

# CS5070 Mathematical Structures for Computer Science

## - Notes 3

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August 14, 2021

# Counting (Chapter 1)

## Counting Introduction

Consider this rather simple counting problem: there are 14 varieties of donuts, and 16 types of hot dogs. If you want either a donut or a dog, how many options do you have? This isn't too hard, just add 14 and 16.

## Additive Principle

The additive principle states that if event  $A$  can occur in  $m$  ways, and event  $B$  can occur in  $n$  **disjoint** ways, then  $|A \cup B|$  is  $m + n$ .

## Disjoint Events

Consider that events  $A$  and  $B$  be disjoint: i.e., that there is no way for event  $A$  and event  $B$  to both happen at the same time. Thus,  $A \cap B = \emptyset$

# More on Counting

## Multiplicative Principle

The multiplicative principle states that if event  $A$  can occur in  $m$  ways, and each possibility for  $A$  allows for exactly  $n$  ways for event  $B$ , then the event 'A and B' can occur in  $m \cdot n$  ways. Events  $A$  and  $B$  occur independently.

The College of Computing offers in the fall semester seven sections of Programming I and nine sections of Lab Programming I. Students can take any combination of a section of the lecture class and a section of the lab section. How many different ways can a student register these?

Answer:  $7 \cdot 9$ .

# Counting with Sets

## Using Sets

Instead of event  $A$  and event  $B$ , we use set  $A$  and a set  $B$ . The sets will contain all the different ways the event can happen.

## Additive Principle with Sets

Given two sets  $A$  and  $B$ , if  $A \cap B = \emptyset$ , that is, if there is no element common to both  $A$  and  $B$ , then

$$|A \cup B| = |A| + |B|$$

# Multiplicative Principle with Sets

The set **Cartesian product** of sets  $A$  and  $B$  is defined by:

$$A \times B = \{(a, b) : a \in A \wedge b \in B\}$$

This is the set of all ordered pairs  $(a, b)$  such that elements  $a$  are in set  $A$  and elements  $b$  are in set  $B$ .

Example of a Cartesian product:

$$\{1, 2\} \times \{3, 4, 5\} = \{(1, 3), (1, 4), (1, 5), (2, 3), (2, 4), (2, 5)\}$$

## Cartesian Product Counting (2)

$$A \times B = \{$$

$$\begin{array}{ccccccc} (a_1, b_1), & (a_1, b_2), & (a_1, b_3), & \dots & (a_1, b_n), \\ (a_2, b_1), & (a_2, b_2), & (a_2, b_3), & \dots & (a_2, b_n), \\ (a_3, b_1), & (a_3, b_2), & (a_3, b_3), & \dots & (a_3, b_n), \\ \vdots & & & & \\ (a_m, b_1), & (a_m, b_2), & (a_m, b_3), & \dots & (a_m, b_n) \end{array}$$

There are  $m$  rows and  $n$  pairs, for a total of  $m \cdot n$  pairs

# Multiplicative Principle with Sets

We can consider that  $A \times B$  is really the union of  $m$  disjoint sets. Each of those sets has  $n$  elements. The total is  $n + n + n + \cdots + n = m \cdot n$ .

The Multiplicative Principle

Given two sets  $A$  and  $B$ ,

$$|A \times B| = |A| \cdot |B|$$

This can be extended to any number of sets

The cardinality of a union of two sets  $A$  and  $B$ , is:

$$|A \cup B| = |A| + |B| - |A \cap B|$$

# Cardinality of a Union of 3 Sets

For any finite sets  $A$ ,  $B$ , and  $C$ ,

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

This process is called the *Principle of Inclusion/Exclusion* (PIE)

Example 1.1.8

$f =$	<i>Subject</i>	$A$	$B$	$C$	$AB$	$AC$	$BC$	$ABC$
	<i>Failed</i>	12	5	8	2	6	3	1

How many students failed at least one subject?

$|A| = 12$ ,  $|B| = 5$ ,  $|C| = 8$ ,  $|A \cap B| = 2$ ,  $|A \cap C| = 6$ ,  $|B \cap C| = 3$ , and  $|A \cap B \cap C| = 1$

$$|A \cup B \cup C| = 15$$



# Subsets

The powerset of a set is a set of all subsets of a set

For set  $A = \{a, b, c\}$ , the powerset of  $A$  is:

$$\mathcal{P}(A) = \{\{\}, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$$

The number of elements in set  $A$  is  $k = |A|$  and the value is 3.

The number of subsets of set  $A$  is  $n = 2^k$ , and this value is 8.

How many subsets of  $A$  have two elements? We know that 3 subsets have cardinality 2.

# Decomposition Principle

- Many larger problems are solved by partitioning a large problem into smaller problems (sub-problems)
- Each sub-problem is solved independently of other sub-problems
- The solution of the all sub-problems is combined to get the final result of the original problem. In general, the additive principle is applied in this step.

# Bit Strings

- An  $n$ -bit string is a bit string of length  $n$
- The **weight** of a bit string is the number of 1's in it
- $\mathbf{B}^n$  is the set of all  $n$ -bit strings
- $\mathbf{B}_k^n$  is the set of all  $n$ -bit strings of weight  $k$
- The elements of the set  $\mathbf{B}_2^3$  are the strings 011, 101, and 110.
- How many strings have length 5? The answer is  $2^5$ , which is 32.
- How many of those have weight 3?

$$|\mathbf{B}_3^5| = |\mathbf{B}_2^4| + |\mathbf{B}_3^4|$$

$$|\mathbf{B}_2^4| = |\mathbf{B}_1^3| + |\mathbf{B}_2^3| \quad \text{and} \quad |\mathbf{B}_3^4| = |\mathbf{B}_2^3| + |\mathbf{B}_3^3|$$

- With  $A = \{1, 2, 3, 4, 5\}$ , the number of 3-element subsets of  $A$  is the same as counting the number of 5-bit strings of weight 3.

# Binomial Coefficients

For each integer  $n \geq 0$  and integer  $k$  with  $0 \leq k \leq n$

$$\binom{n}{k}$$

- $\binom{n}{k} = |\mathbf{B}_k^n|$ , the number of  $n$ -bit strings of weight  $k$
- $\binom{n}{k}$  is the number of subsets of a set of size  $n$  each with cardinality  $k$
- $\binom{n}{k}$  is the coefficient  $x^k y^{n-k}$  in the expansion of  $(x + y)^n$
- $\binom{n}{k}$  is the number of ways to select  $k$  objects from a total of  $n$  objects

# Applying Binomial Coefficients

- How many subsets of  $\{1, 2, 3, 4, 5\}$  contain exactly 3 elements?  
Answer:  $\binom{5}{3}$
- How many bit strings have length 5 and weight 3? Answer:  $\binom{5}{3}$
- Recurrence Relation for  $\binom{n}{k}$

$$|\mathbf{B}_k^n| = |\mathbf{B}_{k-1}^{n-1}| + |\mathbf{B}_k^{n-1}|$$

$$\binom{n}{k} = \binom{n-1}{k-1} + \binom{n-1}{k}$$

# Permutations

- A permutation is a (possible) **ordered** arrangement of objects. For example, there are 6 permutations of the letters  $a, b, c$ :

$abc, acb, bac, bca, cab, cba$

- This problem determines how many ordered arrangements of distinct objects can be counted.
- The permutation of  $n$  elements is:

$$n! = n \cdot (n - 1) \cdot (n - 2) \cdots 2 \cdot 1$$

- How many permutations exist of  $k$  objects choosing those objects from a larger collection of  $n$  objects? This is denoted  $P(n, k)$  also called  **$k$ -permutation of  $n$  elements** with  $1 \leq k \leq n$

$$P(n, k) = \frac{n!}{(n - k)!} = n(n - 1)(n - 2) \cdots (n - (k - 1))$$

# Combinations

- Combinations count the **unordered** selections of objects.
- Combinations are the number of ways  $k$  items can be grouped from a total of  $n$  items:  $C(n, k)$

$$C(n, k) = \binom{n}{k} = \frac{n!}{(n-k)!k!} = \frac{n(n-1)(n-2)\cdots(n-(k-1))}{k(k-1)(k-2)\cdots 1}$$

- Example. How many possible different teams of three students can be formed in a class section of 27 students?

$$\binom{27}{3} = \frac{27!}{3!24!} = \frac{27 \cdot 26 \cdot 25}{3 \cdot 2 \cdot 1} = \frac{17,550}{6} = 2,925$$

- To count permutations, use  $P(n, k)$
- To count combinations, use  $C(n, k)$  or  $\binom{n}{k}$

# Counting with Repetitions

- The selections of objects allow repeated objects
- Permutations with repetitions can be computed using the multiplicative principle
- The number of  $k$ —permutations of a set of  $n$  objects with repetitions is computed by  $n^k$
- How many words of length 5 can be constructed with 26 upper case letters? Solution is  $26^5$



# Combinations with Repetitions

- Combinations with repetitions can be computed using the expression of the binomial coefficient
- The number of  $k$ –combinations from a set of  $n$  objects with repetitions is computed by:

$$C(n + k - 1, k) = C(n + k - 1, n - 1)$$

- A tray has four different types of cookies. How many ways can six cookies be selected?

$$C(9, 6) = C(9, 3) = 84$$