q e_q^2 N_C$$

# R ratio

$$R = 3 \left( \underbrace{\frac{4}{9} + \frac{1}{9} + \frac{1}{9}}_{u,d,s} + \underbrace{\frac{4}{9} + \frac{1}{9}}_{u,d,s,c} + \underbrace{\frac{1}{9}}_{u,d,s,c,b} \right)$$

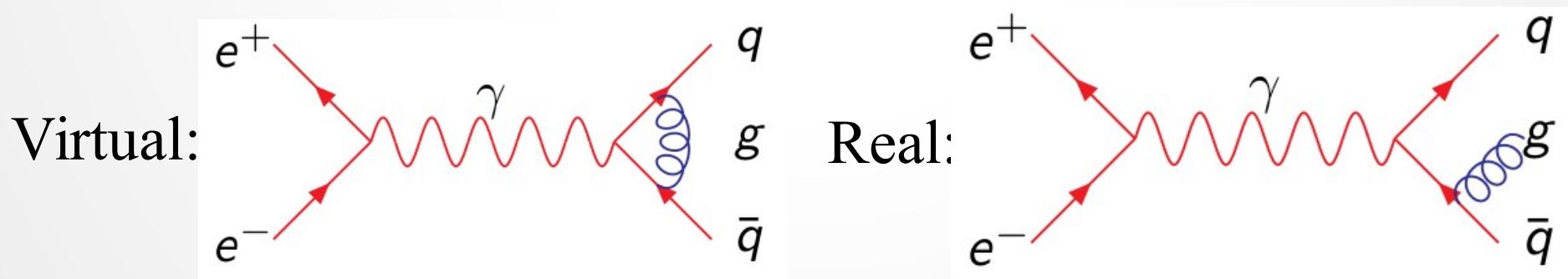


# Measurement of R



# Higher Order Corrections

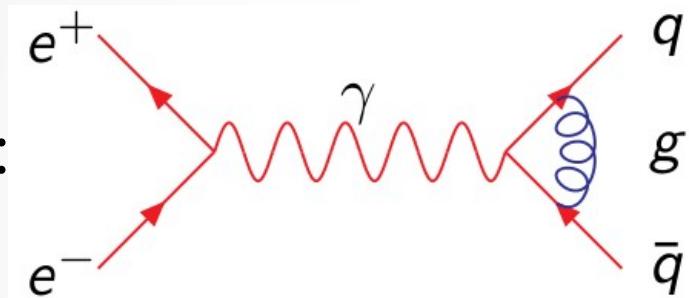
- The process we computed is only the first order in the perturbative expansion
- The strong coupling constant is not very small:  
$$\alpha_s(M_Z) \simeq 0.118$$
- To obtain reliable results we need to computer higher orders in the perturbative expansion
- There are two types of corrections



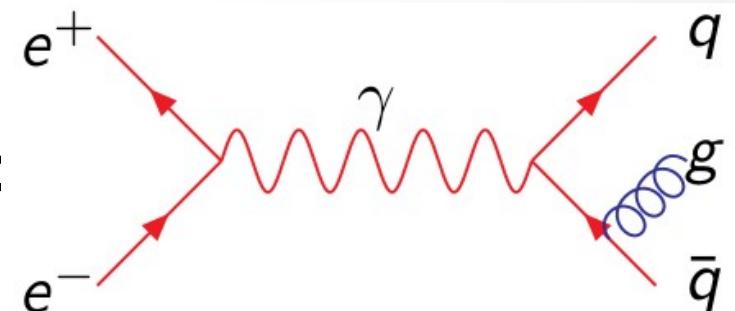
# Quiz questions

- The virtual correction diagram has two additional vertices, while the real correction has only one, why are they of the same order?

Virtual:

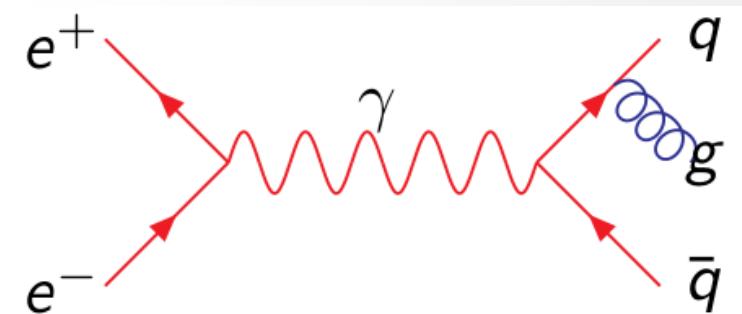
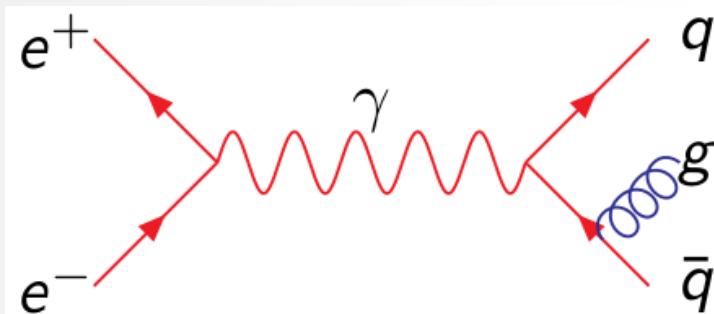


Real:



# Real Emission

- There are two real emission diagrams:



- The matrix element is given by:

$$\begin{aligned} \mathcal{M} = & e^2 e_q g_s t_{ij}^a \bar{v}(p_b) \gamma_\mu u(p_a) \frac{-g^{\mu\nu}}{q^2} \\ & \bar{u}_i(p_1) \left[ \gamma_\sigma \frac{\not{p}_1 + \not{k}}{(p_1 + k)^2} \gamma_\nu - \gamma_\nu \frac{\not{p}_2 + \not{k}}{(p_2 + k)^2} \gamma_\sigma \right] v_j(p_2) \epsilon_a^\sigma(k) \end{aligned}$$

# Real Emission

- Using the color algebra

$$\sum_a^{N_C^2 - 1} t_{ij}^a (t_{ij}^a)^* = t_{ij}^a t_{ji}^a = \frac{1}{2} \delta^{aa} = \frac{1}{2} (N_C^2 - 1) = N_C C_F,$$

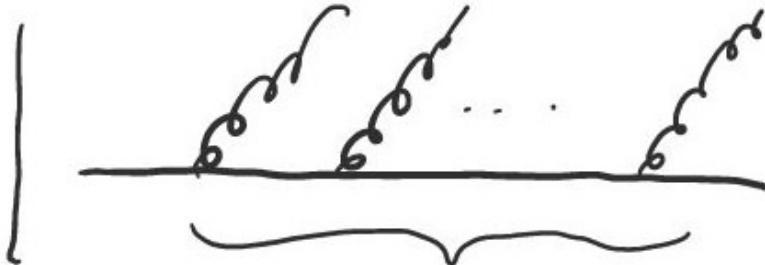
$$C_F \equiv \frac{1}{2N_C} (N_C^2 - 1), \quad C_A \equiv N_C, \quad T_R \equiv \frac{1}{2}$$

- and summing/averaging over final/initial state spins and colors we get:

$$|\overline{\mathcal{M}}|^2 = \frac{4e^2 e_q^2 g_s^2 N_c}{s} C_F \frac{(p_1 \cdot p_a)^2 + (p_1 \cdot p_b)^2 + (p_2 \cdot p_a)^2 + (p_2 \cdot p_b)^2}{p_1 \cdot k \ p_2 \cdot k}$$

# Color algebra

- What are  $C_F$ ,  $C_A$ ,  $T_R$ ?



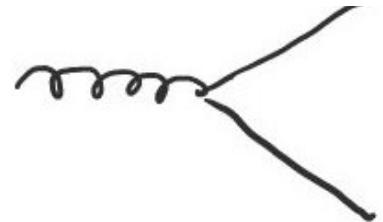
A horizontal line representing a quark loop. Three wavy lines representing gluons are attached to the top of the loop. A brace below the loop indicates there are  $n$  gluons.

$$= N_c C_F^n$$



A horizontal line representing a quark loop. Three wavy lines representing gluons are attached to the top of the loop, forming a surface-like structure. A brace below the loop indicates there are  $n$  gluons.

$$= (N_c^2 - 1) C_A^n$$



A horizontal line representing a quark loop. Two wavy lines representing gluons emerge from a single vertex on the left side of the loop. A brace below the loop indicates there are  $n$  gluons.

$$= (N_c^2 - 1) T_R$$

# Color algebra

- We can convert identities for T matrices and f tensors into pictorial rules

$$T_{ij}^a T_{kl}^a = \frac{1}{2} \left( \delta_{il} \delta_{jk} - \frac{1}{N_c} \delta_{ij} \delta_{kl} \right)$$

=  $\frac{1}{2} \left[ \begin{array}{c} \text{Diagram 1: Two horizontal lines with vertices at i and j, l and k.} \\ \text{Diagram 2: Two horizontal lines with vertices at i and j, l and k.} \end{array} - \frac{1}{N_c} \right] \dots$

$$if^{abc} = 2 (\mathrm{Tr} [T^a T^b T^c] - \mathrm{Tr} [T^a T^c T^b])$$

=  $2 \left[ \begin{array}{c} \text{Diagram 1: Three wavy lines labeled a, b, c meeting at a central point.} \\ \text{Diagram 2: Three wavy lines labeled a, b, c meeting at a central point.} \end{array} - \right]$

# Color Algebra

- Example

$$\left( \begin{array}{c} \text{Diagram of a wavy line with an arrow below it} \\ \text{Diagram of a circle with a wavy line inside and an arrow} \end{array} \right) = \text{Diagram of a circle with a wavy line inside and an arrow}$$
$$= \frac{1}{2} \left[ \begin{array}{c} \text{Diagram of a circle split vertically with arrows pointing left and right} \\ \text{Diagram of a circle with a dashed line inside and an arrow} \end{array} \right] - \frac{1}{N_c} \text{Diagram of a circle with a wavy line inside and an arrow}$$

$$= \frac{1}{2} \left( N_c^2 - \frac{1}{N_c} N_c \right) = N_c C_F$$

# Color algebra

- Can you prove:

$$\left| \begin{array}{c} \text{Diagram showing } n \text{ gluons on a horizontal line, each with a curly end.} \\ \text{A brace below the line indicates } n. \end{array} \right|^2 = N_c C_F^n$$

$$\text{Diagram showing a loop with two gluons entering and two exiting.} = \frac{1}{2} \left[ \text{Diagram showing a loop with one gluon entering and one exiting, plus} - \frac{1}{N_c} \text{ times the previous diagram} \right]$$

$$\text{Diagram showing a single gluon loop} = N_c$$

$$\text{Diagram showing a loop with three gluons entering and three exiting} = N_c^2 - 1$$

$$\text{Diagram showing a loop with four gluons entering and four exiting} = N_c \text{ times the previous diagram}$$

# Three-body phase-space

- The three-particle phase-space is given by:

$$\begin{aligned} d\Phi_n(p_a + p_b; p_1, p_2, p_3) &= \delta^4(p_a + p_b - p_1 - p_2 - p_3) \frac{d^3 p_1}{(2\pi)^3 2E_1} \frac{d^3 p_2}{(2\pi)^3 2E_2} \frac{d^3 p_3}{(2\pi)^3 2E_3} \\ &= \frac{1}{8(2\pi)^9} p_1 dp_1 d\cos\theta d\phi p_2 dp_2 d\cos\beta d\alpha \frac{1}{p_3} \delta(\sqrt{s} - p_1 - p_2 - p_3) \end{aligned}$$

- Polar coordinates  $\theta, \varphi$  for  $p_1$  defined with  $z$  axis along beam
- Polar coordinate  $\beta, \alpha$  of  $p_2$  defined with  $z$  axis in the  $p_1$  direction
- Integrate the vectorial part of  $p_3$  using the delta function

# Three body phase-space

- Use the identity

$$p_3 = |\vec{p}_3| = |\vec{p}_1 + \vec{p}_2| = \sqrt{p_1^2 + p_2^2 + 2p_1p_2 \cos \beta}$$

and integrate the energy delta function over  $\beta$

$$\int d\cos \beta \delta(\sqrt{s} - p_1 - p_2 - p_3) = \frac{p_3}{p_1 p_2}$$

- So we get (using  $x_i \equiv 2p_i/\sqrt{s}$ ):

$$\begin{aligned} d\Phi_n(p_a + p_b; p_1, p_2, p_3) &= \frac{1}{8(2\pi)^9} dp_1 d\cos \theta d\phi dp_2 d\alpha, \\ &= \frac{s}{16(2\pi)^7} dx_1 dx_2 \frac{d\cos \theta d\phi d\alpha}{2(2\pi)^2} \end{aligned}$$

# Real Emission

- Back to the cross section:

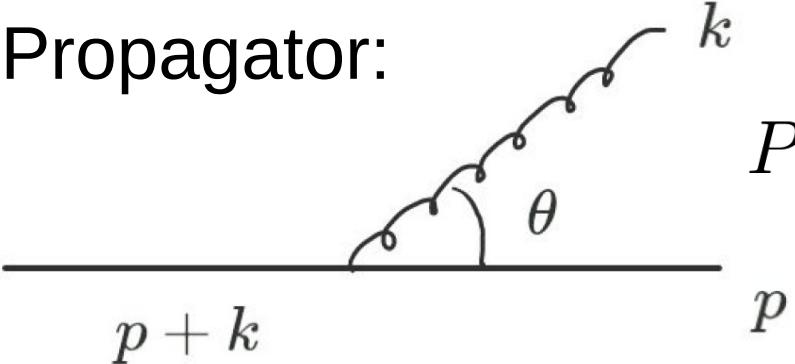
$$\begin{aligned}\sigma &= \frac{1}{2s} \frac{s}{16(2\pi)^3} \int dx_1 dx_2 \frac{d\cos\theta d\phi d\alpha}{2(2\pi)^2} |\bar{M}|^2, \\ &= \frac{4\pi\alpha^2 e_q^2 N_c}{3s} C_F \frac{\alpha_S}{2\pi} \int dx_1 dx_2 \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}.\end{aligned}$$

Born cross section

- This is divergent at the edge of phase space!
- This is a common feature of perturbative QCD calculations

# Real Emission

- Configurations that are undistinguishable from a leading order configuration are divergent
- Propagator:



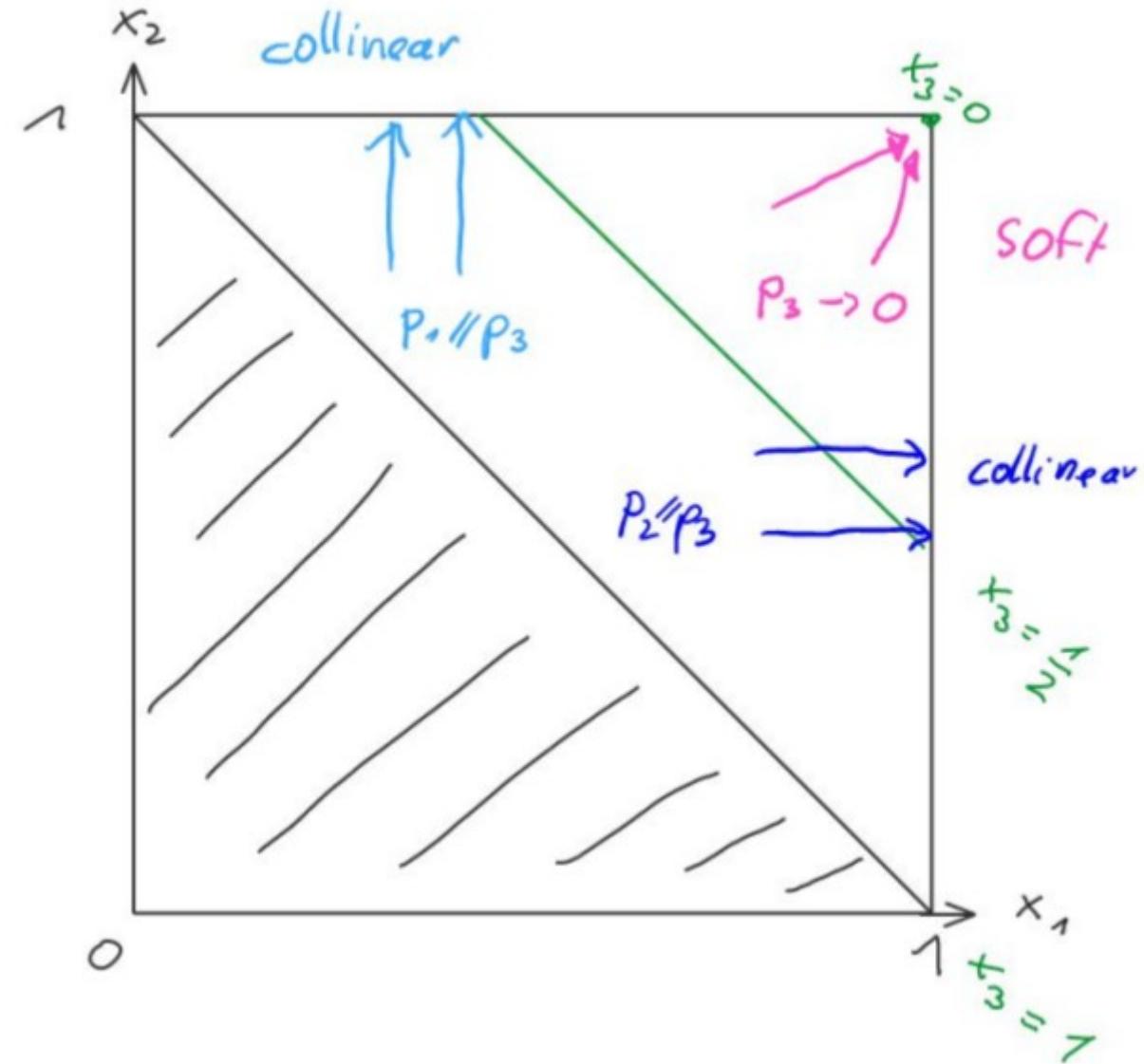
A Feynman diagram showing a horizontal line representing a particle with momentum  $p$ . A curved line representing a virtual particle with momentum  $k$  originates from a point on the horizontal line and loops back to it. The angle between the horizontal line and the incoming part of the curved line is labeled  $\theta$ .

$$P^2 = (k + p)^2 = 2|k||p|(1 - \cos \theta)$$

- There are two regions where this happens:
  - Collinear limit:  $\cos \theta \rightarrow 1$
  - Soft limit:  $E \rightarrow 0$

# Soft and collinear singularities

- Looking back at our 3 particle phase-space we have the regions
- Collinear:  
fixed  $x_1$  or  $x_2$   
and  $x_2/x_1 \rightarrow 1$
- Soft:  
 $x_1$  and  $x_2 \rightarrow 1$  with  
fixed  $(1-x_1)/(1-x_2)$



# Collinear limit

- If we take  $p_3$  parallel to  $p_2$  we can define

$$p_2 = (1 - z)\bar{p}_2, p_3 = z\bar{p}_2, \text{ with } \bar{p}_2^2 = 0$$

- In the collinear limit the matrix element factorizes

$$|\mathcal{M}_{q\bar{q}g}|^2 \rightarrow |\mathcal{M}_{q\bar{q}}|^2 \times \frac{g_s^2}{p_2 \cdot p_3} \times C_F \frac{1 + (1 - z)^2}{z}$$

- The phase space also factorizes

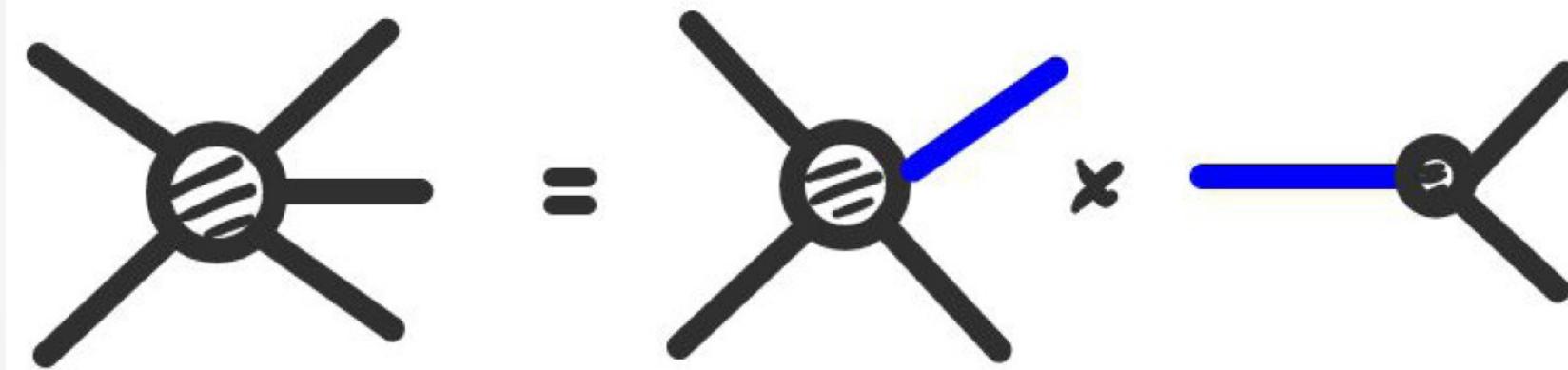
$$d\cos\theta dx_1 dx_2 d\alpha \longrightarrow d\cos\theta \frac{1}{4} z(1 - z) dz d\theta_{23}^2 d\phi$$



Two-particle phase space

# Collinear limit

- Can use the same picture to symbolize both phase-space and matrix element factorisation



# Collinear limit

- The cross section in the collinear limit becomes:

$$\sigma = \sigma_0 \int \frac{d\theta_{23}^2}{\theta_{23}^2} dz \frac{\alpha_S}{2\pi} C_F \frac{1 + (1 - z)^2}{z}$$

DGLAP splitting function

- The Dokshitzer-Gribov-Lipatov-Altarelli-Parisi splitting function is a universal probability distribution for the radiation of a collinear gluon in any process producing a quark

# Soft limit

- The amplitude also factorizes in the soft limit

$$\mathcal{M}_{q\bar{q}g} = \mathcal{M}_{q\bar{q}} g_s t_{ij}^a \left( \frac{p_1}{p_1 \cdot k} - \frac{p_2}{p_2 \cdot k} \right) \cdot \epsilon_A(k)$$

- The term in parenthesis is called the eikonal current
- In this case the squared matrix element factorizes

$$|\mathcal{M}_{q\bar{q}g}|^2 = |\mathcal{M}_{q\bar{q}}|^2 g_s^2 C_F \frac{2p_1 \cdot p_2}{p_1 \cdot k p_2 \cdot k}$$

- So does the phase space

$$dx_1 dx_2 \longrightarrow \frac{2}{s} E_g dE_g d\cos\theta$$

# Soft limit

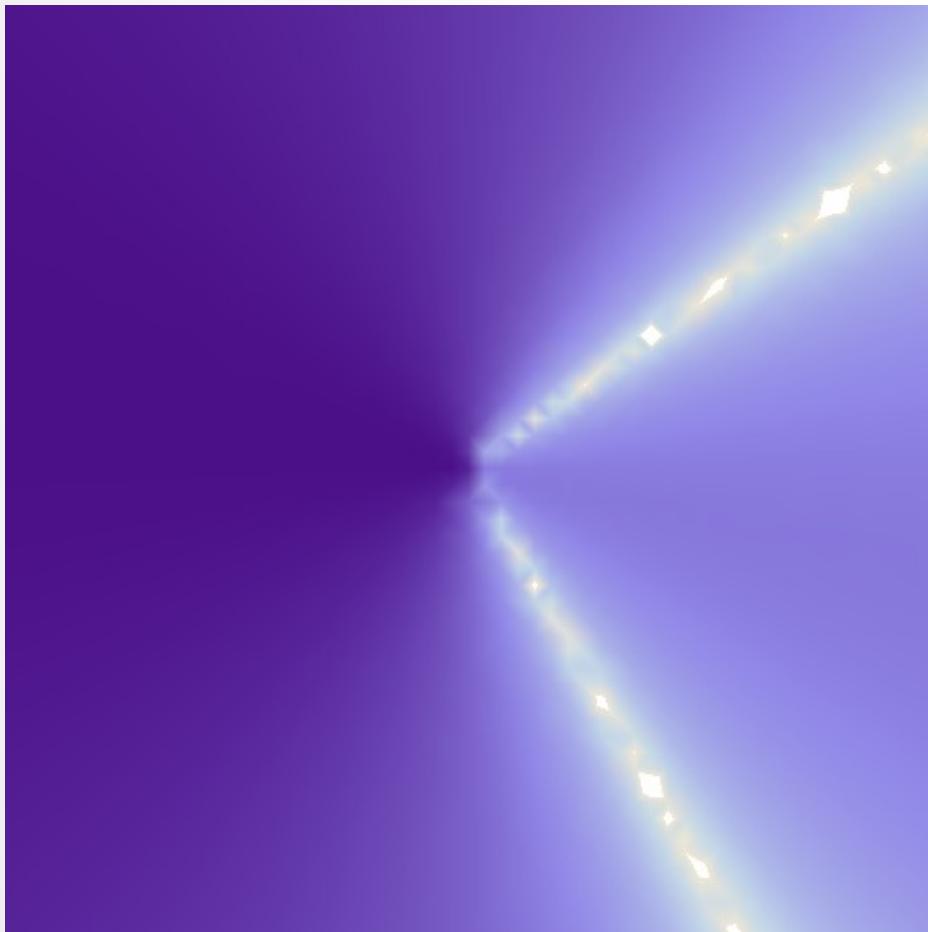
- We get for the cross section in the soft limit

$$\sigma = \sigma_0 \int C_F \frac{\alpha_S}{2\pi} \frac{dE_g}{E_g} d\cos\theta \frac{2(1 - \cos\theta_{q\bar{q}})}{(1 - \cos\theta_{qg})(1 - \cos\theta_{\bar{q}g})}$$

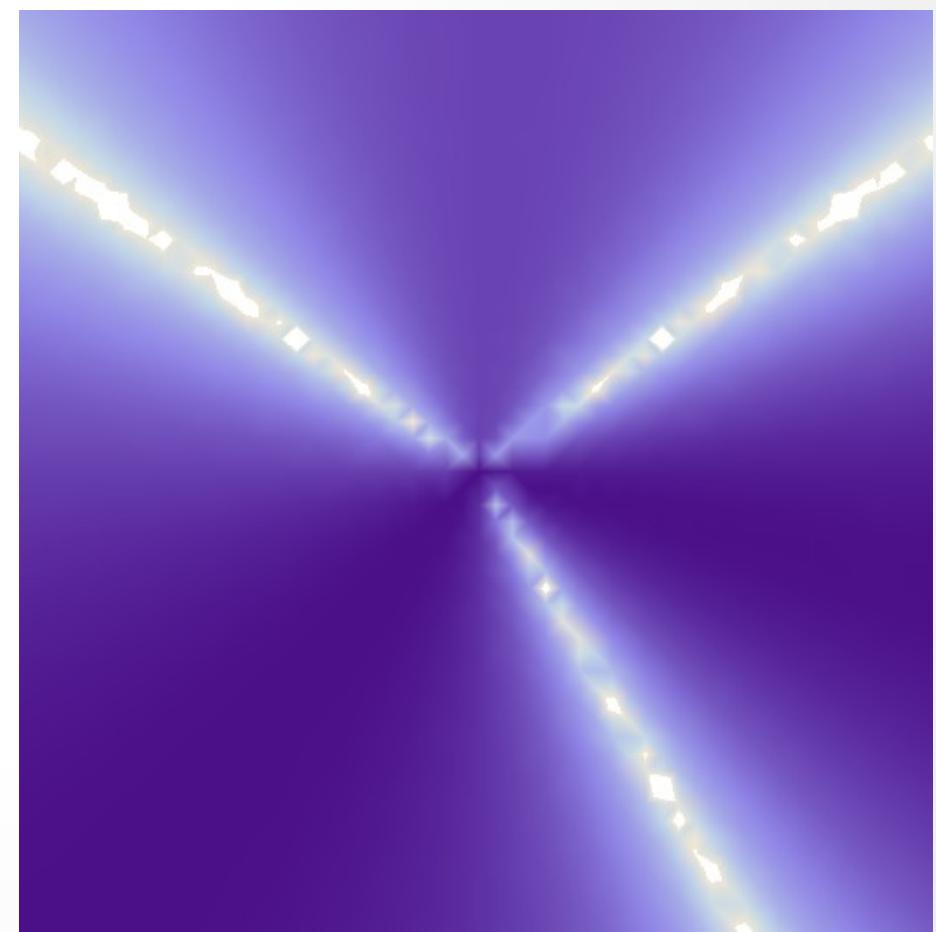
- Called the dipole radiation pattern
- One for each pair of colored particle
- Factorisation is only universal at the amplitude level

# Soft emissions

$$e^+ e^- \rightarrow q\bar{q}\gamma$$

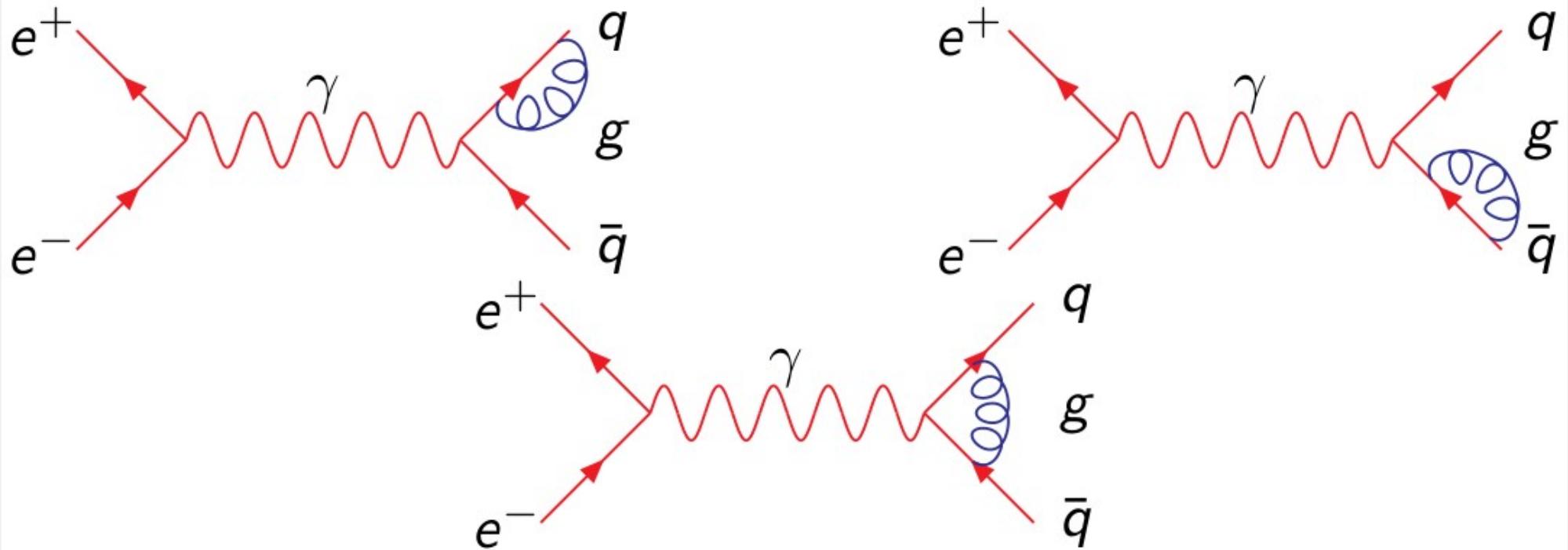


$$e^+ e^- \rightarrow q\bar{q}g$$



# Virtual Corrections

- At the same order there are also one-loop virtual diagrams



- They are also divergent, and typically negative
- These divergences will cancel the real emission divergencies

# Total cross section

- To show the cancellation we need to regularize both the real and the virtual calculation
- The standard regularisation is dimensional regularisation. We perform the entire calculation in  $d=4-2\epsilon$  space-time dimensions and we take the limit of four dimensions after the divergences have cancelled.
- For our calculation:

$$\sigma_{\text{real}} = \sigma_0 C_F \frac{\alpha_S}{2\pi} H(\epsilon) \left( \frac{4}{\epsilon^2} + \frac{3}{\epsilon} + \frac{19}{2} - \pi^2 + \mathcal{O}(\epsilon) \right)$$

$$\sigma_{\text{virtual}} = \sigma_0 C_F \frac{\alpha_S}{2\pi} H(\epsilon) \left( -\frac{4}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \pi^2 + \mathcal{O}(\epsilon) \right)$$

- The sum is finite and we can take the  $\epsilon \rightarrow 0$  limit

# Total cross section

- So we get for the R ratio:

$$R(e^+e^-) = R_0(e^+e^-) \left(1 + \frac{\alpha_s}{\pi}\right)$$

- We can extract a value of the strong coupling constant from the measurement of  $R$

$$\alpha_S(m_Z) = 0.1226 \pm 0.0038$$

From the PDG

- The R ratio is known to higher orders (NNNLO for massless quarks) and the NLO calculation is available with massive quarks

# Event shapes

- The definition of thrust is:

$$T = \max_{\vec{n}} \frac{\sum_i |\vec{p}_i \cdot \vec{n}|}{\sum_i |p_i|}.$$

- For events with back-to-back jets (pencil-like) we have  $T=1$
- For perfectly spherical events  $T=1/2$
- For three partons:

$$T = \max(x_1, x_2, x_3)$$

# Differential cross section

- To obtain a differential cross section with respect to an observable  $O$ , we need to compute

$$\frac{d\sigma}{dO} = \frac{d}{dO} \mathcal{N} \int d\Phi |\mathcal{M}|^2$$

- This is easy if we can write

$$d\Phi = dO dx_1 \dots dx_n$$

- We then get:

$$\frac{d\sigma}{dO} = \mathcal{N} \int dx_1 \dots dx_n |\mathcal{M}|^2$$

# Differential cross section

- If not we introduce a delta function

$$1 = \int d\mathcal{O} \delta(\mathcal{O} - \mathcal{O}(\Phi))$$

into the phase-space integration of the final state  $\Phi$  so that we now have the explicit dependence on the observable

$$\sigma = \mathcal{N} \int d\mathcal{O} d\Phi |\mathcal{M}|^2 \delta(\mathcal{O} - \mathcal{O}(\Phi))$$

$$\frac{d\sigma}{d\mathcal{O}} = \mathcal{N} \int d\Phi |\mathcal{M}|^2 \delta(\mathcal{O} - \mathcal{O}(\Phi))$$

- In most cases we cannot compute it analytically and we need to do it numerically

# Thrust

- Let's look at the differential cross section with respect to the thrust variable
- For the born and virtual part we know  $T=1$
- For the real emission we use:

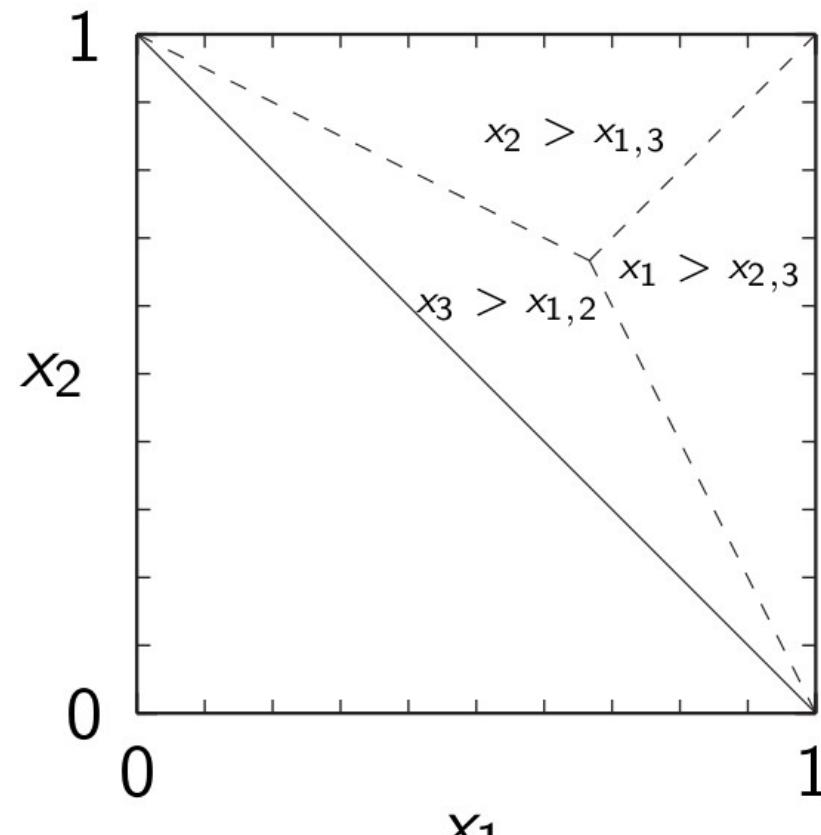
$$1 = \int dT \delta(T - \max\{x_1, x_2, x_3\})$$

- And get:

$$\frac{d\sigma}{dT} = \sigma_0 C_F \frac{\alpha_S}{2\pi} \int dx_1 dx_2 \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)} \delta(T - \max\{x_1, x_2, x_3\})$$

# Thrust

- In our 3 particle phase space we have 3 regions:



- Can be computed analytically, but we will only look at the limit  $T \rightarrow 1$

# Thrust

- We look at the region where  $x_1$  is largest.

$$\begin{aligned}\frac{d\sigma}{dT} \Big|_{x_1 > x_{2,3}} &= \sigma_0 C_F \frac{\alpha_S}{2\pi} \int_{2(1-T)}^T dx_2 \frac{T^2 + x_2^2}{(1-T)(1-x_2)} \\ &\approx \sigma_0 C_F \frac{\alpha_S}{2\pi} \frac{1}{1-T} \int_0^T dx_2 \frac{1+x_2^2}{(1-x_2)} \\ &\approx \sigma_0 C_F \frac{\alpha_S}{2\pi} \frac{1}{1-T} \int_0^T dx_2 \frac{x_2^2 - 1 + 2}{(1-x_2)} \\ &\approx \sigma_0 C_F \frac{\alpha_S}{2\pi} \frac{1}{1-T} \int_0^T dx_2 \frac{2}{(1-x_2)} \\ &\approx -\sigma_0 C_F \frac{\alpha_S}{2\pi} \frac{2}{1-T} \ln(1-T),\end{aligned}$$

# Thrust

- This is divergent as  $T \rightarrow 1$
- We get the same from the region where  $x_2$  is largest
- The third region  $x_3$  largest is less divergent
- The most divergent part of the large thrust distribution is then:

$$\frac{1}{\sigma_0} \frac{d\sigma}{dT} \approx -C_F \frac{\alpha_S}{2\pi} \frac{4}{1-T} \ln(1-T)$$

# Thrust: Two jet limit

- We can use thrust to separate events in 2-jet and 3-jets:

$$R_3(\tau) = \int_{1/2}^{1-\tau} dT \frac{1}{\sigma} \frac{d\sigma}{dT} \xrightarrow{\tau \rightarrow 0} C_F \frac{\alpha_S}{2\pi} 2 \ln^2 \tau$$

$$R_2(\tau) \equiv 1 - R_3(\tau) \xrightarrow{\tau \rightarrow 0} 1 - C_F \frac{\alpha_S}{2\pi} 2 \ln^2 \tau + \left( C_F \frac{\alpha_S}{2\pi} \right)^2 2 \ln^4 \tau.$$

- For each order we get an additional term  $\ln^2(\tau)$
- When the log becomes of the same order than the coupling constant, the perturbation series breaks down

# Thrust: jet rate

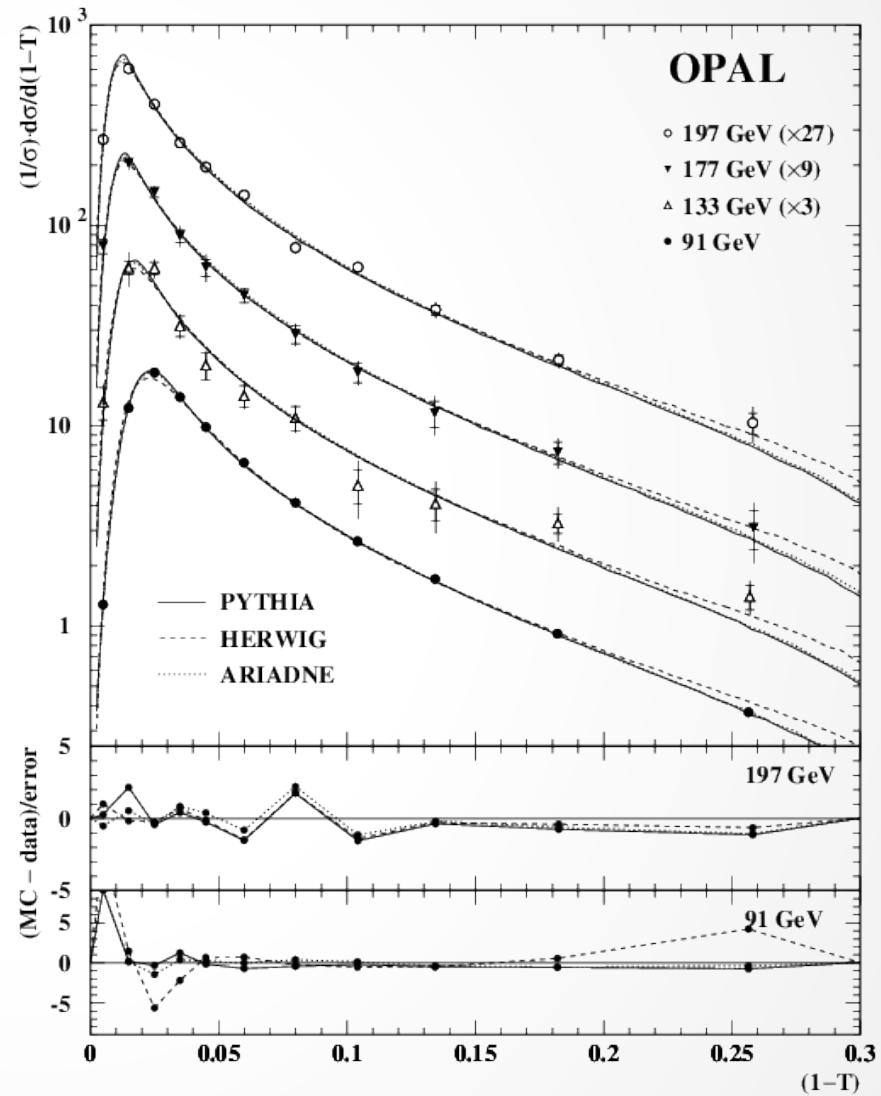
- The solution is the re-sum these terms to all order:

$$R_2(\tau) \xrightarrow{\tau \rightarrow 0} \exp \left[ -C_F \frac{\alpha_S}{2\pi} 2 \ln^2 \tau \right] + \dots$$

- This is an example of a Sudakov Form Factor
- This is finite for  $\tau \rightarrow 0$  (it is in fact 0 which means that the probability of not emitting is 0)

# Thrust

- $1-T$  distribution
- Large  $1-T$  is described by fixed order
- Small  $1-T$  is described by resummation



# Running coupling

- Virtual corrections are also ultraviolet divergent. These divergences are removed through renormalisation. As an effect, the coupling constant becomes scale dependent.
- Alphas → alphas(mu)
- Can compute the way the coupling is changing

$$\mu^2 \frac{d\alpha_S}{d\mu^2} \equiv \beta(\alpha_S) = -\beta_0 \alpha_S^2 + \dots \quad \beta_0 = \frac{11N_c - 4T_R N_f}{12\pi}$$

- The fact that  $\beta_0$  is negative leads to asymptotic freedom

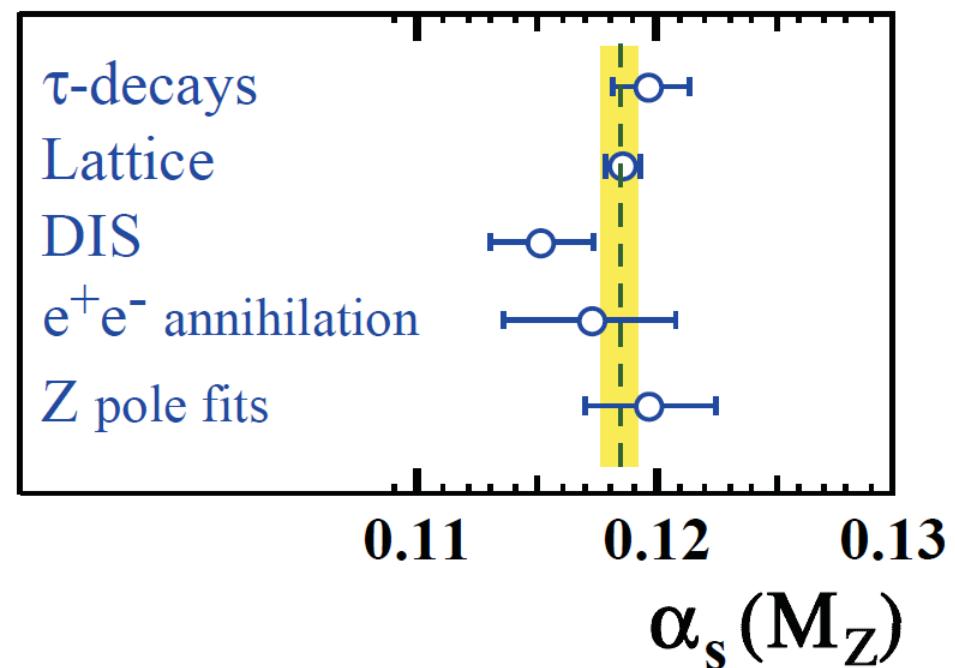
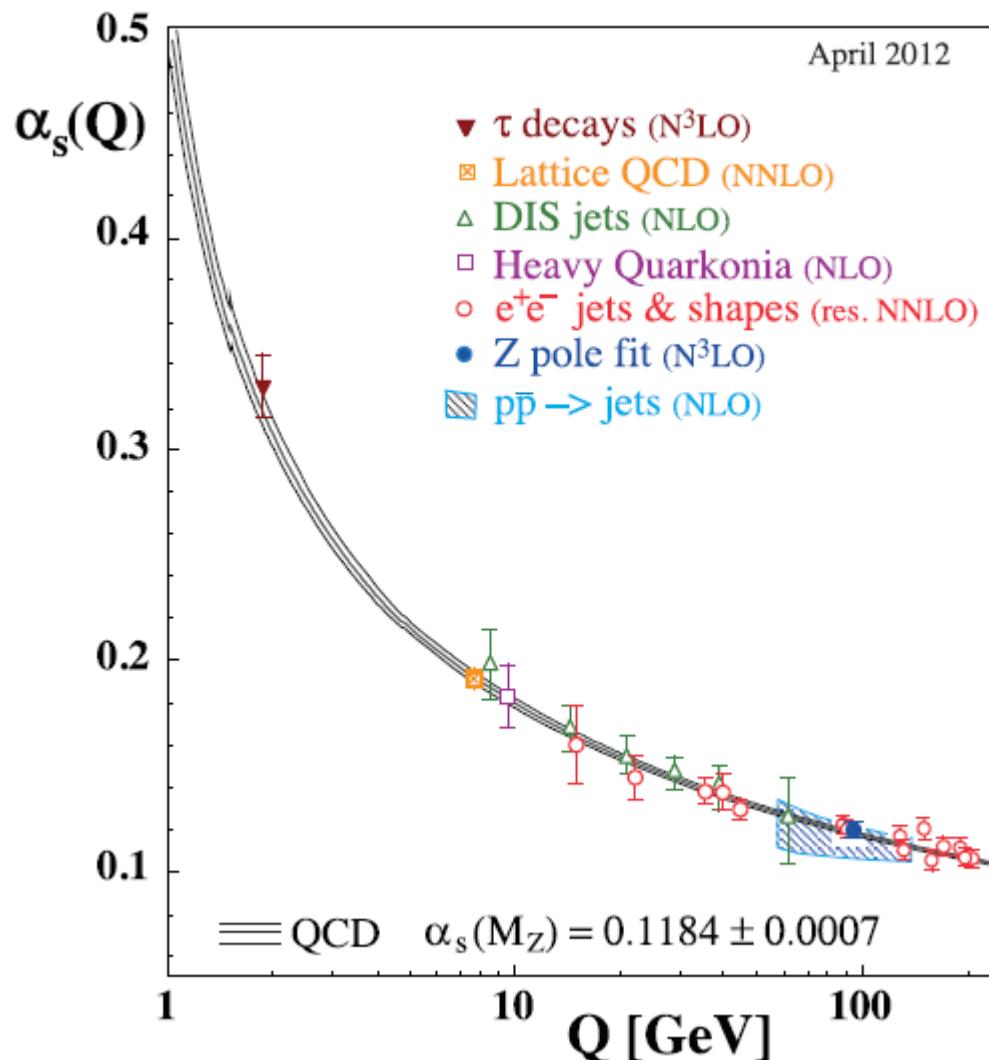
# Running coupling

- The values of the strong coupling are usually quoted at the Z mass
- The numerical value at other scales can be computed by solving the differential equation

$$\alpha_s(\mu) = \frac{4\pi}{\beta_0 \log\left(\frac{\mu^2}{\Lambda_{\text{QCD}}^2}\right)} [1 + \dots]$$

- This defines  $\Lambda_{\text{QCD}}$
- There is some freedom in the renormalisation process, which leads to different renormalisation schemes
- Dependence on the renormalisation scale and scheme for a physical prediction is of a higher order than the calculation

# Strong coupling measurement



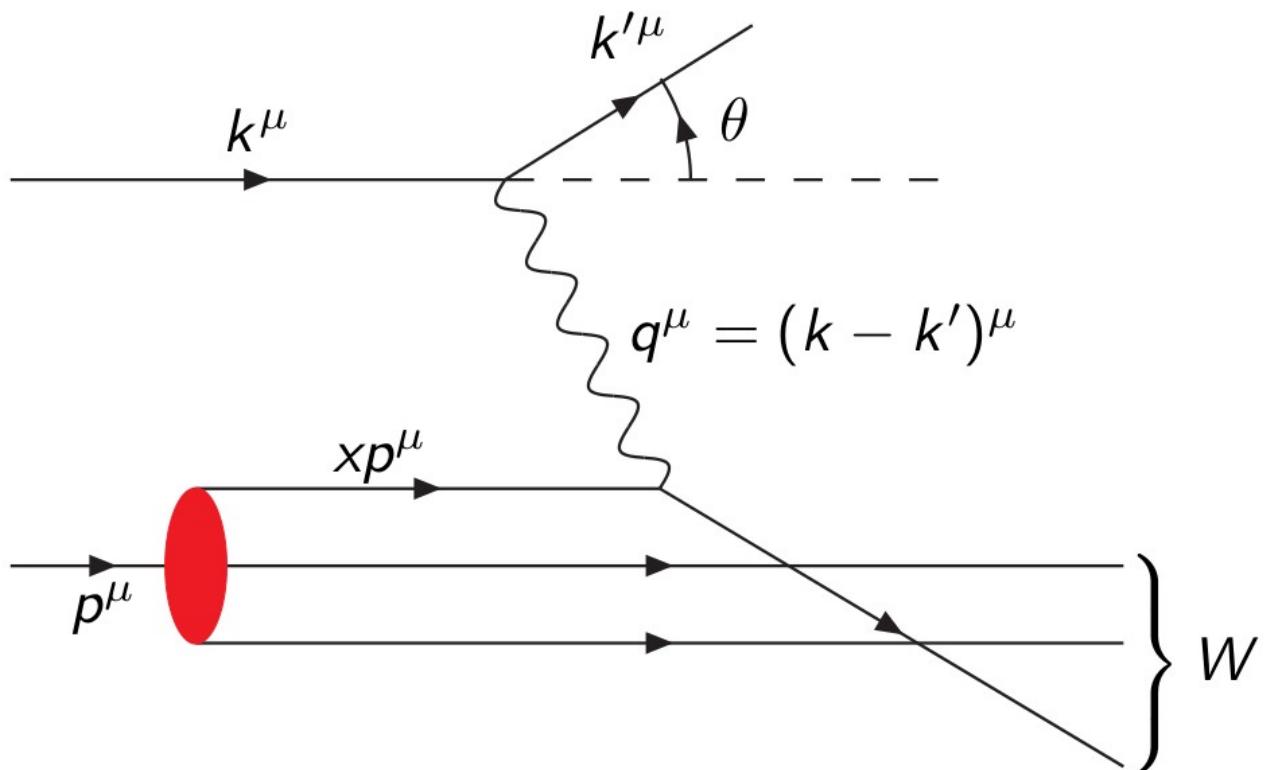
From PDG 2012

# Deep Inelastic Scattering

- Proton electron scattering
- DIS was a key piece of evidence for quarks
- Used to measure parton distribution functions

# DIS Kinematics

- $Q^2 = -q^2$
- $x = \frac{Q^2}{2p \cdot q}$
- $W^2 = (p + q)^2$
- $y = \frac{p \cdot q}{p \cdot k} = \frac{Q^2}{xs}$
- Deep means  $Q^2$  large and inelastic means  $W^2$  large (compared to the Proton mass)



# DIS

- We can parametrize the cross section in terms of two unknown structure functions

$$\frac{d^2\sigma}{dxdQ^2} = \frac{4\pi\alpha^2}{xQ^4} [y^2xF_1(x, Q^2) + (1 - y)F_2(x, Q^2)]$$

- If we consider the proton as a bound state of partons, we can calculate these structure function in terms of the probability  $f_q(\eta)$  of a quark to carry a given fraction  $\eta$  of the proton's momentum

$$\frac{d^2\sigma(e + \text{proton})}{dxdQ^2} = \sum_q \int_0^1 d\eta f_q(\eta) \frac{d^2\sigma(e + q(\eta p))}{dxdQ^2}.$$

# DIS

- If we take the outgoing parton to be on-shell we can relate  $\eta$  to our kinematic variables

$$(q + \eta p)^2 = 2\eta p \cdot q - Q^2 = 0, \eta = x$$

- So we get:

$$\frac{d^2\sigma(e + \text{proton})}{dx dQ^2} = \sum_q f_q(x) \frac{d^2\sigma(e + q(xp))}{dQ^2}$$

- With the partonic cross section:

$$\frac{d^2\sigma(e + q(xp))}{dQ^2} = \frac{2\pi\alpha^2 e_q^2}{Q^4} [1 + (1 - y)^2]$$

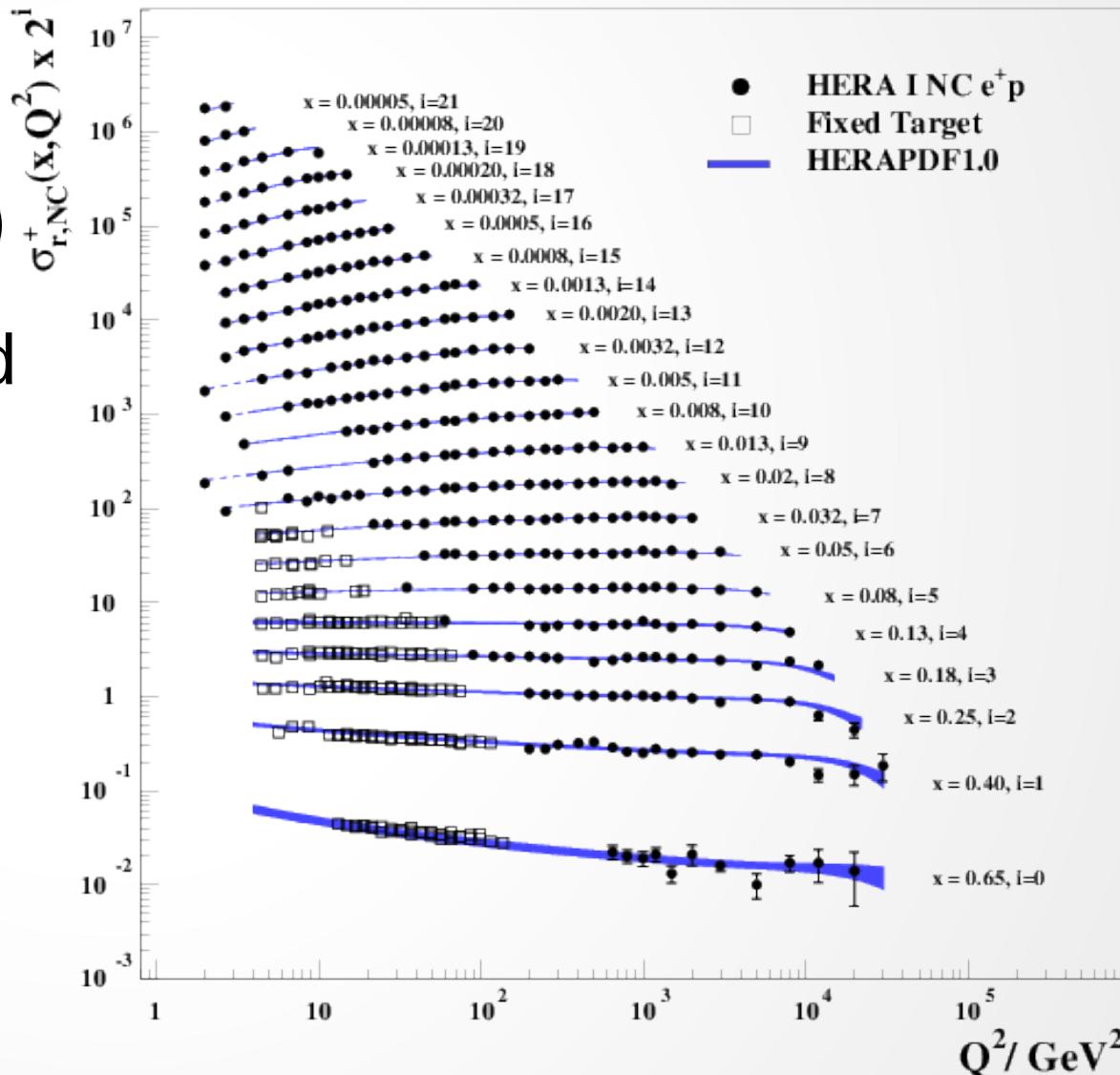
# DIS

- So we get

$$2xF_1(x) = F_2(x),$$

$$F_2(x) = \sum_q e_q^2 x f_q(x)$$

- Only functions of  $x$  and not  $Q$  (referred to as Bjorken scaling)
- Quite a good description, but quantum corrections lead to scaling violations



From arXiv:1001:109

# Radiative corrections

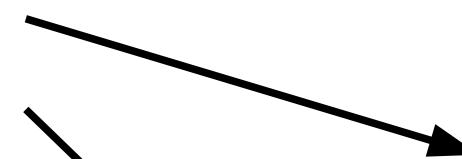
- We want to add radiative corrections

- Virtual correction



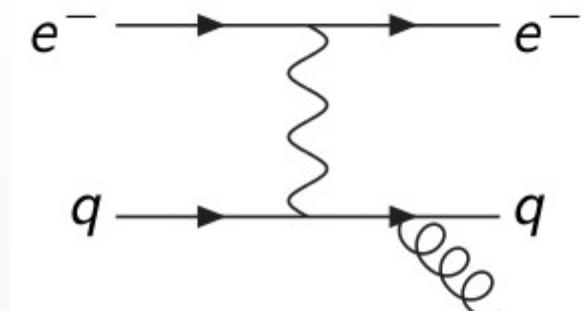
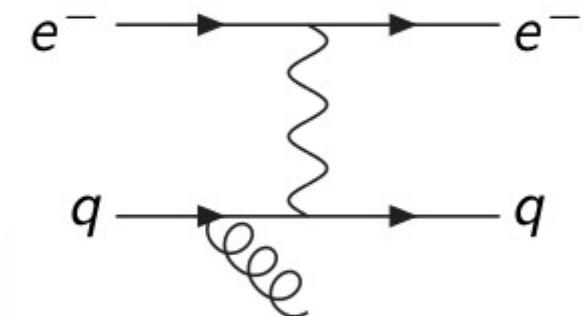
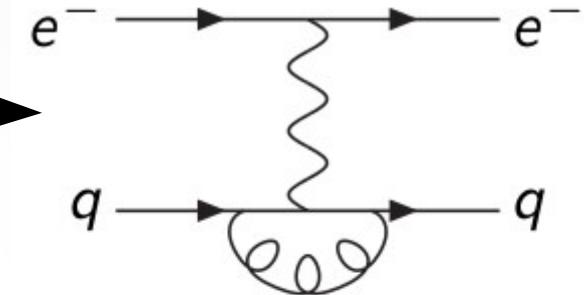
- Real correction:

- Initial state radiation
  - Final state radiation



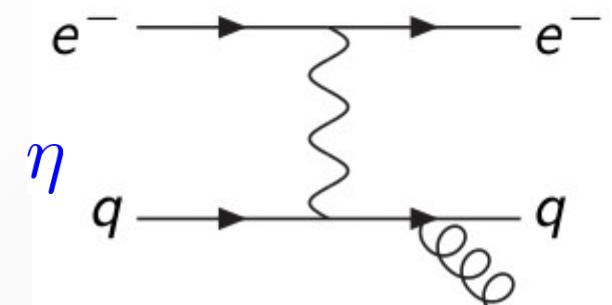
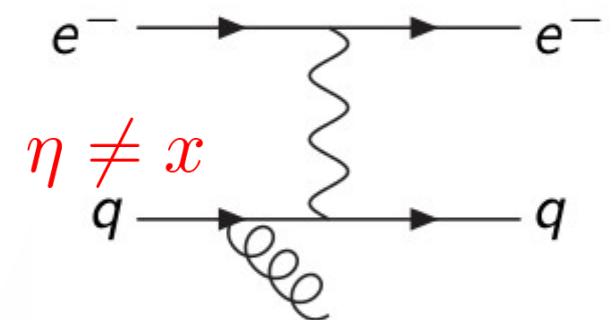
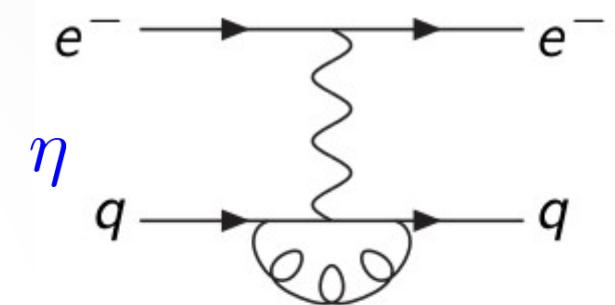
- As always we have divergencies:

- Gluon soft or collinear to either initial or final state quark
  - Negative divergence in the virtual part



# Radiative corrections

- In the collinear and soft limits, the final state radiation and the virtual part have the same momentum fraction so the cancellation can happen
- The initial state radiation has a different momentum fraction  $\eta > x$



# Initial state collinear limit

- In the collinear limit we have a factorisation similar to that we have seen in the final state

$$d\sigma_{q \rightarrow qg} \rightarrow d\sigma_{q \rightarrow q} \times \frac{\alpha_S}{2\pi} C_F \hat{P}_{qq}(z) \frac{dt}{t} \frac{dz}{z} \quad \hat{P}_{qq}(z) \frac{1+z^2}{1-z}$$

- This is the unregularised DGLAP splitting function, which is singular as  $z \rightarrow 1$
- The virtual contribution contains a compensating singularity at  $z=1$
- We can define regularised splitting functions to be the sum of the real part and the virtual contribution

# Initial-state collinear limit

- The regularized splitting function is given by:

$$\begin{aligned} P_{qq}(z) &= C_F \frac{1+z^2}{1-z} + C_F \delta(1-z) \left\{ \frac{3}{2} - \int_0^1 dz' \frac{2}{1-z'} \right\} \\ &\equiv C_F \left( \frac{1+z^2}{(1-z)_+} + \frac{3}{2} \delta(1-z) \right) \end{aligned}$$

- With the “+” distribution

$$\int_0^1 g(x) \left( \frac{f(x)}{1-x} \right)_+ dx = \int_0^1 (g(x) - g(1)) \frac{f(x)}{1-x} dx$$

# Initial state Collinear limit

- We get the following result for  $F_2$

$$F_2(x, Q^2) = \sum_q e_q^2 \int_x^1 \frac{d\eta}{\eta} f_q(\eta) \left[ \delta \left( 1 - \frac{x}{\eta} \right) + \frac{\alpha_S}{2\pi} P_{qq} \left( \frac{x}{\eta} \right) \int_0^1 \frac{dt}{t} + \bar{R}_{qq} \left( \frac{x}{\eta} \right) \right],$$

where  $\bar{R}_{qq}$  is a calculable finite correction.

- We still have a divergence as  $t \rightarrow 0$
- This divergence comes from long time scales, for which perturbative QCD is not valid
- These singularities should be absorbed in the pdfs

# Factorization

- We introduce a factorization scale and absorb the infrared divergence below that scale into the definition of the pdf (same as a renormalization procedure, we don't measure the 'bare' pdf)

$$\begin{aligned} F_2(x, Q^2) = & \sum_q e_q^2 \int_x^1 \frac{d\eta}{\eta} f_q(\eta, \mu_F^2) \left[ \delta \left( 1 - \frac{x}{\eta} \right) \right. \\ & \left. + \frac{\alpha_S}{2\pi} P_{qq} \left( \frac{x}{\eta} \right) \log \frac{Q^2}{\mu_F^2} + R_{qq} \left( \frac{x}{\eta} \right) \right]. \end{aligned}$$

- The pdf now depend on the factorization scale (and scheme)
- Physical observables would be independent on this scale if we could compute all orders

# Evolution equation

- We cannot compute the coupling constant, but we can compute its evolution

$$\mu^2 \frac{d\alpha_S}{d\mu^2} = -\beta_0 \alpha_S^2 + \dots$$

- The same applies to the PDF:

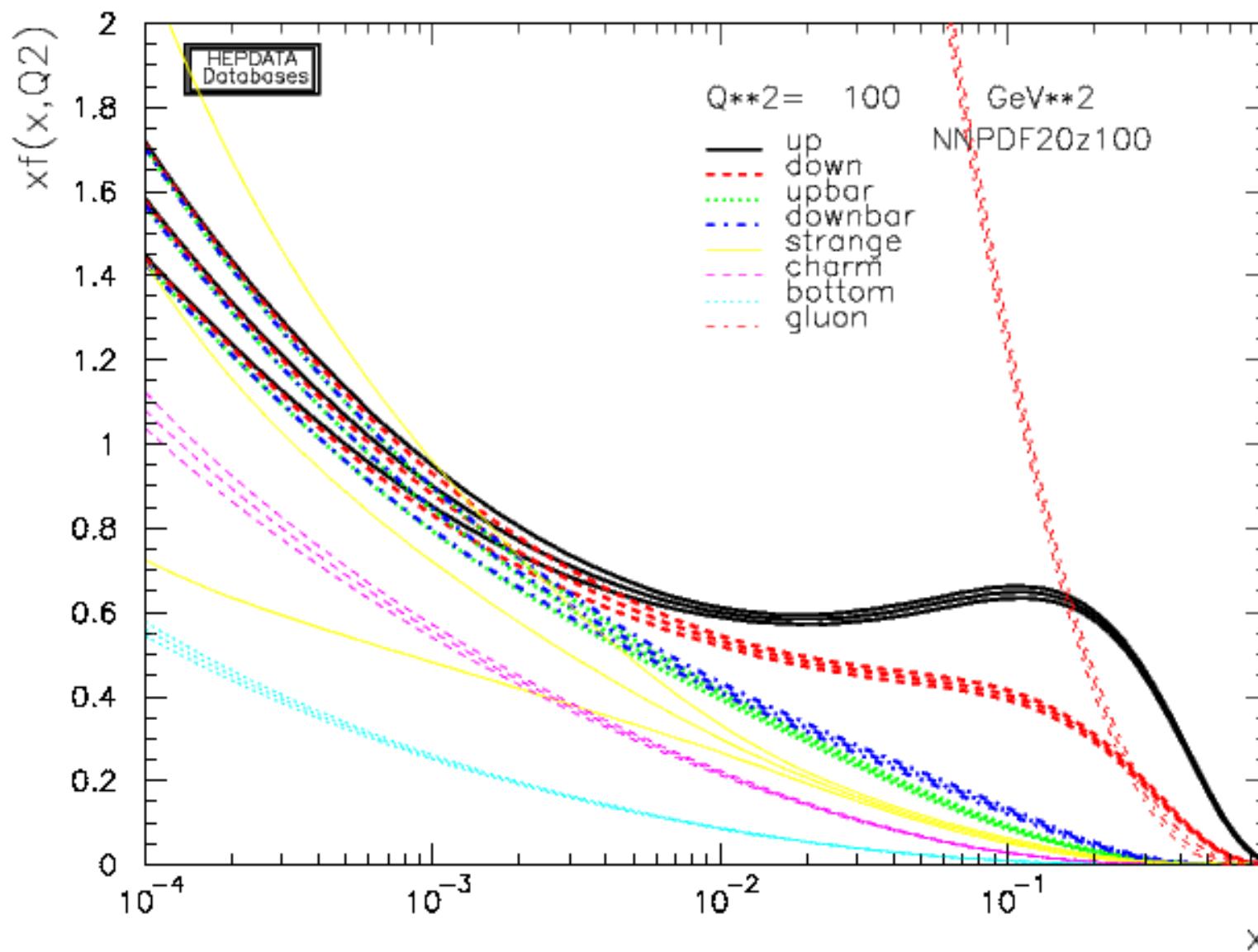
$$\mu_F^2 \frac{\partial f_q(x, \mu_F^2)}{\partial \mu_F^2} = \frac{\alpha_S(\mu_F^2)}{2\pi} \int_x^1 \frac{dy}{y} f_q(y, \mu_F^2) P_{qq} \left( \frac{x}{y} \right) + \dots$$

- These are the DGLAP evolution equations

# Measuring the PDFs

- Universal: measure in DIS and use in hadron collider
- Measure at one scale and evolve to other scales
- Several pdf sets that distinguish themselves through
  - Methodology
    - MSTW, ABM, Cteq, CT10, ... : Fit parameters of ansatz
    - NNDPF : neural network (no parametrisation)
  - Choice of data sets
  - Treatment of quark masses, spectrum
  - Order: LO,NLO,NNLO (you have to take the pdf set that matches the accuracy of your calculation)

# PDF sets



Plot from: <http://hepdata.cedar.ac.uk/pdf/pdf3.html>

# Hadron Colliders

# Hadron colliders

- Hadron colliders are more complicated:

$$d\sigma_{AB} = \sum_{ab} \int_0^1 dx_1 dx_2 f_{a/A}(x_1, \mu_F^2) f_{b/B}(x_2, \mu_F^2) \hat{\sigma}_{ab}(\hat{s}, \mu_F^2, \mu_R^2)$$

Momentum fractions

Parton density functions

Partonic cross section



- Partonic variables are often denoted with ^
- Factorization is formally proven only for few processes and observables

# Kinematics

- At hadron colliders we normally use transverse momenta and rapidity  $y$  or pseudorapidity  $\eta$  to describe the kinematic

$$y = \frac{1}{2} \ln \frac{E + p_z}{E - p_z} \quad \eta = -\ln \tan \frac{\theta}{2} \quad \eta = y \text{ for massless } p$$

- Using these variables we can write momenta as:

$$p^\mu = (E, p_x, p_y, p_z) = (m_\perp \cosh y, p_\perp \sin \phi, p_\perp \cos \phi, m_\perp \sinh y),$$

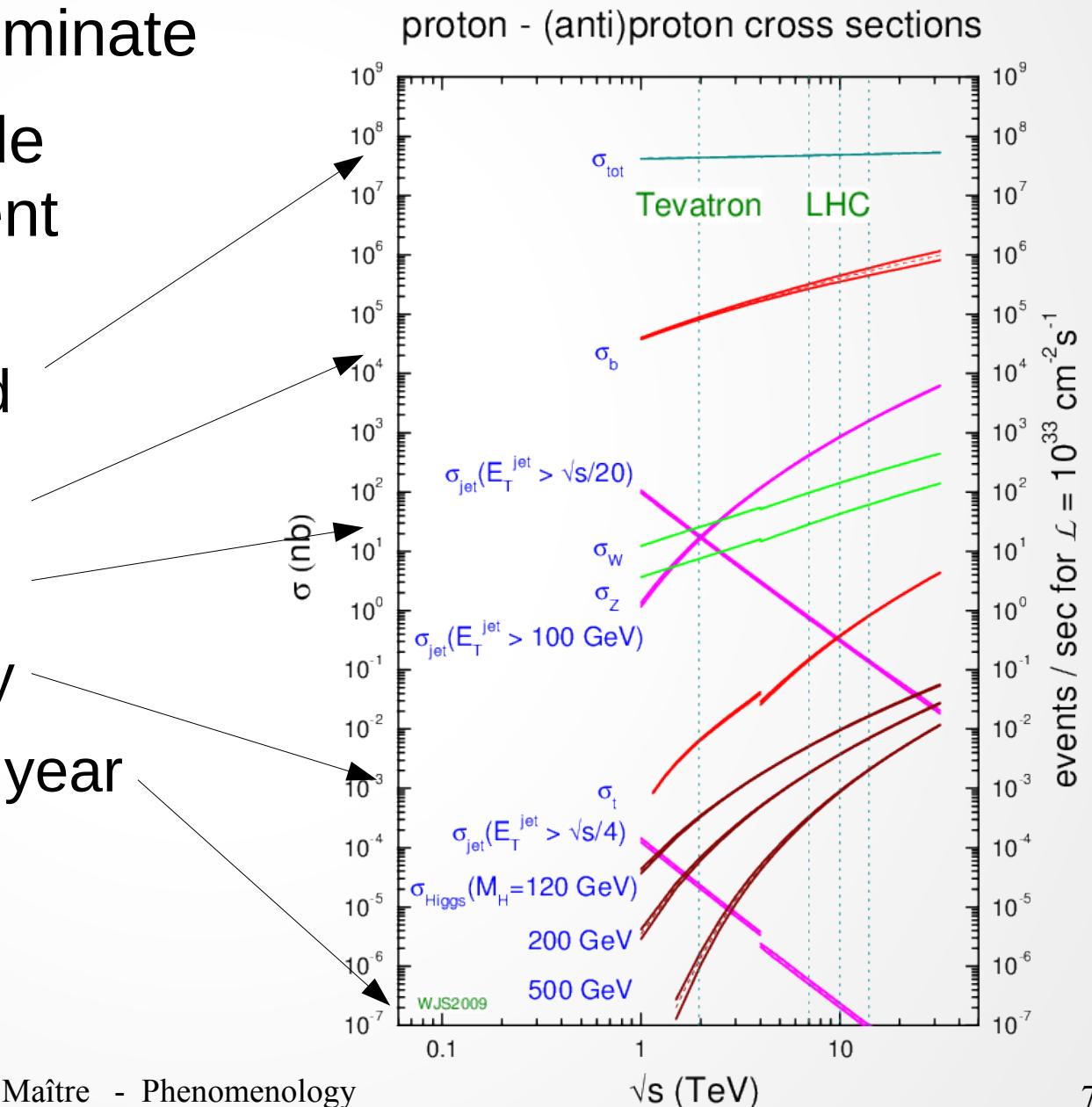
$$m_\perp^2 = p_\perp^2 + m^2$$

- In terms of these variables the phase-space element can be written as

$$\frac{d^4 p}{(2\pi)^4} \delta(p^2 - m^2) \theta(E) = \frac{d^3 p}{(2\pi)^2 2E} = \frac{dy d^2 p_\perp}{2(2\pi)^3}.$$

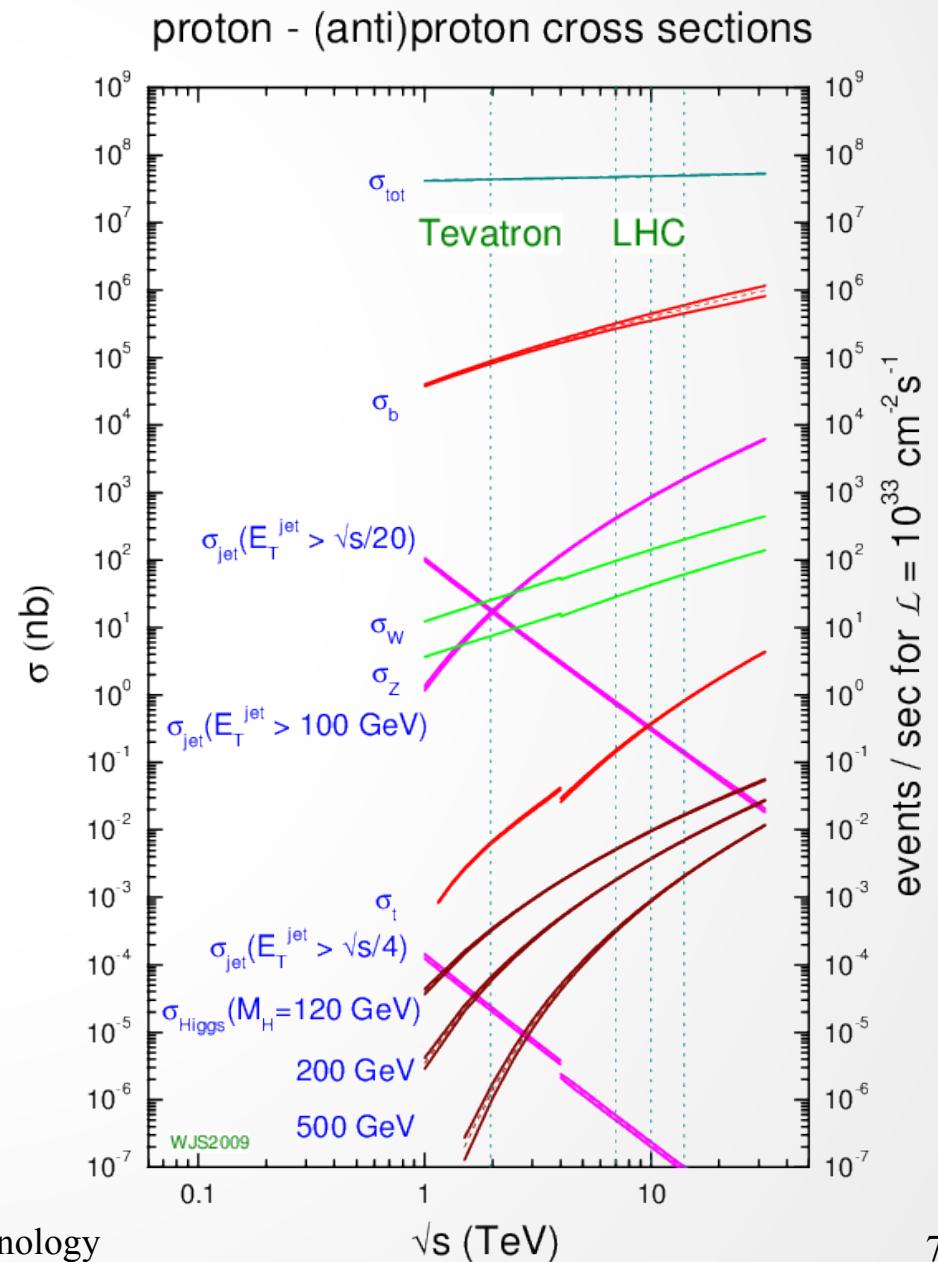
# Hadron collider cross sections

- QCD processes dominate
- Orders of magnitude between the different processes
  - 1 time per second
  - 1 time per hour
  - 1 time per month
  - 1 time per century
  - 1 time per million year



# Quizz question

- Why are there jumps?
- Why not always?



# Resonance production

- We take the initial state partons as massless and the centre of mass energy of the hadron collision to be  $s=4E^2$
- The cross section for the  $Z$  production is

$$\hat{\sigma}_{q\bar{q} \rightarrow Z^0 \rightarrow \mu^+ \mu^-} = \frac{1}{N_C^2} \frac{12\pi\hat{s}}{M_Z^2} \frac{\Gamma_{q\bar{q}} \Gamma_{\mu^+ \mu^-}}{(\hat{s} - M_Z^2)^2 + M_Z^2 \Gamma_Z^2}$$

- If the width is much smaller than the mass, we can use the narrow-width approximation

$$\frac{1}{(\hat{s} - M_Z^2)^2 + M_Z^2 \Gamma_Z^2} \approx \frac{\pi}{M_Z \Gamma_Z} \delta(\hat{s} - M_Z^2)$$



Z boson is on-shell

# Resonance production

- We define:

$$\hat{s} = x_1 x_2 s \quad , \quad \hat{y} = \frac{1}{2} \ln \frac{x_1 + x_2 + x_1 - x_2}{x_1 + x_2 - x_1 + x_2} = \frac{1}{2} \ln \frac{x_1}{x_2}$$

- In terms of these variables we have

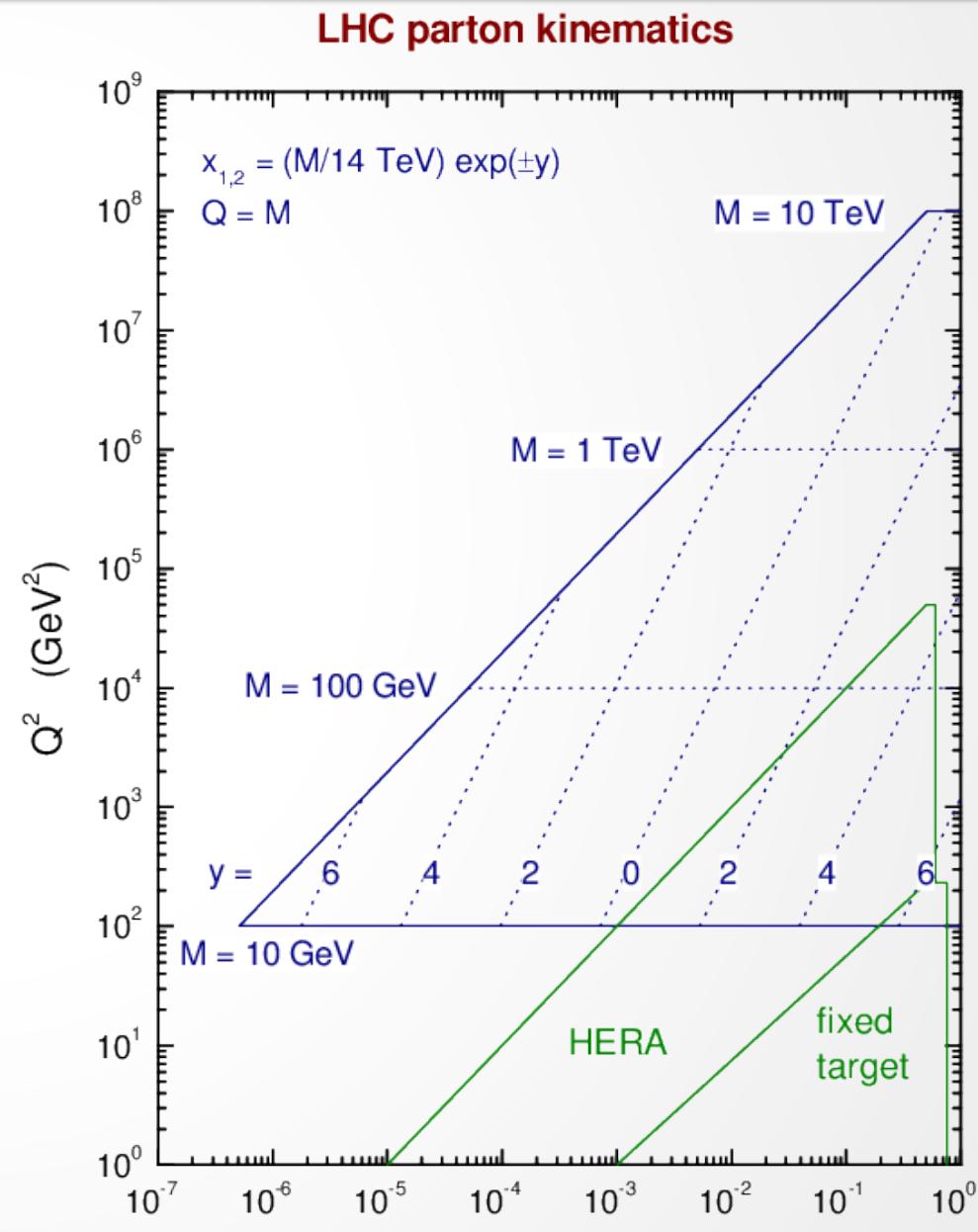
$$x_{1,2} = \sqrt{\frac{\hat{s}}{s}} e^{\pm \hat{y}} , \quad \hat{y} = \frac{1}{2} \ln \frac{x_1^2 s}{\hat{s}} \leq \ln \frac{2E}{\sqrt{\hat{s}}} = \hat{y}_{\max} , \quad s dx_1 dx_2 = d\hat{s} d\hat{y}$$

- So we get:

$$\begin{aligned} \sigma_{AB \rightarrow Z^0 \rightarrow \mu^+ \mu^-} &= \\ &\sum_{q\bar{q}} \int_{-\hat{y}_{\max}}^{\hat{y}_{\max}} d\hat{y} x_1 f_{q/A}(x_1, \mu_F^2) x_2 f_{\bar{q}/B}(x_2, \mu_F^2) \frac{12\pi^2}{N_C^2 M_Z^3} \Gamma_{q\bar{q}} B_{\mu^+ \mu^-} \end{aligned}$$

# Resonance production

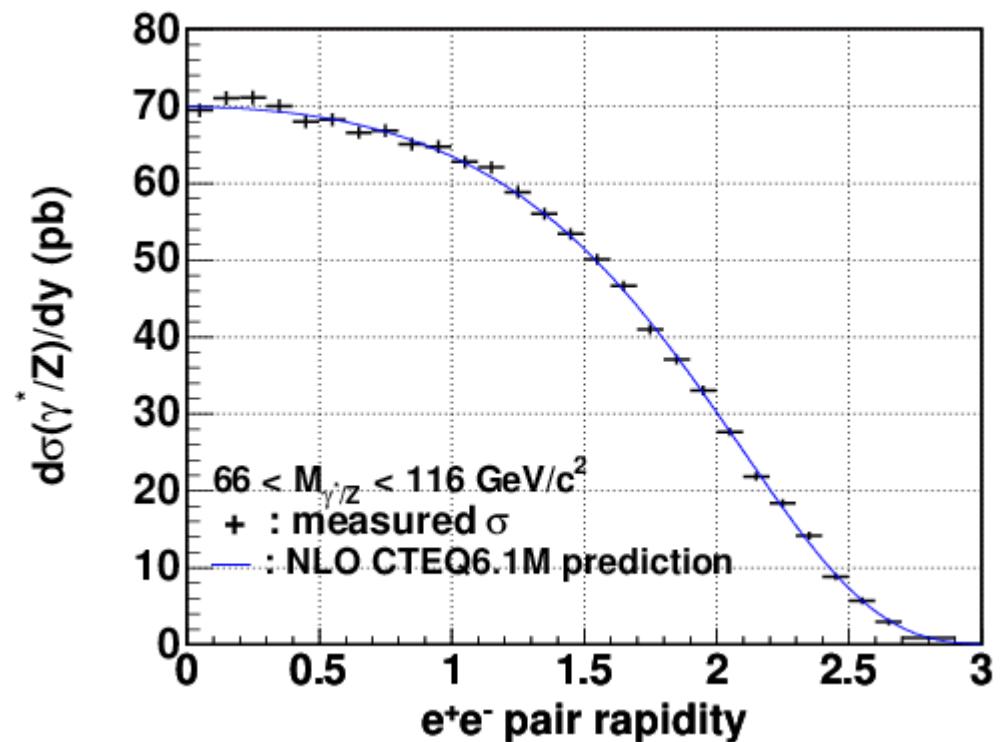
- In this case the dependence on the rapidity is only through the PDFs
- Can generalize for other resonances
- The higher the mass, the more central



Plot from the MSTW homepage

# Z boson rapidity

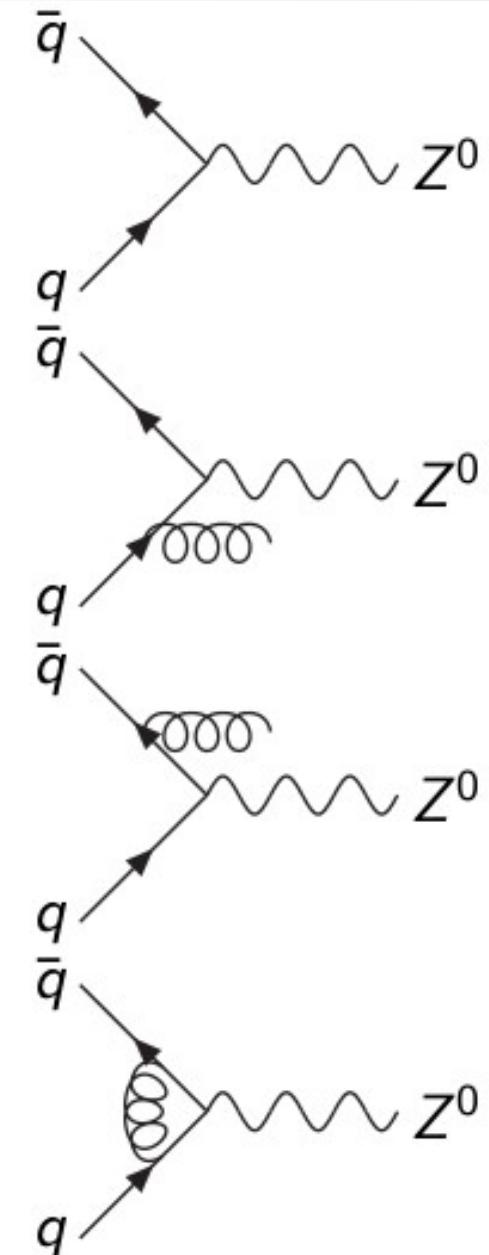
- Because the rapidity only enters the cross section through the pdf (at leading order and without cuts) it is a good observable to extract information about the pdf



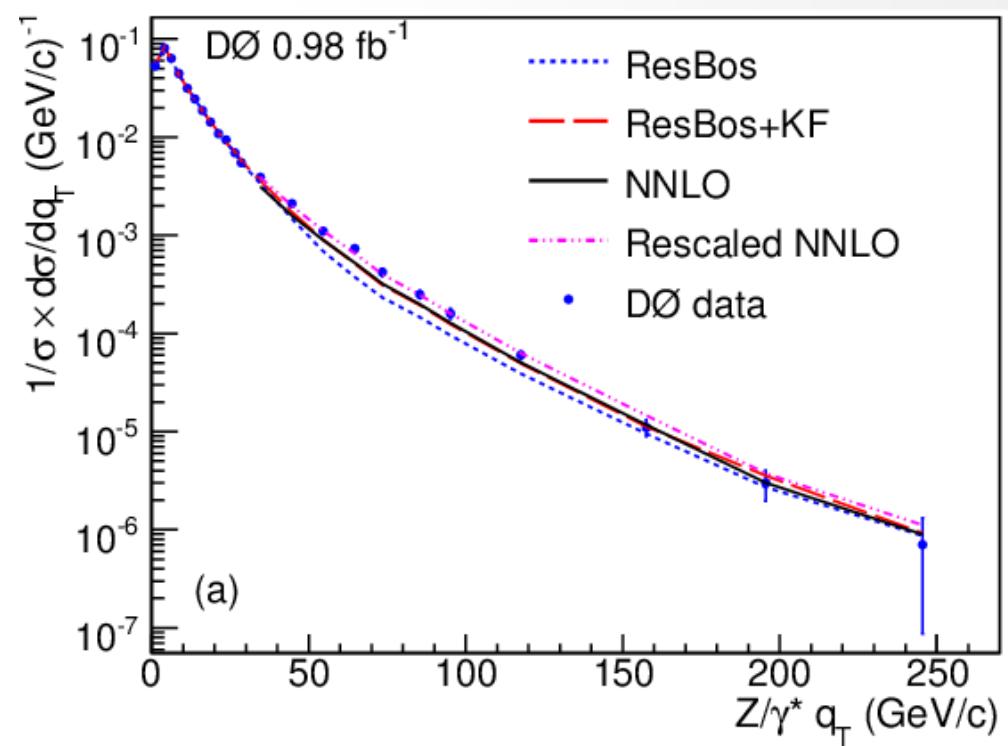
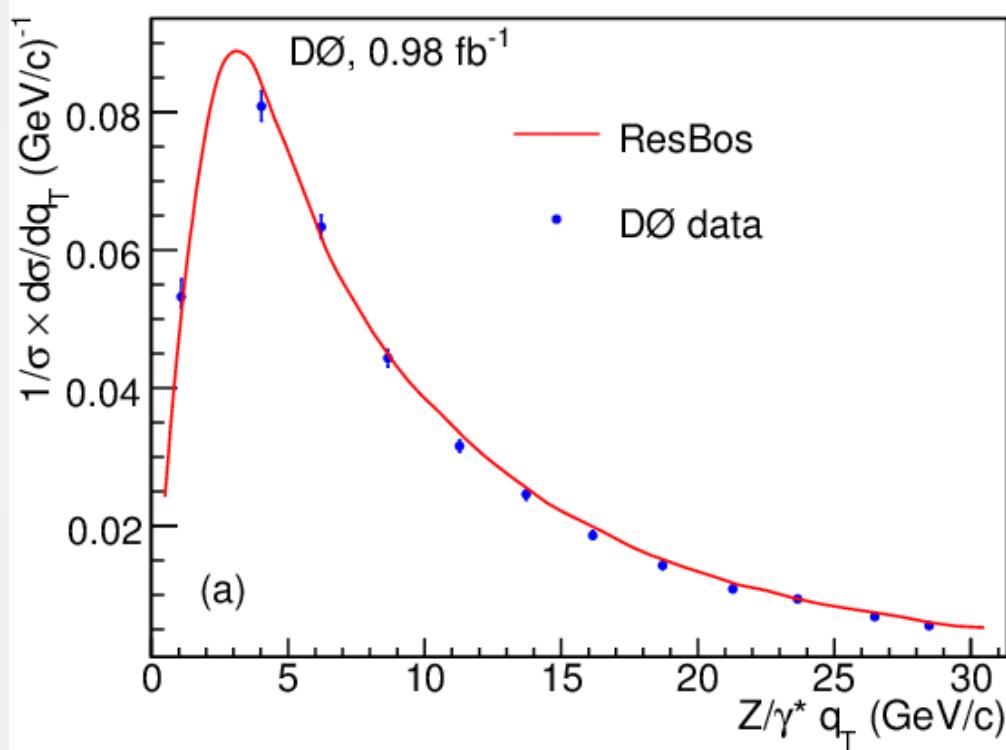
CDF phys. Lettr. B692:232-239. 2010

# Higher order corrections

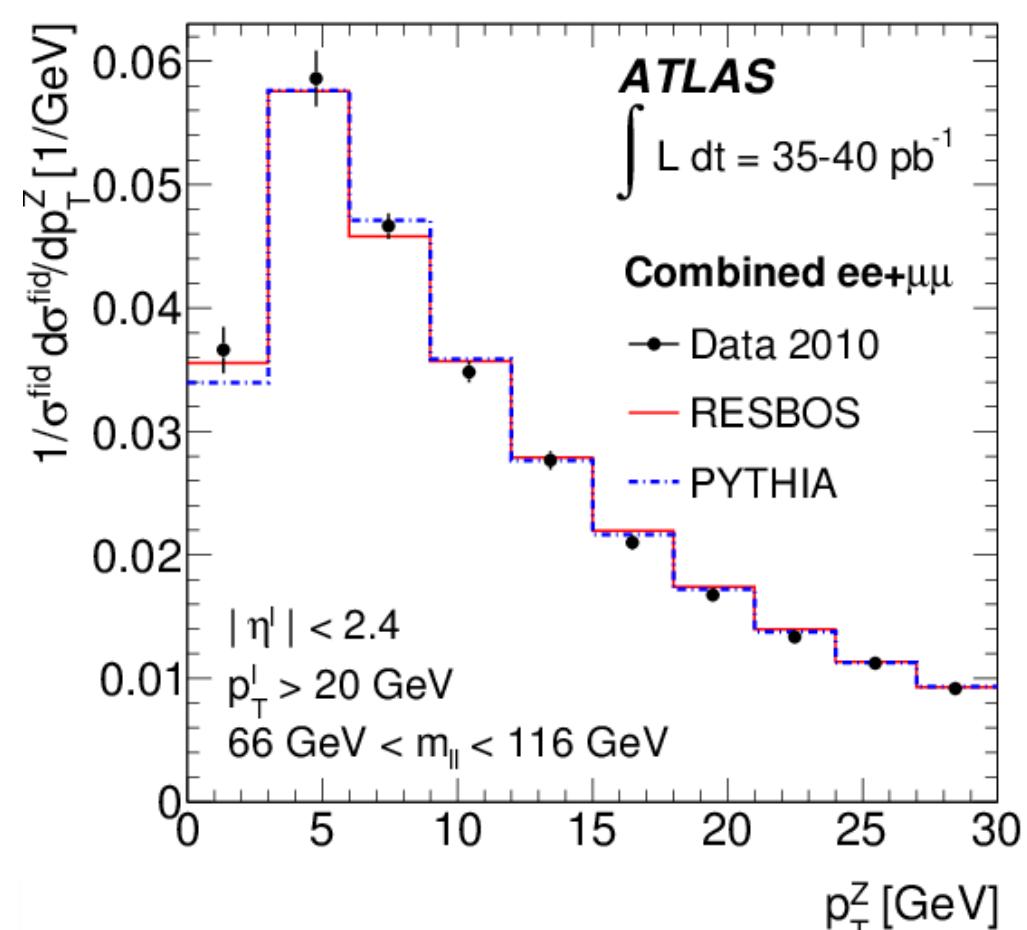
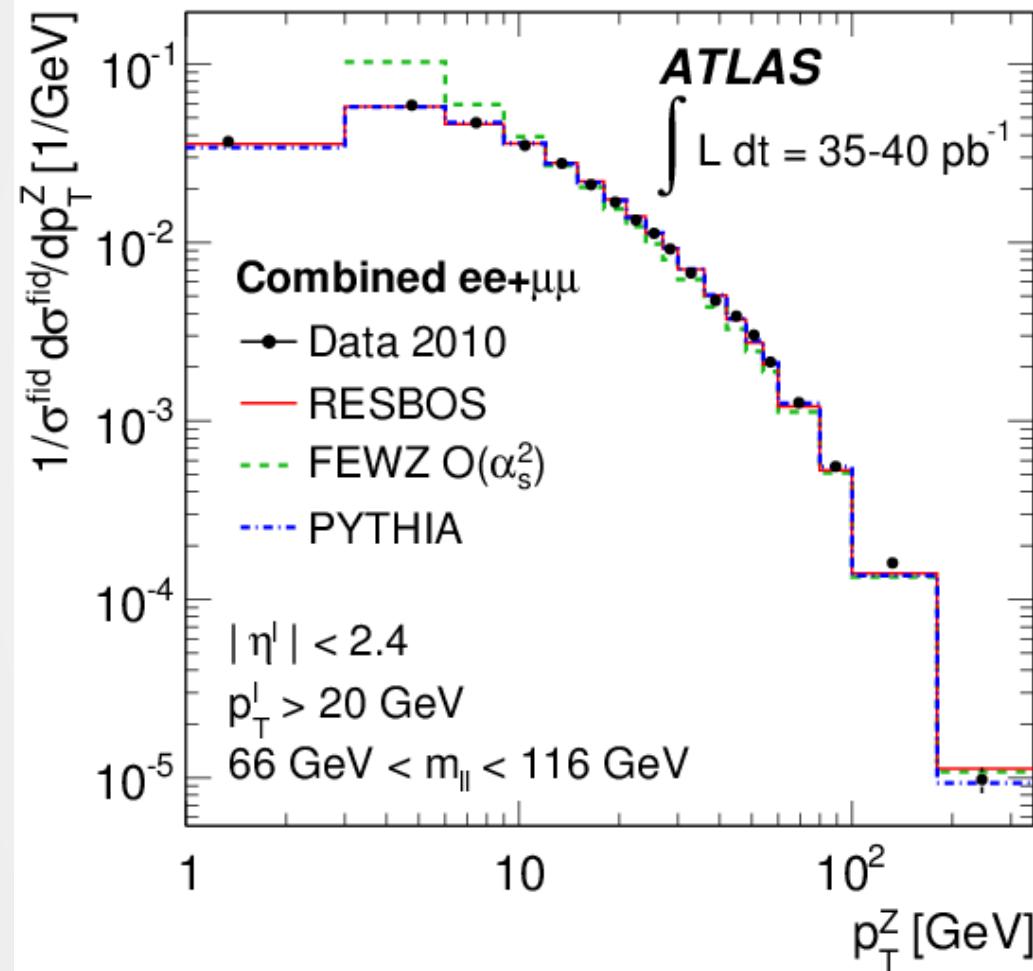
- At leading order the transverse momentum of the gauge boson is zero
- When adding real radiation we get non-vanishing transverse momentum
- As before we need to absorb the infrared singularities in the pdf (but it is the same way as in DIS)
- There is a divergence for small transverse momentum, as for the thrust, so we need to re-sum



# Transverse momentum of the Z



# Transverse momentum of the Z

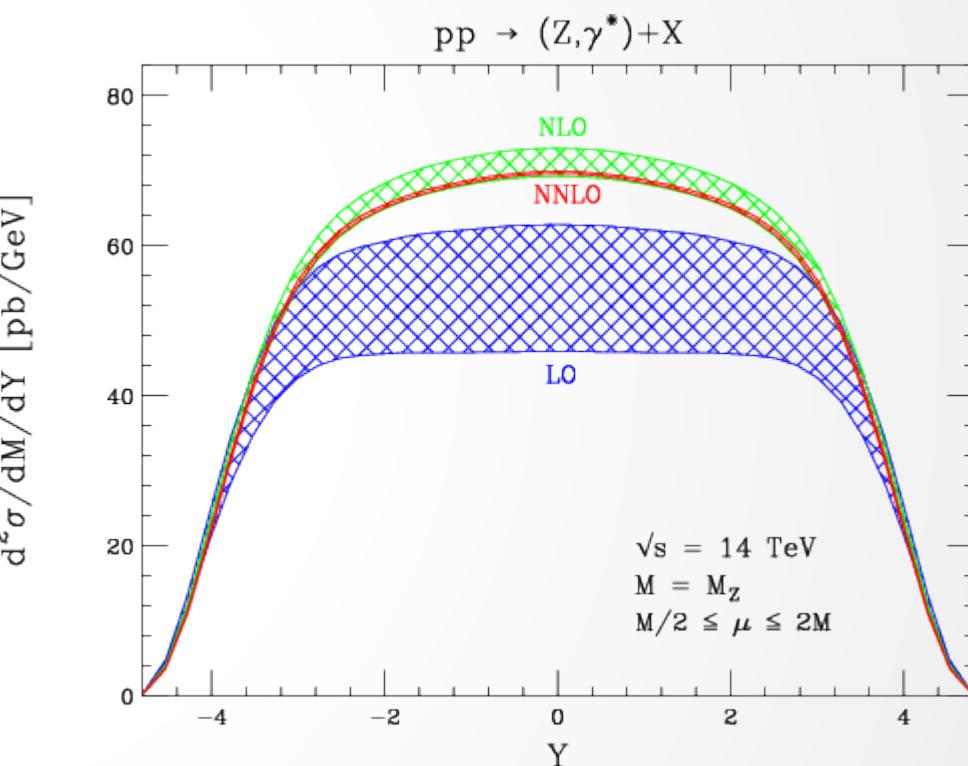


# Higher order calculation

- For hadron collider phenomenology we would like to have at least NLO calculations
- It is the first order at which we have a reliable calculation of the cross section
- NNLO would be better, but it is much harder!
- We only have NNLO for Drell-Yan, Higgs production through gluon fusion as well as top pair production.

# Higher orders

- As we have seen fixed order calculations have an artificial dependence on the factorization and renormalization scales
- It gets better at NLO and even better at NNLO



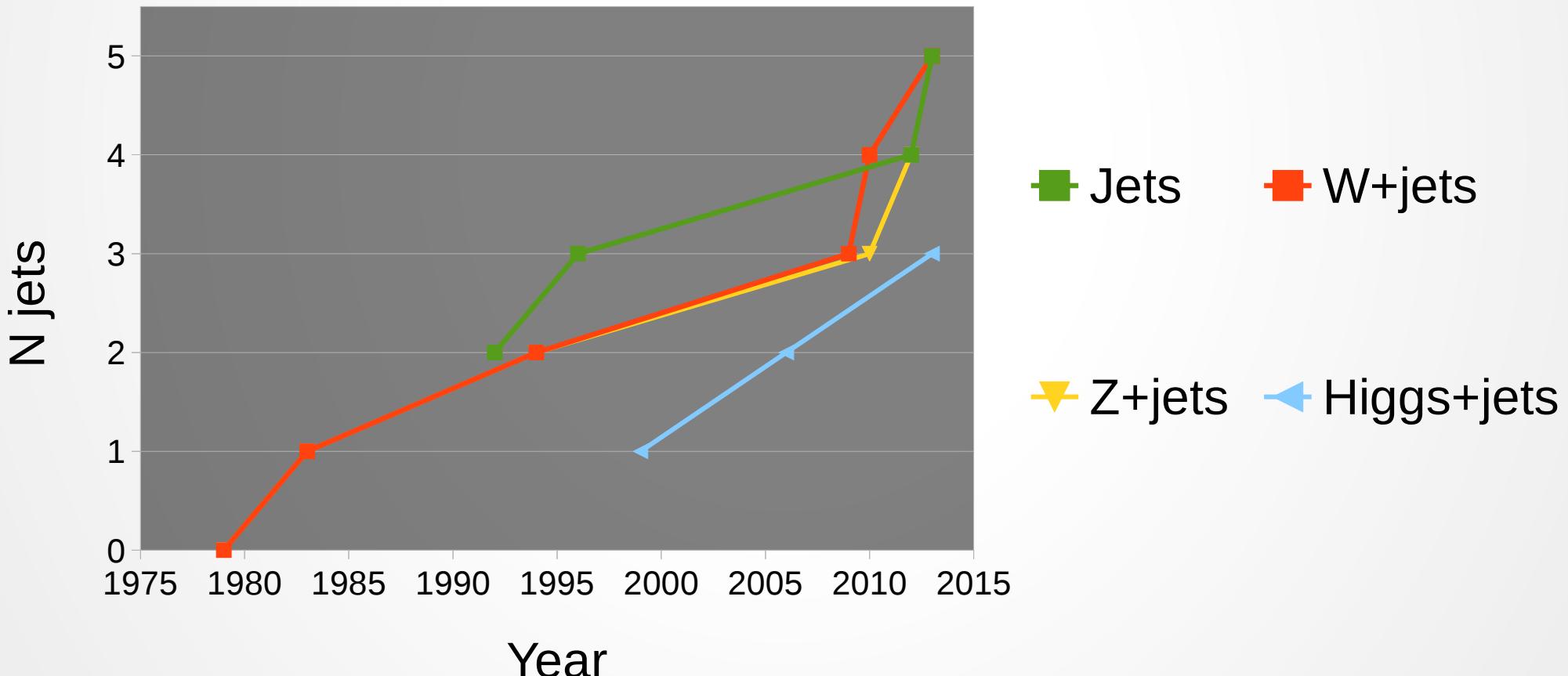
Anastasiou, Dixon, Melnikov, Petriello,  
Phys.Rev.D69:094008,2004

# Higher jet multiplicities

- Many standard model and BSM models have signals which involve charged leptons, or missing energy from neutrinos and a large number of jets
- It is therefore important to understand these processes and have both good simulations and higher order calculations
- It has been the bottleneck for many years
- Tools are becoming more automated and we will get many more results at NLO in the near future

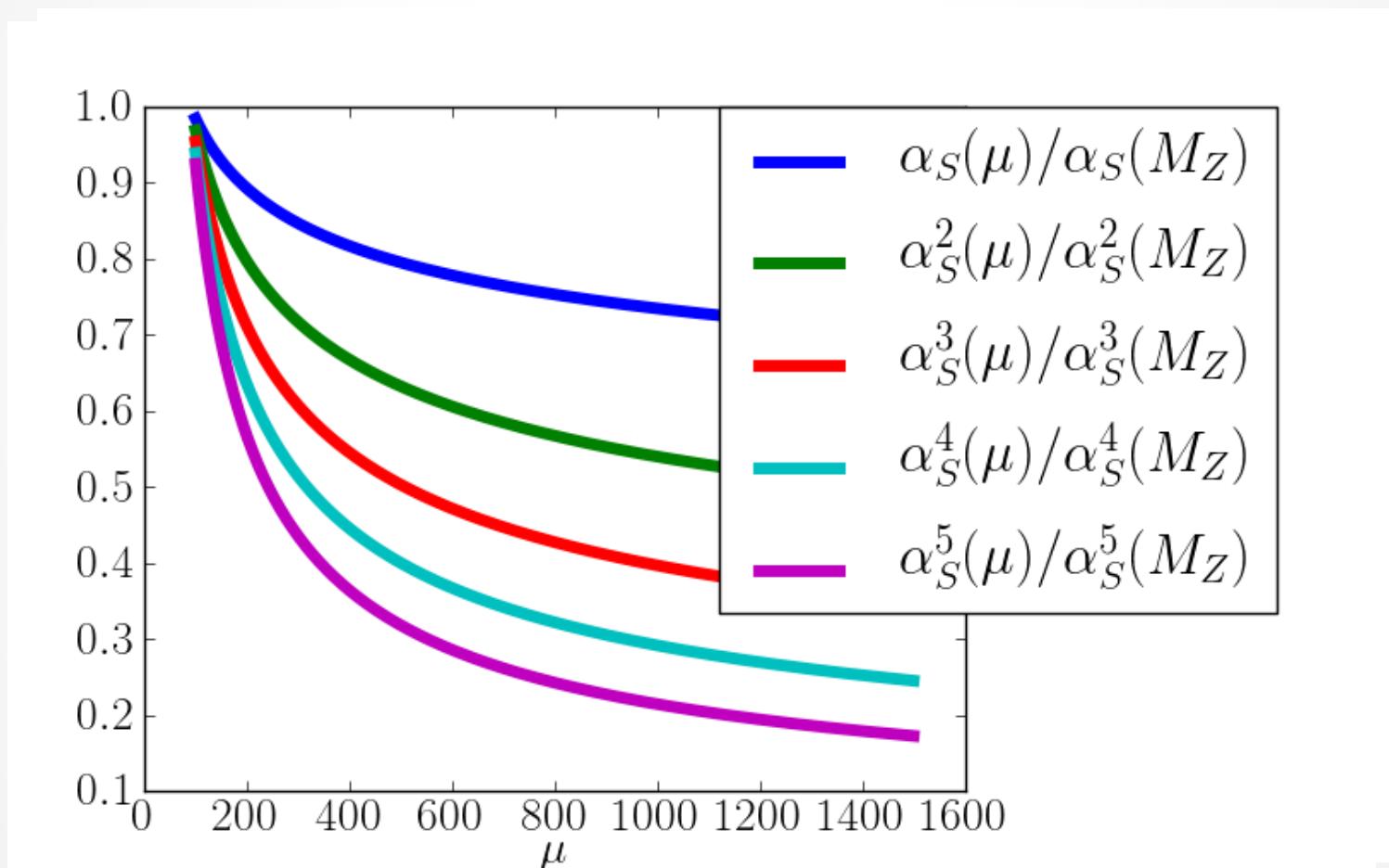
# Higher jet multiplicities

- There has been a number of breakthroughs in the calculating processes at NLO with higher jet multiplicities.



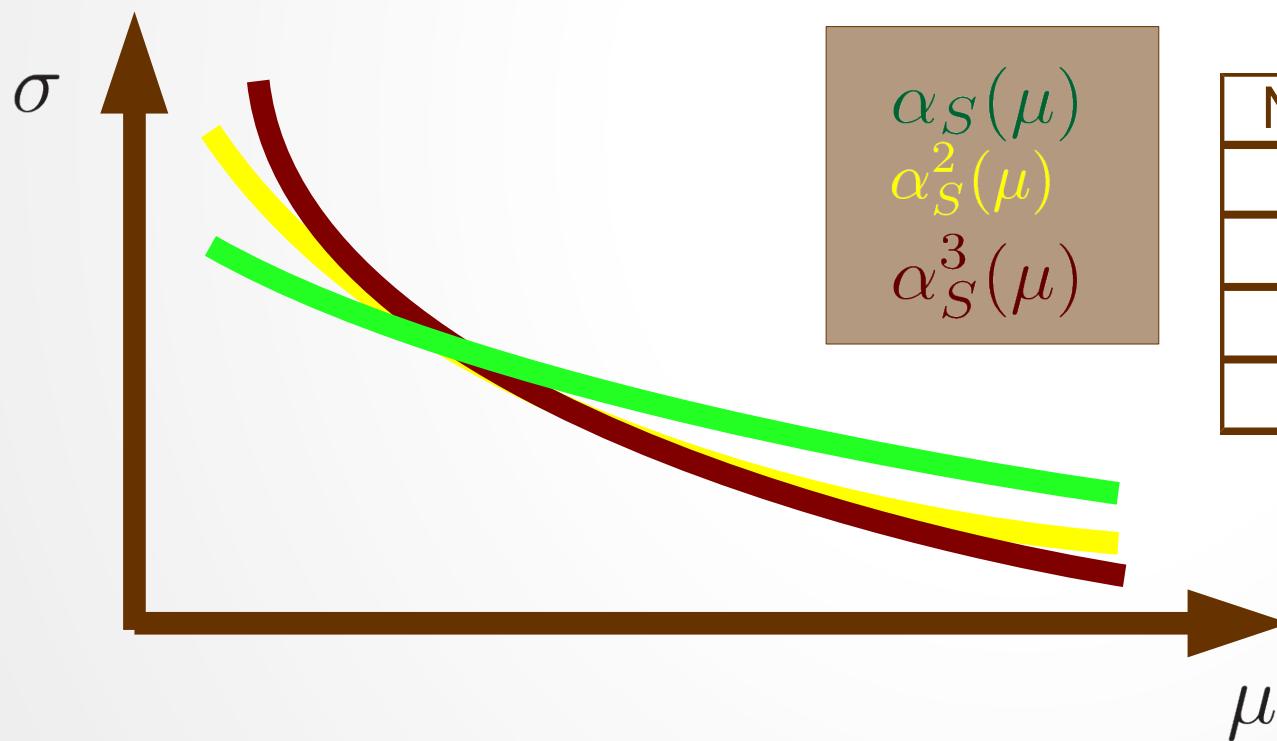
# Why NLO

- Renormalisation scale dependence



# Why NLO

- Scale dependence increases with the number of jets



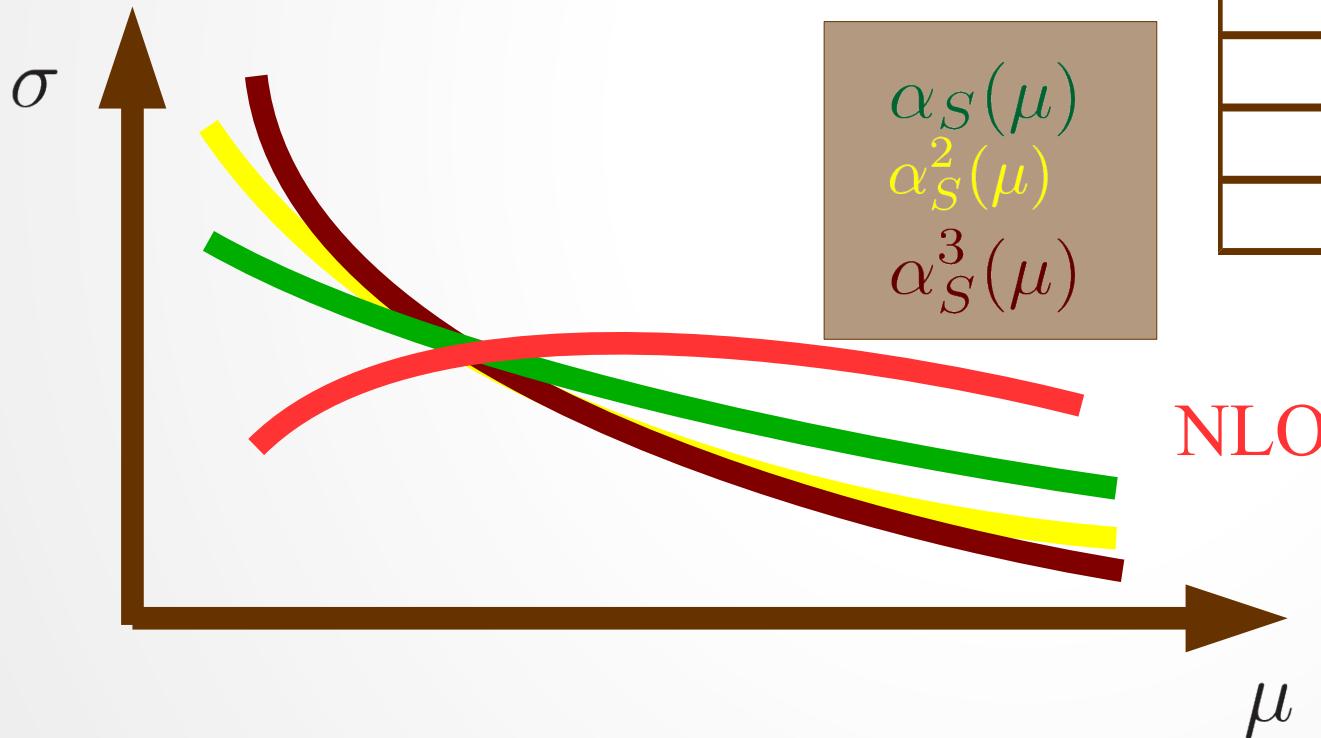
$$\begin{aligned} &\alpha_S(\mu) \\ &\alpha_S^2(\mu) \\ &\alpha_S^3(\mu) \end{aligned}$$

Number of jets	LO
1	9%
2	28%
3	47%
4	64%

[from table I in arXiv:1009.2338]

# Why NLO

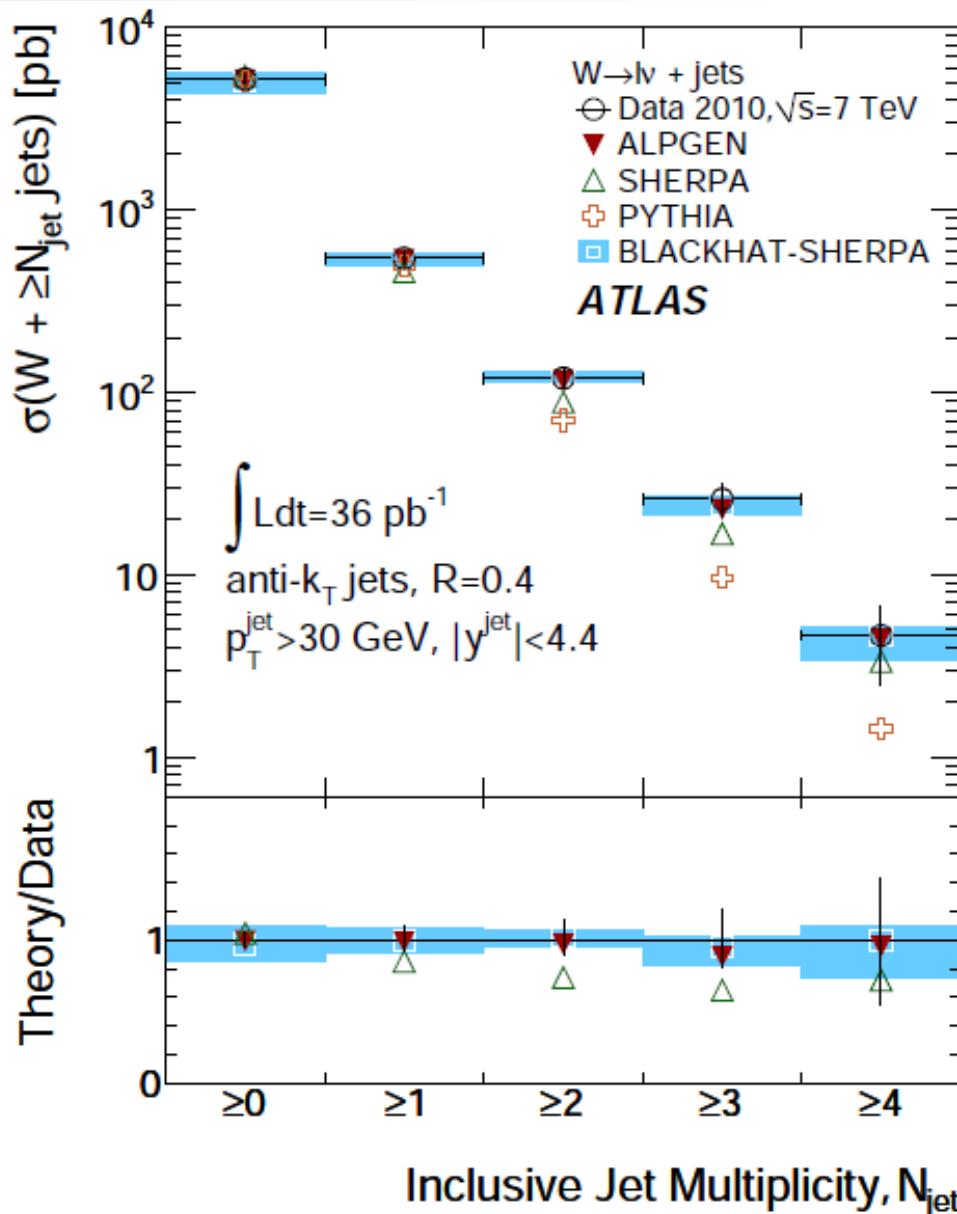
- Scale dependence increases with the number of jets



Number of jets	LO	NLO
1	9%	4,5%
2	28%	5,2%
3	47%	7,8%
4	64%	8,4%

[from table I in arXiv:1009.2338]

# Why NLO



- $W + \text{jets}$  at ATLAS
- Good agreement for all multiplicities
- Small scale dependence

# How to compute NLO

- We have seen that we need two parts to compute NLO corrections, the real and the virtual contributions
- Both are divergent but the sum is finite for infrared safe observables
- In our examples we performed the integration over the real phase-space analytically, this is possible only in special situations, in the presence of cuts and for complicated processes it is in general not possible.

# Fixed order predictions

- NLO Formula

$$\sigma = \int B d\phi_n + \int V d\phi_n + \int R d\phi_{n+1}$$

- Problem: the two last terms are divergent
  - V: explicit IR divergences from the loop integral
  - R: divergences when the integration over the phase-space is performed
- We need a way to combine these two divergences numerically

# Subtraction term

- We introduce a subtraction term

$$\sigma = \int B d\phi_n + \int \left( V + \int d\phi_1 S \right) d\phi_n + \int (R - S) d\phi_{n+1}$$

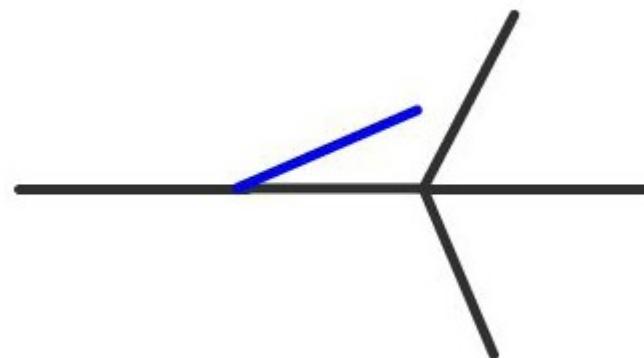
- The subtraction term is chosen such that
  - It has the same infrared pole structure than the real matrix element
  - It is easy enough to be integrated over the unresolved phase-space (here we use the factorisation properties of the matrix element in the soft and collinear limits)
- Now all terms are numerically finite and can be integrated with a MC generator

# Subtraction term

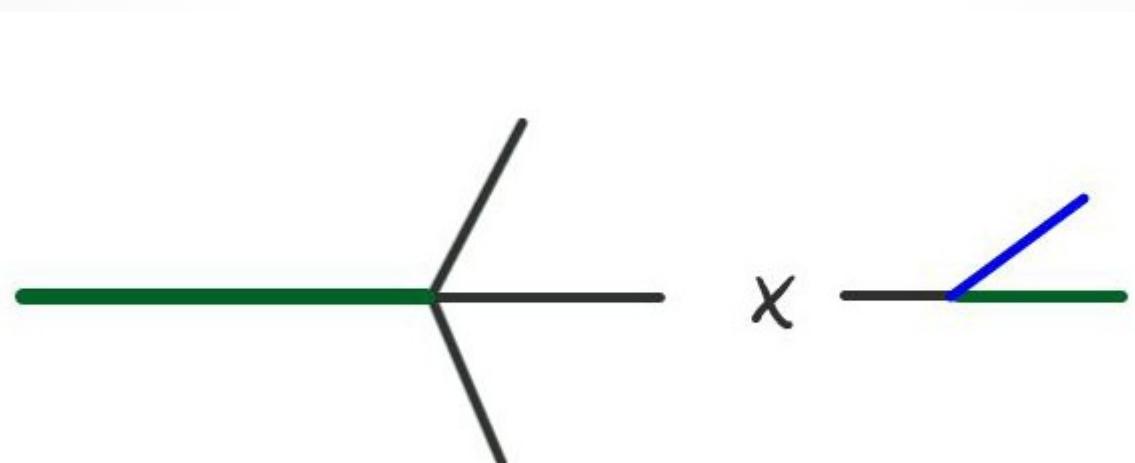
- There are two main methods to construct the subtraction term:
  - FKS (Frixione, Kunszt, Signer)
  - CS dipole subtraction (Catani-Seymour)
- These method can be automated (and they have been)

# Subtraction term

- Initial state radiation:

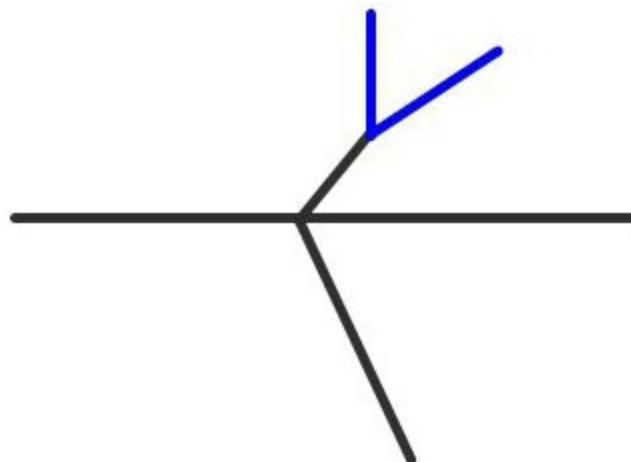


- Corresponding subtraction

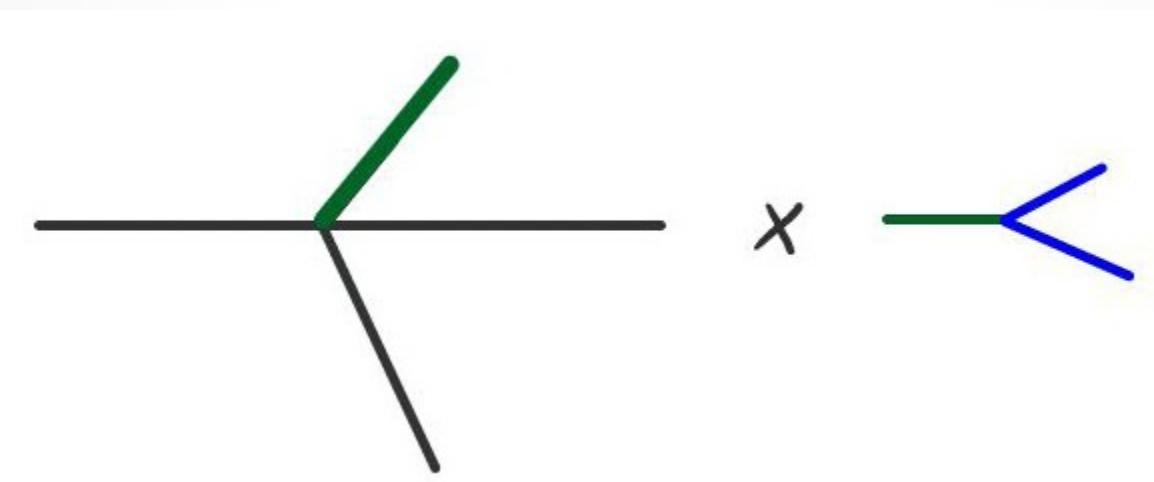


# Subtraction term

- Final state radiation:

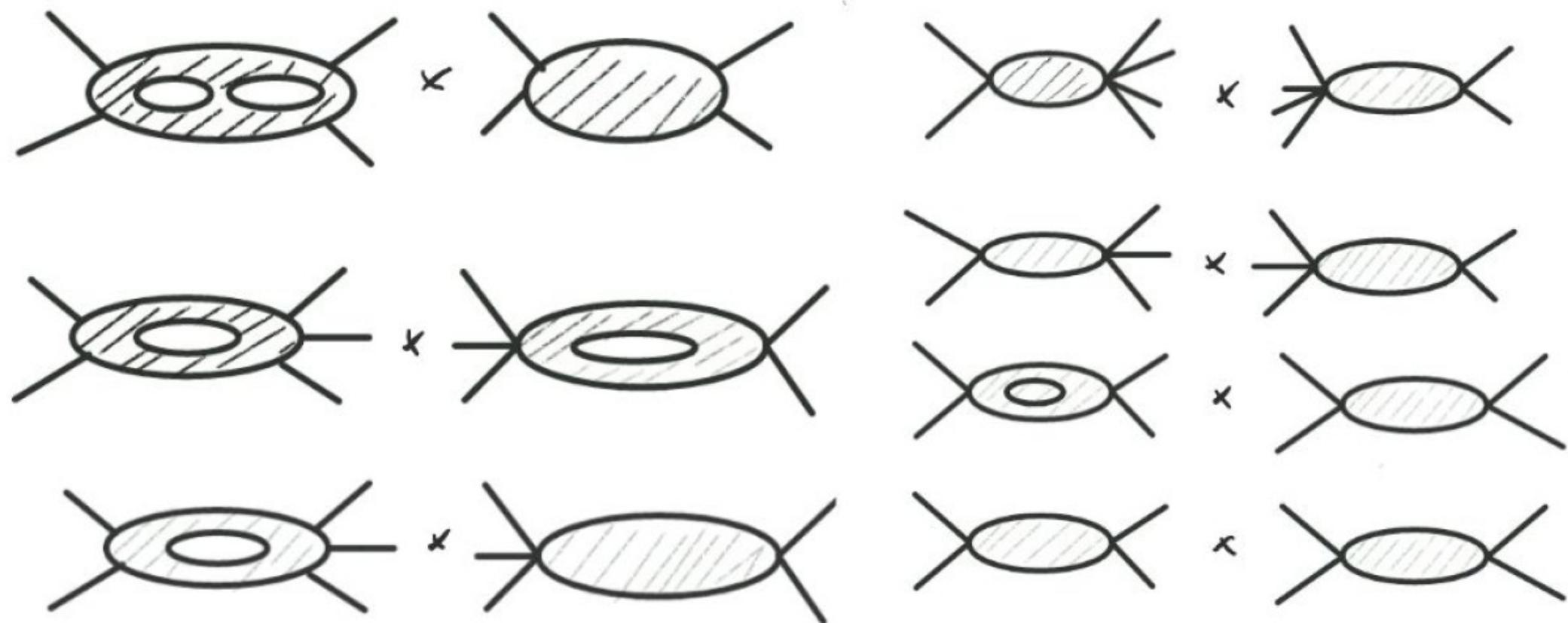


- Corresponding subtraction term

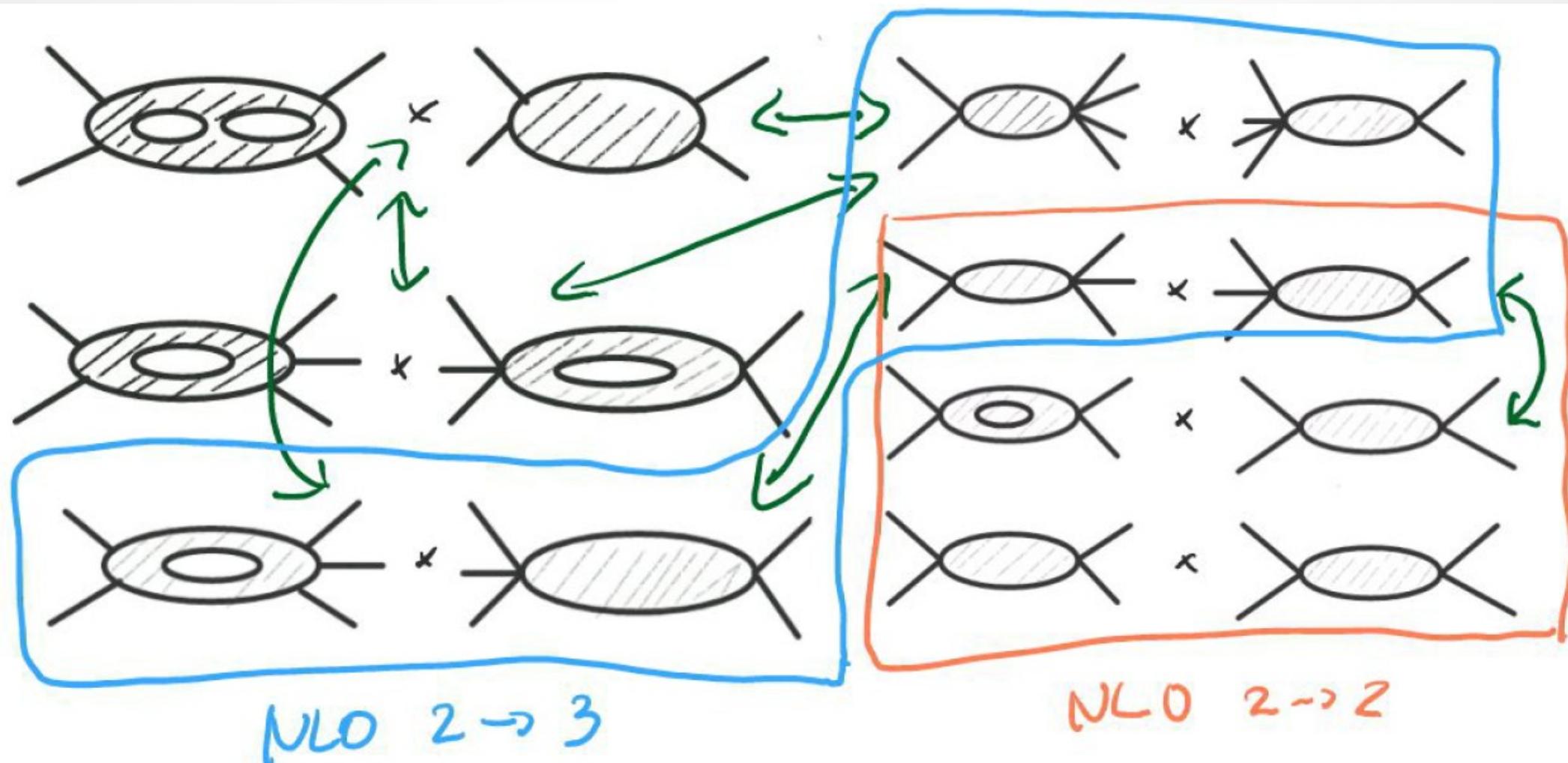


# NNLO

- Many terms contribute to a NNLO calculation



# NNLO



# Infrared safety

- By infrared we mean that the observable does not change in the case of a soft or collinear emission
  - Collinear splitting

$$\mathcal{O}(p_1, \dots, p_i, \dots, p_n) = \mathcal{O}(p_1, \dots, zp_i, (1-z)p_i, \dots, p_n)$$

- Soft emission

$$\begin{aligned} \mathcal{O}(p_1, \dots, p_i, p_j, p_k, \dots, p_n) &\rightarrow \mathcal{O}(p_1, \dots, p_i, p_k, \dots, p_n) \\ \text{for } p_j &\rightarrow 0 \end{aligned}$$

# Infrared safety

- These are NOT infrared safe:
  - Number of partons
  - Observables using incoming parton momentum fractions
  - Older jet algorithms
  - Using infrared unsafe observable as a renormalisation or factorisation scale
- These are infrared safe
  - Number of jets above a transverse momentum threshold
  - Modern jet algorithms
- It is not always trivial to know whether an observable is infrared safe

# Infrared safety

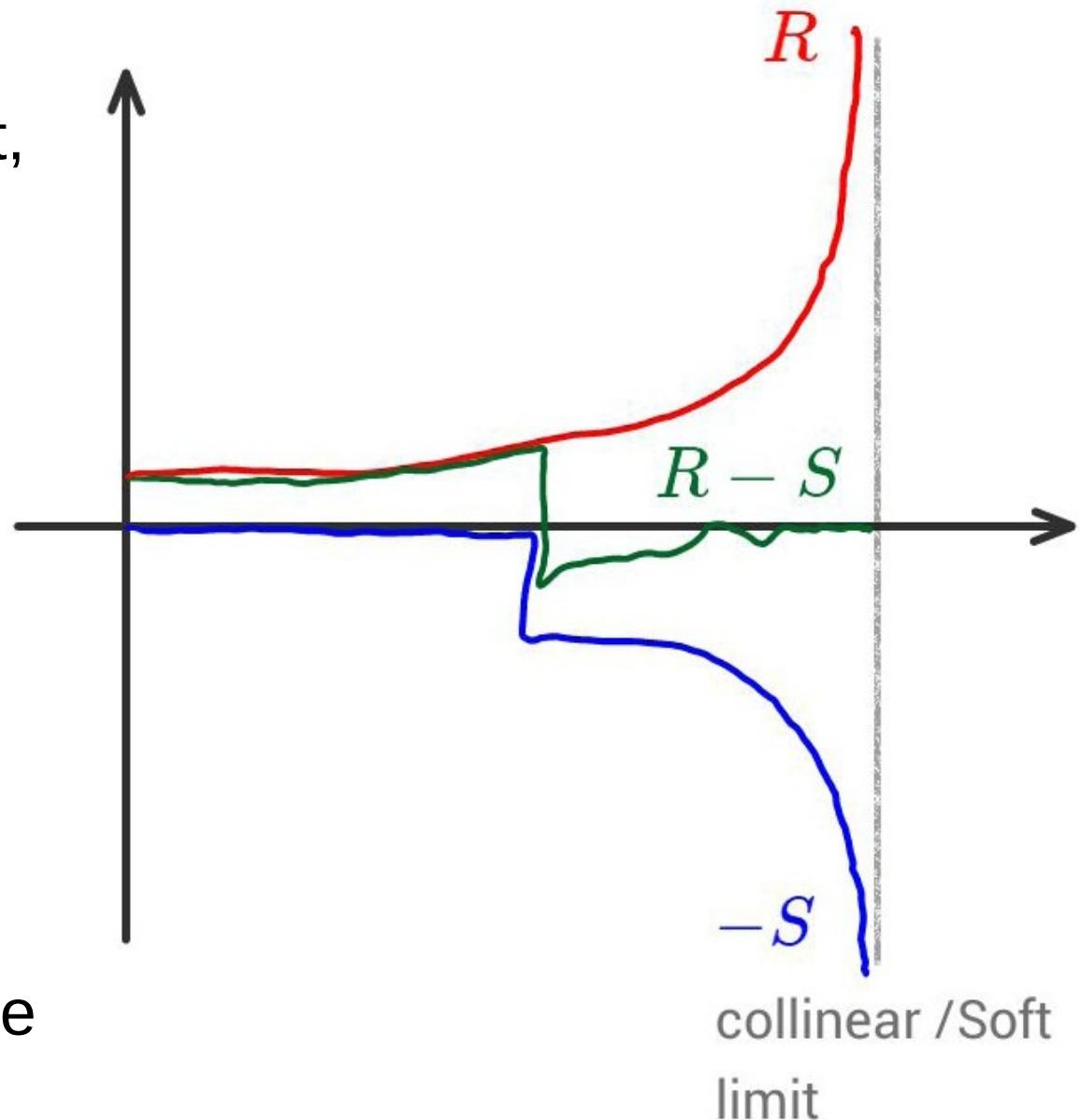
- We have seen that we get divergence free results at NLO for the total cross section
- We can get finite results when cuts are introduced and for expectation values of observable IF we don't spoil the cancellation between real and virtual part
- We need
  - Infrared safe observables
  - Infrared safe cuts
  - Infrared safe scales if you use dynamical scales

# How to test infrared safety?

- You have to make sure that your observable does not change in these cases
  - Collinear splitting
  - soft emission
  - initial state splitting
- Can be tricky with jet algorithm for example
- Happens to the best... Consult your local theorist(s) if you are unsure
- One way to think about it is to remember about the subtraction method

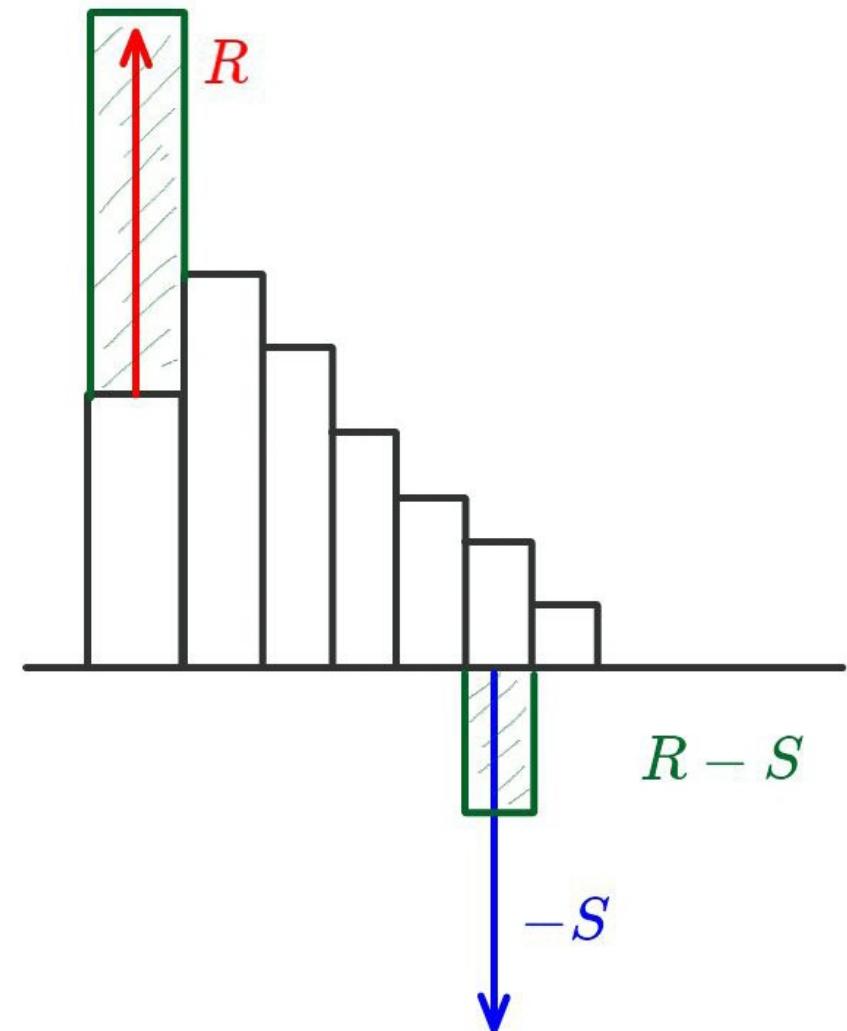
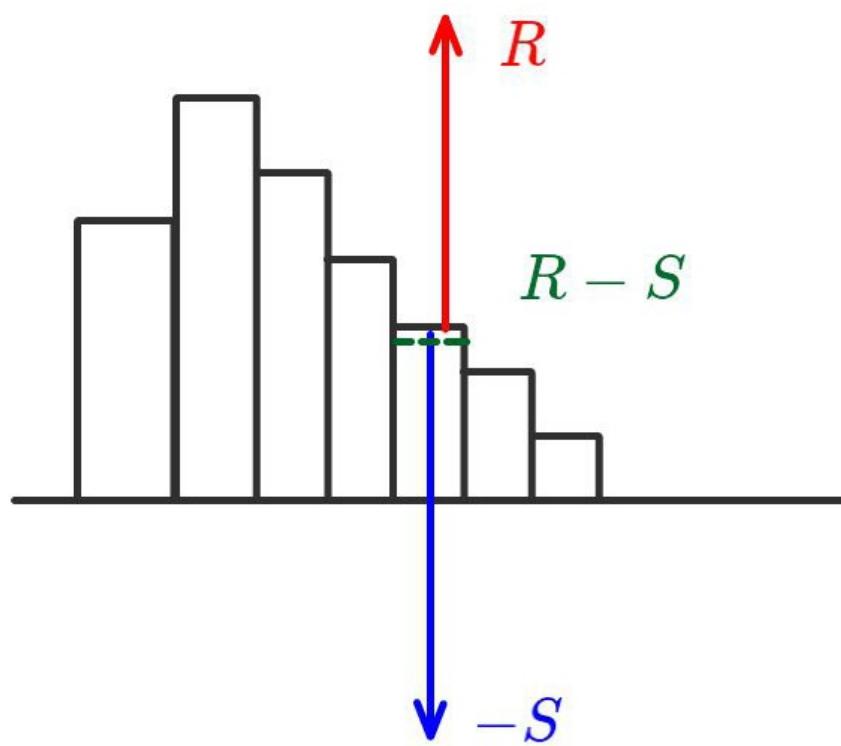
# How to test infrared safety?

- As we approach a collinear or soft limit, the real matrix elements and the subtraction terms converge to the same value
- The weights associated with the two parts get very large
- They have to go in the same observable bin!



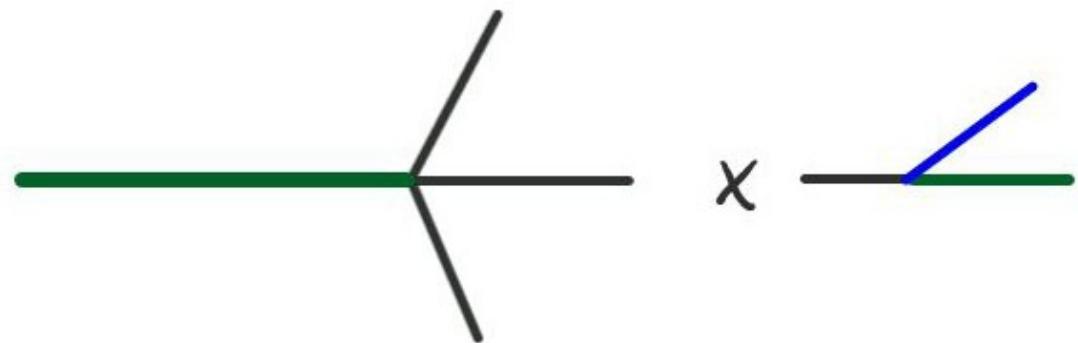
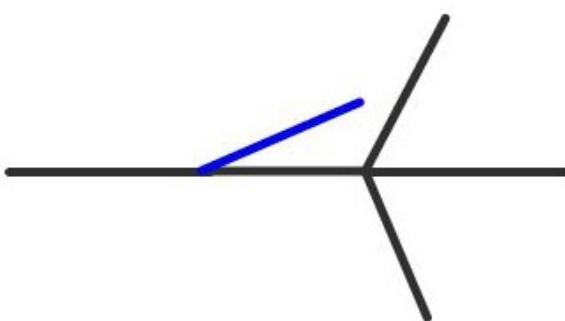
# How to test infrared safety?

- Safe
- unsafe



# How to test infrared safety

- Make sure the observable does not change for
  - Initial state radiation:



- Final state radiation

