# Database Management Systems

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Mon, 13th Jan 2020

# Characteristics

### Differentiating

- Self-describing nature of a database system
- Insulation between programs and data, and data abstraction
- Support of multiple views of the data
- Sharing of data and multi-user transaction processing

# Self-Describing Nature

#### Meta-data

- Contains complete definition or description of database
- Database structure
- Database constraints
- Stored in DBMS catalog or meta-data
- Meta-data is used by DBMS software and users
- DBMS is not written for a specific database application
- DBMS refers to meta-data to infer the structure of files
- Must work with any number of database applications

# Self-Describing Nature

### Meta-data - Traditional Applications

Data definition is part of the application programs

```
int student;
char name[50];
float cpi;
```

- The type and storage structures are specified in the programming
- On contrary data stored in the files do not have these definitions
- Different application can potentially interpret this data differently as

```
char student[9];
char name[50];
char cpi[5];
```

- Even class declaration and associated objects carry this limitation
- Persistence definition is needed

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# Catalog/Meta-data

### Relations meta-data

### RELATIONS

Relation_name	No_of_columns
STUDENT	4
COURSE	4
SECTION	5
GRADE_REPORT	3
PREREQUISITE	2

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# Catalog/Meta-data

### Columns meta-data

#### **COLUMNS**

Column_name	Data_type	Belongs_to_relation
Name	Character (30)	STUDENT
Student_number	Character (4)	STUDENT
Class	Integer (1)	STUDENT
Major	Major_type	STUDENT
Course_name	Character (10)	COURSE
Course_number	XXXXNNNN	COURSE

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## Insulation

#### **Data Abstraction**

- Traditional file processing, structure of data file is embedded in the application programs
- Changes to file structure require changing application programs
- DBMS stores structure of data files in DBMS catalog
- This is program-data independence

# Internal File Structure

### Low-level Details

Data Item Name	Starting Position in Record	Length in Characters (bytes)
Name	1	30
Student_number	31	4
Class	35	1
Major	36	4

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# Multiple Views

#### **Views**

- Multiple users interact with the data
- Each require a distinct perspective of view
- A view may be a subset of the database
- It may contain virtual data that is derived from the database

# Multiple Views

### Views

#### TRANSCRIPT

Children areas	Student_transcript				
Student_name	Course_number	Grade	Semester	Year	Section_id
Smith	CS1310	С	Fall	08	119
Smith	MATH2410	В	Fall	08	112
Brown	MATH2410	Α	Fall	07	85
	CS1310	Α	Fall	07	92
	CS3320	В	Spring	08	102
	CS3380	Α	Fall	08	135

# Multiple Views

### Views

### **COURSE PREREQUISITES**

Course_name	Course_number	Prerequisites
Database	CS3380	CS3320
	000000	MATH2410
Data Structures	CS3320	CS1310

# Sharing of Data & Multiuser Transaction Processing

## **Sharing**

- Allow multiple users to access database at the same time
- DBMS must include concurrency control software
- Allows users to access and update data in a controlled manner
- Example:
  - Several reservation agents try to assign a seat on an airline flight
  - DBMS should ensure that each seat can be accessed by only one agent at a time
- This type of applications well known as online transaction processing (OLTP) applications
- Concurrent transactions operate correctly and efficiently

### Transaction

#### Definition

- This concept became central to many database applications
- Transaction is an executing program or executing process
- It includes one or more database accesses reading or updating
- Each transaction is supposed execute a logically correct database access
- Must enforce several transaction properties
  - Atomicity either all database opreations in a trasaction are executed or none are executed
  - Consistency Resulting database state must obey the constraints on the data
  - Isolation Ensures each transaction appears to execute in isolation from other transactions

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• Durable The updates must lead to persist

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# Restricting unauthorized access

#### Restricted access

- In multiple users use, most users will not be authorized to access all information
- Example: Financial data, grades data, employee data, student data etc.
- Only authorized users permitted to retrieve; some are allowed to retrieve and update etc.
- Access operations retrieval or update must also be controlled
- The responsibility of security and authorization subsystem

# Providing storage structures for efficient query processing

### Efficient Query Processing

- DBMS must provide capabilities for efficiently executing queries and updates
- As data is stored on disk, DBMS must provide specialized data structures and search techniques
- They should speed up disk search
- Auxiliary files called indexes are used for this purpose
- Indexes are tree data structures or hash data structures
- Records must be copied from disk to main memory during a query
- DBMS must have buffering or caching module
- OS performs data-to-memory buffering
- DBMS do this on their own

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# Providing backup and recovery

## Backup & Recovery

- DBMS must provide facilities for recovering from hardware or software failures
- The backup and recovery subsystem is responsible for this
- Examples: Network failures, system failures, hardware failures, power failures etc.
- Recovery subsystem is responsible for restoring to the state it was in before transaction started executing

# **DBMS** Interfaces

### Multiple user interfaces

- Forms-based interfaces (GUI based)
- Menu-based interfaces (Web based)
- Natural language interfaces
- Speech input and output
- Terminal based
- Batch mode
- External connectivity
- Interfaces for DBA

# Various Users

### **Actors**

- Large database involve hundreds of users
- Responsible for design, use and maintenance of large database
  - Database Administrators
  - Database Designers
  - End Users

# Administrator

### The Chief

- To oversee and manage resources
- authorizing access
- coordinate and monitor the use
- acquire software and hardware as needed

# Designers

# Specific Role

- Responsible for identifying data to be stored in the database
- Choosing appropriate structures to represent and store the data
- These steps comes before database implementation and use
- Understands users requirements and create a design that meet the requirements
- Also responsible for developing views of the database

# **End Users**

## Variety of Users

- Casual end users
- Naive users
- Sophisticated users
- Standalone users

# **DBMS** Architecture

### **Orientation**

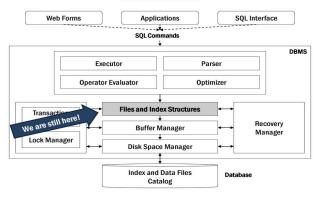


Figure Credit: Raghu Ramakrishnan and Johannes Gehrke: "Database Management Systems", McGraw-Hill, 2003.

# Advantages

Redundancy: storing same data multiple times leads to problems

#### **GRADE REPORT**

Student_number	Student_name	Section_identifier	Course_number	Grade
17	Smith	112	MATH2410	В
17	Smith	119	CS1310	С
8	Brown	85	MATH2410	Α
8	Brown	92	CS1310	Α
8	Brown	102	CS3320	В
8	Brown	135	CS3380	Α

- One update needs to be performed multiple times duplication of effort
- Wastage of storage space; for large databases this is a concern

Data may become inconsistent due to redundancy: when update is
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# Advantages

#### Continued

- We should ideally have each logical data item (name, student identifier, etc) stored in only one place
- This is data normalization
- It ensures consistency and saves storage space
- Some times it is necessary to use controlled redundancy
- This is to improve the performance of queries
- Example: Student\_name and course\_number are stored in grade report

# Controlled Redundancy

Stud. No	Stud. Name	Sec. Id	Course No.	Grade
17	Smit	112	MATH2410	В
17	Smit	119	CS1310	C
8	Brown	85	MATH2410	Α
8	Brown	82	CS1310	Α
8	Brown	102	CS3320	В
8	Brown	105	CS33280	Α

# Controlled Redundancy

#### Reasons

- Whenever we retrieve a record, both student name and course number are retrieved
- By placing them together time for searching student name when retrieving grade report record is saved
- This will speed up the query time
- This is known as denormalization

# Controlled Redundancy

### DBMS overheads

- Should have the capability to control this redundancy
- Prohibit inconsistencies among files
- This should be performed automatically
- Check student\_name, stduent\_number values with that in STUDENT table
- Similarly check section\_id and Course number with that in SECTION file records
- Such checks can be specified during database design
- Are automatically enforced

# **Enforcing Integrity Constraints**

### **ICs**

- Each database application has certain integrity constraints
- Every student has unique roll number
- Unique mobile number
- Unique address
- In a semester student should not register for more than 40 credits
- B Tech student should register for a minimum of 290 credits for award of degree

# **Active Databases**

### **Active Database**

- Writing triggers to perform certain actions
- Writing events to perform time based events
- Writing involved procedures to enforce rules stored procedures

# Additional Benefits

### **Benefits**

- Enforcing standards
  - Facilitates communication and cooperation among vairous departments, projects and users
  - Names, data elements, display formats, report structures, terminology
- Reduced application development time
- Flexibility
- Availability of up-to-date information

## Data Model

### Definition

Collection of high level data description constructs that hide many low-level storage details

## Data Model

### Categories

- Conceptual data models: provide concepts that are close to the way users perceive data
- Low-level/Physical data models: details how data is stored on computer storage media
- Representational data models

# Conceptual Data Model

- They use concepts such as entities, attributes and relationships
- An entity represents a real-world object or a concept. That has physical existence or conceptual thing
- An attribute represents some property that describes an entity
- A relationship among two or more entities represents an association among entities
- A popular high-level conceptual data model is Entity-Relationship model

# Physical data models

- Describe how data is stored as files
- Specifies record formats, record ordering, access paths
- access path is a structure that makes the search for a record(s)
  efficient
- An index is an example of an access path

# Schemas, Instances, & Database State

- Description of database is different from database itself
- The description of a database is called database schema
- Schema is specified during database design
- Schema's do not change frequently as opposed to data

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# Schema - Example

#### **STUDENT**

Name	Student_number	Class	Major
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#### **COURSE**

ourse_name   Course_numbe	Credit_hours	Department
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### **PREREQUISITE**

Course_number	Prerequisite_number
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#### SECTION

Section_identifier C	ourse_number	Semester	Year	Instructor
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### **GRADE REPORT**

Student number	Section_identifier	Grade	
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## **Schemas**

- Displays some aspects of a scheme
- Data types, relationship between schemas are not shown
- Constraints are not represented in this scheme
- Not all constraints can be represented in the schema

### Schemas and databases

- When we define a new database, we specify its database schema only to the DBMS
- Immediately after this database state is empty
- We get to initial state when database is populated with some data.
- Every update operation leads to a different database state
- At any point database has a current state
- DBMS is responsible for ensuring that every state is a valid state

# Database - at a particular moment of time

### database state or snapshot or set of occurances or instances

#### STUDENT

Name	Student_number	Class	Major
Smith	17	1	cs
Brown	8	2	CS

#### COURSE

Course_name	Course_number	Credit_hours	Department
Intro to Computer Science	CS1310	4	cs
Data Structures	CS3320	4	cs
Discrete Mathematics	MATH2410	3	MATH
Database	CS3380	3	cs

#### SECTION

Section_identifier	Course_number	Semester	Year	Instructor
85	MATH2410	Fall	07	King
92	CS1310	Fall	07	Anderson
102	CS3320	Spring	08	Knuth
112	MATH2410	Fall	08	Chang
119	CS1310	Fall	08	Anderson
135	CS3380	Fall	08	Stone

#### GRADE REPORT

Student_number	Section_identifier	Grade
17	112	В
17	119	С
8	85	Α
8	92	Α
8	102	В
8	135	A

#### PREREQUISITE

Course\_number Prerequisite\_number CS245

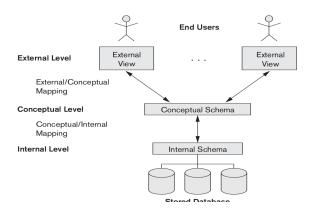
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### Database State

- When a new database is defined state of database is empty
- When database is populated with initial data, initial state is then present
- Every modification operation on the database yields a new state current state
- Database is in valid state when the current state satisfies structure and constraints

### Three Schema Architecture

- Proposed to realize the three characteristics;
  - Self-describing nature
  - Insulation between programs and data; data abstraction
  - Support to multiple views of data



## Three Schema Architecture

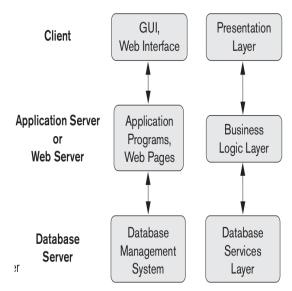
- Internal Schema (Physical Schema) complete details of physical storage structures along with access path are described
  - Store all relations in unsorted/sorted files of records
  - Create indexes on specified columns for access path mechanism
- Conceptual Schema Describes structure of the database
  - Describes entities, data types, relationships, user operations and constraints
  - Physical storage details are not part of the conceptual schema description

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- External Schema Describes part of the database (views)
  - That is of interest to a particular group of users
  - Hides all the other database that are not relevant

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# 3-tier



# **DBMS** Languages

- Data Definition Language
- Data Manipulation Language
- View Definition Language