

# HW2 Math 4540

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## 1 Exercises

1. Suppose  $f, g : [0, 1] \rightarrow [0, 1]$  are two continuous functions with  $f(0) = g(1) = 0$  and  $g(0) = f(1) = 1$ . Show that the graphs  $\Gamma(f)$  and  $\Gamma(g)$  of the two functions intersect in  $(0, 1) \times [0, 1]$ .

Consider the function  $h(x) = f(x) - g(x)$ . Note that  $h$  is continuous on the closed interval  $[0, 1]$ , as both  $f$  and  $g$  are continuous there. Consider that  $h(0) = f(0) - g(0) = -1$  and  $h(1) = f(1) - g(1) = 1$ ; then by the Intermediate Value Theorem, since  $h$  is continuous on  $(0, 1)$ , there exists some value  $c \in (0, 1)$  such that  $h(c) = 0$ , as  $h(0) < 0 < h(1)$ . Hence  $f(c) = g(c) \in [0, 1]$  for some  $c \in (0, 1)$ , and thus  $(c, f(c)) = (c, g(c)) \in (\Gamma(f) \cap \Gamma(g)) \cap ((0, 1) \times [0, 1])$ .

2. Show by example that the set theoretical inverse  $f^{-1}$  of a continuous map  $f : X \rightarrow Y$  that is 1-to-1 and onto does not need to be continuous if  $X$  is not compact. Hint: Consider a map from  $[0, 1)$  onto the (unit) circle.

Suppose  $f : [0, 1) \rightarrow S^1$  is any continuous map that is 1-to-1 and onto from the interval  $[0, 1)$  to the unit circle  $S^1 \subset \mathbb{R}^2$ , such as the mapping  $f(t) = (\cos(2\pi t), \sin(2\pi t))$ . We first show that  $S^1$  is compact. This can be easily seen, since the continuous function  $g : \mathbb{R} \rightarrow S^1$  given by  $g(t) = (\cos(t), \sin(t))$  produces the image  $S^1$  from the closed interval  $[0, 2\pi]$ . Since the interval  $[0, 2\pi]$  is compact, this means its image  $g([0, 2\pi]) := S^1$  must also be compact, as  $g$  is a continuous function. Next we note that the half-open interval  $[0, 1)$  is not compact, since it does not contain the limit point  $x = 1$ . Consider now the inverse  $f^{-1}$  of  $f$ , defined above. Then this is some bijection from the unit circle to the half-open interval  $[0, 1)$ . Observe, however, that  $f^{-1}$  cannot be continuous: if  $f^{-1}$  were some continuous mapping, then this would mean that  $f^{-1}(S^1) := [0, 1)$  would have to be compact, as  $S^1$  is a compact set, and hence so is its image on  $f^{-1}$ . Therefore a contradiction is reached, and thus there do exist continuous maps  $f : X \rightarrow Y$  that are 1-to-1 and onto that do not have inverses which are continuous whenever  $X$  is not compact.

3. Let  $f$  be a real valued function on  $(a, b]$ , then

- (a) if  $f$  is continuous on  $(a, b]$  and  $\lim_{x \rightarrow a^+} f(x)$  exists, then  $f$  is uniformly continuous on  $(a, b]$ .

Let  $g$  be a real valued function that extends  $f$  for the endpoint  $a \in [a, b]$ , given by  $g(a) = \lim_{x \rightarrow a^+} f(x)$  and  $g(x) = f(x)$  whenever  $x \neq a$ . Because  $f$  is continuous on  $(a, b]$ , it follows that  $g$  is continuous there as well; now reconsider the same point  $a \in [a, b]$  as before. Note that this point is a limit point, since it's contained in the closure of  $(a, b]$ . Then it's easy to see that  $g(x)$  is also continuous when  $x = a$ , since  $\lim_{x \rightarrow a} g(x) = g(a)$  exists. Therefore  $g$  is continuous on all of  $[a, b]$ , and since  $[a, b]$  is compact, is thus uniformly continuous. This means that for any chosen  $\epsilon > 0$ , there exists some  $\delta > 0$  such that  $|g(x) - g(y)| < \epsilon$  whenever  $|x - y| < \delta$  for all  $x, y \in [a, b]$ . Notably, for  $x, y \in (a, b]$  this also means  $|g(x) - g(y)| = |f(x) - f(y)| < \epsilon$  whenever  $|x - y| < \delta$ ; and hence  $f$  is uniformly continuous as well.

- (b) if  $f$  is uniformly continuous on  $(a, b]$ , then  $\lim_{x \rightarrow a^+} f(x)$  exists.

Suppose  $f$  is uniformly continuous on  $(a, b]$ . Then for any chosen  $\epsilon > 0$ , there exists some  $\delta > 0$  such that  $|f(x) - f(y)| < \epsilon$  whenever  $|x - y| < \delta$  for all  $x, y \in (a, b]$ . Suppose  $(x_n)$  is any (ultimately decreasing) sequence that is contained in  $(a, b]$  such that  $x_i \neq a$  for all  $i \leq n$ , and for which  $(x_n)$  approaches  $a$  from the right; then there exists some integer  $N \in \mathbb{Z}$  such that whenever  $n, m > N$ ,  $|x_n - x_m| < \delta$ , for  $\delta$  defined above. As  $f$  is uniformly continuous, this also implies that  $|f(x_n) - f(x_m)| < \epsilon$  for any chosen  $\epsilon > 0$ ; thus the sequence  $(x_n)$  converges as it tends to  $a$  from the right. Because  $(x_n)$  was arbitrary and therefore approaches  $a$  every possible way, this implies  $\lim_{x \rightarrow a^+} f(x)$  exists.

4. Show that the equation  $x^3 - 3x + b = 0$  has at most one root in the interval  $[0, 1]$ .

Suppose there existed two roots in the interval  $[0, 1]$  for the equation  $x^3 - 3x + b = 0$ . Then the function  $f : [0, 1] \rightarrow \mathbb{R}$  given by the equation above would have two values  $x_1, x_2 \in [0, 1]$  such that  $f(x_1) = f(x_2) = 0$ . Note that  $f$  is differentiable and therefore continuous on  $[0, 1]$ . Then by Rolle's theorem, there must exist some  $c \in (x_1, x_2)$  such that  $f'(c) = 0$ . Observe however that  $f'(x) = 3x^2 - 3$ , and hence  $f'(c) = 0$  is only valid for  $c = \pm 1$ . This is a contradiction however, as  $c \in (x_1, x_2) \subset [0, 1]$ , and hence  $0 < c < 1$ ; thus there can only be at most one root for the equation in the interval  $[0, 1]$ .

5. Suppose  $f$  is differentiable on an interval  $I$ .

- (a) Prove that  $f'$  is bounded if and only if there exists a constant  $M$  such that  $|f(x) - f(y)| \leq M|x - y|$  for all  $x, y \in I$ .

We first prove the forward direction. Let's assume there exists some constant  $M$  such that  $|f(x) - f(y)| \leq M|x - y|$  for all  $x, y \in I$ . Then

$$\frac{|f(x) - f(y)|}{|x - y|} \leq M,$$

and thus

$$f'(y) = \lim_{x \rightarrow y} \frac{|f(x) - f(y)|}{|x - y|} \leq \lim_{x \rightarrow y} M = M$$

whenever  $x \neq y$ . Since  $x, y \in I$  and  $f$  is differentiable on all of  $I$ , it follows that  $f'$  is bounded by  $M$  as

$$f'(y) \leq M.$$

Now consider the other direction. Assume that  $f'$  is bounded; then for all  $z \in I$ ,  $|f'(z)| \leq M$  for some constant  $M > 0$ . Recall that, by the Mean Value Theorem, there exists at least one point  $z \in (x, y)$  such that  $|f(x) - f(y)| = |f'(z)||x - y|$  for each  $x, y \in I$ . Since  $|f'(z)| \leq M$  for all such  $z \in I$ , it follows that the same constant  $M$  exists where  $|f(x) - f(y)| \leq M|x - y|$  for any  $x, y \in I$ .

- (b) Prove that  $|\sin(x) - \sin(y)| \leq M|x - y|$  for all  $x, y \in R$ .

Recall that  $f(t) = \sin(t)$  is differentiable on  $R$ , and that its derivative  $f'(t) = \cos(t)$  is bounded since each value  $|a| \leq 1$  where  $a = \cos(t)$  for  $t \in R$ ; then, because of exercise (a), it follows that  $|f(x) - f(y)| = |\sin(x) - \sin(y)| \leq M|x - y|$  for all  $x, y \in R$ .

- (c)  $|\sqrt{x} - \sqrt{y}| \leq \frac{1}{2\sqrt{a}}|x - y|$  for all  $x, y \in [a, \infty)$  whenever  $a > 0$ .

Similarly, recall that  $f(t) = \sqrt{t}$  is differentiable on  $R$ , and that its derivative is  $f'(t) = \frac{1}{2\sqrt{t}}$ . Consider  $z \in [a, \infty)$ , where  $a > 0$ ; then, since  $z \geq a$ ,

$$f'(z) = \frac{1}{2\sqrt{z}} \leq \frac{1}{2\sqrt{a}} = f'(a).$$

Thus  $f'$  is bounded by  $M = f'(a) = \frac{1}{2\sqrt{a}}$  whenever  $z \in [a, \infty)$ , and hence by exercise (a), it follows that  $|f(x) - f(y)| = |\sqrt{x} - \sqrt{y}| \leq \frac{1}{2\sqrt{a}}|x - y|$  for  $x, y \in [a, \infty)$  whenever  $a > 0$ .