

\* Dynamic Programming:

→ n jobs

each with a positive profit

Set  $S_i$  given

Pick mutually compatible jobs that maximize the profit.

\* DP Pipeline

① Naive Solution

② Optimal Substructure: Optimal Solution to a problem contains within it

optimal solution to its

Subproblems.

③ Overlapping Subproblems: When recursive algorithm visits the same subproblem.

④ DP Recurrence (based on Optimal Substructure)

⑤ Memoization (Top-down)

⑥ Tabulation (Bottom-up) (Iterative)

⑦ Backtracking the Solution.

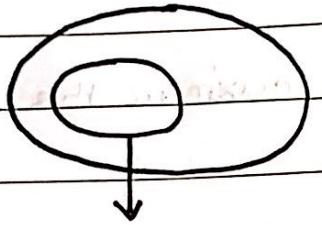
\* Linear Ordering: Order all the subproblems w.r.t. to their sizes. That are to be solved

\* Independent Subproblems: Given any split position  $k$   $(A_1 \dots A_k), (A_{k+1} \dots A_l)$  can be solved independently of each other, i.e. no overlap between  $n$  subproblems

## ① Weighted Interval Scheduling

① Naive :  $O(2^n)$

② Optimal Substructure:

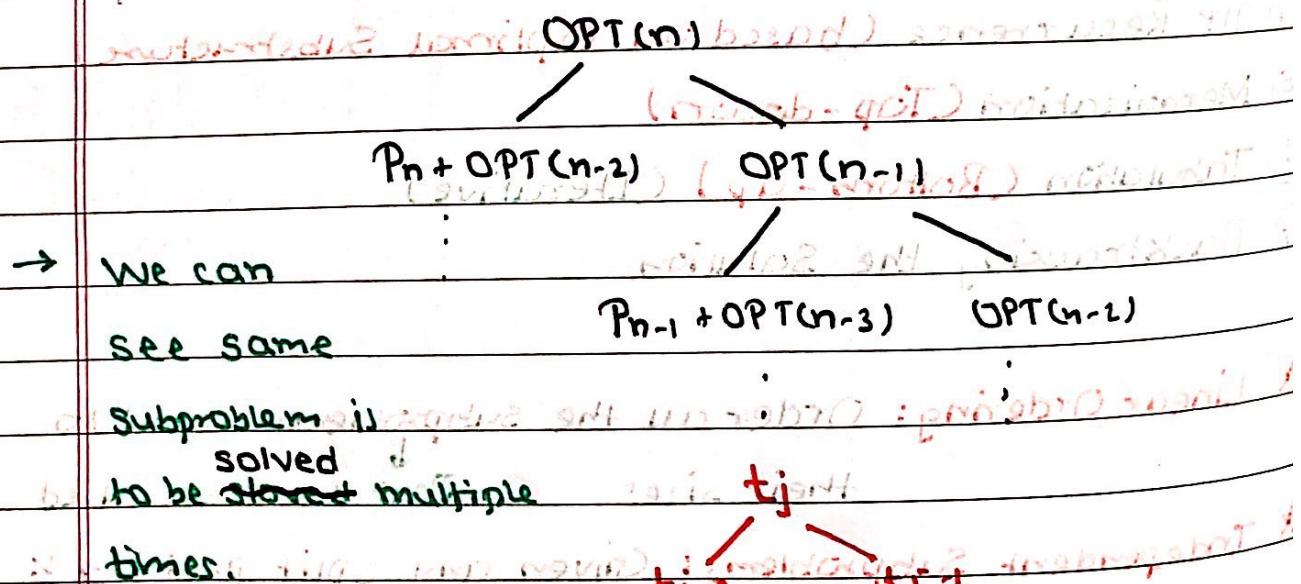


This must be optimal  
for subproblem at ?

## ③ Overlapping Subproblems:

Every  $j^{\text{th}}$  job is compatible with  $(j-2)^{\text{th}}$  job

### \* Recursion Tree



subproblem is solved to be stored multiple times

$t_{j-2}$   $t_j$   $t_{j+1}$

$t_{j-2}, t_j, t_{j+1}$

$$t_j = 1 + t_{j-1} + t_{j-2}$$

$$\Rightarrow (t_j + 1) = (t_{j-1} + 1) + (t_{j-2} + 1)$$

$$f_j = f_{j-1} + f_{j-2}$$

$\therefore$  Time required  $t_n = f_{n+1} - 1 \approx O(1.63^n)$

→ Linear Ordering: Sort by finish times

$p_j$ : profit of  $j^{\text{th}}$  job

$$\text{OPT}(\{1, 2, \dots, j\}) = \text{OPT}(j) \quad 2 \leq j \leq n$$

base case:  $\text{OPT}(0) = 0$

$$\text{OPT}(j) = \max(p_j + \text{OPT}(\text{compatible}(j)), \text{OPT}(j-1)) \quad j \geq 1$$

$$\text{OPT}(0) = 0$$

→ Sort jobs by finish times

→  $\text{compatible}(j)$  can be found by Binary search, where it represents the index of job  $i \ni j-i$  is minimum such that  $i > j$   $\ni$  it is compatible with job  $j$ .

④ DP recurrence:

$\text{OPTVAL}(j)$

if  $j = 0$

return 0

return  $\max(p_j + \text{OPT}(\text{compatible}(j)), \text{OPT}(j-1))$

## ⑤ Memoization

T:  $\begin{array}{|c|c|c|c|c|c|} \hline & & j & & & \\ \hline 0 & & j & n & & \\ \hline \end{array}$  (Fill initially with -1)

### OPTVAL(j)

if  $j=0$  return 0

if  $T[j] != -1$  return  $T[j]$

return  $T[j] = \max(P_j + OPTVAL(\text{compatible}(j)), OPTVAL(j-1))$

$O(n^2)$   $O(n^2) = O(n) \cdot O(n)$

TC :  $O(n \log n)$

WS :  $O(n)$  (Stack + Table)

$$O = 10 \cdot 180$$

## ⑥ Tabulation

## ⑦ Backtracking

Find\_Sol(j)

if  $j=0$  then print j else for i in compatible(j) do

    output nothing

else

    If  $P_j + T[\text{compatible}(j)] \geq T[j-1]$

        print j;

        Find\_Sol(\text{compatible}(j));

    else

        Find\_Sol(j-1);

return

## ② Matrix Chain Multiplication

$A_1 \times A_2 \times \dots \times A_n$  where  $A_i$  has dimension  $P_{i-1} \times P_i$

$P_{n-1} \times P_n$

$$\begin{aligned} (A_1 A_2) A_3 &: P_0 P_1 P_2 + P_0 P_2 P_3 \\ (A_1 (A_2 A_3)) &: P_0 P_1 P_3 + P_1 P_2 P_3 \end{aligned} \quad \left. \begin{array}{l} \text{Total scalar} \\ \text{multiplications} \end{array} \right\}$$

\*  $C_n$ : No. of expressions containing  $n$  pairs of parentheses which are correctly matched

$$C_n = \frac{\binom{2n}{n}}{n+1}$$

$$4 C_n \sim \frac{4^n}{n^{3/2} \sqrt{\pi}}$$

∴ To calculate min. no. of multiplications (scalar)

① Naive:  $\Omega(4^n / n^{3/2})$

② Optimal Substructure:

$(A_1 A_2 \dots A_k) (A_{k+1} \dots A_n)$

To compute optimal solution  $A + B$  must be optimal

# if there was less costly way to parenthesize A

Our solution is not optimal

③ Overlapping Subproblem

$A_i \dots A_j$  is computed both in  $A_{i-1} \dots A_j$  &  $A_i \dots A_{j+1}$

#### ④ DP recurrence / Memoization

$m[i, j]$ : no. of scalar multiplications required to multiply  $A_i \dots A_j$  ( $1 \leq i \leq j \leq n$ )

$A_i \dots A_k A_{k+1} \dots A_j$

$$m[i, j] = \min \{ m[i, k] + m[k+1, j] + p_{i-1} p_k p_j \}$$

$$m[i, i] = 0$$

$i \leq k < j$ , a primitive multiplication

$$m[i, i] = 0$$

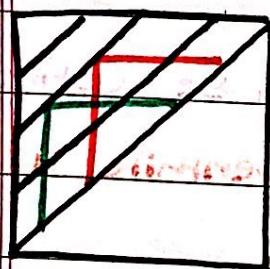
#### ⑤ Tabulation

n	*	0	0	0	0	0
j ↑ 1	0	—	—	—	—	—
i	1	2	..	n		

to fill this

must be ready

\* Space complexity :  $O(n^2)$



Fill diagonals first

## ⑥ Backtracking

Store the split points in each cell  $s[i,j]$

### \* Matrix-Chain-Order

for  $i = 1$  to  $n$

$$m[i,i] = 0$$

for  $l = 2$  to  $n$  (Chain length)

for  $i = 1$  to  $n-l+1$

for  $j = i+l-1$

$$m[i,j] = \infty$$

for  $k = i$  to  $j-1$

$$q = m[i,k] + m[k+1,j] + p_{i-1}p_k p_j$$

if  $q < m[i,j]$

$$m[i,j] = q$$

it records  $s[i,j] = k+1$

return  $m$  and  $s$

begin (P, Q) ①

begin (P, Q) ②

begin (P, Q) ③

### ③ Sequence Alignment

X:  $x_1 x_2 \dots x_m$

Y:  $y_1 y_2 \dots y_n$

X CGT

\* ACTG

Y ACTC

gap penalty: 5

CGT

CGT - m = 1 - 1 = 0

ACTC

ACTC | - i = j ACTC - -

1 5 1 1 = 8

5 0 1 1 = 7

5 5 5 5 5 5 5 = 35

\* Minimize the total penalty

① Naive:  $O(n^m)$

↳ #  $m^n$  places choose n

Here repetitions are not considered

$x_1 x_2 \dots x_i$

①  $x_i, y_j$  aligned

$y_1 y_2 \dots y_j$

②  $x_i, \text{gap}$  aligned

③ gap,  $y_j$  aligned

$$* \text{OPT}(x_1, x_2, \dots, x_i, y_1, y_2, \dots, y_j) \\ = \text{OPT}(i, j)$$

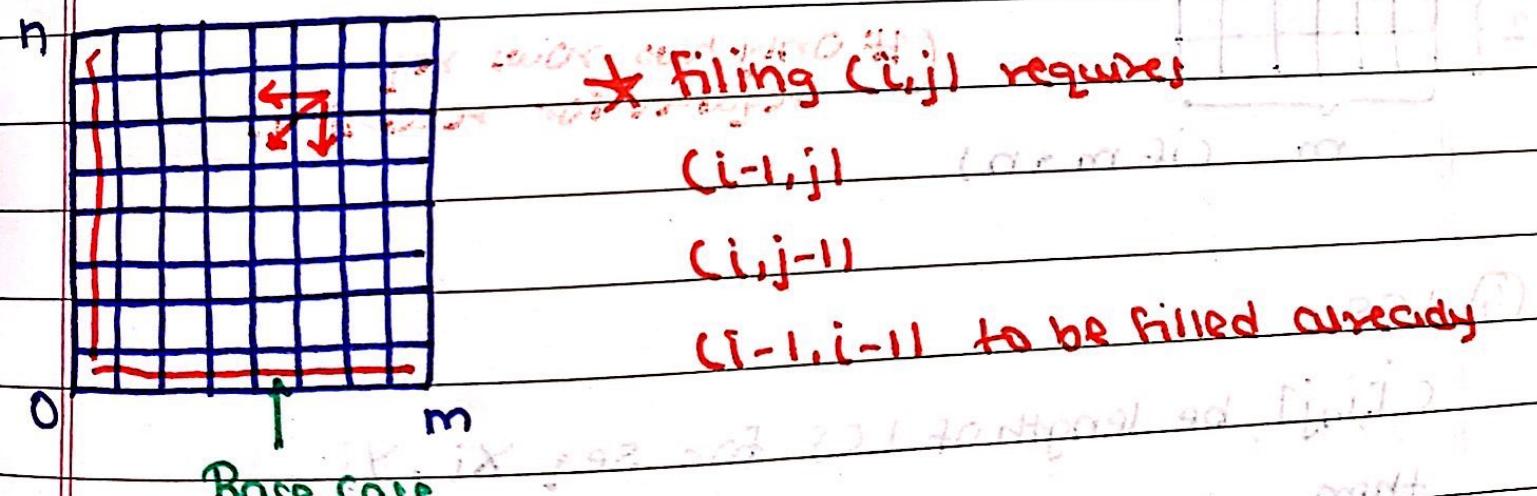
$$= \min ( f(x_i, y_j) + \text{OPT}(i-1, j-1) \\ f(x_i, -) + \text{OPT}(i-1, j) \\ f(-, y_j) + \text{OPT}(i, j-1) )$$

$$\rightarrow \text{OPT}(\emptyset, y_1, \dots, y_j) = \sum_{k=1}^j f(-, y_k)$$

$$\text{OPT}(x_1, \dots, x_i, \emptyset) = \sum_{k=1}^i f(x_k, -) \quad \left. \begin{array}{l} \text{Base Case} \\ \text{instead of } f(i, j) \end{array} \right\}$$

$$\text{OPT}(\emptyset, \emptyset) = 0$$

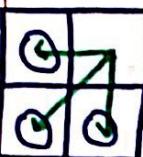
## ② Tabulation



\* fill entries in  $\Theta(mn)$

# prefix sums

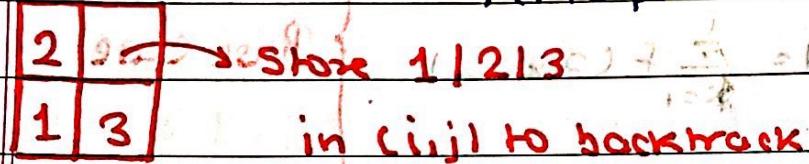
## \* Proof of Correctness (Use optimal substructure)



Optimal solution

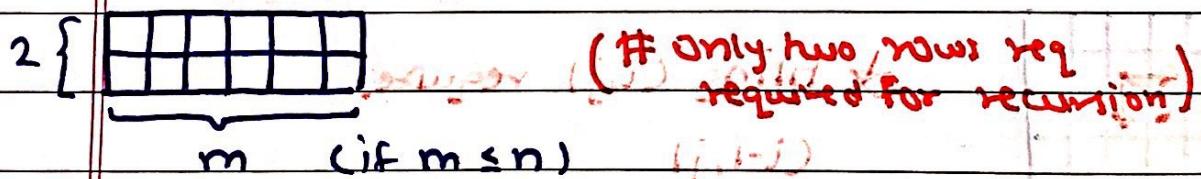
$\Leftrightarrow$  the three cells are filled optimally.

Space:  $O(mn)$  : To calculate the optimal solution  
i.e. to print it.



$O(\min(n,m))$  to calculate

just the optimal value / penalty



④ LCS based on  $i-1, j-1$

$C[i, j]$  be length of LCS for seq  $x_i, y_j$

then

$$C[i, j] = \begin{cases} 0 & \text{if } i=0 \text{ or } j=0 \\ C[i-1, j-1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j \\ \max(C[i-1, j], C[i, j-1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j \end{cases}$$

## ⑤ Knapsack Problem (0-1 Knapsack)

Items: Weights Value

$i_1 \quad w_1 \quad v_1$

$i_2 \quad w_2 \quad v_2$

$\vdots \quad \vdots \quad \vdots$

$i_n \quad w_n \quad v_n$

→ Maximise the value of items taken out

if  $\sum w_i \leq W$  (Capacity of Knapsack)

→ Fractional Knapsack (using dynamic programming)

(Sort in ↓ order of  $v_i/w_i$ . Prove by exchange argument.)

\*  $OPT(j, W) = \max \{ v_j + OPT(j-1, W - w_j), OPT(j-1, W) \}$

max of these two

if  $w_j \geq W$

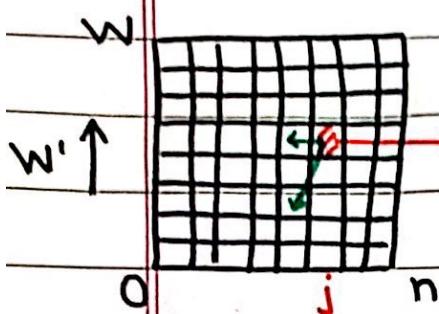
else  $OPT(j, W) = OPT(j-1, W)$

$$OPT(0, W) = 0$$

$$OPT(j, 0) = 0$$

1 2 ... j ... n

\* No independent subproblems



\* Store 0 or 1

in each cell of new table M.

0: exclude

1: include

T.C.  $O(nw)$

$O(n^2 \log w)$ : Pseudo Polynomial Time

Hard Problem  $\rightarrow$   $w \geq 21$

→ OPTIMAL SOLUTION given M can be found in  $O(n)$

Second step (bottom up) with algorithm in notes

Step by step approach (bottom up)

Step by step approach (bottom up)

$(W, i) = (W, i - 1) T90 + IV$  =  $(W, i) T90 +$

last digit 40 x 001

last digit 40 x 001

$(W, i - 1) T90 = (W, i) T90 - 001$

last digit 40 x 001

$a = (W, 0) T90$

last digit 40 x 001

$a = (0, i) T90$

last digit 40 x 001

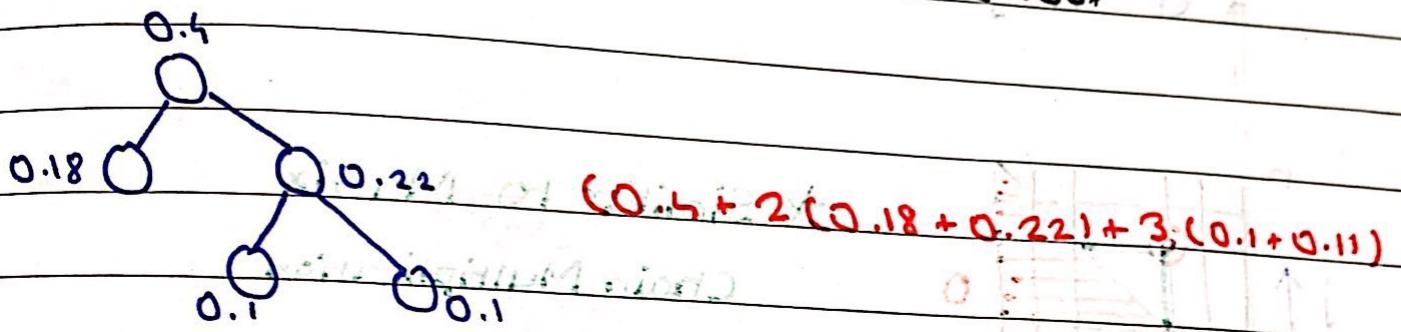
last digit 40 x 001

## ⑥ Offline BST

$$\{k_1, k_2, \dots, k_n\} \text{ s.t. } \sum_{i=1}^n p_i = 1$$

$\vdots \quad \vdots$   
 $p_1 \quad p_2 \quad \quad \quad p_n$

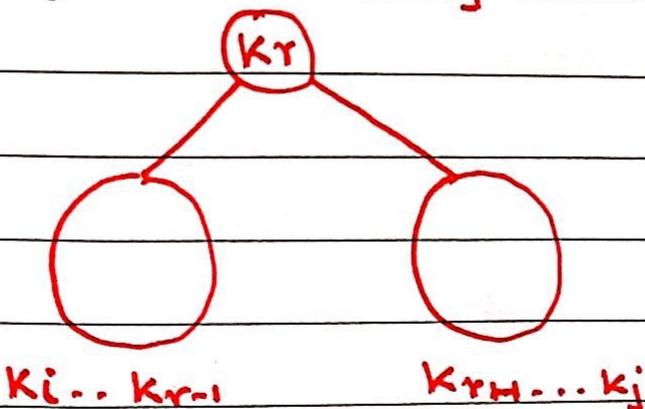
→ Expected search cost to be minimized  
 $\therefore \uparrow$  prob key should be closer to the root



$$\therefore \text{Expected search cost} = \sum_{i=1}^n p(k_i) (d(k_i) + 1)$$

→ Organise

$k_i \cdot k_r \ k_j$  (sorted) (key values)



\* Cut & paste  
argument

\* Independent Subproblems ✓  
(Subtrees solved independently)

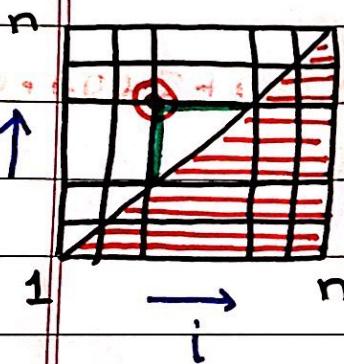
$$\star \text{OPT}(i, j) = \text{OPT}(k_i \dots k_j)$$

$$= \min_{l \leq r \leq j} \left\{ \begin{array}{l} \text{OPT}(k_i \dots k_{r-1}) + \text{OPT}(k_{r+1} \dots k_j) \\ + \sum_{u=i}^{r-1} p(k_u) \end{array} \right.$$

$$= p_i \text{ if } (i=j)$$

$$= 0 \text{ if } j < i$$

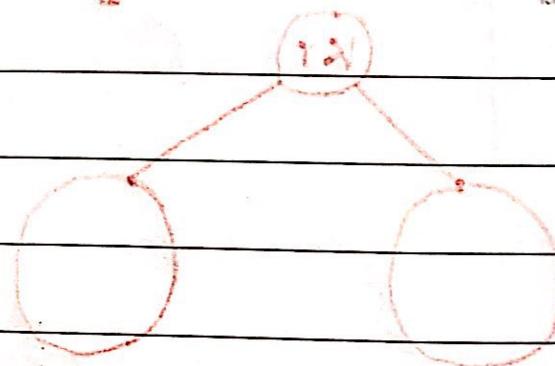
# Cost =  $\sum p(k)(d(k)+1)$



$\star$  Similar to Matrix

Chain Multiplication

T.C.  $O(n^3)$



$i_1, i_2, \dots, i_n$

$i_1, i_2, \dots, i_n$