

CSCI 1101: Computer Science I

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Welcome to CSCI 1101: Computer Science I. Here's some important information:

- The course webpage is:
<https://bostoncollege.instructure.com/courses/1627647>
- Social office hours take place in CS Lab 122 on Thursdays from 5:30-9:30pm and Fridays from 3-6pm. Feel free to drop by and ask questions or simply work on the homework!
- Everyone's contact information is below. Please remember to contact **your own** discussion section leader for technical questions (who may escalate to Julian if needed) and to contact Professor Tristan for personal questions.

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- These notes were taken by Julian and have **not been carefully proofread** – they're sure to contain some typos and omissions, due to Julian.

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§1 Wednesday, January 19

Welcome to the Introduction to Computer Science. The plan today is mostly to talk about the structure of the course – rather than diving headfirst into the course material – and to talk about the spirit of computer science at a high level. In particular, we'll try to convince you that it's useful to learn about computer science even if you don't intend to become a professional programmer.

§1.1 What is computer science?

First things first: what is the definition of **computer science**? Here's what the dictionary says:

The branch of knowledge concerned with the construction, programming, operation, and use of computers.

Well, what's a **computer**? Let's use the dictionary again:

A device or machine for performing or facilitating calculation.

It's important to note that there's no mention of electronics here! So even something like an abacus is a computer under this definition. There's another dictionary definition of a computer though:

An electronic device [...] capable of [...] processing [...] in accordance with variable procedural instructions.

The latter end of that definition seems to be referring to an **algorithm**, perhaps the single most important object in computer science. Let's see a definition:

A procedure or set of rules used in calculation and problem-solving; (in later use spec.) a precisely defined set of mathematical or logical operations for the performance of a particular task.

The important (and difficult!) part is that algorithms are a very precise set of instructions. Defining exactly what it means to be 'precise' or 'mathematical' is no small feat, though. Formalizing this entire setup (computer, algorithm, etc.) is actually one of the most important feats of the legendary Alan Turing.

§1.2 History of computer science

One of the earliest sets of instructions for performing a computation comes from the Babylonian Empire, circa 1600 B.C. The algorithm (in more modern language) served to calculate certain dimension of a cistern. It was really a flushed out example – rather than an abstract algorithm in the modern sense – but it's thought of as one of the earliest examples of computational thinking.

In ancient Greece (circa 300 BC), Euclid developed an algorithm to compute the greatest common divisor of two numbers. This was a fairly flushed out example, and an important point is that it didn't run in a fixed amount of steps. The number of steps required in the algorithm instead depended upon the size of the inputs fed to it. We'll touch on this idea later in the course.

In the 3rd century, Chinese mathematician Liu Hui developed what is currently known as Gaussian elimination (long before Gauss!). Furthermore, he even proved *correctness*

of the algorithm (i.e., that the algorithm's instructions conclude with the answer that you would like it to, when used on any input).

In the 9th century, Al-Khwarizmi was part of the Islamic Golden Age, which united ideas from Chinese and Indian number theory in order to develop the number system we currently use. Notably, the word algorithm comes from Al-Khwarizmi himself.

Remark 1.1. The way data is represented is *really* important when performing computation. For instance, you learned how to perform addition when you were 5 or 6 years old using the Arabic numerals, and it wasn't too hard. What if you'd had to learn addition using the Roman numeral system instead? What's MCCXXXIV + MMMCCCXXI? In Arabic numerals, that's just $1234 + 4321 = 5555$!

In the 19th century, Ada Lovelace produced perhaps the first program, for computing Bernoulli numbers. She is one of the great pioneers of computer science, and the programming language Ada bears her name.

In the 20th century, Alan Turing started contemporary computer science by formally defining computers and algorithms. He also led the team that decoded the Enigma code in order to locate Axis U-boats in the second world war. In 1946, the first programmable electronic computer was created. One last historical note: the first computer bug was a literal bug that got in the hardware of these early computers (hence the name).

What's really the point here?

- Computer science is about much more than programming electronic devices.
- It will improve your **problem-solving skills**.
 - Design, analysis, and implementation of algorithms to solve problems
- It will introduce you to **computational thinking**.
 - Decompose, generalize, abstract, organize
- It will make you a more rigorous and logical thinker.

One last example to really underscore that computers are not (just) electronic devices. One way to solve a famous problem known as the *Traveling Salesman problem* is by using slime mold! You can literally place food in a petri dish and the slime mold will connect in the shortest path possible.

§1.3 Course information

Here are some of the things you'll learn in the course.

- Problem solving by designing and analyzing algorithms
- Representing and manipulating data
- Programming an electronic computer
 - Using the Python programming language
- Operating an electronic computer
 - Using a terminal on a Linux instance in the cloud

How will you learn all of this?

- Lectures
 - Usually, no slides, live programming and explanations

- Not mandatory but highly recommended!
- Lecture notes posted (and lecture hopefully recorded)
- Free textbook: details on Canvas
- Discussions
 - ~10% of final grade, for participation (both mandatory attendance and effort)
 - Make sure you know who your discussion leader is!
 - No swapping discussion sections, sorry
- 9 homework assignments
 - ~35% of final grade
 - Released on Fridays and due the following Friday at 7pm (via Canvas)
 - No homework on midterm weeks
 - -20% for late homework up to 24 hrs past the deadline
- 2 midterms
 - ~30% of final grade, requires a computer
 - Midterm 1: March 4, Midterm 2: April 20
- 1 final project
 - ~25% of final grade; structure subject to change
 - Programming assignment with a partner, project assigned to you
 - 1-2 weeks to complete

There are about 140 students taking this course, so we need to be careful about how you should get help and interact with course staff. Please follow the protocol below.

- **Step 1:** Social office hours:
 - Thursday from 5:30 pm to 9:30 pm, CS Lab 122
 - Friday from 3:00 pm to 6:00 pm, CS Lab 122
- **Step 2:** Email **your own** discussion leader. The email may be forwarded to the head TA if they can't help you.
- **Step 3:** Ask for one on one help with your discussion leader. Again, the email may be forwarded to the head TA.
- Personal matter? Email Professor Jean-Baptiste Tristan.

One last note: if you're going to miss lecture, no need to email anyone. We encourage you to come, but we certainly understand that issues may come up – if you can't come, no need to notify anyone.

Final thoughts: **please read the syllabus**. There's lots of important information, and we were able to cover most, but not all, of it today. Also, there are no discussion sections or office hours this week. Once again, welcome to the course and we'll see you on Friday!

§2 Friday, January 21

§2.1 JupyterHub and primitive types

The very first homework assignment that you'll be completing will be hosted on Jupyter notebooks, which is a flexible platform for writing code, running code, and writing text/math. In particular, a Jupyter notebook is built of different *cells*, that can contain Python code or markdown for writing text.

Within a notebook, you can create new cells, delete existing cells, run cells filled with code and see the output, and write text between cells of code to describe your thought process.

So, for instance, you can have a cell in a notebook that looks like this:

```
2 + 3
```

This is an example of an **expression** in Python, and if you run that cell, it'll output 5. Awesome! You can also have a cell like this:

```
2 + 2 * 3
```

And this evaluates to 8, as you'd expect. But it's important to note that there was a choice made here – that expression could have instead been evaluated as $(2 + 2) \times 3 = 12$. The fact that it didn't comes down to **precedence** rules; Python has decided that multiplication should be evaluated before addition, which agrees with the way we usually evaluate expressions as humans.

An important fact to note is that every expression in Python has a **type**, which is roughly the 'species' of the expression, or the kind of thing that it is. Important types to start off with are the **primitive types**, which are some of the most basic, built-in types in Python that underlie more sophisticated ideas. For instance, `int` is the type of all the integers $\{\dots, -2, -1, 0, 1, 2, \dots\}$ and `float` is the type that (roughly speaking) contains the continuous real numbers, like 0.26, $1/3$, π , etc.¹

Another important type is `str`, which contains the *strings* in python, i.e., collections of characters like `'Hello!'` or `'This is a string :)'`. There are some nice built-in operations on strings, like addition between strings or multiplication of a string by an integer. Let's see that in action:

```
'hello' + ' bob'
```

will evaluate to the string `'hello bob'`, and

```
'hello' * 3
```

will evaluate to the string `'hellohellohello'`. There are tons of built-in operations on these primitive types, and we're simply not going to be able to cover all of them. One important skill that we want to instill in you over the course of the class is the ability

¹Strictly speaking, computers can't work with all the real numbers precisely, because computers are fundamentally discrete. So in practice it works with mere approximations of these numbers, which can sometimes produce strange behavior. The takeaway is just to be a bit careful anytime you're working with floats, keeping in mind that they're just approximations.

and eagerness to Google your programming questions. It's something that even the pros rely on, and it can be one of the fastest ways to answer your questions.

§2.2 Debugging

Let's talk a bit about **debugging**, which is one of the most important skills in programming. Let's work with an example.

```
2 +
```

If we run this in a cell, we'll get an error that reads `SyntaxError: invalid syntax`. Here's the first, golden rule of debugging: **read the error messages**. They're not *always* useful, but they'll often give you most (or all) of the information that you need to fix the problem.

In this case, we got a `SyntaxError`, which is roughly the programming analogue of making a grammatical mistake. The error will even point you to the line where the mistake was made, and we'd be able to see that we forgot to provide one of the arguments to `+`. Let's look at another example.

```
'hello' ** 5
```

In this case, we would get an error message of a `TypeError`, which tells us that one (or more) of our inputs in an expression has the wrong type. In this case, we would realize that we're not allowed to exponentiate a string and a number (what would it even mean to multiply `'hello'` by itself 5 times?). Let's keep going.

```
4 / 0
```

This gives us a `ZeroDivisionError`, which tells us exactly what we need to know: we tried to divide by 0, which isn't even legal mathematically (much less computationally).

§2.3 Variables

The **variable** is the bread and butter of programmers, and serves as shorthand for the expressions. Let's look at how you **bind** a variable, i.e., assign an expression to it.

```
x = 42
```

This is a line of code that assigns the value 42 to the variable `x`. Unlike in mathematics, it is not declaring that `x` equals 42. After all, `x` doesn't even exist before the line of code is run! But from here on out, we can write code with the variable `x` in place of `42`. To drive the point home, let's look at another (perhaps somewhat surprising) example.

```
x = x + 25
```

This code will run happily! `x` will have the value 67 after the line of code is run, and this underscores that `=` plays the role of an action in Python, not a passive test of equality.


```
b = a + 23
```

What if we run the code above? Well, we've never defined `a`, so Python will yell about a `NameError`, which lets us know that it doesn't know what `a` is. (Good thing we read the error message!)

What if we want Python to display information to us? This is achieved using `print` statements, equipped with an argument of what we want to print. For instance,

```
print(x)
```

will display the value 67 on our screen, as that's the value bound to `x`. Similarly, we can write

```
print('the value of x is:', x)
```

which displays the value of `x` is: 67. (Notice that it added a blank space between the colon and 67.) An important note here is that `print` statements can be extremely useful for debugging! Riddling your code with `print` statements lets you know exactly what all the variables are bound to when the code fails, at which step the code fails, etc.

Now here's a bit of a puzzle: what if we wanted our code to print `"hello"`, rather than just `hello`? Running `print("hello")` will achieve the latter, so it's not what we want. It turns out that this can be achieved by combining single quotes and double quotes in Python. If we run

```
print('"hello"')
```

then we'll indeed get `"hello"`, as `print` only strips the outer layer of single quotes.

That should be everything you need for the first homework assignment. In discussion section next week, your TAs will help you familiarize yourself with Jupyter notebooks and the process of transferring homework between Canvas and Jupyter Hub. Good luck on the homework!

§3 Monday, January 24

The goal for today is to learn how to use the terminal; it may not be the most exciting topic we'll cover in the course, but it's important for developing skill in using your own computer in advanced ways and for using computers remotely.

§3.1 Terminal basics

To ease the into idea of using a terminal, recall that last time we talked about using JupyterHub for the first homework. JupyterHub is actually just an interface for accessing a virtual machine in a far-off place, like a warehouse with lots of powerful computers.² Furthermore, JupyterHub has its own terminal, accessible from the home page. At a high

²Informally, a virtual machine is like a sliver of one of those powerful computers, that you share with many other users.

level, the **terminal** is just a powerful interface for interacting with a computer. The bread and butter of terminal usage lies in its basic commands, some of which we'll cover now (and which we'll expect you to know!).

```
$ echo 'hello'
```

This will have the effect of simply printing **hello** back to us. Nothing too fancy yet. How would we learn more about a terminal command (e.g., about its optional arguments)? Using **man**.

```
$ man echo
```

This will display the manual for **echo** (hence the name **man**), including lots of information about arguments for **echo**, etc. Now we have lots of junk on our screen, and we might want to clean things up using the **clear** command.

```
$ clear
```

Now our terminal is clean – nice. In order to get information about the machine that we're using, we can use the **uname** command.

```
$ uname
```

In this case, the terminal will tell us that our machine is using Linux, which can be useful to know.

Now let's talk about commands for organizing data stored in the computer. In order to know where the terminal is currently set up within the file system (it's always somewhere!), you can use the **pwd** command.

```
$ pwd
```

Short for 'print working directory', **pwd** will tell us the **directory** (or folder) where the terminal is currently working. In order to move the working directory, you can use the **cd** command, short for 'change directory.'

```
$ cd ~
```

This will take the terminal to your home directory, since you fed it the **~** argument. You could have written **cd /** to navigate to the root directory instead. (How can you learn more about **cd**? Using **man**!). In order to see the files contained in your current directory, use the **ls** command, i.e.,

```
$ ls
```

If you feed the optional argument **-l** (i.e., write **\$ ls -l**), then you'll get even more information about the contents of your current working directory (cwd). You can even

make a new directory within your cwd using the `mkdir` command and a name argument, i.e.,

```
$ mkdir my_folder
```

will have the effect of creating a new folder (or directory) in your cwd named `my_folder`. To remove that directory, you would use `rmdir`.

```
$ rmdir my_folder
```

In order to create a file that doesn't exist, say a new text file, you would use `touch`. So

```
$ touch hello.txt
```

will create the file `hello.txt` within your cwd.³ You can open a file using `open` along with the name of the file, and you can see just the first few or last few lines of the file using `head` or `tail`, respectively. Two last tips for efficiency on the terminal:

1. You can cycle through your previous commands on the terminal using the up arrow; this can save you lots of typing when used correctly!
2. The terminal will auto-complete file and directory names as much as it can when you press tab.

§3.2 Running Python from the terminal

Now let's move on to something a little bit fancier – let's say we've written a Python program in a file called `first.py`. Maybe it looks like this:

```
x = 42
x + 6
```

We can run this from the terminal using the command `$ python first.py`. But nothing happens – why? It's because Python did exactly what we asked; it completed its instructions silently! We can fix this, and ask Python to show us some output, by updating `first.py` as so:

```
x = 42
print(x + 6)
```

Now when we run `$ python first.py`, we indeed see the output of 48. That's an improvement, but it'd be nice to have something more dynamic, perhaps where we can feed the program a number of our choosing at runtime. So we'll update `first.py` again, using the `input` function to request arguments from the user.

³If `hello.txt` already exists, then it will just change the 'date last modified' of the file to the current time. That helps explain why it's called `touch` (in fact, using `touch` on a file that doesn't already exist is kind of a degenerate case, even though it may be the most common use).

```
x = input('Give me a number:')
print('Your new number is:', x + 1)
```

Now when we run this, Python actually asks us for input. We can feed it an integer (say 42 again) and look forward to seeing it be incremented by one. But this now gives us a `TypeError` message! Looking more closely, we can see that Python can't compute `x + 1` because `x` is a string.

When Python reads user input via `input()`, it automatically casts it as a `str` type; as humans, we know that we're going to feed the program in integer, but the program itself doesn't know that. So we need to turn `x` into an integer before incrementing it. This leaves us with

```
x = input('Give me a number:')
x = int(x)
print('Your new number is:', x + 1)
```

which will indeed work!

§4 Wednesday, January 26

§4.1 Functions

The goal today is to learn about functions in Python and about approaching algorithmic problems more generally. We'll be running with an example today and for the next couple lectures, concerning solutions of quadratic equations. In particular, recall that a **quadratic equation** is an equation of the form

$$ax^2 + bx + c = 0,$$

where a, b, c are some fixed numbers (with $a \neq 0$) and x is an unknown variable. So a particular quadratic equation might look something like $3x^2 + 2x + 1 = 0$. The name of the game is to find the value(s) of x that make this equation hold true, known as **roots**.

From a mathematical perspective, this problem has been resolved using the quadratic formula, which we'll discuss in more detail shortly. From a computer science perspective, however, there's a bit more going on. The precise problem setup is that there are 3 inputs – the numbers a, b, c (with $a \neq 0$) – and the desired output is a pair of numbers x_1, x_2 such that

$$\begin{aligned} ax_1^2 + bx_1 + c &= 0, \\ ax_2^2 + bx_2 + c &= 0. \end{aligned}$$

Now the goal is to find a generic procedure that works to send *any* valid input values a, b, c to (correct) output values x_1, x_2 . As we've discussed previously, this kind of generic procedure for computing output from input is known as an **algorithm**.

Now back to the quadratic formula; here's the precise mathematical statement.

Lemma 4.1 (Quadratic formula)

Let $f(x) = ax^2 + bx + c$ be a quadratic equation (i.e., $a \neq 0$). Then the (complex) roots of f are exactly

$$x_1 = \frac{-b + \sqrt{b^2 - 4ac}}{2a}, \quad x_2 = \frac{-b - \sqrt{b^2 - 4ac}}{2a}.$$

A crucial skill for any computer scientist, which is a bit hard to teach, is how to turn a mathematical result (like the quadratic formula) into computer code. So let's try to start by at least computing the roots of a particular quadratic equation, say $x^2 + 4x + 2$. We might start writing code as follows.

```
a = 1
b = 4
c = 2
```

We have an idea of how to perform the addition, multiplication, and division necessary to compute the result of the quadratic formula, but how are we going to calculate the square root? After all, there's no built-in square root character in Python like `+` for addition or `*` for multiplication.

This is where we'll turn to Python's **libraries**, which are collections of functions that other people have written for us. In this case, we'll use the `math` library, which has the function `math.sqrt()` that we're looking for.⁴ Now we can write our code as follows.

```
x1 = (-b + math.sqrt(b**2 - 4 * a * c)) / (2 * a)
x2 = (-b - math.sqrt(b**2 - 4 * a * c)) / (2 * a)
```

Awesome! Now what if we want the roots of another quadratic equation? We'll need to update the values of `a`, `b`, and `c` and run all these lines of code again. That's not terribly inconvenient in this case, but it would be really inconvenient for larger suites of code, and we can do better. The technique for packaging many lines of code into a single name in Python is the **function**. Before trying to write a function that solves quadratic equations, let's get our feet wet with simple functions.

```
1 def my_func(x):
2     x = x + 1
3     print('Value of x:', x)
4
5 my_func(5)
```

In the first three lines, we're defining our own function `my_func` with the single **parameter** `x` that increments `x` by 1 and prints it. In the fifth line, we're actually using `my_func` with the **argument** 5, which will result in Python printing `Value of x: 6`. Simple enough. Now here's a bit of a puzzle – what if we ran the following code?

⁴If you were to forget the name of a library or a function within a library (and everybody does), remember to use Google! Even the pros do it.

```

1 x = 42
2 def my_func(x):
3     x = x + 1
4     print('Value of x:', x)
5
6 my_func(5)

```

We'll actually still get Python printing Value of x: 6. So the first line, in which we wrote `x = 42`, didn't affect the computation of `my_func(5)` at all! The fact that `my_func` doesn't care about the value of `x` outside of its own argument/definition has to do with the idea of **scope**. In particular, the value of `x` on line 3 only comes from the argument to `my_func`, not from anywhere else.

Now what if we were to run `my_func(4+5)`? This would be evaluate as `my_func(9)`, due to the **call by value** nature of Python. In particular, Python first evaluates `4+5` and then sends the result to `my_func`. That's how most programming languages do things, but not all of them!⁵

Okay, back to the quadratic equation. Let's try to write a solver for it now that we're warmed up.

```

1 def solver(a, b, c):
2     x1 = (-b + math.sqrt(b**2 - 4 * a * c)) / (2 * a)
3     x2 = (-b - math.sqrt(b**2 - 4 * a * c)) / (2 * a)
4     print('First solution:', x1)
5     print('Second solution:', x2)

```

That works! If we run

```
solver(1, 4, 2)
```

then we'll get the roots of $x^2 + 4x + 2$ that we saw earlier. If we want the roots of $x^2 + 5x + 2$, then we only need to change a single character.

```
solver(1, 5, 2)
```

We've made a great leap forward from just writing code in cells (i.e., without functions), but there are still improvements to be made. The code is currently a little bit hard to read and has redundant computation (e.g., we're computing the square root of `b**2 - 4*a*c` two times). Let's try to clean this up a bit, with the goal of making it faster, easier to read, and less prone to errors.

```

1 def solver(a, b, c):
2     sr = math.sqrt(b**2 - 4 * a * c)
3     x1 = (-b + sr) / (2 * a)
4     x2 = (-b - sr) / (2 * a)

```

⁵If this is confusing, don't worry. The idea is really just that Python does what you'd expect it to do in this situation, and some other languages do stranger, fancier things.

```
5 print('First solution:', x1)
6 print('Second solution:', x2)
```

This code still isn't perfect (we'll see why over the next several lectures), but it's faster and cleaner than the previous version of `solver()`. Now let's conclude with a bit of debugging. What if we were to run:

```
solver(1, 2)
```

Then Python will give us an error message:

```
TypeError: solver() missing 1 required position argument: 'c'.
```

That's pretty descriptive; we now know that we need to feed `solver` its third argument. What if we were instead to go overboard with arguments?

```
solver(1, 2, 3, 4)
```

We get another error message:

```
TypeError: solver() takes 3 positional arguments but 4 were given.
```

Again, that's pretty informative and helps us figure out what went wrong. Now let's stop messing around and really use `solver()` as intended.

```
solver(1, 4, 2)
```

This time we've made sure to give `solver()` the correct number of arguments of the correct type. But we still get an error! Python will complain of:

```
ValueError: math domain error.
```

In this case, what's happened is that `math.sqrt()` is trying to take the square root of a negative number! We should have been more clever in writing `solver()` and made sure that it didn't accept arguments causing this kind of problem. We'll see how to approach these kinds of issues in the coming lectures.

§5 Friday, January 28

§5.1 Announcements

We'll keep talking about functions today, and you should be ready to start tackling Homework 2 by the end of the lecture. Speaking of the homework, we gave a quick look at some of the Homework 1 submissions yesterday, and it looks like the following thing is happening: many of you have already done some programming before, yet you answer a homework problem incorrectly and (in addition) use unnecessarily advanced techniques.

So, please, read the questions carefully before writing your solutions and be cautious about using sophisticated techniques when you really don't need to.

One more thing to mention: there's a collegiate programming contest called the ICPC, which BC used to perform very well in but which we don't seem to participate in anymore.

Professor Tristan is going to try to restart BC's participation in this competition, so please let him know if you're interested. To sweeten the deal, keep in mind that the kind of algorithmic thinking needed for these competitions is great preparation for interviews at places like Google, quantitative finance companies, etc. (As we mentioned earlier, algorithmic thinking is a very difficult and valuable skill to develop!)

§5.2 Problem: sum of roots

Last time we wrote functions for finding roots of quadratic equations. Today, we'll change things up a bit and think about writing a function that returns the sum of a quadratic equation's roots. That is,

$$a, b, c \mapsto x \text{ such that } \begin{cases} x = x_1 + x_2; \\ ax_1^2 + bx_1 + c = 0; \\ ax_2^2 + bx_2 + c = 0. \end{cases}$$

Now, there are a couple of ways to approach this problem. Perhaps the most obvious is to use our function `solver()` from last time in order to compute x_1 and x_2 , and then return their sum. Breaking this down further, there are two ways to implement this idea:

1. Copy and paste the body of our `solver()` function into a new function that prints $x_1 + x_2$ instead of x_1 and x_2 separately.
2. Write a function that uses the output of `solver()` in order to compute $x = x_1 + x_2$.

Path (2) is far, far better than path (1). **If there is a golden rule of programming, it is to not copy and paste code.** When you copy and paste code 5 times, then any bug you find needs to be fixed 5 times, any style change/clean-up you make needs to be implemented 5 times, any efficiency speed-up needs to be implemented 5 times, etc. Also, copy-pasted code is much harder to read than modular code.

Now, in order to implement idea (2), we need to change `solver()` so that it actually returns its output, rather than just printing it. This is achieved using the `return` keyword. Our new `solver()` will be as follows.

```

1 def solver(a, b, c):
2     sr = math.sqrt(b**2 - 4 * a * c)
3     x1 = (-b + sr) / (2 * a)
4     x2 = (-b - sr) / (2 * a)
5     return x1, x2

```

§5.2.1 Tuples

Something a bit subtle is happening in line 5; `solver()` is returning two values at once by using the `tuple` type. In contrast to the **primitive types** that we have seen previously, the `tuple` is a **composite type**, meaning it's a bit more complicated and built from the simpler primitive types. At a high level, the `tuple` is simply a type for placing several items *in order*. For instance,

```

1 x = (2, 3)

```


binds `x` to the tuple with the `int` 2 in the first position and 3 in the second position. If you've seen vectors before, you can think of tuples like that.

In fact, tuples are one kind of a **data structure**, which is a format for storing and manipulating data. If this is a bit confusing, don't worry – we'll encounter several more data structures over the course of the class. In fact, data structures are probably the second most important idea in computer science, after algorithms!

Now we need to familiarize ourselves with tuples a bit. Let's say we have `x = (2, 3)` as before – how can we access the entries 2 and 3 from the variable `x`? This is achieved by **indexing** into `x`, via the following syntax.

```
two = x[0]
three = x[1]
```

By running this code, the variable `two` will indeed take the value 2 (corresponding the leftmost entry of `x`), while `three` will take the value 3 (corresponding to the rightmost entry of `x`). An important observation here is that **0-indexing** is used when accessing the entries of `x`. That is, the leftmost entry of `x` has the index 0, while the next one has the index 1, and so on. Simply put, we start off counting from 0 rather than 1.

This is just a convention in computer science. Python could have chosen to start indexing tuples by starting with the number 43, and that would be perfectly legal (though very confusing for humans). For whatever reason, computer scientists often like to start counting at 0. (Kind of like how in Europe, the ground floor of a building is the 0th floor, rather than the 1st.)

Another way to grab the entries of a tuple is via **pattern matching**, as follows.

```
two, three = x
```

This will again have the effect of binding `two` to 2 and `three` to 3. So now that we've learned about tuples, we can write the outer function that uses `solver()` and adds its output (in order to solve our original problem about sums of roots). We can write:

```
1 def solver_sum(a, b, c):
2     x1, x2 = solver(a, b, c)
3     print(x1 + x2)
```

Isn't that nice? We solved our new problem with two lines of code! This is just an example of the power of writing modular code, i.e., code that is split up into several functions that can be reused, rather than huge blocks of copy-pasted code.

§5.3 More operations

Now we're going to learn about more of Python's powers. We just learned about indexing into tuples, which are entries of data in order. That doesn't sound so different from strings (which are just characters in order), so maybe we can index into them as well. Let's try.

```
s = 'Hello, I am Sam!'
s[4]
```

This indeed returns `'o'` – nice! What if we want all the values between two indices of the string? We can do so via [slicing](#), i.e.,

```
s[3:12]
```

This returns `'o, I am '`, the values between the 3rd and 12th indices. To get the string's entries from the 3rd index onward, you can write `s[3:]`, and to get the string's entries up until the 7th entry, you can write `s[:7]`.

Now let's move from strings to floats; what if we want to round a `float` to an `int`? We can use the built-in `round()` function, that rounds a `float` to the *nearest* `int`. For instance,

```
round(4.8)
```

returns 5, while `round(4.2)` returns 4. What if we want to round to the next-lowest integer or next-highest integer, rather than the closest? Then we'll need to use the `math` package, with the functions `math.floor()` or `math.ceil()` respectively. For instance,

```
import math
math.ceil(4.1)
```

comes out to 5.

§6 Monday, January 31

§6.1 HW1 postmortem

Quick comment on the homework due last Friday: the goal was to compute the following value, as a function of T and v :

$$T_{wc} = 35.74 + 0.625 \cdot T - 35.75 \cdot v^{0.16} + 0.4275 \cdot T \cdot v^{0.16}.$$

Most of you wrote something like this:

```
1 T = 20
2 v = 15
3 35.74 + 0.625 * T - 35.75 * v ** 0.16 + 0.4275 * T * v ** 0.16
```

That will produce the correct value, but it actually has an imperfection. Namely, the value `v**0.16` will be computed *twice* by Python in the third line. Rather than having Python repeat identical computation, and waste time & energy, we can do better by introducing a variable that stores the value of `v**0.16`.

The intended solution was as follows.

```
1 T = 20
2 v = 15
3 tmp = v**0.16
4 35.74 + 0.625 * T - 35.75 * tmp + 0.4275 * T * tmp
```

In particular, the variable `tmp` (for temporary) introduced in the third line saves Python from repeating computation in line 4.

One more note: Python evaluates code in a line-by-line manner, and it doesn't evaluate the body of a function until the function is actually called with arguments. For instance, consider the following code.

```
1 x = 10
2 y = x + 42
3
4 def test(x):
5     print('hello')
6     return x + 1
7
8 test(y)
```

What will this output? Well, lines 1 and 2 are run in that order, so `x = 10` and `y = 52`. Then `test(x)` is defined but its body is not run (since we haven't called it with any input yet!). In line 8, finally, we call `test(y)`. Since `y` is bound to 52, that comes out to `test(52)`.

So this code will print `'hello'` and line 8 will return the value 53. In particular, that's exactly the same as if we had replaced lines 1 and 2 with the single line `y = 52`. The body of `test()` only cares about the `x` that it is given as an argument.

§6.2 Booleans

The primary goal for today is to introduce a new type along with its primary functionalities. In particular, we will introduce the type `bool` of Boolean values (named after mathematician George Boole). The type `bool` has only 2 values: `True` and `False`. They're really meant to express the usual notions of truthhood and falsehood within Python, and to allow us to branch our computation based on whether something is true (i.e., compute `f(x)` if `P(x)` is true and `g(x)` otherwise).

Let's jump into some examples, demonstrating how we can get `bool`s from types we already know. For instance,

```
2 < 3
```

will evaluate to `True`, just as

```
3 <= 3
```

will evaluate to `True`. On the other hand,

```
2 > 3
```

and

```
3 <= 4
```

will both evaluate to `False`. So `<` and `<=` correspond to testing strict and weak inequalities of numbers.

To test for equality, among numbers and various other types, you can use `==`. (Recall that `=` is already taken for variable assignment, rather than testing equality!). So,

```
3 == 4
```

and

```
'hello' == 'goodbye'
```

will evaluate to `False`, whereas

```
5 == 5
```

evaluates to `True`.

So that's how we can get `bool`s from familiar types. But we can also manipulate `bool`s themselves to get other `bool`s. For instance, `not` applied to a single Boolean `x` will evaluate to `False` if `x` is `True` and to `True` if `x` is `False`.⁶

So, combining what we've learned,

```
not True == False
```

will itself evaluate to `True`! Two keywords for combining two Boolean expressions (rather than a single one) are `and` and `or`. Once again, they're built so as to agree with their plain English names. So,

```
True and True
```

comes out to `True`, while

```
True and False
```

comes out to `False`. On the other hand, `or` of several expressions will evaluate to `True` as long as even a single one of the argument expressions is `True`. For instance,

```
True or False
```

is `True`, and

```
True or True
```

is `True` as well.

⁶Nothing too fancy here; these Python keywords are designed so as to agree with plain English.

§6.3 Conditional statements

Now let's get to the most important use of `bool`s: **branching** computation. In particular, you often want your program to compute `A(x)` if `P(x)` is true and `B(x)` if `P(x)` is false.

The syntax for this lies in the keywords `if` and `else`. Let's learn through example.

```
1 x = 3
2
3 if x == 3:
4     print('x is 3 and I executed the top branch!')
5 else:
6     print('x is not 3 and I executed the bottom branch!')
```

The rule here is the following: if `x == 3` evaluates to `True`, then running this code will run the indented code immediately after `if` and skip the code after `else`. If `x == 3` evaluates to `False`, then running the code will skip the indented code after `if` and run the code after `else`. So, in this case, we'll see this message printed: `'x is 3 and I executed the top branch!'`. If we had set `x = 4` on line 1, then running this code would result in (only) the other message being printed.

You can also branch on more than one condition by using the `elif` keyword, which is short for `else if`. So,

```
1 if x > 1:
2     print('Took first branch')
3 elif x > 2:
4     print('Took second branch')
5 else:
6     print('Took third branch')
```

will send 1.5 to the first branch (and nowhere else), 2.5 also to the first branch (and nowhere else), and 0.5 to the third branch (and nowhere else). In fact, no numerical value of `x` will reach the second branch – can you see why?

Now let's rewind to when we were writing our `solver()` function for finding quadratic roots. Recall that it looked like this.

```
1 def solver(a, b, c):
2     sr = math.sqrt(b**2 - 4 * a * c)
3     x1 = (-b + sr) / (2 * a)
4     x2 = (-b - sr) / (2 * a)
5     print('First solution:', x1)
6     print('Second solution:', x2)
```

One problem we ran into earlier is that `math.sqrt()` doesn't accept negative input, which can sometimes happen in line 2 if we allow for any inputs `a`, `b`, `c`. We also want to make sure, as a basic first step, that `a ≠ 0` (otherwise, lines 3 and 4 really don't make sense ...). We can improve this function a bit using our new knowledge of conditional statements.

```

1 def solver(a, b, c):
2     if a == 0:
3         print('a should be 0!')
4         return
5     tmp = b**2 - 4 * a * c
6     if tmp < 0:
7         print('No real solutions')
8         return
9     sr = math.sqrt(b**2 - 4 * a * c)
10    x1 = (-b + sr) / (2 * a)
11    x2 = (-b - sr) / (2 * a)
12    print('First solution:', x1)
13    print('Second solution:', x2)

```

Now `solver` will return nothing and print a disclaimer when it gets problematic input. Nice. Another problem we had was that `solver()` accepts input from types other than `float` and `int`.

We can get the type an expression using `type()` and test that it equals `int` or `float` using `==`. For instance,

```
type(3) == int
```

comes out to `True`, while

```
type('hello') == float
```

comes out to `False`. Next time, we'll see how to use this kind of technique to improve `solver()` even further by having it only accept numbers.

§7 Wednesday, February 2

A quick errata from last time: we were talking about the implementation of ordering on strings, e.g., how

```
'be' < 'be curious'
```

evaluates to `True`. We thought that this tested whether the left hand side is a substring of the right hand side, but that's actually not true. `<` instead compares strings under the dictionary ordering (i.e., the ordering used to list words in the dictionary, or to list your last names on Canvas).

§7.1 Type checking

Last time we started talking about the need to check the types of arguments given to the functions that we write. For instance, to make sure that `solver()` only takes `int`s and `float`s as input, we can write a helper function `ct()` (for check type).

```
def ct(x):
    return type(x) == int or type(x) == float
```

So `ct()` returns `True` if `x` has the right type for `solver()` and `False` otherwise. Let's remind ourselves of the version of `solver()` that we were looking at last time, and think about how to use our new helper function `ct()`.

```
1 def solver(a, b, c):
2     if a == 0:
3         print('a should be 0!')
4         return
5     tmp = b**2 - 4 * a * c
6     if tmp < 0:
7         print('No real solutions')
8         return
9     sr = math.sqrt(b**2 - 4 * a * c)
10    x1 = (-b + sr) / (2 * a)
11    x2 = (-b - sr) / (2 * a)
12    print('First solution:', x1)
13    print('Second solution:', x2)
```

We're currently checking to make sure `a` does not equal zero, and that the polynomial indeed has real roots (rather than complex roots), which is great. It would be even better to kick things off by checking the types of `a`, `b`, and `c`. Making use of `ct()`, our new `solver()` will look as so:

```
1 def solver(a, b, c):
2     if not (ct(a) and ct(b) and ct(c)):
3         print('One argument has the wrong type!')
4         return
5     if a == 0:
6         print('a should be 0!')
7         return
8     tmp = b**2 - 4 * a * c
9     if tmp < 0:
10        print('No real solutions')
11        return
12    sr = math.sqrt(b**2 - 4 * a * c)
13    x1 = (-b + sr) / (2 * a)
14    x2 = (-b - sr) / (2 * a)
15    print('First solution:', x1)
16    print('Second solution:', x2)
```

And indeed we can check that `solver(1, 2, 'hello')` returns nothing and prints our new error message.

Remark 7.1. We're not doing anything terribly fancy, but note that this version of `solver()` uses all the techniques we've learned about: functions, conditional statements, and type checking!

Now we've made sure that `solver()` doesn't return anything for illegal inputs, but it still runs perfectly well, which isn't ideal. If we were in a larger project with thousands of lines of code, and `solver()` were being used somewhere deep in a complicated process, then our current setup would have some serious drawbacks:

- `solver()` can feed incorrect output (i.e., nothing) to later functions that happily make use of it.
- We won't know the line number or even the function where we used arguments of the wrong type.
- Our entire program (in which `solver()` is just one tiny piece) will still run, even when *we know* there's a mistake.

The way we can fix all these issues is by **raising an error**, which is Python's way of halting a program, spitting out an error message, and pointing the user to the function and line number where the error occurred. (All of these functionalities are extremely useful!). We can rewrite `solver()` to raise errors like so.

```
1 def solver(a, b, c):
2     if not (ct(a) and ct(b) and ct(c)):
3         raise ValueError('One argument has the wrong type!')
4     if a == 0:
5         raise ValueError('a should be 0!')
6     tmp = b**2 - 4 * a * c
7     if tmp < 0:
8         raise ValueError('No real solutions')
9     sr = math.sqrt(b**2 - 4 * a * c)
10    x1 = (-b + sr) / (2 * a)
11    x2 = (-b - sr) / (2 * a)
12    print('First solution:', x1)
13    print('Second solution:', x2)
```

§7.2 JupyterHub

This Friday (next class!) we'll have a brief programming quiz to help prepare you for the structure of the midterm. The quiz this Friday won't be graded, but it's still a good exercise to make sure you're on track, and you should make sure that you're comfortable working with JupyterHub beforehand (e.g., creating Python files, writing basic Python files, running Python files from the terminal).

So, let's say we're at your terminal in JupyterHub. If our goal is to run a script that prints `'Hello'`, then we would proceed as so.

```
$ touch hello.py
```


Now we've created the new Python file `hello.py` (note that its name needed to end in `.py`!). Then, in `hello.py`, we can write:

```
print('Hello')
print('Goodbye')
```

Now, *after saving the code we wrote in `hello.py`*, we can run the program in the terminal.

```
$ python hello.py
```

And this will indeed print `'Hello'` and `'Goodbye'`. Let's update our code to take in the user's name.

```
print('Hello, my name is HAL')
s = input("What's your name?")
print('Nice to meet you', s)
```

Upon saving `hello.py` and running it from the terminal, we get the desired behavior.

```
$ python hello.py
Hello, my name is HAL
What's your name? John
Nice to meet you, John
```

Cool. It's a little bit annoying to have Python ask the user for values one-by-one, so let's see how can do things a bit more efficiently.

Let's first make a new file.

```
$ touch test.txt
```

And fill it like so.

```
John
```

Now we can use the lines of `test.txt` as input to `hello.py`! The syntax is as so:

```
$ python hello.py < test.txt
Hello, my name is HAL
What's your name?
Nice to meet you, John
```

With this terminal command, `hello.py` will be run, and it will take successive lines of `test.txt` as input any time it requests input. So the second line of `hello.py`, that requests the user's name, went ahead and grabbed the first line from `test.txt`. Make sure you understand this example, because something similar will show up on Friday's quiz!

§7.3 Problem: maximum of 3 integers

Now we're going to discuss a new problem: given three integers a , b , and c (appearing on their own lines in a .txt file), write a program that prints the largest of the 3 integers. Here's a high-level tip on how to approach these kinds of problems: **Start by thinking about the problem with pen and paper, and try to sketch a solution. Then try to code up the idea you developed on paper.**

So let's start by thinking about our problem in English (and *not* jump into writing code!). So, one way to cast this problem mathematically looks like this:

$$(a, b, c) \mapsto \begin{cases} a & \text{if } a > b \text{ and } a > c, \\ b & \text{if } b > a \text{ and } b > c, \\ c & \text{if } c > a \text{ and } c > b. \end{cases}$$

We can code that up as follows, in `solution.py`.

```
1 a = input()
2 b = input()
3 c = input()
4
5 if a > b and a > c:
6     print(a)
7 elif b > a and b > c:
8     print(a)
9 elif c > a and c > b:
10    print(c)
```

Now if we run this on inputs `23, 265, 45`, we get the output `45`. What? What went wrong here? In lines 1, 2, and 3, Python takes in its input as `str` types, not `int`s. So it is comparing `a`, `b`, and `c` as strings under the dictionary ordering we mentioned earlier! Let's modify `solution.py` and fix this.

```
1 a = int(input())
2 b = int(input())
3 c = int(input())
4
5 if a > b and a > c:
6     print(a)
7 elif b > a and b > c:
8     print(a)
9 elif c > a and c > b:
10    print(c)
```

One important skill for you to learn is to be adversarial when thinking about the code you write. Imagine that someone were to pay you 100\$ if you could break your code – what would you do? Always try to think about edge cases that can break your code, for instance. In this case, what if we were to run `solution.py` with inputs `100, 100, and 100`?

Uh oh, running this script with `100`, `100`, `100` gives us no output at all. What went wrong? In this case, it turns out our math was wrong! The work we did with ‘pen and paper’ (or on the blackboard, in this case) was totally bogus. The mathematical formulation we wrote above is incorrect, and fails when there is a tie for the largest number. So the code we wrote based on our math was busted as well.

We can fix `solution.py` by using weak inequalities, rather than strict ones.

```
1 a = int(input())
2 b = int(input())
3 c = int(input())
4
5 if a >= b and a >= c:
6     print(a)
7 elif b >= a and b >= c:
8     print(b)
9 elif c >= a and c >= b:
10    print(c)
```

This code is now correct, but it’s also fairly inefficient. It makes 6 comparisons in order to find the maximum of 3 numbers, which is quite high. (Finding such a maximum can be done with only 2 comparisons!). Next time we’ll see how to improve upon this, and we’ll be talking much more about the efficiency of our programs later on in the course.

§8 Friday, February 4

We started things off with a brief (ungraded) coding assessment, as we mentioned last time. It’s an important exercise in writing a program in Jupyter, downloading it to your computer, and uploading it to Canvas. We’ll do something similar next week, and it’s really important that you get familiar with this process before the midterm comes around!

§8.1 Problem: maximum of 3 integers (continued)

So, last time we were talking about the problem of finding the maximum of 3 integers. Remember what the process of solving this problem should look like:

1. Read the problem carefully and understand it.
2. Think of an algorithm for solving it, via pen and paper.
3. Code up your algorithm.

Last time, we developed the above program for solving this problem. We can break it down into a more modular and readable form as follows:

```
1 def get_input():
2     a = int(input())
3     b = int(input())
4     c = int(input())
5     return (a, b, c)
6
```

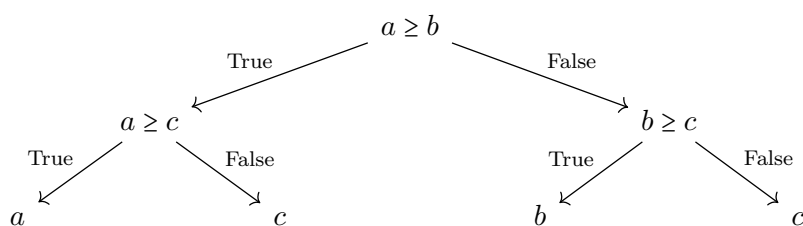
```

7
8 def solution(a, b, c):
9     if a >= b and a >= c:
10        print(a)
11    elif b >= a and b >= c:
12        print(b)
13    elif c >= a and c >= b:
14        print(c)
15
16 (a, b, c) = get_input()
17 solution(a, b, c)

```

This solution is correct, which is great, but there's still something pretty unpleasant about it – it can take up to 6 comparisons to find the maximum of 3 numbers. That's a lot. Thinking about it informally, it seems like we should be able to get away with far fewer.

In particular, think about the following graph for comparing pairs in a, b, c and making deductions.



We've reformulated the mathematical thinking underlying a potential solution, but what's really the point? The key idea is that this new framing requires only two comparisons, regardless of the values of a, b or c ! That's a considerable improvement over the 6 comparisons in our old algorithm, and it's the start of our thinking on the *efficiency* of algorithms.

At a high level, any given problem will have many potential solutions (i.e., programs that always output the right answer). But some are far better than others, as measured by how fast they run (i.e., the number of operations they use). This field – of measuring how efficient algorithms are, and how many steps they take – is known as **analysis of algorithms**. All analysis of algorithms is really just a counting game, i.e., counting how many times an algorithm needs to perform a basic operation.

We'll discuss this in more depth later on in the course, but for now the key idea is that we've found another solution to our problem that's equally correct (i.e., produces the right output) but superior in efficiency. Now let's code up the diagram we drew earlier.

```

1 def get_input():
2     a = int(input())
3     b = int(input())
4     c = int(input())
5     return (a, b, c)
6
7
8 def solution(a, b, c):

```

```
9     if a >= b:
10         if a >= c:
11             print(a)
12         else:
13             print(c)
14     else:
15         if b >= c:
16             print(b)
17         else:
18             print(c)
19
20 (a, b, c) = get_input()
21 solution(a, b, c)
```

Awesome. We can save this in the file `faster.py` and write three input lines in a file `test.txt` to test it out. Say `test.txt` has the lines:

```
1 23
2 45
3 1234
```

We run `faster.py` with the arguments in `test.txt` the same way we did last time, in the terminal like so.

```
$ python faster.py < test.txt
1234
```

And this indeed gives us the output of 1234, as we hoped it would.

Remark 8.1. Warning: on a midterm or quiz, **do not** add any strings to `input()` to politely request the input, unless we ask you to! For instance, if you instead write `input('Please give me a number:')`, then your program will be printing that message when it gets run, when it should only be printing the answer to the problem.

§9 Monday, February 7

Two things to mention:

1. It looks like many of you started last week's homework quite late, maybe a couple of hours before the due date. This might work for the first or second homework, but it's definitely not going to work for homeworks later in the course. Please keep in mind that things are going to ramp up.
2. Few of you succeeded in the ungraded quiz last Friday. For this reason, we're going to do it again this Friday. As we've already mentioned, it's really important to have this process down before the midterm.

§9.1 Iteration

Today we'll be talking about iteration, which is actually one of the last programming techniques we'll be talking about in the course. Once you add this to your toolkit, you'll be able to convert almost any algorithm from English to Python.

Let's start things off with an example. The problem is as follows: given n , compute the number of integers in $\{1, 2, \dots, n-1, n\}$ that have 3 as a factor but do not have 11 as a factor. Perhaps the most obvious solution is to simply check each number less than or equal to n and see if it is divisible by 3 but not 11.

It would be pretty hard to code this up (for arbitrary n !) using only the techniques we already know. Fortunately, a new technique known as the **while loop** allows us to implement this idea fairly easily. At a high level, a **while** loop will:

1. Check whether a condition is true.
 - a. If true, run the body of the **while** loop (i.e., the indented code immediately after the **while**) and return to step 1.
 - b. If false, escape the **while** loop and move on.

Here's an example.

```
1 while 3 <= 5:  
2     print('Hello')
```

This program will just print **'Hello'** forever! Python first checks whether **3 <= 5**. That's true, so it executes the second line (prints the message) and returns to line 1. Line 1 evaluates to **True** again, and the cycle continues.

This isn't too useful an application of **while**; we're better off making sure that it checks a condition that eventually evaluates to false. Let's try to make that happen.

```
1 i = 0  
2 while i <= 12:  
3     print('Hello')
```

Hm, this still goes on forever. The reason why is that **i** never changes from 0, so line 2 will always evaluate to **True**! Let's try this again, making sure that **i** gets bigger as we go.

```
1 i = 0  
2 while i <= 12:  
3     print('Hello')  
4     i = i + 1
```

This time we only get **'Hello'** 13 times - nice! Notice that the body of the **while** loop (i.e., the indented code following the loop) indeed should get called 13 times: once for $i = 0, 1, \dots, 12$ (since **12 <= 12** is **True**).

Let's get even more information:

```
1 i = 0
2 while i <= 12:
3     print('Hello', i)
4     i = i + 1
```

```
Hello 0
Hello 1
Hello 2
Hello 3
Hello 4
Hello 5
Hello 6
Hello 7
Hello 8
Hello 9
Hello 10
Hello 11
Hello 12
```

So the loop is indeed doing what we think it is: checking the condition in line 2, running lines 3 and 4 if true, checking the condition in line 2 again, running lines 3 and 4 if true, etc. Let's look at an edge case now.

```
1 i = 0
2 while i <= -1:
3     print('Hello', i)
4     i = i + 1
```

What do you think this will print? Well, line 2 checks whether `i <= -1`, i.e., whether `0 <= -1`. That evaluates to `False`, so the program skips all the indented code immediately after `while`, which is the rest of the program. So this program prints nothing!

§9.2 Problem: multiple of 3 but not 11

Now let's play around in JupyterHub, and start off by making a small workspace for ourselves.

```
$ mkdir LectureExamples
$ cd LectureExamples
$ mkdir Morning
$ mkdir Afternoon
$ cd Afternoon
$ touch problem1.py
$ touch test.txt
```

Now we have some nice folders and a Python file in which to write our solution to the 'multiple of 3 but not 11' problem. Let's now write our solution in the form of a function, which is generally good practice. In `problem1.py`, we'll write the following.

```
1 def get_input():
2     n = input()
3     m = int(n)
4     return m
5
6 def solution(n):
7     if n < 0:
8         raise ValueError('Your value is too small.')
9
10    i = 0
11    count = 0
12
13    while i <= n:
14        if i % 3 == 0 and not i % 11 == 0:
15            count += 1
16        i += 1
17    return count
18
19 a = get_input()
20 answer = solution(a)
21 print(answer)
```

Think carefully about what we've written in this solution, and make sure you understand it. A very important note: **indentation is extremely important in Python**. If we were to indent line 16, for instance, we would have a completely different program!

§10 Wednesday, February 9

§10.1 HW2 postmortem

Before we keep talking about loops, let's say a few things about the homework: we know some of you were surprised about your score on the previous homework, so it's worth having a brief discussion about it.

First things first: we're going to be changing the homework a bit moving forward, so as to have leaner programming questions without much dialogue/story surrounding them.

Now here's a bit of a rhetorical point: imagine you want to build a house from scratch, and the house will have three floors. You hire an architect and an engineer, and together they build the house. Then the house actually collapses before it can be delivered to you. They tell you that the second and third floors were built perfectly, but the first floor was built imperfectly and thus the house collapsed. Did they do a good job? How would you measure their performance?

A similar phenomenon happened on the homework for some of you – we know that's frustrating, and this kind of dependence won't happen much on future homework, but maybe this example helps you understand how we graded the homework.

One more thing to talk about: some of you used super-powered techniques to solve the homework problems, like dictionaries, splitting a string with a separator, etc. You'll get full points on that for now, because we're using an automated grader, but this is really hindering you from learning how to be creative and resourceful with Python.

Every homework can (and should!) be solved using only the techniques we learned *before* it was assigned. Coding under this kind of restriction will make you a more clever programmer, and we'll get to many of the fancier data structures later in the course anyway (at which point you'll really be forced to be clever in the homework!).

§10.2 Arrays

So, we've learned about a handful of primitive types: `int`, `float`, `bool`, and `str`. We've also learned about the data structure `tuple`, which stores several values in order. For instance, `x = (2, 3)` will set `x` to have the type `tuple`. Now what if we change our mind about the values in `x` and want it store 4 in the second entry, for instance? Easy peasy, we just use the syntax we already know for [indexing](#) into a tuple (i.e., accessing its elements via their positions).

```
x[0] = 4
```

Uh oh, this gives us a `TypeError`: `'tuple' object does not support item assignment`. Simply put, once you create a tuple, its values are set in stone forever – you're not allowed to change them. The word for this kind of property, where you can't change a data structure's content, is [immutable](#).

In order to overcome this limitation (certainly *some* of the time we'll want to have a data structure whose entries we can change), we'll need to learn about a new data structure. Namely, the `array` type comes to the rescue. It is a data structure for holding entries of data in order and which is [mutable](#), i.e., whose contents can be changed after they are created.

Let's dive into things: how do we actually create an array? We can create an array of size 10 with a 0 in each entry like so.

```
arr = [0] * 10
print(arr)
```

```
[0, 0, 0, 0, 0, 0, 0, 0, 0, 0]
```

So the variable `arr` is now bound to our array. How can we think of this array? Roughly speaking, you can think of it as a chunk of 10 contiguous blocks of memory in your computer. Currently, each block of memory has the value 0, but we can change the value at the 5th index like so.

```
arr[5] = 12
print(arr)
```

```
[0, 0, 0, 0, 0, 12, 0, 0, 0, 0]
```

We can retrieve the values in our array (or *index* into our array) via similar syntax.

```
arr[4]
```

0

Now let's look at the type of this thing.

```
type(arr)
```

list

There's a bit of a notational inconvenience here: strictly speaking, Python only supports lists, which are slightly different than arrays, but we'll be thinking of them as arrays in this course (and using lists only as arrays will make your code run fast!). Another important operation to know is that you can retrieve the length of your list via `len(arr)`, which comes out to 10 in this case.

There's only one more thing we want you to know about for arrays, which is how to `sort` them. Simply put, sorting an array just means shuffling around its elements so that they are in ascending order. This can be done in Python as so.

```
arr = sorted(arr)
```

Sorting is a really powerful tool that can often render a very difficult problem into a trivial one. Now you know each of the 5 tools we expect you to know about arrays:

1. Creation (`arr = [3] * 20`)
2. Assignment (`arr[12] = 25`)
3. Indexing (`x = arr[3]`)
4. Length (`n = len(arr[12])`)
5. Sorting (`arr = sorted(arr)`)

§10.3 For loops

Now we'll be learning about a new kind of loop, which you can think of roughly as a cousin to the `while` loop. Simply put, the `for` loop is like a `while` loop in which the indexing of a counter is handled for you. For instance, `while` loops (especially those that interact with arrays) will often look something like:

```
i = 0
while i < len(arr):
    # do cool stuff
    i += 1
```

That's perfectly fine, but it's very easy to forget to increment `i` within the body of the `while` loop, especially as your loops get fancier and more complex. The `for` loop can be thought of as a `while` loop that has this incrementing automatically baked into it. For instance, the previous `while` loop is exactly equivalent to the following `for` loop.

```
for i in range(len(arr)):
    # do cool stuff
```

In particular, this `for` loop executes its body (in this case just `# do cool stuff`) while `i` takes the values `0, 1, ..., len(arr) - 1`. For instance, the following loop will just print `0, 1, ..., 8`.

```
for i in range(9):  
    print(i)
```

That's everything for today – on Friday we'll do another ungraded coding quiz, as we mentioned last time.

§11 Friday, February 11

We kicked things off with another ungraded coding quiz, as we prefaced earlier this week. An important homework note: from now on, the homework will be distributed to you via JupyterHub in the directory `CS1_2022`. All the problem statements are located in that directory, and you can also look at them on github's website https://github.com/jtristan/CS1_2022. We even provide some testing code so that you can be sure that your solution is reading input and outputting solutions correctly.

§11.1 Problem: find x, y, z

Here's a problem for us to warm up: let x, y , and z be 3 positive integers such that $x \leq y \leq z$. You are given 7 integers: $x, y, z, x + y, x + z, y + z, x + y + z$, in some unknown order. Determine the value of x, y , and z .

First (before writing any code!) take a couple minutes to think about how to solve this problem. Think of a rough skeleton of the program you would write. How do you know it's correct? Is it efficient?

Now let's get our hands dirty and actually build the solution. We're going to be receiving these 7 integers one by one, e.g., via

```
$ python soln.py < input.txt
```

where `input.txt` has those 7 integers on separate lines. So we'll need to use `input()` 7 times within our program. Let's try to do this in an elegant way using the techniques we've recently learned about.

```
def get_input():  
    data = [0] * 7  
    for i in range(7):  
        data[i] = int(input())  
    return data  
  
arr = get_input()  
print(arr)
```

Now we've set up some code to read in the input and show us what we've done. Let's confirm, using the terminal, that this does what we intend it to.

```
$ python soln.py < input.txt
```

```
[2, 2, 11, 4, 9, 7, 9]
```

Awesome – we can keep working on our solution. Let’s work on the tail end of our program now. Eventually we’re going to need to print our answer, so it’ll be nice to have a function that handles this for us. Let’s expand `soln.py`.

```
def get_input():
    data = [0] * 7
    for i in range(7):
        data[i] = int(input())
    return data

def produce_output(x, y, z):
    print(x)
    print(y)
    print(z)

arr = get_input()
produce_output(1, 2, 5)
```

And we can check via the terminal that this indeed prints 1, 2, and 5 on separate lines. So far so good. Now comes the hard part – actually writing the solution.

```
def get_input():
    data = [0] * 7
    for i in range(7):
        data[i] = int(input())
    return data

def produce_output(x, y, z):
    print(x)
    print(y)
    print(z)

def solution(data):
    # Now we need to be clever...

arr = get_input()
a, b, c = solution(arr)
produce_output(a, b, c)
```

Okay, now we need to do some thinking. One place to start is to look at the example input/output and try to pick up on a pattern. In the example, x and y turn out to be the two smallest numbers among the 7 input numbers. Maybe there's nothing there, but we can try to explain this behavior formally and precisely.

Let's think about the relationship between x and every other term among the seven. Since they're all positive, and $x \leq y, z$, x will be less than $x + z$, $x + y$, $y + z$, and $x + y + z$. So we've shown that it will *always* be the smallest number among the bunch. Awesome, we're 1/3rd of the way there!

In fact, nearly identical reasoning shows that y will always be the second-smallest value among the seven, since $y \leq x + z$, $y \leq y + x$, and so on. Now we're almost done, we just need z . We might guess that z is the 3rd-smallest number, or even the biggest number, but the example we have shows that neither of those are true. One observation is that now we know x and y , so we know $x + y$. Furthermore, we know $x + y + z$, since it will be the largest number among the bunch (as x, y, z are positive). So we can find $x + y + z$ and subtract $x + y$ to arrive at z , and we're done!

Now we've done the hard thinking, and we just need to translate this idea into code. Let's focus on our function `solution()` for the moment, as we've written the rest of our file. Let's start off by finding the largest value in our input array, as we'll need it to compute z later.

```
def solution(data):
    max = -1
    for i in range(7):
        if data[i] > max:
            max = data[i]
```

What we've written will kick things off by calculating the largest value in the array (make sure you understand why!). We can do something similar to find the smallest and second-smallest values in an array, but that's a bit of a hassle. Is there an easier way to do this (perhaps using something we learned last lecture...)?

Yes! We can sort our array, so that we know exactly where the largest, smallest, and second-smallest values are.

```
def solution(data):
    data = sorted(data)
    x = data[0]
    y = data[1]
    biggest = data[len(data) - 1]
    z = biggest - (x + y)
    return x, y, z
```

Awesome, now let's finish up the rest of `soln.py`, using our previous functions for reading input and printing output. Our final answer will look like:

```
def get_input():
    data = [0] * 7
```

```
for i in range(7):
    data[i] = int(input())
return data

def produce_output(x, y, z):
    print(x)
    print(y)
    print(z)

def solution(data):
    data = sorted(data)
    x = data[0]
    y = data[1]
    biggest = data[len(data) - 1]
    z = biggest - (x + y)
    return x, y, z

arr = get_input()
a, b, c = solution(arr)
produce_output(a, b, c)
```

§12 Monday, February 14

§12.1 HW3 Postmortem

People did much better on the quiz last week - nice. One remark about the last homework: many people wrote complicated code for the `improvement_needed()` function, relying on a whole bunch of casework. That might be correct (i.e., produce the right output) but there's a way to do it very succinctly, by observing that the required increases to SAT and ACT scores don't depend on one's class rank or GPA.

Check out the HW3 solution on Canvas to see what we mean. (Looking at the HW solutions in general might be helpful for learning about programming.) Furthermore, thinking about how to solve this problem elegantly will help sharpen the problem-solving skills that are central to computer science (e.g., organizing information, recognizing symmetries in a problem, etc.).

§12.2 Syntax

Recall that the **syntax** of a programming language is analogous to the grammar of a natural language like English, while its **semantics** corresponds to the *meaning* associated to grammatically correct sentences. After all, we don't write grammatically correct sentences for the sake of writing them – the goal is to encode information.

Let's try to be a bit more formal about this 'grammar', or syntax, of Python. In English, we might define the syntax of a sentence as follows: a sentence consists of a

subject followed by a verb followed by a complement. We could write this definition as follows.

```
sentence :  
    |subject verb complement
```

Being rigorous about the syntax of natural languages is a pretty tall order, though. It can only really be done approximately, and we leave this difficult task to linguists. Programming languages, meanwhile, have much stricter syntax. A program is simply a succession of statements, each on its own line. Now we need to define a statement – fortunately, there are only nine of them that you need to know!

```
statement :  
    |assignment  
    |expression  
    |return_stmt  
    |import_stmt  
    |raise_stmt  
    |function_def  
    |if_stmt  
    |for_stmt  
    |while_stmt
```

Now we can (and need to!) go one level deeper. An assignment is simply a statement of the form `NAME = expression`. Now what's an expression? We need to go a couple layers deeper.... See the Jupyter notebook posted online for more detail here (not just for assignments and expressions, but for each of the other statements).

§12.3 Errors

We've been talking a bit about raising errors in our programs, and it's worth mentioning that errors fall into two camps: **static errors** and **dynamic errors**. A static error is one that Python will catch and report to you even before a program is executed. So syntax errors are static errors, for instance. Dynamic errors, meanwhile, occur only while the program is being executed, and may depend on the particular inputs that your program is being run on. For instance, `IndexError`s are dynamic errors.

Static errors are easy to find - just try to use your code once and you'll run into the error. Dynamic errors are a bit harder to find, and require that you test your code on a variety of inputs that, for instance, hit each of its branches. Testing isn't the most fun thing in the world, but it's very important, and you should be sure to check your homework solutions on at least a handful of different inputs before submitting.

§12.4 Semantics

Now that we've established some rules regarding the syntax of our programs, we can think about what those programs actually *mean*, i.e, what they're doing. Let's start with an assignment statement.

```
NAME = e
```

That statement has the following meaning in Python.

1. Evaluate the expression `e`, resulting in the value `v`.
2. Remember that the variable `NAME` has the value `v`.

That probably agrees with your intuition anyway, but the point is that there is an underlying translation between lines of code and their meaning, i.e., what the code actually does. Other, slightly more sophisticated tools in Python might have semantics that you find a bit surprising at first.

For instance, one common mistake that we see is that people confuse defining a function with calling a function. Defining a function simply informs Python that a function exists, and that it might be asked to use later. Calling a function has Python actually use the function, i.e., to get an answer for particular input(s)! Again, see the notebook online for detail on the semantics of many other statements.

§13 Wednesday, February 16

First things first: in order to be absolutely sure that everyone can complete the quizzes we've been having, we're going to create another one with much looser time limits. We'll release a small quiz tomorrow and you'll have several days to complete it and submit it in Canvas. As we've been saying for a while now, it's important for you to be comfortable with this process by the time the midterm comes around (in only two weeks!).

One more comment: you should really consider collaborating on your homework with other people. To be clear, you still need to write your own code and understand what's going on (and you can't look at your friend's code!), but it can be very useful to bounce ideas off another person and improve together.

§13.1 Problem: pairwise sum

We're going to start things off with another puzzle – here's the statement. You are given an integer S and an array of integers A ⁷; now determine the number of pairs of entries in A whose sum is S .

The first, not-so-clever solution is what you might call a **brute force** solution, i.e., simply check all the pairs of elements in A and see if they sum to S . Before we even get there, let's kick things off by writing the part of our solution that will read input.

```
def get_input():
    # Get S and N = len(A)
    S = int(input())
    N = int(input())

    # Grab entries of A one-by-one
    arr = [0] * N
    for i in range(N):
        arr[i] = int(input())
    return S, arr
```

⁷You receive these line-by-line from a `.txt` file, as in the last few examples and in the homework from now on.


```
def produce_output(result):  
    print(result)
```

And as always, we'll quickly test this code to make sure it works (at least on a few examples). We also added a function for outputting our answer, even though it's pretty trivial in this case. So now we just need to do the heavy lifting and compute our answer from the inputs.

As we mentioned, one solution is via brute force – simply look at all possible pairs of numbers in A and see which of them sum to S . In particular, compare the first number in A with the other $N - 1$ numbers, the second number in A with the other $N - 2$ numbers (you've already checked the first and second numbers!), and so on. At a high level, we can see that we're going to be making

$$(N - 1) + (N - 2) + (N - 3) + \dots + 1$$

many comparisons. This gives us a handle on how efficient our algorithm will be. Now how do we actually implement this?

Well, we're going to need to iterate over each of the entries in A . Then for each entry in A , we'll need to again iterate over all of the entries in A that lie to the right of it. This will look as follows.

```
def solution(S, data):  
    for i in range(len(data)):  
        for j in range(i + 1, len(data)):  
            print('i', i, 'j', j)
```

We're starting off by printing to make sure that our nested `for` loops are doing what we intend them to. We tested on an example and it looks like they indeed are, so we can keep moving forward. (Make sure that you understand the nested `for` loops we wrote above! The idea is to check each pair of distinct elements in `data` exactly once.)

```
def solution(S, data):  
    count = 0  
    for i in range(len(data)):  
        for j in range(i + 1, len(data)):  
            if data[i] + data[j] == S:  
                count += 1  
    return count
```

Now we can tie everything together.

```
def get_input():  
    S = int(input())  
    N = int(input())  
    arr = [0] * N  
    for i in range(N):
```

```
        arr[i] = int(input())
    return S, arr

def produce_output(result):
    print(result)

def solution(S, data):
    count = 0
    for i in range(len(data)):
        for j in range(i + 1, len(data)):
            if data[i] + data[j] == S:
                count += 1
    return count

S, arr = get_input()
result = solution(S, arr)
produce_output(result)
```

And boom – that’s our solution!

§14 Friday, February 18

§14.1 Problem: pairwise sum (continued)

So, last time we implemented a ‘brute force’ solution for the pairwise sum problem. That’s a perfectly fine solution, but it’s not very efficient. This time around, we’re going to think about making our solution faster by being a bit more clever. As we’ve mentioned a couple times, one of the primary tools we have in our kit is that of *sorting* our input. So, with the power of sorting in mind, spend a couple minutes thinking about how you might implement another solution for this problem.

Here’s the idea: we start off with an index at the first entry in our (sorted) array and another at the last entry of the array. We add those values up – if that sum is greater than S , then we make it smaller by moving the rightmost index to the left by one (which can only make the sum smaller). If the sum is smaller than S , then we make it bigger by moving the leftmost index to the right by one (which can only make our new sum bigger). Make sure you understand why this argument makes use of our array being sorted, and why we won’t miss any possible pairs that sum to S with this procedure.

Okay, let’s write this up. Once again, we need an outer layer of code receiving input/printing output.

```
def get_input():
    S = int(input())
    N = int(input())
    arr = [0] * N
    for i in range(N):
```

```
        arr[i] = int(input())
    return (S, arr)

def solution(S, data):
    # be clever now

S, data = get_input()
result = solution(S, data)
print(result)
```

Now let's focus on our solution.

```
def solution(S, data):
    data = sorted(data)
    count = 0
    left = 0
    right = len(data) - 1

    # be clever
```

Okay, now we've sorted our data and set our indices `left` and `right` at the leftmost and rightmost entries in the sorted array. Now comes the heavy lifting, where we compute sums at each step and moving the indices left/right depending on what happens. Lots of things can happen at each step – maybe `left` goes up, maybe `right` goes down, maybe they both move – so it would be hard to write this with a `for` loop.

We're going to use a `while` loop instead, and we'll use `pass` as a stand-in for code that we haven't written yet.

```
def solution(S, data):
    data = sorted(data)
    count = 0
    left = 0
    right = len(data) - 1

    while left < right:

        if data[left] + data[right] == S:
            pass
        elif data[left] + data[right] < S:
            pass
        else:
            pass
```

```
return count
```

Now our code recognizes that there are three fundamental cases based on the value of `data[left] + data[right]` in relation to `S`. Let's do some more thinking and replace those `pass`'s with real code.

```
1 def solution(S, data):
2     data = sorted(data)
3     count = 0
4     left = 0
5     right = len(data) - 1
6
7     while left < right:
8
9         if data[left] + data[right] == S:
10             left += 1
11             right -= 1
12             count += 1
13         elif data[left] + data[right] < S:
14             left += 1
15         else:
16             right -= 1
17
18     return count
```

Okay, we have code that runs – nice. Now we tried it on a small example, and it agrees with our previous brute force solution, so we know it works, right! Wrong. At a bare minimum, you should test your code on a variety of examples, and even then you won't be sure that it works.

In this case, we try it on another example and see that our new `solution()` produces far smaller output than the brute force method. Okay, our answer is probably wrong.⁸ Can you see what's going wrong? On an input like

```
S = 5
A = [2, 2, 2, 3, 3, 3]
```

our new solution will output 3, when the real answer is 9! The error lies in lines 9 through 12. Our code fails to count correctly when there are repeated values in the data. We need to update our code to keep track of these repeated values.

```
1 def solution(S, data):
2     data = sorted(data)
3     count = 0
4     left = 0
5     right = len(data) - 1
6
```

⁸We should have more faith in the brute force solution than our new solution, as the brute force solution is quite a bit simpler, both theoretically and in implementation/code.

```
7     while left < right:
8
9         if data[left] + data[right] == S:
10             v_left = data[left]
11             left_count = 0
12             while data[left] == v_left:
13                 left += 1
14                 left_count += 1
15
16             v_right = data[right]
17             right_count = 0
18             while data[right] == v_right:
19                 right_count += 1
20                 right -= 1
21
22             count += left_count * right_count
23
24         elif data[left] + data[right] < S:
25             left += 1
26         else:
27             right -= 1
28
29     return count
```

And now we test on our example and we get the same answer as the brute force solution. We still don't know for sure that our new solution is correct, but at least we can be a bit more confident.

But this new solution took a lot more thinking and coding than the brute force solution – what was the point? Well, it turns out that our new solution is much, much more efficient than the brute force solution. That is, as inputs get larger, the new solution will run much more quickly than the brute force solution. The ideas behind making this notion of ‘efficiency’ formal – known as the *analysis of algorithms* – will be the subject of the next several lectures.

§15 Monday, February 21

§15.1 Analysis of algorithms

Last time, we created two solutions for the pairwise sum problem and mentioned briefly that one is more efficient than the other, meaning that it runs in less time. One of today's goals is to dig deeper into this idea, and to talk about the *analysis of algorithms*.

Let's take things from square one – how can we compare the runtimes of two different algorithms? Perhaps the most obvious thing to do is to simply race them against each other, i.e., to run them on equal input of increasing size and see how their runtimes evolve. That's a pretty reasonable idea, and it'll certainly tell us something about the algorithms, but we've learned from experience that there's a much better way of doing things.

The key observation is that any two algorithms we look at consist of the same fundamental building blocks, known as **elementary operations**. Examples include adding

integers, setting an element of an array, testing whether something is `True` or `False`, etc. The idea is that these elementary operations are nearly the most basic things your computer can do – they can't be broken down very much into simpler operations. So if we can just count roughly how many basic operations each algorithm uses, we can get a good sense of its run time.

A key idea here is that we're going to be abstracting away lots of the low-level detail and minutiae – we won't keep track of the fact that some of these basic operations take a bit longer than others, and we won't even try to count the *exact* number of operations our algorithms take. Rough approximations will be enough.

Simply put, we want to drive home two points today:

1. We're measuring an algorithm's runtime behavior as a function of its input size.
2. We're counting the number of (some) elementary operations that the algorithm makes when computing the answer for an input.
 - Not *every* operation, just some! As a rule of thumb, you should count whichever operation is going to be executed the most in the algorithm.

Let's get a little more concrete (though things will still be abstract). Let's say we have the following function.

```
def f(x):  
    g(x)  
    h(x)  
    k(x)
```

What is the runtime behavior of `f`? Well, Python will evaluate its body line-by-line when `f` is called, so its runtime is just the sum of the runtimes of `g`, `h` and `k`! Please make sure you understand this before moving forward. Now we'll be even more concrete. What about this function?

```
def f0():  
    counter = 0  
    counter += 1  
    counter += 1  
    counter += 1  
    counter += 1  
    counter += 1  
    print(counter)
```

This is a bit of a strange case, because `f0()` doesn't even take input. So what `f0` prints should just be a fixed number, which we can check will be 5. In fact, `counter` is incremented 5 times, regardless of the size of the input to `f0()` (since `f0()` doesn't even take input!). We would say that `f0()` is a *constant time* algorithm.

Another example:

```
def f1(N):  
    counter = 0
```

```
for i in range(N):  
    counter += 1  
print(counter)
```

In this case, by understanding the semantics of `for` loops, we can see that `counter` is going to be incremented `N` many times. We would say that `f1` is a *linear time* algorithm.

```
def f2(N):  
    counter = 0  
    for i in range(N):  
        counter += 1  
        counter += 1  
    print(counter)
```

Now, the number of basic operations taken by `f2` in input N is $T(N) = 2 \cdot N$. (We're simply using T to count the number of these operations for a given algorithm). Once again, we would say that the number of operations performed by `f2` is linear in its input size.

```
def f3(N):  
    counter = 0  
    for i in range(N):  
        counter += 1  
    for j in range(N):  
        counter += 1  
    print(counter)
```

Once more, we'll have that $T(N) = N + N = 2 \cdot N$, with one N term coming from each of those loops. The next example is an important one.

```
1 def f4(N):  
2     counter = 0  
3     for i in range(N):  
4         for j in range(N):  
5             counter += 1  
6     print(counter)
```

Well, the loop beginning on line 4 has a runtime of N , and it occurs N many times, so our overall operation count is

$$T(N) = \overbrace{N + \cdots + N}^{N \text{ times}} = N^2.$$

```

1 def f5(N):
2     counter = 0
3     for i in range(N):
4         for j in range(i+1, N):
5             counter += 1
6     print(counter)

```

Similarly, the runtime here is

$$T(N) = (N-1) + (N-2) + \dots + 1 = \frac{N \cdot (N-1)}{2}.$$

To see why that formula holds, here's a proof in a picture.

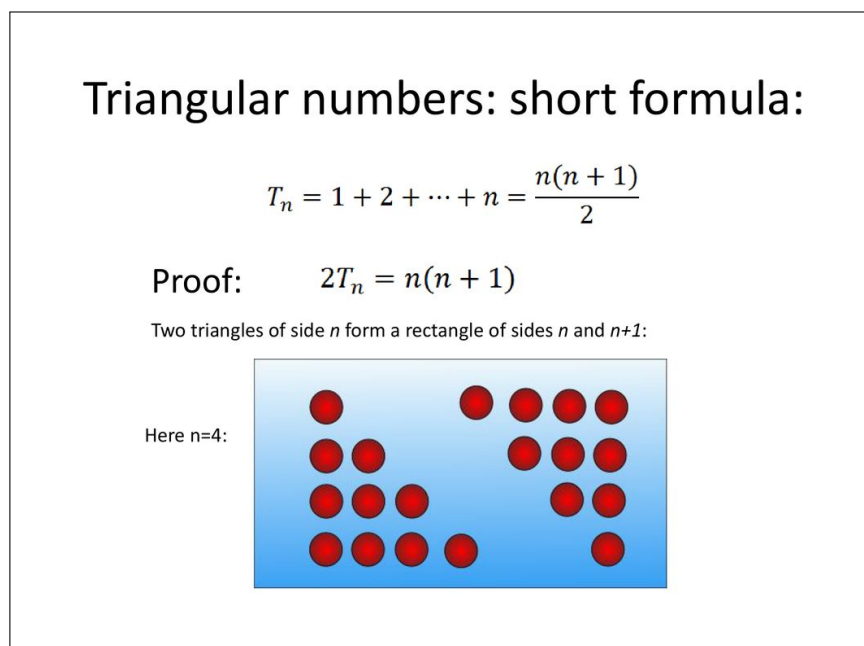


Figure 1: Formula for triangular numbers, from [here](#).

§16 Wednesday, February 23

§16.1 Analysis of algorithms, continued

Last time we started talking about the analysis of algorithms, and we used the function $T(N)$ to denote (roughly) how many operations our algorithm performs on input N . We chose to count the number of times that the `counter` variable is incremented in the functions we examined last time. Recall the big idea - we want to count the operation that is performed most frequently by our algorithm, in order to understand its runtime behavior.

Here's another example, where there's more than one input.

```

def f(N, M):
    counter = 0

```



```

for i in range(N):
    for j in range(M):
        counter += 1
print(counter)

```

In this case $T(N, M) = N \cdot M$. Make sure that you understand why - the inner loop contributes a term of M to the counter, while the outer loop causes the inner loop to be repeated N many times. So,

$$T(N, M) = \overbrace{M + \dots + M}^{N \text{ times}} = N \cdot M.$$

Now let's think about the runtime behavior of our brute force solution to the previous pairwise sum argument. Recall that the core of our solution was as follows:

```

1 def solution(S, data):
2     count = 0
3     for i in range(len(data)):
4         for j in range(i + 1, len(data)):
5             if data[i] + data[j] == S
6                 counter += 1
7     return counter

```

This time around, we're actually going to count the number of times that line 5 is executed by our program, since that will be the most common operation. From our previous discussion of 'triangular numbers', we can see that

$$T(S, \text{data}) = 1 + 2 + \dots + (\text{len}(\text{data}) - 1) = \frac{\text{len}(\text{data}) \cdot (\text{len}(\text{data}) - 1)}{2}.$$

Note that this is totally agnostic of what the actual entries of `data` are; the runtime behavior depends only on its size, not the actual contents.

Remark 16.1. Technically, line 5 has a couple of operations bundled up: finding `data[i]`, finding `data[j]`, adding them, and checking whether they equal S . But for our purposes, there's not much of a difference between (for instance) $T(S) = S$ and $T(S) = 4S$, since they grow in essentially the same way as S increases. What we really want is to distinguish $T(S) = S$ from something like $T(S) = S^2$. Those functions grow very differently as S increases.

Another example.

```

def f(N):
    counter = 0
    if N % 2 == 0:
        for i in range(N):
            for j in range(N):
                counter += 1

```

```

else:
    for i in range(N):
        counter += 1
print(counter)

```

In this case, $T(N)$ (the number of times that `counter` is incremented) actually depends considerably on whether N is even or odd. We have

$$T(N) = \begin{cases} N^2 & N \text{ is even,} \\ N & N \text{ is odd.} \end{cases}$$

(Make sure you can see why.) How do we handle this kind of branching? The short answer is that computer science often defaults to **worst-case analysis**. That is, it usually studies how bad things can possibly get, as opposed to the best-case or the average.⁹ So, using this idea, we would say that the previous algorithm is $T(N) = N^2$ in the worst case.

Let's look at another example, keeping this worst-case philosophy in mind.

```

def f(array):
    counter = 0
    for i in range(len(array)):
        if array[i] % 2 == 0:
            counter += 1

```

We're actually going to count two things this time; let $A(N)$ be the number of array accesses for an input array of size N and $B(N)$ be the number of counter increments for an input array of size N . We can see instantly that $A(N) = N$, guaranteed. Describing $B(N)$ requires some worst-case analysis, since it depends on the number of even numbers in our array. In the worst case, though, all its entries are even, meaning $B(N) = N$ (again, *in the worst case!*).

Another example, similar to the previous one but different.

```

def f(array):
    counter = 0
    for i in range(len(array)):
        if i % 2 == 0:
            counter += 1

```

In this case, the behavior of the algorithm doesn't depend on the input array at all! We just have $T(N) = N/2$, in every case (again, we're counting the number of times that `counter` is incremented). Another example, that looks suspiciously close to some of the code we wrote in our clever solution to the pairwise sum problem...

```

def f(array):
    left = 0

```

⁹Though there is certainly such a thing as average-case analysis of algorithms!

```
right = len(array) - 1
v_left = array[left]
v_right = array[right]
while left < right:
    if v_left <= v_right:
        left += 1
        v_left = array[left]
    else:
        right -= 1
        v_right = array[right]
```

The idea is that `left` and `right` begin at opposite ends of the array and creep towards each other, step by step. We can't really say exactly where they'll meet in the array, but the key idea is that the sum of steps taken by `left` (i.e., the number of times it is incremented) and `right` (i.e., the number of times it is decremented) is constant. It has to add up to the length of the array! So $T(N) = N$ here, where we're counting the number of times that `left` or `right` are changed.

Another example, getting quite close to the code we wrote for the pairwise sum problem.

```
def f(array):
    left = 0
    right = len(array) - 1
    v_left = array[left]
    v_right = array[right]
    while left < right:
        if array[left] == array[right]:
            left += 1
            right -= 1
            v_left = array[left]
            v_right = array[right]
        elif array[left] > array[right]:
            left += 1
            v_left = array[left]
        else:
            right -= 1
            v_right = array[right]
```

Using similar reasoning, we can again see that $T(N) = N$, as `left` and `right` will need to travel a total distance of N in order to meet and thus conclude the `while` loop.

§17 Monday, February 28

§17.1 Analysis of algorithms, continued

We'll be continuing our discussion on the analysis of algorithms today. This will be the final lecture exclusively dedicated to the subject, though we'll certainly be encountering it throughout the remainder of the semester. Recall the high-level goal: we want to understand the runtime behavior of our algorithms. Simply put, how long do they take to run?

We're abstracting away from lots of the low-level minutiae of our programs – including details of the hardware on which they're running – and simply counting the rough number of 'basic operations' taken by our algorithm. As a rule of thumb, we want to count the basic operation that is performed most frequently by our algorithm (e.g., incrementing a counter, updating the element of an array, etc.). The second layer of abstraction is that we don't pay attention to the exact details of the algorithm's input: we only care about its size. In the case in which the size of an input doesn't uniquely determine its runtime under our algorithm, we default to the worst-case scenario. Simply put, what is the *longest* that our program will run on an input of size N ?

Another layer of abstraction that we'll introduce today is that we don't care about small, constant terms. For instance, runtimes of $T(N) = N$ and $T(N) = N + 3$ are essentially the same for our purposes. As N gets large, these small differences will quickly wash out. Perhaps even more surprisingly, we'll say that $T(N) = N^2 + N$ and $T(N) = N^2$ are essentially the same, despite the fact that N will tend to infinity as input grows. The reason why is that both of those runtimes will be dominated by the N^2 term as N grows. For instance, when $N = 100$ (which is not that big), $N^2/(N^2 + N) > 99\%$. That N term just really doesn't matter!

The point is that we really only care about the **asymptotics** of these functions, i.e., their behavior as N tends to infinity.

Example 17.1

If $T(N)$ is some polynomial in N , i.e., $T(N) = a_k N^k + a_{k-1} N^{k-1} + \dots + a_0$, then we really only care about the largest-degree term N^k . For instance, the runtimes $T(N) = N^3$ and $T(N) = N^3 + 10000 \cdot N^2$ are essentially the same. They're each dominated by N^3 .

So we want some tool for compressing all of this information and telling us *only* what we need to know about $T(N)$. For instance, the tool would tell us that the only thing that really matters about $T(N) = 2N^3 + 10000 \cdot N^2 + 50$ is that it has an N^3 term. The perfect tool for this is **big O notation**. It will allow us to – in a meaningful way – ignore these smaller-order terms. At a high level, $T(N) \in O(g(N))$ means that $T(N)$ is less than $g(N)$ once the input gets large enough.¹⁰

Computer scientists think of big O roughly as a \leq sign, i.e., $T(N) \in O(g(N))$ can be interpreted as $T(N) \leq g(N)$. Furthermore, computer scientists often play fast and loose with the equals sign and write $T(N) = O(g(N))$, though strictly speaking they mean that $T(N)$ is a member of the set $O(g(N))$. (In particular, you would never want to swap the sides of that equality sign and write $O(g(N)) = T(N)$.) We'll use both of those notations on occasion, and we'll also write " $T(N)$ is in $O(g(N))$ " to mean $T(N) \in O(g(N))$ or $T(N) = O(g(N))$.

¹⁰Strictly speaking, less than some *multiple* of $g(N)$, but we won't worry too much about the details here.

Example 17.2

Say $T(N) = a_k N^k + a_{k-1} N^{k-1} + \dots + a_0$. Then $T(N) \in O(N^k)$, agreeing with our intuition from the previous example! So, for instance, $T(N) = 0.125N^3 + 45N^2 + 11$ is in $O(N^3)$.

Example 17.3

All constants are in $O(1)$. For instance, $2034 \in O(1)$. Intuitively, they're just numbers that don't depend on N and get washed out as N grows to infinity. Another way of thinking about it is that $2034 = 2034 \cdot N^0$, so $2034 \in O(N^0)$ by the previous example, but N^0 is just 1.

Here's an exercise – what does big O analysis say about the following function?

```
def f():
    counter = 0
    counter += 1
    counter += 1
    counter += 1
    counter += 1
    counter += 1
    counter += 1
    print(counter)
```

$T(N) = 5$, so this algorithm is in $O(1)$, by the previous example. Another exercise.

```
def f(N):
    counter = 0
    for i in range(N):
        for j in range(i+1, N):
            counter += 1
    print(counter)
```

Previously, we've seen that $T(N) = (N-1) + (N-2) + \dots + 1 = \frac{N \cdot (N-1)}{2}$. So `f` is in $O(N^2)$. What about this next one?

```
def f(N):
    counter = 0
    for i in range(0, N, 4):
        for j in range(i+2, N-4, 3):
            counter += 1
    print(counter)
```

Once more, our program has two nested `for` loops of size proportional to N , so the algorithm is in $O(N^2)$. We really don't need to worry about the fact that one loop only

goes to $N - 4$, or that they have step sizes bigger than 1. This will only change $T(N)$ up to some constant coefficients, so it doesn't matter for the sake of big O.

One important note: if an algorithm is in $O(N^2)$, then it's also in $O(N^3)$ and $O(N^4)$, and so on. Intuitively, if $T(N) \leq N^2$ then certainly $T(N) \leq N^3$ and $T(N) \leq N^4$. So there are many choices of the big O description we could have for $T(N)$, but we'd like to give the 'tightest' bound for it, i.e., to write $T(N) = O(g(N))$ where $g(N)$ is as small as possible.

For instance, if $T(N) = 0.25N^2 + 3N - 20$, then $T(N) \in O(N^2)$ is tight, since $T(N)$ indeed has an N^2 term. But $T(N) \in O(N^3)$ is not tight, since you can say something even more informative about $T(N)$. Of course, you try to give tight bounds whenever possible, though sometimes loose bounds are the best you can do.

Example 17.4

The sorting function in Python is in $O(N \log N)$. So, if you were to plot the runtime of `sorted(arr)` as the length of `arr` grows, you would see a curve that looks like $N \log N$.

Using the result from the previous example, note that the following algorithm is in $O(N^2)$, as $T(N) = N^2 + N \log N$ is in $O(N^2)$.

```
def f(arr):
    arr = sorted(arr)
    count = 0
    for i in range(len(arr)):
        for j in range(len(arr)):
            counter += 1
    print(counter)
```

This big O notation will show up on the midterm this week, so try to understand these examples! One last note on nomenclature. If an algorithm is in $O(1)$, then it is said to be in constant time, while algorithms in $O(\log N)$ are said to have logarithmic runtime. More generally,...

- $O(1)$: constant
- $O(\log N)$: logarithmic
- $O(N)$: linear
- $O(N \log N)$: log-linear
- $O(N^2)$: quadratic
- $O(N^3)$: cubic
- $O(2^N)$: exponential

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