

# **QCD and collider physics**

Giulia Zanderighi, STFC & University of Oxford

# Today's high energy colliders

Collider	Process	status
Tevatron	$p\bar{p}$	closes this month
LHC	$pp$	started Mar. '10

current and upcoming experiments collide protons

$\Rightarrow$  *all involve QCD*

- Tevatron: discovery of top (1995) and many QCD measurements
- LHC designed to
  - understand the mechanics of electro-weak symmetry breaking (Higgs?)
  - unravel possible BSM physics

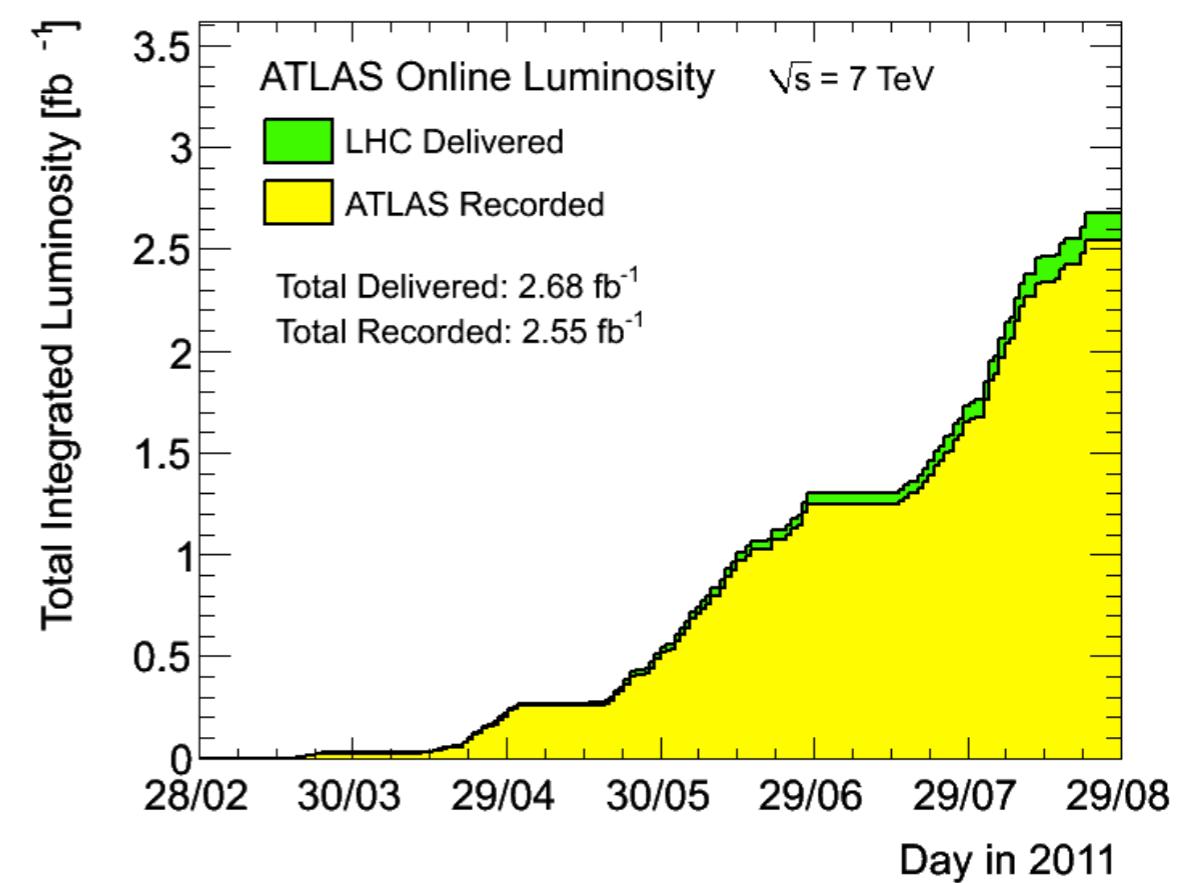
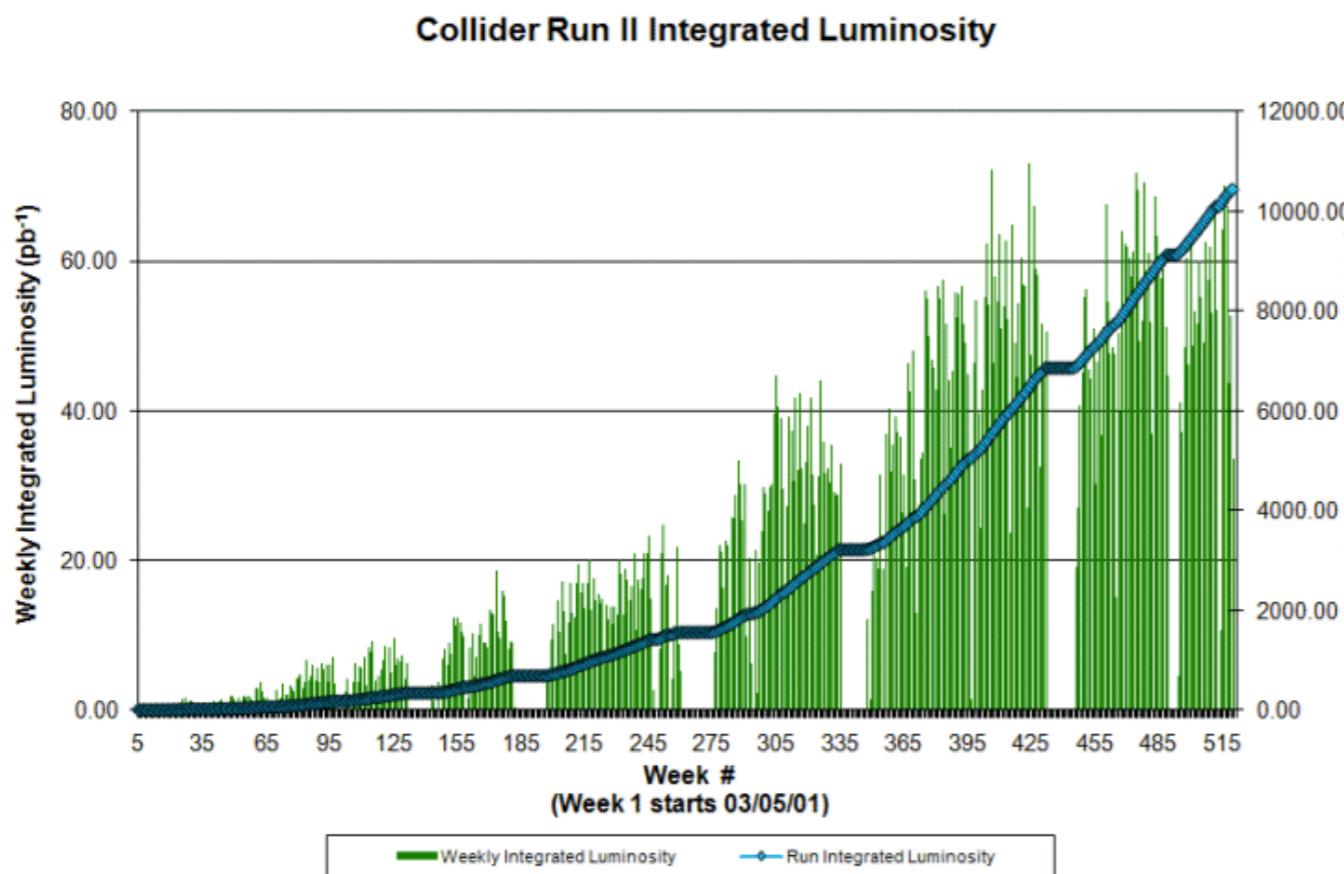
# Tevatron and LHC figures

Tevatron: 1.8 TeV [run I], 1.96 TeV [run II]

LHC: 7 TeV [Mar. '10 - Dec. '12], 14 TeV ? [after '14]

Tevatron: > 10 fb<sup>-1</sup> [Sep. '11]

LHC: 2.5 fb<sup>-1</sup> [Sep. '11], 5-8 fb<sup>-1</sup> ['11-'12] ?,? [after '14, SLHC?]



# These lectures

These lectures will try to give you a **theoretical basics for the analysis and interpretation of collider data**

Mains aims of today's collider are to understand the EW symmetry breaking and/or the Beyond Standard Model particles that we might see.  
For this purpose one needs to

- ✓ measure cross-sections
- ✓ measure particle properties (spin, masses, couplings ... )

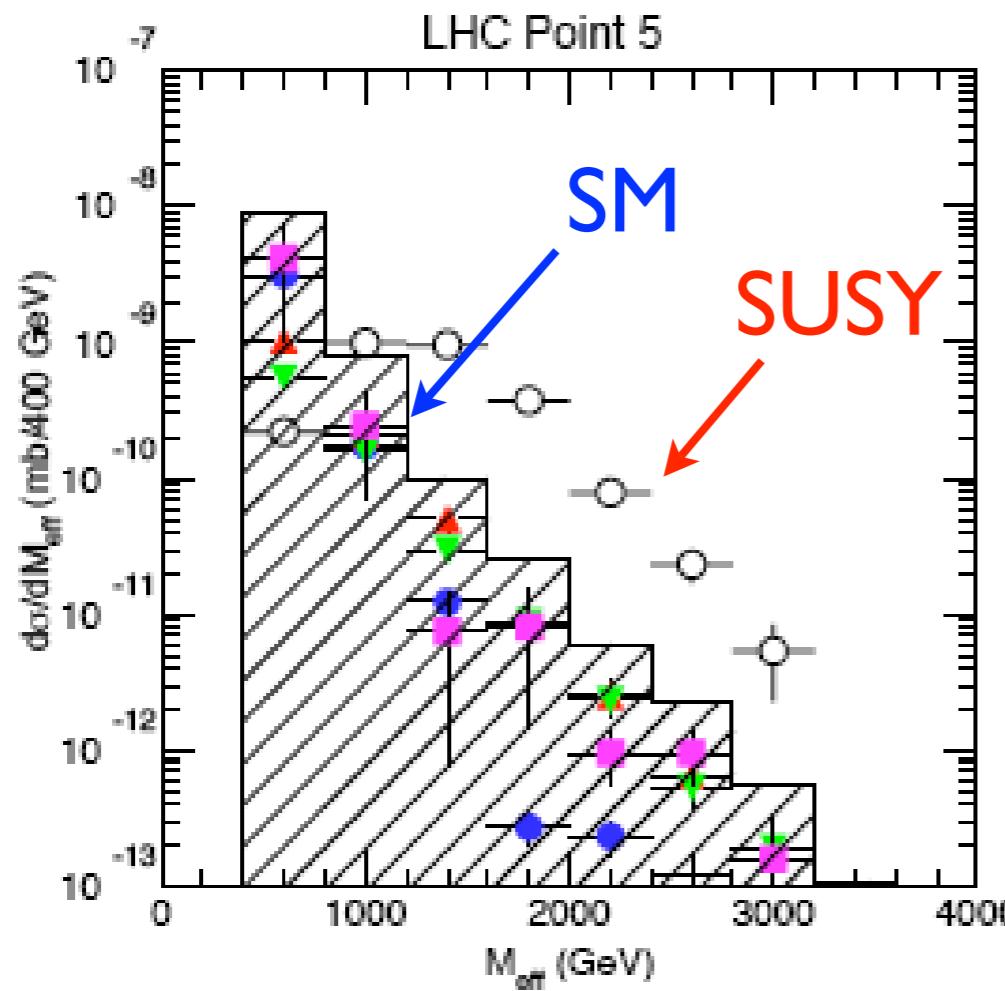
- Inclusive cross-section measurements can be done purely with data (no need for theory really)
- However, the extraction of properties requires theoretical predictions for cross-sections as a function of the “property to be measured”

These lectures will be a lot about how we can make those predictions

# These lectures

For correct data interpretation it is crucial to

1. understand how much a given approximation can be trusted
2. know how to improve on it if necessary (when possible)



| How reliable is the SM prediction?  
| If an excess is seen in the  $M_{\text{eff}}$   
| distribution, can one safely conclude  
| that it is because of New Physics?

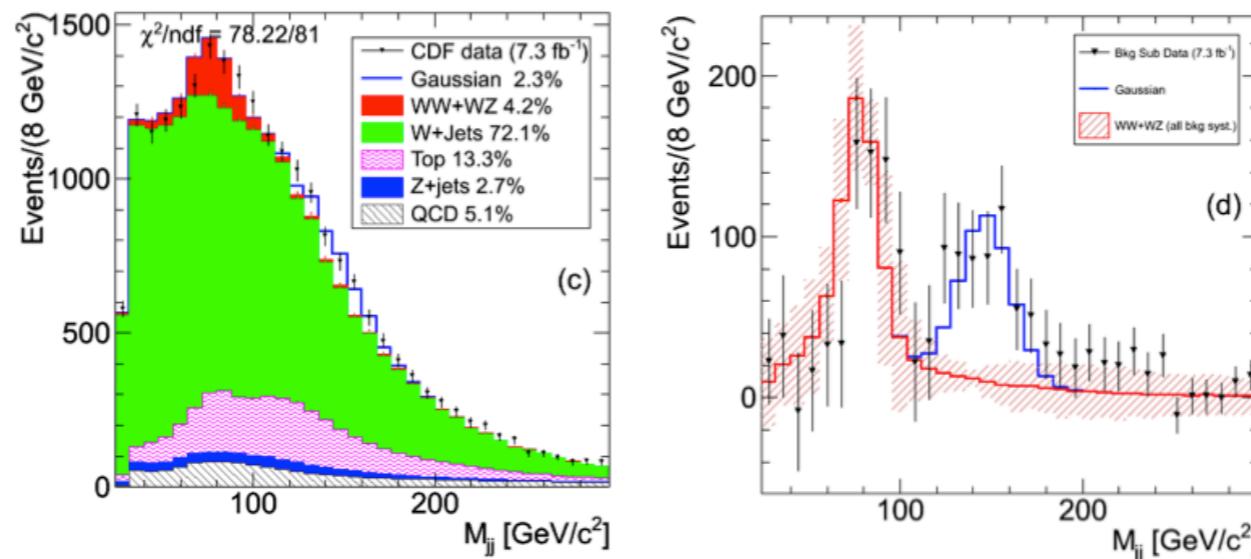
These lectures will be also a lot on understanding how reliable theoretical predictions are

# Some recent excitement

CDF reported seeing a peak in  $M_{jj}$  for  $W + \text{dijet}$  events: first claim based on  $4.3\text{fb}^{-1}$  was of  $3.2\sigma$

CDF II04.0699

Update to include  $7.3\text{fb}^{-1} \Rightarrow 4.1\sigma$



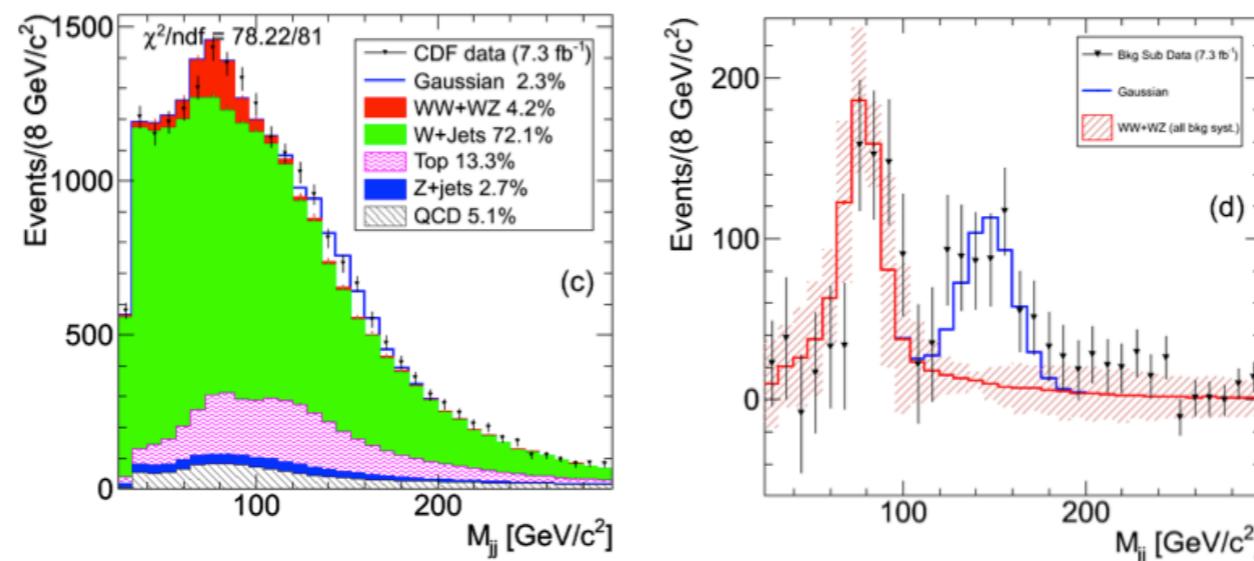
<http://www-cdf.fnal.gov/physics/ewk/2011/wjj>

# Some recent excitement

CDF reported seeing a peak in  $M_{jj}$  for  $W + \text{dijet}$  events: first claim based on  $4.3\text{fb}^{-1}$  was of  $3.2\sigma$

CDF II04.0699

Update to include  $7.3\text{fb}^{-1} \Rightarrow 4.1\sigma$



<http://www-cdf.fnal.gov/physics/ewk/2011/wjj>

Since then

- a large numbers of tentative BSM explanations

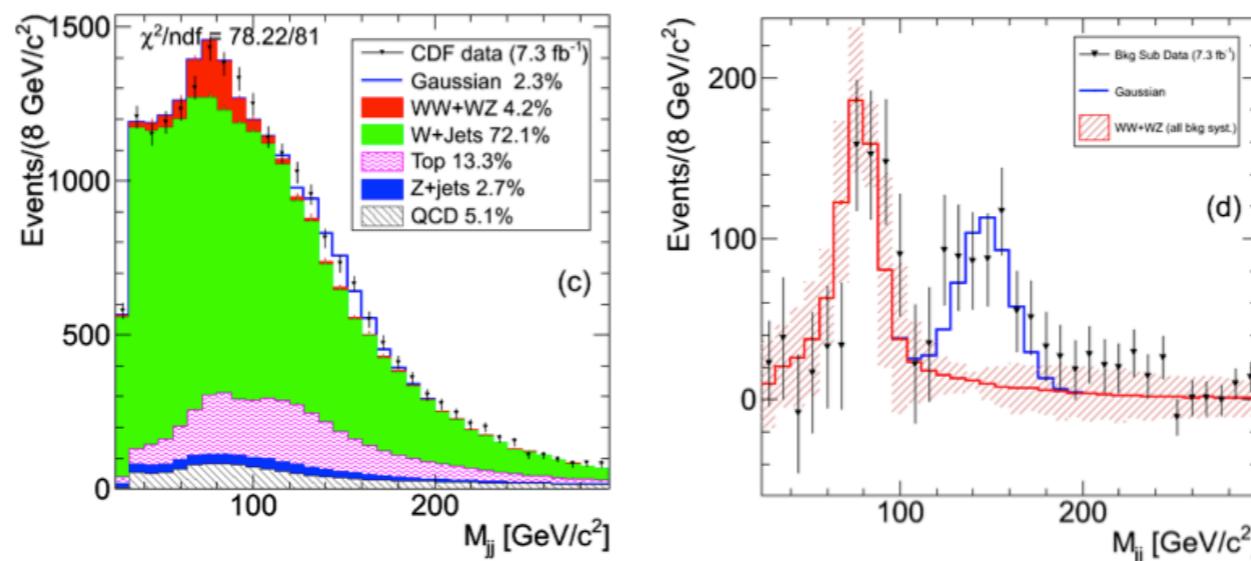
[ ... ]

# Some recent excitement

CDF reported seeing a peak in  $M_{jj}$  for  $W + \text{dijet}$  events: first claim based on  $4.3\text{fb}^{-1}$  was of  $3.2\sigma$

CDF 1104.0699

Update to include  $7.3\text{fb}^{-1} \Rightarrow 4.1\sigma$



<http://www-cdf.fnal.gov/physics/ewk/2011/wjj>

Since then

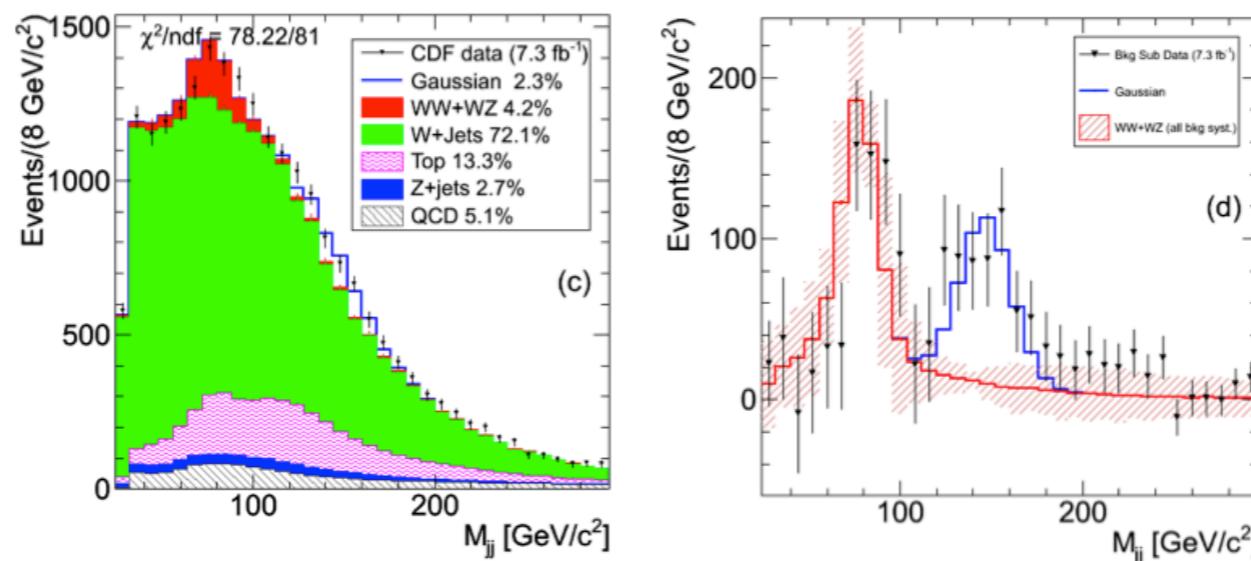
- a large numbers of tentative BSM explanations [ ... ]
- three SM analysis Plehn et al. 1104.4087; Sullivan & Menon 1104.3790; Campbell et al. 1105.4594

# Some recent excitement

CDF reported seeing a peak in  $M_{jj}$  for  $W + \text{dijet}$  events: first claim based on  $4.3\text{fb}^{-1}$  was of  $3.2\sigma$

CDF 1104.0699

Update to include  $7.3\text{fb}^{-1} \Rightarrow 4.1\sigma$



<http://www-cdf.fnal.gov/physics/ewk/2011/wjj>

Since then

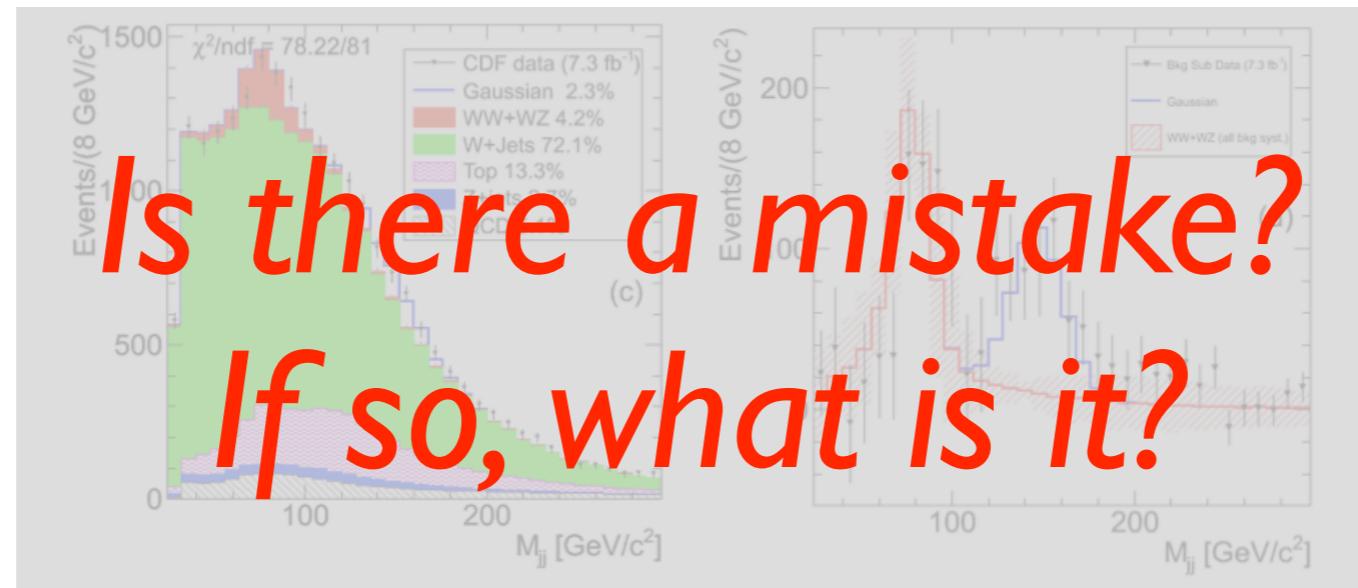
- a large numbers of tentative BSM explanations [ ... ]
- three SM analysis Plehn et al. 1104.4087; Sullivan & Menon 1104.3790; Campbell et al. 1105.4594
- D0 data do not support excess seen by CDF D0 col. 1106.1921

# Some recent excitement

CDF reported seeing a peak in  $M_{jj}$  for  $W + \text{dijet}$  events: first claim based on  $4.3\text{fb}^{-1}$  was of  $3.2\sigma$

CDF II04.0699

Update to include  $7.3\text{fb}^{-1} \Rightarrow 4.1\sigma$



<http://www-cdf.fnal.gov/physics/ewk/2011/wjj>

At the LHC expect many similar cases

- confirmation or not by a different experiment very important (re-analysis of new data not sufficiently independent)
- need robust SM predictions with reliable errors

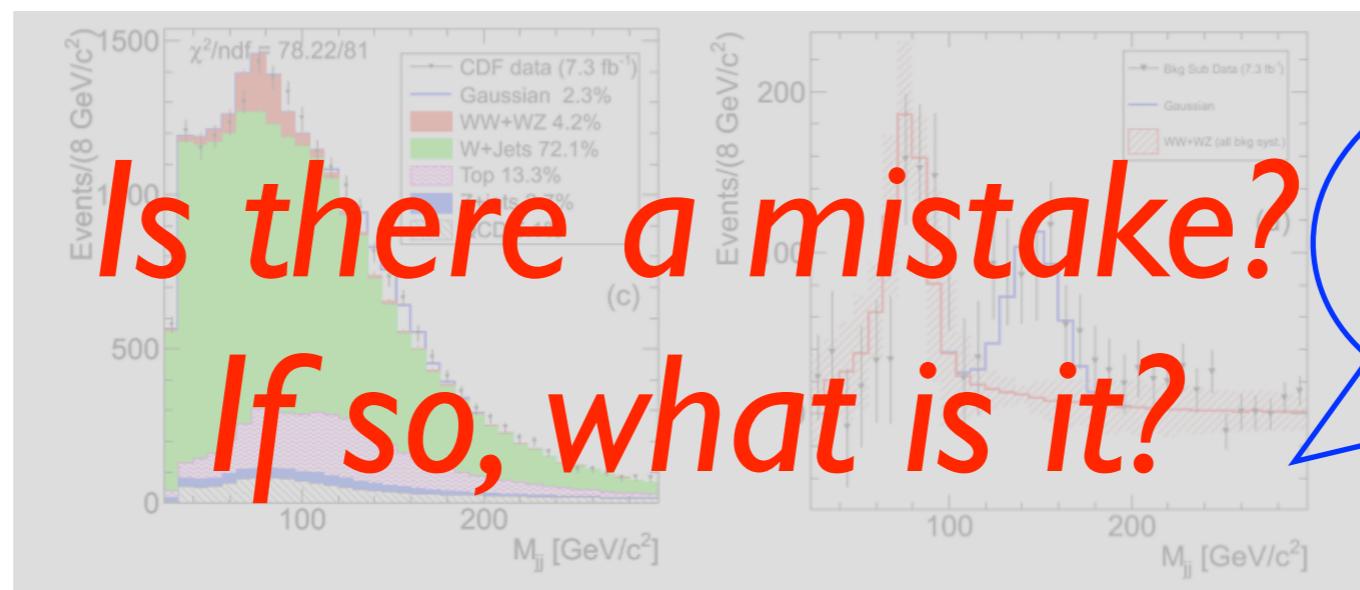
*This means that one needs to understand QCD*

# Some recent excitement

CDF reported seeing a peak in  $M_{jj}$  for  $W + \text{dijet}$  events: first claim based on  $4.3\text{fb}^{-1}$  was of  $3.2\sigma$

CDF 1104.0699

Update to include  $7.3\text{fb}^{-1} \Rightarrow 4.1\sigma$



“Once we see a resonant peak on top of smooth background it’s New Physics, we don’t need precise SM predictions” Is not true.

<http://www-cdf.fnal.gov/physics/ewk/2011/wjj>

At the LHC expect many similar cases

- confirmation or not by a different experiment very important (re-analysis of new data not sufficiently independent)
- need robust SM predictions with reliable errors

*This means that one needs to understand QCD*

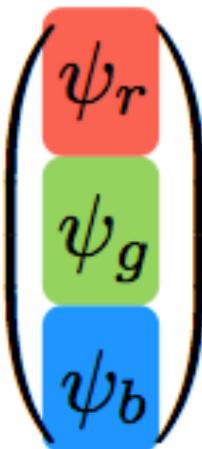
# Motivations for QCD

Satisfactory model for strong interactions: non-abelian **gauge theory**  $SU(3)$

$$U^\dagger U = U U^\dagger = 1 \quad \det(U) = 1$$

Hadron spectrum fully classified with the following assumptions

- hadrons (baryons, mesons): made of **spin 1/2 quarks**
- each quark of a given flavour comes in  **$N_c=3$  colors**
- $SU(3)$  is an **exact symmetry**
- hadrons are colour neutral, i.e. **colour singlet** under  $SU(3)$
- observed hadrons are colour neutral  $\Rightarrow$  hadrons have **integer charge**

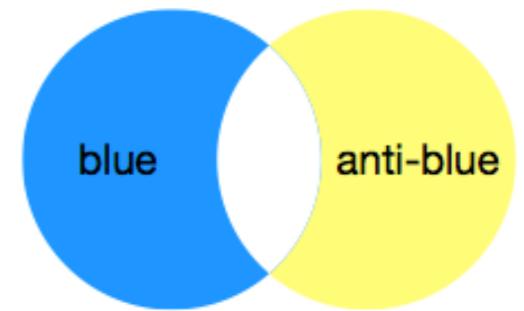


# Color singlet hadrons

Quarks can be combined in 2 ways into color singlets of the  $SU_c(3)$  group

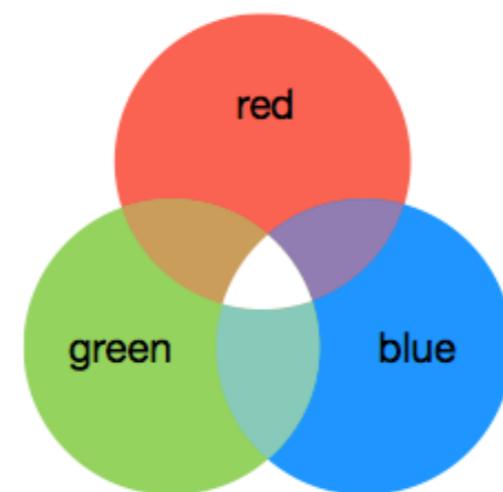
Mesons (bosons, e.g. pion ...)

$$\sum_i \psi_i^* \psi_i \rightarrow \sum_{ijk} U_{ij}^* U_{ik} \psi_j \psi_k = \sum_k \psi_k^* \psi_k$$



Baryons (fermions, e.g. proton, neutrons ...)

$$\sum_{ijk} \epsilon_{ijk} \psi_i \psi_j \psi_k \rightarrow \sum_{ii'jj'kk'} \epsilon_{ijk} U_{ii'} U_{jj'} U_{kk'} \psi_{i'} \psi_{j'} \psi_{k'} = \sum_{i'j'k'} \epsilon_{i'j'k'} \det(U) \psi_{i'} \psi_{j'} \psi_{k'}$$



# First experimental evidence for colour

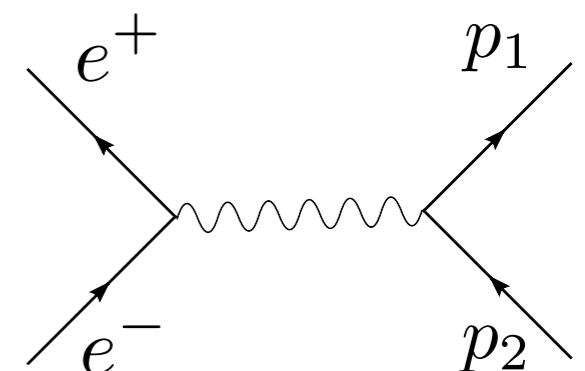
- I. Existence of  $\Delta^{++}$  particle: particle with three up quarks of the same spin and with symmetric spacial wave function. Without an additional quantum number Pauli's principle would be violated  
⇒ color quantum number

# First experimental evidence for colour

- I. Existence of  $\Delta^{++}$  particle: particle with three up quarks of the same spin and with symmetric spacial wave function. Without an additional quantum number Pauli's principle would be violated  
⇒ color quantum number

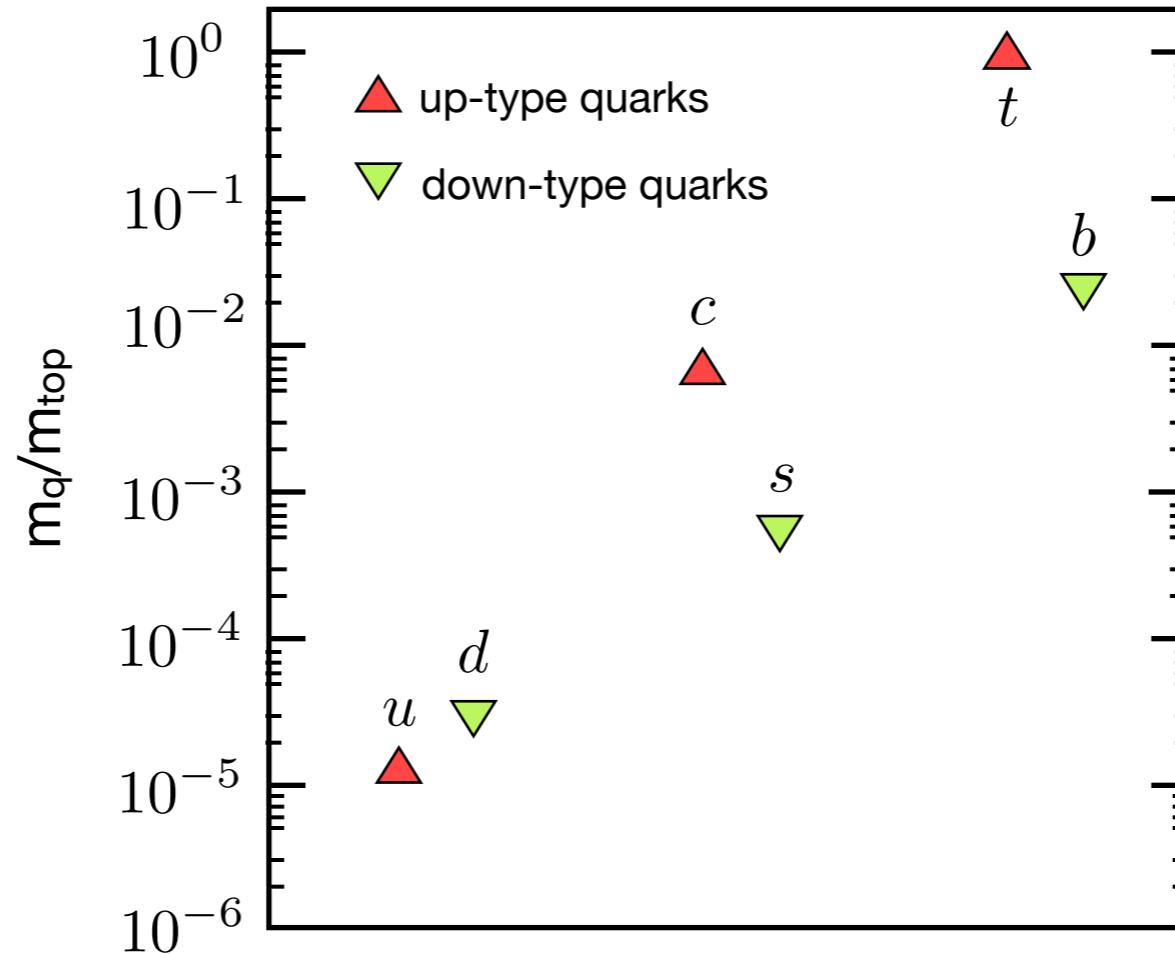
- II. R-ratio: ratio of  $(e^+e^- \rightarrow \text{hadrons})/(e^+e^- \rightarrow \mu^+\mu^-)$

$$R \equiv \frac{e^+e^- \rightarrow \text{hadrons}}{e^+e^- \rightarrow \mu^+\mu^-} \propto N_c \sum_f Q_f^2$$



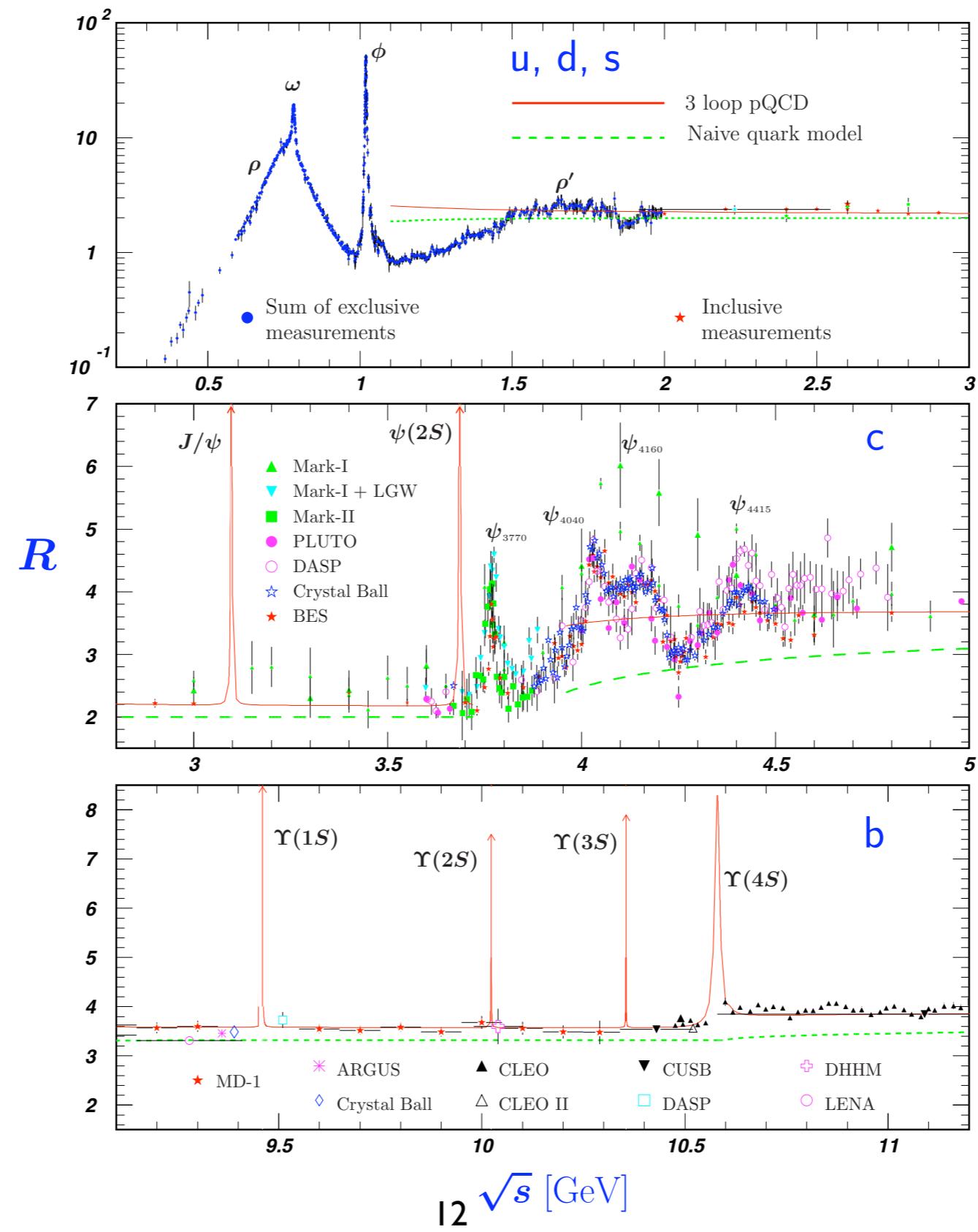
Data compatible with  $N_c = 3$ . Will come back to R later.

# Quark mass spectrum

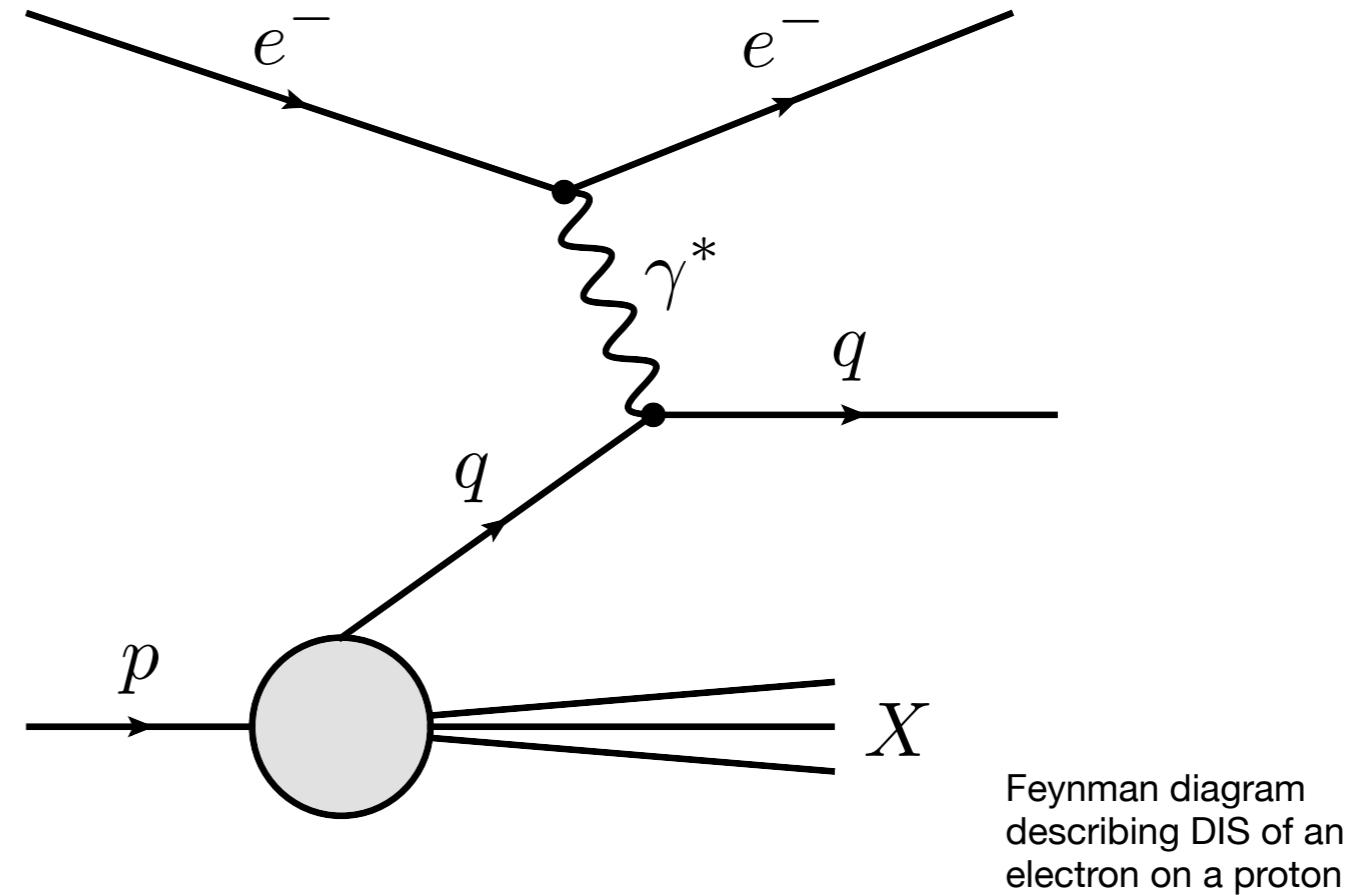
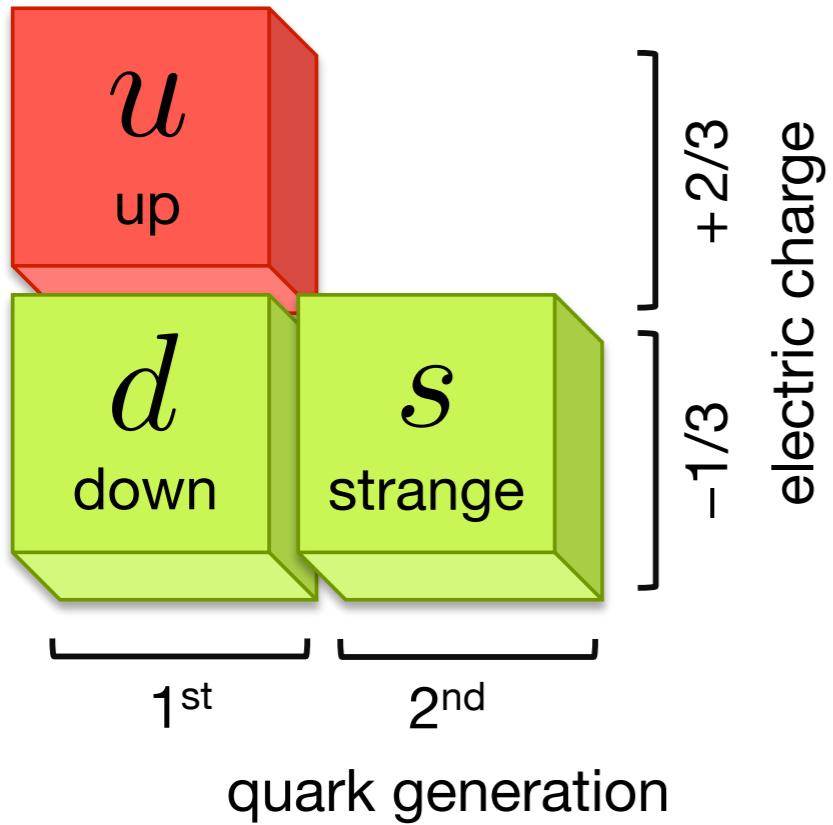


charge 2/3 mass =	up few MeV	charm $\sim 1.6$ GeV	top $\sim 172$ GeV
charge -1/3 mass =	down few MeV	strange $\sim 100$ MeV	bottom $\sim 5$ GeV

# The R-ratio: comparison to data



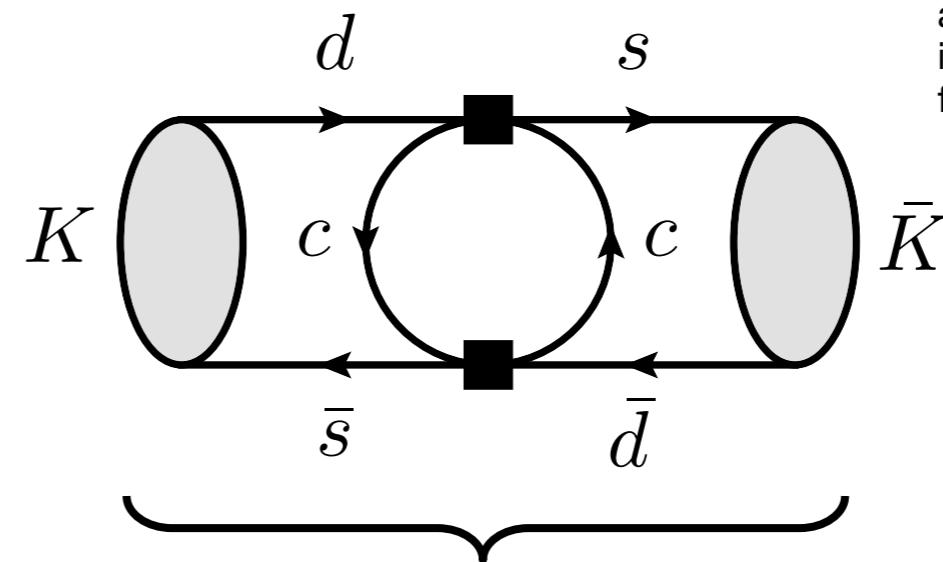
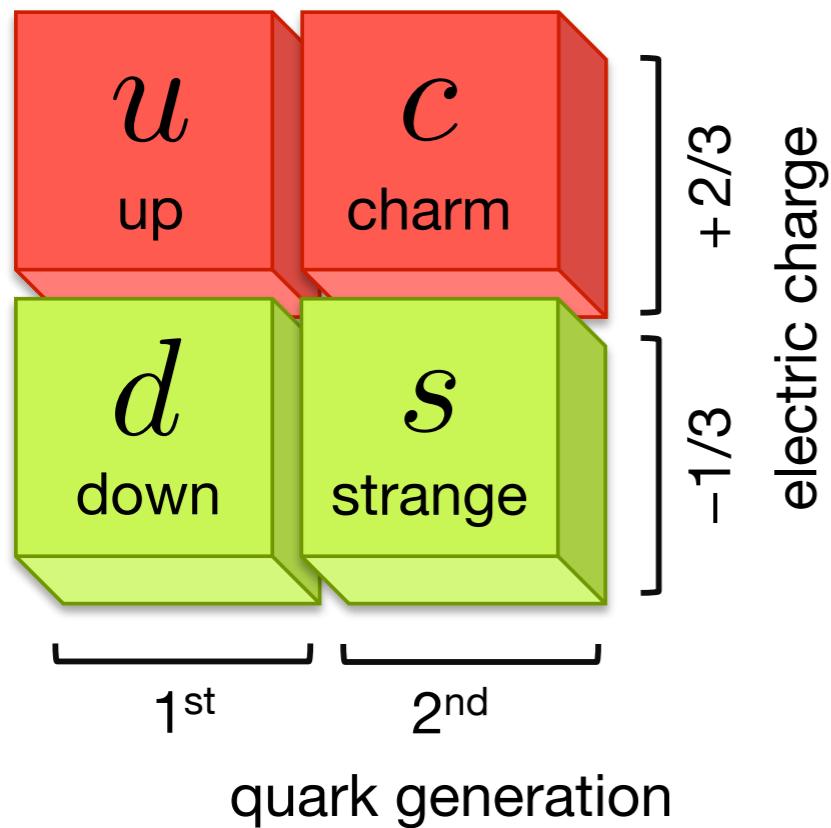
# QCD matter sector



Feynman diagram  
describing DIS of an  
electron on a proton

- The light quark's existence was validated by the SLAC's deep inelastic scattering (DIS) experiments in 1968: strange was a necessary component of Gell-Mann and Zweig's three-quark model, it also provided an explanation for the kaon and pion mesons discovered in cosmic rays in 1947

# QCD matter sector

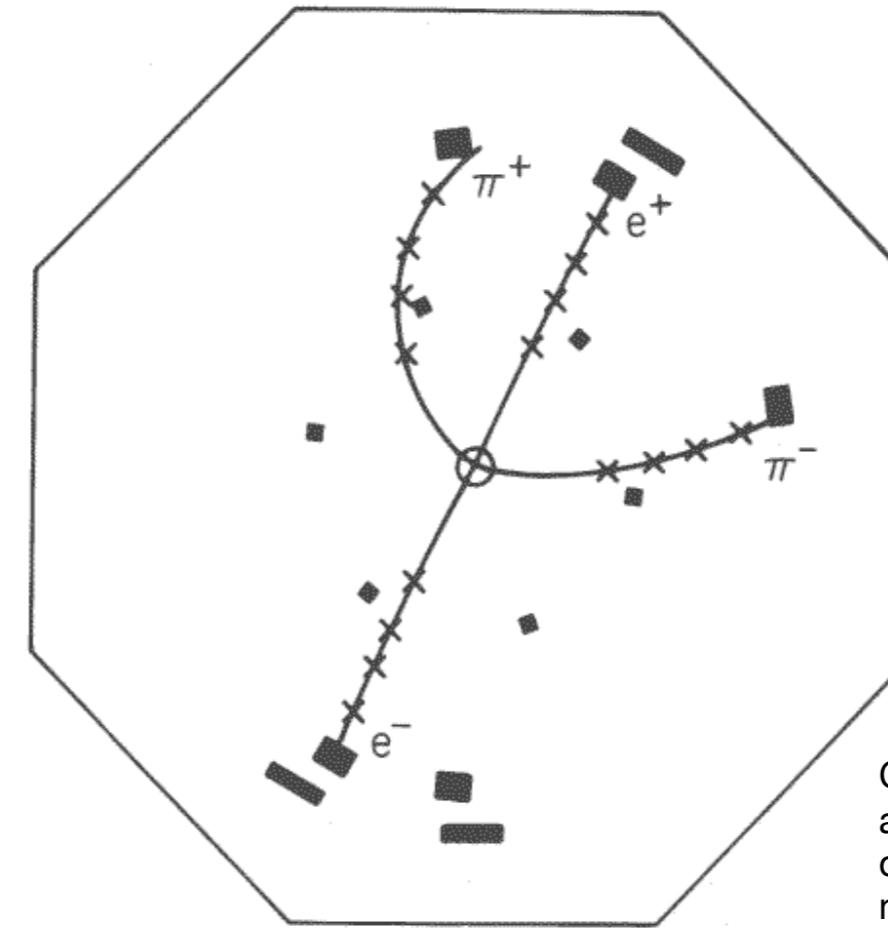
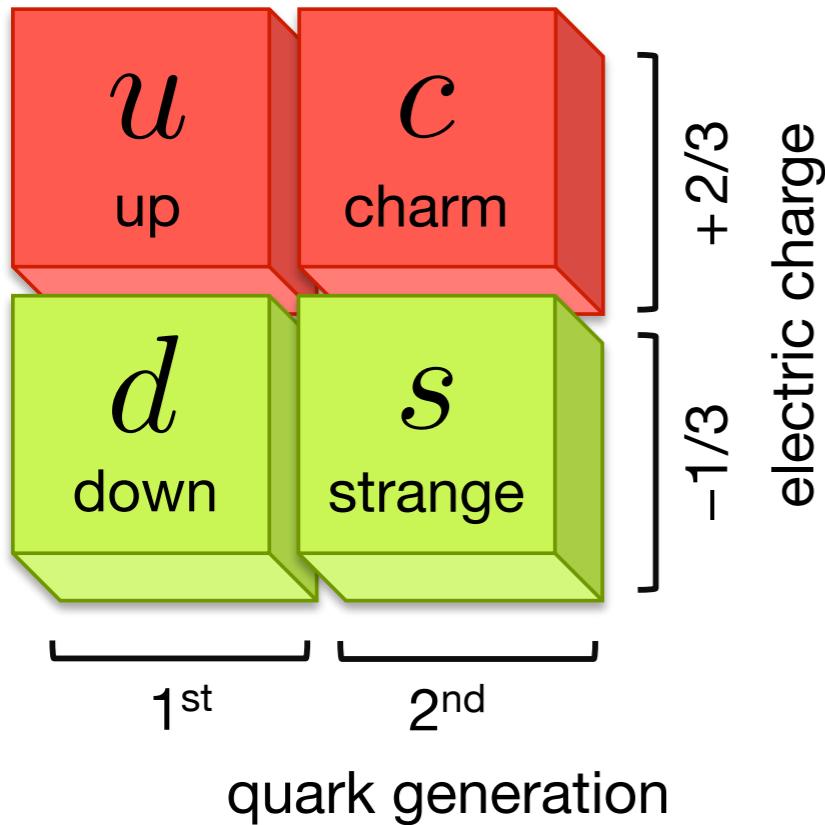


$$\propto G_F^2 \sin^2 \theta_c \frac{m_c^2}{M_W^2}$$

- In 1970 Glashow, Iliopoulos, and Maiani (GIM mechanism) presented strong theoretical arguments for the existence of the as-yet undiscovered charm quark, based on the absence of flavor-changing neutral currents

[S. L. Glashow, J. Iliopoulos and L. Maiani, *Phys. Rev. D* **2** (1970) 2]

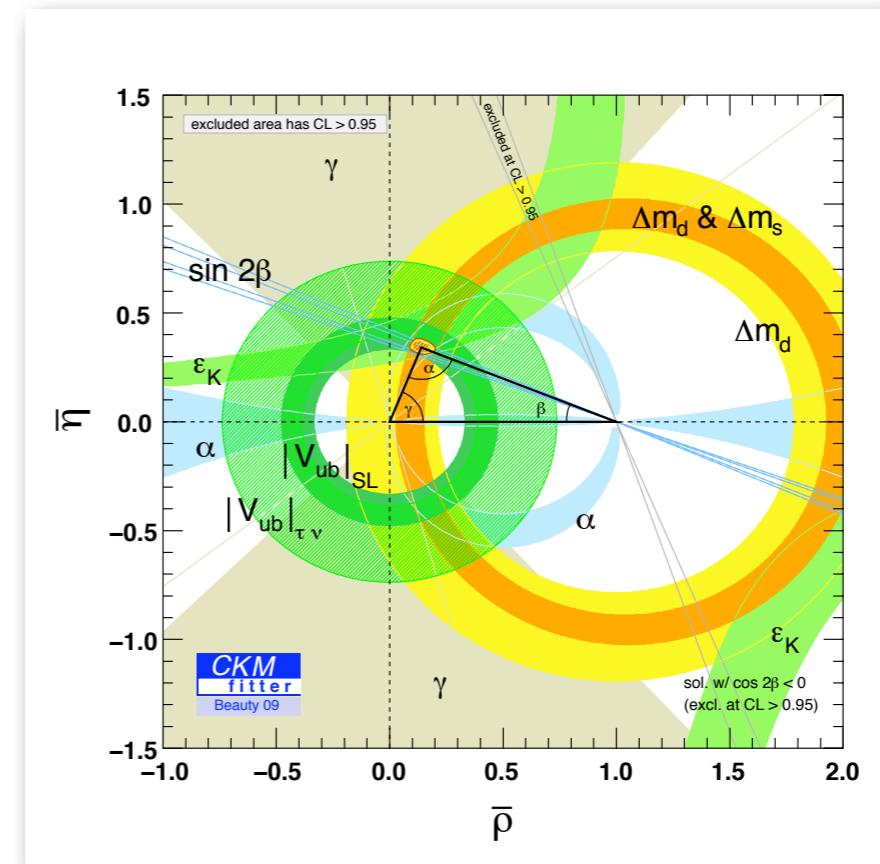
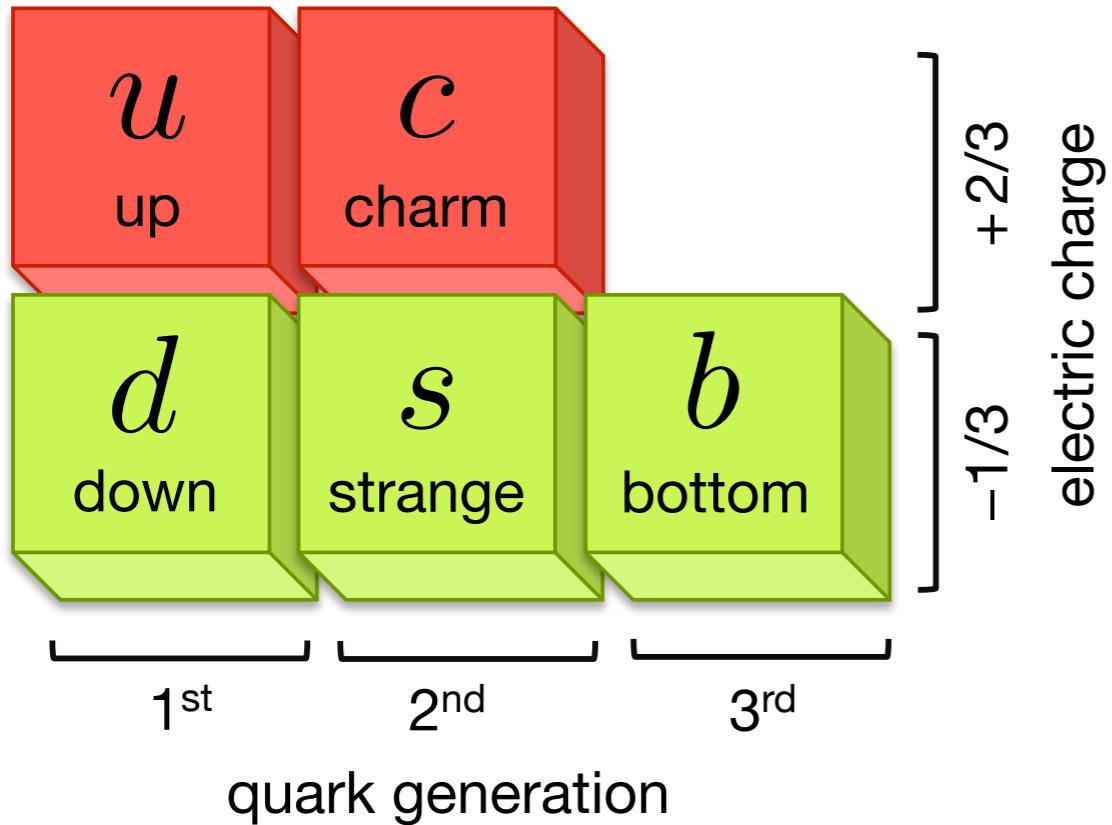
# QCD matter sector



Computer reconstruction of a  $\psi'$  decay in the Mark I detector at SLAC, making a near-perfect image of the Greek letter  $\psi$

- Charm quarks were observed almost simultaneously in November 1974 at SLAC and at BNL as charm anti-charm bound states (charmonium). The two groups had assigned the discovered meson two different symbols,  $J$  and  $\psi$ . Thus, it became formally known as the  $J/\psi$  meson (Nobel Prize 1976)

# QCD matter sector

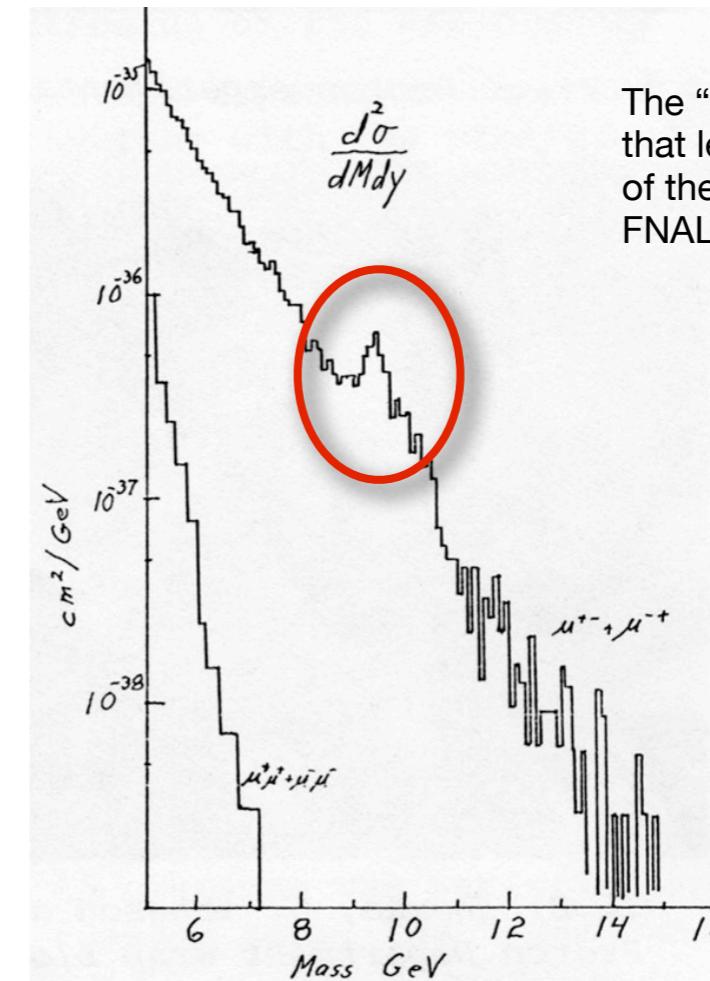
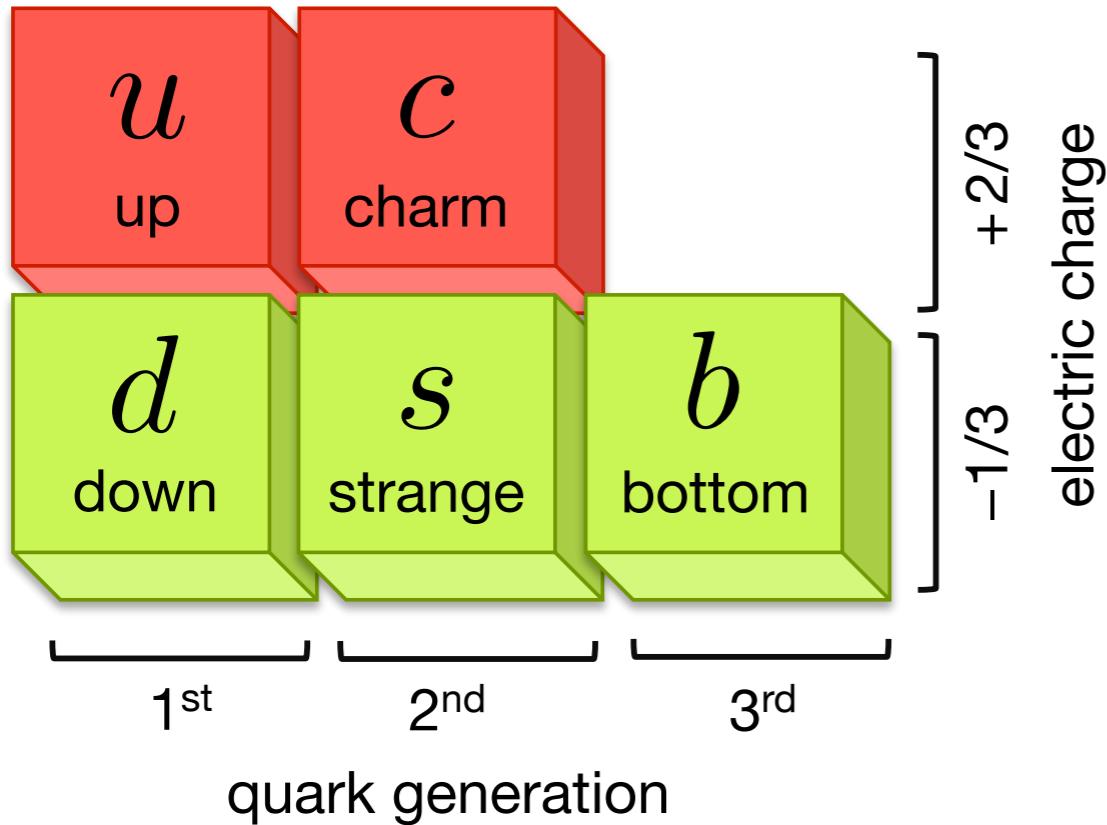


Unitarity triangle  
measuring the amount  
of CP violation in the  
standard model

- The bottom quark was theorized in 1973 by Kobayashi and Maskawa in order to accommodate the phenomenon of CP violation, which requires the existence of at least three generations of quarks in Nature (Nobel Prize 2008)

[M. Kobayashi and T. Maskawa, *Prog. Theor. Phys.* **49** (1973) 652]

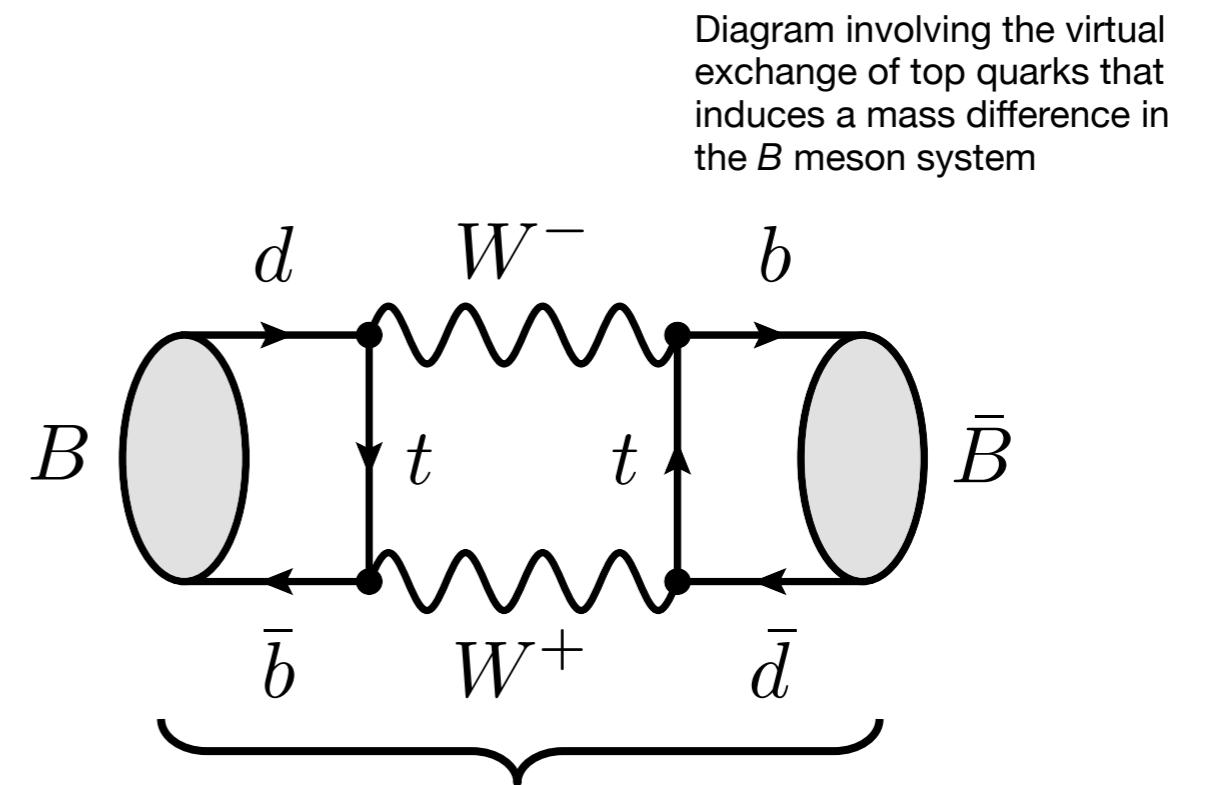
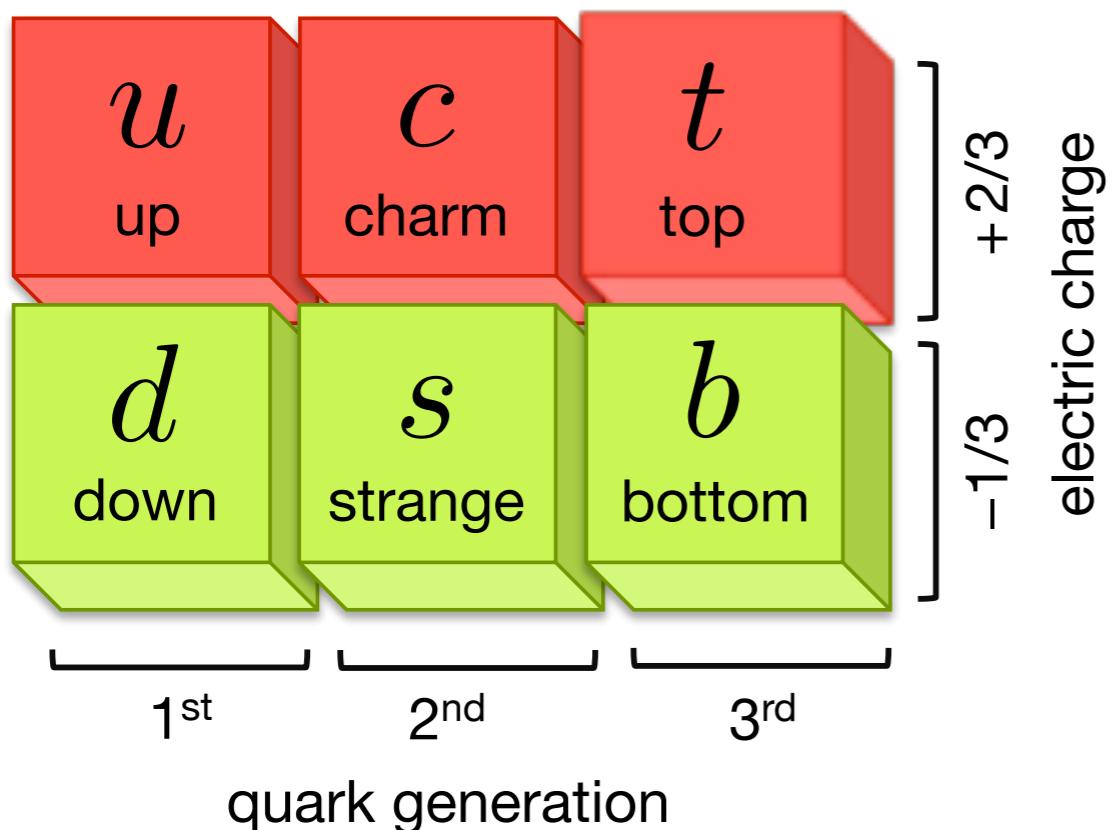
# QCD matter sector



The “bump” at 9.5 GeV that lead to the discovery of the bottom quark at FNAL in 1977

- In 1977, physicists working at the fixed target experiment E288 at FNAL discovered the  $\Upsilon$  (Upsilon) meson. This discovery was eventually understood as being the bound state of the bottom and its anti-quark (bottomonium)

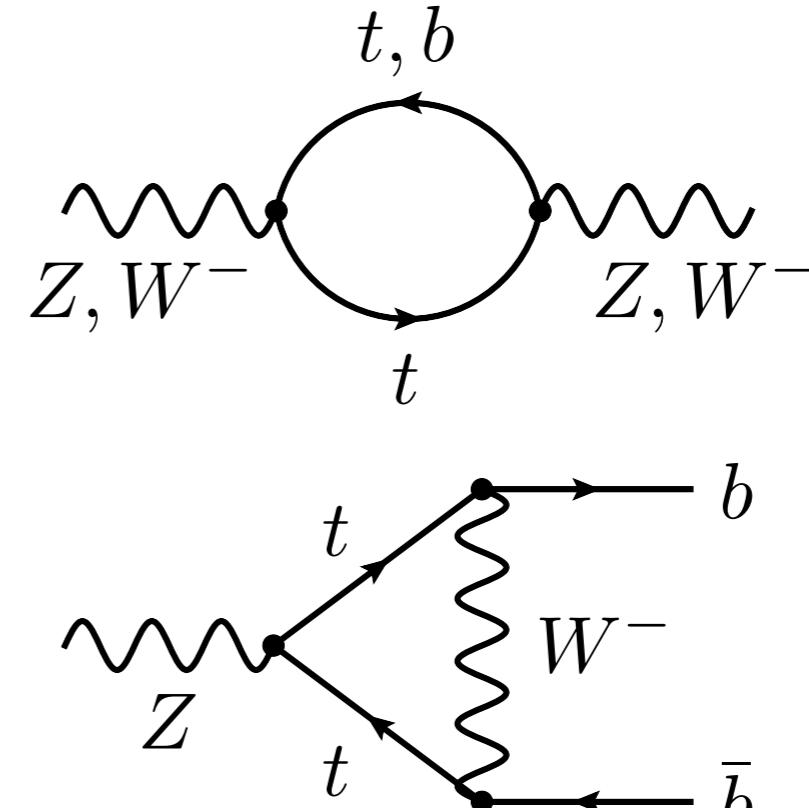
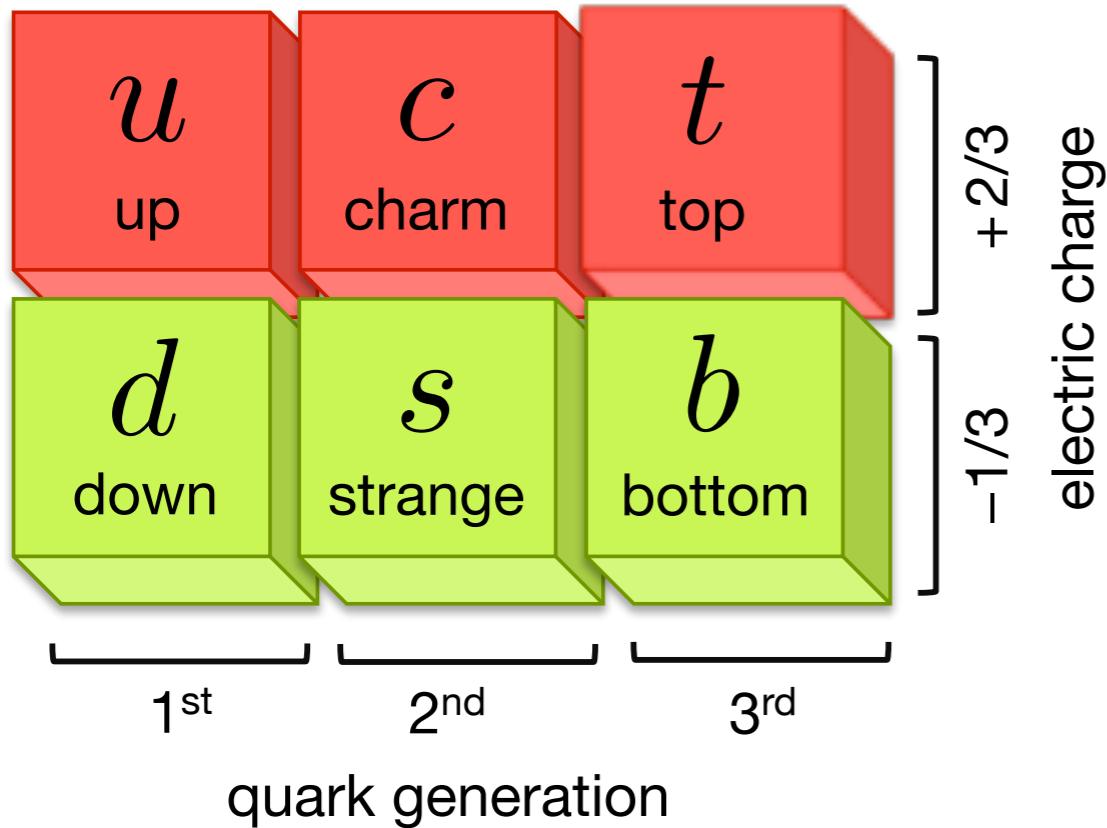
# QCD matter sector



$$\Delta M_B \propto G_F^2 m_B f_B^2 |V_{td}|^2 m_t^2$$

- The measurement of the oscillations of  $B$  mesons into its own anti-particles in 1987 by ARGUS led to the conclusion that the top-quark mass has to be larger than 50 GeV. This was a big surprise at that time, because in 1987 the top quark was generally believed to be much lighter

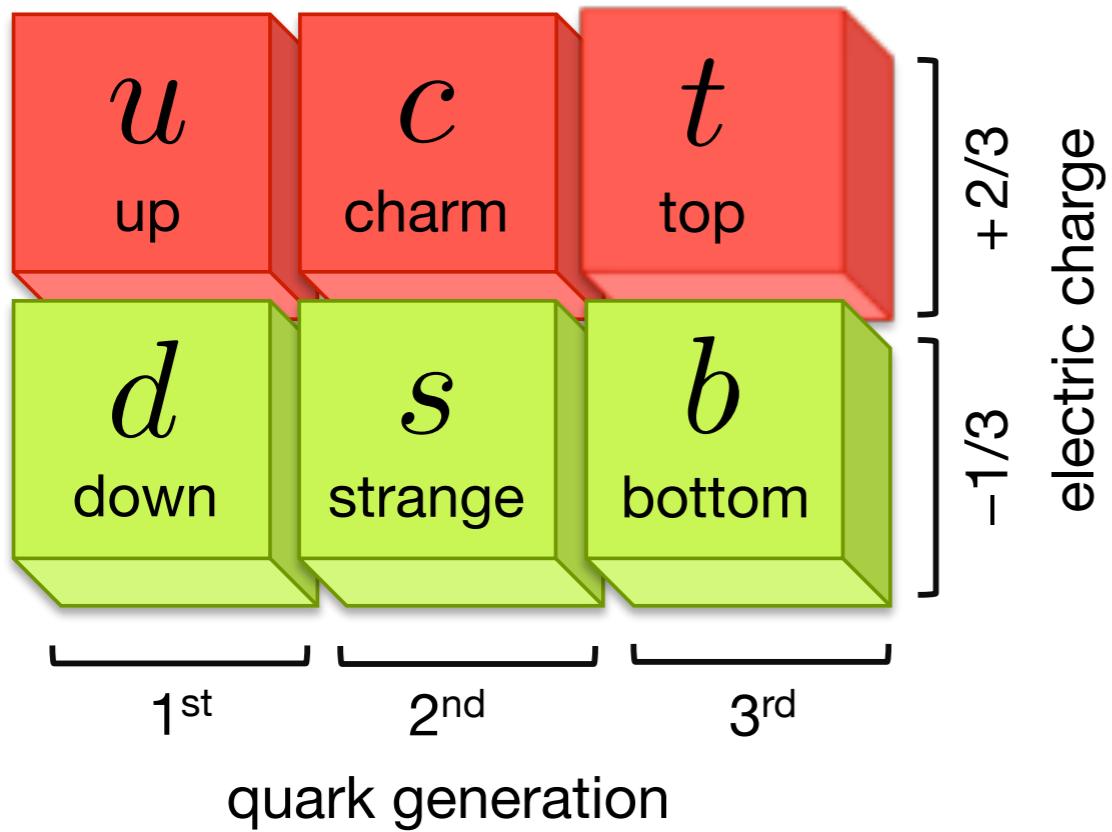
# QCD matter sector



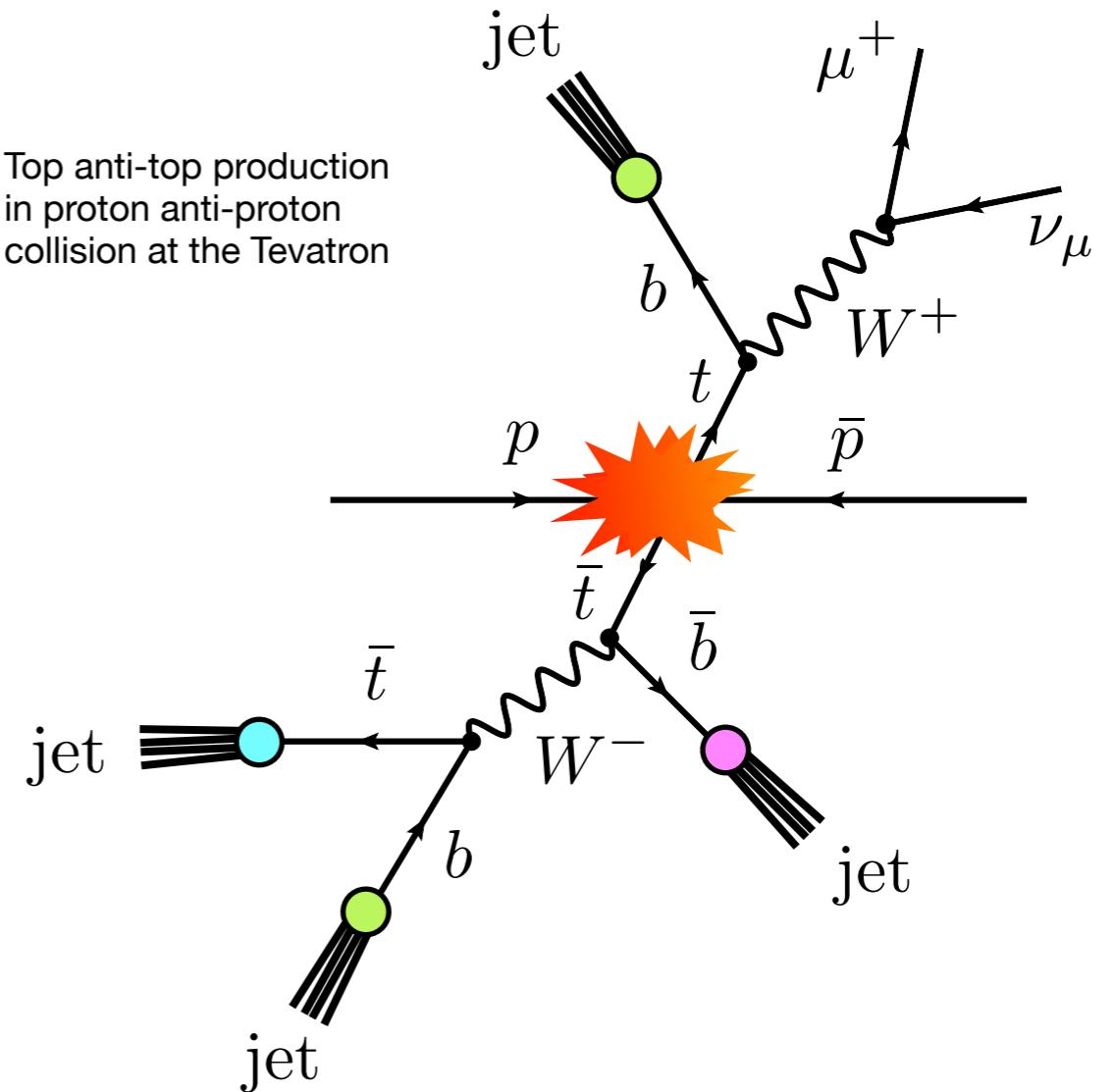
Diagrams that feature a quadratic dependence on the top-quark mass

- It was also realized that certain precision measurements of the electroweak vector-boson masses and couplings are very sensitive to the value of the top-quark mass. By 1994 the precision of these indirect measurements led to a prediction of the top-quark mass between 145 GeV and 185 GeV

# QCD matter sector

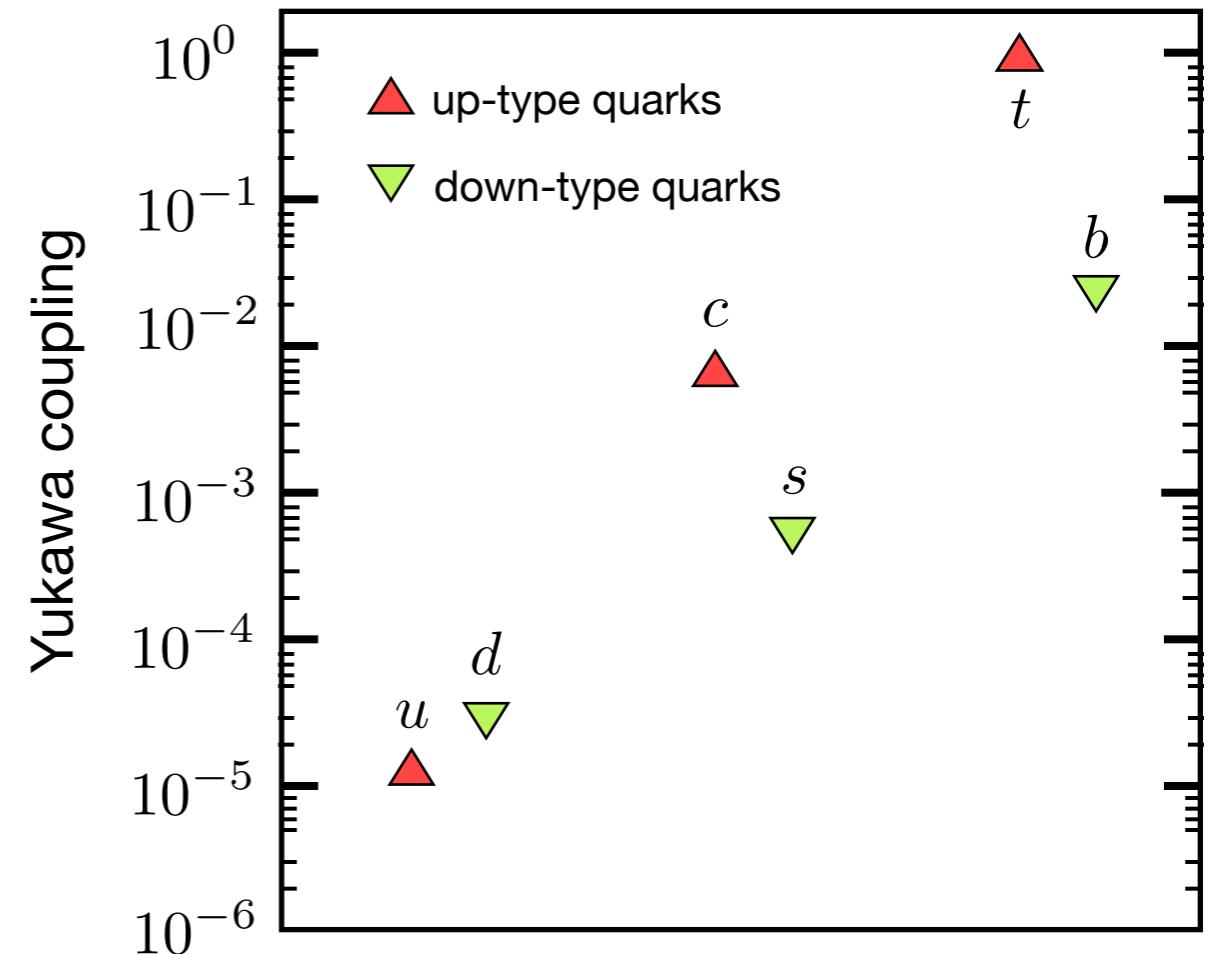
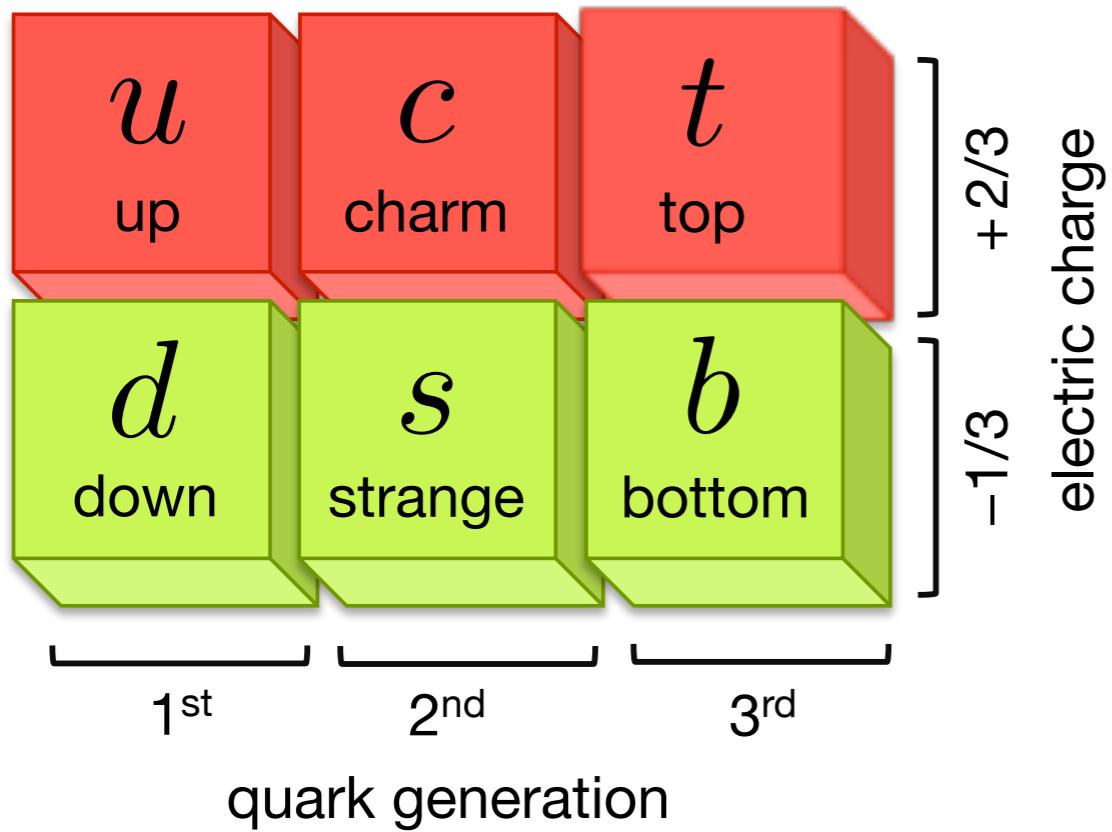


Top anti-top production  
in proton anti-proton  
collision at the Tevatron



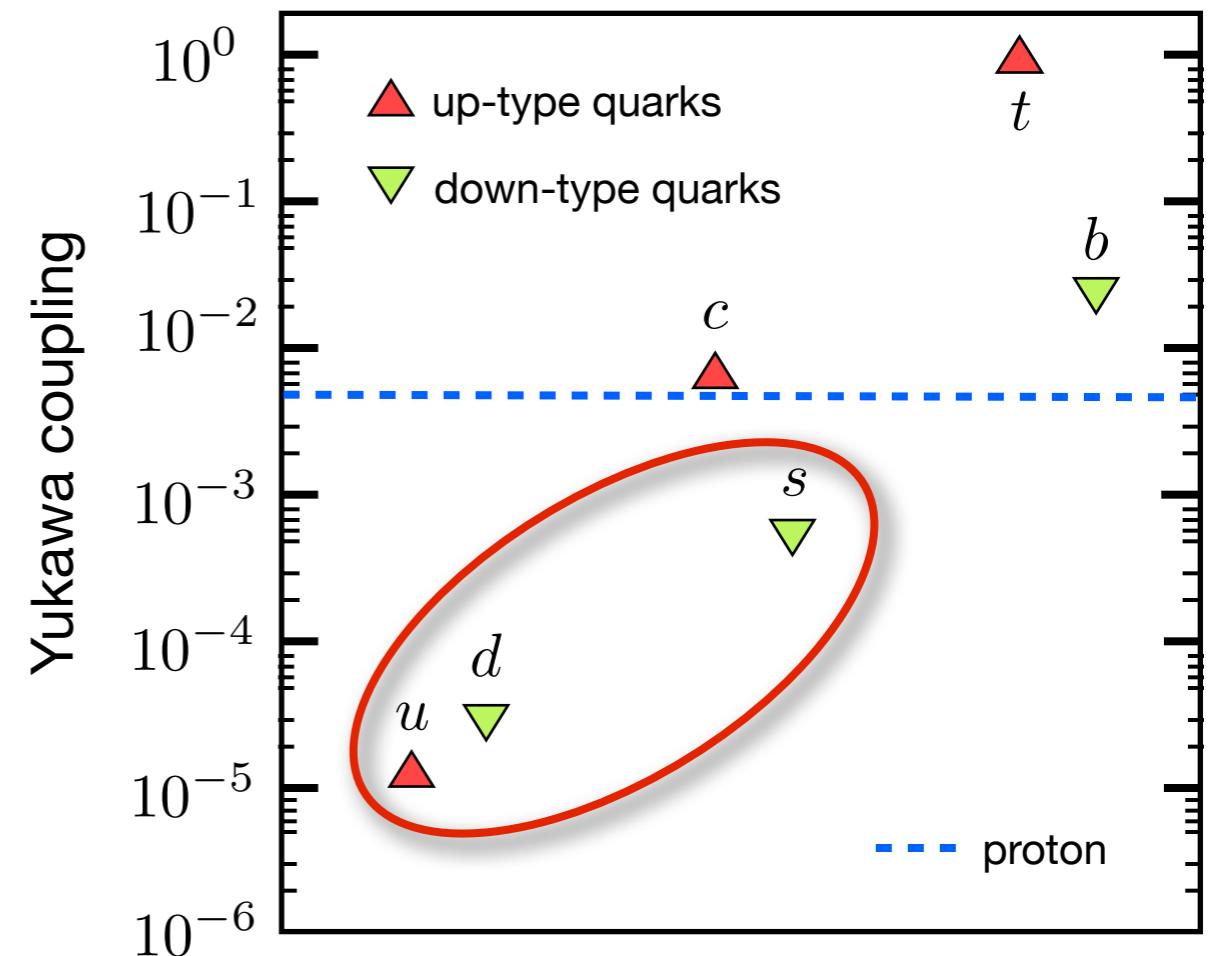
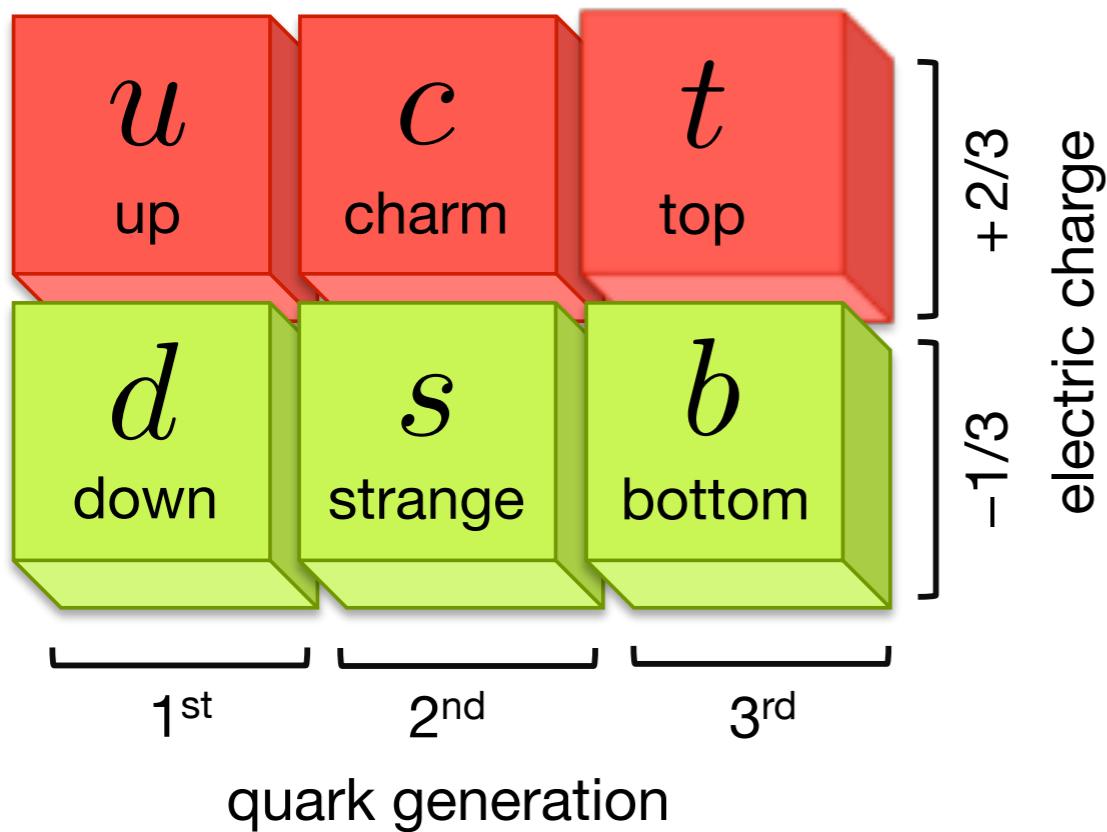
- The top quark was finally discovered in 1995 by CDF and D0 at FNAL. While the mass of the top quark is today quite well known,  $m_t = (173.1 \pm 1.3)$  GeV, its charge is measured to be + 2/3 only at the 90% confidence level

# QCD matter sector



- The masses of the six different quark flavors range from around 2 MeV for the up quark to around 175 GeV for the top. Why these masses are split by almost six orders of magnitude is one of the big mysteries of particle physics

# QCD matter sector



- The masses of the up, down, and strange are much lighter than the proton. If one takes these light flavors to have an identical mass, the quarks become indistinguishable under QCD, and one obtains an effective  $SU(3)_f$  symmetry

# QED and QCD

QED and QCD are very similar, yet very different theories

- quarks are a bit like leptons, but there are three of each
- gluons are a bit like photons, but there are eight of them
- gluons interact with themselves
- the QCD coupling is also small at collider energies, but larger than the QED one
- the similarities and differences are evident from the two Lagrangians

# QED and QCD

QED and QCD are very similar, yet very different theories

- quarks are a bit like leptons, but there are three of each
- gluons are a bit like photons, but there are eight of them
- gluons interact with themselves
- the QCD coupling is also small at collider energies, but larger than the QED one
- the similarities and differences are evident from the two Lagrangians

So, let's start by looking at the QED Lagrangian

# The QED Lagrangian

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{int}} \\ &= \bar{\psi} (i\cancel{D} - m) \psi - \frac{1}{2} (F_{\mu\nu})^2 - e \bar{\psi} \gamma^\mu \psi A_\mu \\ &= \bar{\psi} (i\cancel{D} - m) \psi - \frac{1}{2} (\cancel{F}_{\mu\nu})^2\end{aligned}$$



electromagnetic vector potential  $A_\mu$



field strength tensor  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$



covariant derivative  $D_\mu = \partial_\mu + ieA_\mu$

# QED Feynman rules

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{int}} \\ &= \bar{\psi} (i\cancel{D} - m) \psi - \frac{1}{2} (F_{\mu\nu})^2 - e \bar{\psi} \gamma^\mu \psi A_\mu\end{aligned}$$

$$\psi \xrightarrow[m]{p} \bar{\psi} = \frac{i(p+m)}{p^2 - m^2}$$

$$A_\mu \bullet \overset{p}{\sim} \bullet A_\nu = \frac{-ig^{\mu\nu}}{p^2}$$

$$= ie\gamma^\mu$$

# QED gauge invariance

$$\mathcal{L}_{\text{QED}} = \bar{\psi} (iD - m) \psi - \frac{1}{2} (F_{\mu\nu})^2$$

A crucial property of the QED Lagrangian is that it is invariant under

$$\psi(x) \rightarrow e^{i\alpha(x)} \psi(x), \quad A_\mu(x) \rightarrow A_\mu(x) - \frac{1}{e} \partial_\mu \alpha(x)$$

which acts on the Dirac field as a local phase transformation

Exercise: Check that the QED Lagrangian is invariant under the above transformations

# QED gauge invariance

$$\mathcal{L}_{\text{QED}} = \bar{\psi} (i \not{D} - m) \psi - \frac{1}{2} (F_{\mu\nu})^2$$

A crucial property of the QED Lagrangian is that it is invariant under

$$\psi(x) \rightarrow e^{i\alpha(x)} \psi(x), \quad A_\mu(x) \rightarrow A_\mu(x) - \frac{1}{e} \partial_\mu \alpha(x)$$

which acts on the Dirac field as a local phase transformation

Exercise: Check that the QED Lagrangian is invariant under the above transformations

Yang and Mills (1954) proposed that the local phase rotation in QED could be generalized to invariance under any continuous symmetry

[C. N. Yang and R. L. Mills, Phys. Rep. 96 (1954) 191]

# The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}F_a^{\mu\nu}F_{\mu\nu}^a + \sum_f \bar{\psi}_i^{(f)} (iD_{ij} - m_f \delta_{ij}) \psi_j^{(f)}$$

$$D_{ij}^\mu \equiv \partial^\mu \delta_{ij} + ig_s t_{ij}^a A_a^\mu, \quad F_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_s f_{abc} A_\mu^b A_\nu^c$$

⇒ covariant derivative

⇒ field strength

# The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}F_a^{\mu\nu}F_{\mu\nu}^a + \sum_f \bar{\psi}_i^{(f)} (iD_{ij} - m_f \delta_{ij}) \psi_j^{(f)}$$

$$D_{ij}^\mu \equiv \partial^\mu \delta_{ij} + ig_s t_{ij}^a A_a^\mu, \quad F_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_s f_{abc} A_\mu^b A_\nu^c$$

⇒ covariant derivative

⇒ field strength

- only one QCD parameter  $g_s$  regulating the strength of the interaction  
(quark masses have EW origin)

# The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}F_a^{\mu\nu}F_{\mu\nu}^a + \sum_f \bar{\psi}_i^{(f)} (iD_{ij} - m_f \delta_{ij}) \psi_j^{(f)}$$

$$D_{ij}^\mu \equiv \partial^\mu \delta_{ij} + ig_s t_{ij}^a A_a^\mu, \quad F_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_s f_{abc} A_\mu^b A_\nu^c$$

⇒ covariant derivative

⇒ field strength

- only one QCD parameter  $g_s$  regulating the strength of the interaction (quark masses have EW origin)
- setting  $g_s = 0$  one obtains the free Lagrangian (free propagation of quarks and gluons without interaction)

# The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}F_a^{\mu\nu}F_{\mu\nu}^a + \sum_f \bar{\psi}_i^{(f)} (iD_{ij} - m_f \delta_{ij}) \psi_j^{(f)}$$

$$D_{ij}^\mu \equiv \partial^\mu \delta_{ij} + ig_s t_{ij}^a A_a^\mu, \quad F_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_s f_{abc} A_\mu^b A_\nu^c$$

⇒ covariant derivative

⇒ field strength

- only one QCD parameter  $g_s$  regulating the strength of the interaction (quark masses have EW origin)
- setting  $g_s = 0$  one obtains the free Lagrangian (free propagation of quarks and gluons without interaction)
- terms proportional to  $g_s$  in the field strength cause self-interaction between gluons (makes the difference w.r.t. QED)

# The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}F_a^{\mu\nu}F_{\mu\nu}^a + \sum_f \bar{\psi}_i^{(f)} (iD_{ij} - m_f \delta_{ij}) \psi_j^{(f)}$$

$$D_{ij}^\mu \equiv \partial^\mu \delta_{ij} + ig_s t_{ij}^a A_a^\mu, \quad F_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_s f_{abc} A_\mu^b A_\nu^c$$

⇒ covariant derivative

⇒ field strength

- only one QCD parameter  $g_s$  regulating the strength of the interaction (quark masses have EW origin)
- setting  $g_s = 0$  one obtains the free Lagrangian (free propagation of quarks and gluons without interaction)
- terms proportional to  $g_s$  in the field strength cause self-interaction between gluons (makes the difference w.r.t. QED)
- color matrices  $t^a_{ij}$  are the generators of SU(3)

# The QCD Lagrangian

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4} F_a^{\mu\nu} F_{\mu\nu}^a + \sum_f \bar{\psi}_i^{(f)} (i D_{ij} - m_f \delta_{ij}) \psi_j^{(f)}$$

$$D_{ij}^\mu \equiv \partial^\mu \delta_{ij} + i g_s t_{ij}^a A_a^\mu, \quad F_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_s f_{abc} A_\mu^b A_\nu^c$$

⇒ covariant derivative

⇒ field strength

- only one QCD parameter  $g_s$  regulating the strength of the interaction (quark masses have EW origin)
- setting  $g_s = 0$  one obtains the free Lagrangian (free propagation of quarks and gluons without interaction)
- terms proportional to  $g_s$  in the field strength cause self-interaction between gluons (makes the difference w.r.t. QED)
- color matrices  $t_{ij}^a$  are the generators of SU(3)
- QCD flavour blind (differences only due to EW)

# The generators of $SU(N)$

The gauge group of QCD is  $SU(N)$  with  $N = 3$

# The generators of SU(N)

The gauge group of QCD is SU(N) with N = 3

N×N complex generic matrix  $\Rightarrow$  N<sup>2</sup> complex values, i.e. 2 N<sup>2</sup> real ones

# The generators of SU(N)

The gauge group of QCD is SU(N) with N = 3

N×N complex generic matrix  $\Rightarrow$  N<sup>2</sup> complex values, i.e. 2 N<sup>2</sup> real ones

$$UU^\dagger = U^\dagger U = 1_{N \times N}$$

👉 unitarity  $\Rightarrow$  N<sup>2</sup> conditions

# The generators of SU(N)

The gauge group of QCD is SU(N) with N = 3

N×N complex generic matrix  $\Rightarrow$  N<sup>2</sup> complex values, i.e. 2 N<sup>2</sup> real ones

$$UU^\dagger = U^\dagger U = 1_{N \times N}$$

$$\det(U) = 1$$

👉 unitarity  $\Rightarrow$  N<sup>2</sup> conditions

👉 unit determinant  $\Rightarrow$  1 condition

# The generators of SU(N)

The gauge group of QCD is SU(N) with N = 3

N×N complex generic matrix  $\Rightarrow$  N<sup>2</sup> complex values, i.e. 2 N<sup>2</sup> real ones

$$UU^\dagger = U^\dagger U = 1_{N \times N}$$

$$\det(U) = 1$$

👉 unitarity  $\Rightarrow$  N<sup>2</sup> conditions

👉 unit determinant  $\Rightarrow$  1 condition

So, the fundamental representation of SU(N) has N<sup>2</sup>-1 generators t<sup>a</sup>:  
N×N traceless hermitian matrices  $\Rightarrow$  N<sup>2</sup>-1 gluons

$$U = e^{i\theta_a(x)t^a}$$

$$a = 1, \dots, N^2 - 1$$

# The Gell-mann matrices

One explicit representation:  $t^A = \frac{1}{2} \lambda^A$

$\lambda^A$  are the Gell-mann matrices

$$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix},$$
$$\lambda^5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}, \quad \lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \lambda^7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad \lambda^8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

Standard normalization:  $\text{Tr}(t^a t^b) = T_R \delta^{ab}$   $T_R = \frac{1}{2}$

Notice that the first three Gell-mann matrices contain the three Pauli matrices in the upper-left corner

# The generators of SU(N)

Infinitesimal transformations (close to the identity) give complete information about the group structure. The most important characteristic of a group is the commutator of two transformations:

$$\begin{aligned}[U(\delta_1), U(\delta_2)] &\equiv U(\delta_1)U(\delta_2) - U(\delta_2)U(\delta_1) \\ &= (i\delta_1^a)(i\delta_2^b)[t^a, t^b] + \mathcal{O}(\delta^3)\end{aligned}$$

The two matrices do not commute, therefore the transformations don't. Such a group is called **non-abelian**

- Familiar abelian groups: translations, phase transformations  $U(1)$  ...
- Familiar non-abelian groups: 3D-rotations

# The generators of SU(N)

Consider the commutator

$$Tr([t_a, t_b]) = 0 \quad \Rightarrow \quad [t_a, t_b] = if_{abc}t^c$$

$f_{abc}$  are the (real) **structure constants** of the  $SU(N_c)$  algebra, they generate a representation of the algebra called adjoint representation

Clearly,  $f_{abc}$  is anti-symmetric in (ab). It is easy to show that it is fully antisymmetric

$$f_{abc} = -f_{bac} = -f_{acb}$$

and that

$$if_{abc} = 2 \operatorname{Tr} ([t_a, t_b]t_c)$$

# Color algebra: fundamental identities

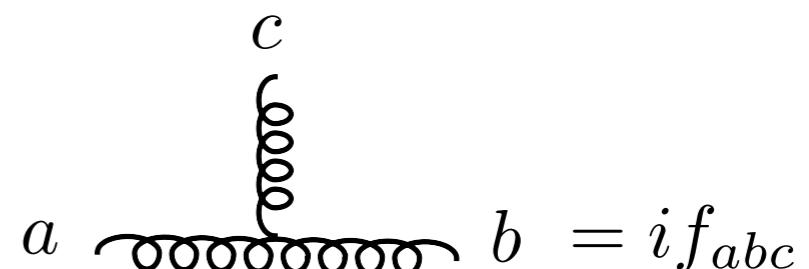
Fundamental representation 3:

$$i \xrightarrow{\quad} j = \delta_{ij}$$

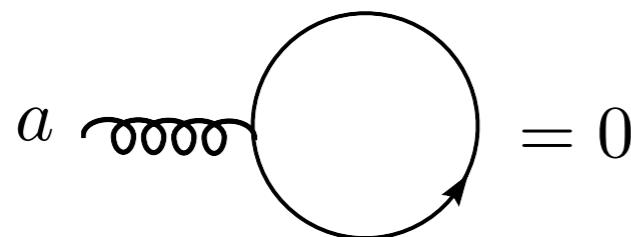


Adjoint representation 8:

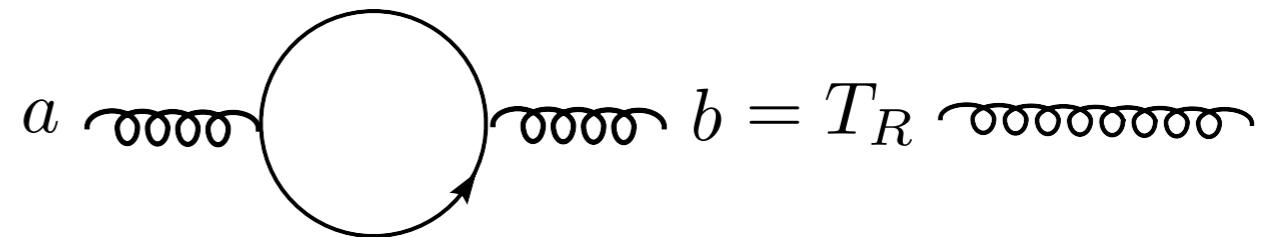
$$a \curvearrowright b = \delta_{ab}$$



Trace identities:

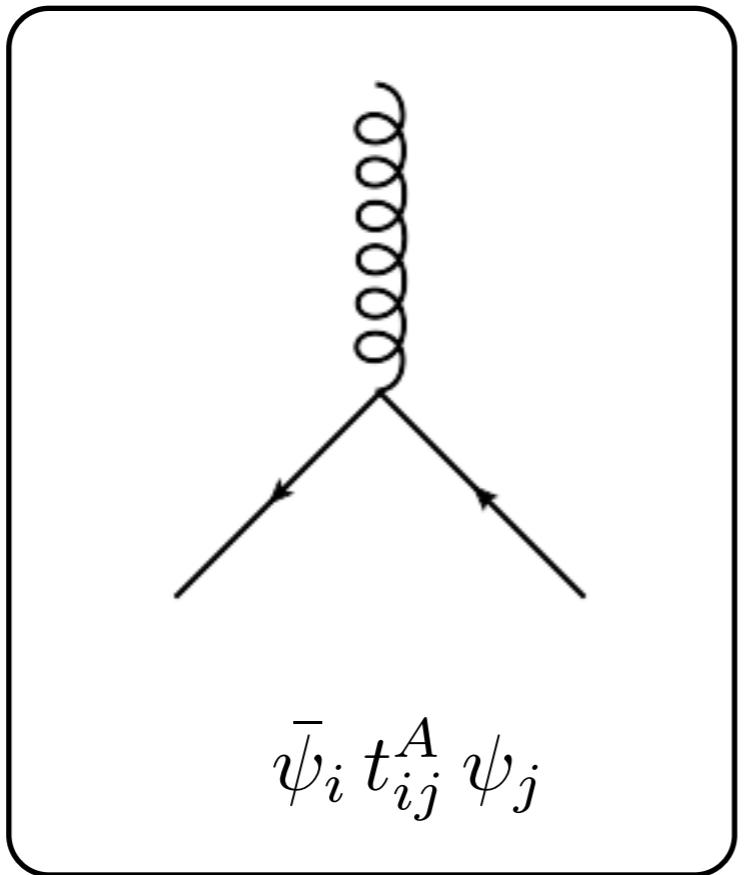


$$\text{Tr}(t^a) = 0$$



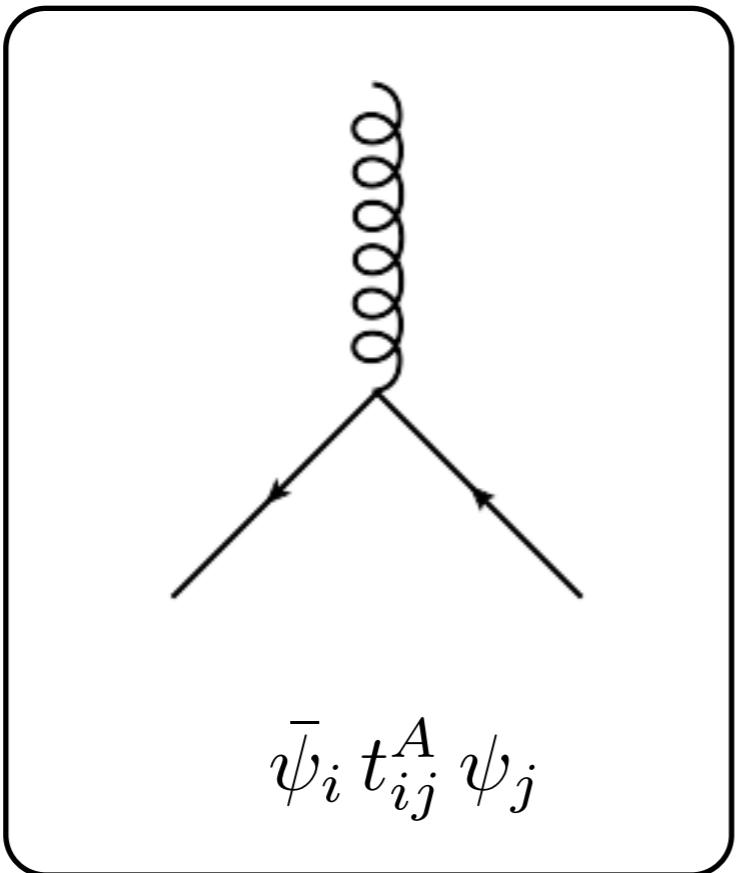
$$\text{Tr}(t^a t^b) = T_R \delta^{ab}$$

# What do color identities mean physically



What does this really mean?

# What do color identities mean physically



A diagram illustrating color flow. A blue vertical line with a downward arrow and a red vertical line with an upward arrow meet at a central point. From this central point, a blue line with a rightward arrow and a red line with an upward-right arrow branch off.

$$(1, 0, 0) \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$

What does this really mean?

$\bar{\psi}_i$

$t_{ij}^1$

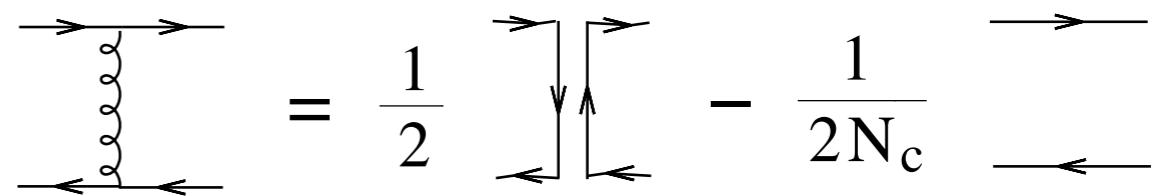
$\psi_j$

Gluons carry color and anti-color. They repaint quarks and other gluons.

# Color algebra: Casimirs & Fierz identity

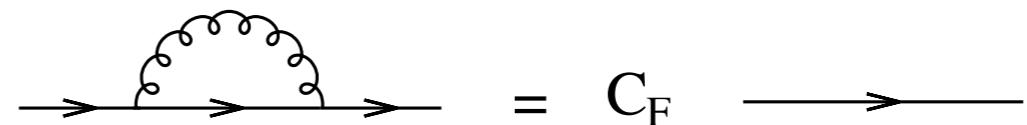
Fierz identity:

$$(t^a)_k^i (t^a)_j^l = \frac{1}{2} \delta_j^i \delta_k^l - \frac{1}{2N_c} \delta_k^i \delta_j^l$$



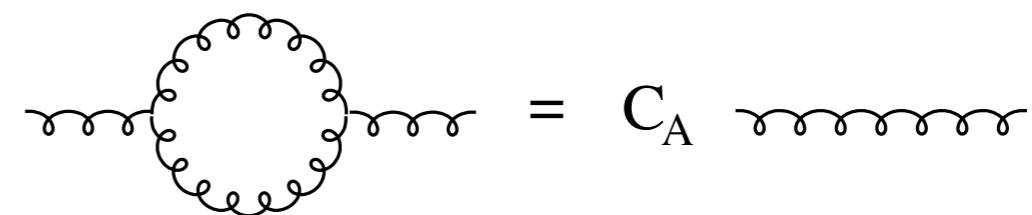
Fundamental representation 3:

$$\sum_a (t_{ij}^a) (t_{kj}^a) = C_F \delta_{ij} \quad C_F = \frac{N_c^2 - 1}{2N_c}$$



Adjoint representation 8:

$$\sum_{cd} f^{acd} f^{bdc} = C_A \delta^{ab} \quad C_A = N_c$$



# Gauge invariance

The QCD Lagrangian is invariant under local gauge transformations, i.e. one can redefine the quark and gluon fields independently at every point in space and time without changing the physical content of the theory

- Gauge transformation for the quark field

$$\psi \rightarrow \psi' = U(x)\psi$$

- The covariant derivative  $(D_\mu)_{ij} = \partial_\mu \delta_{ij} + ig_s t_{ij}^a A_a^\mu$  must transform as (covariant = transforms “with” the field)

$$D_\mu \psi \rightarrow D'_\mu \psi' = U(x)D_\mu \psi$$

- From which one derives the transformation property of the gluon field

$$t^a A_a \rightarrow t^a A'_a = U(x)t^a A_a U^{-1}(x) + \frac{i}{g_s} (\partial U(x)) U^{-1}(x)$$

# Gauge invariance

The QCD Lagrangian is invariant under local gauge transformations, i.e. one can redefine the quark and gluon fields independently at every point in space and time without changing the physical content of the theory

- It follows that

$$\bar{\psi} \rightarrow \bar{\psi}' = \bar{\psi} U^\dagger(x)$$

$$t^a F_{\mu\nu}^a \rightarrow t^a F'_{\mu\nu}^a = U(x) t^a F_{\mu\nu}^a U^{-1}(x)$$

e.g. because  $i g_s t^a F_{\mu\nu}^a = [D_\mu, D_\nu]$

- Therefore the QCD Lagrangian is indeed gauge invariant

$$-\frac{1}{4} F_a'^{\mu\nu} F_a'^{\mu\nu} = -\frac{1}{4} F_a^{\mu\nu} F_a^{\mu\nu}$$

$$\sum_f \bar{\psi}_i^{(f)} (i D'_{ij} - m_f \delta_{ij}) \psi_j^{(f)} = \sum_f \bar{\psi}_i^{(f)} (i D_{ij} - m_f \delta_{ij}) \psi_j^{(f)}$$

# Gauge invariance

The QCD Lagrangian is invariant under local gauge transformations, i.e. one can redefine the quark and gluon fields independently at every point in space and time without changing the physical content of the theory

## Remarks:

- the field strength alone is not gauge invariant in QCD (unlike in QED) because of self interacting gluons (carriers of the force carry colour, unlike the photon)
- a gluon mass term violate gauge invariance and is therefore forbidden (as for the photon). On the other hand quark mass terms are gauge invariant.

$$\cancel{m^2 A_\mu A^\mu}$$

# Isospin symmetry

Isospin SU(2) symmetry: invariance under  $u \leftrightarrow d$

Particles in the same isospin multiplet have very similar masses  
(proton and neutron, neutral and charged pions)

The QCD Lagrangian has isospin symmetry if  $m_u = m_d$  or  $m_u, m_d \rightarrow 0$

The fermionic Lagrangian becomes

$$\mathcal{L}_F = \sum_f \left( \bar{\psi}_L^{(f)} D \psi_L^{(f)} + \bar{\psi}_R^{(f)} D \psi_R^{(f)} \right) - \sum_f m_f \left( \bar{\psi}_R^{(f)} \psi_L^{(f)} + \bar{\psi}_L^{(f)} \psi_R^{(f)} \right)$$
$$\psi_L = P_L \psi, \quad \psi_R = P_R \psi, \quad P_{L/R} = \frac{1}{2} (1 \mp \gamma_5)$$

So neglecting fermion masses the Lagrangian has the larger symmetry

$$SU_L(N_f) \times SU_R(N_f) \times U_L(1) \times U_R(1)$$

# Feynman rules: propagators

Obtain quark/gluon propagators from free piece of the Lagrangian

Quark propagator: replace  $i\partial \rightarrow k$  and take the  $i \times$  inverse

$$\mathcal{L}_{q,\text{free}} = \sum_f \bar{\psi}_i^{(f)} (i\cancel{\partial} - m_f) \delta_{ij} \psi_j^{(f)}$$

$$\frac{\alpha, i}{k, m} \rightarrow \frac{\beta, j}{k, m} = \left( \frac{i}{k - m} \right)_{\alpha\beta} \delta_{ij}$$

# Feynman rules: propagators

Obtain quark/gluon propagators from free piece of the Lagrangian

Quark propagator: replace  $i\partial \rightarrow k$  and take the  $i \times$  inverse

$$\mathcal{L}_{q,\text{free}} = \sum_f \bar{\psi}_i^{(f)} (i\cancel{\partial} - m_f) \delta_{ij} \psi_j^{(f)}$$

$$\frac{\alpha, i}{k, m} \xrightarrow{\beta, j} = \left( \frac{i}{\cancel{k} - m} \right)_{\alpha\beta} \delta_{ij}$$

Gluon propagator: replace  $i\partial \rightarrow k$  and take the  $i \times$  inverse ?

$$\mathcal{L}_{g,\text{free}} = \frac{1}{2} A^\mu (\square g_{\mu\nu} - \partial_\mu \partial_\nu) A^\nu$$

→ inverse does not exist, since  $(\square g_{\mu\nu} - \partial_\mu \partial_\nu) \partial_\mu = \square \partial_\nu - \square \partial_\nu = 0$

How can one define the propagator ?

# Gauge fixing

Solution: add to the Lagrangian a gauge fixing term which depends on an arbitrary parameter  $\xi$

In covariant gauges:

$$\mathcal{L}_{\text{gauge fixing}} = -\frac{1}{\xi} (\partial^\mu A_\mu^A)^2$$

$\xi=1$  Feynman gauge  
 $\xi=0$  Landau gauge

Gluon propagator:

$$\frac{-i}{k^2} \left( g_{\mu\nu} - (1 - \xi) \frac{k_\mu k_\nu}{k^2} \right) \delta^{ab} = \frac{a, \mu \quad b, \nu}{\overrightarrow{k}}$$

Gauge fixing explicitly breaks gauge invariance. However, in the end physical results are independent of the gauge choice. Powerful check of higher order calculations: verify that the  $\xi$  dependence fully cancels in the final result

# Ghosts

In covariant gauges gauge fixing term must be supplemented with **ghost term** to cancel unphysical longitudinal degrees of freedom which should not propagate

$$\mathcal{L}_{\text{ghost}} = \partial_\mu \eta^{a\dagger} D_{ab}^\mu \eta^b$$

$$\bar{u}^a \xrightarrow[k]{\longrightarrow} u^b = \frac{i}{k^2} \delta^{ab}$$

$\eta$ : complex scalar field which obeys Fermi statistics

$$\sum_{\lambda=+1,-1,0} \left| \text{wavy line diagram} \right|^2 - \left| \text{wavy line diagram with dashed line} \right|^2 = \sum_{\lambda=+1,-1} \left| \text{wavy line diagram} \right|^2$$

# Axial gauges

Alternative: choose an axial gauge (introduce an arbitrary direction n)

$$\mathcal{L}_{\text{axial gauge}} = -\frac{1}{\xi} \left( n^\mu A_\mu^A \right)^2$$

The gluon propagator becomes

$$d_{\mu\nu} = \frac{i}{k^2} \left( -g_{\mu\nu} + \frac{n_\mu k_\nu + n_\nu k_\mu}{n \cdot k} + \frac{(n^2 + \xi k^2) k_\mu k_\nu}{(n \cdot k)^2} \right) \delta_{ab}$$

# Axial gauges

Alternative: choose an axial gauge (introduce an arbitrary direction n)

$$\mathcal{L}_{\text{axial gauge}} = -\frac{1}{\xi} (n^\mu A_\mu^A)^2$$

The gluon propagator becomes

$$d_{\mu\nu} = \frac{i}{k^2} \left( -g_{\mu\nu} + \frac{n_\mu k_\nu + n_\nu k_\mu}{n \cdot k} + \frac{(n^2 + \xi k^2) k_\mu k_\nu}{(n \cdot k)^2} \right) \delta_{ab}$$

Light cone gauge:  $n^2 = 0$  and  $\lambda = 0$

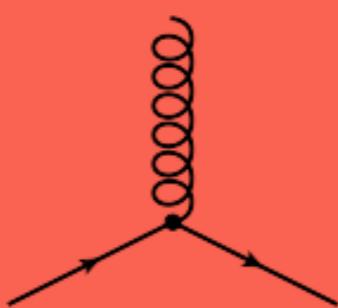
Axial gauges for  $k^2 \rightarrow 0$

$$d_{\mu\nu} k^\mu = d_{\mu\nu} n^\mu = 0$$

i.e. only two physical polarizations propagate, that's why often the term physical gauge is used

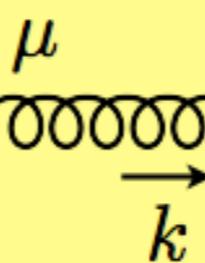
# QCD Feynman rules: the vertices

$a, \mu$



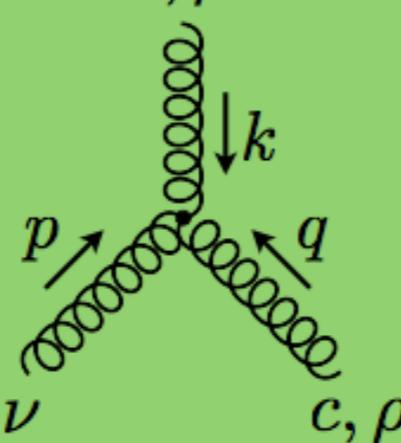
$$= ig_s \gamma^\mu t^a$$

$a, \mu$



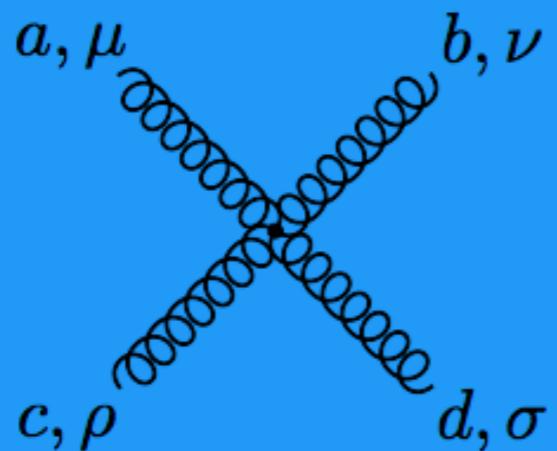
$$= \left( \frac{-ig_{\mu\nu}}{k^2} \right) \delta^{ab}$$

$a, \mu$



$$g_s f^{abc} \left[ g^{\mu\nu} (k - p)^\rho + g^{\nu\rho} (p - q)^\mu + g^{\rho\mu} (q - k)^\nu \right]$$

$a, \mu$



$$-ig_s^2 \left[ f^{abe} f^{cde} (g^{\mu\rho} g^{\nu\sigma} - g^{\mu\sigma} g^{\nu\rho}) \right.$$

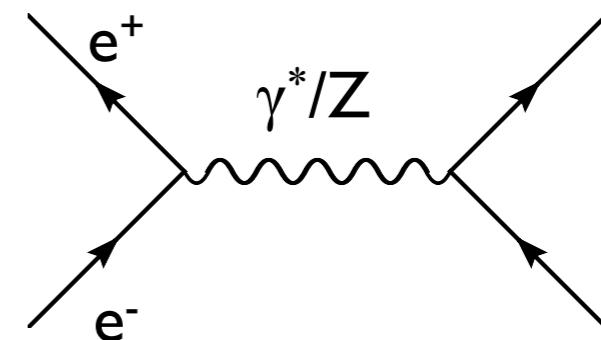
$$+ f^{ace} f^{bde} (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\sigma} g^{\nu\rho})$$

$$\left. + f^{ade} f^{bce} (g^{\mu\nu} g^{\rho\sigma} - g^{\mu\rho} g^{\nu\sigma}) \right]$$

# Perturbative expansion of the R-ratio

The R-ratio is defined as

$$R \equiv \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$



At lowest order in perturbation theory

$$\sigma(e^+e^- \rightarrow \text{hadrons}) = \sigma_0(e^+e^- \rightarrow q\bar{q})$$

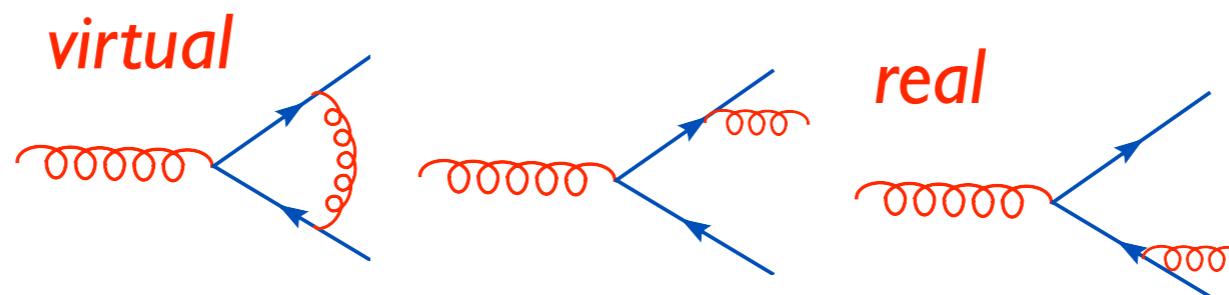
The PT treatment works since the scattering happens at large momentum transfer (short time), while hadronization happens at low momentum transfer, i.e. too late to change the original probability distribution

Since common factors cancel in numerator/denominator, to lowest order one finds

$$R_0 = \frac{\sigma_0(\gamma^* \rightarrow \text{hadrons})}{\sigma_0(\gamma^* \rightarrow \mu^+\mu^-)} = N_c \sum_f q_f^2$$

# The R-ratio: perturbative expansion

First order correction



Real and virtual do not interfere since they have a different # of particles.  
The amplitude squared becomes

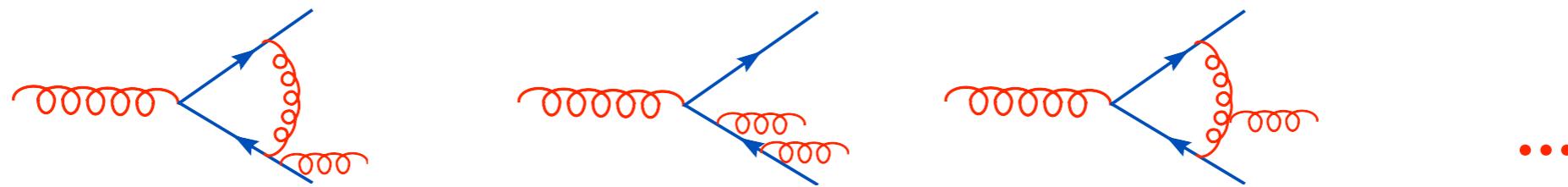
$$|A_1|^2 = |A_0|^2 + \alpha_s \left( |A_{1,r}|^2 + 2\text{Re}\{A_0 A_{1,v}^*\} \right) + \mathcal{O}(\alpha_s^2) \quad \alpha_s = \frac{g_s^2}{4\pi}$$

Integrating over phase space, the first order result reads

$$R_1 = R_0 \left( 1 + \frac{\alpha_s}{\pi} \right)$$

# R-ratio and UV divergences

To compute the second order correction one has to compute diagrams like these and many more



One gets

$$R_2 = R_0 \left( 1 + \frac{\alpha_s}{\pi} + \left( \frac{\alpha_s}{\pi} \right)^2 \left( c + \pi b_0 \ln \frac{M_{\text{UV}}^2}{Q^2} \right) \right)$$

$$b_0 = \frac{11N_c - 4n_f T_R}{12\pi}$$

Ultra-violet divergences do not cancel. Result depends on UV cut-off.

# Renormalization and running coupling

The divergence is dealt with by renormalization of the coupling constant

$$\alpha_s(\mu) = \alpha_s^{\text{bare}} + b_0 \ln \frac{M_{UV}^2}{\mu^2} (\alpha_s^{\text{bare}})^2$$

R expressed in terms of the renormalized coupling is finite

$$R = R_0 \left( 1 + \frac{\alpha_s(\mu)}{\pi} + \left( \frac{\alpha_s(\mu)}{\pi} \right)^2 \left( c + \pi b_0 \ln \frac{\mu^2}{Q^2} \right) + \mathcal{O}(\alpha_s^3(\mu)) \right)$$

Renormalizability of the theory guarantees that *the same redefinition of the coupling removes all UV divergences from all physical quantities (massless case)*

Will not cover renormalization in these lectures, but it suffices to know that renormalization of S-matrix elements is achieved by replacing bare masses and bare coupling with renormalized ones

- the coupling  $\Rightarrow \beta$  function
- the masses  $\Rightarrow$  anomalous dimensions  $\gamma_m$

# The beta-function

$$\beta(\alpha_s^{\text{ren}}) \equiv \mu^2 \frac{d\alpha_s(\mu^2)}{d\mu^2}$$

The renormalized coupling is

$$\alpha_s(\mu) = \alpha_s^{\text{bare}} + b_0 \ln \frac{M_{UV}^2}{\mu^2} \left( \alpha_s^{\text{bare}} \right)^2$$

So, one immediately gets

$$\beta = -b_0 \alpha_s^2(\mu) + \dots$$

Integrating the differential equation one finds at lowest order

$$\frac{1}{\alpha_s(\mu)} = b_0 \ln \frac{\mu^2}{\mu_0^2} + \frac{1}{\alpha_s(\mu_0)} \quad \Rightarrow \quad \alpha_s(\mu) = \frac{1}{b_0 \ln \frac{\mu^2}{\Lambda^2}}$$

# The fundamental parameter $\Lambda_{\text{QCD}}$

$$\alpha_s(\mu) = \frac{1}{b_0 \ln \frac{\mu^2}{\Lambda^2}} + \dots$$

# The fundamental parameter $\Lambda_{\text{QCD}}$

$$\alpha_s(\mu) = \frac{1}{b_0 \ln \frac{\mu^2}{\Lambda^2}} + \dots$$

► Naively:

$\Lambda$  is the scale at which the coupling becomes infinite? No, the coupling becomes large before and perturbation theory is unreliable

# The fundamental parameter $\Lambda_{\text{QCD}}$

$$\alpha_s(\mu) = \frac{1}{b_0 \ln \frac{\mu^2}{\Lambda^2}} + \dots$$

- ▶ Naively:  
 $\Lambda$  is the scale at which the coupling becomes infinite? No, the coupling becomes large before and perturbation theory is unreliable
- ▶ Practically:  
 $\Lambda$  sets the scale at which the coupling becomes large and is the scale which effectively controls the hadron masses ( $\sim 200\text{MeV}$ )

# The fundamental parameter $\Lambda_{\text{QCD}}$

$$\alpha_s(\mu) = \frac{1}{b_0 \ln \frac{\mu^2}{\Lambda^2}} + \dots$$

- ▶ Naively:  
 $\Lambda$  is the scale at which the coupling becomes infinite? No, the coupling becomes large before and perturbation theory is unreliable
- ▶ Practically:  
 $\Lambda$  sets the scale at which the coupling becomes large and is the scale which effectively controls the hadron masses ( $\sim 200\text{MeV}$ )
- ▶ Technically:  
 $\Lambda$  is the integration constant in the above formula for  $\alpha_s$ . If one changes the formula,  $\Lambda$  changes (e.g. if one goes from one to two-loops or if one changes the number of active flavours, ‘active’ means with  $m < Q$ )

# The fundamental parameter $\Lambda_{\text{QCD}}$

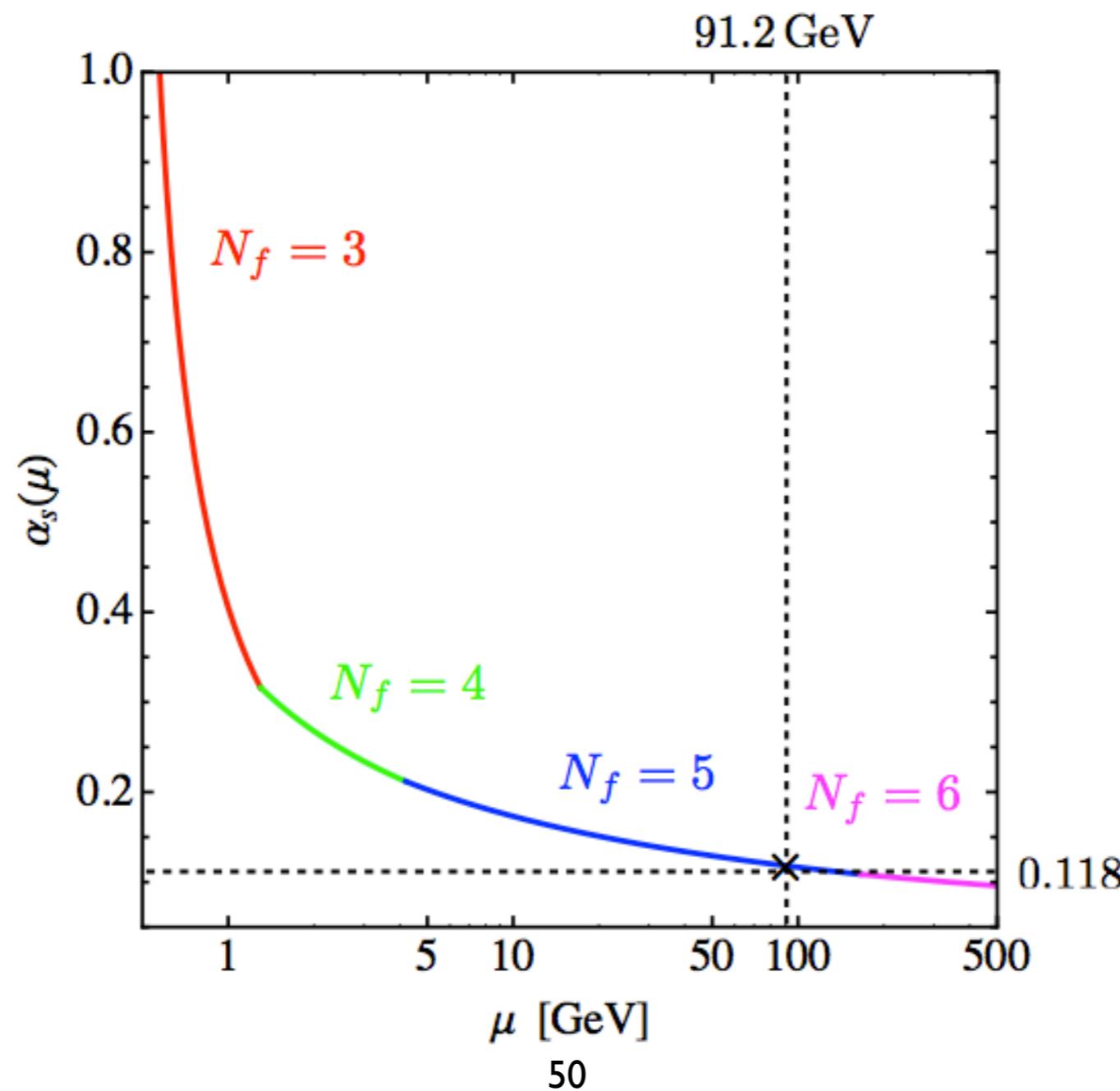
$$\alpha_s(\mu) = \frac{1}{b_0 \ln \frac{\mu^2}{\Lambda^2}} + \dots$$

- ▶ Naively:  
 $\Lambda$  is the scale at which the coupling becomes infinite? No, the coupling becomes large before and perturbation theory is unreliable
- ▶ Practically:  
 $\Lambda$  sets the scale at which the coupling becomes large and is the scale which effectively controls the hadron masses ( $\sim 200\text{MeV}$ )
- ▶ Technically:  
 $\Lambda$  is the integration constant in the above formula for  $\alpha_s$ . If one changes the formula,  $\Lambda$  changes (e.g. if one goes from one to two-loops or if one changes the number of active flavours, ‘active’ means with  $m < Q$ )

**Question:** why does nobody talk about  $\Lambda_{\text{QED}}$ ?

# Active flavours & running coupling

The (active) field content of a theory modifies the running of the couplings



# Renormalization Group Equation

Consider a dimensionless quantity  $A$ , function of a single scale  $Q$ . The dimensionless quantity should be independent of  $Q$ . However in quantum field theory this is not true, as renormalization introduces a second scale  $\mu$

# Renormalization Group Equation

Consider a dimensionless quantity  $A$ , function of a single scale  $Q$ . The dimensionless quantity should be independent of  $Q$ . However in quantum field theory this is not true, as renormalization introduces a second scale  $\mu$

**But the renormalization scale is arbitrary.** The dependence on it must cancel in physical observables up to the order to which one does the calculation.

# Renormalization Group Equation

Consider a dimensionless quantity  $A$ , function of a single scale  $Q$ . The dimensionless quantity should be independent of  $Q$ . However in quantum field theory this is not true, as renormalization introduces a second scale  $\mu$

**But the renormalization scale is arbitrary.** The dependence on it must cancel in physical observables up to the order to which one does the calculation.

So, for any observable  $A$  one can write a **renormalization group equation**

$$\left[ \mu^2 \frac{\partial}{\partial \mu^2} + \mu^2 \frac{\partial \alpha_s}{\partial \mu^2} \frac{\partial}{\partial \alpha_s} \right] A \left( \frac{Q^2}{\mu^2}, \alpha_s(\mu^2) \right) = 0$$

$$\alpha_s = \alpha_s(\mu^2) \quad \beta(\alpha_s) = \mu^2 \frac{\partial \alpha_s}{\partial \mu^2}$$

# Renormalization Group Equation

Consider a dimensionless quantity  $A$ , function of a single scale  $Q$ . The dimensionless quantity should be independent of  $Q$ . However in quantum field theory this is not true, as renormalization introduces a second scale  $\mu$

**But the renormalization scale is arbitrary.** The dependence on it must cancel in physical observables up to the order to which one does the calculation.

So, for any observable  $A$  one can write a **renormalization group equation**

$$\left[ \mu^2 \frac{\partial}{\partial \mu^2} + \mu^2 \frac{\partial \alpha_s}{\partial \mu^2} \frac{\partial}{\partial \alpha_s} \right] A \left( \frac{Q^2}{\mu^2}, \alpha_s(\mu^2) \right) = 0$$

$$\alpha_s = \alpha_s(\mu^2) \quad \beta(\alpha_s) = \mu^2 \frac{\partial \alpha_s}{\partial \mu^2}$$

**The scale dependence of  $A$  enters through the running of the coupling:** knowledge of  $A(1, \alpha_s(Q^2))$  allows one to compute the variation of  $A$  with  $Q$  given the beta-function

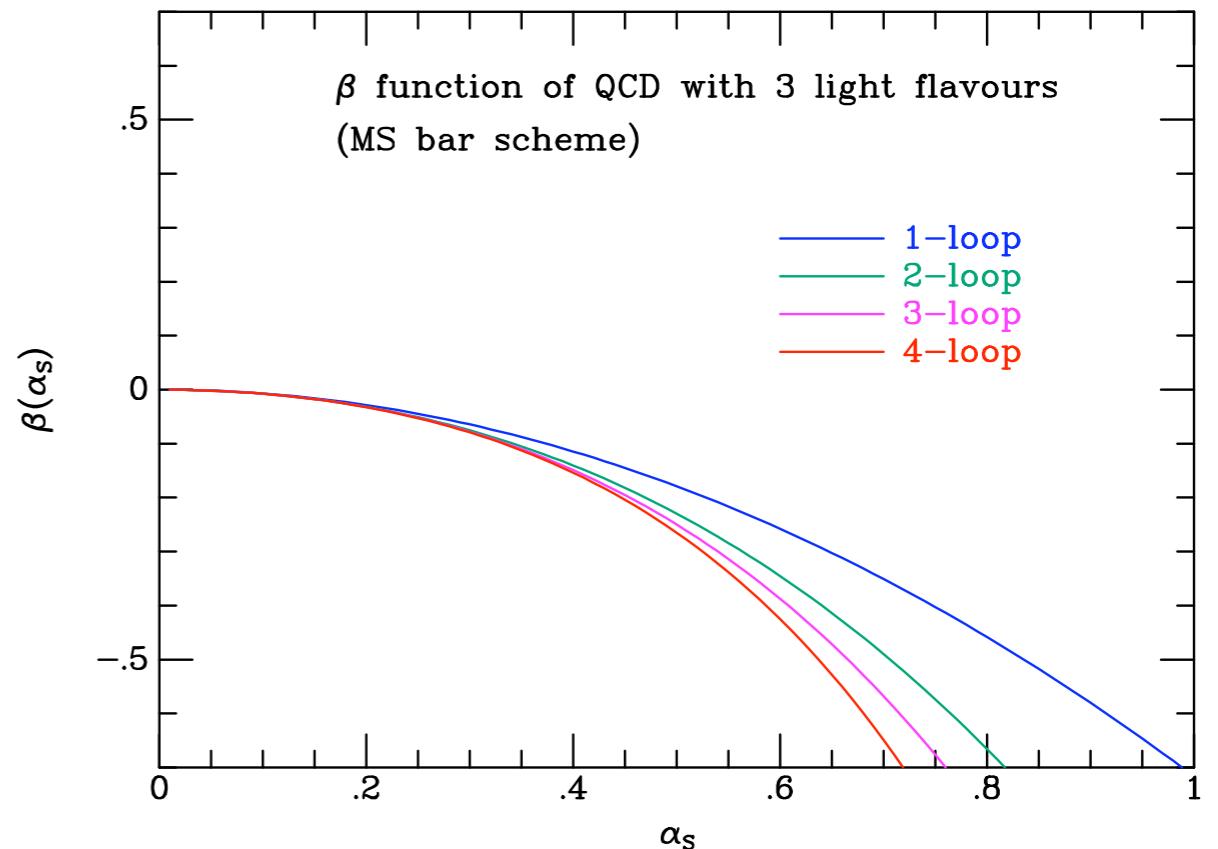
# More on the beta-function

Perturbative expansion of the beta-function:

$$\beta = -\alpha_s^2(\mu) \sum_i b_i \alpha_s^i(\mu)$$

$$b_0 = \frac{11N_c - 4n_f T_R}{12\pi}$$

$$b_1 = \frac{17N_c^2 - 5N_c n_f - 3C_F n_f}{24\pi^2}$$

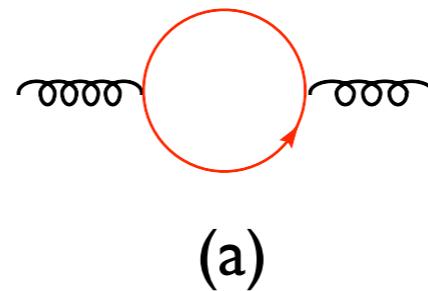


- $n_f$  is the number of active flavours (depends on the scale)
- today, the beta-function known up to four loops, but only first two coefficients are independent of the renormalization scheme (see later)

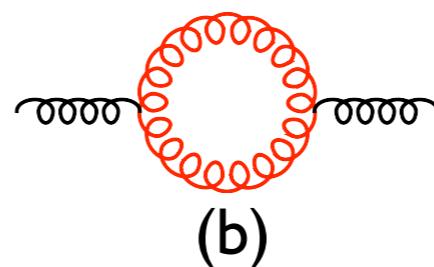
# More on the beta-function

Roughly speaking:

- (a) quark loop vacuum polarization diagram gives a negative contribution to  $b_0 \sim n_f$



- (b) gluon loop gives a positive contribution to  $b_0 \sim N_c$



(b) > (a)  $\Rightarrow b_{0,QCD} > 0 \Rightarrow$  overall negative beta-function in QCD

While in QED (b) = 0  $\Rightarrow b_{0,QED} < 0$

$$\beta_{QED} = \frac{1}{3\pi} \alpha^2 + \dots$$

# Asymptotic freedom

Integrating the differential equation

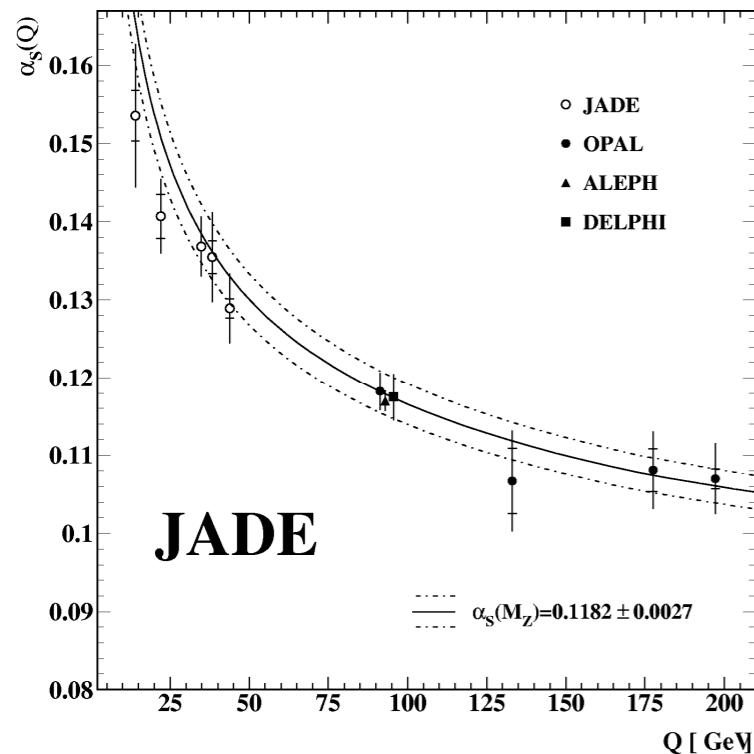
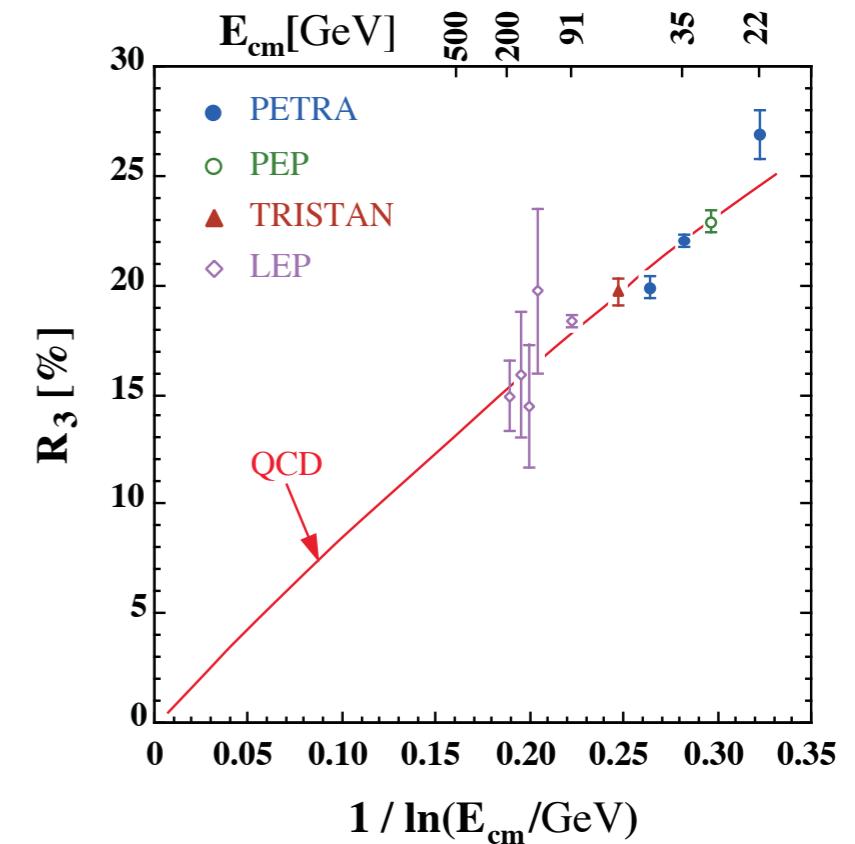
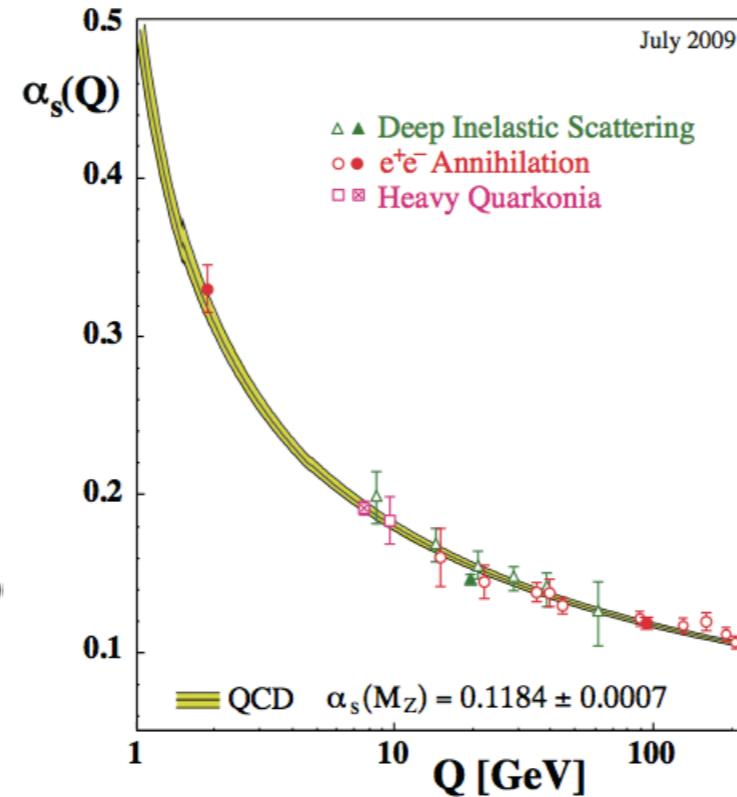
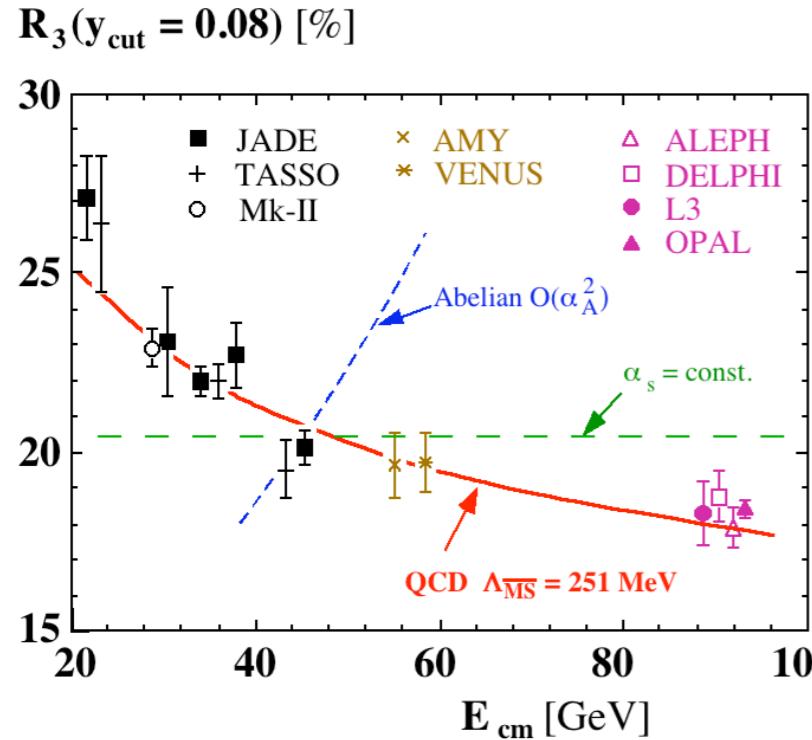
$$\frac{\partial \alpha_s(Q)}{\partial t} = -b_0 \alpha_s^2(Q) + \mathcal{O}(\alpha_s^3) \quad t = \ln \left( \frac{Q^2}{\mu^2} \right)$$

To lowest order one gets

$$\alpha_s(Q) = \frac{\alpha_s(\mu)}{1 + b_0 \ln \frac{Q^2}{\mu^2} \alpha_s(\mu)}$$

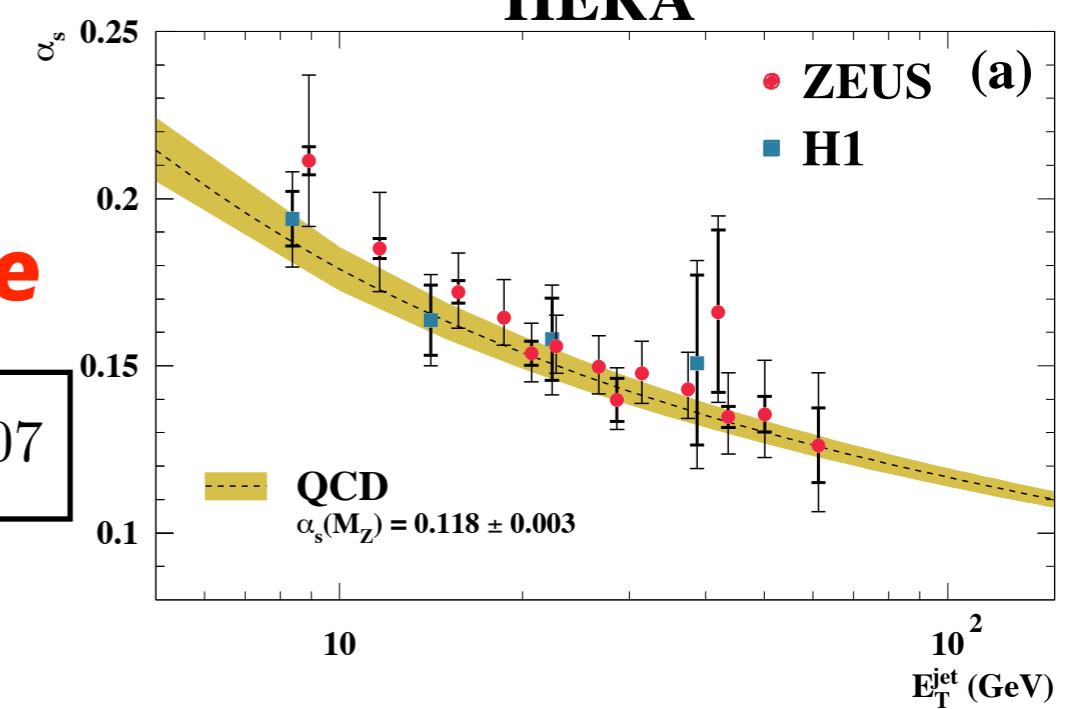
So the coupling constant decreases logarithmically with increasing energy. The statement that the theory becomes free at high energy goes under the name of **asymptotic freedom** [N.B. the sign of  $b_0$  is crucial], i.e. the non-abelian vacuum polarization has an anti-screening effect

# Measurements of the running coupling



**World average**

$$\alpha_s(M_{Z^0}) = 0.1184 \pm 0.007$$



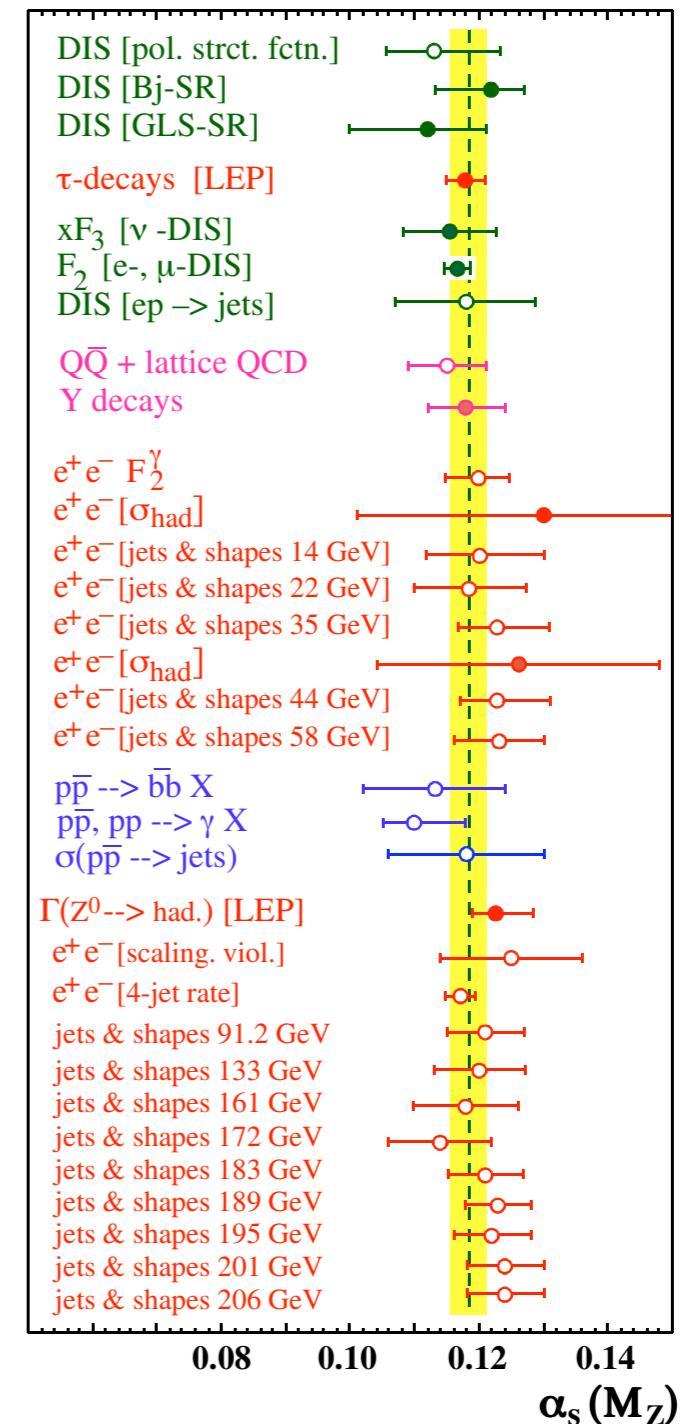
# Measurements of the running coupling

## Summarizing:

- overall consistent picture:  $\alpha_s$  from very different observables compatible
- $\alpha_s$  is not so small at current scales
- $\alpha_s$  decreases slowly at higher energies (logarithmic only)
- higher order corrections are and will remain important

**2009 World average**

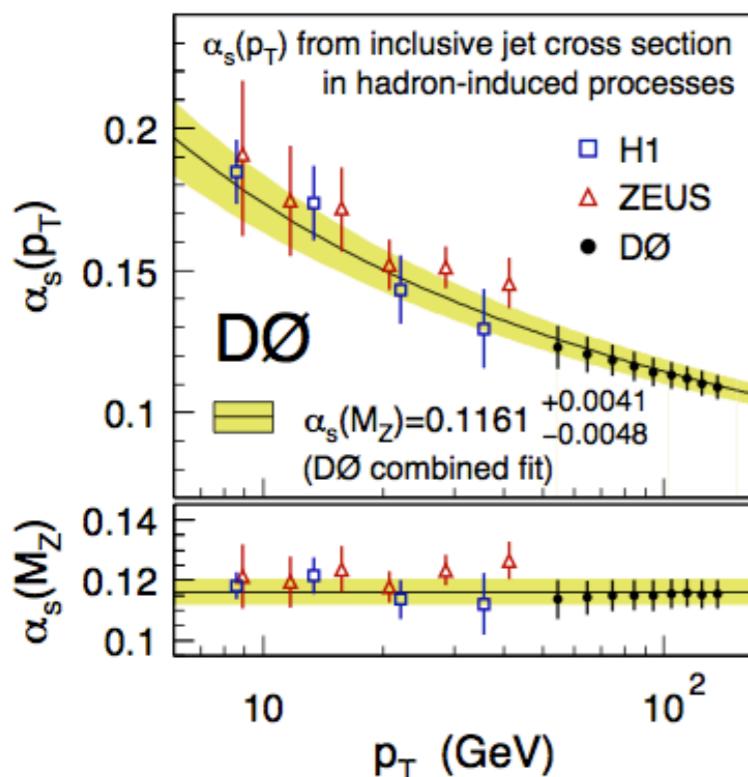
$$\alpha_s(M_{Z^0}) = 0.1184 \pm 0.007$$



# $\alpha_s$ in the year 2011

Preliminary July 2011# :  $\alpha_s = 0.1183 \pm 0.0010$

0911.2710



Process	Q [GeV]	$\alpha_s(M_{Z^0})$	excl. mean $\alpha_s(M_{Z^0})$	std. dev.
$\tau$ -decays	1.78	$0.1197 \pm 0.0016$	$0.1180 \pm 0.0011$	0.9
DIS [ $F_2$ ]	2 - 170	$0.1142 \pm 0.0023$	$0.1186 \pm 0.0013$	1.7
DIS [ $e-p \rightarrow \text{jets}$ ]	6 - 100	$0.1198 \pm 0.0032$	$0.1182 \pm 0.0010$	0.5
Lattice QCD	7.5	$0.1183 \pm 0.0008$	$0.1182 \pm 0.0017$	0.1
$\Upsilon$ decays	9.46	$0.119^{+0.006}_{-0.005}$	$0.1183 \pm 0.0010$	0.1
$e^+e^-$ [jets & shps]	14 - 44	$0.1172 \pm 0.0051$	$0.1183 \pm 0.0010$	0.2
→ $p\bar{p}$ incl. jets	50-145	$0.1161 \pm 0.0045$	$0.1183 \pm 0.0010$	0.4
→ $e^+e^-$ [ew prec. data]	91.2	$0.1193 \pm 0.0028$	$0.1182 \pm 0.0010$	0.4
→ $e^+e^-$ [jets & shps]	91 - 208	$0.1208 \pm 0.0038$	$0.1182 \pm 0.0011$	0.7
→ $e^+e^-$ [5-jet]	91 - 208	$0.1155^{+0.0041}_{-0.0034}$	$0.1183 \pm 0.0010$	0.6

$$\sigma_{\text{pert}} = \left( \sum_n \alpha_s^n c_n \right) \otimes f_1(\alpha_s) \otimes f_2(\alpha_s)$$

Competitive measurements  
at the LHC? Combined fit  
with pdfs or use ratios?

Open issue: treatment of very accurate outliers e.g.

$\alpha_s = 0.1135 \pm 0.0010$  [SCET, thrust at N<sup>3</sup>LO]

Abbate et al. 1106.3080

$\alpha_s = 0.1213 \pm 0.0014$  [ $\tau$ -decays]

Pich 1001.0389

$\alpha_s = 0.1122 \pm 0.0014$  [NNLO DIS]

Alekhin et al. 1001.0389

# Asymptotic freedom & confinement

## Asymptotic freedom:

- coupling smaller at higher energies (smaller distances). Theory becomes effectively free
- a consequence of the sign of the beta function
- perturbation theory predicts asymptotic freedom

# Asymptotic freedom & confinement

## Asymptotic freedom:

- coupling smaller at higher energies (smaller distances). Theory becomes effectively free
- a consequence of the sign of the beta function
- **perturbation theory predicts asymptotic freedom**

## Confinement:

- related to the fact that the coupling increases at small energies
- however, the behavior is theoretically unknown because perturbation theory breaks down (rely on different techniques e.g. lattice QCD)
- we do not have a rigorous explanation for confinement
- we just observe that all partons are confined into color singlet hadrons: if one tries to separate partons it becomes favorable to extract from the QCD vacuum  $q\bar{q}$ -pairs and create hadrons
- **we assume that confinement always holds**

# Intermediate Recap

- QCD is in principle a simple theory based on a **simple Lagrangian with gauge group is SU(3)**
- Simple **color algebra and Feynman rules** are the necessary ingredients for perturbative calculations (see later)
- Today, we know **three families of quarks**, we briefly revisited the experiments which lead to their discovery
- There are **UV divergences** but they are dealt with by renormalization (coupling + masses)
- This is intimately related to the fact that the coupling runs  $\Rightarrow$  **beta-function**
- The theory is **asymptotically free** and **consistent with confinement**

# Next

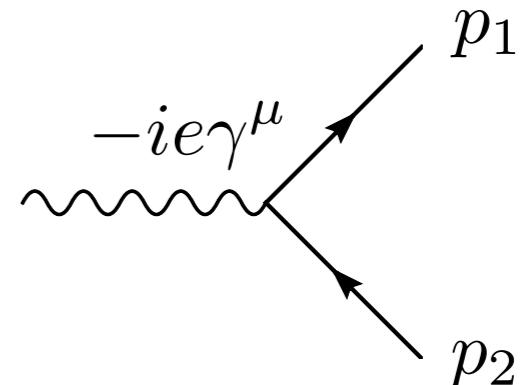
- Infrared and collinear divergences and IRsafety
- Parton model: incoherent sum of all partonic cross-sections
- Sum rules (momentum, charge, flavor conservation)
- Determination of parton densities from data (electron & neutrino scattering in DIS or Drell-Yan)
- Radiative corrections: failure of parton model
- Factorization of initial state divergences into scale dependent parton densities
- DGLAP evolution of parton densities  $\Rightarrow$  measure gluon PDF

# The soft approximation

Let's consider again the R-ratio. This is determined by  $\gamma^* \rightarrow q\bar{q}$

At leading order:

$$M_0^\mu = \bar{u}(p_1)(-ie\gamma^\mu)v(p_2)$$



# The soft approximation

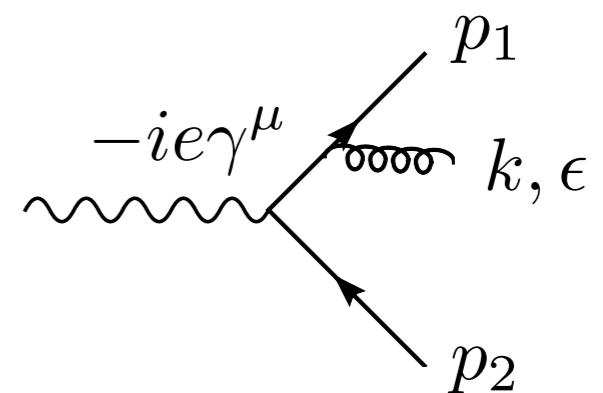
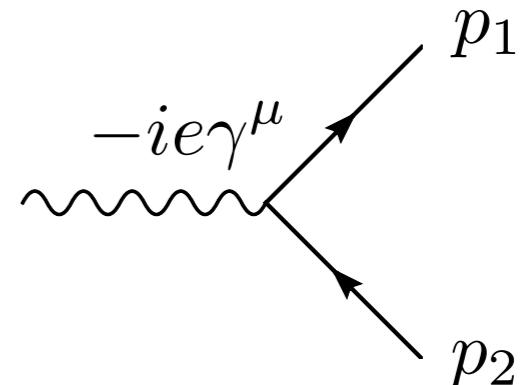
Let's consider again the R-ratio. This is determined by  $\gamma^* \rightarrow q\bar{q}$

At leading order:

$$M_0^\mu = \bar{u}(p_1)(-ie\gamma^\mu)v(p_2)$$

Emit one gluon:

$$\begin{aligned} M_{q\bar{q}g}^\mu &= \bar{u}(p_1)(-ig_s t^a \not{\epsilon}) \frac{i(\not{p}_1 + \not{k})}{(p_1 + k)^2} (-ie\gamma^\mu)v(p_2) \\ &+ \bar{u}(p_1)(-ie\gamma^\mu) \frac{i(\not{p}_2 - \not{k})}{(p_2 - k)^2} (-ig_s t^a \not{\epsilon})v(p_2) \end{aligned}$$



# The soft approximation

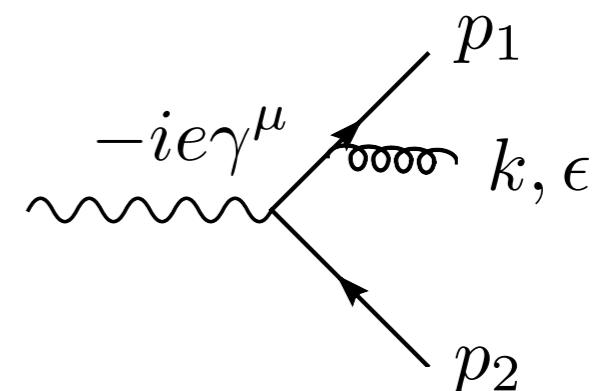
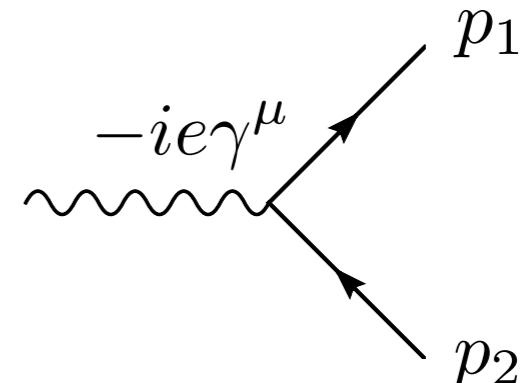
Let's consider again the R-ratio. This is determined by  $\gamma^* \rightarrow q\bar{q}$

At leading order:

$$M_0^\mu = \bar{u}(p_1)(-ie\gamma^\mu)v(p_2)$$

Emit one gluon:

$$\begin{aligned} M_{q\bar{q}g}^\mu &= \bar{u}(p_1)(-ig_s t^a \not{\epsilon}) \frac{i(\not{p}_1 + \not{k})}{(p_1 + k)^2} (-ie\gamma^\mu)v(p_2) \\ &+ \bar{u}(p_1)(-ie\gamma^\mu) \frac{i(\not{p}_2 - \not{k})}{(p_2 - k)^2} (-ig_s t^a \not{\epsilon})v(p_2) \end{aligned}$$



Consider the soft approximation:  $k \ll p_1, p_2$

$$M_{q\bar{q}g}^\mu = \bar{u}(p_1)((-ie\gamma^\mu)(-ig_s t^a)v(p_2)) \left( \frac{p_1 \epsilon}{p_1 k} - \frac{p_2 \epsilon}{p_2 k} \right) \Rightarrow \text{factorization of soft part}$$

# Soft divergences

The squared amplitude becomes

$$\begin{aligned} |M_{q\bar{q}g}^\mu|^2 &= \sum_{\text{pol}} \left| \bar{u}(p_1) ((-ie\gamma^\mu)(-ig_s t^a)v(p_2)) \left( \frac{p_1 \epsilon}{p_1 k} - \frac{p_2 \epsilon}{p_2 k} \right) \right|^2 \\ &= |M_{q\bar{q}}|^2 C_F g_s^2 \frac{2p_1 p_2}{(p_1 k)(p_2 k)} \end{aligned}$$

# Soft divergences

The squared amplitude becomes

$$\begin{aligned} |M_{q\bar{q}g}^\mu|^2 &= \sum_{\text{pol}} \left| \bar{u}(p_1) ((-ie\gamma^\mu)(-ig_s t^a)v(p_2)) \left( \frac{p_1 \epsilon}{p_1 k} - \frac{p_2 \epsilon}{p_2 k} \right) \right|^2 \\ &= |M_{q\bar{q}}|^2 C_F g_s^2 \frac{2p_1 p_2}{(p_1 k)(p_2 k)} \end{aligned}$$

Including phase space

$$\begin{aligned} d\phi_{q\bar{q}g} |M_{q\bar{q}g}|^2 &= d\phi_{q\bar{q}} |M_{q\bar{q}}|^2 \frac{d^3 k}{2\omega(2\pi)^3} C_F g_s^2 \frac{2p_1 p_2}{(p_1 k)(p_2 k)} \\ &= d\phi_{q\bar{q}} |M_{q\bar{q}}|^2 \omega d\omega d\cos\theta \frac{d\phi}{2\pi} \frac{2\alpha_s C_F}{\pi} \frac{1}{\omega^2(1-\cos^2\theta)} \end{aligned}$$

# Soft divergences

The squared amplitude becomes

$$\begin{aligned}
 |M_{q\bar{q}g}^\mu|^2 &= \sum_{\text{pol}} \left| \bar{u}(p_1) ((-ie\gamma^\mu)(-ig_s t^a)v(p_2)) \left( \frac{p_1 \epsilon}{p_1 k} - \frac{p_2 \epsilon}{p_2 k} \right) \right|^2 \\
 &= |M_{q\bar{q}}|^2 C_F g_s^2 \frac{2p_1 p_2}{(p_1 k)(p_2 k)}
 \end{aligned}$$

Including phase space

$$\begin{aligned}
 d\phi_{q\bar{q}g} |M_{q\bar{q}g}|^2 &= d\phi_{q\bar{q}} |M_{q\bar{q}}|^2 \frac{d^3 k}{2\omega(2\pi)^3} C_F g_s^2 \frac{2p_1 p_2}{(p_1 k)(p_2 k)} \\
 &= d\phi_{q\bar{q}} |M_{q\bar{q}}|^2 \omega d\omega d\cos\theta \frac{d\phi}{2\pi} \frac{2\alpha_s C_F}{\pi} \frac{1}{\omega^2(1-\cos^2\theta)}
 \end{aligned}$$

The differential cross section is

$$d\sigma_{q\bar{q}g} = d\sigma_{q\bar{q}} \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$

# Soft & collinear divergences

Cross section for producing a  $q\bar{q}$ -pair and a gluon is infinite (IR divergent)

$$d\sigma_{q\bar{q}g} = d\sigma_{q\bar{q}} \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin \theta} \frac{d\phi}{2\pi}$$

$\omega \rightarrow 0$ : soft divergence

$\theta \rightarrow 0$ : collinear divergence

# Soft & collinear divergences

Cross section for producing a  $q\bar{q}$ -pair and a gluon is infinite (IR divergent)

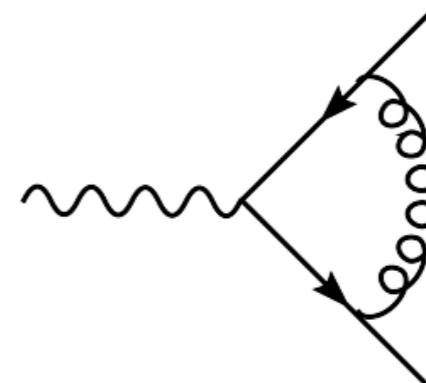
$$d\sigma_{q\bar{q}g} = d\sigma_{q\bar{q}} \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$

$\omega \rightarrow 0$ : soft divergence

$\theta \rightarrow 0$ : collinear divergence

But the full  $O(\alpha_s)$  correction to  $R$  is finite, because one must include a virtual correction which cancels the divergence of the real radiation

$$d\sigma_{q\bar{q},v} \sim -d\sigma_{q\bar{q}} \frac{2\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{d\theta}{\sin\theta} \frac{d\phi}{2\pi}$$



NB: here we kept only soft terms, if we do the full calculation one gets a finite correction of  $\alpha_s/\pi$

# Soft & collinear divergences

$\omega \rightarrow 0$  soft divergence: the four-momentum of the emitted particle approaches zero, typical of gauge theories, even if matter (radiating particle) is **massive**

$\theta \rightarrow 0$  collinear divergence: particle emitted collinear to emitter.  
Divergence present only if **all particles involved are massless**

NB: the appearance of soft and collinear divergences discussed in the specific context of  $e^+e^- \rightarrow qq$  are a general property of QCD

# Infrared safety (= finiteness)

So, the R-ratio is an infrared safe quantity.

In perturbation theory one can compute only IR-safe quantities, otherwise get infinities, which can not be renormalized away (why not?)

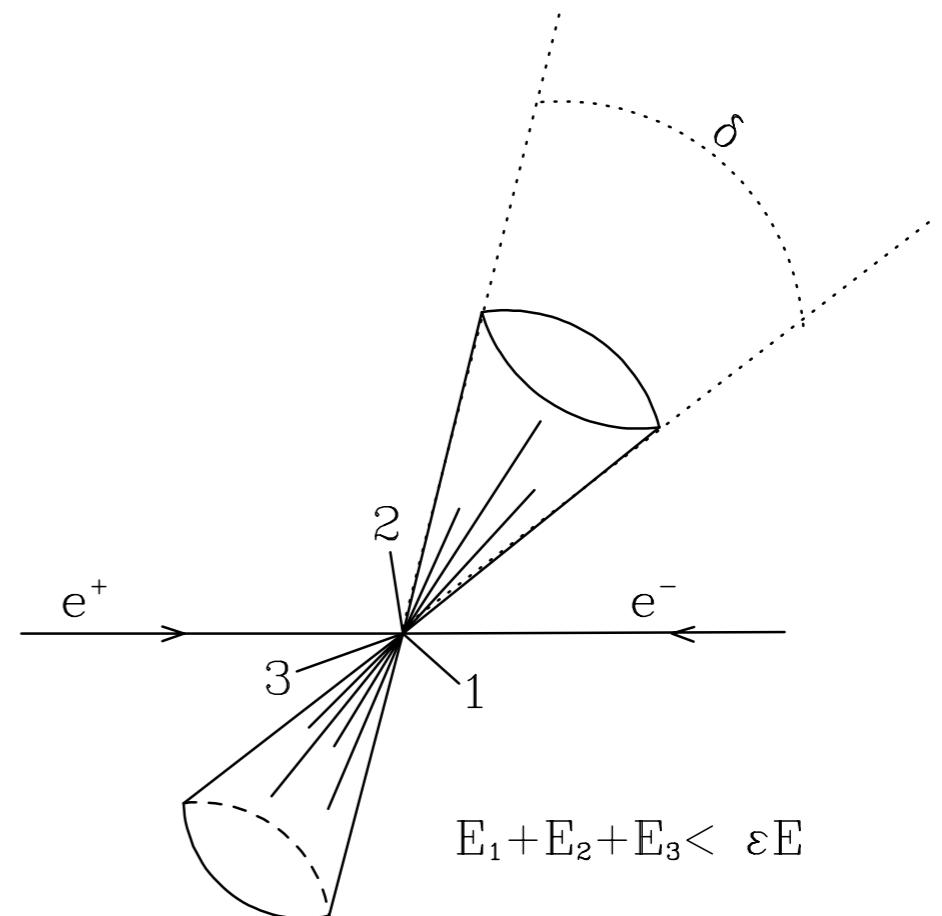
So, the natural questions are:

- are there other IR-safe quantities?
- what property of R guarantees its IR-safety?

# Sterman-Weinberg jets

First formulation of cross-sections which are finite in perturbation theory and describe the hadronic final state

Introduce two parameters  $\varepsilon$  and  $\delta$ :  
a pair of **Sterman-Weinberg jets** are  
two cones of opening angle  $\delta$  that  
contain all the energy of the event  
excluding at most a fraction  $\varepsilon$

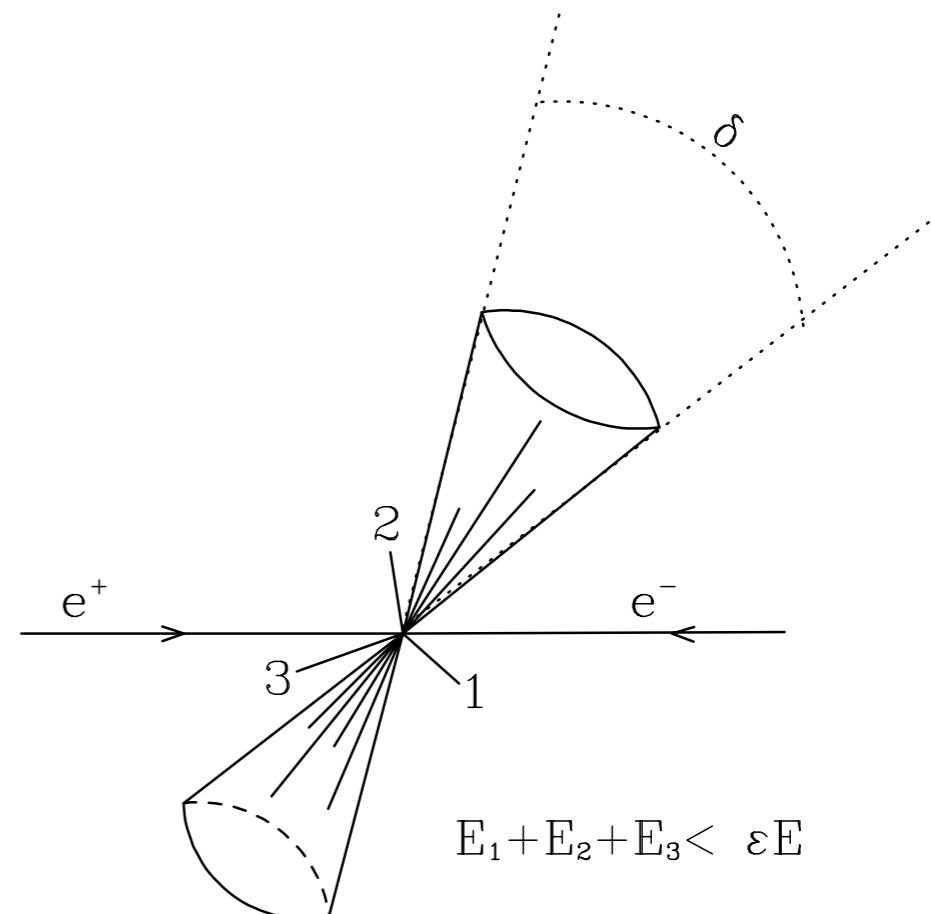


# Sterman-Weinberg jets

First formulation of cross-sections which are finite in perturbation theory and describe the hadronic final state

Introduce two parameters  $\varepsilon$  and  $\delta$ :  
a pair of **Sterman-Weinberg jets** are  
two cones of opening angle  $\delta$  that  
contain all the energy of the event  
excluding at most a fraction  $\varepsilon$

**Why finite?** the cancellation between  
real and virtual is not destroyed in  
the soft/collinear regions

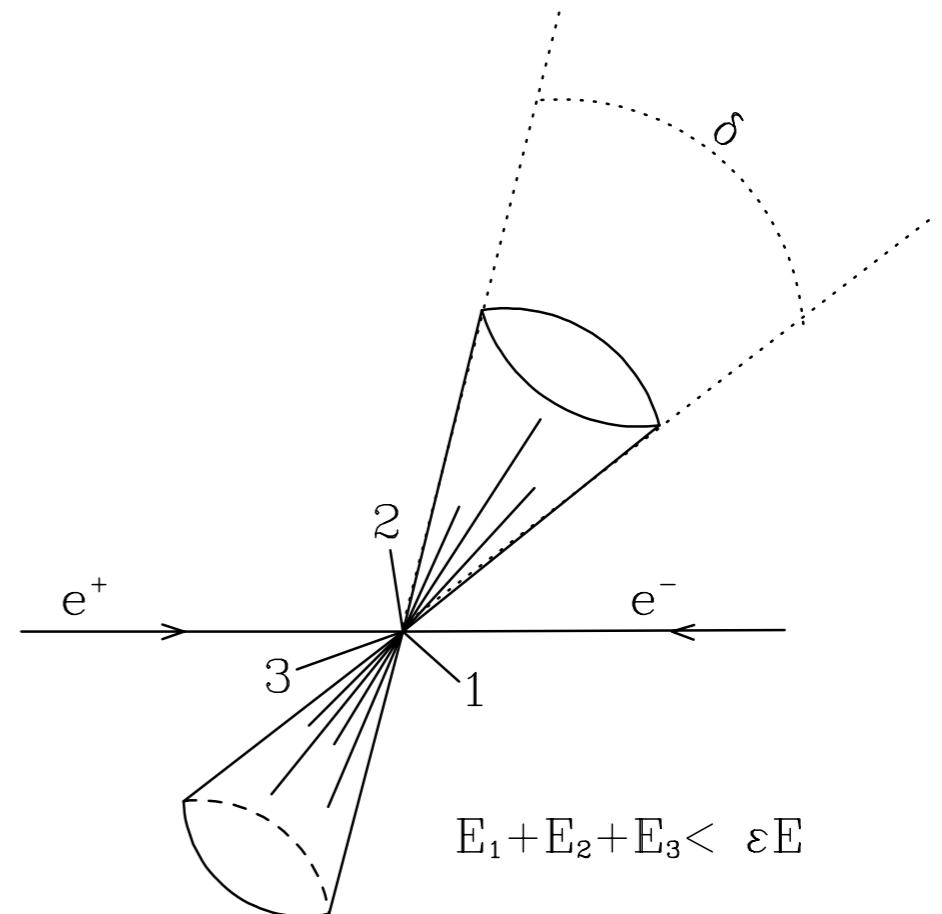


# Sterman-Weinberg jets

First formulation of cross-sections which are finite in perturbation theory and describe the hadronic final state

Introduce two parameters  $\varepsilon$  and  $\delta$ :  
a pair of **Sterman-Weinberg jets** are  
two cones of opening angle  $\delta$  that  
contain all the energy of the event  
excluding at most a fraction  $\varepsilon$

**Why finite?** the cancelation between  
real and virtual is not destroyed in  
the soft/collinear regions



**Kinoshita-Lee-Nauenberg (KLN) theorem:**

final-state infrared divergences cancel in measurable quantities (transition probabilities, cross-sections summed over indistinguishable states...)

# Sterman-Weinberg jets

The Sterman-Weinberg jet cross-section up to  $\mathcal{O}(\alpha_s)$  is given by

$$\sigma_1 = \sigma_0 \left( 1 + \frac{2\alpha_s C_F}{\pi} \ln \epsilon \ln \delta^2 \right)$$

Effective expansion parameter in QCD is often  $\alpha_s C_F / \pi$  not  $\alpha_s$

$\alpha_s$ -expansion enhanced by a double log: left-over from real-virtual cancellation

- if more gluons are emitted, one gets for each gluon
  - a power of  $\alpha_s C_F / \pi$
  - a soft logarithm  $\ln \epsilon$
  - a collinear logarithm  $\ln \delta$
- if  $\epsilon$  and/or  $\delta$  become too small the above result diverges
- if **the logs are large, fixed order meaningless**, one needs to resum large infrared and collinear logarithms to all orders in the coupling constant

# Infrared safety: definition

An observable  $\mathcal{O}$  is infrared and collinear safe if

$$\mathcal{O}_{n+1}(k_1, k_2, \dots, k_i, k_j, \dots, k_n) \rightarrow \mathcal{O}_n(k_1, k_2, \dots, k_i + k_j, \dots, k_n)$$

whenever one of the  $k_i/k_j$  becomes soft or  $k_i$  and  $k_j$  are collinear

i.e. the observable is **insensitive to emission of soft particles or to collinear splittings**

# Infrared safety: examples

Infrared safe ?

- ▶ energy of the hardest particle in the event
- ▶ multiplicity of gluons
- ▶ momentum flow into a cone in rapidity and angle
- ▶ cross-section for producing one gluon with  $E > E_{\min}$  and  $\theta > \theta_{\min}$
- ▶ jet cross-sections

# Infrared safety: examples

Infrared safe ?

- ▶ energy of the hardest particle in the event NO
- ▶ multiplicity of gluons
- ▶ momentum flow into a cone in rapidity and angle
- ▶ cross-section for producing one gluon with  $E > E_{\min}$  and  $\theta > \theta_{\min}$
- ▶ jet cross-sections

# Infrared safety: examples

Infrared safe ?

- ▶ energy of the hardest particle in the event NO
- ▶ multiplicity of gluons NO
- ▶ momentum flow into a cone in rapidity and angle
- ▶ cross-section for producing one gluon with  $E > E_{\min}$  and  $\theta > \theta_{\min}$
- ▶ jet cross-sections

# Infrared safety: examples

Infrared safe ?

- ▶ energy of the hardest particle in the event NO
- ▶ multiplicity of gluons NO
- ▶ momentum flow into a cone in rapidity and angle YES
- ▶ cross-section for producing one gluon with  $E > E_{\min}$  and  $\theta > \theta_{\min}$
- ▶ jet cross-sections

# Infrared safety: examples

Infrared safe ?

- ▶ energy of the hardest particle in the event NO
- ▶ multiplicity of gluons NO
- ▶ momentum flow into a cone in rapidity and angle YES
- ▶ cross-section for producing one gluon with  $E > E_{\min}$  and  $\theta > \theta_{\min}$  NO
- ▶ jet cross-sections

# Infrared safety: examples

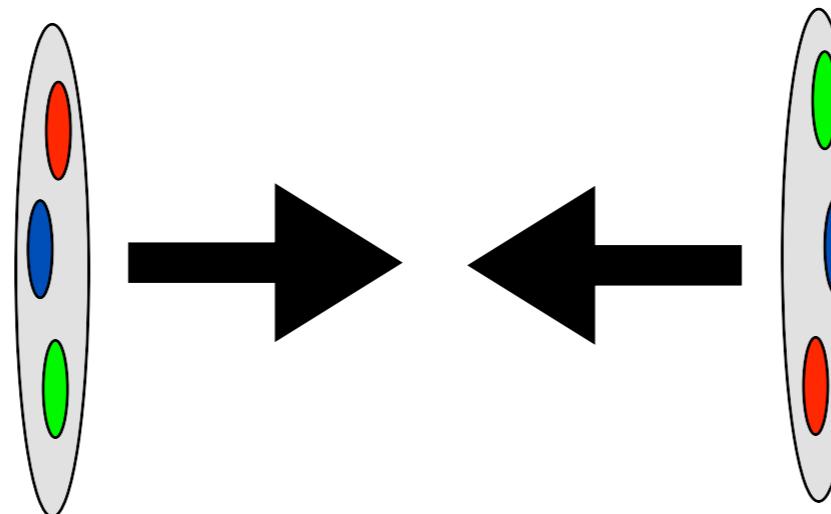
Infrared safe ?

- ▶ energy of the hardest particle in the event NO
- ▶ multiplicity of gluons NO
- ▶ momentum flow into a cone in rapidity and angle YES
- ▶ cross-section for producing one gluon with  $E > E_{\min}$  and  $\theta > \theta_{\min}$  NO
- ▶ jet cross-sections DEPENDS

# Partons in the initial state

- We talked a lot about final state QCD effects
- This is the only thing to worry about at  $e^+e^-$  colliders (LEP)
- Hera/Tevatron/LHC involve protons in the initial state
- Proton are made of QCD constituents

Next we will focus mainly on aspects related to initial state effects

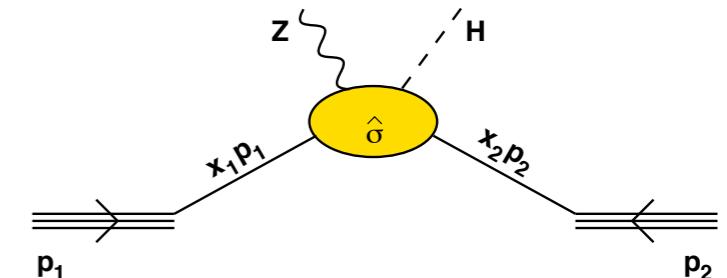


# The parton model

**Basic idea of the parton model:** intuitive picture where in a high transverse momentum scattering partons behave as quasi free in the collision  
⇒ cross section is the incoherent sum of all partonic cross-sections

$$\sigma = \int dx_1 dx_2 f_1^{(P_1)}(x_1) f_2^{(P_2)}(x_2) \hat{\sigma}(x_1 x_2 s) \quad \hat{s} = x_1 x_2 s$$

NB: This formula is wrong/incomplete (see later)



$f_i^{(P_j)}(x_i)$ : **parton distribution function (PDF)** is the probability to find parton  $i$  in hadron  $j$  with a fraction  $x_i$  of the longitudinal momentum (transverse momentum neglected), **extracted from data**

$\hat{\sigma}(x_1 x_2 s)$ : **partonic cross-section** for a given scattering process, **computed in perturbative QCD**

# Sum rules

Momentum sum rule: conservation of incoming total momentum

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

# Sum rules

Momentum sum rule: conservation of incoming total momentum

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

Conservation of flavour: e.g. for a proton

$$\int_0^1 dx \left( f_u^{(p)}(x) - f_{\bar{u}}^{(p)}(x) \right) = 2$$

$$\int_0^1 dx \left( f_d^{(p)}(x) - f_{\bar{d}}^{(p)}(x) \right) = 1$$

$$\int_0^1 dx \left( f_s^{(p)}(x) - f_{\bar{s}}^{(p)}(x) \right) = 0$$

In the proton: u, d valence quarks, all other quarks are called sea-quarks

# Sum rules

Momentum sum rule: conservation of incoming total momentum

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

Conservation of flavour: e.g. for a proton

$$\int_0^1 dx \left( f_u^{(p)}(x) - f_{\bar{u}}^{(p)}(x) \right) = 2$$

$$\int_0^1 dx \left( f_d^{(p)}(x) - f_{\bar{d}}^{(p)}(x) \right) = 1$$

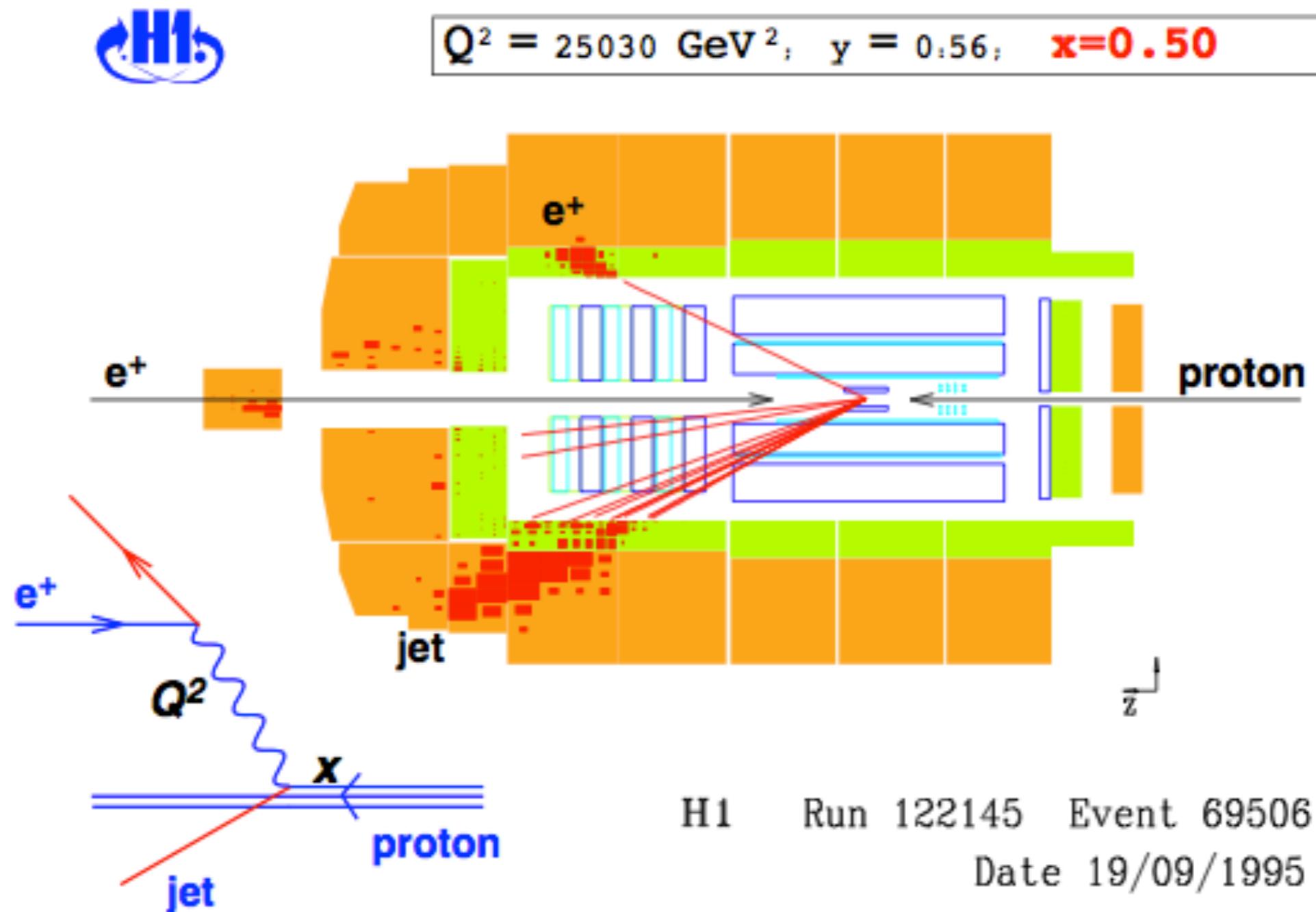
$$\int_0^1 dx \left( f_s^{(p)}(x) - f_{\bar{s}}^{(p)}(x) \right) = 0$$

In the proton: u, d valence quarks, all other quarks are called sea-quarks

How can parton densities be extracted from data?

# Deep inelastic scattering

Easier than processes with two incoming hadrons is the scattering of a lepton on a (anti)-proton

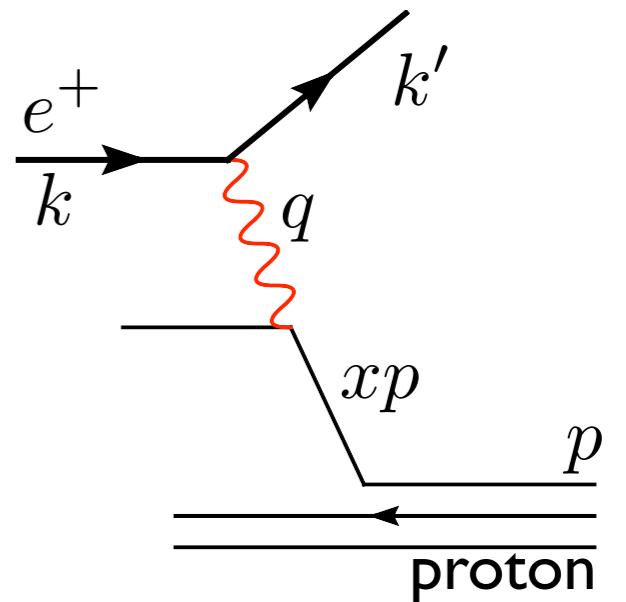


# Deep inelastic scattering

Easier than processes with two incoming hadrons is the scattering of a lepton on a (anti)-proton

Kinematics:

$$Q^2 = -q^2 \quad s = (k + p)^2 \quad x_{Bj} = \frac{Q^2}{2p \cdot q} \quad y = \frac{p \cdot q}{k \cdot p}$$

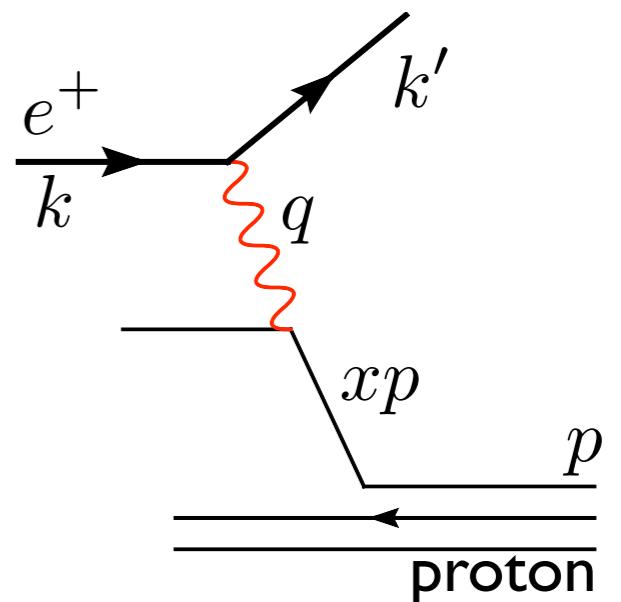


# Deep inelastic scattering

Easier than processes with two incoming hadrons is the scattering of a lepton on a (anti)-proton

Kinematics:

$$Q^2 = -q^2 \quad s = (k + p)^2 \quad x_{Bj} = \frac{Q^2}{2p \cdot q} \quad y = \frac{p \cdot q}{k \cdot p}$$



Partonic variables:

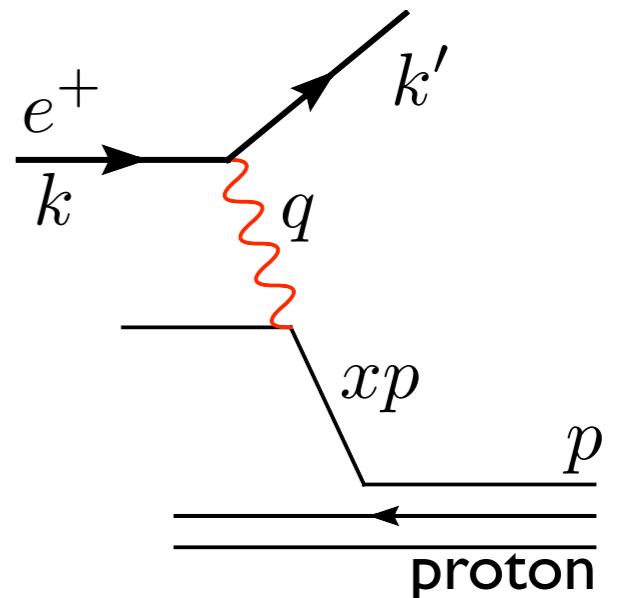
$$\hat{p} = xp \quad \hat{s} = (k + \hat{p})^2 = 2k \cdot \hat{p} \quad \hat{y} = \frac{\hat{p} \cdot q}{k \cdot \hat{p}} = y \quad (\hat{p} + q)^2 = 2\hat{p} \cdot q - Q^2 = 0 \\ \Rightarrow x = x_{Bj}$$

# Deep inelastic scattering

Easier than processes with two incoming hadrons is the scattering of a lepton on a (anti)-proton

Kinematics:

$$Q^2 = -q^2 \quad s = (k + p)^2 \quad x_{Bj} = \frac{Q^2}{2p \cdot q} \quad y = \frac{p \cdot q}{k \cdot p}$$



Partonic variables:

$$\hat{p} = xp \quad \hat{s} = (k + \hat{p})^2 = 2k \cdot \hat{p} \quad \hat{y} = \frac{\hat{p} \cdot q}{k \cdot \hat{p}} = y \quad (\hat{p} + q)^2 = 2\hat{p} \cdot q - Q^2 = 0 \\ \Rightarrow x = x_{Bj}$$

Partonic cross section:

(just apply QED Feynman rules  
and add phase space)

$$\frac{d\hat{\sigma}}{d\hat{y}} = q_l^2 \frac{\hat{s}}{Q^4} 2\pi \alpha_{em} (1 + (1 - \hat{y})^2)$$

# Deep inelastic scattering

Hadronic cross section:

$$\frac{d\sigma}{dy} = \int dx \sum_l f_l^{(p)}(x) \frac{d\hat{\sigma}}{d\hat{y}}$$

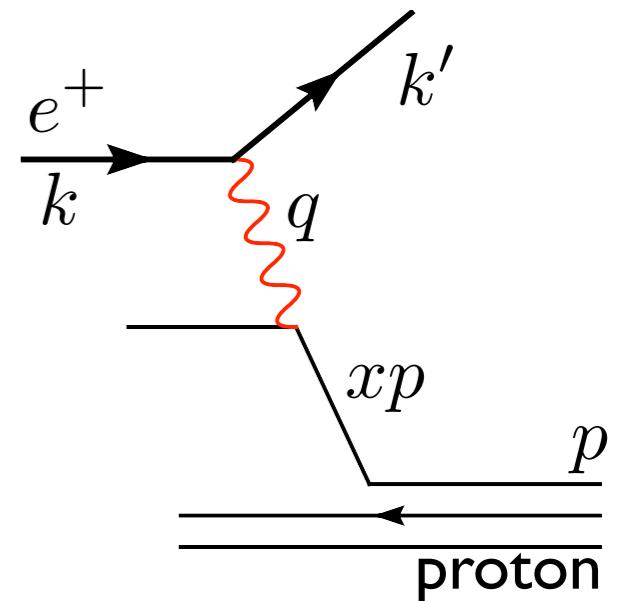
# Deep inelastic scattering

Hadronic cross section:

$$\frac{d\sigma}{dy} = \int dx \sum_l f_l^{(p)}(x) \frac{d\hat{\sigma}}{d\hat{y}}$$

Using  $x = x_{Bj}$

$$\begin{aligned}\frac{d\sigma}{dy dx_{Bj}} &= \sum_l f_l^{(p)}(x) \frac{d\hat{\sigma}}{d\hat{y}} \\ &= \frac{2\pi \alpha_{em}^2 s x_{Bj}}{Q^4} (1 + (1 - y)^2) \sum_l q_l^2 f_l^{(p)}(x_{Bj})\end{aligned}$$



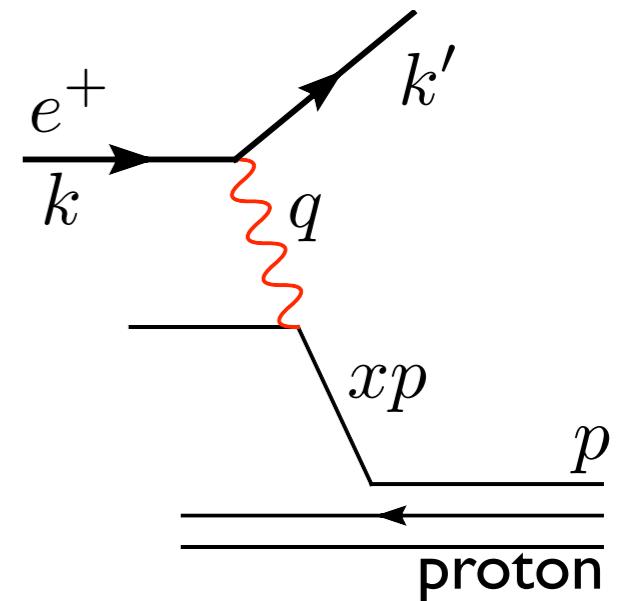
# Deep inelastic scattering

Hadronic cross section:

$$\frac{d\sigma}{dy} = \int dx \sum_l f_l^{(p)}(x) \frac{d\hat{\sigma}}{d\hat{y}}$$

Using  $x = x_{Bj}$

$$\begin{aligned} \frac{d\sigma}{dy dx_{Bj}} &= \sum_l f_l^{(p)}(x) \frac{d\hat{\sigma}}{d\hat{y}} \\ &= \frac{2\pi \alpha_{em}^2 s x_{Bj}}{Q^4} (1 + (1 - y)^2) \sum_l q_l^2 f_l^{(p)}(x_{Bj}) \end{aligned}$$



1. at fixed  $x_{Bj}$  and  $y$  the cross-section scales with  $s$
2. the  $y$ -dependence of the cross-section is fully predicted and is typical of vector interaction with fermions  $\Rightarrow$  Callan-Gross relation
3. can access (sums of) parton distribution functions
4. Bjorken scaling: pdfs depend on  $x$  and not on  $Q^2$

# The structure function $F_2$

$$\frac{d\sigma}{dydx} = \frac{2\pi\alpha_{em}^2 s}{Q^4} (1 + (1 - y^2) F_2(x)) \quad F_2(x) = \sum_l x q_l^2 f_l^{(p)}(x)$$

$F_2$  is called **structure function** (describes structure/constituents of nucleus)

For electron scattering on proton

$$F_2(x) = x \left( \frac{4}{9} u(x) + \frac{1}{9} d(x) \right)$$

NB: use perturbative language of quarks and gluons despite the fact that parton distribution are non-perturbative

Question:  $F_2$  gives only a linear combination of  $u$  and  $d$ . How can they be extracted separately?

# Isospin

Neutron is like a proton with u & d exchanged

# Isospin

Neutron is like a proton with u & d exchanged

For electron scattering on a proton

$$F_2^p(x) = x \left( \frac{4}{9}u_p(x) + \frac{1}{9}d_p(x) \right)$$

# Isospin

Neutron is like a proton with u & d exchanged

For electron scattering on a proton

$$F_2^p(x) = x \left( \frac{4}{9}u_p(x) + \frac{1}{9}d_p(x) \right)$$

For electron scattering on a neutron

$$F_2^n(x) = x \left( \frac{1}{9}d_n(x) + \frac{4}{9}u_n(x) \right) = x \left( \frac{4}{9}d_p(x) + \frac{1}{9}u_p(x) \right)$$

# Isospin

Neutron is like a proton with u & d exchanged

For electron scattering on a proton

$$F_2^p(x) = x \left( \frac{4}{9}u_p(x) + \frac{1}{9}d_p(x) \right)$$

For electron scattering on a neutron

$$F_2^n(x) = x \left( \frac{1}{9}d_n(x) + \frac{4}{9}u_n(x) \right) = x \left( \frac{4}{9}d_p(x) + \frac{1}{9}u_p(x) \right)$$

$F_2^n$  and  $F_2^p$  allow determination of  $u_p$  and  $d_p$  separately

# Isospin

Neutron is like a proton with u & d exchanged

For electron scattering on a proton

$$F_2^p(x) = x \left( \frac{4}{9}u_p(x) + \frac{1}{9}d_p(x) \right)$$

For electron scattering on a neutron

$$F_2^n(x) = x \left( \frac{1}{9}d_n(x) + \frac{4}{9}u_n(x) \right) = x \left( \frac{4}{9}d_p(x) + \frac{1}{9}u_p(x) \right)$$

$F_2^n$  and  $F_2^p$  allow determination of  $u_p$  and  $d_p$  separately

NB: experimentally get  $F_2^n$  from deuteron:  $F_2^d(x) = F_2^p(x) + F_2^n(x)$

# Sea quark distributions

Inside the proton there are fluctuations, and pairs of  $u\bar{u}, d\bar{d}, c\bar{c}, s\bar{s}$  ... can be created

An infinite number of pairs can be created as long as they have very low momentum, because of the momentum sum rules.

We saw before that when we say that the proton is made of uud what we mean is

$$\int_0^1 dx (u_p(x) - \bar{u}_p(x)) = 2 \quad \int_0^1 dx (d_p(x) - \bar{d}_p(x)) = 1$$

# Sea quark distributions

Inside the proton there are fluctuations, and pairs of  $u\bar{u}, d\bar{d}, c\bar{c}, s\bar{s} \dots$  can be created

An infinite number of pairs can be created as long as they have very low momentum, because of the momentum sum rules.

We saw before that when we say that the proton is made of uud what we mean is

$$\int_0^1 dx (u_p(x) - \bar{u}_p(x)) = 2 \quad \int_0^1 dx (d_p(x) - \bar{d}_p(x)) = 1$$

Photons interact in the same way with  $u(d)$  and  $\bar{u}(\bar{d})$

How can one measure the difference?

Question: What interacts differently with particle and antiparticle?

# Sea quark distributions

Inside the proton there are fluctuations, and pairs of  $u\bar{u}, d\bar{d}, c\bar{c}, s\bar{s}$  ... can be created

An infinite number of pairs can be created as long as they have very low momentum, because of the momentum sum rules.

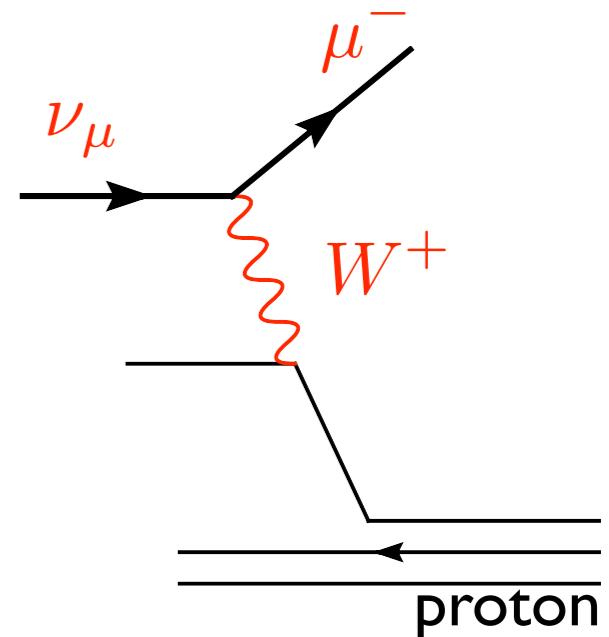
We saw before that when we say that the proton is made of uud what we mean is

$$\int_0^1 dx (u_p(x) - \bar{u}_p(x)) = 2 \quad \int_0^1 dx (d_p(x) - \bar{d}_p(x)) = 1$$

Photons interact in the same way with  $u(d)$  and  $\bar{u}(\bar{d})$

How can one measure the difference?

Question: What interacts differently with particle and antiparticle?  $W^+/W^-$  from neutrino scattering



# Check of the momentum sum rule

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

u <sub>v</sub>	0.267
d <sub>v</sub>	0.111
u <sub>s</sub>	0.066
d <sub>s</sub>	0.053
s <sub>s</sub>	0.033
c <sub>c</sub>	0.016
total	0.546

→ *half of the longitudinal momentum is missing*

What is missing?

# Check of the momentum sum rule

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

u <sub>v</sub>	0.267
d <sub>v</sub>	0.111
u <sub>s</sub>	0.066
d <sub>s</sub>	0.053
s <sub>s</sub>	0.033
c <sub>c</sub>	0.016
total	0.546

→ *half of the longitudinal momentum is missing*

What is missing?

The gluon!

# Check of the momentum sum rule

$$\int_0^1 dx \sum_i x f_i^{(p)}(x) = 1$$

u <sub>v</sub>	0.267
d <sub>v</sub>	0.111
u <sub>s</sub>	0.066
d <sub>s</sub>	0.053
s <sub>s</sub>	0.033
c <sub>c</sub>	0.016
total	0.546

→ *half of the longitudinal momentum is missing*

What is missing?

The gluon!

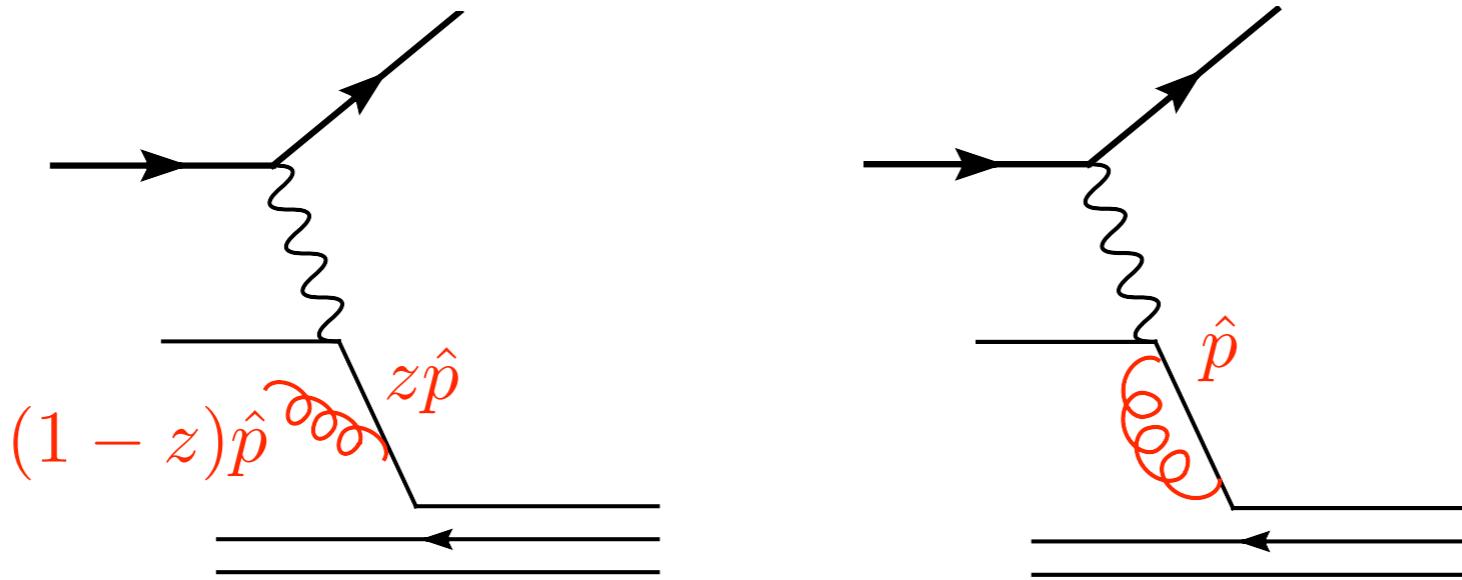
γ/W<sup>+-</sup> don't interact with gluons

How can one measure gluon parton densities?

We need to discuss radiative effects first

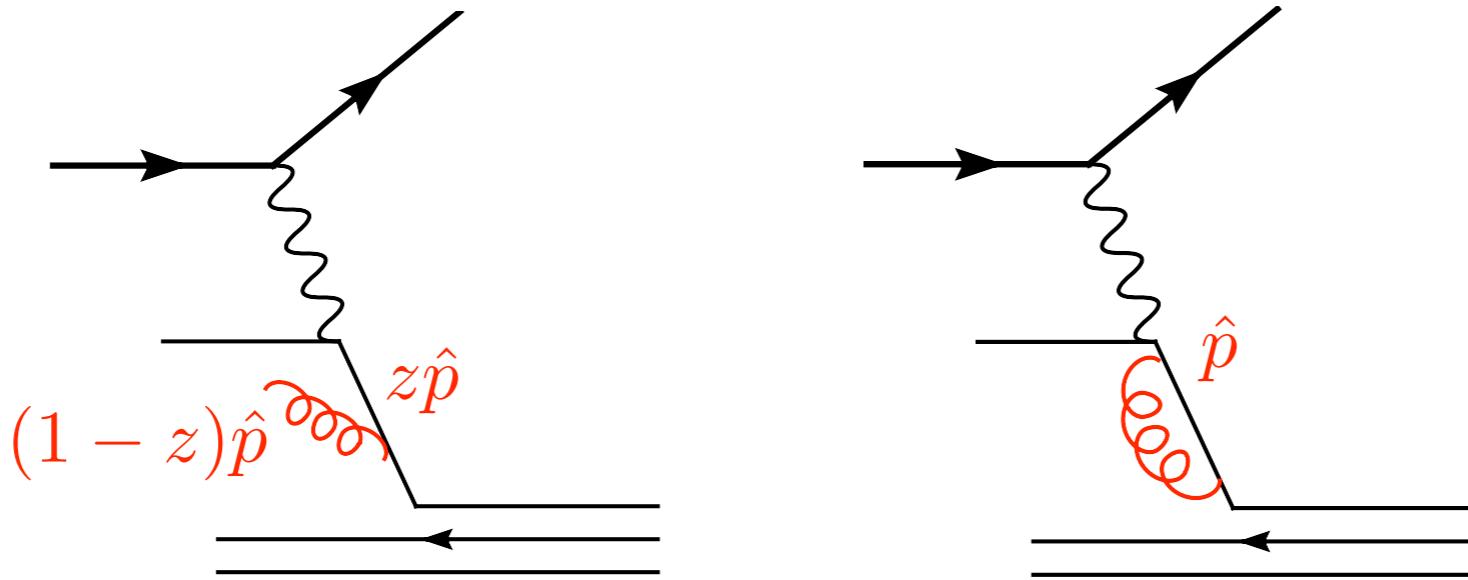
# Radiative corrections

To first order in the coupling:  
need to consider the emission of one real gluon and a virtual one



# Radiative corrections

To first order in the coupling:  
need to consider the emission of one real gluon and a virtual one



Adding real and virtual contributions, the partonic cross-section reads

$$\sigma^{(1)} = \frac{C_F \alpha_s}{2\pi} \int dz \frac{dk_\perp^2}{k_\perp^2} \frac{1+z^2}{1-z} \left( \sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right)$$

Partial cancellation between real (positive), virtual (negative), but real gluon changes the energy entering the scattering, the virtual does not

# Radiative corrections

Partonic cross-section:

$$\sigma^{(1)} = \frac{\alpha_s}{2\pi} \int dz \int_{\lambda^2}^{Q^2} \frac{dk_\perp^2}{k_\perp^2} P(z) \left( \sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right), \quad P(z) = C_F \frac{1+z^2}{1-z}$$

Soft limit: singularity at  $z=1$  cancels between real and virtual terms

Collinear singularity:  $k_\perp \rightarrow 0$  with finite  $z$ . Collinear singularity does not cancel because partonic scatterings occur at different energies

# Radiative corrections

Partonic cross-section:

$$\sigma^{(1)} = \frac{\alpha_s}{2\pi} \int dz \int_{\lambda^2}^{Q^2} \frac{dk_\perp^2}{k_\perp^2} P(z) \left( \sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right), \quad P(z) = C_F \frac{1+z^2}{1-z}$$

Soft limit: singularity at  $z=1$  cancels between real and virtual terms

Collinear singularity:  $k_\perp \rightarrow 0$  with finite  $z$ . Collinear singularity does not cancel because partonic scatterings occur at different energies

⇒ naive parton model does not survive radiative corrections

# Radiative corrections

Partonic cross-section:

$$\sigma^{(1)} = \frac{\alpha_s}{2\pi} \int dz \int_{\lambda^2}^{Q^2} \frac{dk_\perp^2}{k_\perp^2} P(z) \left( \sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right), \quad P(z) = C_F \frac{1+z^2}{1-z}$$

Soft limit: singularity at  $z=1$  cancels between real and virtual terms

Collinear singularity:  $k_\perp \rightarrow 0$  with finite  $z$ . Collinear singularity does not cancel because partonic scatterings occur at different energies

⇒ naive parton model does not survive radiative corrections

Similarly to what is done when renormalizing UV divergences, collinear divergences from initial state emissions are absorbed into parton distribution functions

# The plus prescription

Partonic cross-section:

$$\sigma^{(1)} = \frac{\alpha_s}{2\pi} \int_{\lambda^2}^{Q^2} \frac{dk_\perp^2}{k_\perp^2} \int_0^1 dz P(z) \left( \sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right)$$

# The plus prescription

Partonic cross-section:

$$\sigma^{(1)} = \frac{\alpha_s}{2\pi} \int_{\lambda^2}^{Q^2} \frac{dk_\perp^2}{k_\perp^2} \int_0^1 dz P(z) \left( \sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right)$$

**Plus prescription** makes the universal cancelation of soft singularities explicit

$$\int_0^1 dz f_+(z) g(z) \equiv \int_0^1 f(z) (g(z) - g(1))$$

# The plus prescription

Partonic cross-section:

$$\sigma^{(1)} = \frac{\alpha_s}{2\pi} \int_{\lambda^2}^{Q^2} \frac{dk_\perp^2}{k_\perp^2} \int_0^1 dz P(z) \left( \sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right)$$

**Plus prescription** makes the universal cancelation of soft singularities explicit

$$\int_0^1 dz f_+(z) g(z) \equiv \int_0^1 f(z) (g(z) - g(1))$$

The partonic cross section becomes

$$\sigma^{(1)} = \frac{\alpha_s}{2\pi} \int dz \int_{\lambda^2}^{Q^2} \frac{dk_\perp^2}{k_\perp^2} P_+(z) \sigma^{(0)}(z\hat{p}), \quad P(z) = C_F \left( \frac{1+z^2}{1-z} \right)$$

**Collinear singularities still there, but they factorize.**

# Factorization scale

Schematically use

$$\ln \frac{Q}{\lambda^2} = \ln \frac{Q}{\mu_F^2} + \ln \frac{\mu_F}{\lambda^2}$$

$$\sigma = \sigma^{(0)} + \sigma^{(1)} = \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{\mu_F^2}{\lambda^2} P_+ \right) \times \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{Q^2}{\mu_F^2} P_+ \right) \sigma^{(0)}$$

# Factorization scale

Schematically use

$$\ln \frac{Q}{\lambda^2} = \ln \frac{Q}{\mu_F^2} + \ln \frac{\mu_F}{\lambda^2}$$

$$\sigma = \sigma^{(0)} + \sigma^{(1)} = \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{\mu_F^2}{\lambda^2} P_+ \right) \times \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{Q^2}{\mu_F^2} P_+ \right) \sigma^{(0)}$$

So we define

$$f_q(x, \mu_F) = f_q(x) \times \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{\mu_F^2}{\lambda^2} P_{qq}^{(0)} \right) \quad \hat{\sigma}(p, \mu_F) = \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{Q^2}{\mu_F^2} P_{qq}^{(0)} \right) \sigma^{(0)}(p)$$

# Factorization scale

Schematically use

$$\ln \frac{Q}{\lambda^2} = \ln \frac{Q}{\mu_F^2} + \ln \frac{\mu_F}{\lambda^2}$$

$$\sigma = \sigma^{(0)} + \sigma^{(1)} = \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{\mu_F^2}{\lambda^2} P_+ \right) \times \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{Q^2}{\mu_F^2} P_+ \right) \sigma^{(0)}$$

So we define

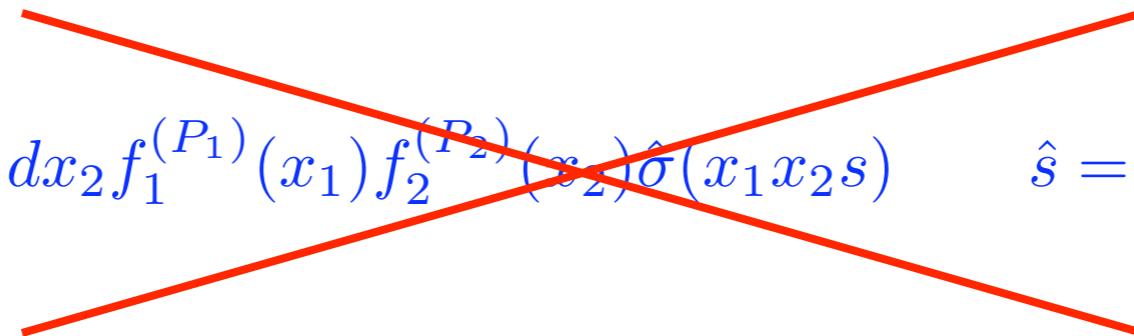
$$f_q(x, \mu_F) = f_q(x) \times \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{\mu_F^2}{\lambda^2} P_{qq}^{(0)} \right) \quad \hat{\sigma}(p, \mu_F) = \left( 1 + \frac{\alpha_s}{2\pi} \ln \frac{Q^2}{\mu_F^2} P_{qq}^{(0)} \right) \sigma^{(0)}(p)$$

NB:

- universality, i.e. the PDF redefinition does not depend on the process
- choice of  $\mu_F \sim Q$  avoids large logarithms in partonic cross-sections
- PDFs and hard cross-sections don't evolve independently
- the factorization scale acts as a cut-off, it allows to move the divergent contribution into non-perturbative parton distribution functions

# Improved parton model

Naive parton model:

$$\sigma = \int dx_1 dx_2 f_1^{(P_1)}(x_1) f_2^{(P_2)}(x_2) \hat{\sigma}(x_1 x_2 s) \quad \hat{s} = x_1 x_2 s$$


After radiative corrections:

$$\sigma = \int dx_1 dx_2 f_1^{(P_1)}(x_1, \mu^2) f_2^{(P_2)}(x_2, \mu^2) \hat{\sigma}(x_1 x_2 s, \mu^2)$$

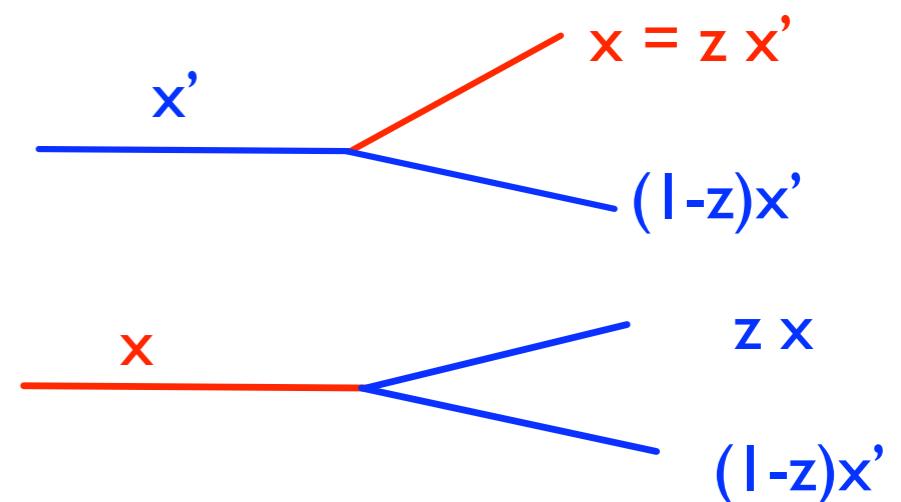
# Intermediate recap

- With initial state parton **collinear singularities don't cancel**
- Initial state emissions with  $k_\perp$  below a given scale are included in PDFs
- This procedure introduces a scale  $\mu_F$ , the so-called **factorization scale** which factorizes the low energy (non-perturbative) dynamics from the perturbative hard cross-section
- As for the renormalization scale, the dependence of cross-sections on  $\mu_F$  is due to the fact that the perturbative expansion has been truncated
- The **dependence on  $\mu_F$  becomes milder when including higher orders**

# Evolution of PDFs

A parton distribution changes when

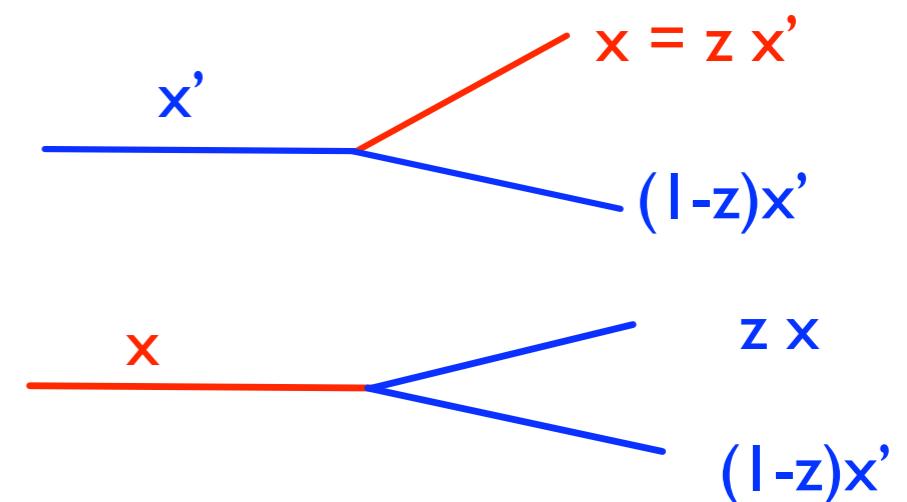
- a different parton splits and produces **it**
- **the parton itself** splits



# Evolution of PDFs

A parton distribution changes when

- a different parton splits and produces **it**
- **the parton itself** splits



$$\begin{aligned}
 \mu^2 \frac{\partial f(x, \mu^2)}{\partial \mu^2} &= \int_0^1 dx' \int_x^1 dz \frac{\alpha_s}{2\pi} P(z) f(x', \mu^2) \delta(zx' - x) - \int_0^1 dz \frac{\alpha_s}{2\pi} P(z) f(x, \mu^2) \\
 &= \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} P(z) f\left(\frac{x}{z}, \mu^2\right) - \int_0^1 dz \frac{\alpha_s}{2\pi} P(z) f(x, \mu^2) \\
 &= \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} P(z) f\left(\frac{x}{z}, \mu^2\right)
 \end{aligned}$$

The plus prescription

$$\int_0^1 dz f_+(z) g(z) \equiv \int_0^1 dz f(z) (g(z) - g(1))$$

# DGLAP equation

$$\mu^2 \frac{\partial f(x, \mu^2)}{\partial \mu^2} = \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} P(z) f\left(\frac{x}{z}, \mu^2\right)$$

*Altarelli, Parisi; Gribov-Lipatov; Dokshitzer '77*

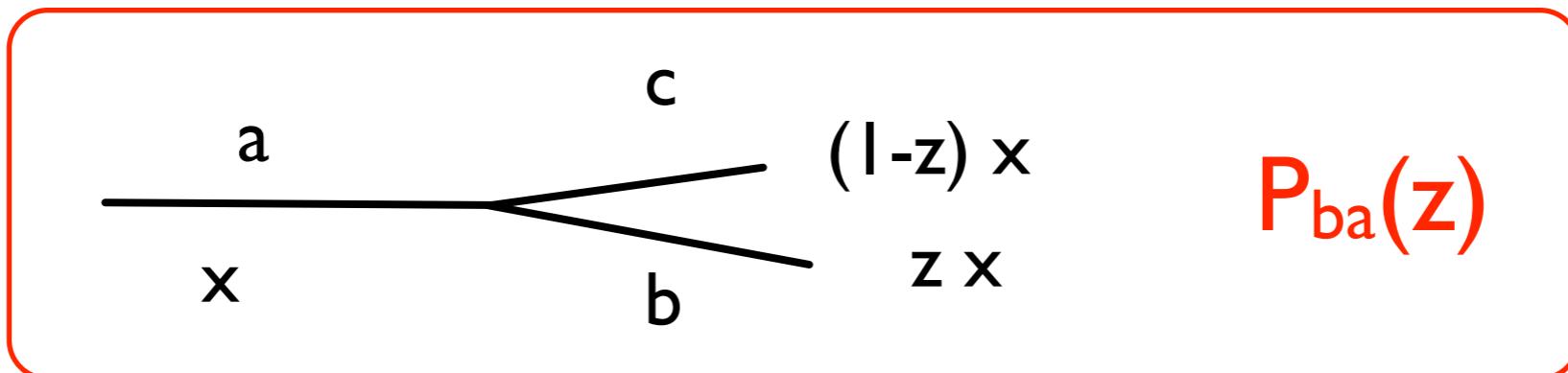
**Master equation of QCD:** we can not compute parton densities, but we can predict how they evolve from one scale to another

**Universality of splitting functions:** we can measure pdfs in one process and use them as an input for another process

Plus prescription implicit from now on

# Conventions for splitting functions

There are various partons flavours. Standard notation:



Accounting for the different species of partons the DGLAP equations become:

$$\mu^2 \frac{\partial f_i(x, \mu^2)}{\partial \mu^2} = \sum_j \int_x^1 \frac{dz}{z} P_{ij}(z) f_j \left( \frac{x}{z}, \mu^2 \right)$$

This is a system of coupled integro/differential equations

The above convolution in compact notation:

$$\mu^2 \frac{\partial f_i(x, \mu^2)}{\partial \mu^2} = \sum_j P_{ij} \otimes f_j(\mu^2)$$

# General DGLAP equation

Evolution equations in the general case:

$$\mu^2 \frac{\partial f_i(z, \mu^2)}{\partial \mu^2} = \sum_j P_{ij} \otimes f_j(\mu^2)$$

$$P_{ij}(x) = \frac{\alpha_s}{2\pi} P_{ij}^{(0)} + \left(\frac{\alpha_s}{2\pi}\right)^2 P_{ij}^{(2)} + \dots$$

Leading order splitting functions:

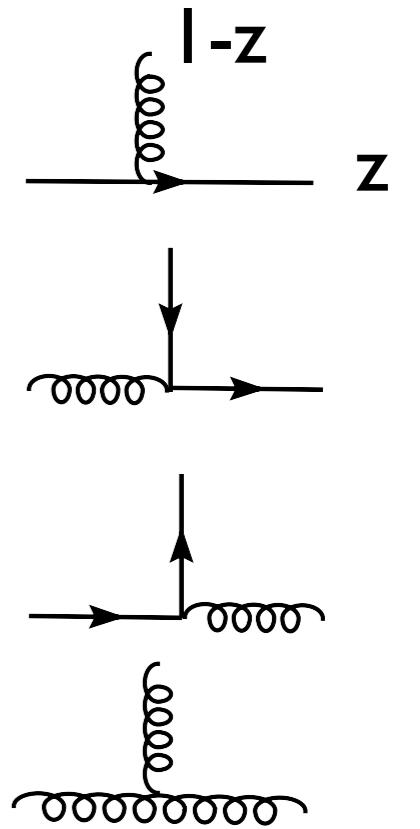
$$P_{qq}^{(0)} = P_{\bar{q}\bar{q}}^{(0)} = C_F \left( \frac{1+z^2}{1-z} \right)_+$$

$$P_{qg}^{(0)} = P_{\bar{q}g}^{(0)} = T_R (z^2 + (1-z)^2)$$

$$P_{gq}^{(0)} = P_{g\bar{q}}^{(0)} = C_F \frac{1 + (1-z)^2}{z}$$

$$P_{gg}^{(0)} = 2C_A \left[ \frac{z}{(1-z)_+} + \frac{1-z}{z} + z(1-z) \right] + \frac{1}{6} (11C_A - 4n_f T_R) \delta(1-z)$$

**NB:** at higher orders  $P_{qiqj}$  arise



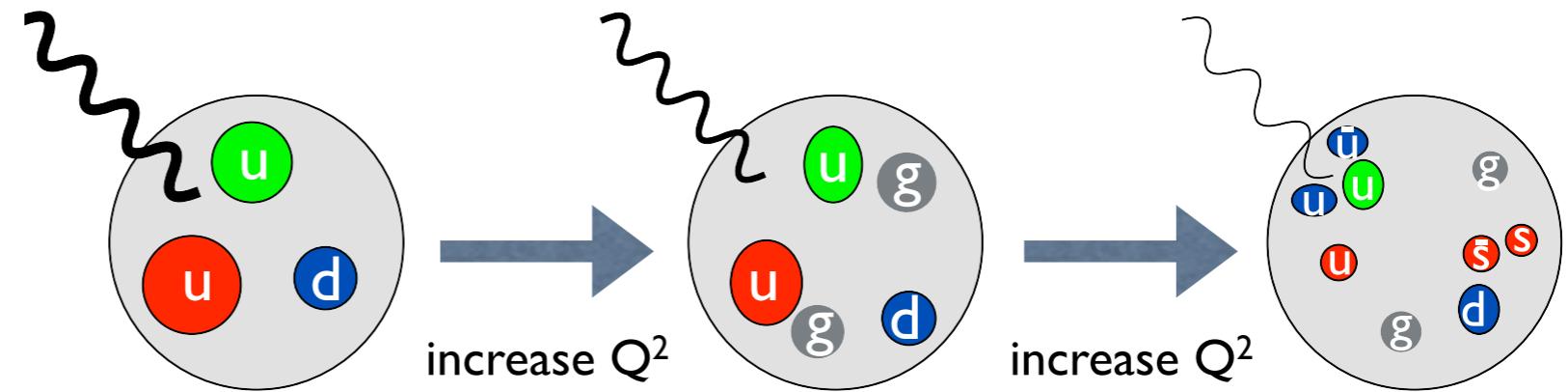
# History of splitting functions

- $P_{ab}^{(0)}$ : Altarelli, Parisi; Gribov-Lipatov; Dokshitzer (1977)
  - $P_{ab}^{(1)}$ : Curci, Furmanski, Petronzio (1980)
  - $P_{ab}^{(2)}$ : Moch, Vermaseren, Vogt (2004)
- 
- 👉  $P_{ab}^{(2)}$ : maybe hardest calculation ever performed in perturbative QCD
  - 👉 Essential input for NNLO pdfs determination (state of the art today)

# Evolution

So, in perturbative QCD we can not predict values for

- the coupling
- the masses
- the parton densities
- ...



What we can predict is the evolution with the  $Q^2$  of those quantities.

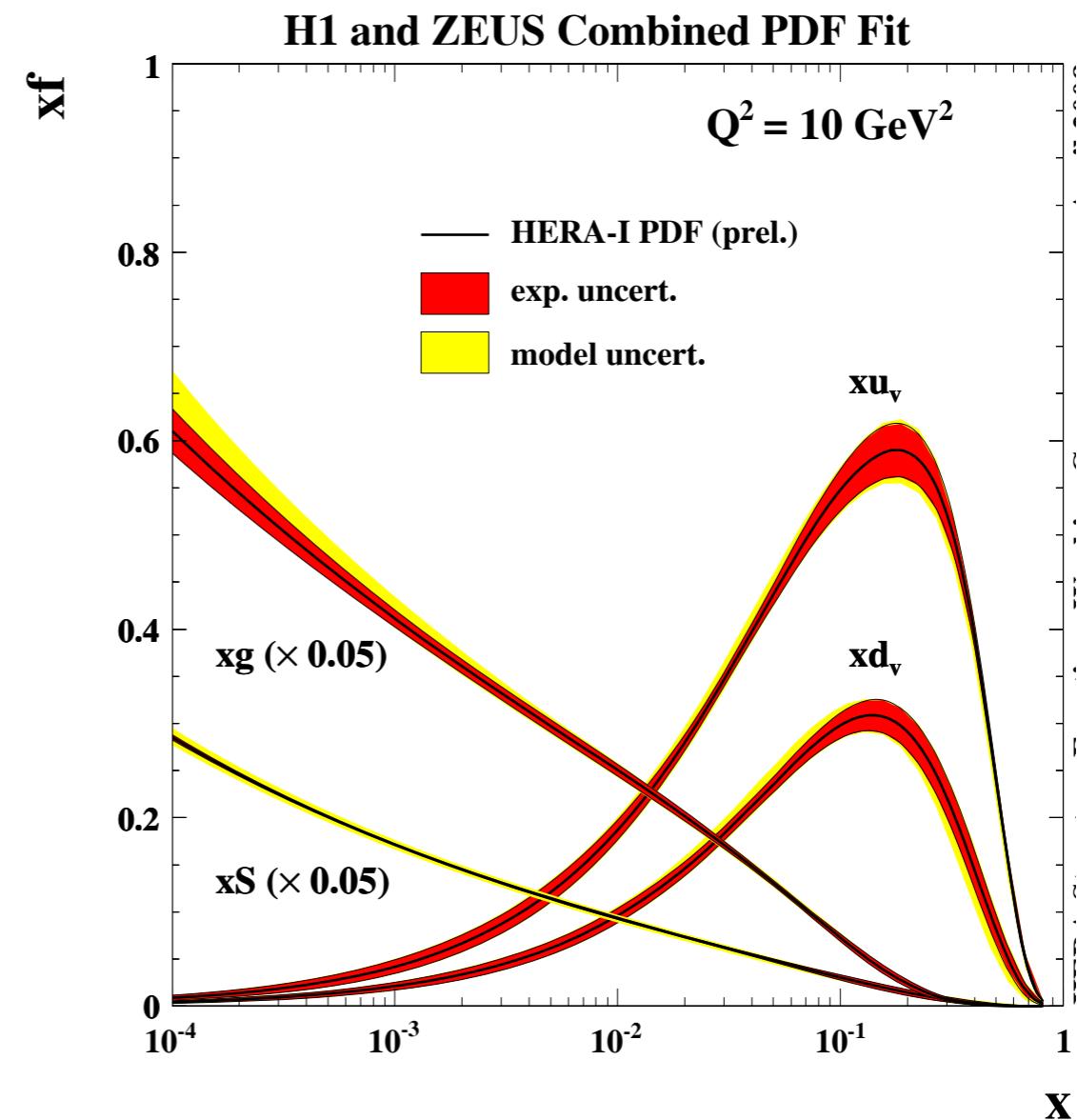
These quantities must be extracted at some scale from data.

- not only is the coupling scale-dependent, but partons have a scale dependent sub-structure
- we started with the question of how one can access the gluon pdf:  
because of the coupled DGLAP evolution we can access the gluon pdf indirectly, through the way it changes the evolution of quark pdfs

# The Hera PDF

## Typical features:

- gluon distribution very large
- gluon and sea distributions grow at small  $x$
- gluon dominates at small  $x$
- valence distributions peak at  $x = 0.1 - 0.2$
- largest uncertainties at very small or very large  $x$

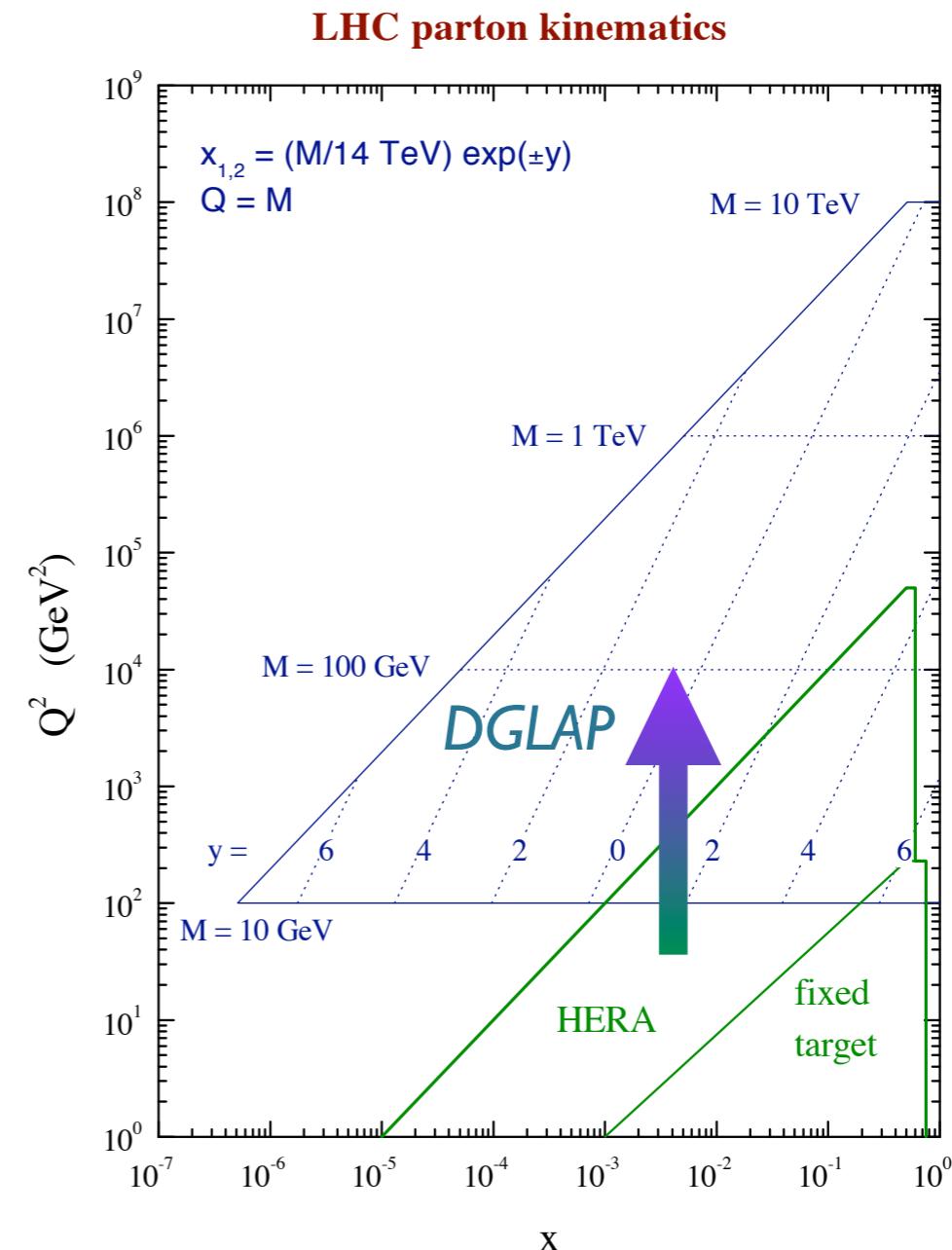


*Crucial property: factorization!*

Parton distributions extracted in DIS can be used at hadron colliders.  
This assumption can be checked against data

# Parton density coverage

- most of the LHC x-range covered by Hera
- need 2-3 orders of magnitude  $Q^2$ -evolution
- rapidity distributions probe extreme x-values
- 100 GeV physics at LHC: small-x, sea partons
- TeV physics: large x



➡ *Hera: key and essential input to the LHC*

# Parton densities: recent progress

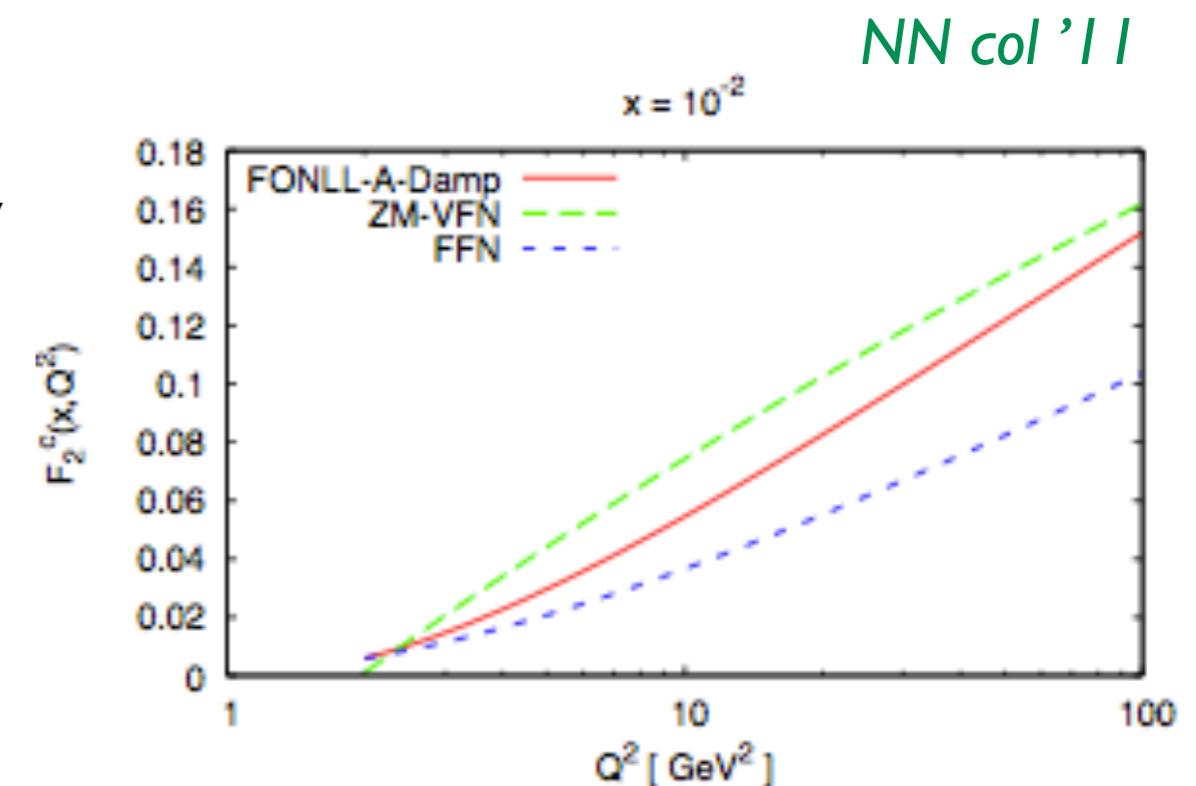
## Recent major progress:

- full **NNLO evolution** (previous approximate NNLO)
- more flexible parametrizations
- improved treatment of **heavy flavors** near the quark mass  
[[Numerically](#): e.g. (6-7)% effect on Drell-Yan at LHC]
- more systematic use of **uncertainties/correlations** (e.g. dynamic tolerance, combinations of PDF +  $\alpha_s$  uncertainty)
- **Neural Network (NN) PDFs**

*splitting functions at NNLO: Moch, Vermaseren, A. Vogt '04  
+ much related theory progress '04 - '11*

# Parton densities: some open issues

- heavy quark treatment theoretically not ‘clean’ (various schemes, ad hoc procedures), but very important at the LHC

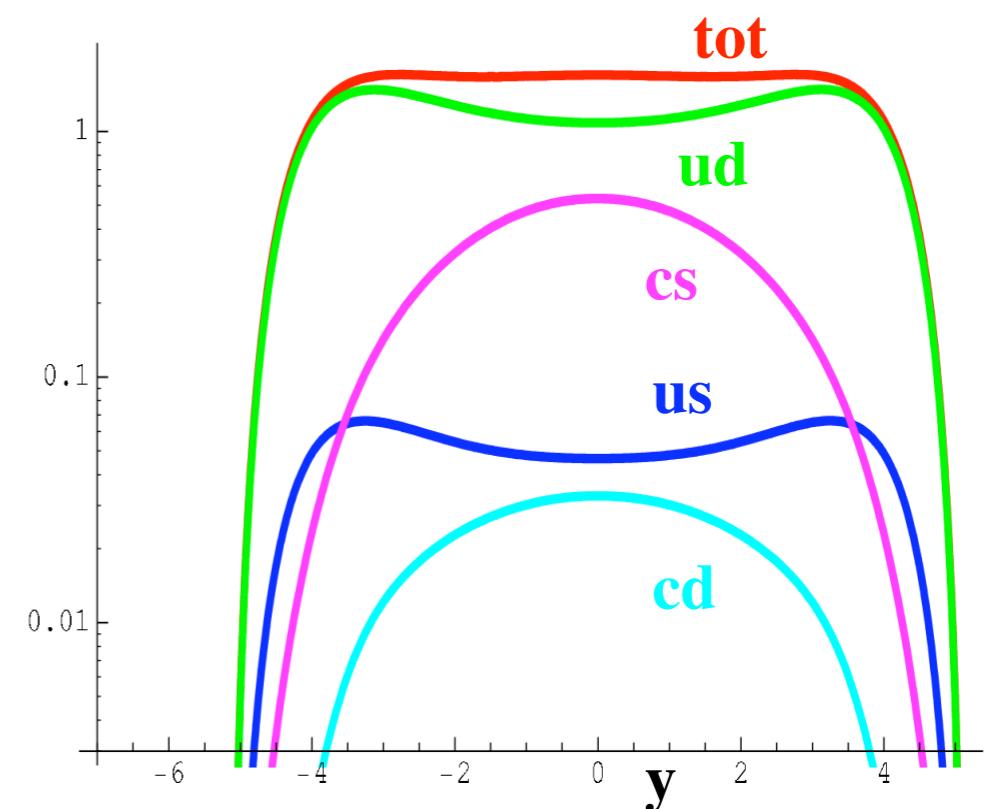


# Parton densities: some open issues

NN col '11

- heavy quark treatment theoretically not ‘clean’ (various schemes, ad hoc procedures), but very important at the LHC

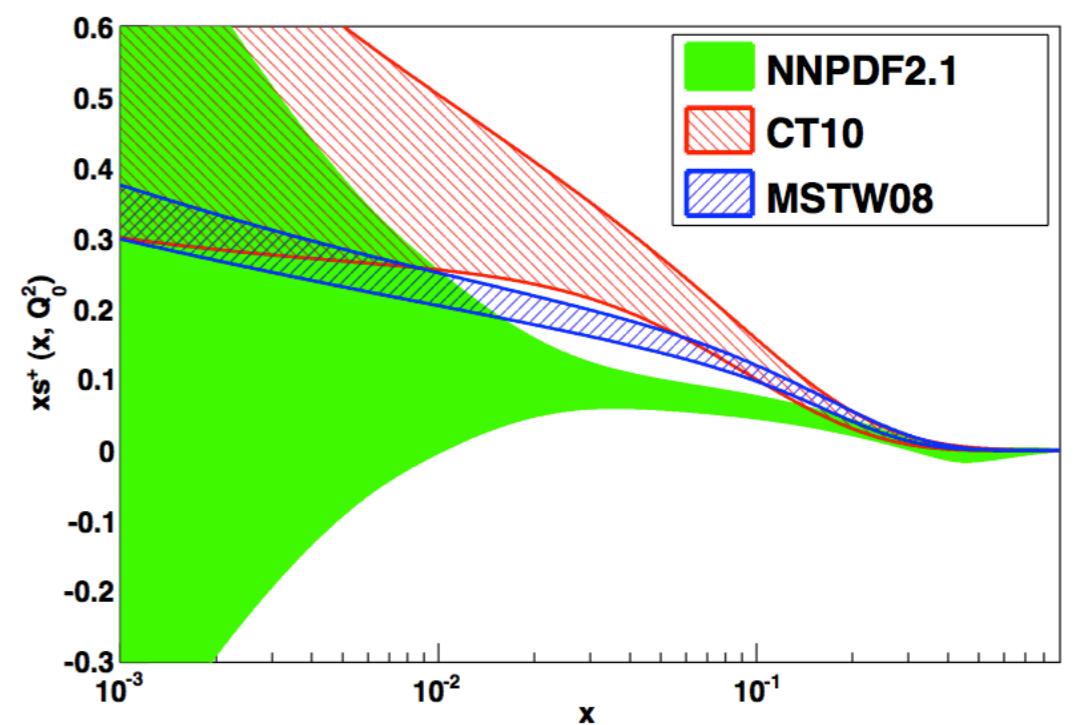
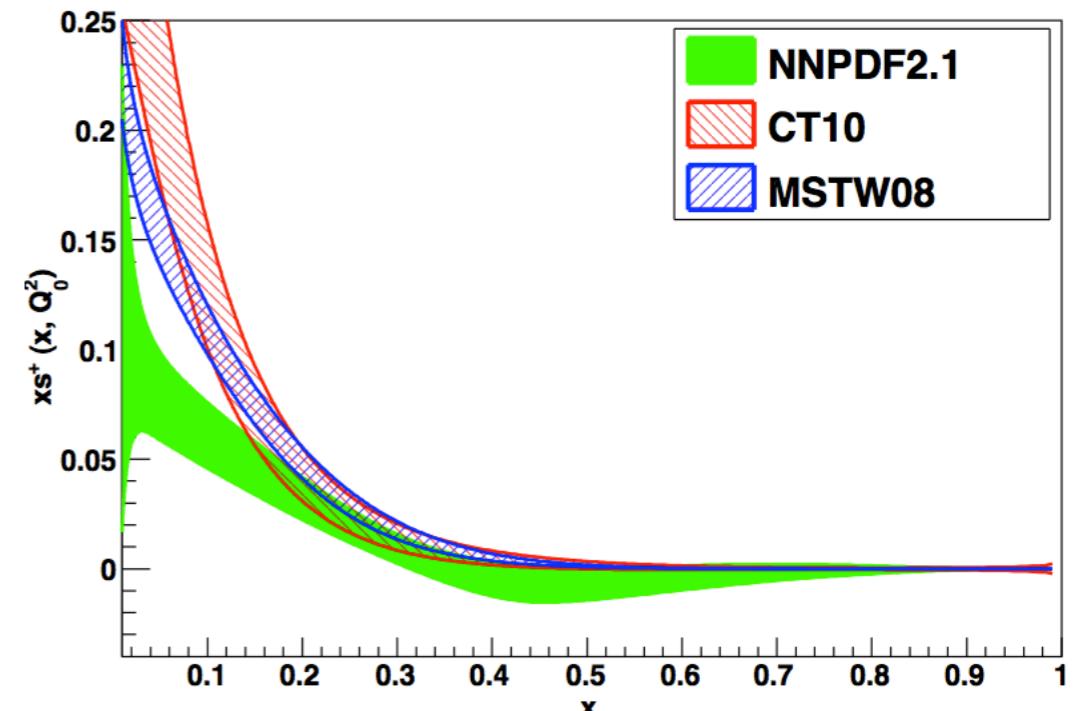
$d\sigma/dy(W^+)$  at LHC



# Parton densities: some open issues

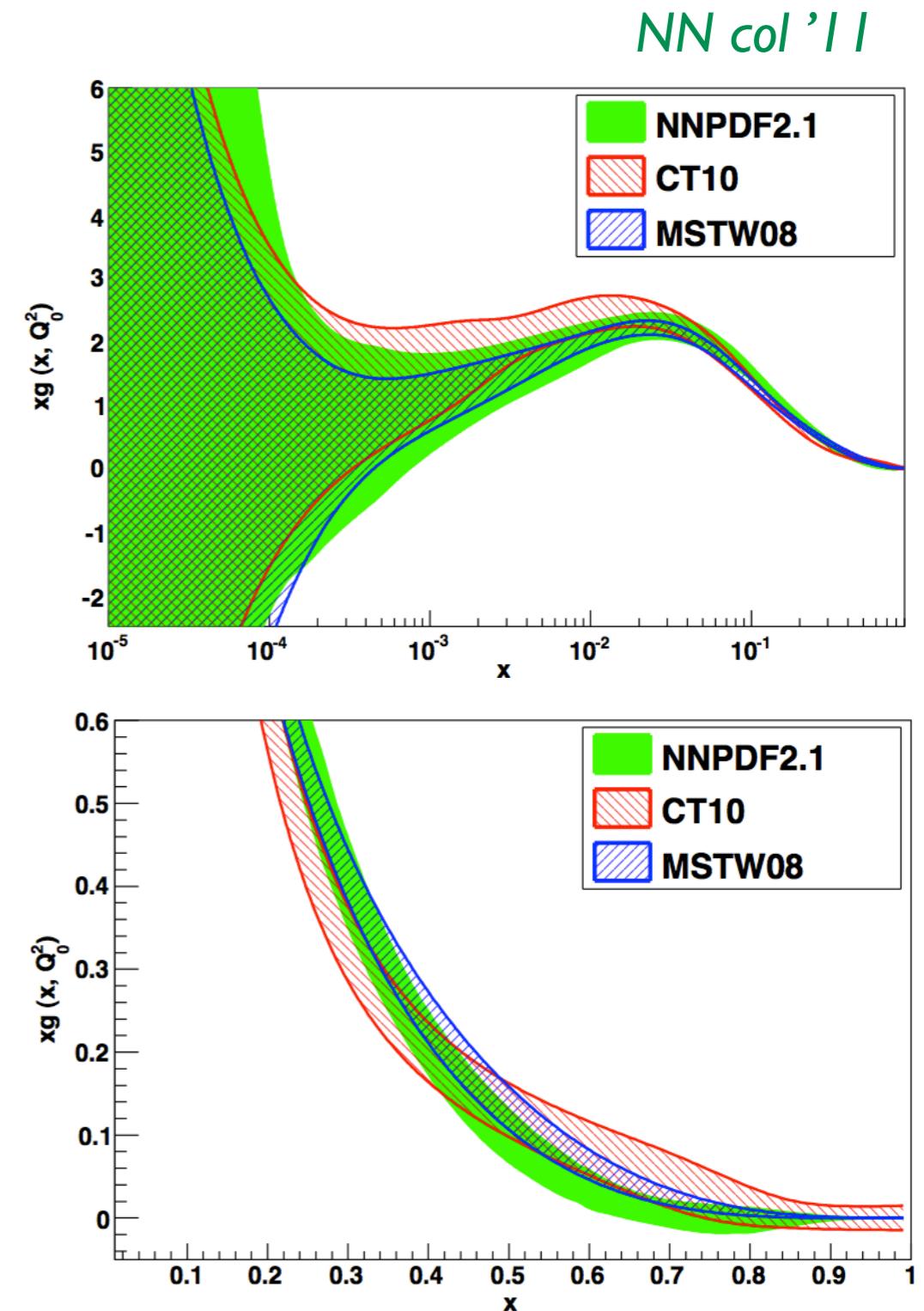
NN col '11

- heavy quark treatment theoretically not ‘clean’ (various schemes, ad hoc procedures), but very important at the LHC
- inconsistency between PDFs using different data sets / different heavy quark treatment



# Parton densities: some open issues

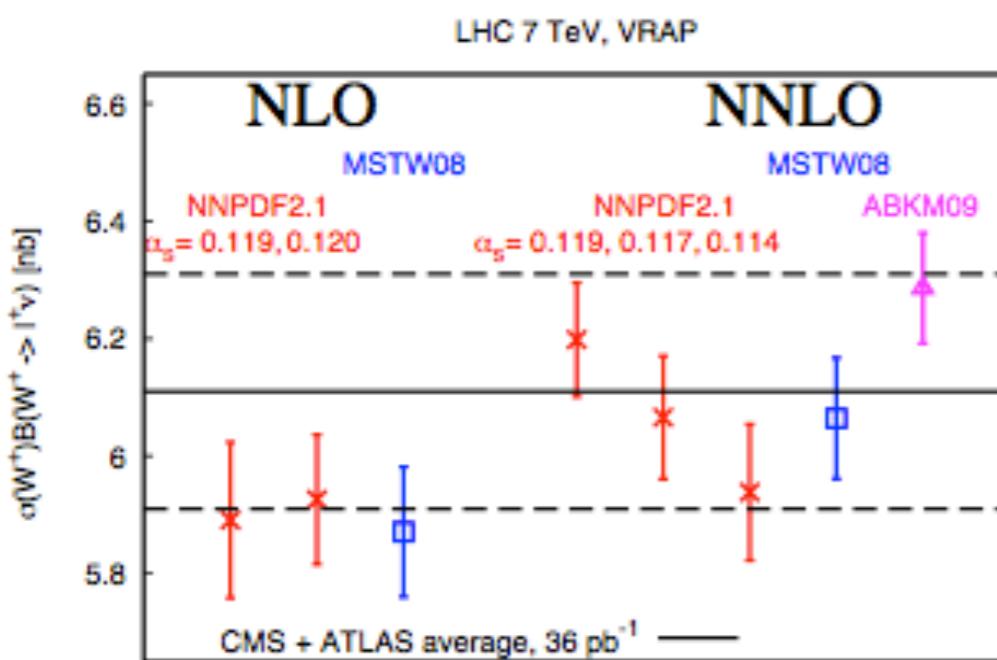
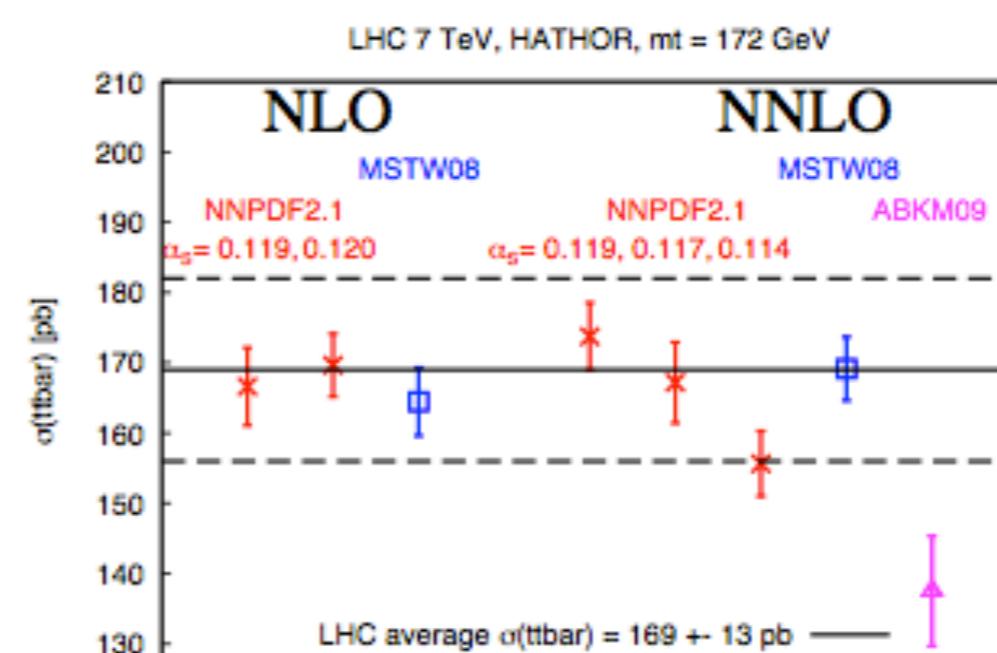
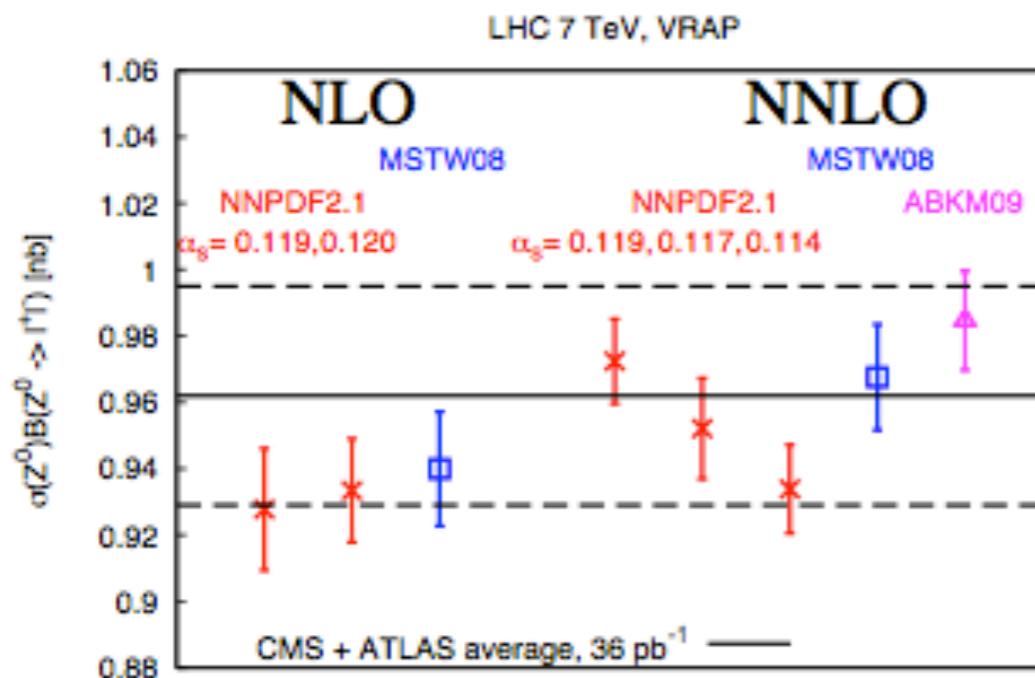
- heavy quark treatment theoretically not ‘clean’ (various schemes, ad hoc procedures), but very important at the LHC
- inconsistency between PDFs using different data sets / different heavy quark treatment
- treatment of theory uncertainties (parameterizations, scheme for HQ, higher orders ...)



# Parton densities: benchmark processes

Uncertainty from pdfs and  $\alpha_s$  on benchmark processes

NN col. 1107.2652



Differences due to:

- 1) different data in fits
- 2) different methodology  
[parametrization, theory]
- 3) treatment of heavy quarks
- 4) different  $\alpha_s$

# Intermediate recap.

- There are **infrared and collinear divergences**  $\Rightarrow$  not all quantities can be computed in PT, only IRsafe ones
- **Parton model:** incoherent sum of all partonic cross-sections
- **Sum rules** (momentum, charge, flavor conservation)
- Determination of **parton densities** (electron & neutrino scattering in DIS, Drell-Yan ... )
- Radiative corrections: **failure of parton model**
- **Factorization** of initial state divergences into scale dependent parton densities
- **DGLAP** evolution of parton densities  $\Rightarrow$  measure gluon PDF
- Issues in **today's determination of PDFs**

# Next: Perturbative calculations

Next, we will focus on perturbative calculations

- LO, NLO, NLO+MC, NNLO
- techniques, issues with divergences
- current status, sample results

# Next: Perturbative calculations

Next, we will focus on perturbative calculations

- LO, NLO, NLO+MC, NNLO
- techniques, issues with divergences
- current status, sample results

Perturbative calculations rely on the idea of an order-by-order expansion in the small coupling

$$\sigma \sim A + B\alpha_s + C\alpha_s^2 + D\alpha_s^3 + \dots$$

LO      NLO      NNLO      NNNLO

# Perturbative calculations

- Perturbative calculations = fixed order expansion in the coupling constant, or more refined expansions that include terms to all orders
- Perturbative calculations are possible because the coupling is small at high energy
- In QCD (or in a generic QFT) the coupling depends on the energy (renormalization scale)
- So changing scale the result changes. By how much? What does this dependence mean?
- Let's consider some examples

# Leading order n-jet cross-section

- Consider the cross-section to produce n jets. The leading order result at scale  $\mu$  result will be

$$\sigma_{\text{n jets}}^{\text{LO}}(\mu) = \alpha_s(\mu)^n A(p_i, \epsilon_i, \dots)$$

# Leading order n-jet cross-section

- Consider the cross-section to produce n jets. The leading order result at scale  $\mu$  result will be

$$\sigma_{\text{n jets}}^{\text{LO}}(\mu) = \alpha_s(\mu)^n A(p_i, \epsilon_i, \dots)$$

- Instead, choosing a scale  $\mu'$  one gets

$$\sigma_{\text{n jets}}^{\text{LO}}(\mu') = \alpha_s(\mu')^n A(p_i, \epsilon_i, \dots) = \alpha_s(\mu)^n \left( 1 + n b_0 \alpha_s(\mu) \ln \frac{\mu^2}{\mu'^2} + \dots \right) A(p_i, \epsilon_i, \dots)$$

So the change of scale is a NLO effect ( $\propto \alpha_s$ ), but this becomes more important when the number of jets increases ( $\propto n$ )

# Leading order n-jet cross-section

- Consider the cross-section to produce n jets. The leading order result at scale  $\mu$  result will be

$$\sigma_{\text{n jets}}^{\text{LO}}(\mu) = \alpha_s(\mu)^n A(p_i, \epsilon_i, \dots)$$

- Instead, choosing a scale  $\mu'$  one gets

$$\sigma_{\text{n jets}}^{\text{LO}}(\mu') = \alpha_s(\mu')^n A(p_i, \epsilon_i, \dots) = \alpha_s(\mu)^n \left( 1 + n b_0 \alpha_s(\mu) \ln \frac{\mu^2}{\mu'^2} + \dots \right) A(p_i, \epsilon_i, \dots)$$

So the change of scale is a NLO effect ( $\propto \alpha_s$ ), but this becomes more important when the number of jets increases ( $\propto n$ )

- Notice that at Leading Order the normalization is not under control:

$$\frac{\sigma_{\text{n jets}}^{\text{LO}}(\mu)}{\sigma_{\text{n jets}}^{\text{LO}}(\mu')} = \left( \frac{\alpha_s(\mu)}{\alpha_s(\mu')} \right)^n$$

# NLO n-jet cross-section

Now consider an n-jet cross-section at NLO. At scale  $\mu$  the result reads

$$\sigma_{\text{n jets}}^{\text{NLO}}(\mu) = \alpha_s(\mu)^n A(p_i, \epsilon_i, \dots) + \alpha_s(\mu)^{n+1} \left( B(p_i, \epsilon_i, \dots) - nb_0 \ln \frac{\mu^2}{Q_0^2} \right) + \dots$$

- So the NLO result compensates the LO scale dependence. The residual dependence is NNLO.
- Scale dependence and normalization start being under control only at NLO, since a **compensation mechanism** kicks in
- Notice also that a good scale choice automatically resums large logarithms to all orders, while a **bad one spuriously introduces large logs and ruins the PT expansion**
- Scale variation is conventionally used to estimate the **theory uncertainty**, but the validity of this procedure should not be overrated (see later)

# Leading order: Feynman diagrams

Get *any* LO cross-section from the Lagrangian

1. draw all Feynman diagrams
2. put in the explicit Feynman rules and get the amplitude
3. do some algebra, simplifications
4. square the amplitude
5. integrate over phase space + flux factor + sum/average over outgoing/incoming states

Automated tools for (1-3): FeynArts/Qgraf, Mathematica/Form etc.

# Leading order: Feynman diagrams

Get *any* LO cross-section from the Lagrangian

1. draw all Feynman diagrams
2. put in the explicit Feynman rules and get the amplitude
3. do some algebra, simplifications
4. square the amplitude
5. integrate over phase space + flux factor + sum/average over outgoing/incoming states

Automated tools for (1-3): FeynArts/Qgraf, Mathematica/Form etc.

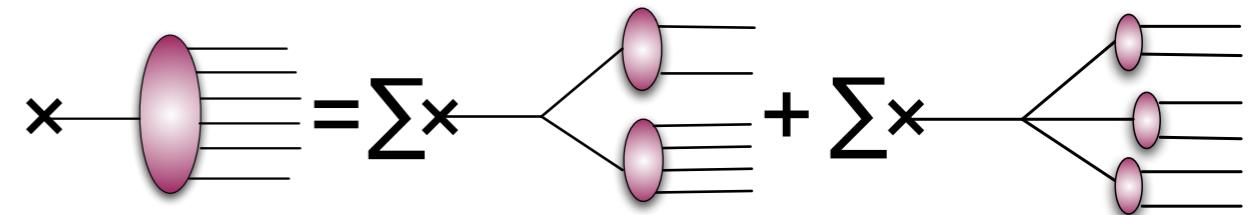
## Bottlenecks

- a) number of Feynman diagrams diverges factorially
- b) algebra becomes more cumbersome with more particles

*But given enough computer power everything can be computed at LO*

# Techniques beyond Feynman diagrams

✓ Berends-Giele relations: compute helicity amplitudes **recursively** using off-shell currents

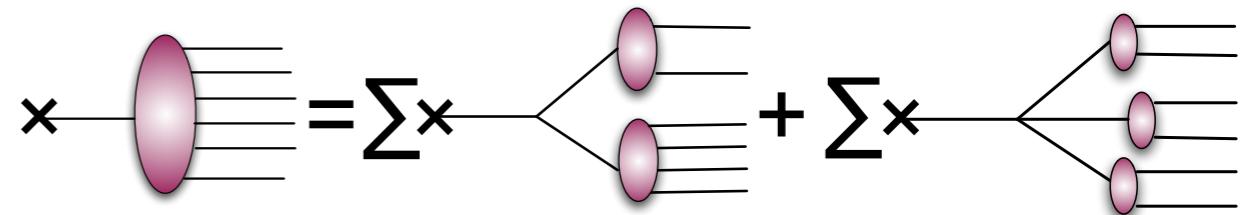


*Berends, Giele '88*

# Techniques beyond Feynman diagrams

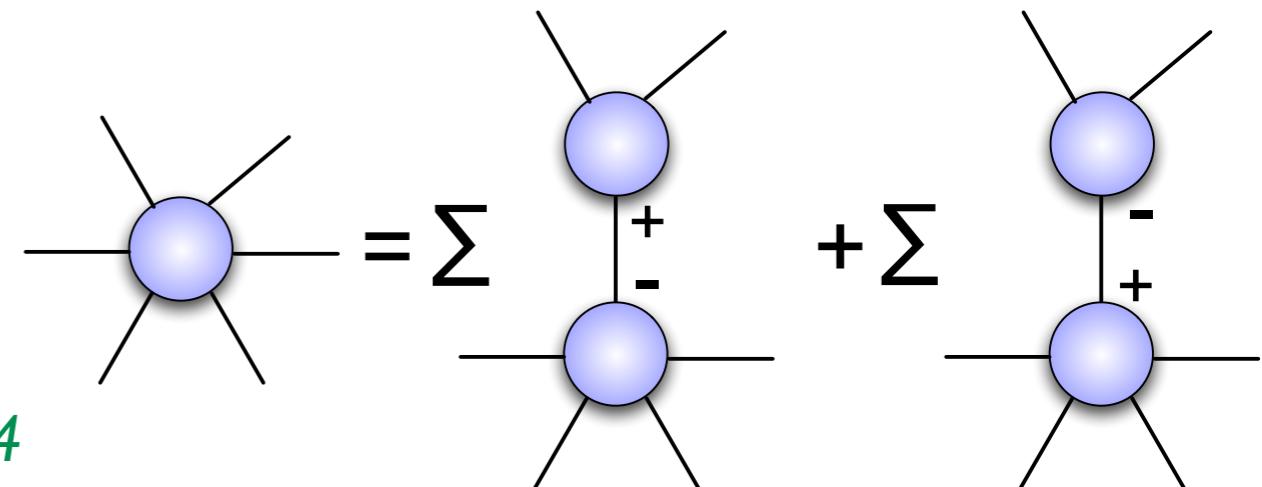
- ✓ Berends-Giele relations: compute helicity amplitudes **recursively** using off-shell currents

*Berends, Giele '88*



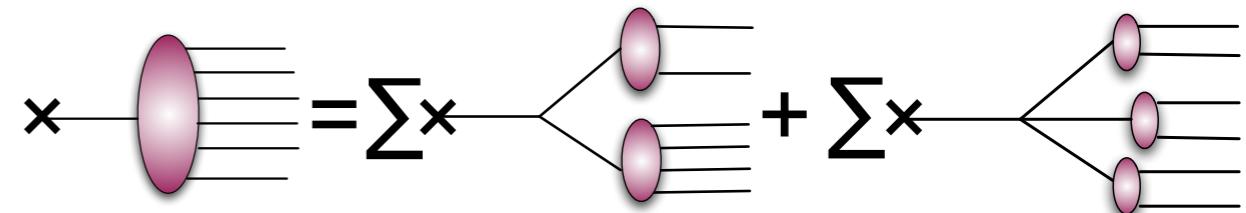
- ✓ BCF relations: compute helicity amplitudes via on-shell **recursions** (use complex momentum shifts)

*Britto, Cachazo, Feng '04*



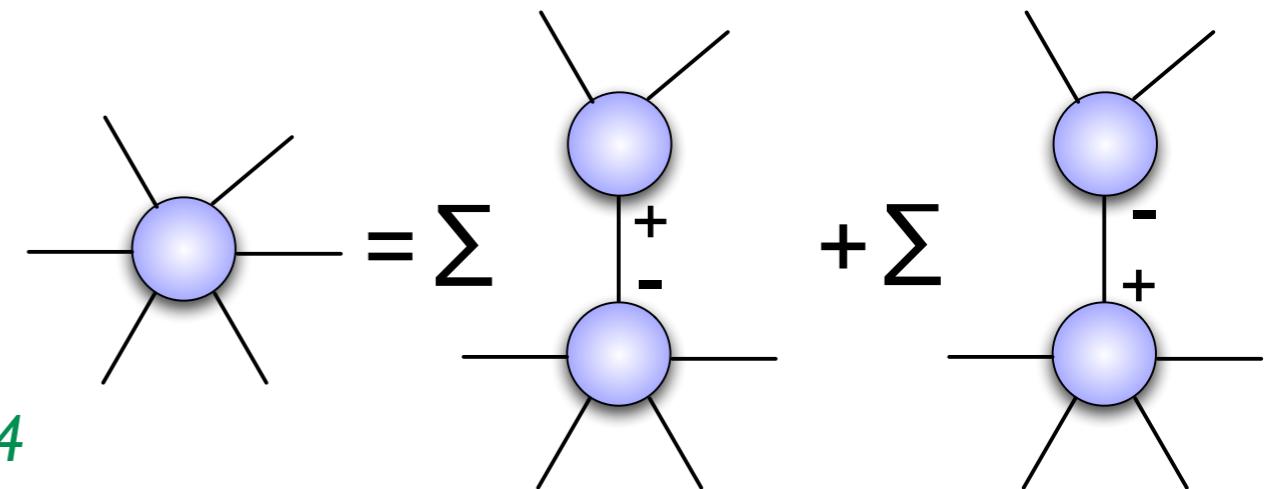
# Techniques beyond Feynman diagrams

- ✓ Berends-Giele relations: compute helicity amplitudes **recursively** using off-shell currents



*Berends, Giele '88*

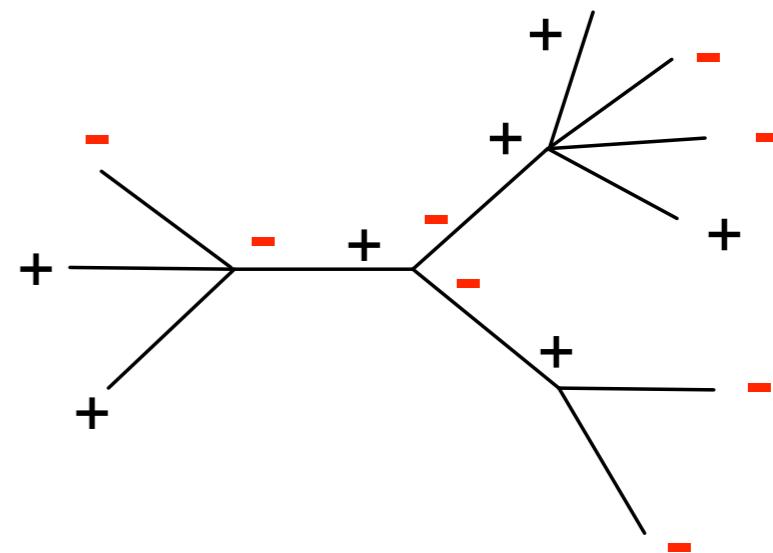
- ✓ BCF relations: compute helicity amplitudes via on-shell **recursions** (use complex momentum shifts)



*Britto, Cachazo, Feng '04*

- ✓ CSW relations: compute helicity amplitudes by **sewing together** MHV amplitudes [ - - + + ... + ]

*Cachazo, Svrcek, Witten '04*



# Matrix element generators

Fully automated calculation of leading order cross-sections:

- ▶ generation of tree level matrix elements
  - Feynman diagrams [CompHEP/CalcHEP, Madgraph/Madevent, HELAS, Sherpa, ... ]
  - Helicity amplitudes + off-shell Berends-Giele recursion [ALPHA/ALPGEN, Helac, Vecbos]
- ▶ phase space integration
- ▶ interface to parton showers (see later)

All these codes are currently used extensively in analysis of LHC data

# Benefits and drawbacks of LO

## Benefits of LO:

- fastest option; often the only one
- test quickly new ideas with fully exclusive description (new physics)
- many working, well-tested approaches
- highly automated, crucial to explore new ground, but no precision

# Benefits and drawbacks of LO

## Benefits of LO:

- fastest option; often the only one
- test quickly new ideas with fully exclusive description (new physics)
- many working, well-tested approaches
- highly automated, crucial to explore new ground, but no precision

## Drawbacks of LO:

- large scale dependences, reflecting large theory uncertainty
- no control on normalization
- poor control on shapes
- poor modeling of jets

Example:  $W+4$  jet cross-section  $\propto \alpha_s(Q)^4$

Vary  $\alpha_s(Q)$  by  $\pm 10\%$  via change of  $Q \Rightarrow$  cross-section varies by  $\pm 40\%$

# Next-to-leading order

## Benefits of next-to-leading order (NLO)

- reduce dependence on **unphysical scales (renormalization/factoriaztion)**
- establish **normalization** and **shape** of cross-sections
- small scale dependence at LO can be very misleading (see later), small dependence at NLO robust sign that **PT is under control**
- large NLO correction or large dependence at NLO robust sign that neglected **other higher order** are important
- through loop effects get **indirect information** about sectors not directly accessible

Concrete examples follow in few slides, first let's discuss briefly how one does NLO calculations

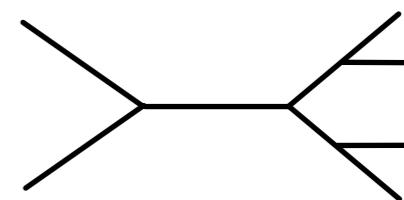
# Ingredients at NLO

A full N-particle NLO calculation requires:

# Ingredients at NLO

A full  $N$ -particle NLO calculation requires:

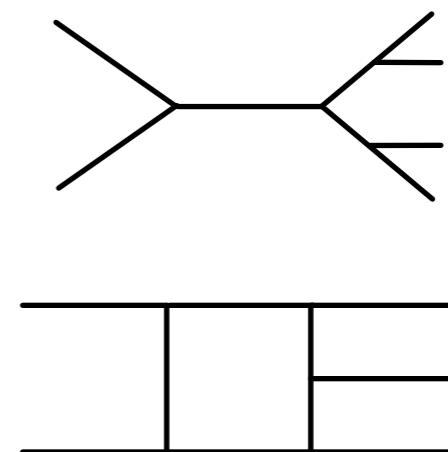
- tree graph rates with  $N+1$  partons  
→ soft/collinear divergences



# Ingredients at NLO

A full N-particle NLO calculation requires:

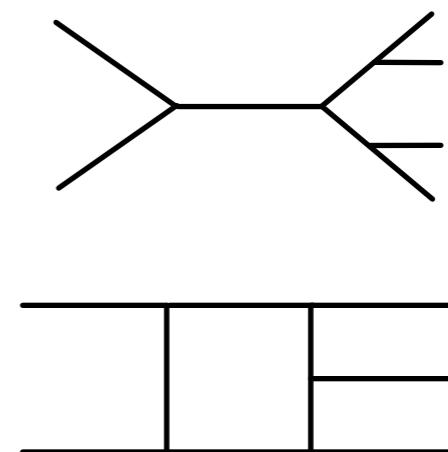
- tree graph rates with  $N+1$  partons  
→ soft/collinear divergences
  
- virtual correction to  $N$ -leg process  
→ divergence from loop integration,  
use e.g. dimensional regularization



# Ingredients at NLO

A full  $N$ -particle NLO calculation requires:

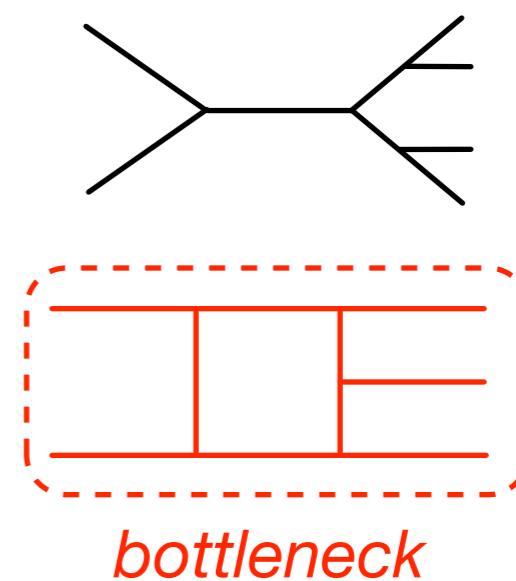
- tree graph rates with  $N+1$  partons  
→ soft/collinear divergences
- virtual correction to  $N$ -leg process  
→ divergence from loop integration,  
use e.g. dimensional regularization
- set of subtraction terms to cancel divergences



# Ingredients at NLO

A full N-particle NLO calculation requires:

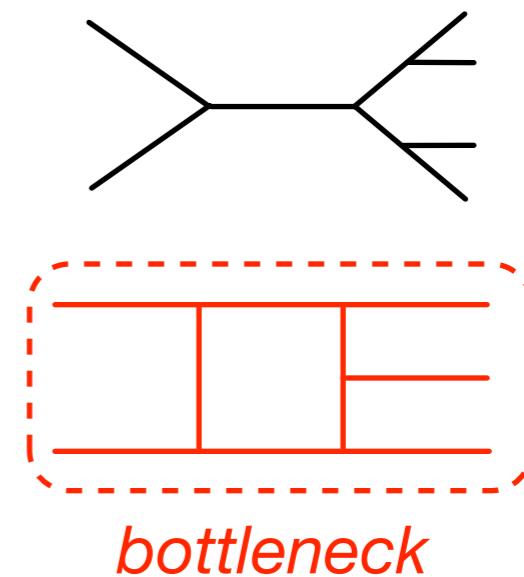
- tree graph rates with  $N+1$  partons  
→ soft/collinear divergences
- virtual correction to  $N$ -leg process  
→ divergence from loop integration,  
use e.g. dimensional regularization
- set of subtraction terms to cancel divergences



# Ingredients at NLO

A full  $N$ -particle NLO calculation requires:

- tree graph rates with  $N+1$  partons  
→ soft/collinear divergences
- virtual correction to  $N$ -leg process  
→ divergence from loop integration,  
use e.g. dimensional regularization
- set of subtraction terms to cancel divergences



We won't have time to do detailed NLO calculations, but let's look a bit more in detail at the issue of divergences/subtraction

# Regularization in QCD

Regularization: a way to make intermediate divergent quantities meaningful

# Regularization in QCD

Regularization: a way to make intermediate divergent quantities meaningful

- In QCD **dimensional regularization** is today the standard procedure, based on the fact that d-dimensional integrals are more convergent if one reduces the number of dimensions.

$$\int \frac{d^4 l}{(2\pi)^4} \rightarrow \mu^{2\epsilon} \int \frac{d^d l}{(2\pi)^d}, \quad d = 4 - 2\epsilon < 4$$

# Regularization in QCD

Regularization: a way to make intermediate divergent quantities meaningful

- In QCD **dimensional regularization** is today the standard procedure, based on the fact that d-dimensional integrals are more convergent if one reduces the number of dimensions.

$$\int \frac{d^4 l}{(2\pi)^4} \rightarrow \mu^{2\epsilon} \int \frac{d^d l}{(2\pi)^d}, \quad d = 4 - 2\epsilon < 4$$

- N.B. to preserve the correct dimensions a mass scale  $\mu$  is needed

# Regularization in QCD

Regularization: a way to make intermediate divergent quantities meaningful

- In QCD **dimensional regularization** is today the standard procedure, based on the fact that d-dimensional integrals are more convergent if one reduces the number of dimensions.

$$\int \frac{d^4 l}{(2\pi)^4} \rightarrow \mu^{2\epsilon} \int \frac{d^d l}{(2\pi)^d}, \quad d = 4 - 2\epsilon < 4$$

- N.B. to preserve the correct dimensions a mass scale  $\mu$  is needed

- Divergences show up as intermediate poles  $1/\epsilon$

$$\int_0^1 \frac{dx}{x} \rightarrow \int_0^1 \frac{dx}{x^{1-\epsilon}} = \frac{1}{\epsilon}$$

# Regularization in QCD

Regularization: a way to make intermediate divergent quantities meaningful

- In QCD **dimensional regularization** is today the standard procedure, based on the fact that d-dimensional integrals are more convergent if one reduces the number of dimensions.

$$\int \frac{d^4 l}{(2\pi)^4} \rightarrow \mu^{2\epsilon} \int \frac{d^d l}{(2\pi)^d}, \quad d = 4 - 2\epsilon < 4$$

- N.B. to preserve the correct dimensions a mass scale  $\mu$  is needed
- Divergences show up as intermediate poles  $1/\epsilon$
- This procedure works both for UV divergences and IR divergences

$$\int_0^1 \frac{dx}{x} \rightarrow \int_0^1 \frac{dx}{x^{1-\epsilon}} = \frac{1}{\epsilon}$$

# Regularization in QCD

Regularization: a way to make intermediate divergent quantities meaningful

- In QCD **dimensional regularization** is today the standard procedure, based on the fact that d-dimensional integrals are more convergent if one reduces the number of dimensions.

$$\int \frac{d^4 l}{(2\pi)^4} \rightarrow \mu^{2\epsilon} \int \frac{d^d l}{(2\pi)^d}, \quad d = 4 - 2\epsilon < 4$$

- N.B. to preserve the correct dimensions a mass scale  $\mu$  is needed
- Divergences show up as intermediate poles  $1/\epsilon$
- This procedure works both for UV divergences and IR divergences

$$\int_0^1 \frac{dx}{x} \rightarrow \int_0^1 \frac{dx}{x^{1-\epsilon}} = \frac{1}{\epsilon}$$

Alternative regularization schemes: photon mass (EW), cut-offs, Pauli-Villard ...

Compared to those methods, dimensional regularization has the big virtue that it leaves the regularized theory Lorentz invariant, gauge invariant, unitary etc.

# Renormalization schemes

Renormalization: a global redefinition of couplings and masses which absorbs all UV divergences. Several schemes are possible (MS,  $\overline{\text{MS}}$ , OS ...)

# Renormalization schemes

Renormalization: a global redefinition of couplings and masses which absorbs all UV divergences. Several schemes are possible (MS,  $\overline{\text{MS}}$ , OS ...)

- Take two different renormalization schemes of the QCD bare coupling as

$$\alpha_s^{\text{ren},A} = Z^A \alpha_s^0, \quad \alpha_s^{\text{ren},B} = Z^B \alpha_s^0$$

# Renormalization schemes

Renormalization: a global redefinition of couplings and masses which absorbs all UV divergences. Several schemes are possible (MS,  $\overline{\text{MS}}$ , OS ...)

- Take two different renormalization schemes of the QCD bare coupling as

$$\alpha_s^{\text{ren},A} = Z^A \alpha_s^0, \quad \alpha_s^{\text{ren},B} = Z^B \alpha_s^0$$

- Infinite parts of renormalization constants must be the same, therefore renormalized constants must be related by a finite renormalization

$$\alpha_s^{\text{ren},B} = \alpha_s^{\text{ren},A} (1 + c_1 \alpha_s^{\text{ren},A} + \dots)$$

# Renormalization schemes

Renormalization: a global redefinition of couplings and masses which absorbs all UV divergences. Several schemes are possible (MS,  $\overline{\text{MS}}$ , OS ...)

- Take two different renormalization schemes of the QCD bare coupling as

$$\alpha_s^{\text{ren},A} = Z^A \alpha_s^0, \quad \alpha_s^{\text{ren},B} = Z^B \alpha_s^0$$

- Infinite parts of renormalization constants must be the same, therefore renormalized constants must be related by a finite renormalization

$$\alpha_s^{\text{ren},B} = \alpha_s^{\text{ren},A} (1 + c_1 \alpha_s^{\text{ren},A} + \dots)$$

- Note that as a consequence of this, the first two coefficients of the  **$\beta$ -function** do not change under such a transformation, i.e. they are **scheme independent**. This is not true for higher order coefficients.

# The $\overline{\text{MS}}$ scheme

- Today standard scheme is the modified minimal subtraction scheme,  $\overline{\text{MS}}$
- After regularizing integrals via the dimensional regularization, poles appear always in the combination

$$\frac{1}{\epsilon} + \ln(4\pi) - \gamma_E$$

- Therefore in the  $\overline{\text{MS}}$ -scheme, instead of subtracting poles minimally ( $\text{MS}$  scheme), one always subtracts that combination, and replaces the bare coupling with the renormalized one
- It is then standard to quote the coupling and  $\Lambda_{\text{QCD}}$  in this scheme, the current value is

$$206\text{MeV} < \Lambda_{\overline{\text{MS}}}(5) < 231\text{MeV}$$

- Uncertainties in this quantity propagate in the QCD cross-sections

# Subtraction and slicing methods

- Consider e.g. an n-jet cross-section with **some arbitrary infrared safe jet definition**. At NLO, two divergent integrals, but the sum is finite

$$\sigma_{\text{NLO}}^J = \int_{n+1} d\sigma_R^J + \int_n d\sigma_V^J$$

- Since one integrates over a different number of particles in the final state, real and virtual need to be evaluated first, and combined then
- This means that one needs to find a **way of removing divergences before evaluating the phase space integrals**
- Two main techniques to do this
  - *phase space slicing*  $\Rightarrow$  obsolete because of practical/numerical issues
  - *subtraction method*  $\Rightarrow$  most used in recent applications

# Subtraction method

- The real cross-section can be written schematically as

$$d\sigma_R^J = d\phi_{n+1} |\mathcal{M}_{n+1}|^2 F_{n+1}^J(p_1, \dots, p_{n+1})$$

where  $F^J$  is the arbitrary jet-definition

# Subtraction method

- The real cross-section can be written schematically as

$$d\sigma_R^J = d\phi_{n+1} |\mathcal{M}_{n+1}|^2 F_{n+1}^J(p_1, \dots, p_{n+1})$$

where  $F^J$  is the arbitrary jet-definition

- The matrix element has a non-integrable divergence

$$|\mathcal{M}_{n+1}|^2 = \frac{1}{x} \mathcal{M}(x)$$

where  $x$  vanishes in the soft/collinear divergent region

# Subtraction method

- The real cross-section can be written schematically as

$$d\sigma_R^J = d\phi_{n+1} |\mathcal{M}_{n+1}|^2 F_{n+1}^J(p_1, \dots, p_{n+1})$$

where  $F^J$  is the arbitrary jet-definition

- The matrix element has a non-integrable divergence

$$|\mathcal{M}_{n+1}|^2 = \frac{1}{x} \mathcal{M}(x)$$

where  $x$  vanishes in the soft/collinear divergent region

- IR divergences in the loop integration regularized by taking  $D=4-2\epsilon$

$$2 \operatorname{Re}\{\mathcal{M}_V \cdot \mathcal{M}_0^*\} = \frac{1}{\epsilon} \mathcal{V}$$

# Subtraction method

- The n-jet cross-section becomes

$$\sigma_{\text{NLO}}^J = \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{n+1}^J(x) + \frac{1}{\epsilon} \mathcal{V} F_n^J$$

# Subtraction method

- The n-jet cross-section becomes

$$\sigma_{\text{NLO}}^J = \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{n+1}^J(x) + \frac{1}{\epsilon} \mathcal{V} F_n^J$$

- **Infrared safety** of the jet definition implies

$$\lim_{x \rightarrow 0} F_{n+1}^J(x) = F_n^J$$

# Subtraction method

- The n-jet cross-section becomes

$$\sigma_{\text{NLO}}^J = \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{n+1}^J(x) + \frac{1}{\epsilon} \mathcal{V} F_n^J$$

- **Infrared safety** of the jet definition implies

$$\lim_{x \rightarrow 0} F_{n+1}^J(x) = F_n^J$$

- **KLN cancelation** guarantees that

$$\lim_{x \rightarrow 0} \mathcal{M}(x) = \mathcal{V}$$

# Subtraction method

- The n-jet cross-section becomes

$$\sigma_{\text{NLO}}^J = \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{n+1}^J(x) + \frac{1}{\epsilon} \mathcal{V} F_n^J$$

- **Infrared safety** of the jet definition implies

$$\lim_{x \rightarrow 0} F_{n+1}^J(x) = F_n^J$$

- **KLN cancelation** guarantees that

$$\lim_{x \rightarrow 0} \mathcal{M}(x) = \mathcal{V}$$

- One can then add and subtract the analytically computed divergent part

$$\sigma_{\text{NLO}}^J = \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) F_{n+1}^J(x) - \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{V} F_n^J + \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{V} F_n^J + \frac{1}{\epsilon} \mathcal{V} F_n^J$$

# Subtraction method

- This can be rewritten exactly as

$$\sigma_{\text{NLO}}^J = \int_0^1 \frac{dx}{x^{1+\epsilon}} \mathcal{M}(x) (F_1^J(x) - \mathcal{V} F_0^J) + \mathcal{O}(1) \mathcal{V} F_0^J$$

⇒ Now both terms are finite and can be evaluated numerically

- Subtracted cross-section must be calculated separately for each process (but mostly automated now). It must be valid everywhere in phase space
- Systematized in the seminal papers of Catani-Seymour (dipole subtraction, '96) and Frixione-Kunszt-Signer (FKS method, '96)
- Subtraction used in all recent NLO applications and public codes (Event2, Disent, MCFM, NLOjet++, ... )

# Approaches to virtual (loop) part of NLO

## Two complementary approaches:

- ▶ Numerical/traditional Feynman diagram methods:  
use robust computational methods [integration by parts, reduction techniques...], then let the computer do the work for you

## Bottleneck:

factorial growth,  $2 \rightarrow 4$  doable, very difficult to go beyond

# Approaches to virtual (loop) part of NLO

## Two complementary approaches:

### ► Numerical/traditional Feynman diagram methods:

use robust computational methods [integration by parts, reduction techniques...], then let the computer do the work for you

#### Bottleneck:

factorial growth,  $2 \rightarrow 4$  doable, very difficult to go beyond

### ► Analytical approaches:

improve understanding of field theory [e.g. unitarity, onshell methods, OPP, recursion relations, twistor methods, ... ]

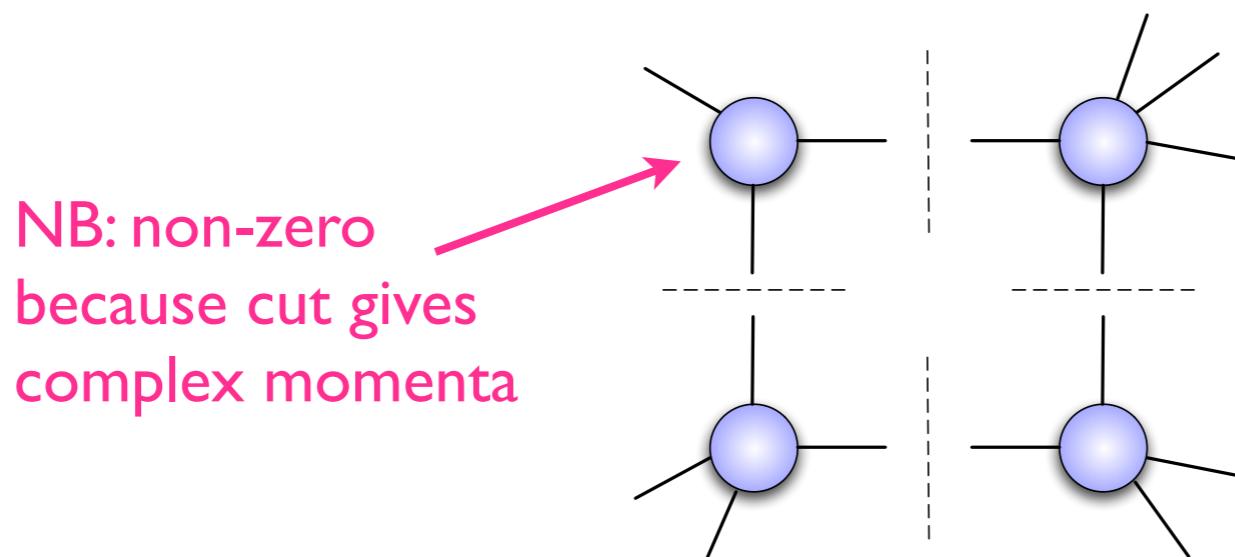
#### Bottleneck:

still lack of complete automation, fermions in general more difficult

# Two breakthrough ideas

Aim: NLO loop integral without doing the integration

I) “... we show how to use generalized unitarity to read off the (box) coefficients. The generalized cuts we use are quadrupole cuts ...”



*Britto, Cachazo, Feng '04*

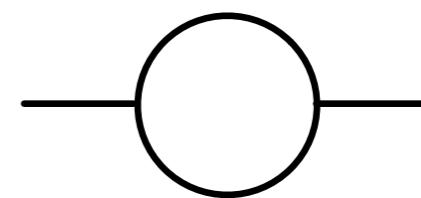
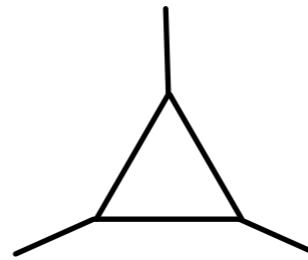
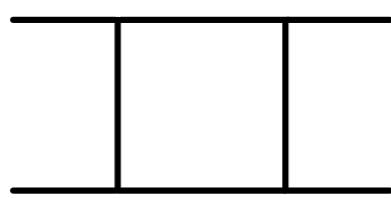
Quadrupole cuts: 4 on-shell conditions on 4 dimensional loop momentum) freezes the integration. But rational part of the amplitude, coming from  $D=4-2\epsilon$  not 4, computed separately

# Two breakthrough ideas

Aim: NLO loop integral without doing the integration

2) The OPP method: “We show how to extract the coefficients of 4-, 3-, 2- and 1-point one-loop scalar integrals....”

$$\mathcal{A}_N = \sum_{[i_1|i_4]} \left( d_{i_1 i_2 i_3 i_4} I_{i_1 i_2 i_3 i_4}^{(D)} \right) + \sum_{[i_1|i_3]} \left( c_{i_1 i_2 i_3} I_{i_1 i_2 i_3}^{(D)} \right) + \sum_{[i_1|i_2]} \left( b_{i_1 i_2} I_{i_1 i_2}^{(D)} \right)$$



Ossola, Pittau, Papadopolous '06

Coefficients can be determined by solving system of equations: no loops, no twistors, just algebra!

# Status in 2005

Table 42: The LHC “priority” wishlist for which a NLO computation seems now feasible.

process $(V \in \{Z, W, \gamma\})$	relevant for
1. $pp \rightarrow VV\text{jet}$	$t\bar{t}H$ , new physics
2. $pp \rightarrow t\bar{t}b\bar{b}$	$t\bar{t}H$
3. $pp \rightarrow t\bar{t} + 2\text{jets}$	$t\bar{t}H$
4. $pp \rightarrow VVb\bar{b}$	VBF $\rightarrow H \rightarrow VV$ , $t\bar{t}H$ , new physics
5. $pp \rightarrow VV + 2\text{jets}$	VBF $\rightarrow H \rightarrow VV$
6. $pp \rightarrow V + 3\text{jets}$	various new physics signatures
7. $pp \rightarrow VVV$	SUSY trilepton

*The QCD, EW & Higgs Working group report hep-ph/0604120*

# The 2007 update

Process $(V \in \{Z, W, \gamma\})$	Comments
Calculations completed since Les Houches 2005	
1. $pp \rightarrow VV\text{jet}$	$WW\text{jet}$ completed by Dittmaier/Kallweit/Uwer [3]; Campbell/Ellis/Zanderighi [4] and Binotto/Karg/Kauer/Sanguinetti (in progress)
2. $pp \rightarrow \text{Higgs+2jets}$	NLO QCD to the $gg$ channel completed by Campbell/Ellis/Zanderighi [5]; NLO QCD+EW to the VBF channel completed by Ciccolini/Denner/Dittmaier [6, 7]
3. $pp \rightarrow VVV$	$ZZZ$ completed by Lazopoulos/Melnikov/Petriello [8] and $WWZ$ by Hankele/Zeppenfeld [9]
Calculations remaining from Les Houches 2005	
4. $pp \rightarrow t\bar{t} b\bar{b}$	relevant for $t\bar{t}H$
5. $pp \rightarrow t\bar{t}+2\text{jets}$	relevant for $t\bar{t}H$
6. $pp \rightarrow VV b\bar{b}$ ,	relevant for $\text{VBF} \rightarrow H \rightarrow VV, t\bar{t}H$
7. $pp \rightarrow VV+2\text{jets}$	relevant for $\text{VBF} \rightarrow H \rightarrow VV$
8. $pp \rightarrow V+3\text{jets}$	VBF contributions calculated by (Bozzi/Jäger/Oleari/Zeppenfeld [10–12]) various new physics signatures
NLO calculations added to list in 2007	
9. $pp \rightarrow b\bar{b}b\bar{b}$	Higgs and new physics signatures
Calculations beyond NLO added in 2007	
10. $gg \rightarrow W^*W^* \mathcal{O}(\alpha^2 \alpha_s^3)$	backgrounds to Higgs
11. NNLO $pp \rightarrow t\bar{t}$	normalization of a benchmark process
12. NNLO to VBF and $Z/\gamma+\text{jet}$	Higgs couplings and SM benchmark
Calculations including electroweak effects	
13. NNLO QCD+NLO EW for $W/Z$	precision calculation of a SM benchmark

} with Feynman diagrams

} with Feynman diagrams or  
unitarity/onshell methods

*The NLO multi-leg Working  
group report 0803.0494*

Table 1: The updated experimenter's wishlist for LHC processes

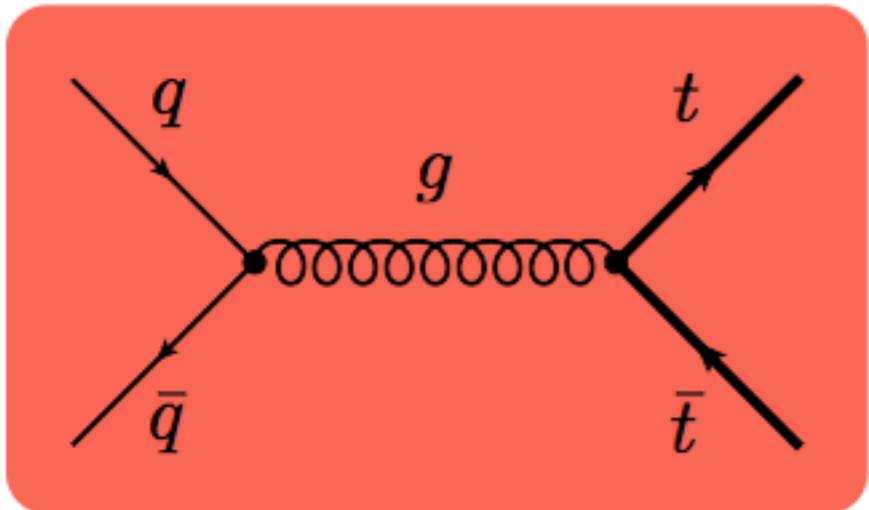
# Status of NLO today

## Status of NLO:

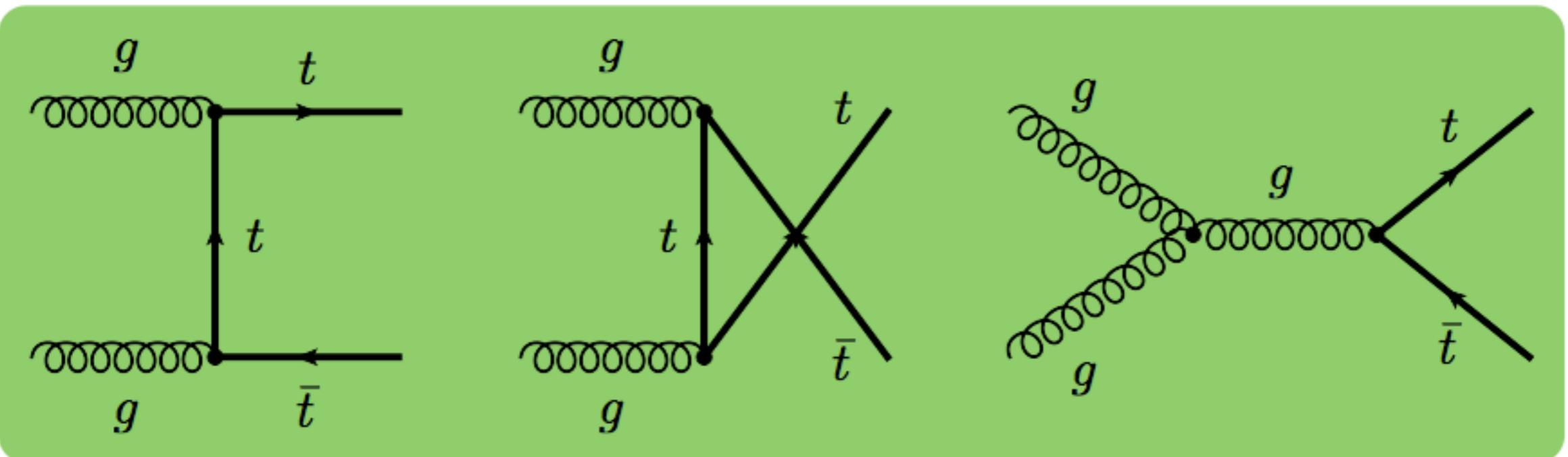
- 2 → 2: all known (or easy) in SM and beyond
- 2 → 3: essentially all SM processes known  
[but: often do not include decays, codes private]
- 2 → 4: a number of calculations performed in the last 1- or 2 years  
[W/Z+3jets, WW+2jets, WWbb, tt+2jets, ttbb, bbbb].  
Calculations done using different techniques
- 2 → 5: only dominant corrections for two processes [W/Z+4jets]

# Top-pair production

Basic production mechanisms: initiated from quarks or gluons



*What is the dominant production mechanism, at the Tevatron / LHC ?  
[And why ?]*



# Top-pair production: Tevatron

Running the program MCFM gives

Value of final lord integral is      9334.461 +/-      3.530 fb

Total number of shots      :      200000

Total no. failing cuts      :      0

Number failing jet cuts      :      0

Number failing process cuts :      0

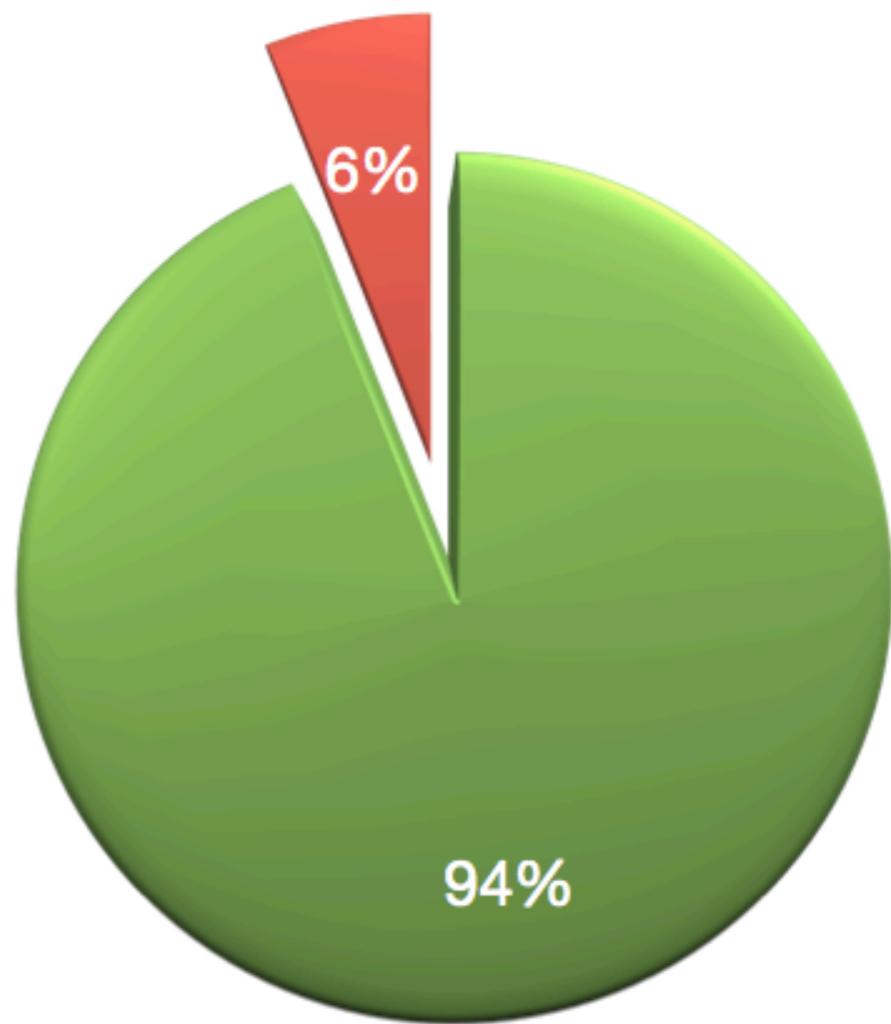
Jet efficiency : 100.00%

Cut efficiency : 100.00%

Total efficiency : 100.00%

Contribution from parton sub-processes:

GG	563.36203	6.04%
GQ	0.00000	0.00%
GQB	0.00000	0.00%
QG	0.00000	0.00%
QBG	0.00000	0.00%
QQ	0.00000	0.00%
QBQB	0.00000	0.00%
QQB	8723.36136	93.45%
QBQ	47.73759	0.51%



# Top-pair production: pp @ 1.96 TeV

Running the program MCFM gives

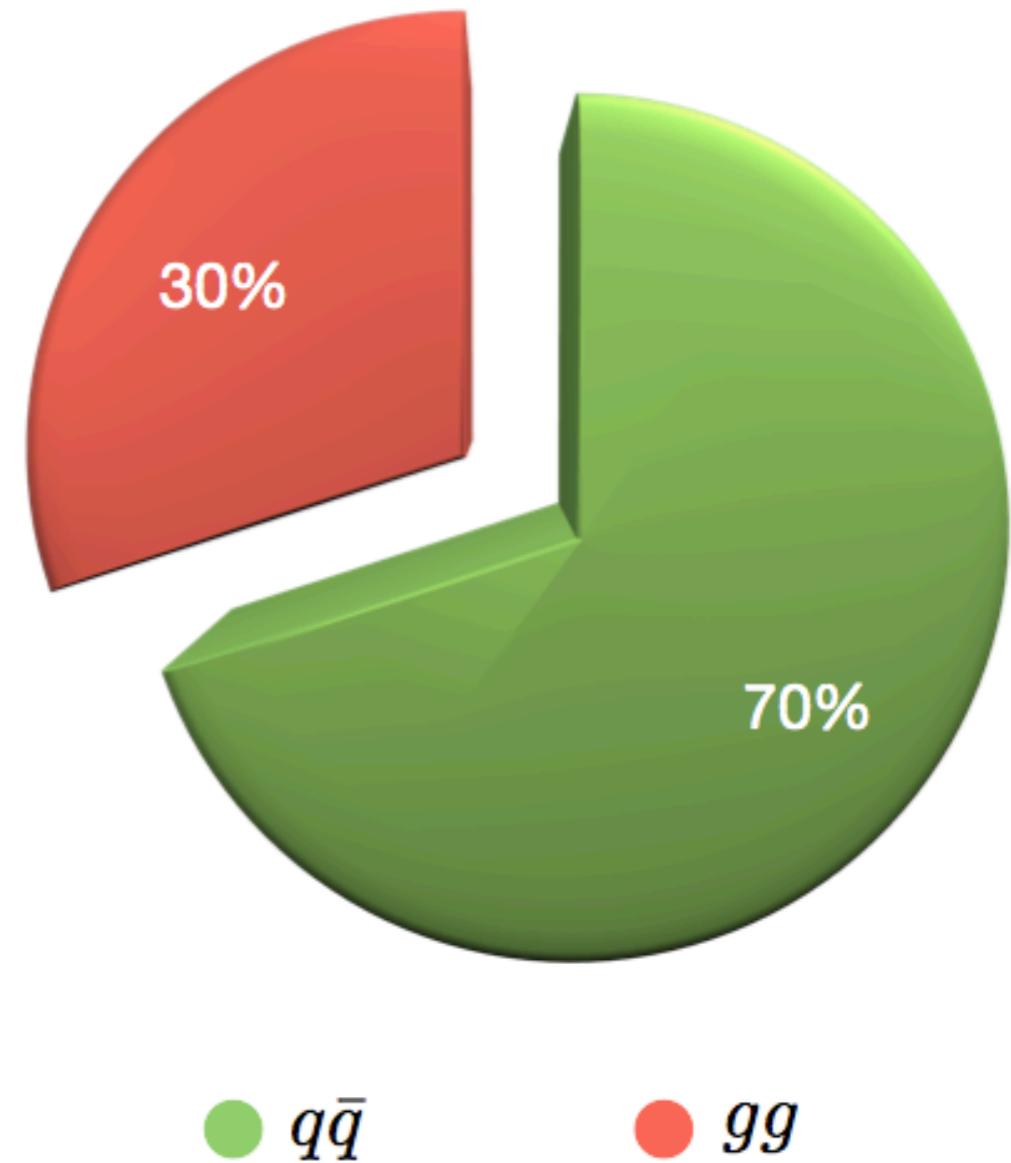
Value of final lord integral is      1889.320 +/-      0.723 fb

Total number of shots : 200000  
Total no. failing cuts : 0  
Number failing jet cuts : 0  
Number failing process cuts : 0

Jet efficiency : 100.00%  
Cut efficiency : 100.00%  
Total efficiency : 100.00%

Contribution from parton sub-processes:

GG	563.26857	29.81%
GQ	0.00000	0.00%
GQB	0.00000	0.00%
QG	0.00000	0.00%
QBG	0.00000	0.00%
QQ	0.00000	0.00%
QBQB	0.00000	0.00%
QQB	662.81972	35.08%
QBQ	663.23143	35.10%



# Top-pair production: LHC

Running the program MCFM gives

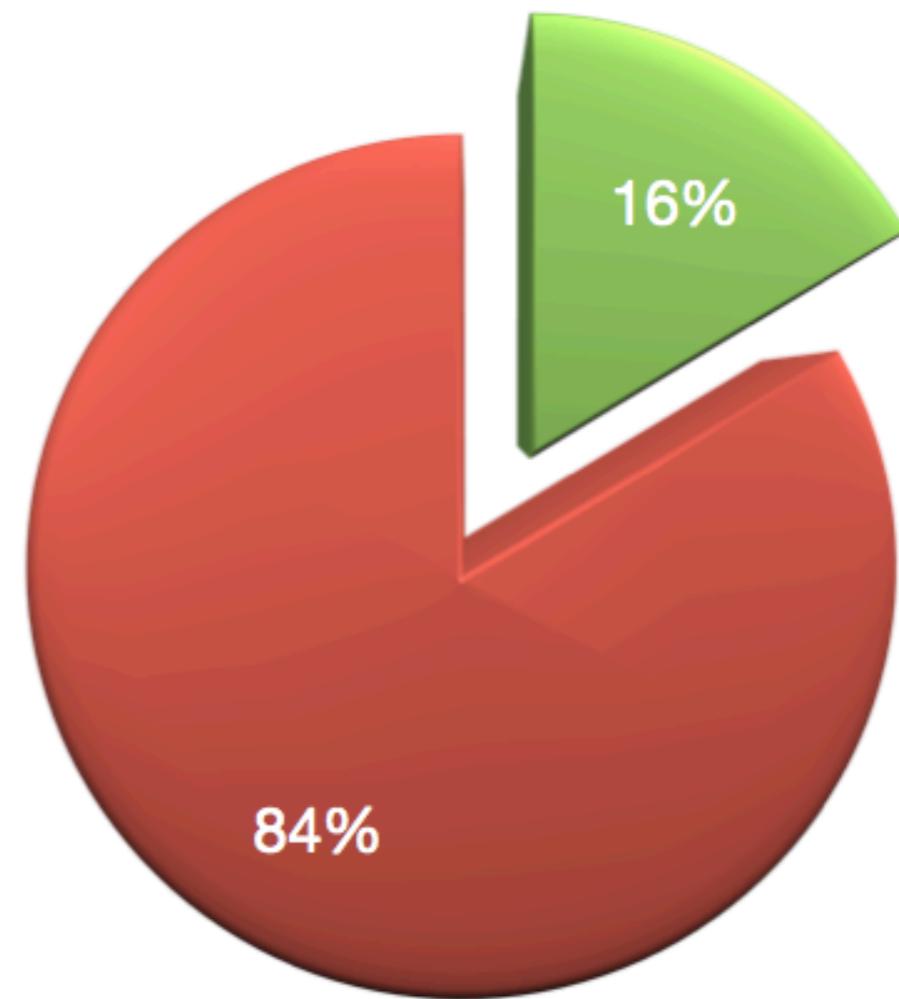
Value of final lord integral is 373635.066 +/- 148.259 fb

Total number of shots : 200000  
Total no. failing cuts : 0  
Number failing jet cuts : 0  
Number failing process cuts : 0

Jet efficiency : 100.00%  
Cut efficiency : 100.00%  
Total efficiency : 100.00%

Contribution from parton sub-processes:

GG	312453.03253	83.63%
GQ	0.00000	0.00%
GQB	0.00000	0.00%
QG	0.00000	0.00%
QBG	0.00000	0.00%
QQ	0.00000	0.00%
QBQB	0.00000	0.00%
QQB	30598.98764	8.19%
QBQ	30583.04606	8.19%



# Top-asymmetry

At the Tevatron, one interesting top measurement is its **asymmetry**

$$A_{fb} = \frac{N_{\text{top}}(\eta > 0) - N_{\text{top}}(\eta < 0)}{N_{\text{top}}(\eta > 0) + N_{\text{top}}(\eta < 0)}$$

# Top-asymmetry

At the Tevatron, one interesting top measurement is its **asymmetry**

$$A_{fb} = \frac{N_{\text{top}}(\eta > 0) - N_{\text{top}}(\eta < 0)}{N_{\text{top}}(\eta > 0) + N_{\text{top}}(\eta < 0)}$$

At  $\mathcal{O}(\alpha_s^3)$  the asymmetry is non-zero, an **NLO calculation** gives

$$A_{fb}^{\text{NLO}} = 0.050 \pm 0.015$$

Kuehn et al. '99

# Top-asymmetry

At the Tevatron, one interesting top measurement is its **asymmetry**

$$A_{fb} = \frac{N_{\text{top}}(\eta > 0) - N_{\text{top}}(\eta < 0)}{N_{\text{top}}(\eta > 0) + N_{\text{top}}(\eta < 0)}$$

At  $\mathcal{O}(\alpha_s^3)$  the asymmetry is non-zero, an **NLO calculation** gives

$$A_{fb}^{\text{NLO}} = 0.050 \pm 0.015$$

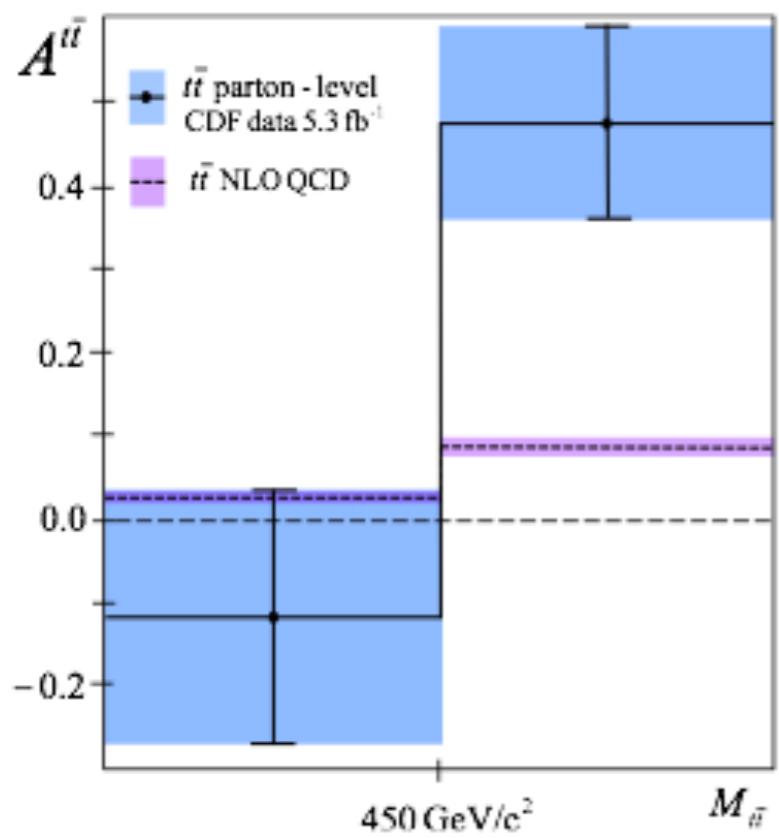
Kuehn et al. '99

But **CDF & D0 measurements** give

$$A_{fb}^{\text{exp.}} = 0.193 \pm 0.065 \text{ (stat.)} \pm 0.024 \text{ (syst.)}$$

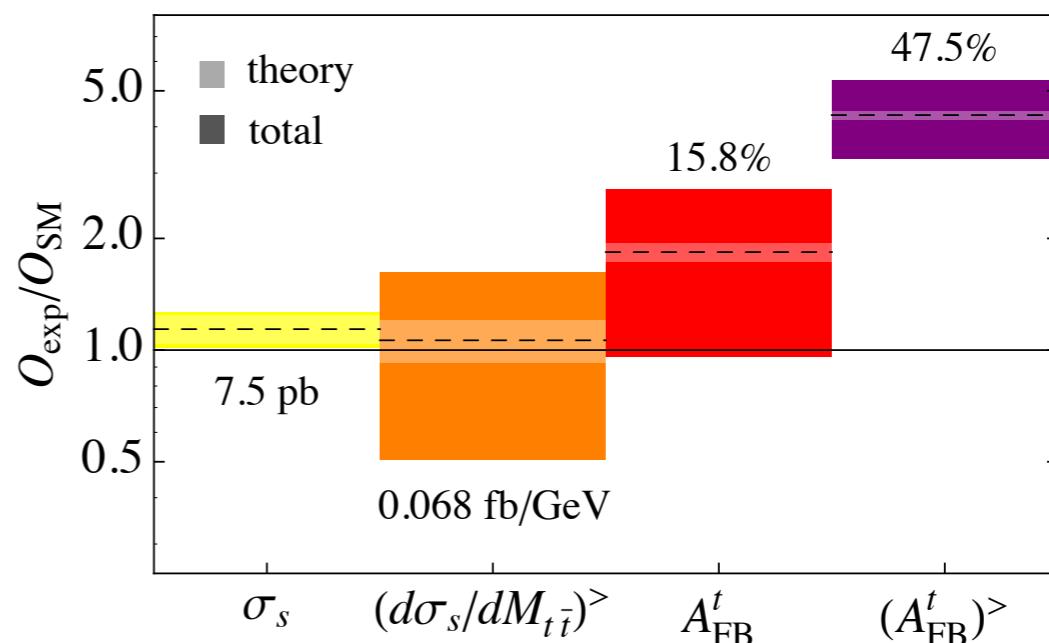
⇒ more than 2-sigma deviation from NLO

# Top-asymmetry: recent update



CDF 1101.0034

Tension between symmetric and asymmetric cross-section



$2.7\sigma / 4.2\sigma$  away from the NLO+NNLL theory. Seen both by CDF and D0, CDF effect enhanced at large  $M_{t\bar{t}}$ , also in dilepton channel

Asymmetry is 0 at LO, but theoretical arguments and partial higher orders suggest that NLO is robust under higher-order corrections

Almeida et al. 0805.1885; Melnikov and Schulze 1004.3284; Ahrens et al. 1106.6051 ...

Various new models try to explain data, but difficult to preserve good agreement with symmetric cross-section, like-sign top decays, ...

# Top at the LHC

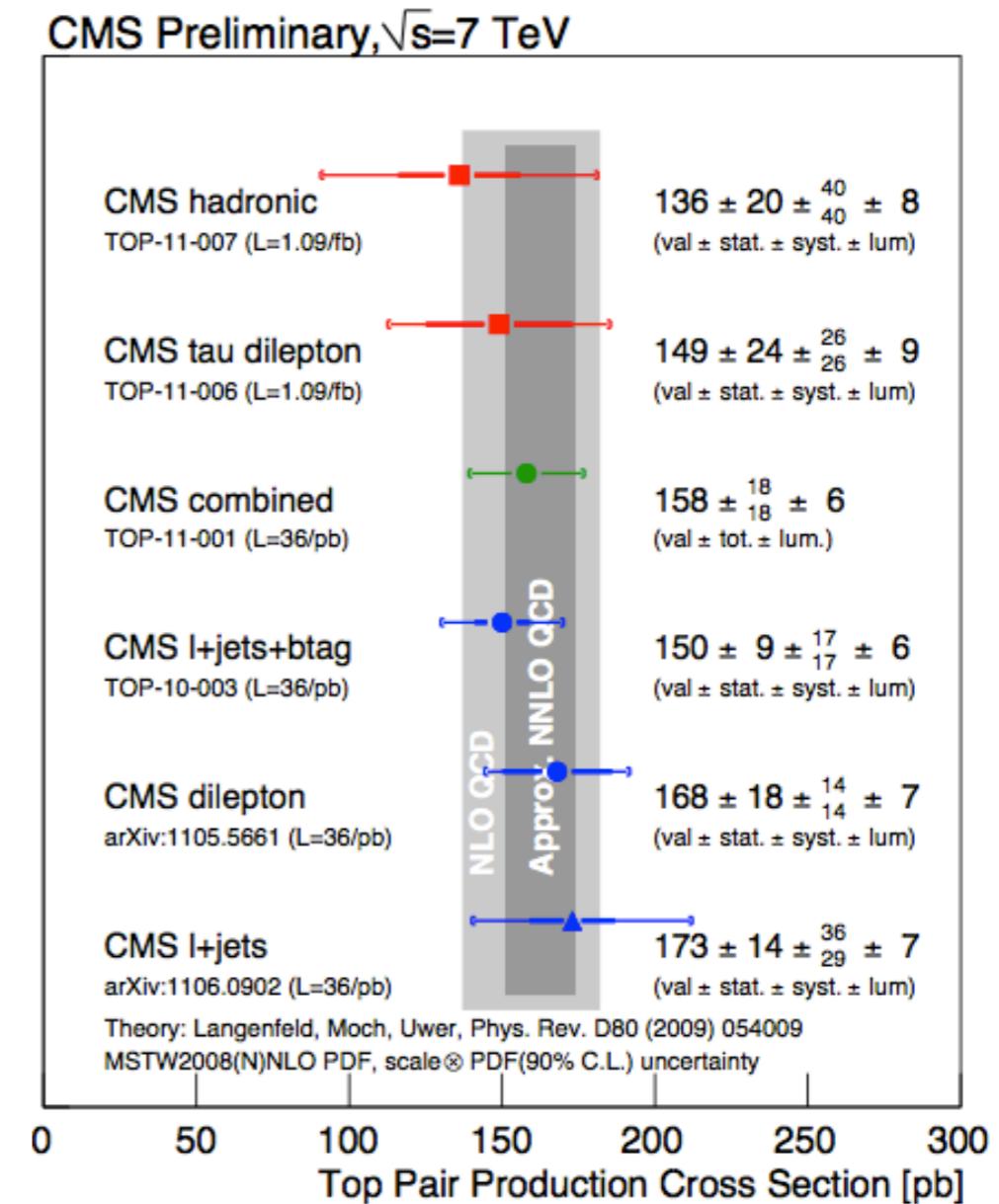
Large Yukawa coupling and prominent decay product in many new-physics models. The place where new physics will show up?

Good agreement between LHC data and NLO (and approx. NNLO) QCD  
The frontier of NNLO

[ ... ]

## Motivation for NNLO

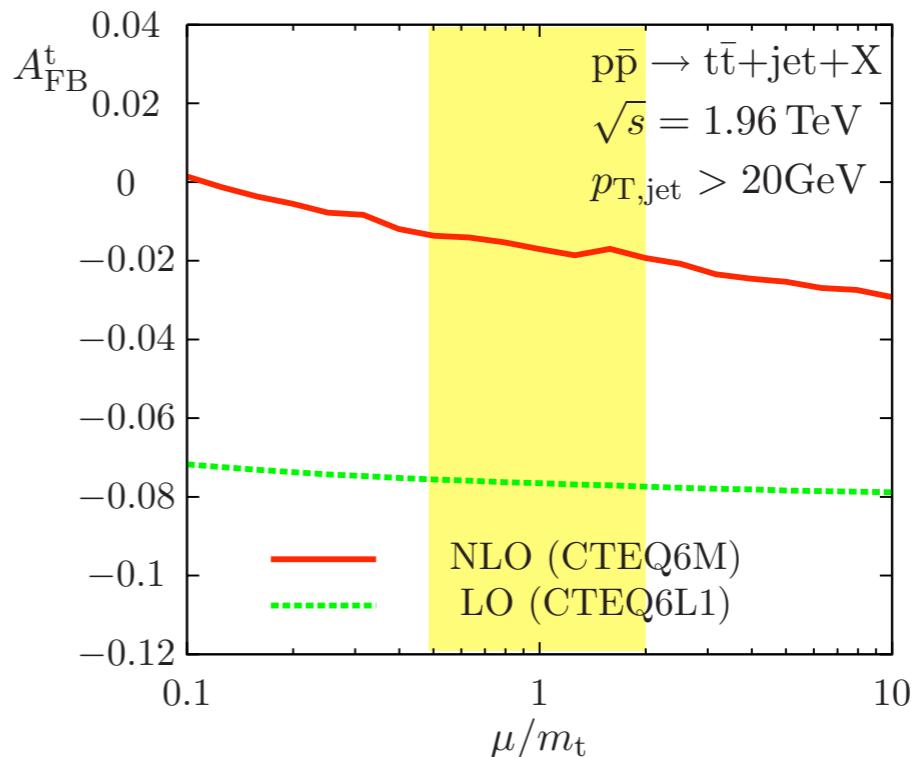
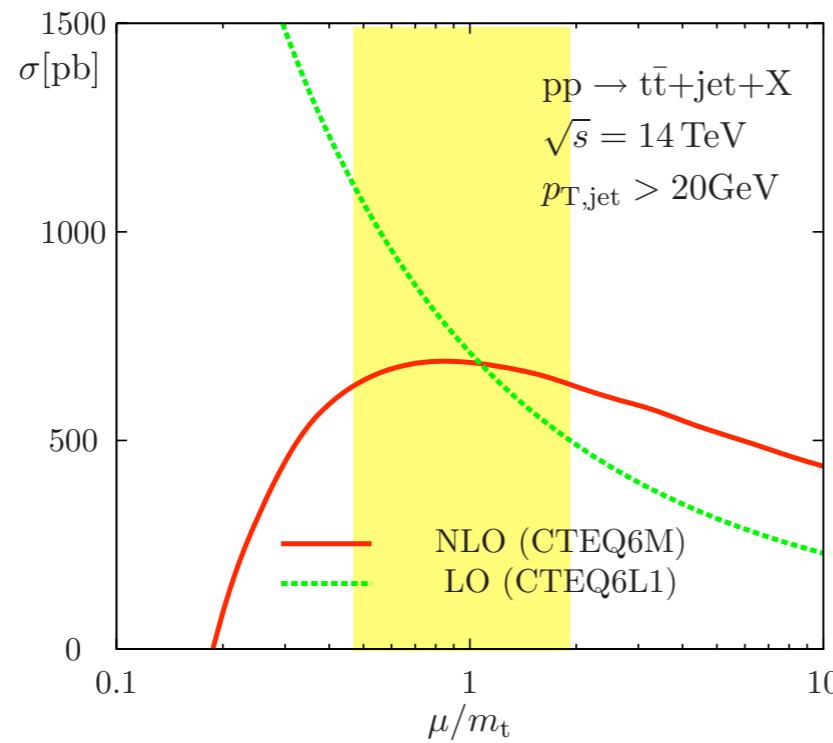
- constrain gluon pdf
- top mass from cross-section
- top FB asymmetry



# tt+ 1 jet

Calculation done with Feynman diagrams

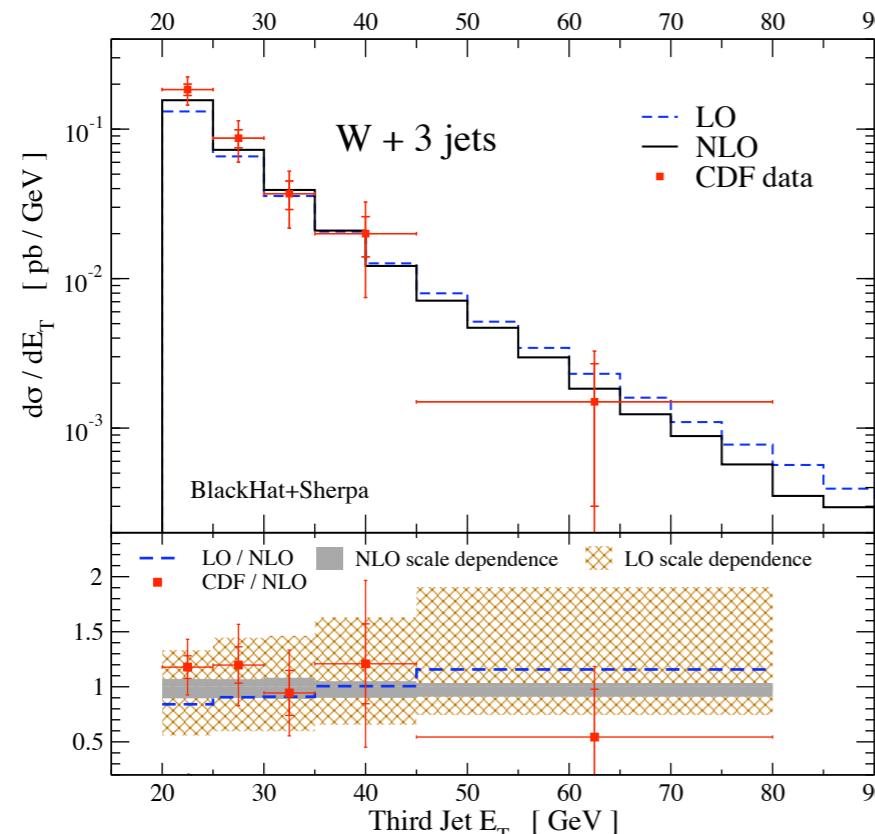
*Dittmaier, Kallweit, Uwer '07-'08*



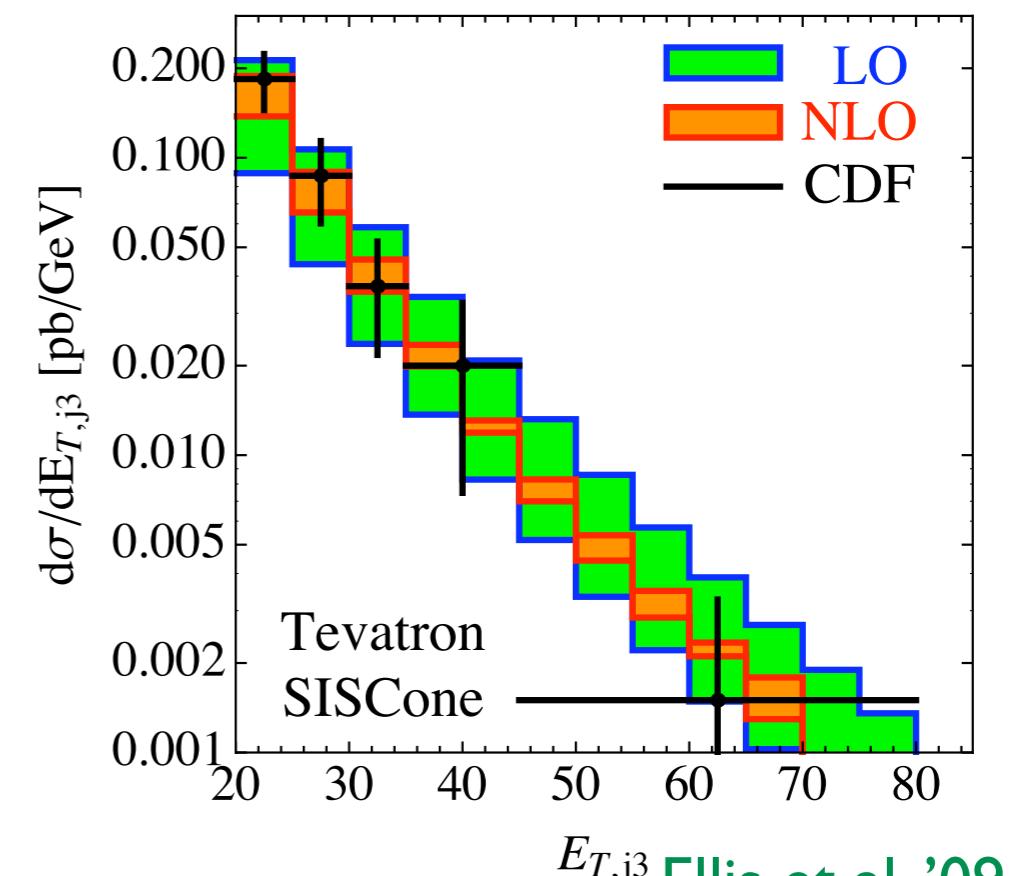
- ▶ improved stability of NLO result [but no decays]
- ▶ forward-backward asymmetry at the Tevatron compatible with zero
- ▶ essential ingredient of NNLO tt production (hot topic)

# W + 3 jets

Measured at the Tevatron + of primary importance at the LHC:  
background to model- independent new physics searches using jets + MET



Berger et al. '09

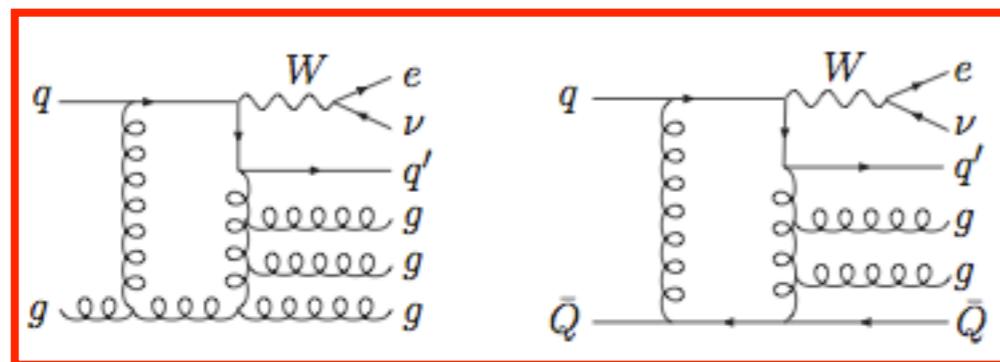


Ellis et al. '09

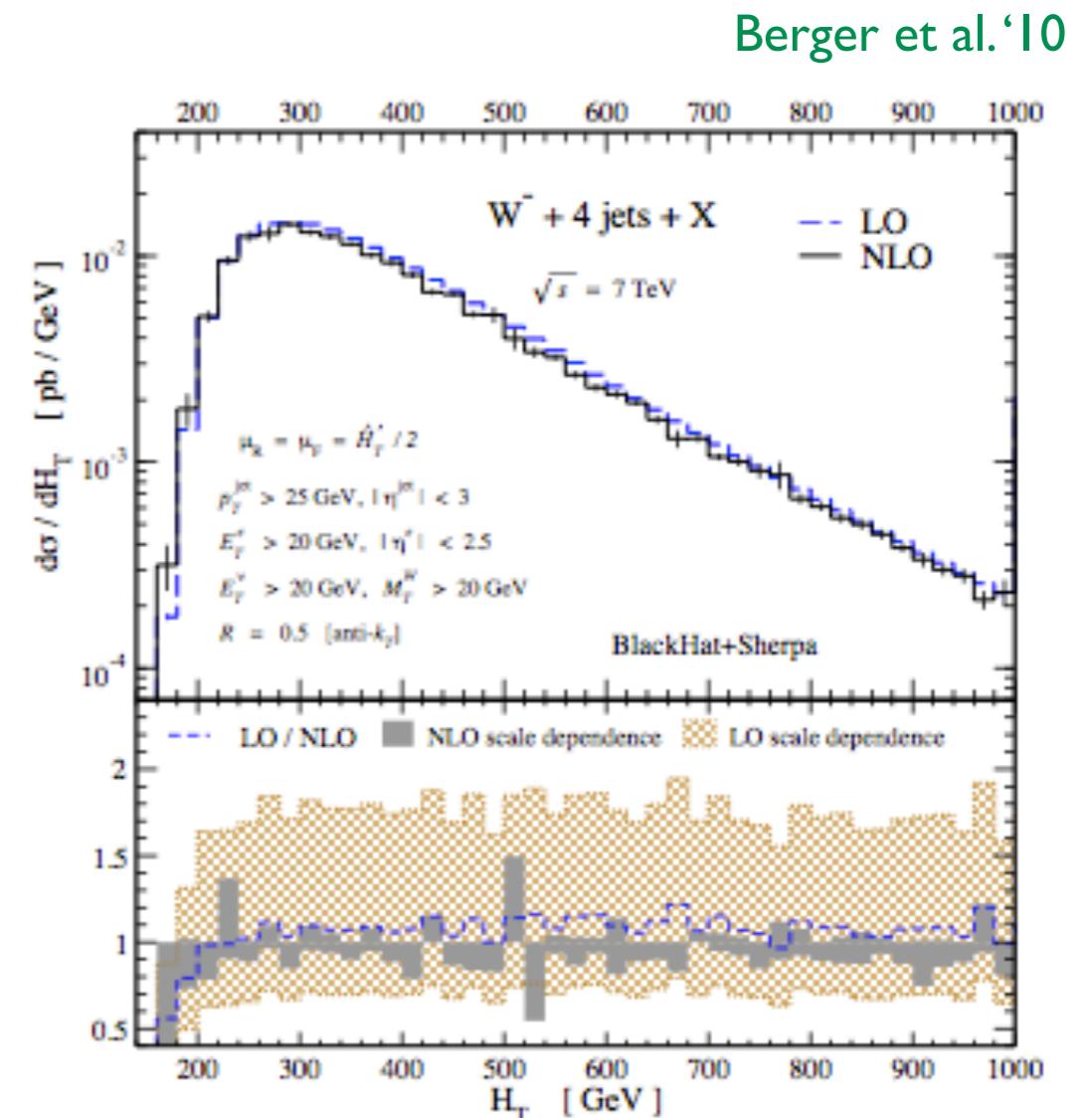
- ☺ Small K=1.0-1.1, reduced uncertainty: 50% (LO) → 10% (NLO)
- ☺ First applications of new techniques to  $2 \rightarrow 4$  LHC processes

# $W + 4 \text{ jets at NLO}$

## Sample diagrams\*



- first pp  $\rightarrow 5$
- expected reduction of theoretical uncertainties
- key to top physics analyses: main background to tt in semi-leptonic channel



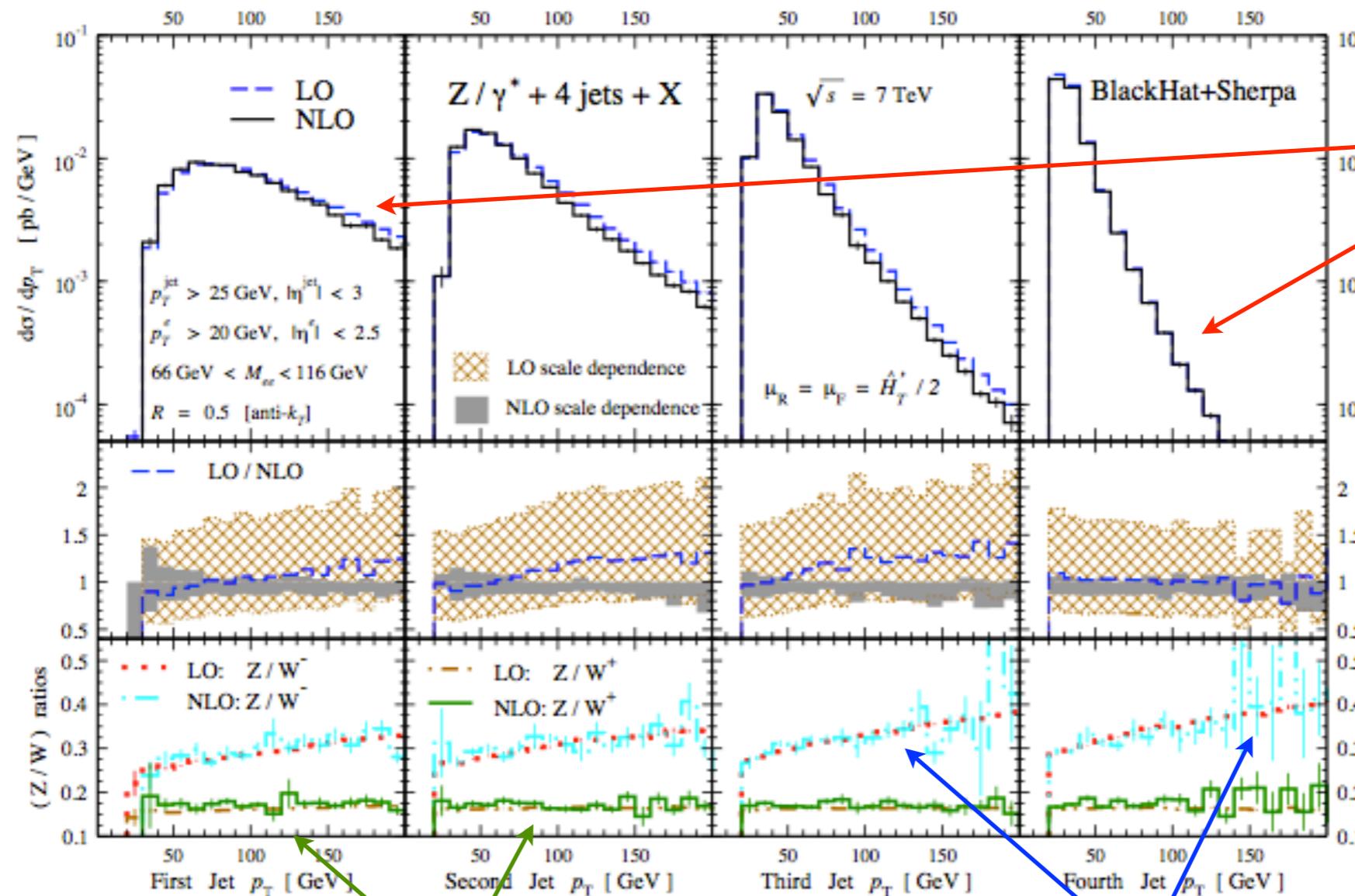
$$H_T = \sum_j p_{T,j} + p_{T,e} + p_{T,miss}$$

\*Leading color calculation (OK to within 3% for lower multiplicities); missing W + 6q channels (also very small)

# $Z + 4$ jets at NLO

4 jets + MET: important background to SUSY searches

Ita et al. '11



additional  
jets  
steeper

LO/NLO not  
always flat

ratios: excellent  
PT control

$Z/W^+$ : flat  $u(x)/u(x)$

$Z/W^-$ :  $u(x)/d(x)$  enhancement

# General NLO features?

Process	Typical scales		Tevatron $K$ -factor			LHC $K$ -factor		
	$\mu_0$	$\mu_1$	$\mathcal{K}(\mu_0)$	$\mathcal{K}(\mu_1)$	$\mathcal{K}'(\mu_0)$	$\mathcal{K}(\mu_0)$	$\mathcal{K}(\mu_1)$	$\mathcal{K}'(\mu_0)$
$W$	$m_W$	$2m_W$	1.33	1.31	1.21	1.15	1.05	1.15
$W+1\text{jet}$	$m_W$	$p_T^{\text{jet}}$	1.42	1.20	1.43	1.21	1.32	1.42
$W+2\text{jets}$	$m_W$	$p_T^{\text{jet}}$	1.16	0.91	1.29	0.89	0.88	1.10
$WW+\text{jet}$	$m_W$	$2m_W$	1.19	1.37	1.26	1.33	1.40	1.42
$t\bar{t}$	$m_t$	$2m_t$	1.08	1.31	1.24	1.40	1.59	1.48
$t\bar{t}+1\text{jet}$	$m_t$	$2m_t$	1.13	1.43	1.37	0.97	1.29	1.10
$b\bar{b}$	$m_b$	$2m_b$	1.20	1.21	2.10	0.98	0.84	2.51
Higgs	$m_H$	$p_T^{\text{jet}}$	2.33	–	2.33	1.72	–	2.32
Higgs via VBF	$m_H$	$p_T^{\text{jet}}$	1.07	0.97	1.07	1.23	1.34	1.09
Higgs+1jet	$m_H$	$p_T^{\text{jet}}$	2.02	–	2.13	1.47	–	1.90
Higgs+2jets	$m_H$	$p_T^{\text{jet}}$	–	–	–	1.15	–	–

$$\mathcal{K} = \frac{NLO}{LO}$$

[NLO report 0803.0494]

## General features:

- ▶ color annihilation, gluon dominated  $\Rightarrow$  large K factors ?
- ▶ extra legs in the final state  $\Rightarrow$  smaller K-factors ?

But be careful, only full calculations can really tell!

# NNLO: when is NLO not good enough?

💡 when **NLO corrections are large** (**NLO correction  $\sim$  LO**)

This may happens when

- process involve very different scales  $\rightarrow$  large logarithms of ratio of scales appear
- new channels open up at NLO (at NLO they are effectively LO)
- master example: Higgs production

# NNLO: when is NLO not good enough?

✿ when **NLO corrections are large** (**NLO correction  $\sim$  LO**)

This may happens when

- process involve very different scales  $\rightarrow$  large logarithms of ratio of scales appear
- new channels open up at NLO (at NLO they are effectively LO)
- master example: Higgs production

✿ when **high precision is needed** to match small experimental error

- W/Z hadro-production, heavy-quark hadro-production,  $\alpha_s$  from event shapes in  $e^+e^-$  ...

# NNLO: when is NLO not good enough?

📌 when **NLO corrections are large** (**NLO correction  $\sim$  LO**)

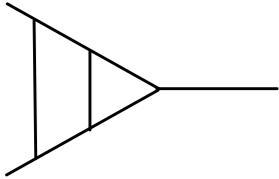
This may happens when

- process involve very different scales  $\rightarrow$  large logarithms of ratio of scales appear
- new channels open up at NLO (at NLO they are effectively LO)
- master example: Higgs production

📌 when **high precision is needed** to match small experimental error

- W/Z hadro-production, heavy-quark hadro-production,  $\alpha_s$  from event shapes in  $e^+e^-$  ...

📌 when **a reliable error estimate is needed**



# Collider processes known at NNLO

Collider processes known at NNLO today:

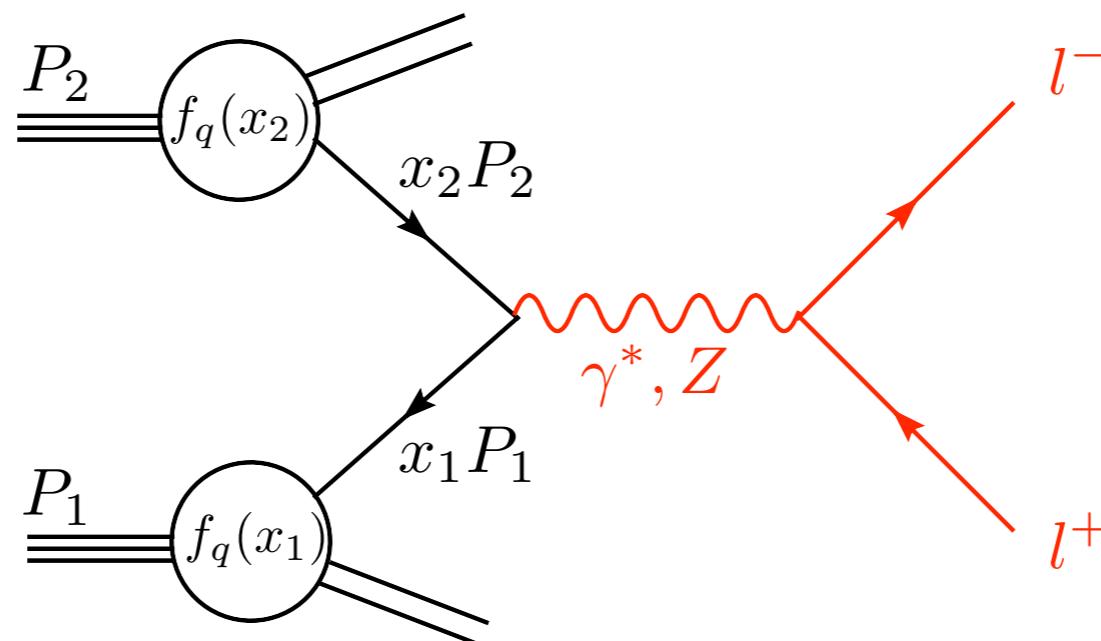
- (a) Drell-Yan ( $Z, W$ )
- (b) Higgs, also associated HV
- (c) 3-jets in  $e^+e^-$

# Drell-Yan processes

Drell-Yan processes:  $Z/W$  production ( $W \rightarrow l\nu, Z \rightarrow l^+l^-$ )

Very clean, golden-processes in QCD because

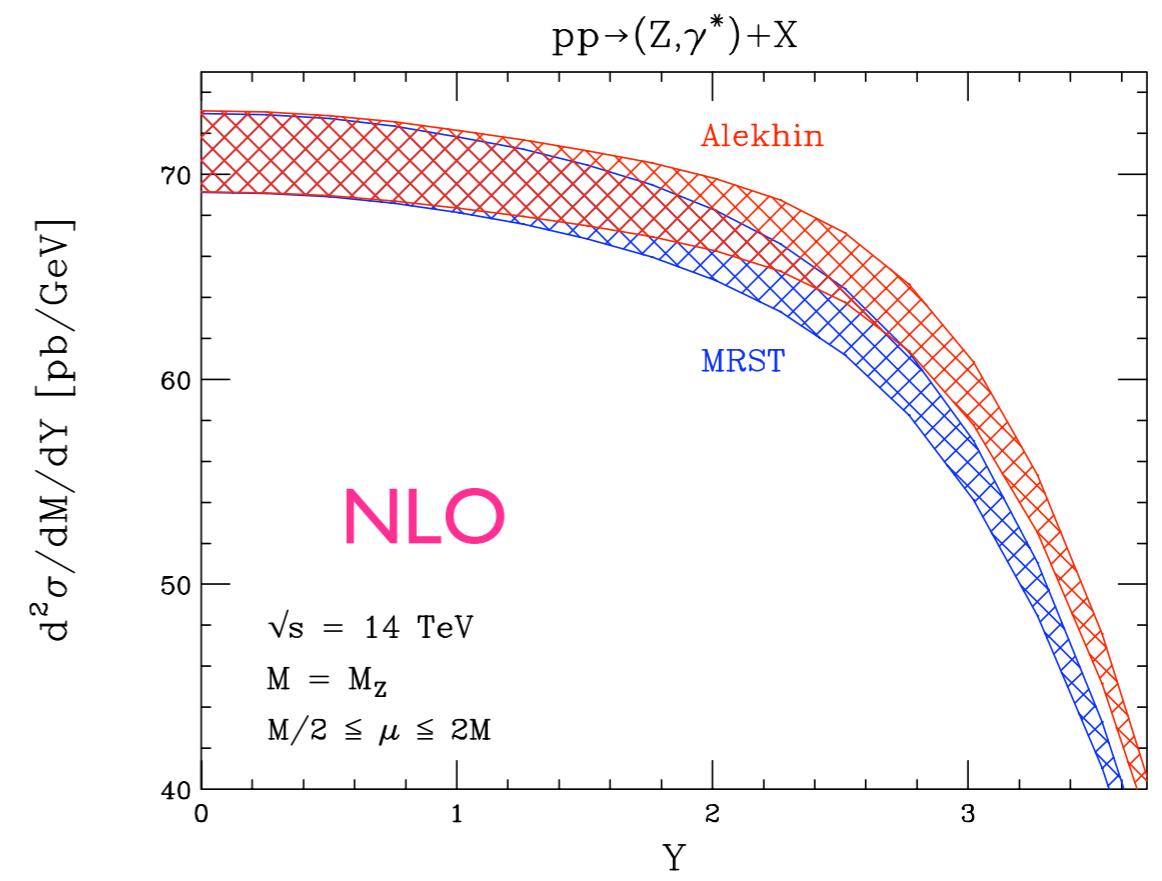
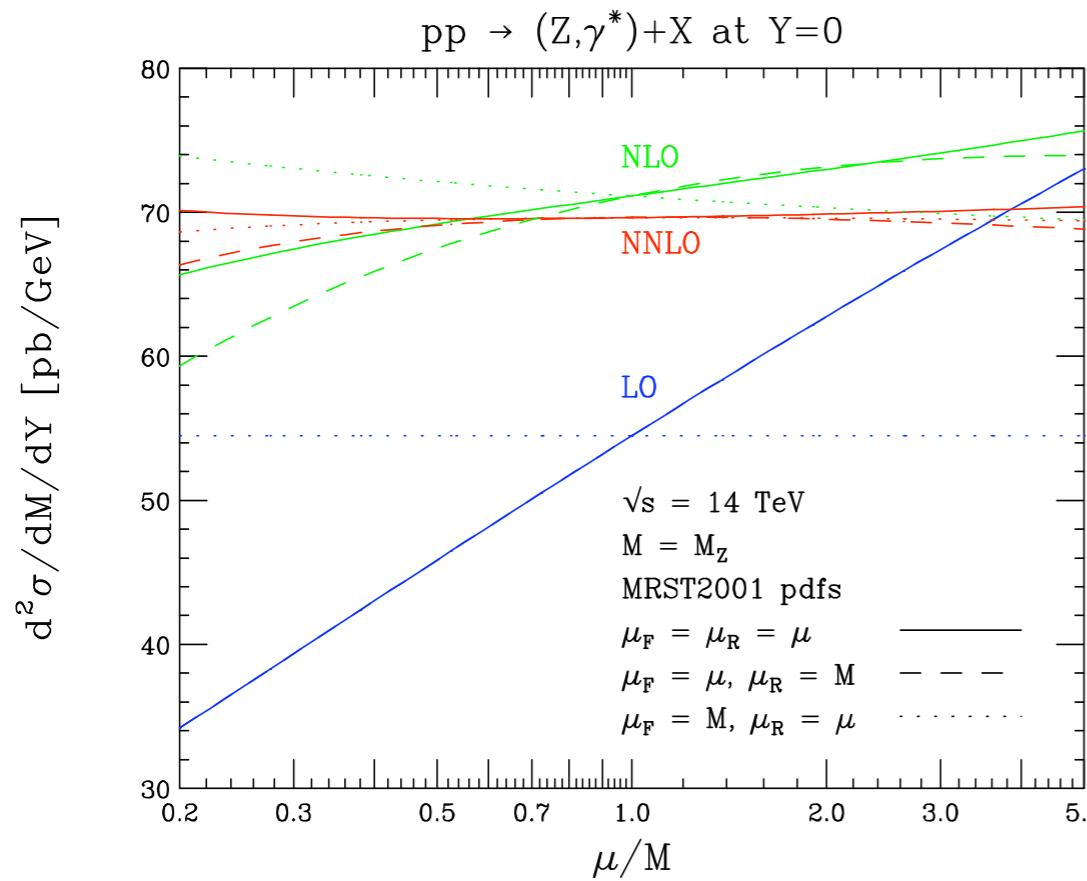
- ✓ dominated by quarks in the initial state
  - ✓ no gluons or quarks in the final state (QCD corrections small)
  - ✓ leptons easier experimentally (clear signature)
- ⇒ as clean as it gets at a hadron collider



# Drell-Yan processes

- most important and precise test of the SM at the LHC
- best known process at the LHC: spin-correlations, finite-width effects,  $\gamma$ -Z interference, fully differential in lepton momenta

## Scale stability and sensitivity to PDFs

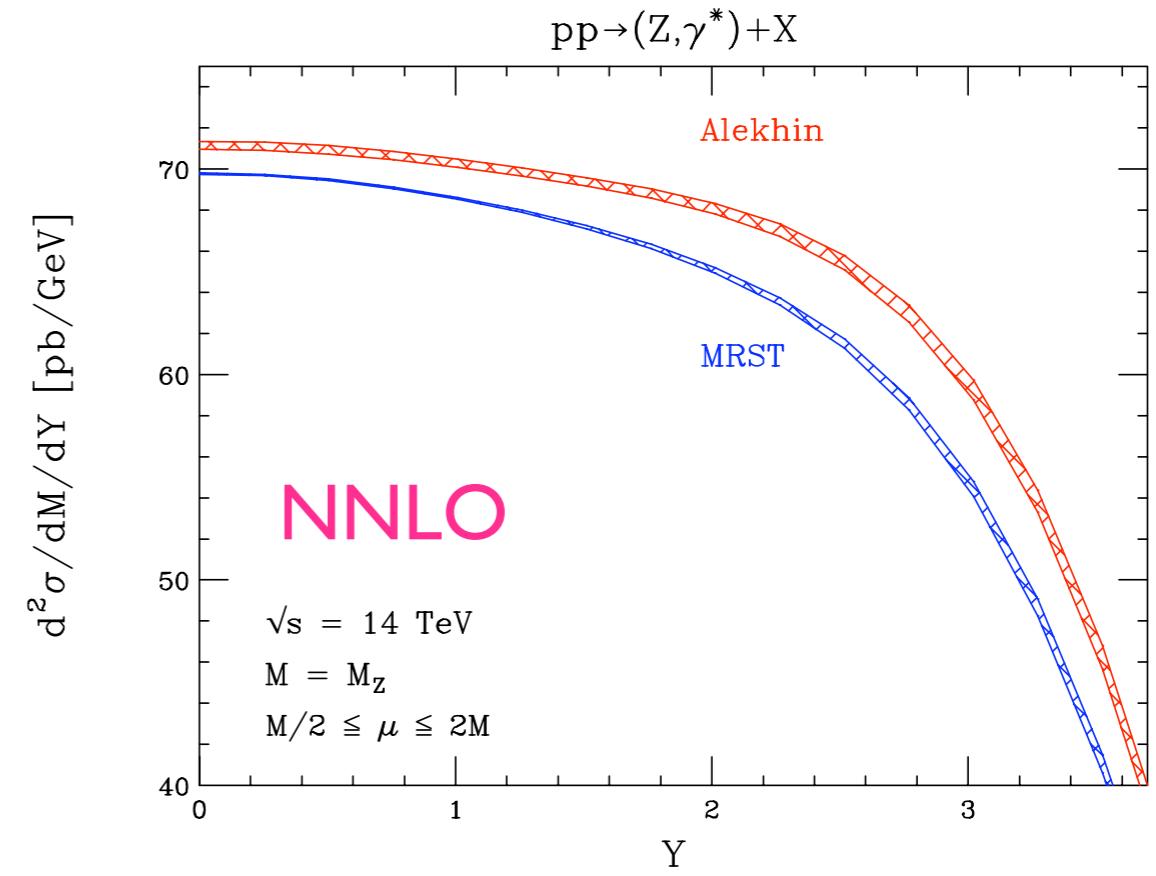
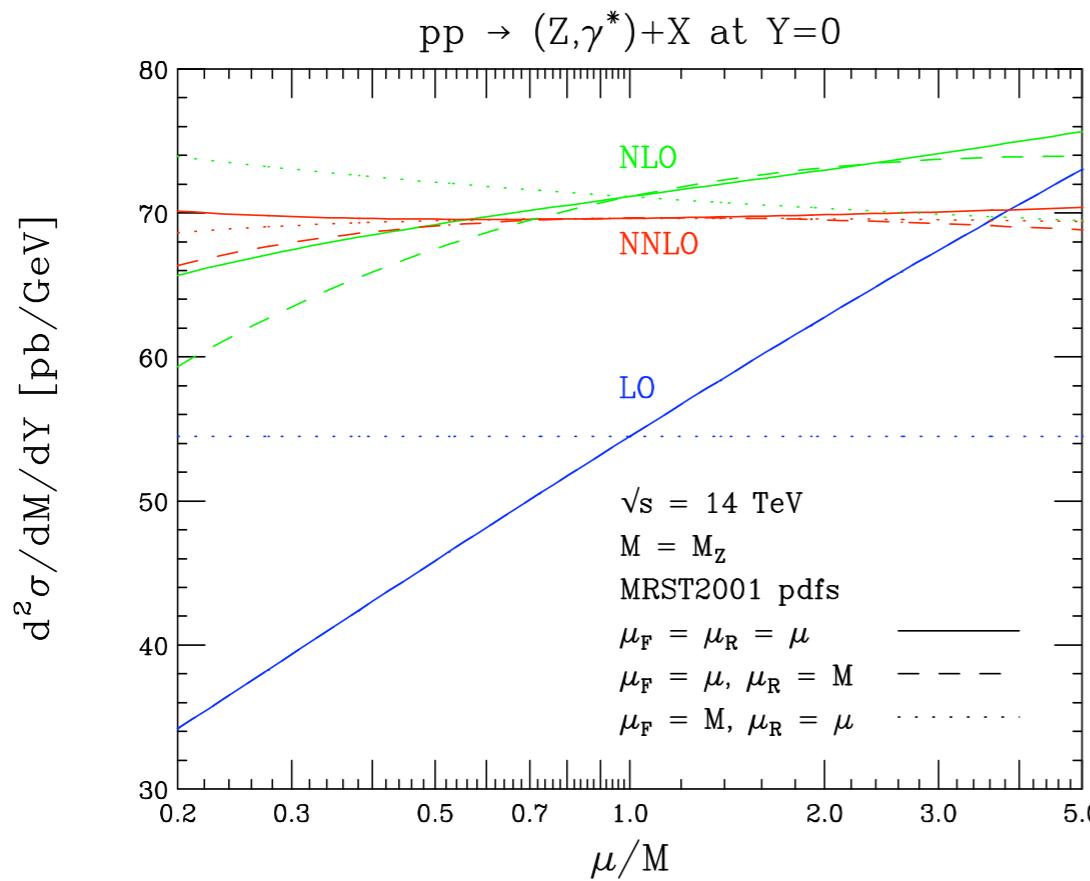


Anastasiou, Dixon, Melnikov, Petriello '03, '05; Melnikov, Petriello '06

# Drell-Yan processes

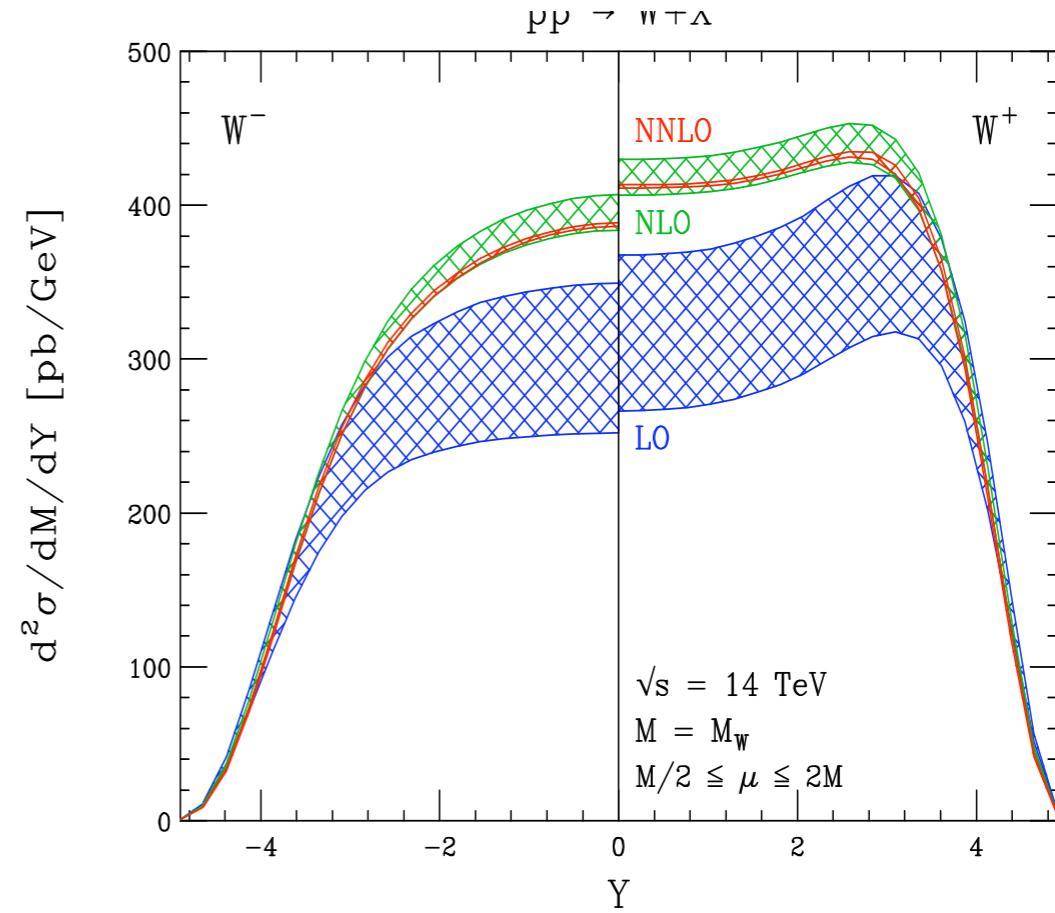
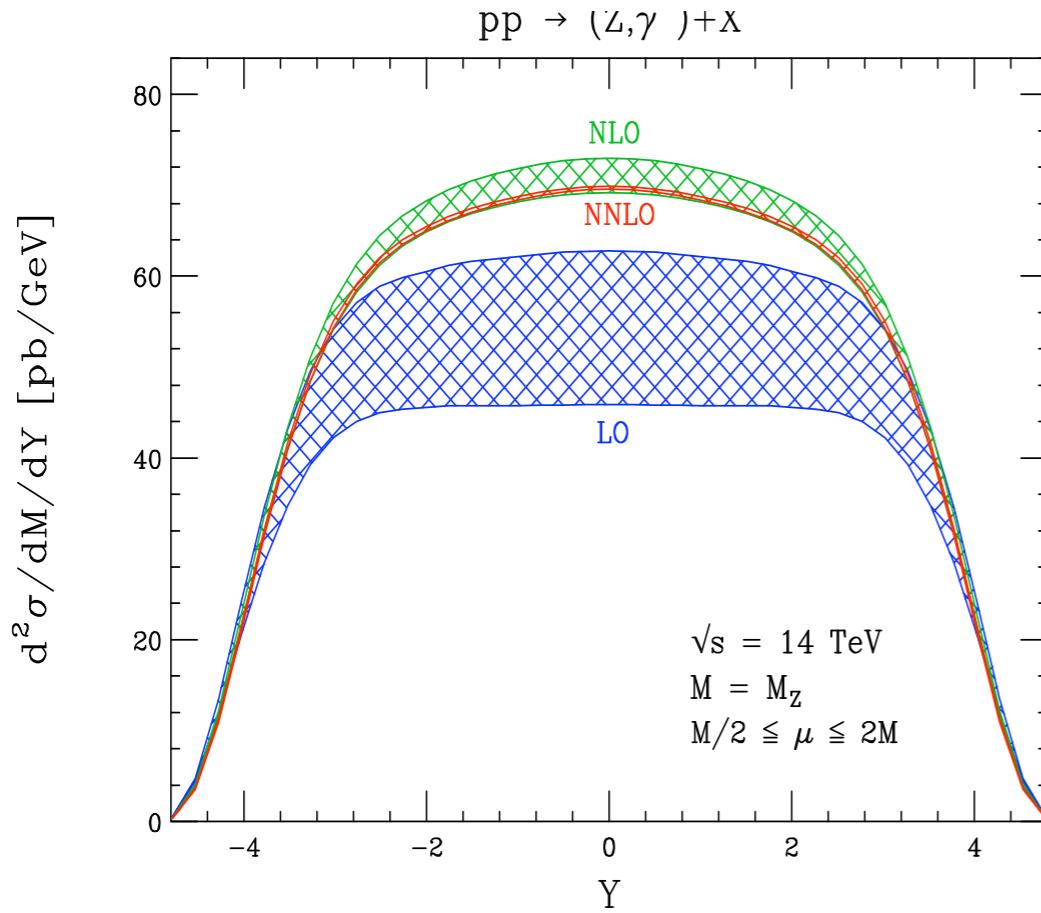
- most important and precise test of the SM at the LHC
- best known process at the LHC: spin-correlations, finite-width effects,  $\gamma$ -Z interference, fully differential in lepton momenta

## Scale stability and sensitivity to PDFs



Anastasiou, Dixon, Melnikov, Petriello '03, '05; Melnikov, Petriello '06

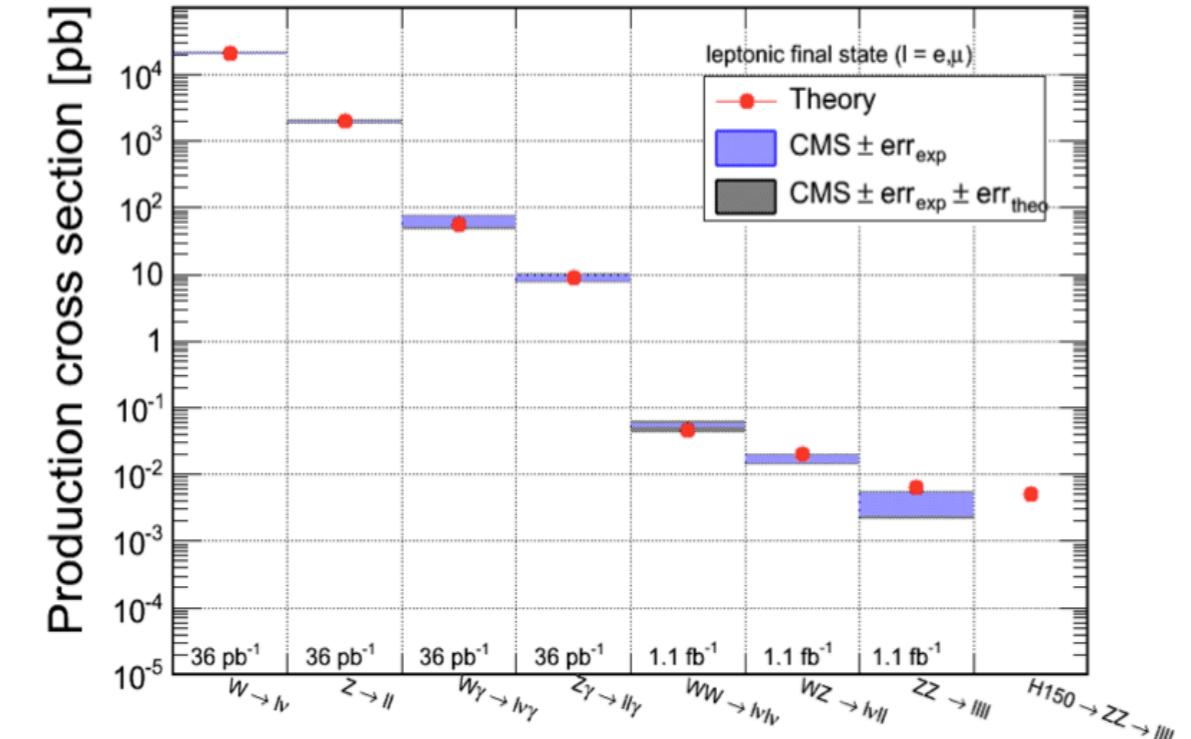
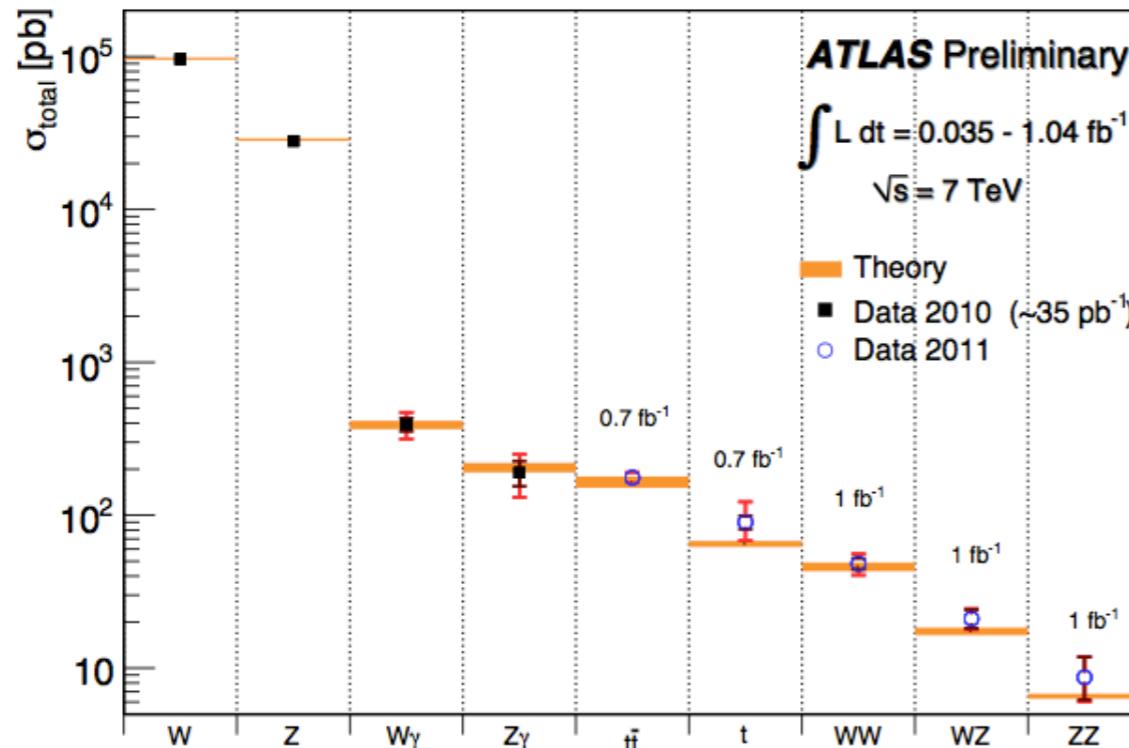
# Drell-Yan: rapidity distributions



Anastasiou, Dixon, Melnikov, Petriello '03, '05; Melnikov, Petriello '06

👉 LHC: perturbative accuracy of the order of 1%. This is absolutely unique!

# NNLO vs LHC data



$$\sigma(W) \cdot B(W \rightarrow e\nu) \sim 10 \text{ nb}$$

$$\sigma(WW) \cdot B(W \rightarrow l\nu)^2 \sim 100 \text{ fb}$$

$$\sigma(Z) \cdot B(Z \rightarrow e^+e^-) \sim 1 \text{ nb}$$

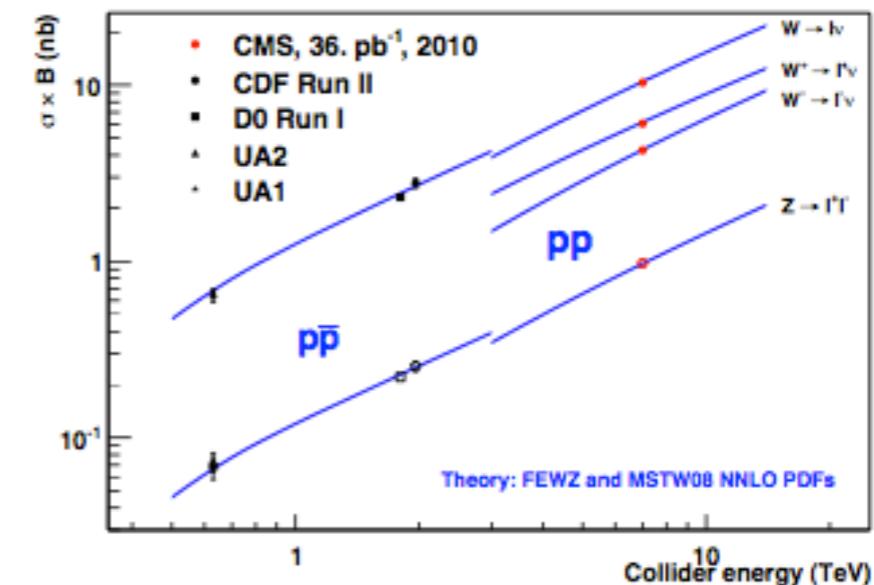
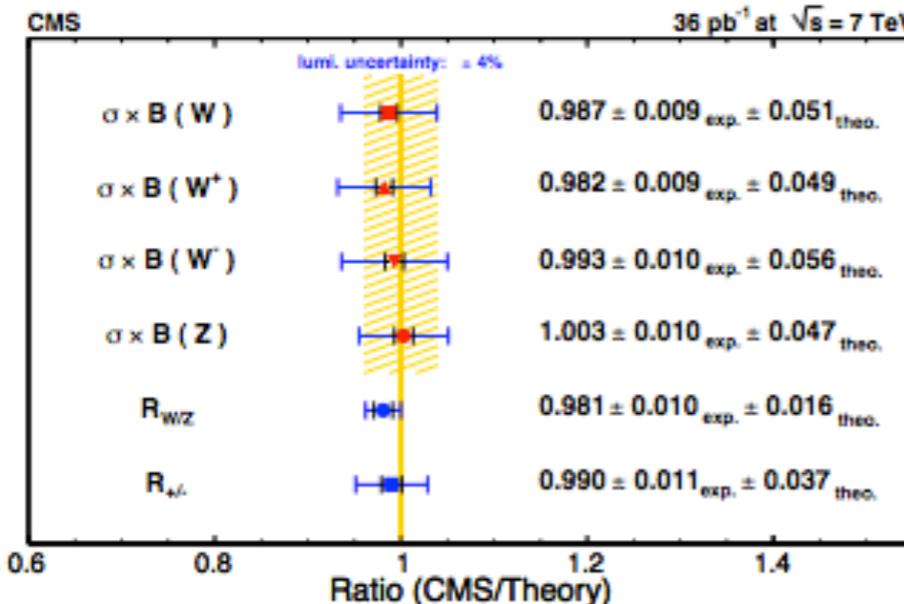
$$\sigma(ZZ) \cdot B(W \rightarrow l^+l^-)^2 \sim 10 \text{ fb}$$

E.g. with  $1 \text{ fb}^{-1}$ :

- $O(10^6)$  W and  $O(10^5)$  Z events per experiment and lepton channel
- $O(100)$  WW and  $O(10)$  ZZ per experiment including all lepton channels

# NNLO vs LHC data

Impressive agreement between experiment and NNLO theory



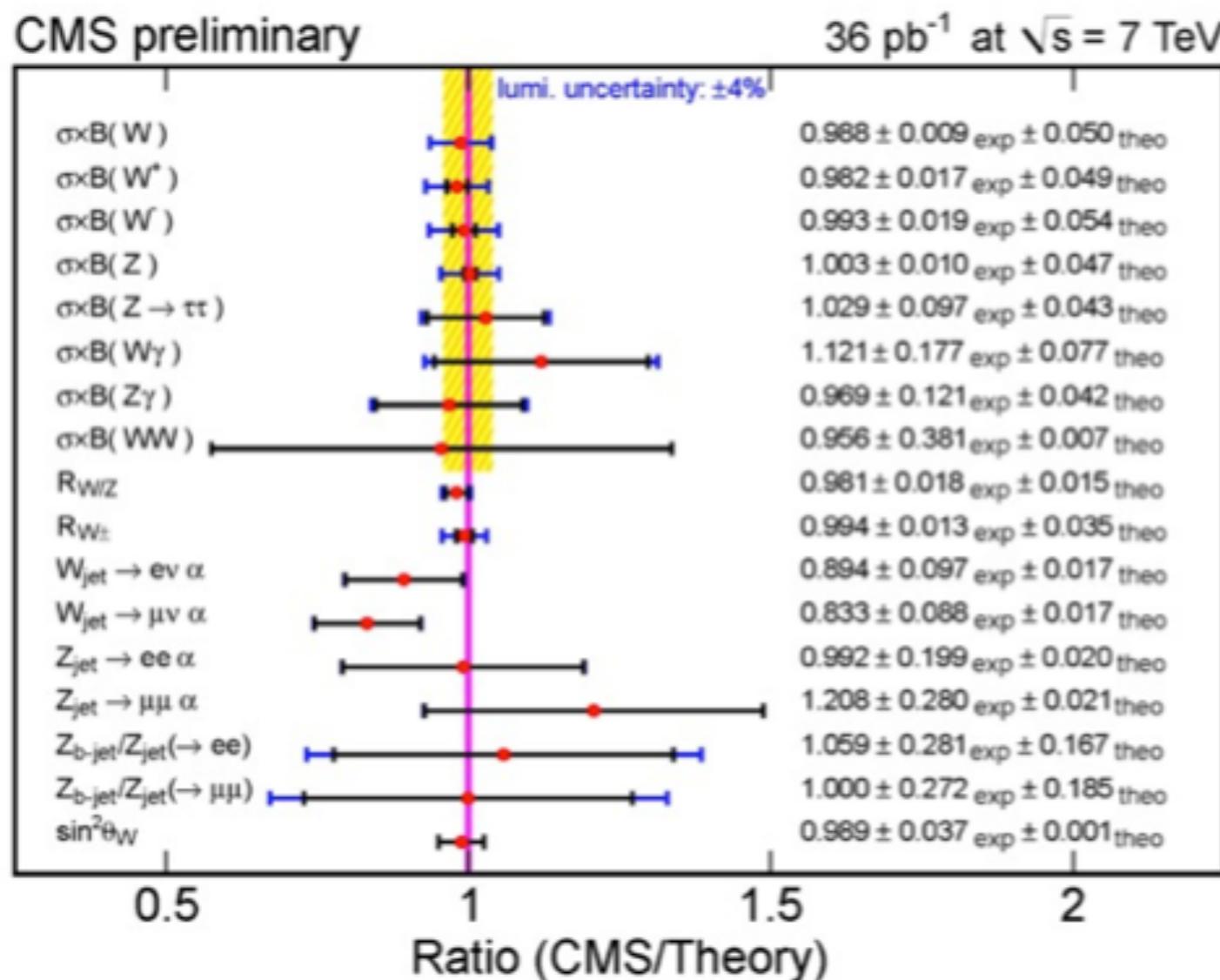
Quantity	Ratio (CMS/Theory)	Lumi. uncert. (4%)
$\sigma \times BF(W^\pm)$	$0.987 \pm 0.009 (\text{ex}) \pm 0.051 (\text{th}) [\pm 0.051 (\text{tot})]$	0.039
$\sigma \times BF(W^+)$	$0.982 \pm 0.009 (\text{ex}) \pm 0.049 (\text{th}) [\pm 0.050 (\text{tot})]$	0.039
$\sigma \times BF(W^-)$	$0.993 \pm 0.010 (\text{ex}) \pm 0.056 (\text{th}) [\pm 0.057 (\text{tot})]$	0.040
$\sigma \times BF(Z)$	$1.003 \pm 0.010 (\text{ex}) \pm 0.047 (\text{th}) [\pm 0.048 (\text{tot})]$	0.040
$\sigma \times BF(W)/\sigma \times BF(Z)$	$0.981 \pm 0.010 (\text{ex}) \pm 0.016 (\text{th}) [\pm 0.019 (\text{tot})]$	–
$\sigma \times BF(W^+)/\sigma \times BF(W^-)$	$0.990 \pm 0.011 (\text{ex}) \pm 0.037 (\text{th}) [\pm 0.039 (\text{tot})]$	–

Theory error completely dominated by PDFs

CMS PAS EWK-10-005, similar results from ATLAS not shown here

# NNLO vs LHC data

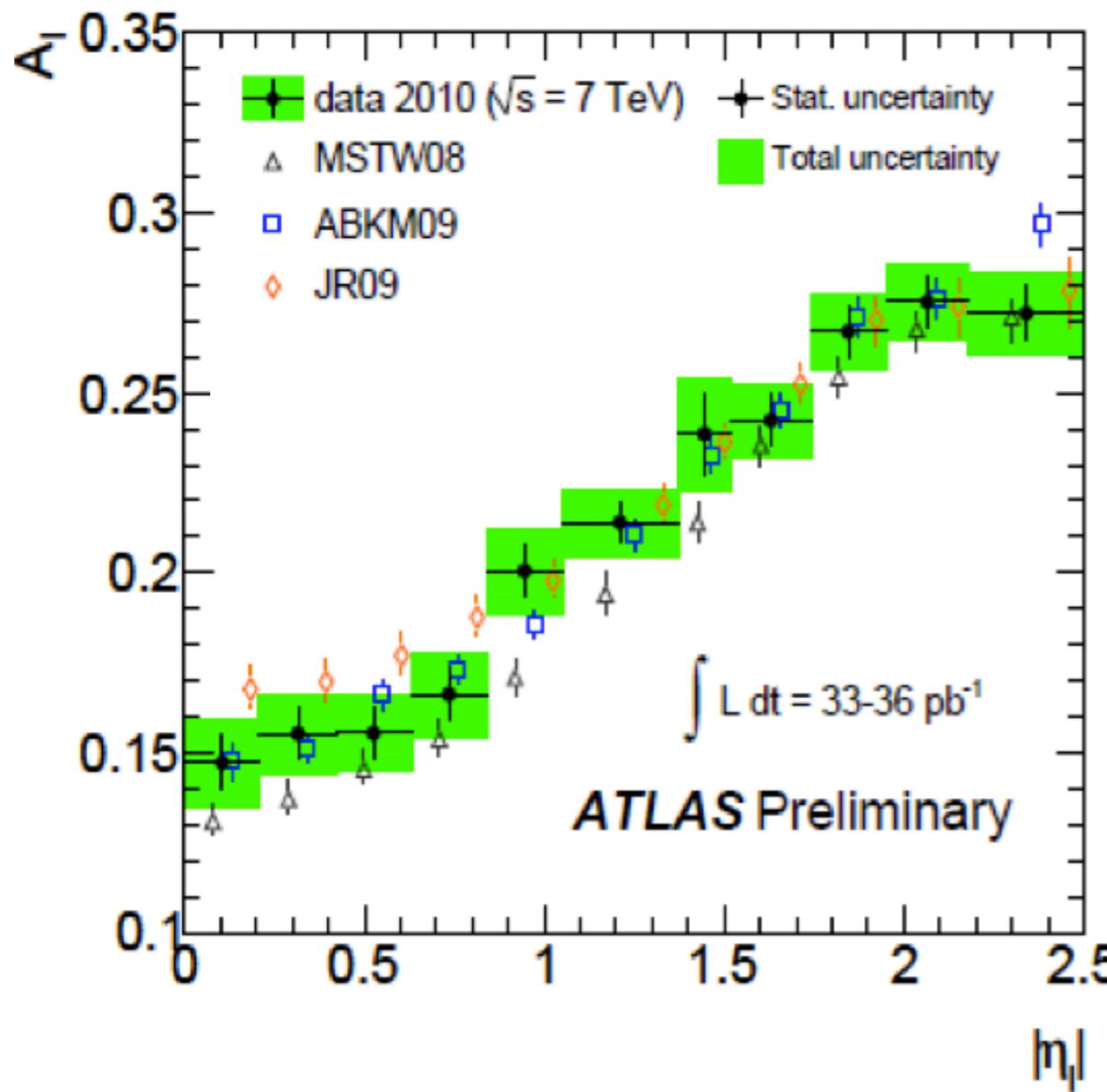
# Spectacular experimental achievements in very little time!



- remarkable agreement with theory
  - precise measurement of W/Z properties (also notice measurement of  $\sin^2\theta_W$ )
  - achieved control and precision already allows improvements on PDFs

# Charge asymmetry

Natural extension of the inclusive cross-section is the  $R_W = W^+/W^-$  ratio.  
Study  $R_W$  as a function of kinematics variables, e.g. charge asymmetry as a function of lepton rapidity

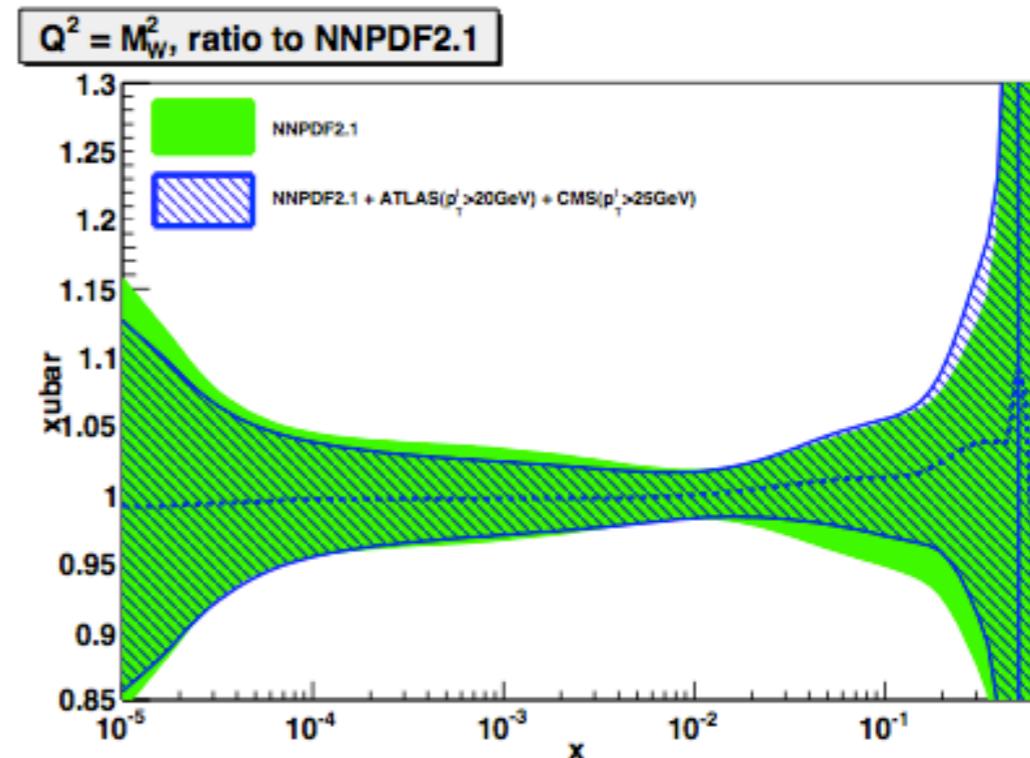
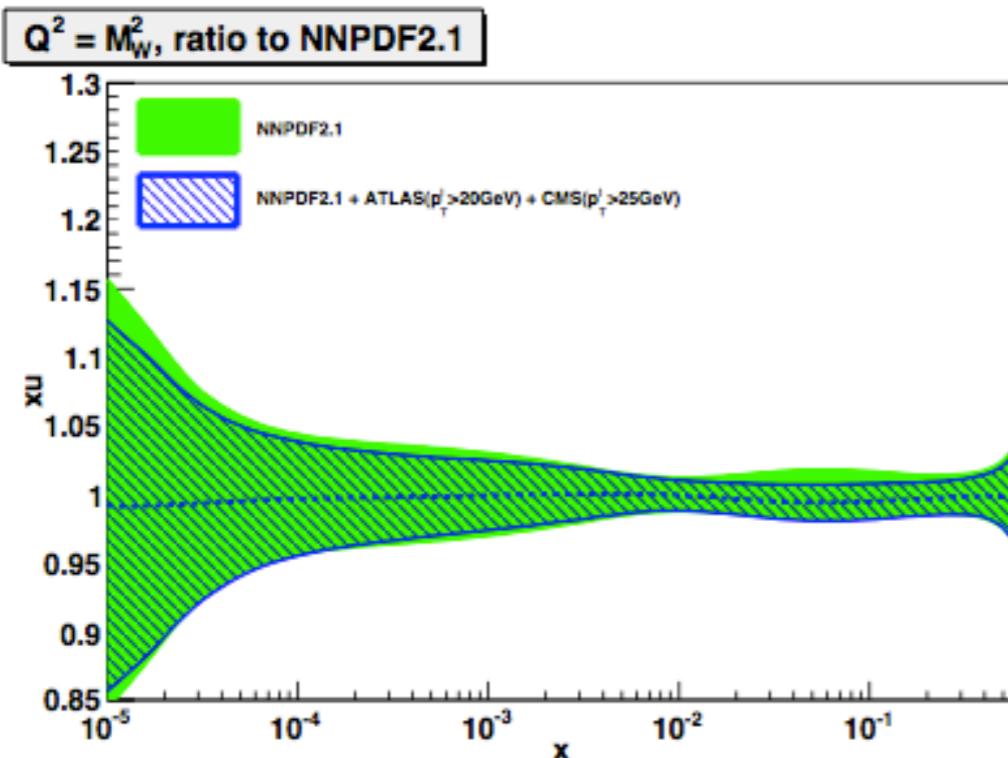


$$A(\eta) = \frac{R_W(\eta) - 1}{R_W(\eta) + 1}$$

- measurement very sensitive to PDFs since many uncertainties cancel in ratios
- good agreement with various PDFs but very sensitive to shape details
- similar results by CMS

# Charge asymmetry

Effect of ATLAS and CMS lepton charge asymmetry on NNPDF global fit



Reduction of uncertainty of the order of 10-30% in the range  $x=10^{-3}-10^{-1}$   
Similar results for d-quark and other sea distributions

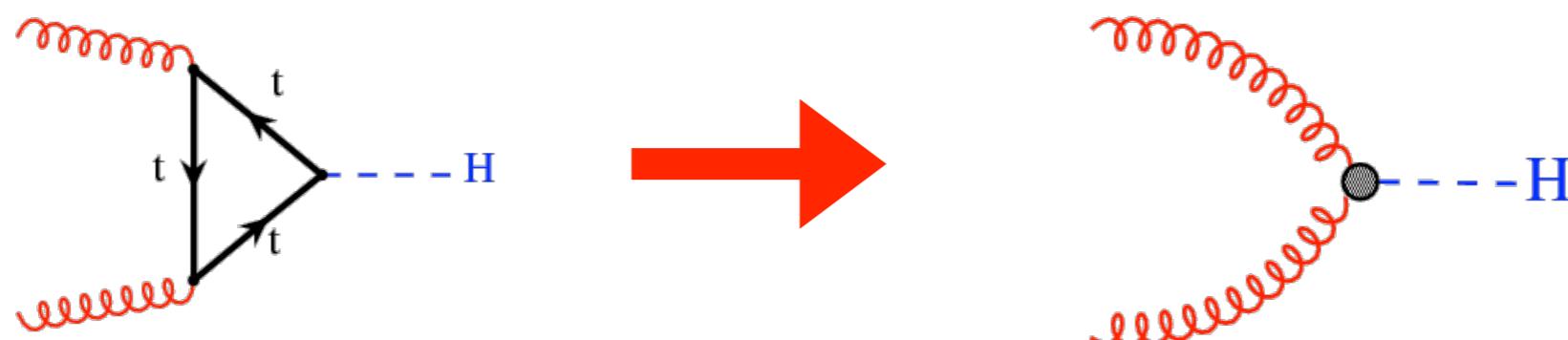
NNPDF 1108.1758

NB:

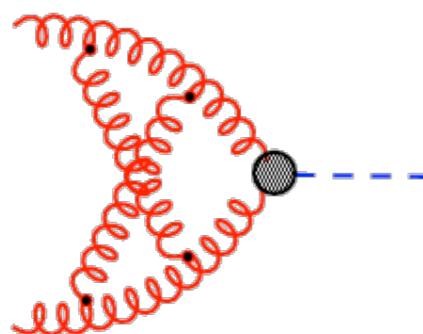
LHCb data at larger rapidities probe larger and smaller values of  $x$  that are less constraint, they will have a larger impact than ATLAS/CMS soon

# Inclusive NNLO Higgs production

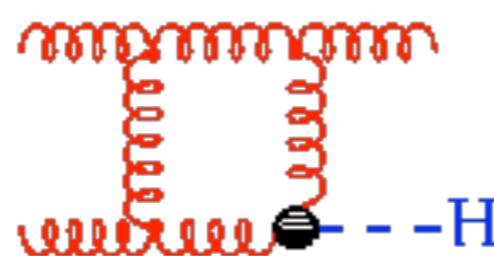
Inclusive Higgs production via gluon-gluon fusion in the large  $m_t$ -limit:



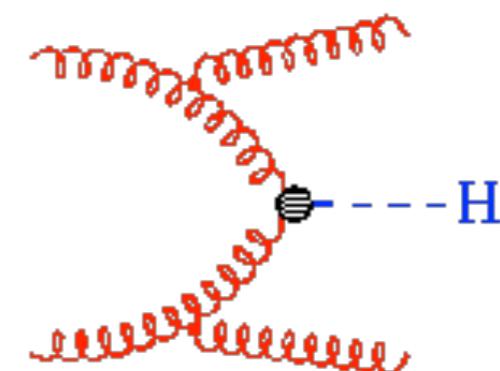
NNLO corrections known since few years now:



virtual-virtual

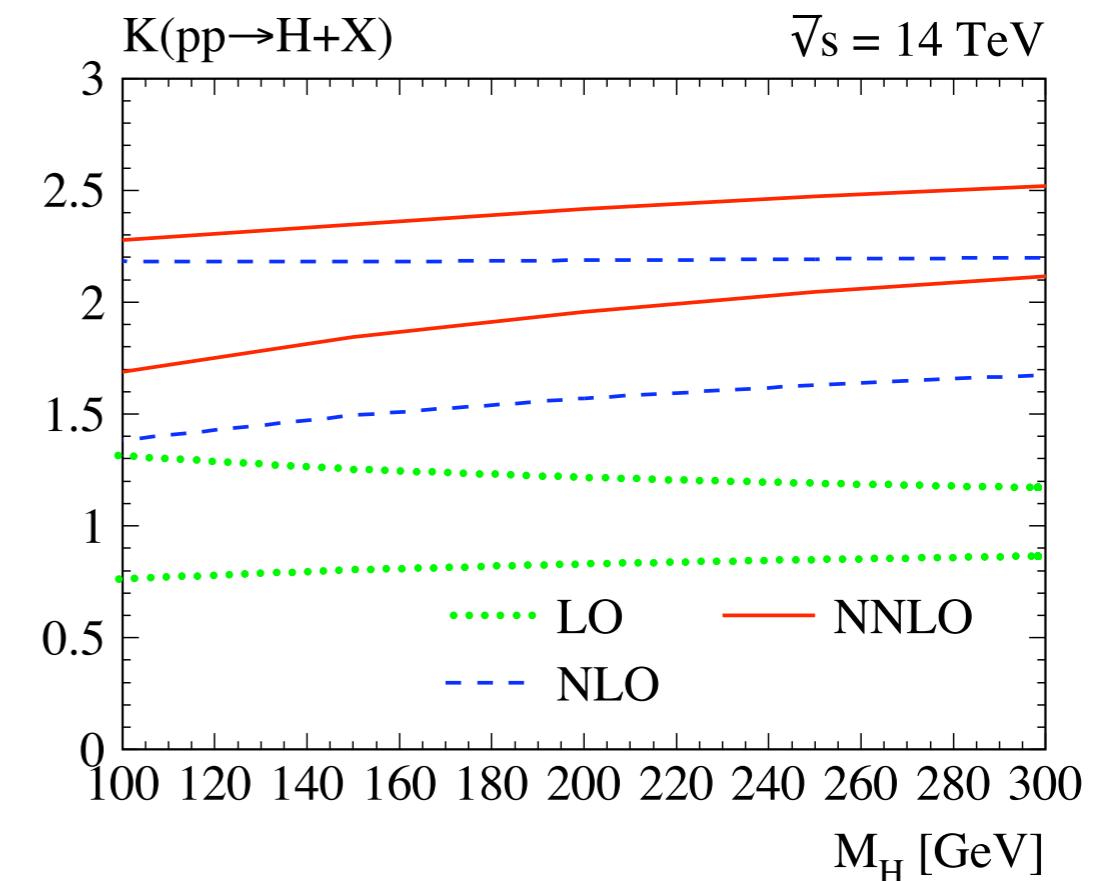
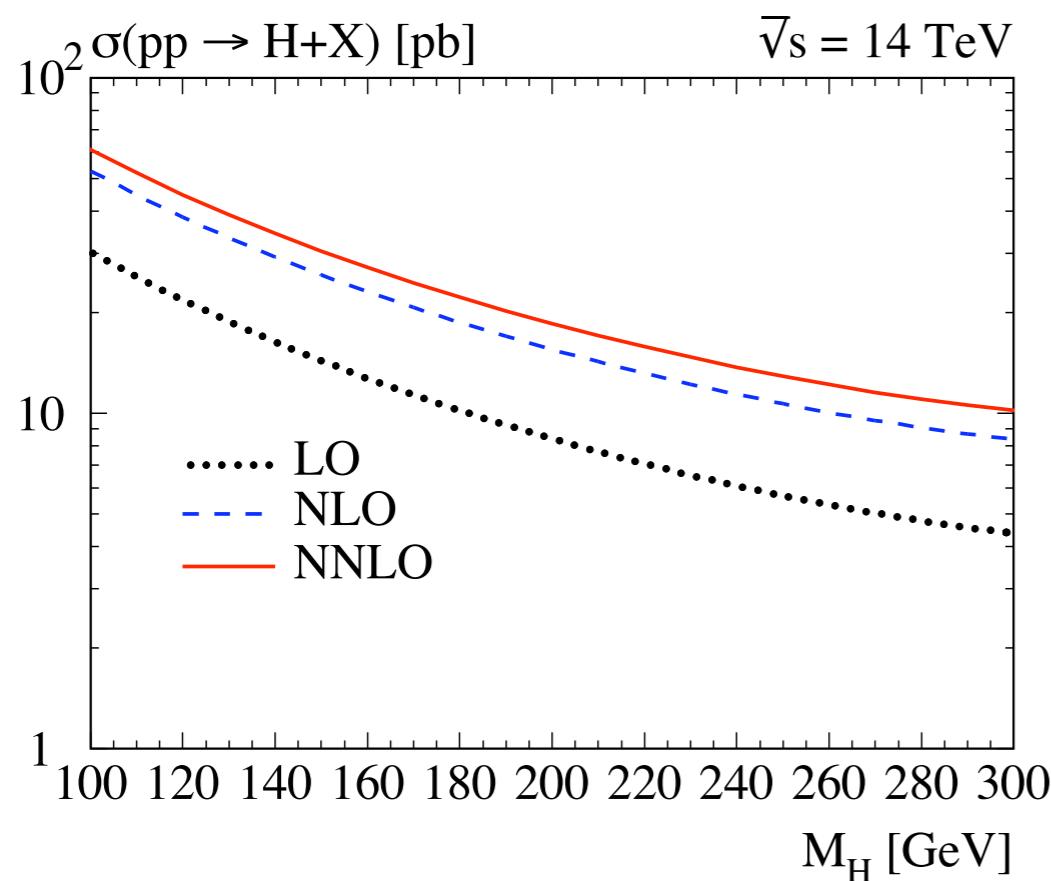


real-virtual



real-real

# Inclusive NNLO Higgs production



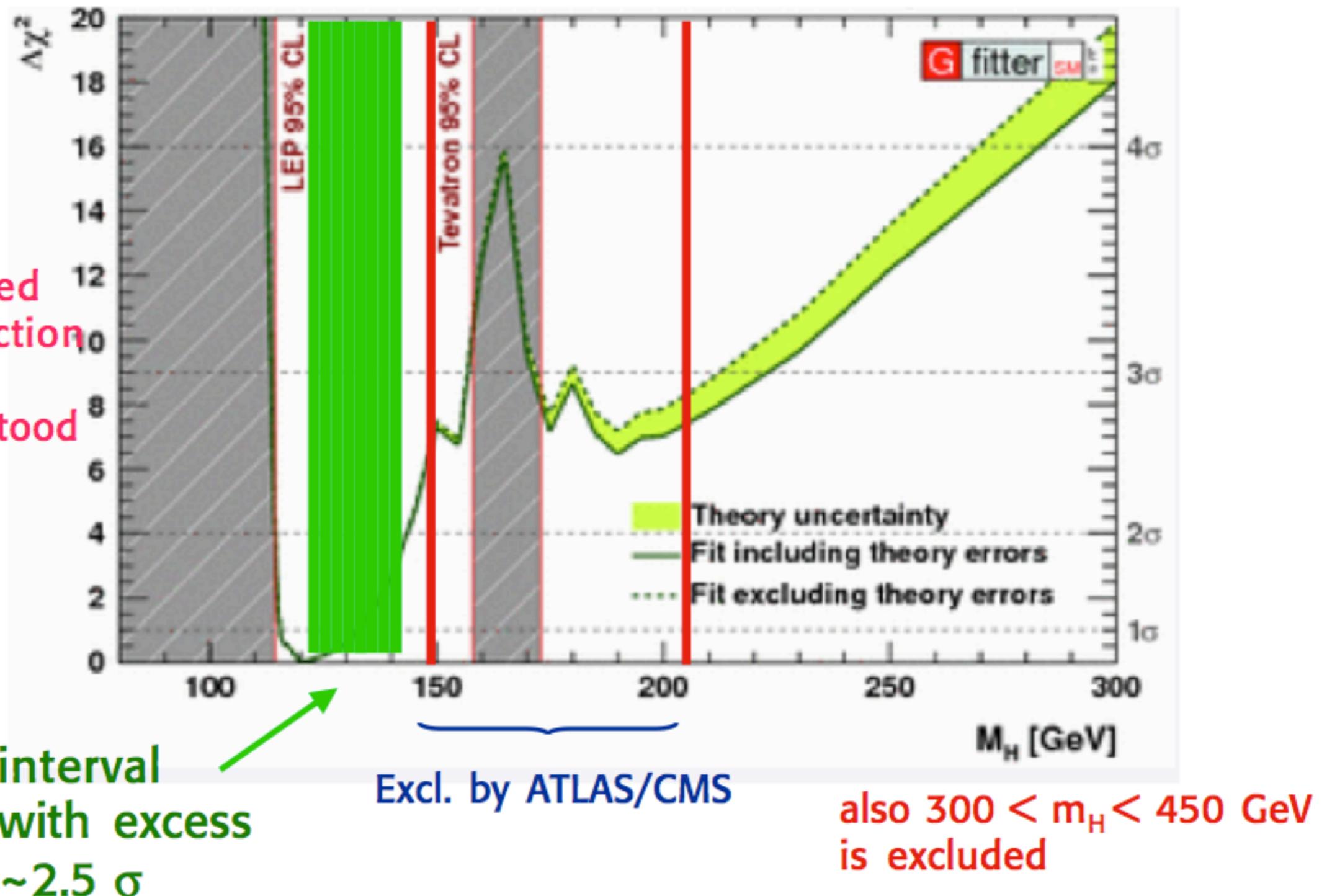
*Kilgore, Harlander '02  
Anastasiou , Melnikov '02*

# Higgs searches: status

slide taken from G. Altarelli, EPS 2011

The SM Higgs is close to be observed or excluded

This fig.  
is a non  
authorized  
reproduction  
of what  
I understood



# NNLO 3-jets in $e^+e^-$

Motivation: error on  $\alpha_s$  from jet-observables

$$\alpha_s(M_Z) = 0.121 \pm 0.001 \text{ (exp.)} \pm 0.005 \text{ (th.)}$$

Bethke '06

→ dominated by theoretical uncertainty

NNLO 3-jet calculation in  $e^+e^-$  completed in 2007

Method: developed antenna subtraction at NNLO

First application: NNLO fit of  $\alpha_s$  from event-shapes

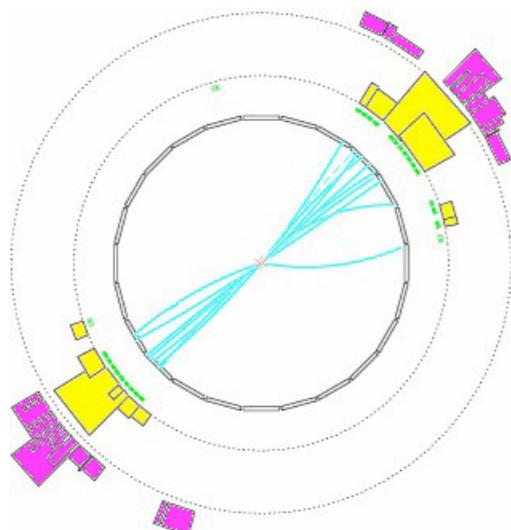
# Event shapes

**Event-shapes and jet-rates:** infrared safe observables describing the energy and momentum flow of the final state.

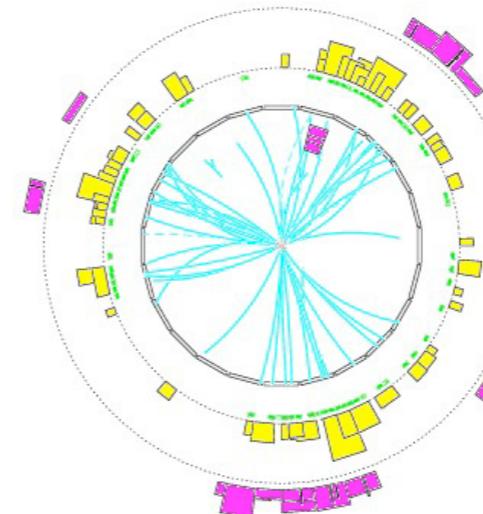
Candle example in  $e^+e^-$ : The thrust

$$T = \max_{\vec{n}} \frac{\sum_i \vec{p}_i \cdot \vec{n}}{\sum_i |\vec{p}_i|}$$

Pencil-like event:  $1 - T \ll 1$

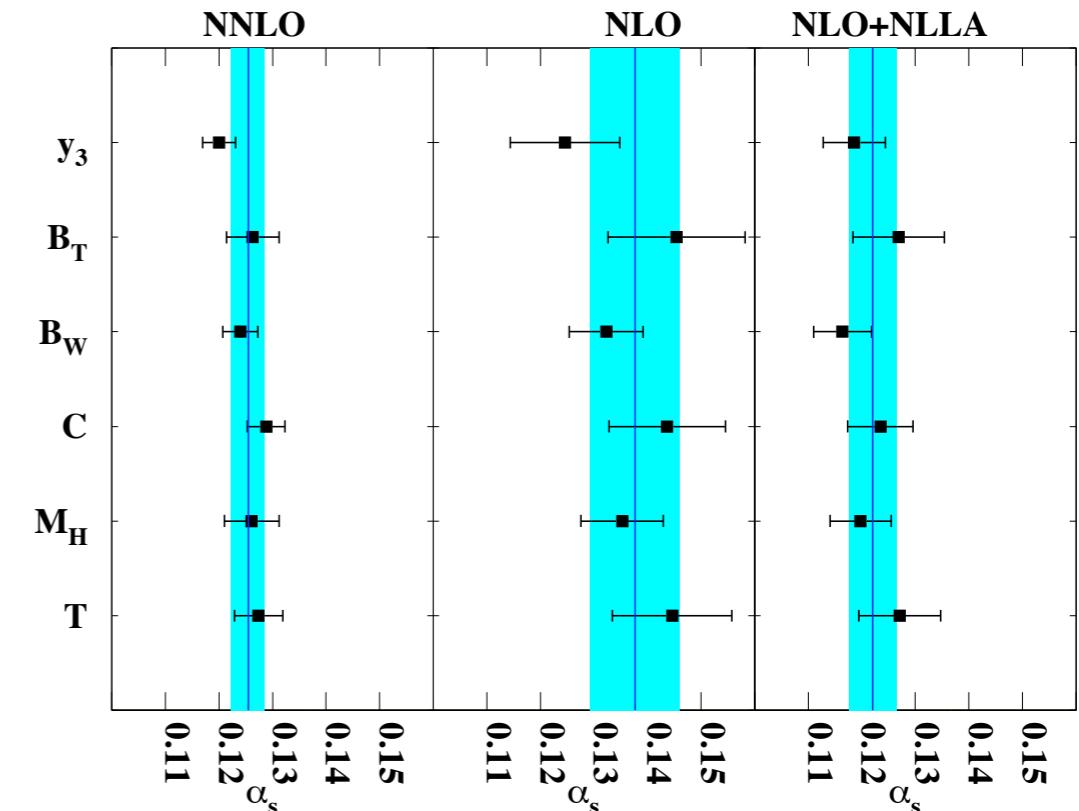


Planar event:  $1 - T \sim 1$



# $\alpha_s$ from event shapes at NNLO

- ▶ scale variation reduced by a factor 2
- ▶ scatter between  $\alpha_s$  from different event-shapes reduced
- ▶ better  $\chi^2$ , central value closer to world average



$$\alpha_s(M_Z^2) = 0.1240 \pm 0.0008 \text{ (stat)} \pm 0.0010 \text{ (exp)} \pm 0.0011 \text{ (had)} \pm 0.0029 \text{ (theo)}$$

*Dissertori, Gehrmann-DeRidder, Gehrmann, Glover, Heinrich, Stenzel '07  
Gehrmann, Luisoni, Stenzel '08*

# NNLO on the horizon



## Single-jet production

- constrain gluon PDF
- matrix elements known for some time
- subtraction in progress



## Top pair production

- needed for more precise  $m_t$  determination
- possibly for further constraining PDFs
- top asymmetry



## Vector boson pair production

- NLO corrections are large
- study gauge structure of SM (triple gauge couplings)
- most important and irreducible background for Higgs production in intermediate mass region

# Recap of higher orders

## **Leading order**

- everything can be computed in principle today (practical edge: 8 particles in the final state), many public codes
- techniques: standard Feynman diagrams or recursive methods (Berends-Giele, BCF, CSW ... )

## **Next-to-leading order**

- current frontier  $2 \rightarrow 5$  in the final state
- many new, promising techniques

## **Next-to-next-to-leading order**

- few  $2 \rightarrow 1$  processes available (Higgs, Drell-Yan)
- 3-jets in  $e^+e^-$
- expect  $2 \rightarrow 2$  calculations soon

# Next

Next will focus on

- parton showers and Monte Carlo methods
- matching of parton showers and fixed order calculations
- jets

# Parton shower & Monte Carlo methods

- today at the frontier of NLO calculations are **processes with 4 or 5 particles in the final state.** Difficult to expect much more in the coming years. However, typical LHC processes have much larger multiplicity

# Parton shower & Monte Carlo methods

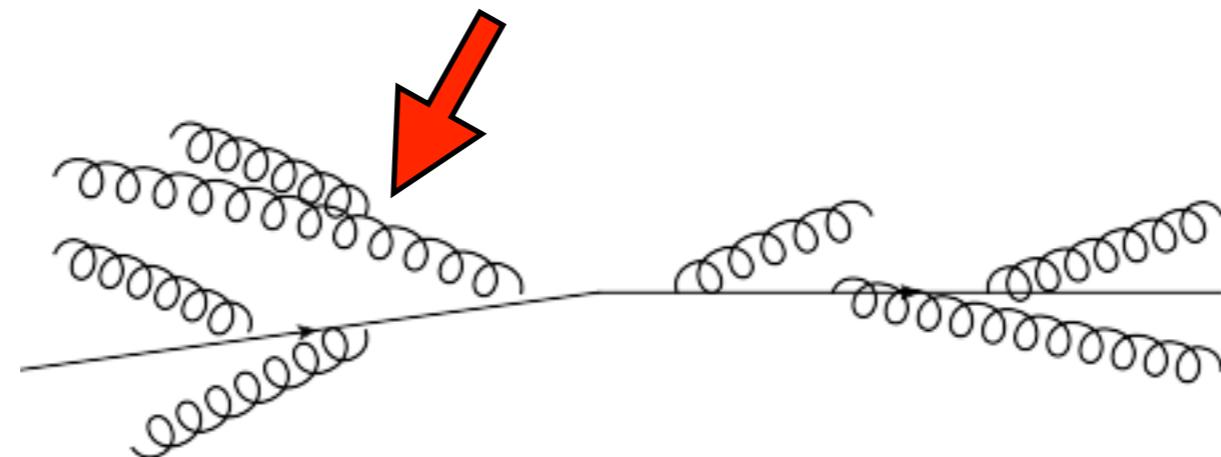
- today at the frontier of NLO calculations are processes with 4 or 5 particles in the final state. Difficult to expect much more in the coming years. However, typical LHC processes have much larger multiplicity
- we have also seen that large logarithms can spoil the convergence of PT, NLO results become unreliable

# Parton shower & Monte Carlo methods

- today at the frontier of NLO calculations are processes with 4 or 5 particles in the final state. Difficult to expect much more in the coming years. However, typical LHC processes have much larger multiplicity
- we have also seen that large logarithms can spoil the convergence of PT, NLO results become unreliable
- instead, one can seek for an approximate result such that soft and collinear enhanced terms are taken into account to all orders

# Parton shower & Monte Carlo methods

- today at the frontier of NLO calculations are processes with 4 or 5 particles in the final state. Difficult to expect much more in the coming years. However, typical LHC processes have much larger multiplicity
- we have also seen that large logarithms can spoil the convergence of PT, NLO results become unreliable
- instead, one can seek for an approximate result such that soft and collinear enhanced terms are taken into account to all orders
- this leads to a ‘parton shower’ picture, which is implemented in computer simulations, usually called Monte Carlo programs or event generators



# Angular ordering

When a soft gluon is radiated from a ( $p_i p_j$ ) dipole one gets a universal eikonal factor

$$\omega_{ij} = \frac{p_i p_j}{p_i k p_j k} = \frac{1 - v_i v_j \cos \theta_{ij}}{\omega_k^2 (1 - v_i \cos \theta_{ik}) (1 - v_j \cos \theta_{jk})}$$

Massless emitting lines  $v_i = v_j = 1$ , then

$$\omega_{ij} = \omega_{ij}^{[i]} + \omega_{ij}^{[j]} \quad \omega_{ij}^{[i]} = \frac{1}{2} \left( \omega_{ij} + \frac{1}{1 - \cos \theta_{ik}} - \frac{1}{1 - \cos \theta_{jk}} \right)$$

# Angular ordering

When a soft gluon is radiated from a ( $p_i p_j$ ) dipole one gets a universal eikonal factor

$$\omega_{ij} = \frac{p_i p_j}{p_i k p_j k} = \frac{1 - v_i v_j \cos \theta_{ij}}{\omega_k^2 (1 - v_i \cos \theta_{ik}) (1 - v_j \cos \theta_{jk})}$$

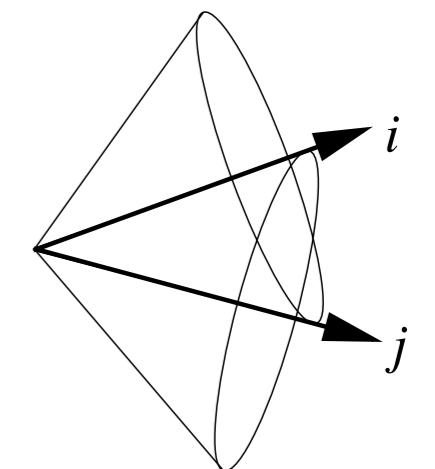
Massless emitting lines  $v_i = v_j = 1$ , then

$$\omega_{ij} = \omega_{ij}^{[i]} + \omega_{ij}^{[j]} \quad \omega_{ij}^{[i]} = \frac{1}{2} \left( \omega_{ij} + \frac{1}{1 - \cos \theta_{ik}} - \frac{1}{1 - \cos \theta_{jk}} \right)$$

Angular ordering

$$\int_0^{2\pi} \frac{d\phi}{2\pi} \omega_{ij}^{[i]} = \begin{cases} \frac{1}{\omega_k^2 (1 - \cos \theta_{ik})} & \theta_{ik} < \theta_{ij} \\ 0 & \theta_{ik} > \theta_{ij} \end{cases}$$

Proof: see e.g. *QCD and collider physics*, Ellis, Stirling, Webber

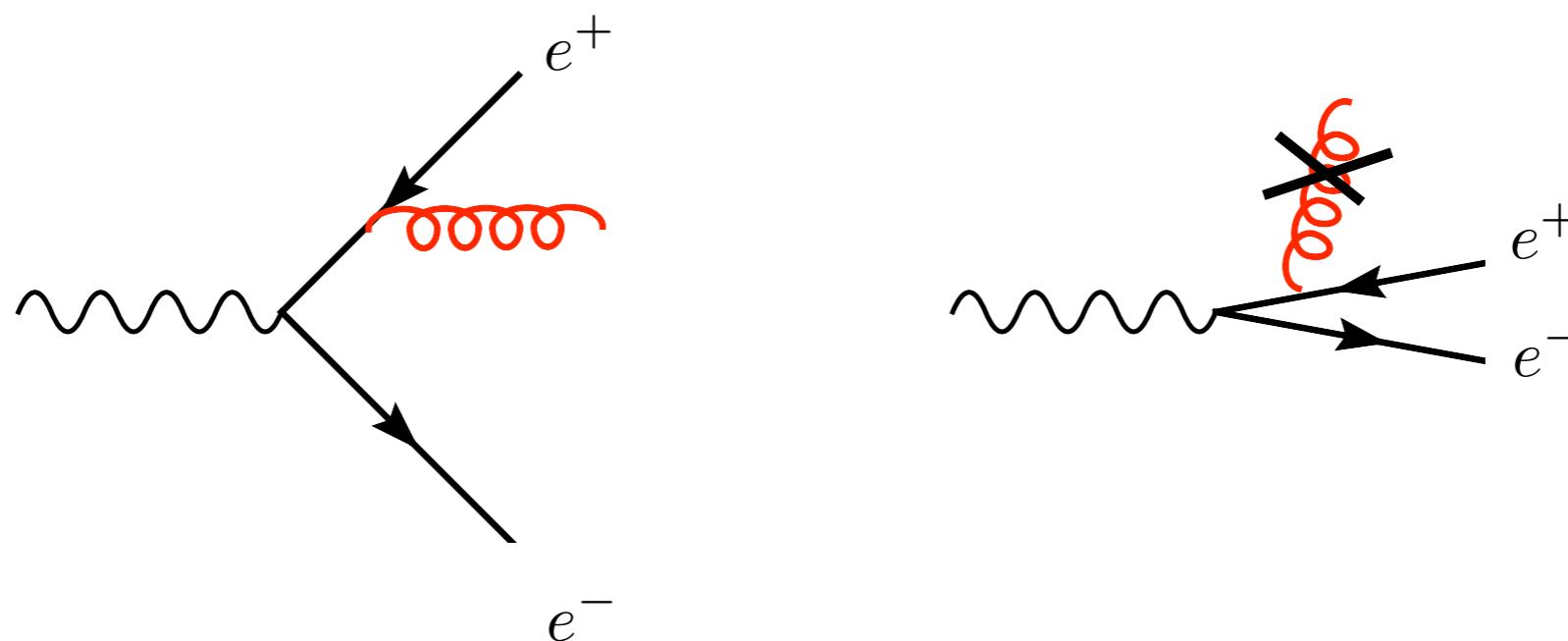


# Angular ordering & coherence

A. O. is a manifestation of coherence of radiation in gauge theories

In QED

suppression of soft bremsstrahlung from an  $e^+e^-$  pair (**Chudakov effect**)  
At large angles the  $e^+e^-$  pair is seen coherently as a system without total charge  $\Rightarrow$  radiation is suppressed



Herwig use the angle as an evolution variable, therefore has coherence built in. Other Parton showers force angular ordering in the evolution.

# Parton showers at the LHC

## Standard parton shower programs

[Ariadne, Pythia, Herwig, Isajet ...]

- hard ( $2 \rightarrow 2$ ) scattering
- parton shower (in the soft-collinear approximation)
- hadronization model + underlying event model

PS differ in the ordering variable of the shower, e.g. angle Herwig, transverse momentum Ariadne and Pythia (new), virtuality Pythia (old), in U.E. model, in the hadronization model

Every LHC analysis will make use of one or more PS simulation for

- the signal and/or the background
- underlying event / non-perturbative corrections
- pile-up
- efficiency studies / detector response

# An example with Herwig

Select the initial state, e.g. pp collision at 14 TeV

---INITIAL STATE---												
IHEP	ID	IDPDG	IST	M01	M02	DA1	DA2	P-X	P-Y	P-Z	ENERGY	MASS
1 P		2212	101	0	0	0	0	0.00	0.00	7000.0	7000.0	0.94
2 P		2212	102	0	0	0	0	0.00	0.00	-7000.0	7000.0	0.94
3 CMF		0	103	1	2	0	0	0.00	0.00	0.0	14000.0	14000.0

# An example with Herwig

Select the hard process of interest, e.g. Z+ jet production

---HARD SUBPROCESS---												
IHEP	ID	IDPDG	IST	M01	M02	DA1	DA2	P-X	P-Y	P-Z	ENERGY	MASS
4	UQRK	2	121	6	8	9	5	0.00	0.00	590.8	590.8	0.32
5	GLUON	21	122	6	4	17	8	0.00	0.00	-232.1	232.1	0.75
6	HARD	0	120	4	5	7	8	0.40	-9.40	358.7	823.0	740.63
7	Z0/GAMA*	23	123	6	7	22	7	-261.59	-217.31	329.3	481.6	88.56
8	UQRK	2	124	6	5	23	4	261.59	217.31	29.4	341.3	0.32

# An example with Herwig

Then Herwig dresses the process for you, both with initial state and final state shower

---PARTON SHOWERS---												
IHEP	ID	IDPDG	IST	M01	M02	DA1	DA2	P-X	P-Y	P-Z	ENERGY	MRSS
9	UQRK	94	141	4	6	11	16	2.64	-9.83	592.2	590.2	-49.07
10	CONE	0	100	4	5	0	0	-0.27	0.96	0.1	1.0	0.00
11	GLUON	21	2	9	12	32	33	-1.02	3.59	5.6	6.7	0.75-
12	GLUON	21	2	9	13	34	35	0.25	1.46	3.6	4.0	0.75-
13	GLUON	21	2	9	14	36	37	-0.87	1.62	4.7	5.1	0.75-
14	GLUON	21	2	9	15	38	39	-0.81	4.17	3611.7	3611.7	0.75-
15	GLUON	21	2	9	16	40	41	-0.19	-1.01	1727.7	1727.7	0.75-
16	UD	2101	2	9	25	42	41	0.00	0.00	1054.6	1054.6	0.32-
17	GLUON	94	142	5	6	19	21	-2.23	0.44	-233.5	232.8	-18.36
18	CONE	0	100	5	8	0	0	0.77	0.64	0.2	1.0	0.00
19	GLUON	21	2	17	20	43	44	1.60	0.58	-2.1	2.8	0.75
20	UD	2101	2	17	21	45	44	0.00	0.00	-2687.6	2687.6	0.32
21	UQRK	2	2	17	32	46	45	0.63	-1.02	-4076.9	4076.9	0.32
22	Z0/GAMA*	23	195	7	22	251	252	-257.66	-219.68	324.8	477.5	88.56
23	UQRK	94	144	8	6	25	31	258.06	210.29	33.9	345.5	86.10
24	CONE	0	100	8	5	0	0	0.21	0.17	-1.0	1.0	0.00
25	UQRK	2	2	23	26	47	42	26.82	24.33	23.7	43.3	0.32
26	GLUON	21	2	23	27	48	49	8.50	8.18	6.0	13.3	0.75
27	GLUON	21	2	23	28	50	51	73.27	61.24	12.0	96.2	0.75
28	GLUON	21	2	23	29	52	53	73.66	58.54	-6.3	94.3	0.75
29	GLUON	21	2	23	30	54	55	67.58	52.13	-7.3	85.7	0.75
30	GLUON	21	2	23	31	56	57	6.98	4.60	2.3	8.7	0.75
31	GLUON	21	2	23	43	58	59	1.24	1.26	3.6	4.1	0.75

Add hadronization + U.E. then perform your desired physics study

# Accuracy of Monte Carlos

Formally, Monte Carlos are Leading Logarithmic (LL) showers

- because they don't include any higher order corrections to the  $1 \rightarrow 2$  splitting
- because they don't have any  $1 \rightarrow 3$  splittings
- ....

# Accuracy of Monte Carlos

Formally, Monte Carlos are Leading Logarithmic (LL) showers

- because they don't include any higher order corrections to the  $1 \rightarrow 2$  splitting
- because they don't have any  $1 \rightarrow 3$  splittings
- ....

However, they fare better than analytic Leading Log calculations, because

- they have energy conservation (NLO effect) implemented
- they have coherence
- they have optimized choices for the coupling
- they provide an exclusive description of the final state

# Accuracy of Monte Carlos

Formally, Monte Carlos are Leading Logarithmic (LL) showers

- because they don't include any higher order corrections to the  $1 \rightarrow 2$  splitting
- because they don't have any  $1 \rightarrow 3$  splittings
- ....

However, they fare better than analytic Leading Log calculations, because

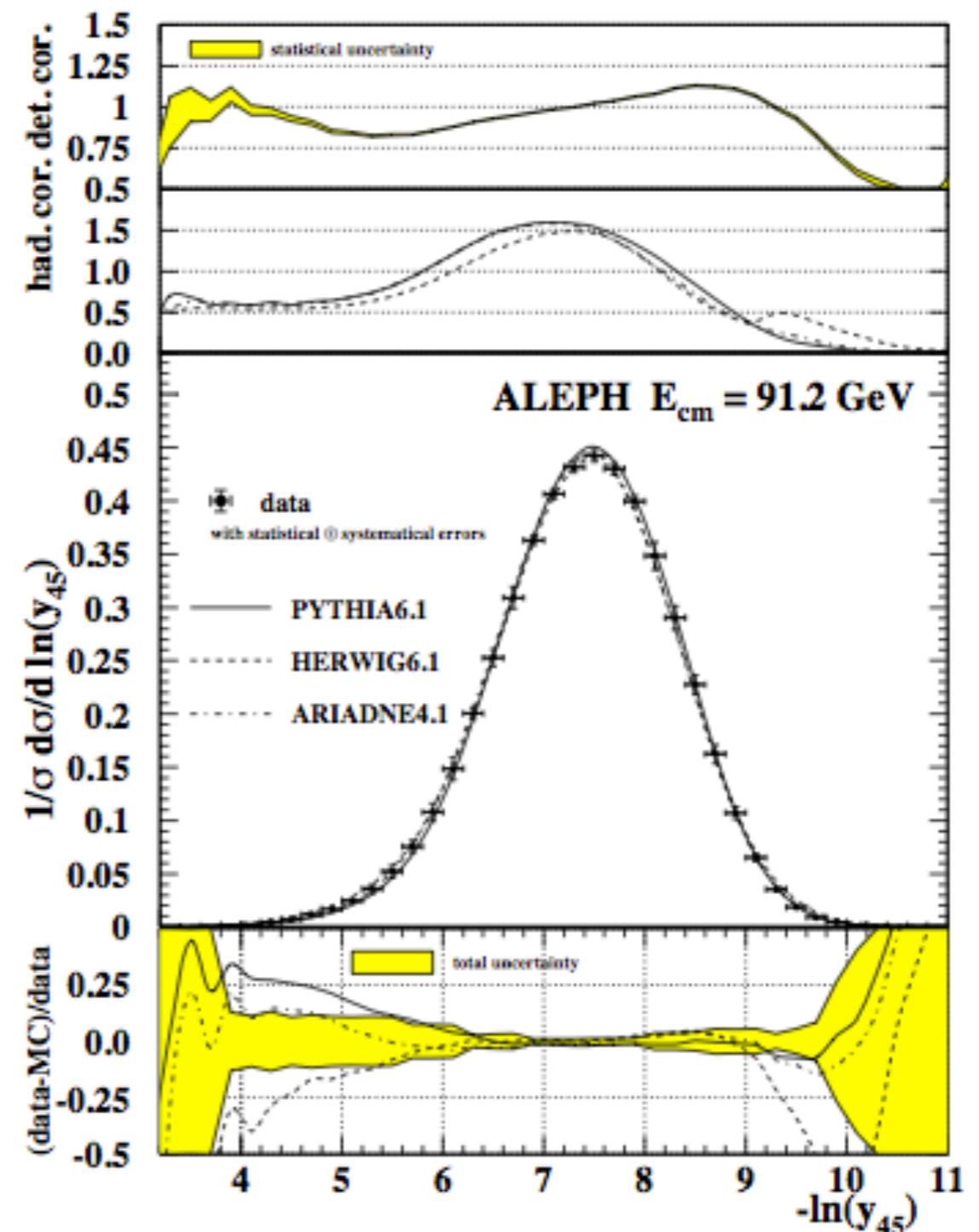
- they have energy conservation (NLO effect) implemented
- they have coherence
- they have optimized choices for the coupling
- they provide an exclusive description of the final state

So, despite not guaranteeing any formal accuracy, they fare better than LL calculations. *The problem is that we don't know the uncertainty. Often comparison between different PS is the only way to estimate the uncertainty*

# Parton shower vs data

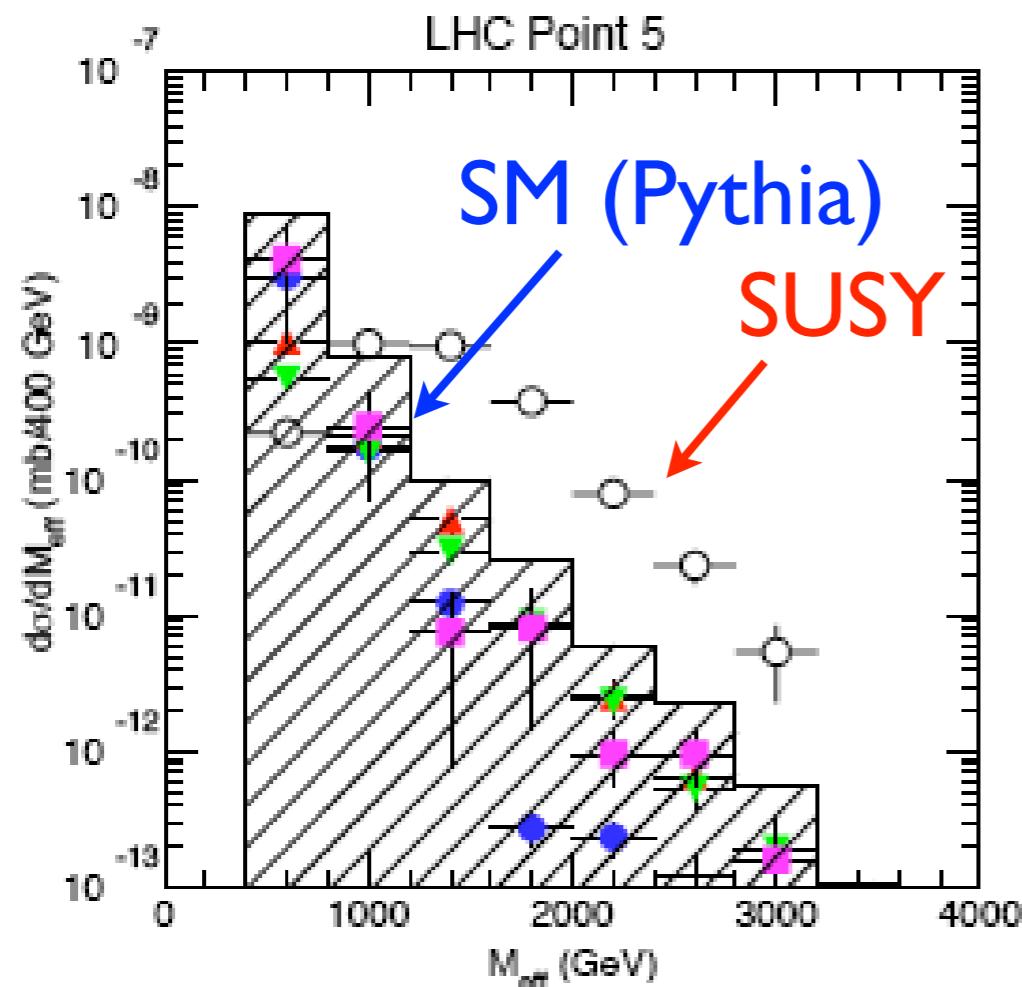
Example:  
five-jet resolution parameter  $y_{45}$

- Agreement over 3 orders of magnitudes for a variable that describes a multi-jet final state
- Surprising since MCs rely on the soft-collinear approximation + a model for hadronization
- Note however that MCs have been tuned to LEP data



# Accuracy of parton showers

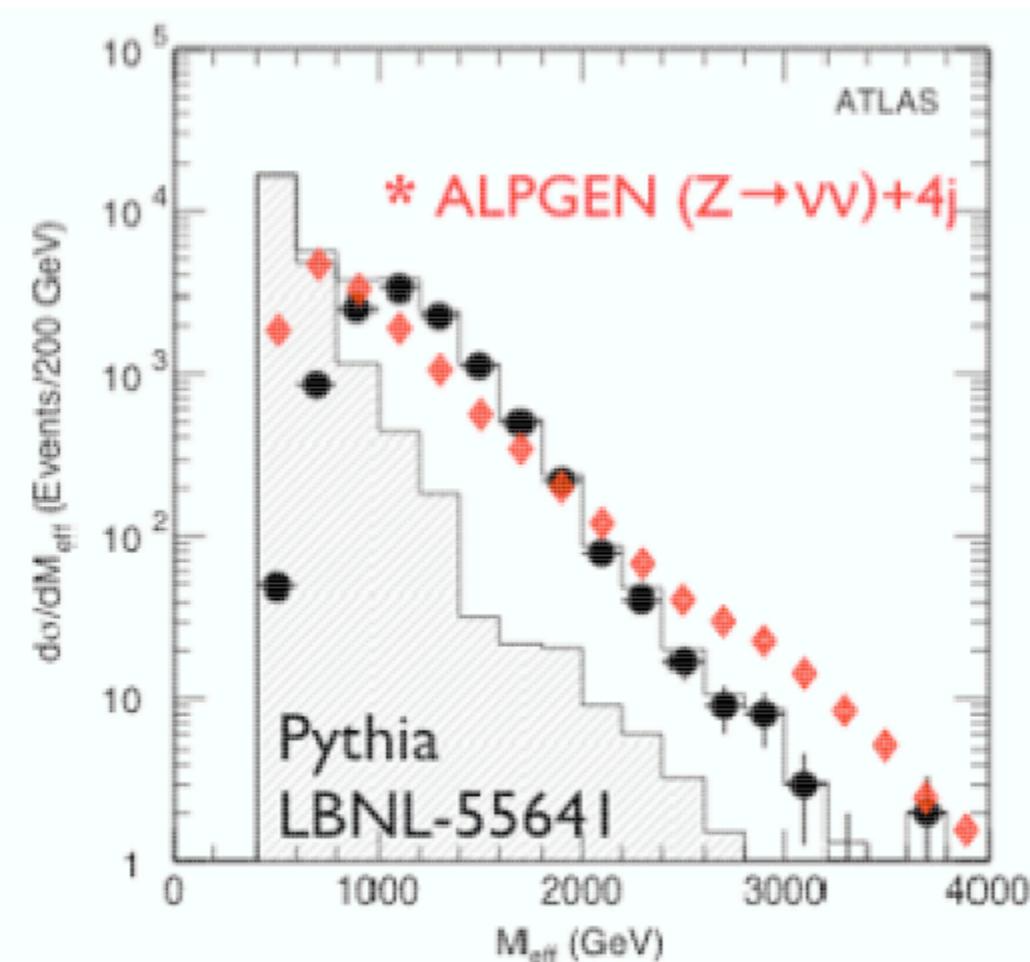
$M_{\text{eff}}$  = total transverse energy in the event



- SUSY: position of the peak determined by the mass spectrum
- Pure PS predict steeply falling SM background
- With matrix element calculation: SM and SUSY comparable size and shape
- In this example: SUSY search much more difficult than originally thought

# Accuracy of parton showers

$M_{\text{eff}}$  = total transverse energy in the event



- SUSY: position of the peak determined by the mass spectrum
- Pure PS predict steeply falling SM background
- With matrix element calculation: SM and SUSY comparable size and shape
- In this example: SUSY search much more difficult than originally thought

## Lesson to take away

- PS fail to describe hard radiation and it is difficult to understand the uncertainty of their predictions
- techniques and public code (Alpgen, Sherpa, Madgraph ...) exist to match matrix element calculations with Monte Carlos

# NLO + parton shower

Even better than LO matrix element + shower is NLO + shower.

This combines the best features: correct rates (NLO) and hadron-level description of events (PS)

Difficult because need to avoid double counting

Two working examples:

► MC@NLO

*Frixione&Webber '02 and later refs.*

► POWHEG (POWHEG-BOX)

*Nason '04 and later refs.*

Processes implemented:

- W/Z boson production
- WW,WZ,ZZ production
- inclusive Higgs production
- heavy quark production
- V + 1 jet
- single-top
- dijets
- Wbb
- W<sup>+</sup>W<sup>+</sup> + dijets ...
- ....

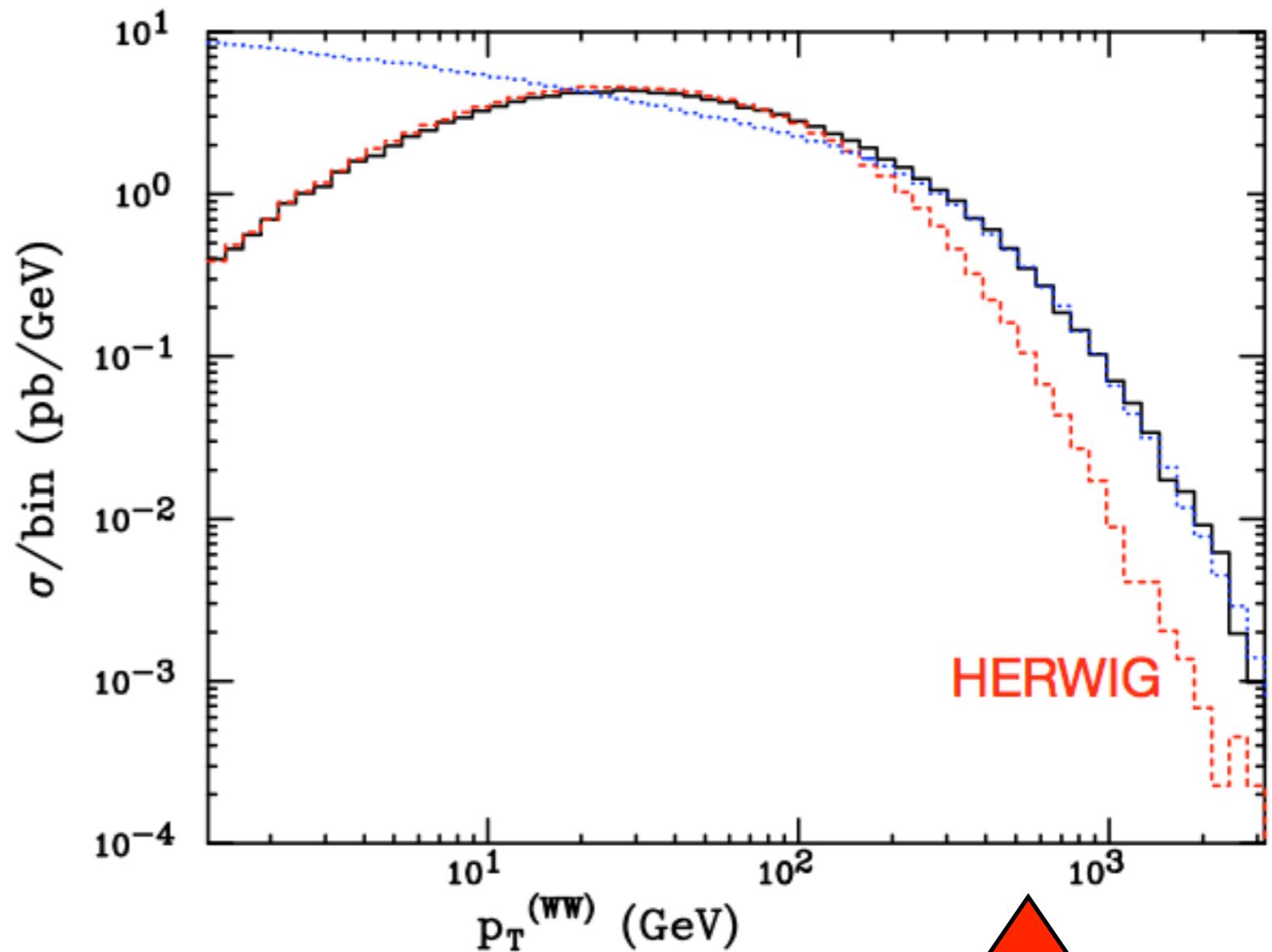
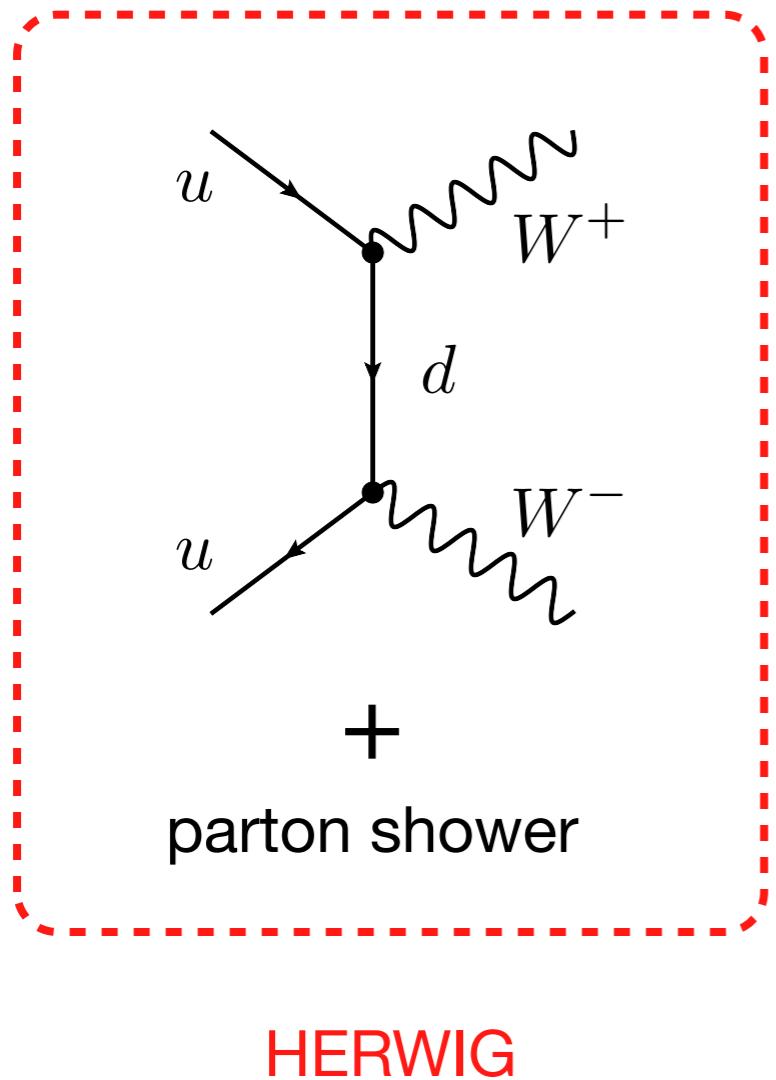
# MC@NLO

IPROC	IV	IL <sub>1</sub>	IL <sub>2</sub>	Spin	Process
-1350-IL				✓	$H_1 H_2 \rightarrow (Z/\gamma^* \rightarrow) l_{\text{IL}} \bar{l}_{\text{IL}} + X$
-1360-IL				✓	$H_1 H_2 \rightarrow (Z \rightarrow) l_{\text{IL}} \bar{l}_{\text{IL}} + X$
-1370-IL				✓	$H_1 H_2 \rightarrow (\gamma^* \rightarrow) l_{\text{IL}} \bar{l}_{\text{IL}} + X$
-1460-IL				✓	$H_1 H_2 \rightarrow (W^+ \rightarrow) l_{\text{IL}}^+ \nu_{\text{IL}} + X$
-1470-IL				✓	$H_1 H_2 \rightarrow (W^- \rightarrow) l_{\text{IL}}^- \bar{\nu}_{\text{IL}} + X$
-1396				✗	$H_1 H_2 \rightarrow \gamma^* (\rightarrow \sum_i f_i \bar{f}_i) + X$
-1397				✗	$H_1 H_2 \rightarrow Z^0 + X$
-1497				✗	$H_1 H_2 \rightarrow W^+ + X$
-1498				✗	$H_1 H_2 \rightarrow W^- + X$
-1600-ID					$H_1 H_2 \rightarrow H^0 + X$
-1705					$H_1 H_2 \rightarrow b\bar{b} + X$
-1706	7	7		✗	$H_1 H_2 \rightarrow t\bar{t} + X$
-2000-IC	7			✗	$H_1 H_2 \rightarrow t/\bar{t} + X$
-2001-IC	7			✗	$H_1 H_2 \rightarrow \bar{t} + X$
-2004-IC	7			✗	$H_1 H_2 \rightarrow t + X$
-2030	7	7		✗	$H_1 H_2 \rightarrow tW^-/\bar{t}W^+ + X$
-2031	7	7		✗	$H_1 H_2 \rightarrow \bar{t}W^+ + X$
-2034	7	7		✗	$H_1 H_2 \rightarrow tW^- + X$
-2600-ID	1	7		✗	$H_1 H_2 \rightarrow H^0 W^+ + X$
-2600-ID	1	<i>i</i>		✓	$H_1 H_2 \rightarrow H^0 (W^+ \rightarrow) l_i^+ \nu_i + X$
-2600-ID	-1	7		✗	$H_1 H_2 \rightarrow H^0 W^- + X$
-2600-ID	-1	<i>i</i>		✓	$H_1 H_2 \rightarrow H^0 (W^- \rightarrow) l_i^- \bar{\nu}_i + X$
-2700-ID	0	7		✗	$H_1 H_2 \rightarrow H^0 Z + X$
-2700-ID	0	<i>i</i>		✓	$H_1 H_2 \rightarrow H^0 (Z \rightarrow) l_i \bar{l}_i + X$
-2850		7	7	✗	$H_1 H_2 \rightarrow W^+ W^- + X$
-2860		7	7	✗	$H_1 H_2 \rightarrow Z^0 Z^0 + X$
-2870		7	7	✗	$H_1 H_2 \rightarrow W^+ Z^0 + X$
-2880		7	7	✗	$H_1 H_2 \rightarrow W^- Z^0 + X$

- $H_{1,2}$  denote nucleon and antinucleon
- The “Spin” indicates whether spin correlations in vector boson fusion or top decays are included (✓), neglected (✗) or absent (void entry)
- The values of IV, IL, IL<sub>1</sub>, and IL<sub>2</sub> control the identities of vector bosons and leptons

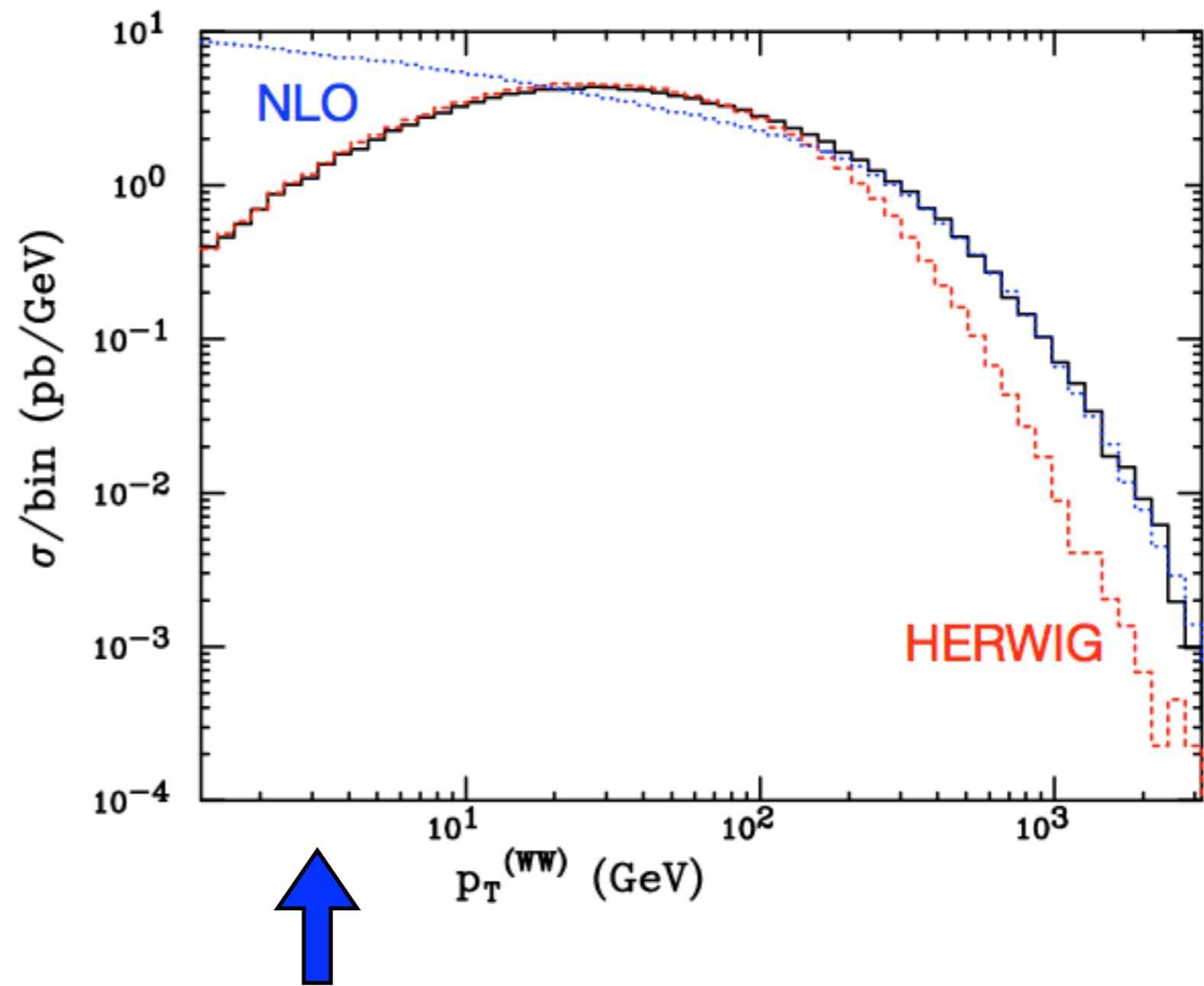
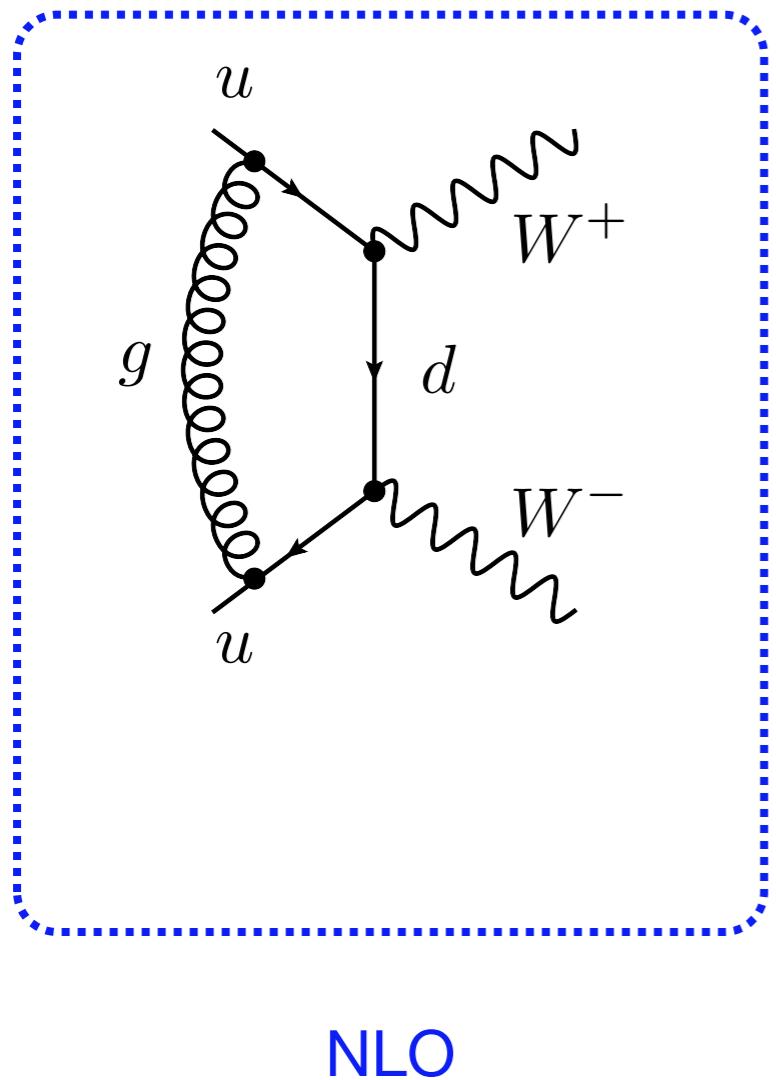
IPROC	IV	IL <sub>1</sub>	IL <sub>2</sub>	Spin	Process
-1706		<i>i</i>	<i>j</i>	✓	$H_1 H_2 \rightarrow (t \rightarrow) b_k f_i f'_i (\bar{t} \rightarrow) \bar{b}_l f_j f'_j + X$
-2000-IC		<i>i</i>		✓	$H_1 H_2 \rightarrow (t \rightarrow) b_k f_i f'_i / (\bar{t} \rightarrow) \bar{b}_k f_i f'_i + X$
-2001-IC		<i>i</i>		✓	$H_1 H_2 \rightarrow (\bar{t} \rightarrow) \bar{b}_k f_i f'_i + X$
-2004-IC		<i>i</i>		✓	$H_1 H_2 \rightarrow (t \rightarrow) b_k f_i f'_i + X$
-2030		<i>i</i>	<i>j</i>	✓	$H_1 H_2 \rightarrow (t \rightarrow) b_k f_i f'_i (W^- \rightarrow) f_j f'_j / (\bar{t} \rightarrow) \bar{b}_k f_i f'_i (W^+ \rightarrow) f_j f'_j + X$
-2031		<i>i</i>	<i>j</i>	✓	$H_1 H_2 \rightarrow (\bar{t} \rightarrow) \bar{b}_k f_i f'_i (W^+ \rightarrow) f_j f'_j + X$
-2034		<i>i</i>	<i>j</i>	✓	$H_1 H_2 \rightarrow (t \rightarrow) b_k f_i f'_i (W^- \rightarrow) f_j f'_j + X$
-2850		<i>i</i>	<i>j</i>	✓	$H_1 H_2 \rightarrow (W^+ \rightarrow) l_i^+ \nu_i (W^- \rightarrow) l_j^- \bar{\nu}_j + X$

# MC@NLO:W<sup>+</sup>W<sup>-</sup> production (LHC)



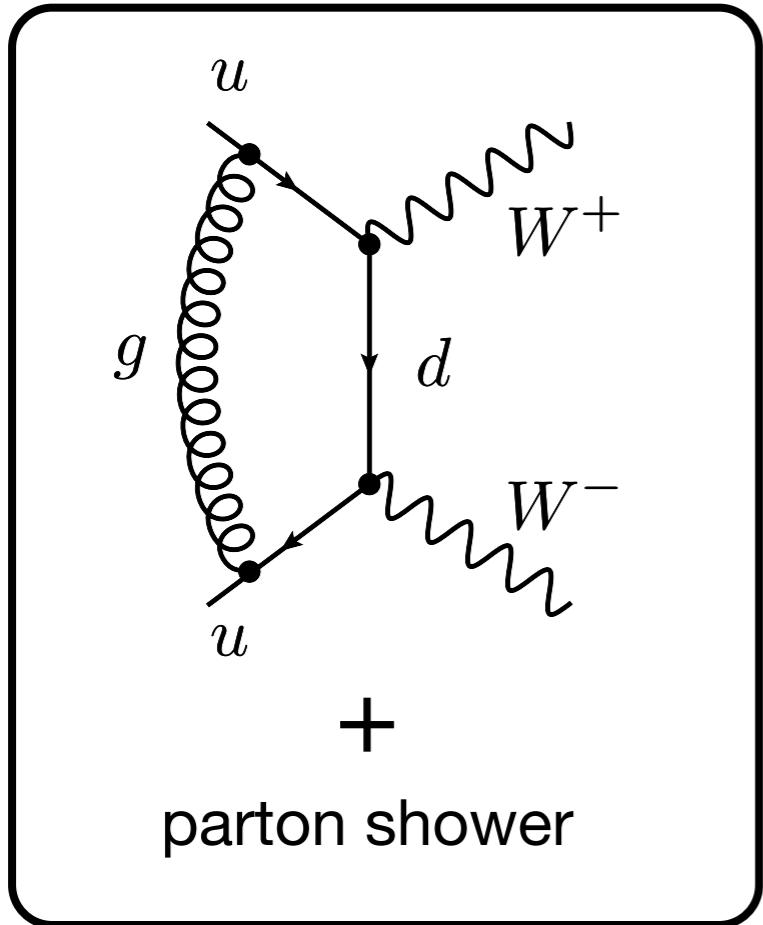
Herwig too soft in  
the high- $p_T$  region

# MC@NLO: $W^+W^-$ production (LHC)

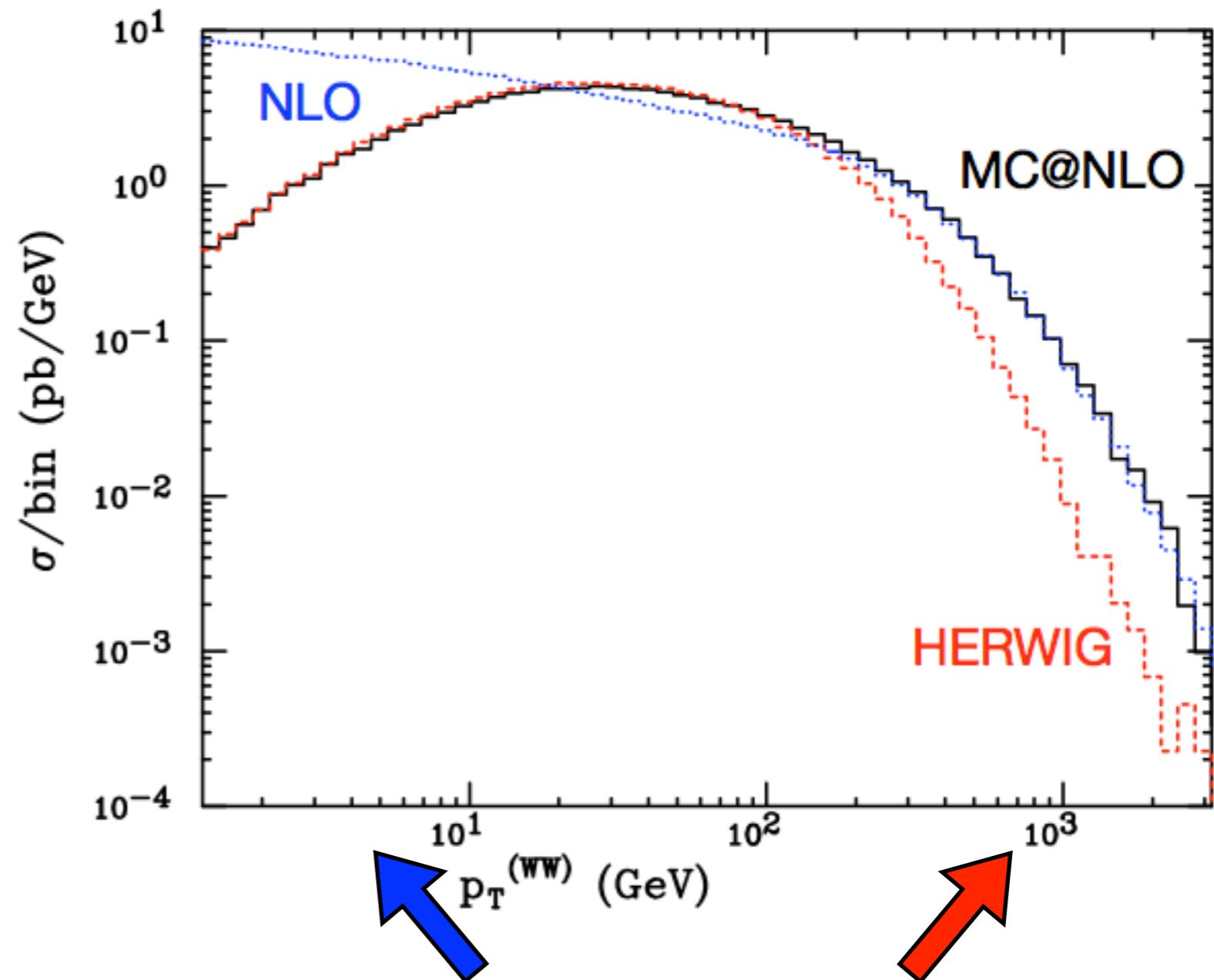


NLO divergent  
in the soft region

# MC@NLO: $W^+W^-$ production (LHC)



MC@NLO

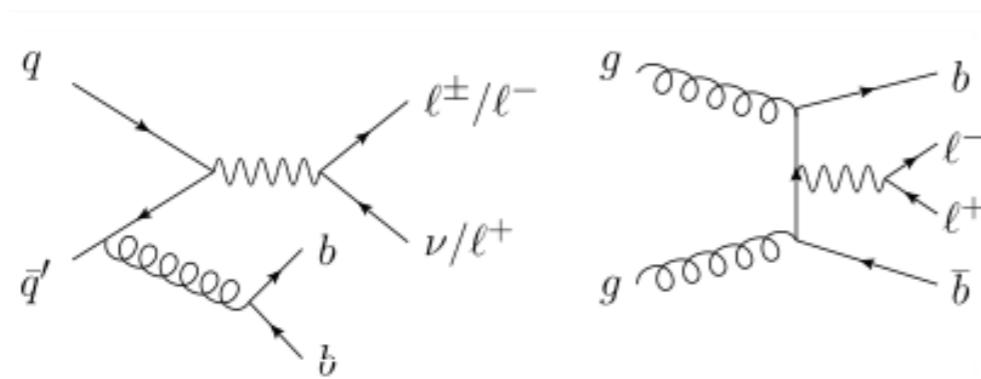


MC@NLO correctly interpolates  
between the two regimes

# Wbb/Zbb in MC@NLO

Irreducible background to  $pp \rightarrow HW$  and  $pp \rightarrow HZ$ , with  $H \rightarrow bb$

Accuracy: NLO+PS, with spin correlations, heavy-quark mass effects



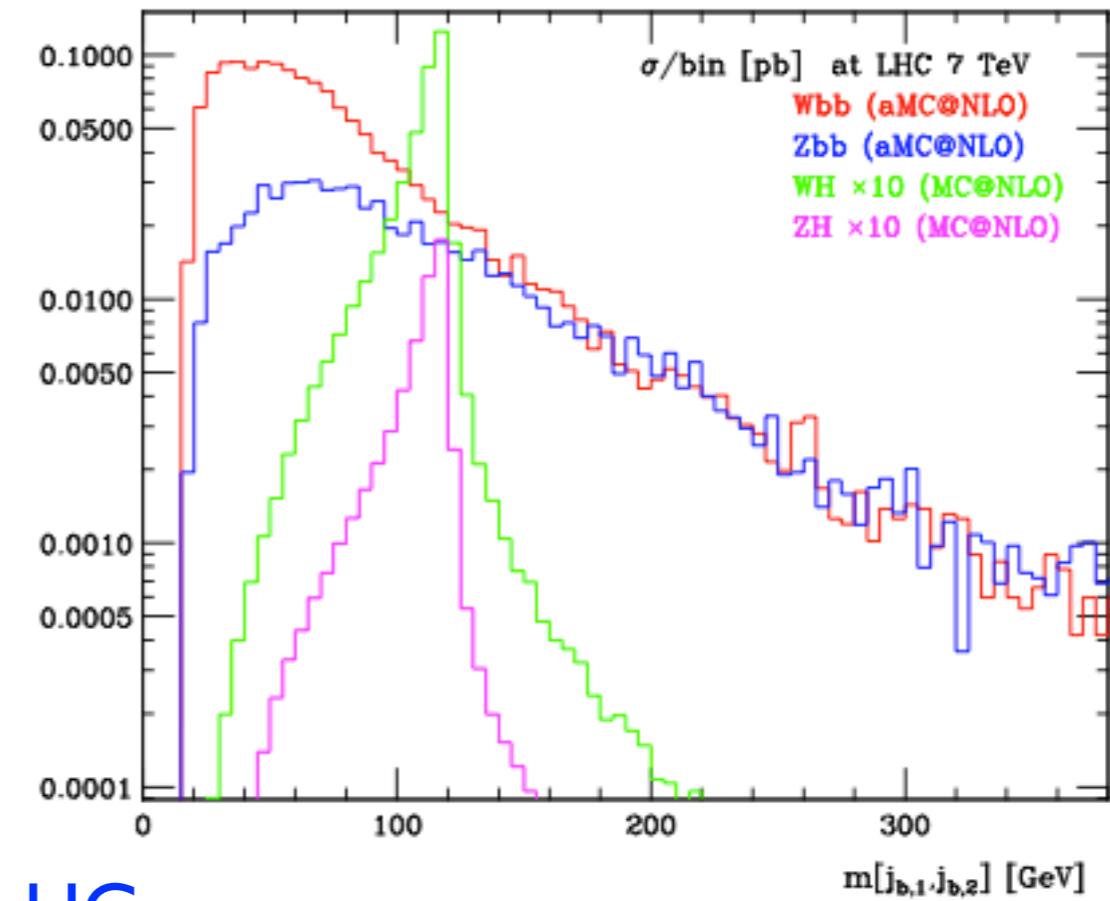
Frederix et al. 1106.6019

LO: gg channel present only for Zbb. Most differences Wbb vs Zbb due to this

	Cross section (pb)					
	Tevatron $\sqrt{s} = 1.96$ TeV			LHC $\sqrt{s} = 7$ TeV		
	LO	NLO	K factor	LO	NLO	K factor
$\ell\nu b\bar{b}$	4.63	8.04	1.74	19.4	38.9	2.01
$\ell^+\ell^- b\bar{b}$	0.860	1.509	1.75	9.66	16.1	1.67

Wbb/Zbb:  $\approx 5$   $\approx 2$

Reason: gg enhancement in Zbb at the LHC



Also in POWHEG: Oleari, Reina 1105.4488



# Jets: five years ago



Cones are IR unsafe!

The Cone is too rigid!

IR unsafety affects jet cross-sections by less than 1%, so don't need to care!

$kt$  collects too much soft radiation!

Cones have a well-defined circular area!

Jet area not well defined in  $kt$ : U.E. and pile-up subtraction too difficult!

What about dark towers??

After all, if  $D=1.35 R$  Cone and  $kt$  are practically the same thing....



# Where do jets enter ?

*Essentially everywhere at colliders!*

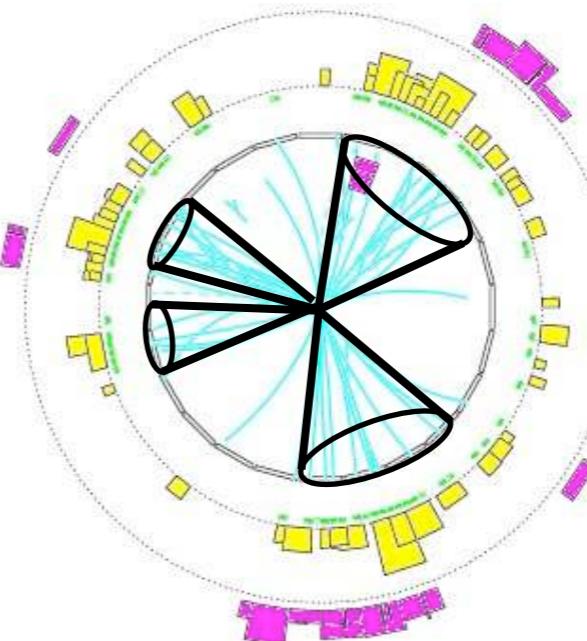
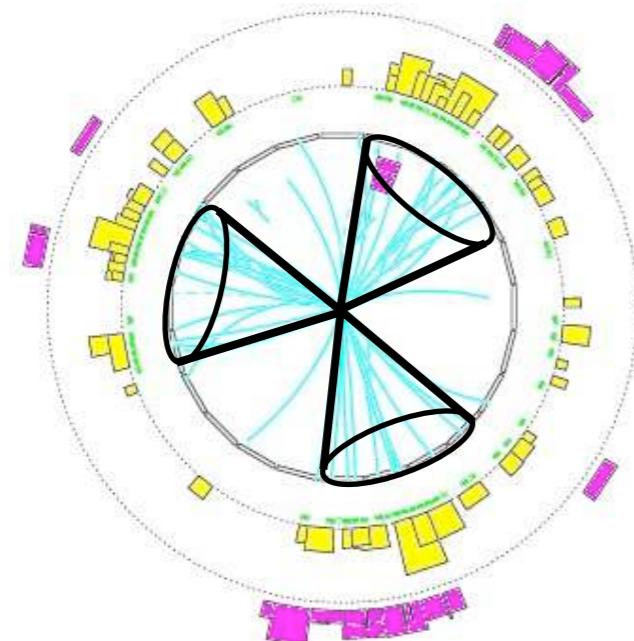
Jets are an essential tool for a variety of studies:

- top reconstruction
- mass measurements
- most Higgs and NP searches
- general tool to attribute structure to an event
- instrumental for QCD studies, e.g. inclusive-jet measurements  
⇒ important input for PDF determinations

# Jets

Jets provide a way of projecting away the multiparticle dynamics of an event  $\Rightarrow$  leave a simple quasi-partonic picture of the hard scattering

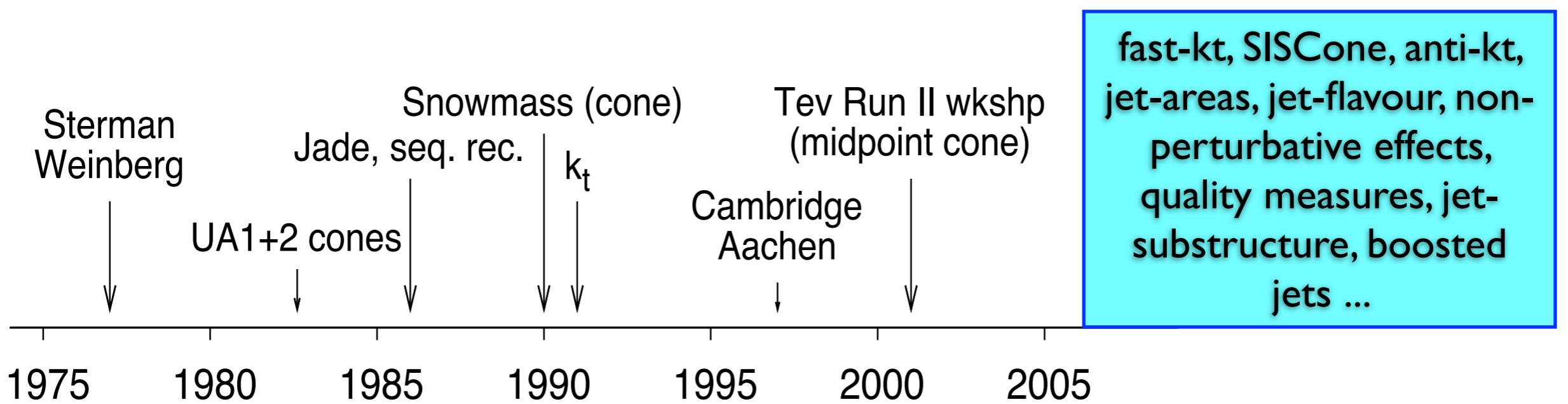
The projection is fundamentally ambiguous  $\Rightarrow$  jet physics is a rich subject



## Ambiguities:

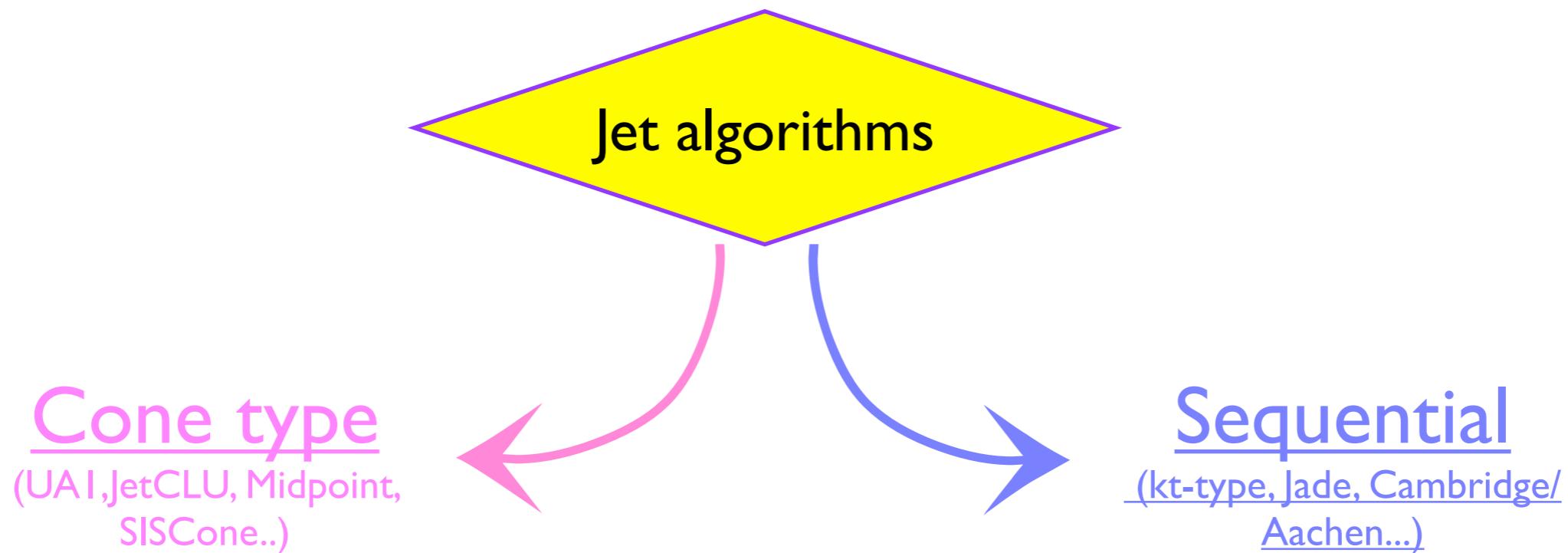
- 1) Which particles should belong to a same jet ?
- 2) How does recombine the particle momenta to give the jet-momentum?

# Jet developments



# Two broad classes of jet algorithms

Today many extensions of the original Sterman-Weinberg jets.  
Modern jet-algorithms divided into two broad classes



**top down approach:**  
cluster particles according to  
distance in **coordinate-space**  
Idea: put **cones** along dominant  
direction of energy flow

**bottom up approach:** cluster  
particles according to distance  
in **momentum-space**  
Idea: undo branchings occurred  
in the PT evolution

# Jet requirements

Snowmass accord

FERMILAB-Conf-90/249-E  
[E-741/CDF]

## Toward a Standardization of Jet Definitions

Several important properties that should be met by a jet definition are [3]:

1. Simple to implement in an experimental analysis;
2. Simple to implement in the theoretical calculation;
3. Defined at any order of perturbation theory;
4. Yields finite cross section at any order of perturbation theory;
5. Yields a cross section that is relatively insensitive to hadronization.

# Inclusive $k_t$ /Durham-algorithm

Catani et. al '92-'93; Ellis&Soper '93

## Inclusive algorithm:

- I. For any pair of final state particles  $i,j$  define the distance

$$d_{ij} = \frac{\Delta y_{ij}^2 + \Delta \phi_{ij}^2}{R^2} \min\{k_{ti}^2, k_{tj}^2\}$$

# Inclusive $k_t$ /Durham-algorithm

Catani et. al '92-'93; Ellis&Soper '93

## Inclusive algorithm:

- I. For any pair of final state particles  $i,j$  define the distance

$$d_{ij} = \frac{\Delta y_{ij}^2 + \Delta \phi_{ij}^2}{R^2} \min\{k_{ti}^2, k_{tj}^2\}$$

2. For each particle  $i$  define a distance with respect to the beam

$$d_{iB} = k_{ti}^2$$

# Inclusive $k_t$ /Durham-algorithm

Catani et. al '92-'93; Ellis&Soper '93

## Inclusive algorithm:

- I. For any pair of final state particles  $i,j$  define the distance

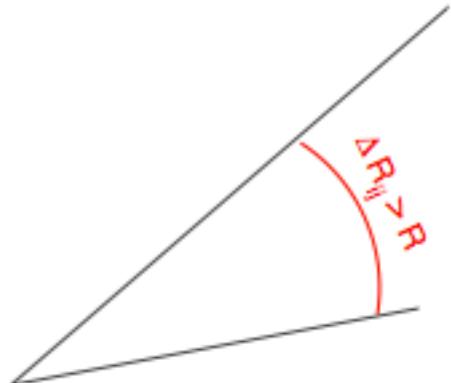
$$d_{ij} = \frac{\Delta y_{ij}^2 + \Delta \phi_{ij}^2}{R^2} \min\{k_{ti}^2, k_{tj}^2\}$$

2. For each particle  $i$  define a distance with respect to the beam

$$d_{iB} = k_{ti}^2$$

3. Find the smallest distance. If it is a  $d_{ij}$  recombine  $i$  and  $j$  into a new particle ( $\Rightarrow$  recombination scheme); if it is  $d_{iB}$  declare  $i$  to be a jet and remove it from the list of particles

NB: if  $\Delta R_{ij}^2 \equiv \Delta y_{ij}^2 + \Delta \phi_{ij}^2 < R^2$  then partons (ij) are always recombined, so **R sets the minimal interjet angle**



# Inclusive $k_t$ /Durham-algorithm

Catani et. al '92-'93; Ellis&Soper '93

## Inclusive algorithm:

- I. For any pair of final state particles  $i,j$  define the distance

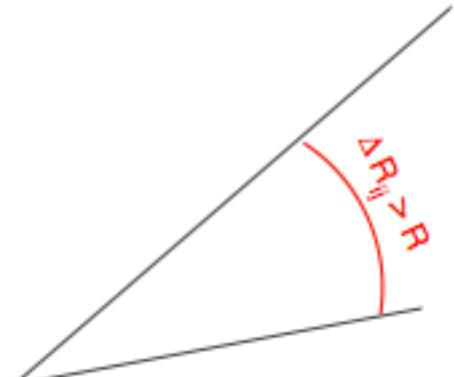
$$d_{ij} = \frac{\Delta y_{ij}^2 + \Delta \phi_{ij}^2}{R^2} \min\{k_{ti}^2, k_{tj}^2\}$$

2. For each particle  $i$  define a distance with respect to the beam

$$d_{iB} = k_{ti}^2$$

3. Find the smallest distance. If it is a  $d_{ij}$  recombine  $i$  and  $j$  into a new particle ( $\Rightarrow$  recombination scheme); if it is  $d_{iB}$  declare  $i$  to be a jet and remove it from the list of particles

NB: if  $\Delta R_{ij}^2 \equiv \Delta y_{ij}^2 + \Delta \phi_{ij}^2 < R^2$  then partons (ij) are always recombined, so **R sets the minimal interjet angle**



4. repeat the procedure until no particles are left

# Exclusive $k_t$ /Durham-algorithm

Inclusive algorithm gives a variable number of jets per event, according to the specific event topology

# Exclusive $k_t$ /Durham-algorithm

Inclusive algorithm gives a variable number of jets per event, according to the specific event topology

Exclusive version: run the inclusive algorithm but stop when either

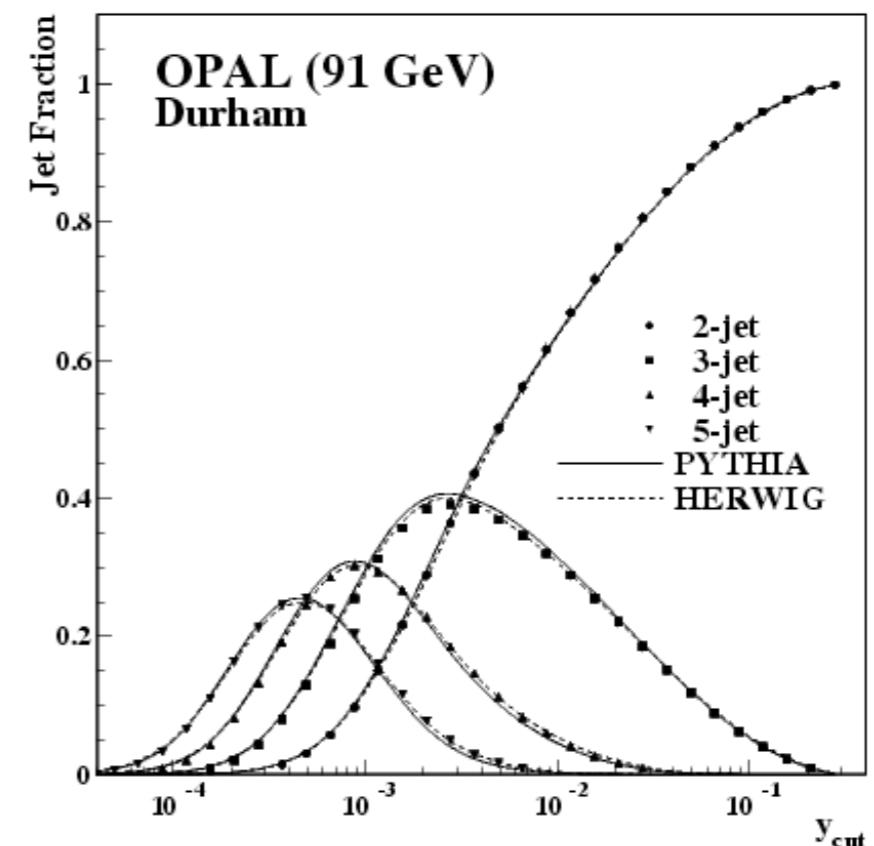
- all  $d_{ij}, d_{iB} > d_{cut}$  or
- when reaching the desired number of jets  $n$

# $k_t$ /Durham-algorithm in $e^+e^-$

$k_t$  originally designed in  $e^+e^-$ , most widely used algorithm in  $e^+e^-$  (LEP)

$$y_{ij} = 2 \min\{E_i^2, E_j^2\} (1 - \cos \theta_{ij}^2)$$

- can classify events using  $y_{23}, y_{34}, y_{45}, y_{56} \dots$
- resolution parameter related to minimum transverse momentum between jets

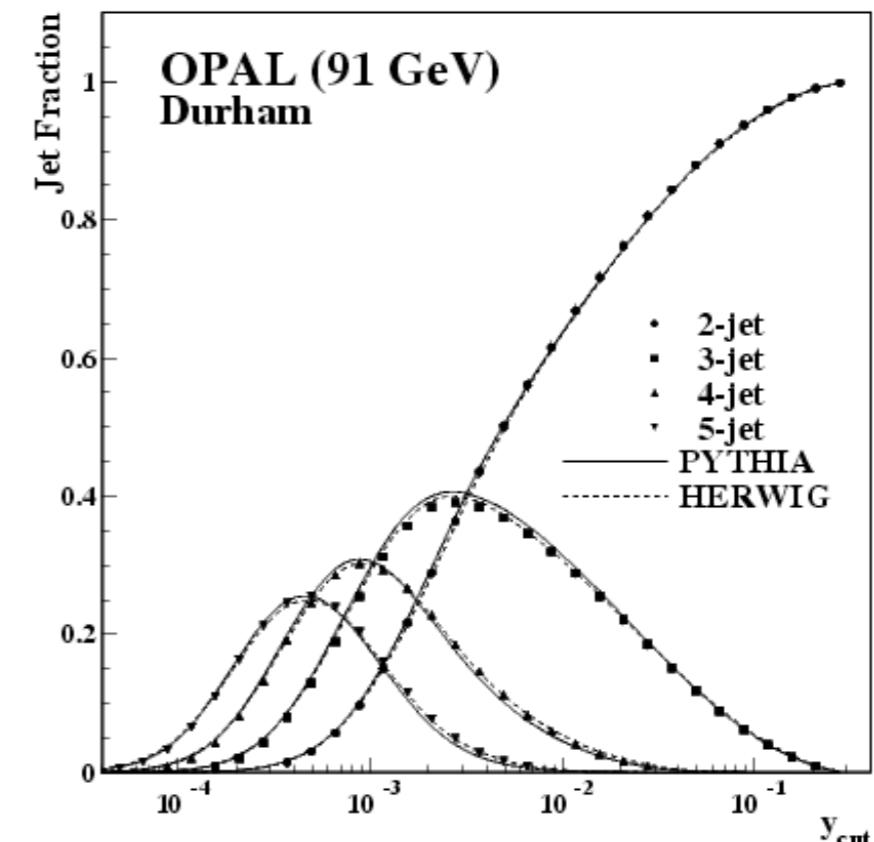


# $k_t$ /Durham-algorithm in $e^+e^-$

$k_t$  originally designed in  $e^+e^-$ , most widely used algorithm in  $e^+e^-$  (LEP)

$$y_{ij} = 2 \min\{E_i^2, E_j^2\} (1 - \cos \theta_{ij}^2)$$

- can classify events using  $y_{23}, y_{34}, y_{45}, y_{56} \dots$
- resolution parameter related to minimum transverse momentum between jets



Satisfies fundamental requirements:

1. **Collinear safe:** collinear particles recombine early on
2. **Infrared safe:** soft particles do not influence the clustering sequence

⇒ *collinear + infrared safety important: it means that cross-sections can be computed at higher order in  $\beta$ QCD (no divergences)!*

# The CA and the anti- $k_t$ algorithm

The Cambridge/Aachen: sequential algorithm like  $k_t$ , but uses only angular properties to define the distance parameters

$$d_{ij} = \frac{\Delta R_{ij}^2}{R^2} \quad d_{iB} = 1 \quad \Delta R_{ij}^2 = (\phi_i - \phi_j)^2 + (y_i - y_j)^2$$

*Dotshitzer et. al '97; Wobisch & Wengler '99*

# The CA and the anti- $k_t$ algorithm

The Cambridge/Aachen: sequential algorithm like  $k_t$ , but uses only angular properties to define the distance parameters

$$d_{ij} = \frac{\Delta R_{ij}^2}{R^2} \quad d_{iB} = 1 \quad \Delta R_{ij}^2 = (\phi_i - \phi_j)^2 + (y_i - y_j)^2$$

*Dotshitzer et. al '97; Wobisch & Wengler '99*

The anti- $k_t$  algorithm: designed not to recombine soft particles together

$$d_{ij} = \min\{1/k_{ti}^2, 1/k_{tj}^2\} \Delta R_{ij}^2 / R^2 \quad d_{iB} = 1/k_{ti}^2$$

*Cacciari, Salam, Soyez '08*

# The CA and the anti- $k_t$ algorithm

The Cambridge/Aachen: sequential algorithm like  $k_t$ , but uses only angular properties to define the distance parameters

$$d_{ij} = \frac{\Delta R_{ij}^2}{R^2} \quad d_{iB} = 1 \quad \Delta R_{ij}^2 = (\phi_i - \phi_j)^2 + (y_i - y_j)^2$$

*Dotshitzer et. al '97; Wobisch & Wengler '99*

The anti- $k_t$  algorithm: designed not to recombine soft particles together

$$d_{ij} = \min\{1/k_{ti}^2, 1/k_{tj}^2\} \Delta R_{ij}^2 / R^2 \quad d_{iB} = 1/k_{ti}^2$$

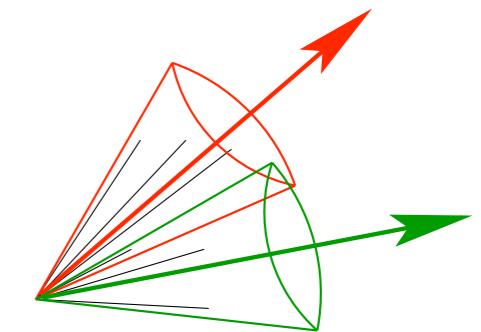
*Cacciari, Salam, Soyez '08*

*anti- $k_t$  is the default algorithm for ATLAS and CMS*

# Cone algorithms

I. A particle  $i$  at rapidity and azimuthal angle  $(y_i, \phi_i) \subset$  cone  $C$  iff

$$\sqrt{(y_i - y_C)^2 + (\phi_i - \phi_C)^2} \leq R_{\text{cone}}$$



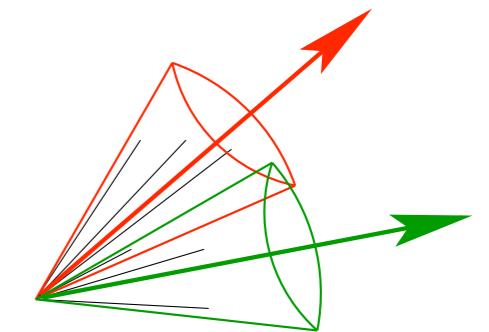
# Cone algorithms

I. A particle  $i$  at rapidity and azimuthal angle  $(y_i, \phi_i) \subset$  cone  $C$  iff

$$\sqrt{(y_i - y_C)^2 + (\phi_i - \phi_C)^2} \leq R_{\text{cone}}$$

2. Define

$$\bar{y}_C \equiv \frac{\sum_{i \in C} y_i \cdot p_{T,i}}{\sum_{i \in C} p_{T,i}} \quad \bar{\phi}_C \equiv \frac{\sum_{i \in C} \phi_i \cdot p_{T,i}}{\sum_{i \in C} p_{T,i}}$$



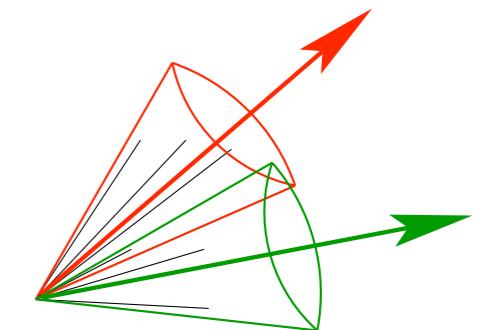
# Cone algorithms

I. A particle  $i$  at rapidity and azimuthal angle  $(y_i, \phi_i) \subset$  cone  $C$  iff

$$\sqrt{(y_i - y_C)^2 + (\phi_i - \phi_C)^2} \leq R_{\text{cone}}$$

2. Define

$$\bar{y}_C \equiv \frac{\sum_{i \in C} y_i \cdot p_{T,i}}{\sum_{i \in C} p_{T,i}} \quad \bar{\phi}_C \equiv \frac{\sum_{i \in C} \phi_i \cdot p_{T,i}}{\sum_{i \in C} p_{T,i}}$$



3. If weighted and geometrical averages coincide  $(y_C, \phi_C) = (\bar{y}_C, \bar{\phi}_C)$  a stable cone ( $\Rightarrow$  jet) is found, otherwise set  $(y_C, \phi_C) = (\bar{y}_C, \bar{\phi}_C)$  & iterate

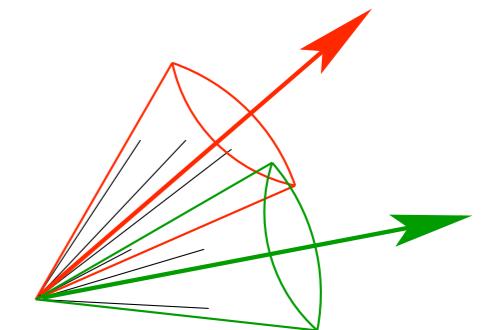
# Cone algorithms

I. A particle  $i$  at rapidity and azimuthal angle  $(y_i, \phi_i) \subset$  cone  $C$  iff

$$\sqrt{(y_i - y_C)^2 + (\phi_i - \phi_C)^2} \leq R_{\text{cone}}$$

2. Define

$$\bar{y}_C \equiv \frac{\sum_{i \in C} y_i \cdot p_{T,i}}{\sum_{i \in C} p_{T,i}} \quad \bar{\phi}_C \equiv \frac{\sum_{i \in C} \phi_i \cdot p_{T,i}}{\sum_{i \in C} p_{T,i}}$$



3. If weighted and geometrical averages coincide  $(y_C, \phi_C) = (\bar{y}_C, \bar{\phi}_C)$  a stable cone ( $\Rightarrow$  jet) is found, otherwise set  $(y_C, \phi_C) = (\bar{y}_C, \bar{\phi}_C)$  & iterate

4. Stable cones can overlap. Run a split-merge on overlapping jets: merge jets if they share more than an energy fraction  $f$ , else split them and assign the shared particles to the cone whose axis they are closer to.  
Remark: too small  $f$  (<0.5) creates hugh jets, not recommended

# Cone algorithms

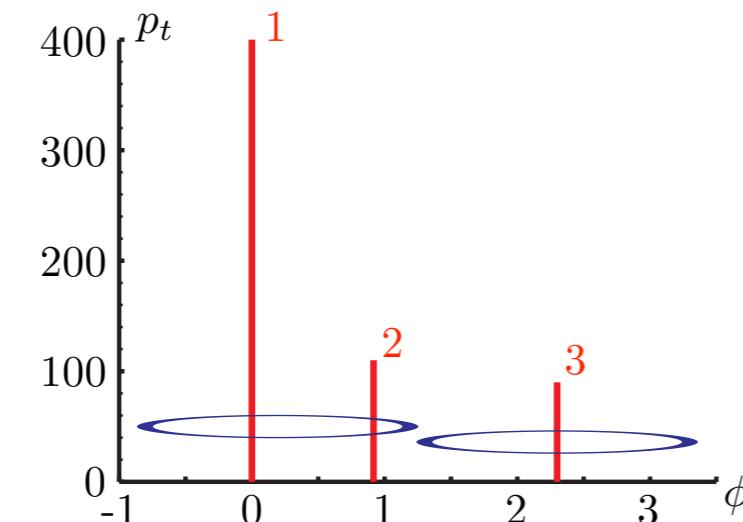
- The question is where does one start looking for stable cone ?
- The direction of these trial cones are called **seeds**
- Ideally, place seeds everywhere, so as not to miss any stable cone
- Practically, this is unfeasible. Speed of recombination grows fast with the number of seeds. So place only some seeds, e.g. at the  $(y, \Phi)$ -location of particles.

# Cone algorithms

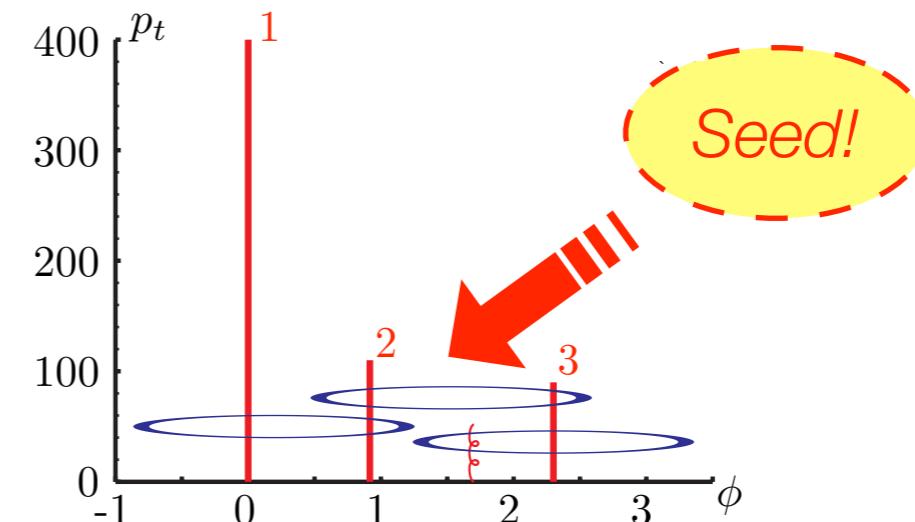
- The question is where does one start looking for stable cone ?
- The direction of these trial cones are called **seeds**
- Ideally, place seeds everywhere, so as not to miss any stable cone
- Practically, this is unfeasible. Speed of recombination grows fast with the number of seeds. So place only some seeds, e.g. at the  $(y, \Phi)$ -location of particles.

*Seeds make cone algorithms infrared unsafe*

# Jets: infrared unsafety of cones



3 hard  $\Rightarrow$  2 stable cones



3 hard + 1 soft  $\Rightarrow$  3 stable cones

-----  
| *Soft emission changes the hard jets  $\Rightarrow$  algorithm is IR unsafe* |

Midpoint algorithm: take as seed position of emissions **and midpoint** between two emissions (postpones the infrared safety problem)

# Seedless cones

Solution:

use a seedless algorithm, i.e. consider all possible combinations of particles as candidate cones, so find all stable cones [ $\Rightarrow$  jets]

*Blazey '00*

# Seedless cones

Solution:

use a seedless algorithm, i.e. consider all possible combinations of particles as candidate cones, so find all stable cones [ $\Rightarrow$  jets]

*Blazey '00*

The problem:

clustering time growth as  $N^2N$ . So for an event with 100 particles need  $10^{17}$  ys to cluster the event  $\Rightarrow$  prohibitive beyond PT ( $N=4,5$ )

# Seedless cones

## Solution:

use a seedless algorithm, i.e. consider all possible combinations of particles as candidate cones, so find all stable cones [ $\Rightarrow$  jets]

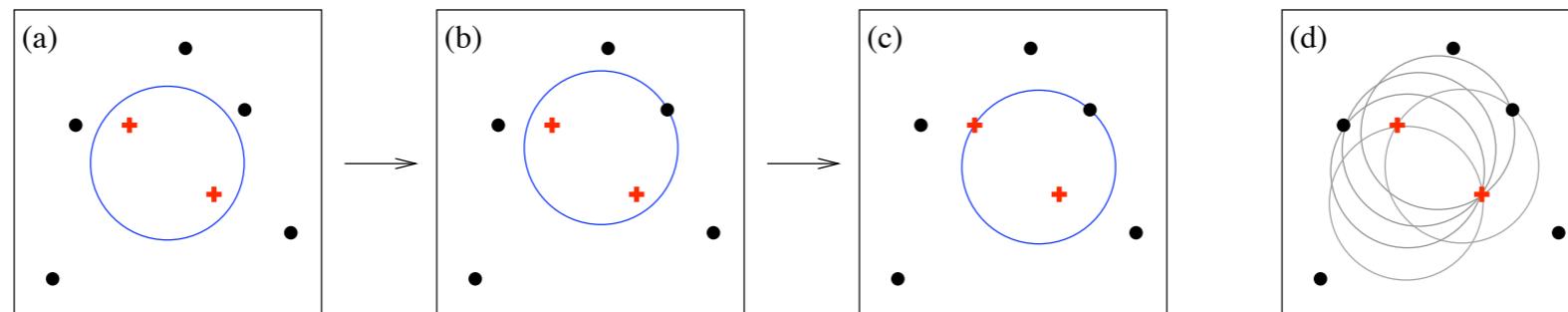
*Blazey '00*

## The problem:

clustering time growth as  $N^{2N}$ . So for an event with **100 particles** need  **$10^{17}$  ys to cluster the event**  $\Rightarrow$  prohibitive beyond PT ( $N=4,5$ )

## Better solution:

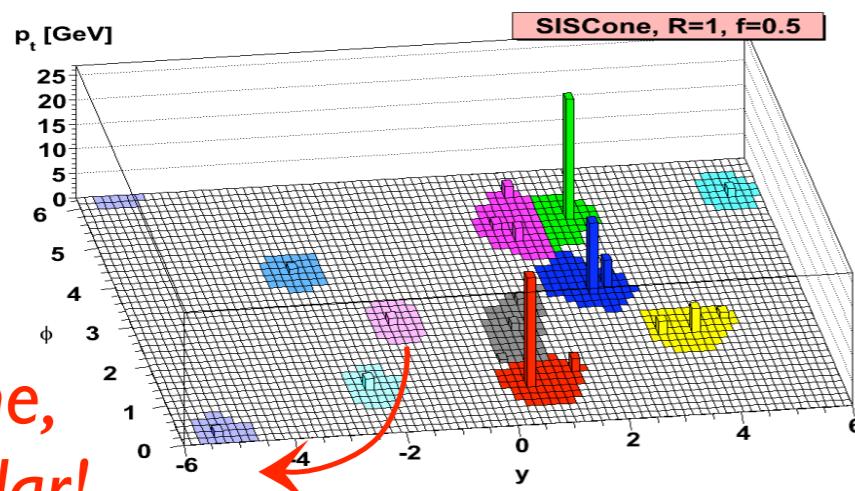
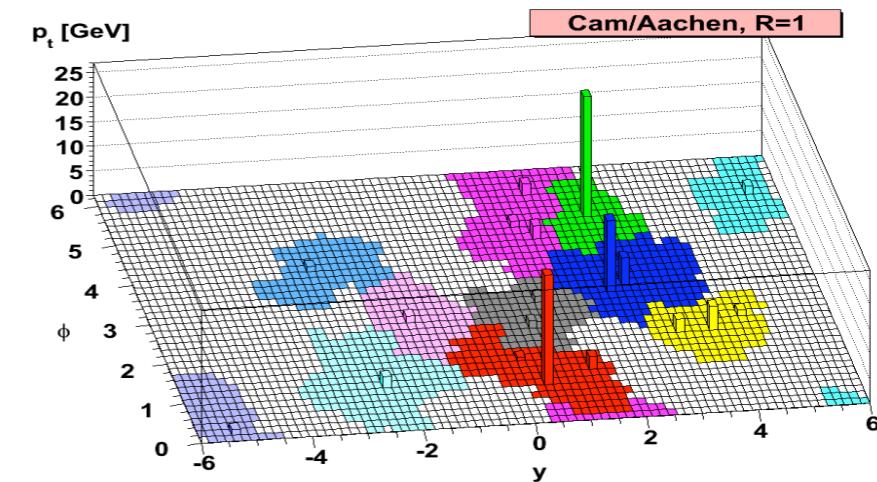
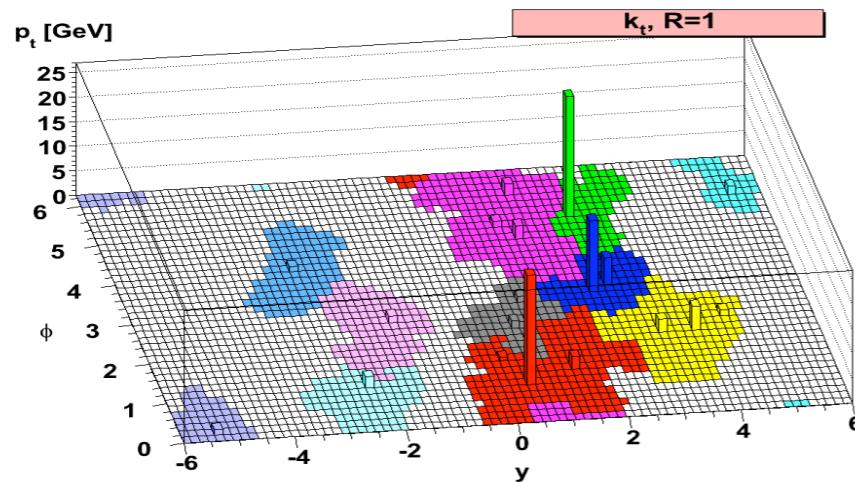
**SISCone** recasts the problem as a computational geometry problem, the identification of all distinct circular enclosures for points in 2D and finds a solution to that  $\Rightarrow N^2 \ln N$  time **IR safe algorithm**



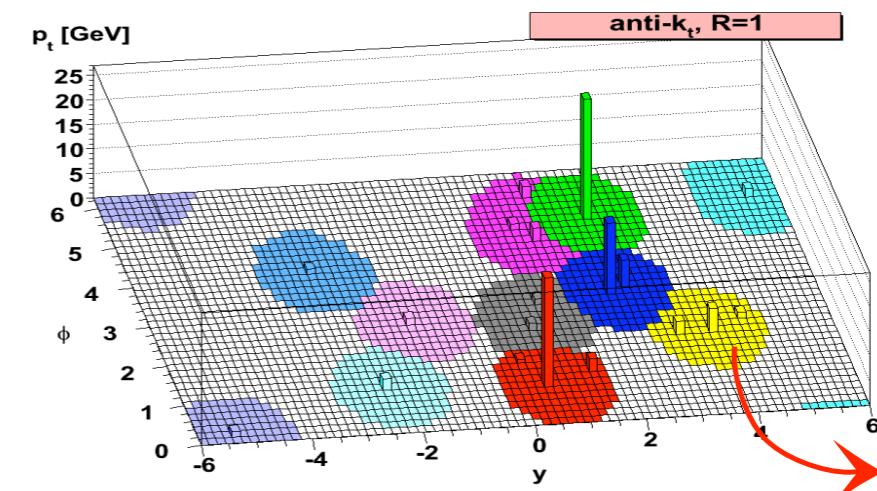
*Salam, Soyez '07*

# Jet area

Given an infrared safe, fast jet-algorithm, can define the jet area  $A$  as follows: fill the event with an infinite number of infinitely soft emissions uniformly distributed in  $\eta$ - $\varphi$  and make  $A$  proportional to the # of emissions clustered in the jet



NB: cone,  
not circular!



NB: new  
anti-kt

# What jet areas are good for

**jet-area** ≡ catching area of the jet when adding soft emissions

⇒ use the jet area to formulate a **simple area based subtraction** of pile-up events

1. cluster particle with an IR safe jet algorithm
2. from ***all jets*** (most are pile-up ones) in the event define the median

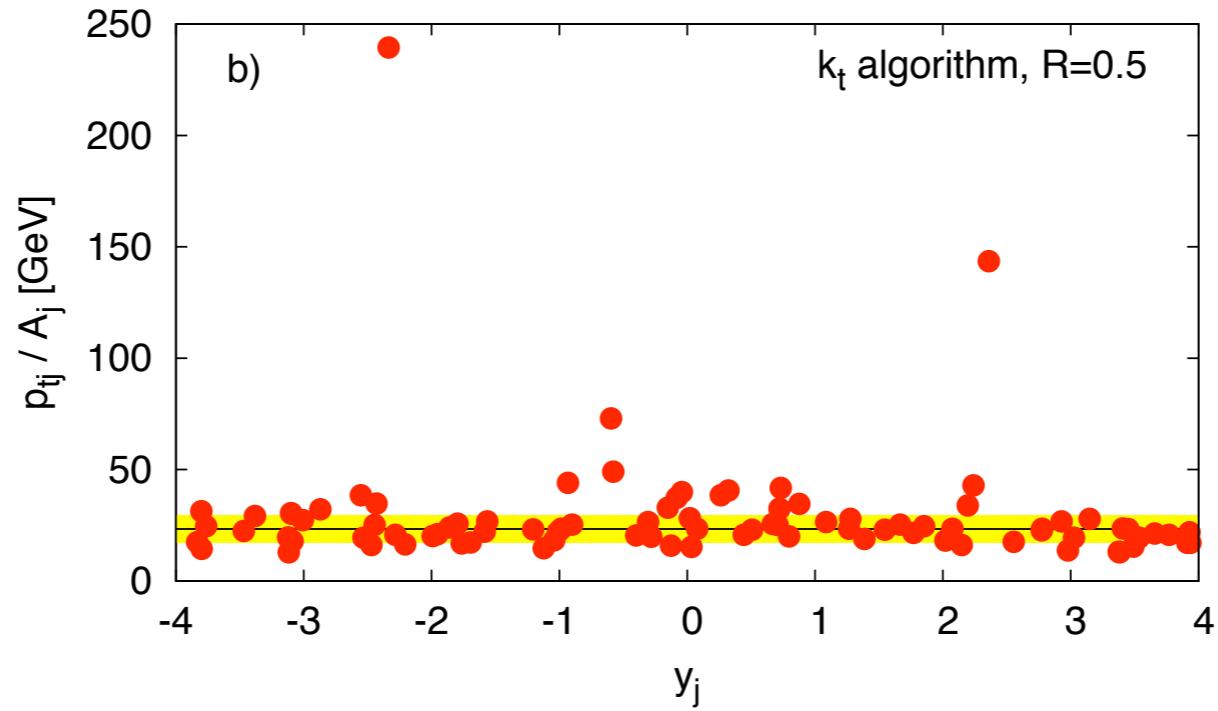
$$\rho = \frac{p_{t,j}}{A_j}$$

3. the median gives the typical  $p_t/A_j$  for a given event
4. use the median to subtract off dynamically the soft part of the soft events

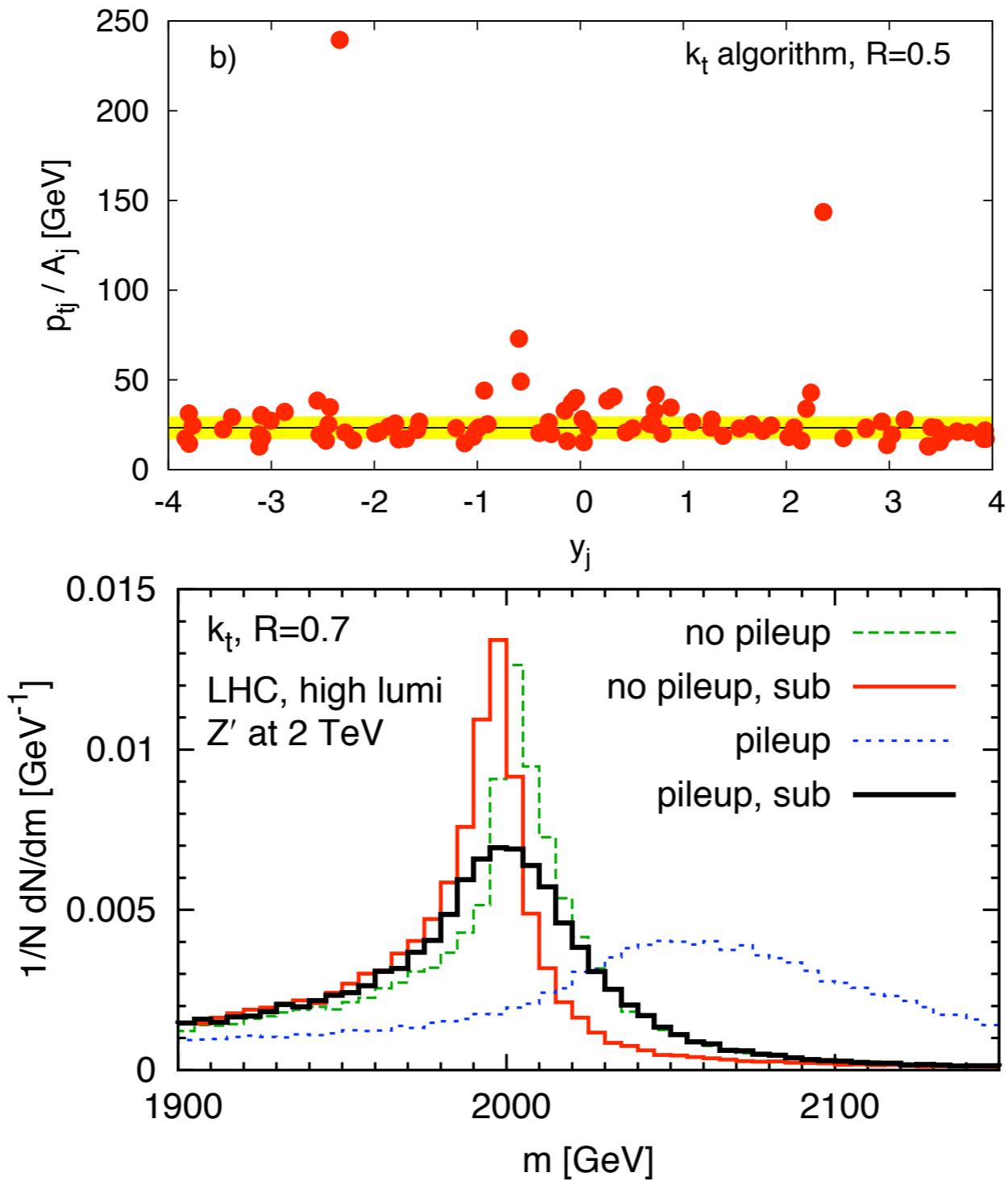
$$p_j^{\text{sub}} = p_j - A_j \rho$$

*Pileup* = generic  $p$ - $p$  interaction (hard, soft, single-diffractive...) overlapping with hard scattering

# Sample 2 TeV mass reconstruction



# Sample 2 TeV mass reconstruction



Cacciari et al. '07

# Quality measures of jets

Suppose you are searching for a heavy state ( $H \rightarrow gg, Z' \rightarrow qq, \dots$ )

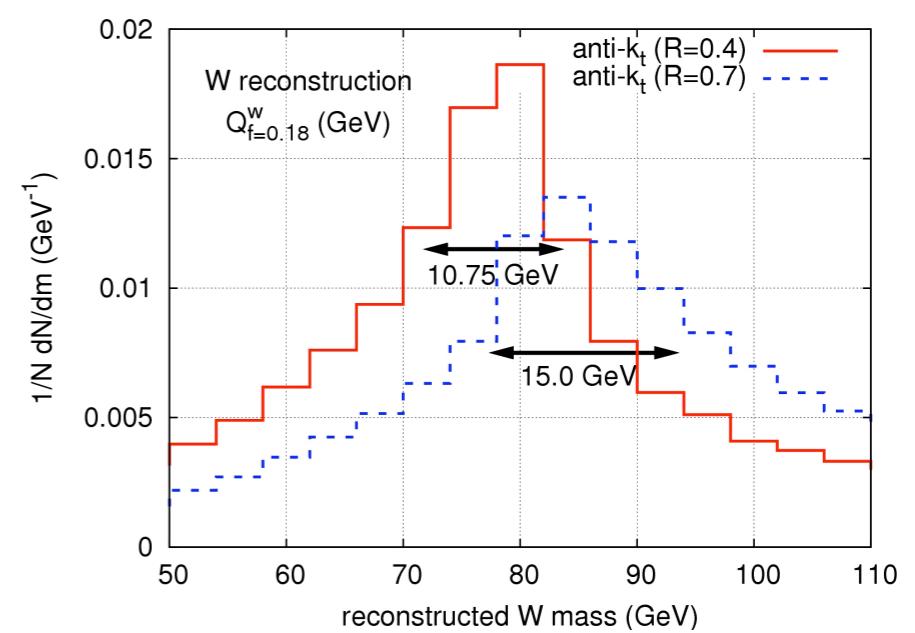
The object is reconstructed through its decay products  
⇒ Which jet algorithm (JA) is best? Does the choice of  $R$  matter?

Define:  $Q_f^w(JA, R) \equiv$  width of the smallest mass window that contains a fraction  $f$  of the generated massive objects

- good algo  $\Leftrightarrow$  small  $Q_f^w(JA, R)$
- ratios of  $Q_f^w(JA, R)$ : mapped to ratios of effective luminosity (with same  $S/\sqrt{B}$ )

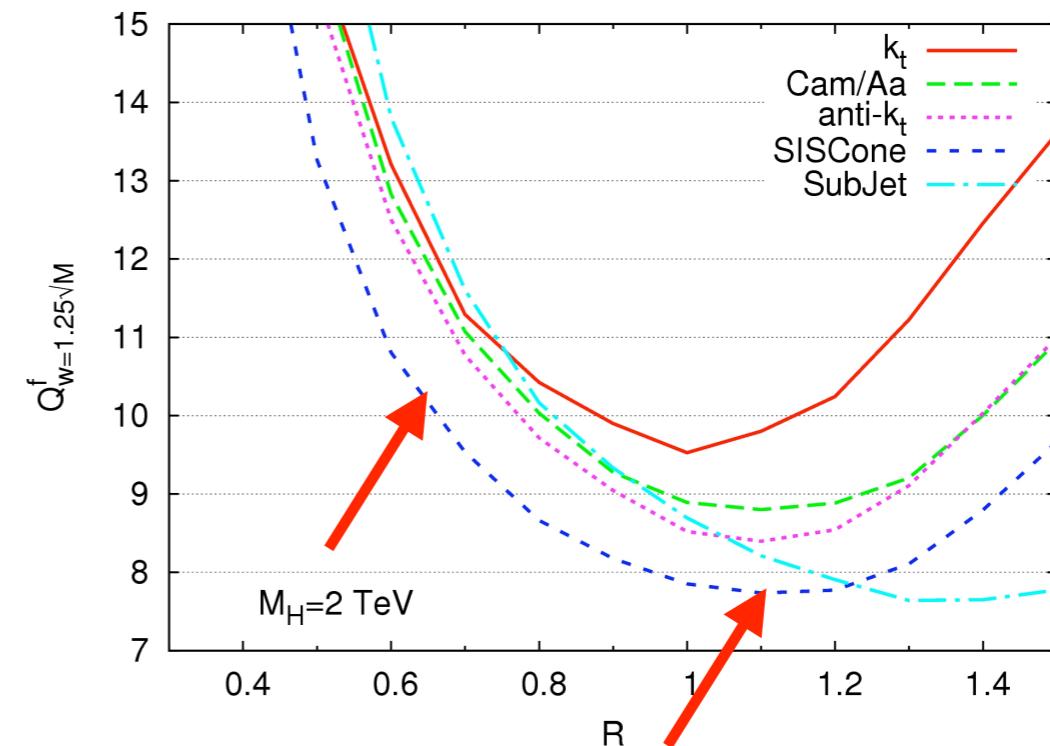
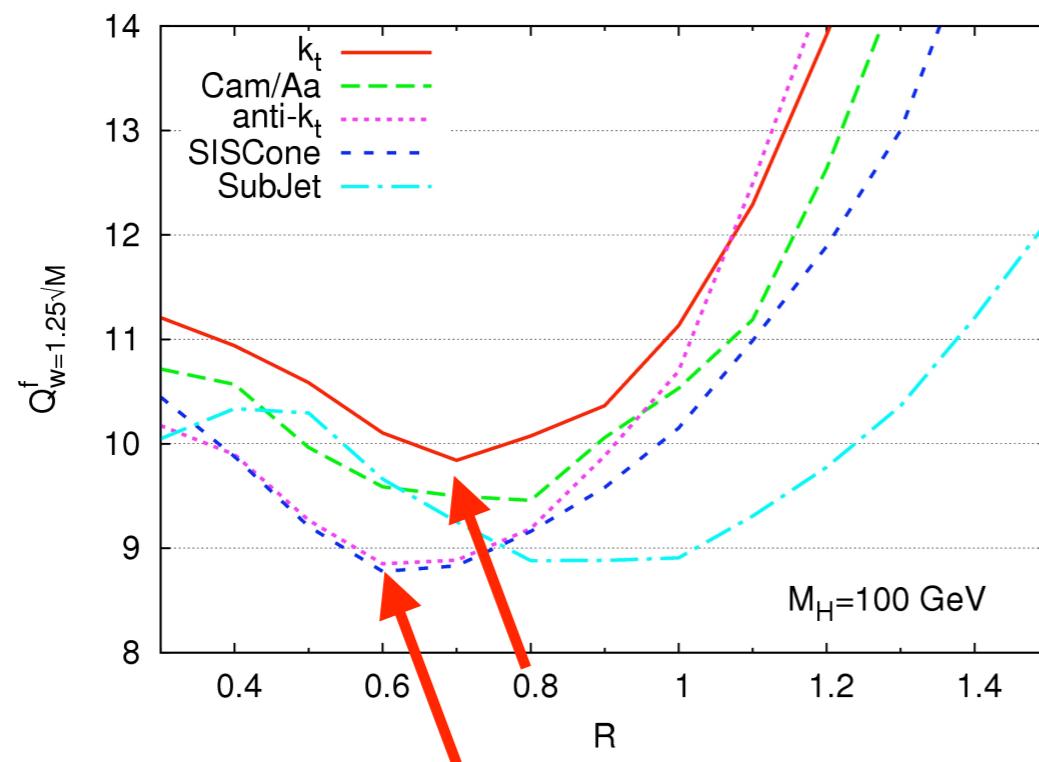
$$\mathcal{L}_2 = \rho_{\mathcal{L}} \mathcal{L}_1$$

$$\rho_{\mathcal{L}} = \frac{Q_z^f(JA_2, R_2)}{Q_z^f(JA_1, R_1)}$$



# Quality measures: sample results

NB: Here “fake Higgs” = narrow resonance decaying to gluons

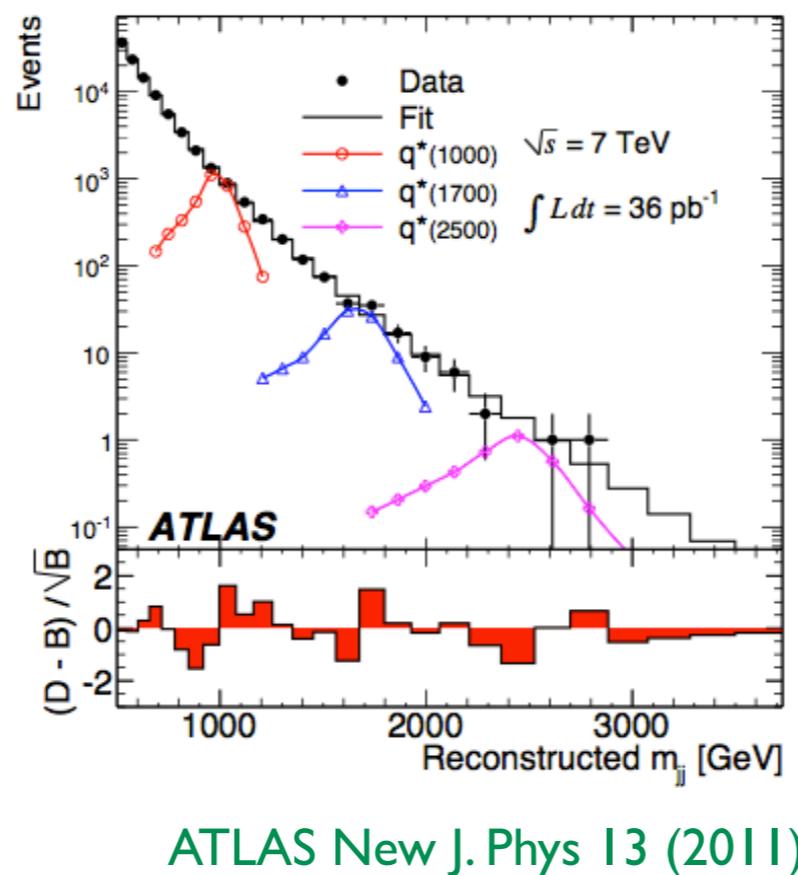
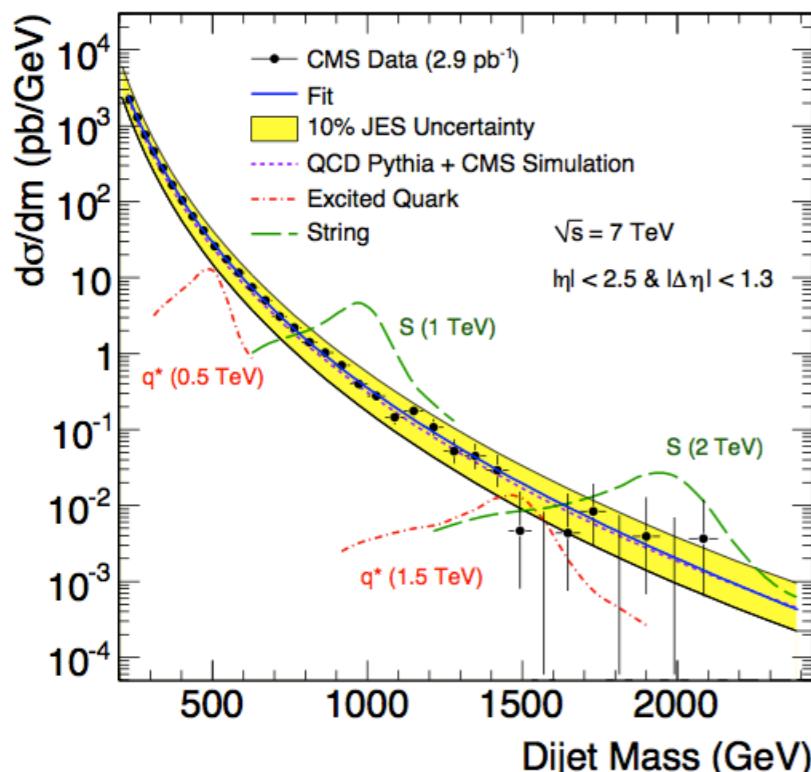


- At 100GeV: use a Tevatron standard algo ( $k_t$ ,  $R=0.7$ ) instead of best choice (SIScone,  $R=0.6 \Rightarrow \text{lose } \rho_L = 0.8 \text{ in effective luminosity}$ )
- At 2 TeV: use  $M_Z=100\text{GeV}$  Tevatron best choice instead SIScone,  $R=1.1 \Rightarrow \text{lose } \rho_L = 0.6 \text{ in effective luminosity}$

A good choice of jet-algorithm can make the difference  
 Bad choice of jet-algorithm  $\Leftrightarrow$  loose in discrimination power

# Jets today at the LHC

ATLAS and CMS adopted as default jet-algorithm:  $\text{anti-}k_t$ , unfortunately with different default R 0.4 & 0.6 [ATLAS] 0.5 & 0.7 [CMS]



$$d_{ij} = \frac{1}{\max(k_{ti}^2, k_{tj}^2)} \frac{\Delta R_{ij}}{R}$$

Cacciari, Salam, Soyez '08

So far, at the LHC  
jets could probe the  
highest energy scales  
 $\sim 4 \text{ TeV}$

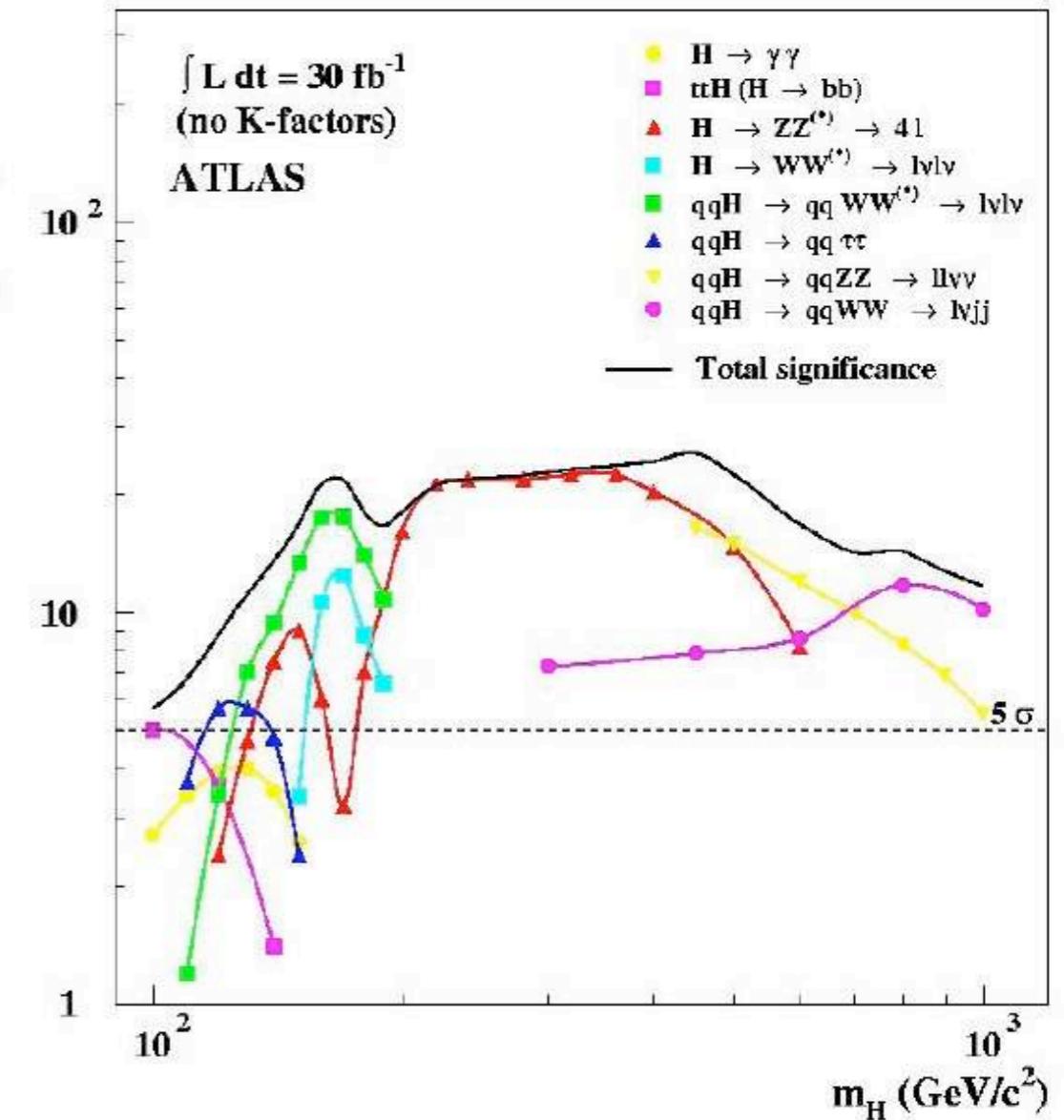
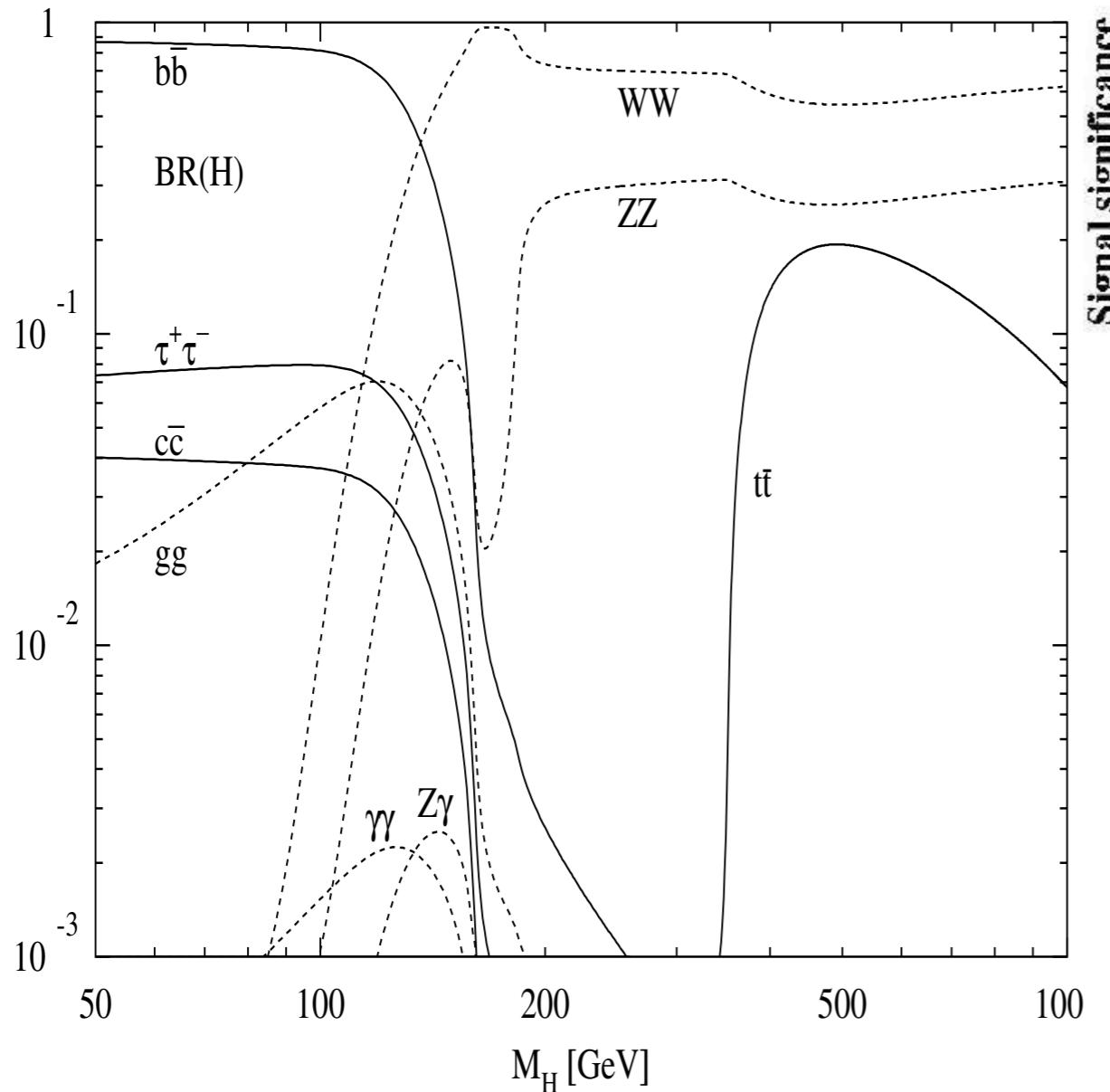
[Tevatron  $\sim 1 \text{ TeV}$ ]

Also used: Cambridge-Aachen (CA),  $k_t$  algorithm and SISCone

Catani et al. '92-'93; Ellis and Soper '93; Dokshitzer et al. '97; Salam and Soyez '08

*First time only infrared-safe algorithms are used systematically at a collider!*

# Z/W+ H ( $\rightarrow$ bb) rescued ?



⇒ **Light Higgs hard:** Higgs mainly produced in association with Z/W, decay  $H \rightarrow bb$  is dominant, but overwhelmed by QCD backgrounds

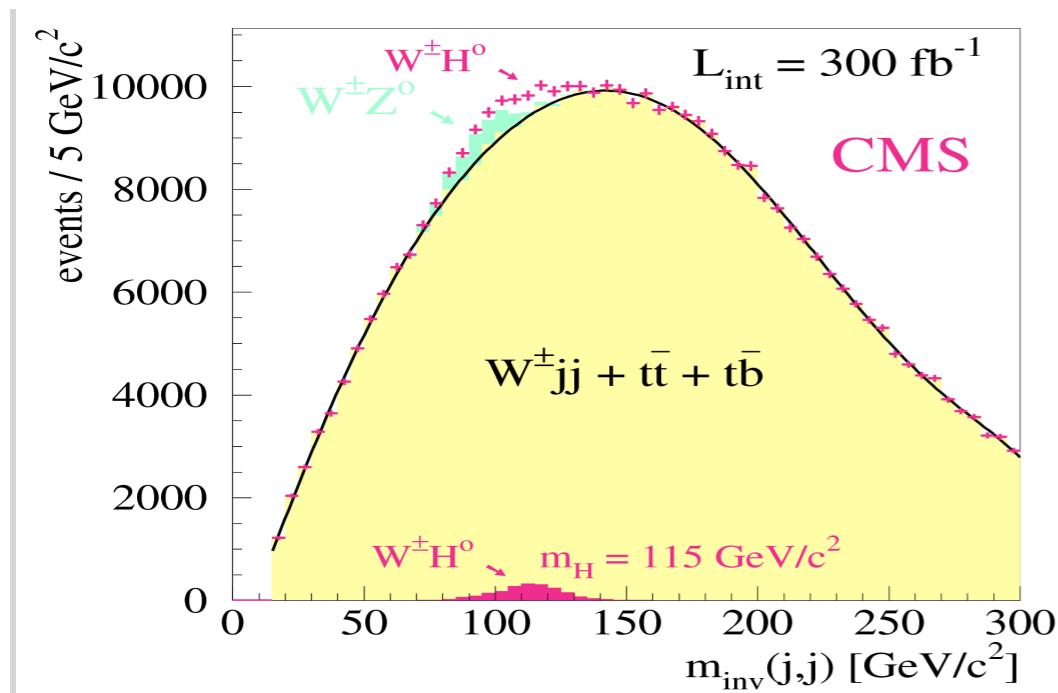
# Z/W+ H ( $\rightarrow bb$ ) rescued ?

Recall why searching for  $pp \rightarrow WH(bb)$  is hard:

$$\sigma(pp \rightarrow WH(bb)) \sim \text{few pb} \quad \sigma(pp \rightarrow Wbb) \sim \text{few pb}$$

$$\sigma(pp \rightarrow tt) \sim 800\text{pb} \quad \sigma(pp \rightarrow Wjj) \sim \text{few } 10^4\text{pb} \quad \sigma(pp \rightarrow bb) \sim 400\text{pb}$$

$\Rightarrow$  signal extraction very difficult



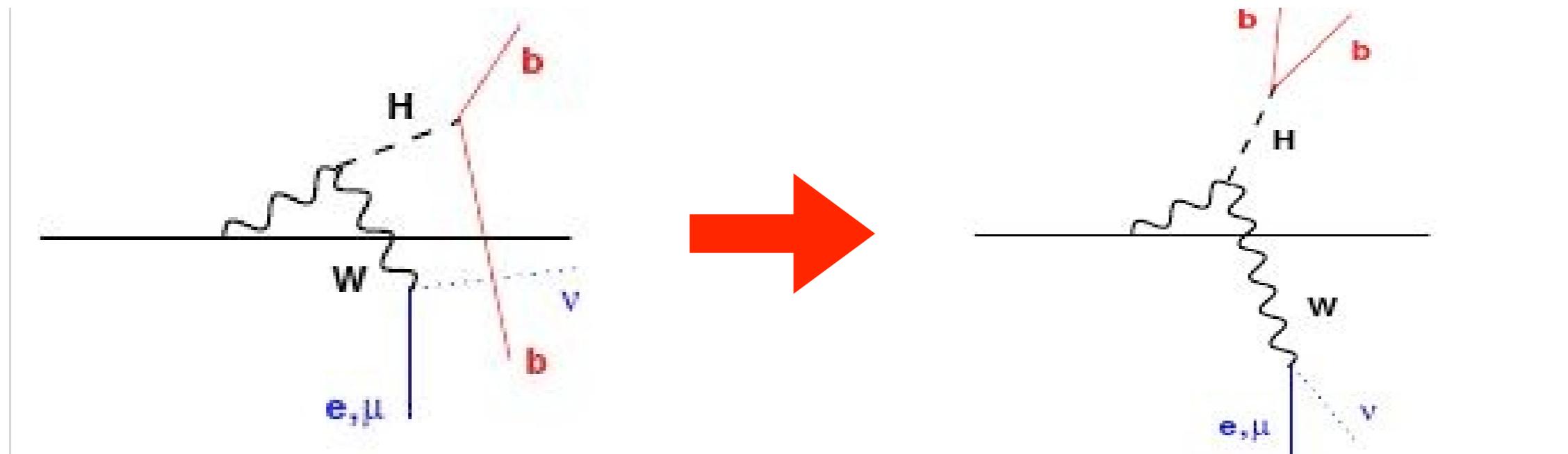
Conclusion [ATLAS TDR]:  
*The extraction of a signal from  $H \rightarrow bb$  decays in the  $WH$  channel will be very difficult at the LHC even under the most optimistic assumptions [...]*

# Z/W+ H ( $\rightarrow bb$ ) rescued ?

*But ingenious suggestions open up to window of opportunity*

Central idea: require high- $p_T$  W and Higgs boson in the event

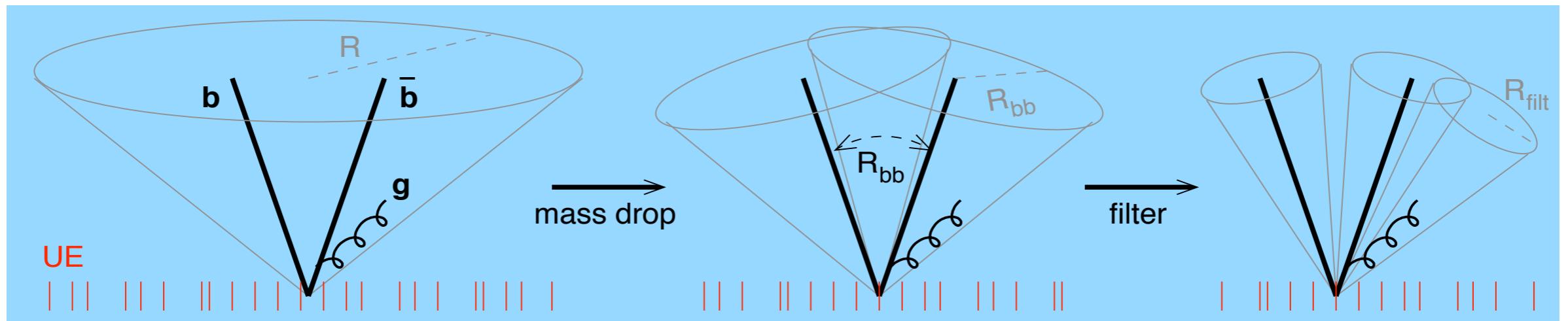
- leads to back-to-back events where two b-quarks are contained within the same jet
- high  $p_T$  reduces the signal but reduces the background much more
- improve acceptance and kinematic resolution



# Z/W+ H ( $\rightarrow bb$ ) rescued ?

Then use a jet-algorithm geared to exploit the specific pattern of  $H \rightarrow bb$  vs  $g \rightarrow gg$ ,  $q \rightarrow gg$

- QCD partons prefer soft emissions (hard  $\rightarrow$  hard + soft)
- Higgs decay prefers symmetric splitting
- try to beat down contamination from underlying event
- try to capture most of the perturbative QCD radiation



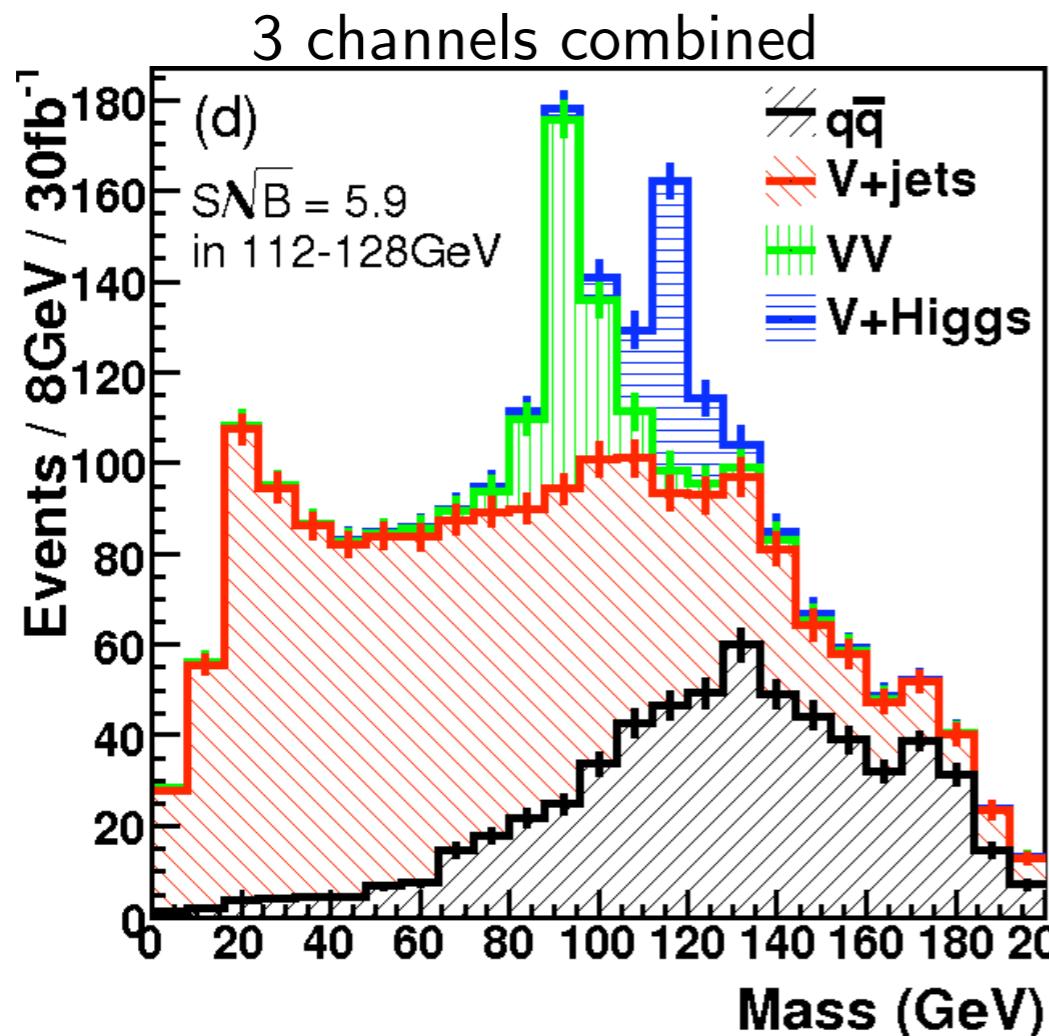
1. **cluster** the event  
with e.g. CA algo  
and large-ish R

2. **undo last recomb:**  
**large mass drop +**  
symmetric + b tags

3. **filter** away the UE:  
take only the 3  
hardest sub-jets

# Z/W+ H ( $\rightarrow bb$ ) rescued ?

Mass of the three hardest sub-jets:



- ▶ with common & channel specific cuts:  
 $P_{tV}, P_{tH} > 200\text{GeV}$ , ...
- ▶ real/fake b-tag rate: 0.7/0.01
- ▶ NB: very neat peak for WZ ( $Z \rightarrow bb$ )  
Important for calibration

*Butterworth, Davison, Rubin, Salam '08*

5.9 $\sigma$  at 30 fb $^{-1}$ : VH with  $H \rightarrow bb$  recovered as one of the best discovery channels for light Higgs

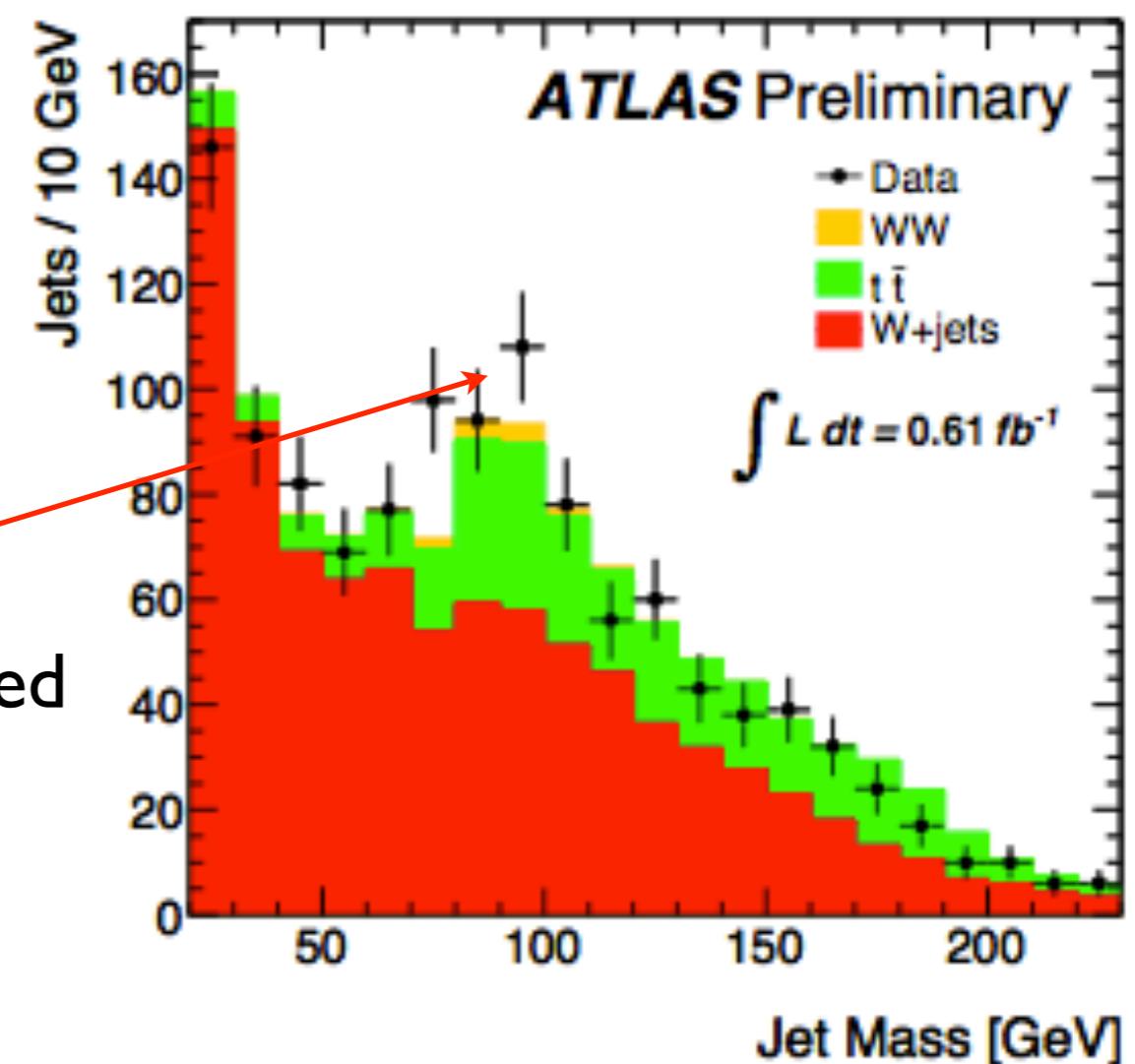
# Z/W+ H ( $\rightarrow bb$ ) rescued ?

These very recent techniques already in use at the LHC!

Example relevant for WH( $\rightarrow bb$ ):  
single jet hadronic mass in W+l+j

Z peak evident. **Very promising**  
Expect many new results with boosted  
techniques at higher statistics soon

Presented at EPS 2011



# Recap on jets

- 📌 Two major jet classes: sequential ( $k_t$ , CA, ...) and cones (UA1, midpoint, ...)
- 📌 Jet algo is fully specified by: clustering + recombination + split merge or removal procedure + all parameters
- 📌 Standard cones based on seeds are IR unsafe
- 📌 SISCone is new IR safe cone algorithm (no seeds) and anti- $k_t$  a new sequential algorithm
- 📌 Using IRunsafe algos you can not use perturbative QCD calculations
- 📌 With IRSafe algo: sophisticated studies e.g. jet-area for pile-up subtraction
- 📌 Not all algorithms fare the same for BSM/Higgs searches: quality measures
- 📌 Recent applications using boosted techniques and jet substructure (Higgs example)