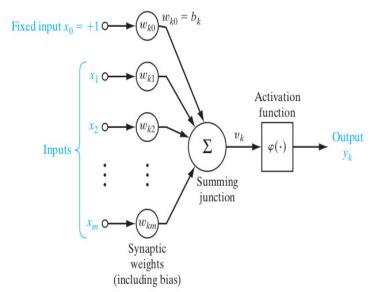
# Deep Learning

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### Modified Neuron Model



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## Neural Networks as Directed Graphs

#### **Directed Graphs**

- Consists of links and nodes
- A node has associated signal  $x_i$
- A directed link originates at node j and terminates at node k
- links are of two types
  - Synaptic links
  - Activation links

## Neural Networks as Directed Graphs

#### Rules

Rule 1 A signal flows along a link only in one direction (arrow decides the flow)

Synaptic links Node signal  $x_j$  is multiplied by weight  $w_{kj}$  to produce node signal  $y_k$ 

Activation links This links behavior is governed by activation function  $\phi(.)$ 

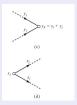
$$x_{j} \bigcirc \underbrace{\qquad \qquad \qquad }_{\text{(a)}} \circ y_{k} = w_{kj}x_{j}$$

$$x_{j} \bigcirc \underbrace{\qquad \qquad }_{\text{(b)}} \circ y_{k} = \varphi(x_{j})$$

## Neural Networks as Directed Graphs

#### Rules

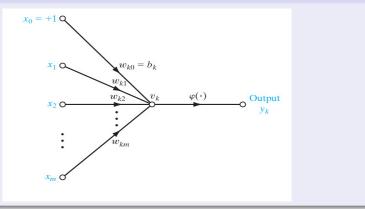
Rule 2 A node signal equal to the sum of all signals entering the node



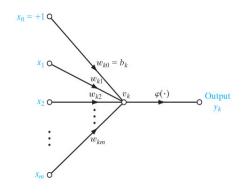
Rule 3 Signal at node is transmitted to each out going link with the same signal

# Neuron Example as Directed Graphs

#### Neuron Model



# Neuron Model - Directed Graph



- Rule 1 synaptic link:  $x_0 \times w_{k0}$
- Rule 1 synaptic link: Second link:  $x_1 \times w_{k_1}$
- Rule 1 synaptic link:  $m^{th}$  link:  $x_m \times w_{km}$
- Rule 2: Node  $v_k$ :  $x_0 \times w_{k0} + x_1 \times w_{k1} + \cdots + x_m \times w_{km}$
- Rule 1: activation link between node  $v_k$  and  $y_k$
- Rule 1: activation link:

$$y_k = \phi\left(\sum_{j=1}^m w_{kj} x_j\right)$$

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#### **Neural Network Architectures**

#### **Types**

- Single-layer feedforward networks
- Multi-layer feedforward networks
- Recurrent networks

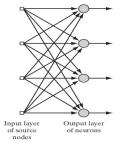


FIGURE 15 Feedforward network with a single layer of neurons.

- Input layer
- Output layer
- Each node is a neuron model
- The arrow emerging out of single node is the output of the neuron model  $(y_k)$

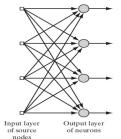
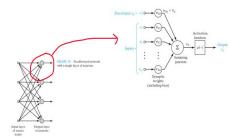
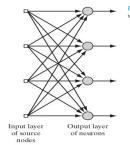


FIGURE 15 Feedforward network with a single layer of neurons.





Feedforward network with a single layer of neurons.

- Let the inputs be:  $x_1, x_2, \dots, x_m$
- Let the weights on the first neuron be:

$$W_{11}, W_{12}, W_{13}, \cdots, W_{1m}$$

 Let the weights on the second neuron be:

$$W_{21}, W_{22}, W_{23}, \cdots, W_{2m}$$

Output of the first neuron will

be: 
$$y_1 = \phi \left( \sum_{j=0}^m w_{1j} x_j \right)$$

Output of the second neuron

will be: 
$$y_2 = \phi \left( \sum_{j=0}^m w_{2j} x_j \right)$$

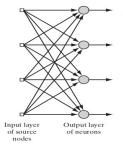


FIGURE 15 Feedforward network with a single layer of neurons.

- Network is feed forward as the inputs and weigths are passing along the direction of the arrows of the network in one direction
- One example of the environment is presented to this network
- Known quantities:
  - One input example (one spam email and its assocaited features) that is

$$X_{i1}, X_{i2}, \cdots, X_{im}$$

Input examples class label: d<sub>i</sub>

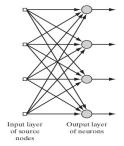
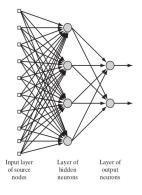


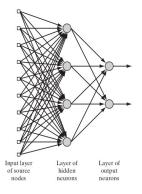
FIGURE 15 Feedforward network with a single layer of neurons.

- What is to be learned?
  - Weights for first neuron:  $w_{11}, w_{12}, w_{13}, \cdots, w_{1m}$
  - Weights for second neuron:  $w_{21}, w_{22}, w_{23}, \cdots, w_{2m}$
  - Weights for the last neuron:  $W_{11}, W_{12}, W_{13}, \cdots, W_{1m}$

# Multi layer feedforward networks

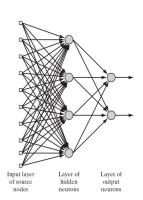


# Multi layer feedforward networks



- Input layer, number of hidden layers and output layer
- Architecture is referred as:  $m h_1 h_2 q$
- m input features; h<sub>1</sub> hidden units in the first layer
- h<sub>2</sub> hidden units in the second layers and q-output nodes
- First layers is the input layer; last layer is the output layer

# Multi layer feedforward networks

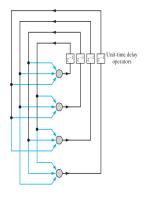


 Computation at the first node of the output layer:

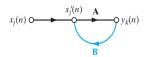
$$\bullet \ y_{21} = \phi \left( \sum_{j=0}^4 \frac{y_{1j} w_{2j}}{y_{1j} w_{2j}} \right)$$

- Output depends on the chosen activation function
- Input to the output layers is the 1st hidden layer
- Let its outputs are denoted as *y*<sub>11</sub>, *y*<sub>12</sub>, *y*<sub>13</sub>, *y*<sub>14</sub>
- The inputs in the 1st hidden layer are multiplied with the weights on the synaptic links going out of the first hidden

#### Recurrent networks

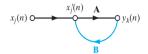


- Recurrent with no hidden layer
- Contains at least one feedback loop
- First neuron output is fed to rest of the three neurons
- Second neuron output is fed to rest of the other three neurons



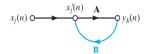
$$y_k(n) = \mathbf{A}[x_j'(n)]$$

- Three Nodes are there  $x_j(n), x'_j(n)$  and  $y_k(n)$
- Two black colored directed links
- One blue colored directed link
- Node  $x_i'(n)$  has two input links
  - One from node  $x_i(n)$
  - One from node  $y_k(n)$



$$y_k(n) = \mathbf{A}[x'_j(n)]$$
  
 $x'_j(n) = x_j(n) + \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}$ 

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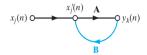


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$$y_k(n) = \mathbf{A}[x_j'(n)]$$

$$x_j'(n) = x_j(n) + \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}$$

$$y_k(n) = \mathbf{A}[x_j(n) + \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}]$$



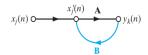
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  - One from node  $y_k(n)$

$$y_k(n) = \mathbf{A}[x_j'(n)]$$

$$x_j'(n) = x_j(n) + \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}$$

$$y_k(n) = \mathbf{A}[x_j(n) + \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}]$$

$$= \mathbf{A}[x_j(n)] + \mathbf{A}\underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}$$



- Three Nodes are there  $x_i(n), x_i'(n)$  and  $y_k(n)$
- Two black colored directed links
- One blue colored directed link
- Node  $x_i'(n)$  has two input links
  - One from node  $x_i(n)$
  - One from node  $y_k(n)$

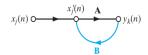
$$y_k(n) = \mathbf{A}[x_j'(n)]$$

$$x_j'(n) = x_j(n) + \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}$$

$$y_k(n) = \mathbf{A}[x_j(n) + \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}]$$

$$= \mathbf{A}[x_j(n)] + \mathbf{A} \underbrace{\mathbf{B}[y_k(n)]}_{feedbackoutput}$$

$$= \mathbf{A}[x_j(n)] + \mathbf{A}\mathbf{B}[y_k(n)]$$



- Three Nodes are there  $x_i(n), x_i'(n)$  and  $y_k(n)$
- Two black colored directed links
- One blue colored directed link
- Node  $x_i'(n)$  has two input links
  - One from node  $x_j(n)$
  - One from node  $y_k(n)$

$$y_{k}(n) = \mathbf{A}[x'_{j}(n)]$$

$$x'_{j}(n) = x_{j}(n) + \mathbf{B}[y_{k}(n)]$$

$$feedbackoutput$$

$$y_{k}(n) = \mathbf{A}[x_{j}(n) + \mathbf{B}[y_{k}(n)]]$$

$$feedbackoutput$$

$$= \mathbf{A}[x_{j}(n)] + \mathbf{A} \mathbf{B}[y_{k}(n)]$$

$$feedbackoutput$$

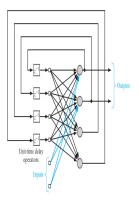
$$= \mathbf{A}[x_{j}(n)] + \mathbf{A}\mathbf{B}[y_{k}(n)]$$

$$y_{k}(n) = \frac{\mathbf{A}}{(1-\mathbf{A}\mathbf{B})}[x_{j}(n)]$$

$$(1)$$

#### Recurrent networks

### with one hidden layer



#### Modern Architectures

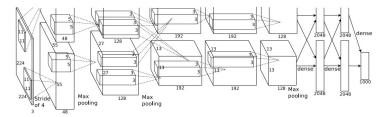


Figure 2: An illustration of the architecture of our CNN, explicitly showing the delineation of responsibilities between the two GPUs. One GPU runs the layer-parts at the top of the figure while the other runs the layer-parts at the bottom. The GPUs communicate only at certain layers. The network's input is 150,528-dimensional, and the number of neurons in the network's remaining layers is given by 253,440–186,624–64,896–64,896–43,264–4096–4006–1000.

## Knowledge Representation

#### Definition

Stored information or models used by a person or a machine to interpret, predict and appropriately respond to the outside world.

## Knowledge Representation

#### Discussion

Knowledge of the world consists of two kinds of information:

- Prior Information the known facts.
- Class related prior information example: 20% of emails belong to spam;
- Feature related prior information example 2: 90% of spam emails contain the word "Free Free Free"
- Incorporating such information is of

## Knowledge Representation

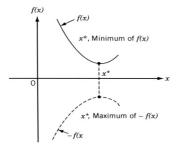
#### Four main points

- Rule 1 Similar inputs from similar classes should produce similar representations inside the network
- Rule 2 Inputs to be categorized as separate classes should be given widely different representation in the network
- Rule 3 Importance to specific features is given through involving large number of neurons
- Rule 4 Prior information is achieved through design of neural network.

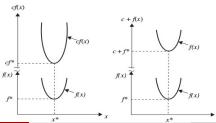
#### Introduction

- Obtain best result under given circumstance
- In engineering discipline the goal is to minimize the effort required or maximize the desired benefit
- These are expressed as function of certain decision variables
- Optimization can be defined as the process of finding conditions that gives maximum or minimum value of a function

### Introduction



**Figure 1.1** Minimum of f(x) is same as maximum of -f(x).



# Statement Of Optimization Problem

Optimization problem

minimize 
$$f$$
  $f(\mathbf{x})$   
subject to  $g_j(\mathbf{x}) \leq 0 \ \forall \ j = 1, 2, \cdots, m$   
 $l_j(\mathbf{x}) = 0 \ \forall \ j = 1, 2, \cdots, p$ 

- x: Design variables/ design vector
- $f(\mathbf{x})$ : objective function
- $g_i(\mathbf{x})$  inequality constraints
- $l_i(\mathbf{x})$  equality constraints
- Constrained optimization problem

### **Variations**

- Design variables:
  - Single variable/Multivariable
  - Continuous values/integer values
- objective function
  - Linear
    - Non-linear
    - Convex
    - Single objective/multi objective
    - Unimodal/multimodal
- Constraints
  - No constraints
  - only  $l_i(.)$  which are linear
  - both  $g_i(.)$  and  $l_i(.)$
  - Convex

## Nature of objective functions

- When there are no constraints present the problem is an unconstrained optimization
- When there are constrains present the problem is known as constrained optimization
- Linear Optimization When  $f(\mathbf{x})$  is linear and only linear constraints are present
- Non Linear Optimization when f(x) is nonlinear
- Convex Optimization When  $f(\mathbf{x})$  is convex and constraints are linear

## Single variable optimization

#### Local optimal

f(x) has a minimum at  $x = x^*$  if  $f(x^*) \le f(x^* + h)$  for all sufficiently small positive and negative values of h.

f(x) has a maximum at  $x = x^*$  if  $f(x^*) \ge f(x^* + h)$  for all sufficiently small positive and negative values of h.

#### Global optimal

 $x = x^*$  found in the interval [a, b] such that  $x^*$  minimizes f(x)

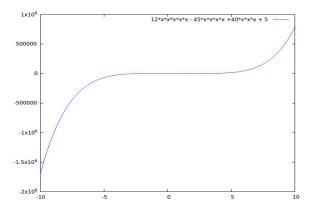
# Single Variable

#### **Necessary Condition**

if f(x) is defined in the interval [a, b] and has a local minimum at  $x = x^*$ ; let the first order derivative of f(x) exists at  $x = x^*$  then

$$\frac{df(x)}{dx} = 0$$

# Example



### Example

$$f'(x) = 60(x^4 - 3x^3 + 2x^2) = 60x^2(x - 1)(x - 2)$$
  
$$f'(x) = 0 \text{ at } x = 0, 1 \text{ and } 2.$$

#### Multi Variable

#### **Necessary Condition**

Let 
$$\mathbf{x} = (x_1, x_2, \dots, x_m)$$

If f(x) has a maximum or minimium point at  $x = x^*$ . Assume partial derivatives of f(x) exists at  $x^*$  then

$$\left. \frac{\partial f(\mathbf{x})}{\partial x_1} \right|_{x_1 = x_1^*} = \left. \frac{\partial f(\mathbf{x})}{\partial x_2} \right|_{x_2 = x_2^*} = \dots = \left. \frac{\partial f(\mathbf{x})}{\partial x_m} \right|_{x_n = x_m^*} = 0$$

$$\frac{\partial f(\mathbf{x})}{\partial \mathbf{x}}\bigg|_{\mathbf{x}=\mathbf{x}^*} = \begin{bmatrix} \frac{\partial f(\mathbf{x})}{\partial x_1} \\ \frac{\partial f(\mathbf{x})}{\partial x_2} \\ \vdots \\ \frac{\partial f(\mathbf{x})}{\partial x_{-}} \end{bmatrix}\bigg|_{\mathbf{x}=\mathbf{x}^*} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix} = \mathbf{0}$$

## Example

$$f(x_1, x_2) = x_1^3 + x_2^3 + 2x_1^2 + 4x_2^2 + 6$$

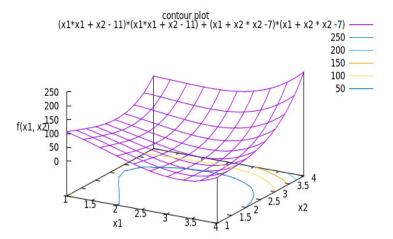
#### **Necessary Condition**

$$\frac{\partial f(x_1, x_2)}{\partial x_1} = 3x_1^2 + 4x_1 = x_1(3x_1 + 4) = 0$$

$$\frac{\partial f(x_1, x_2)}{\partial x_2} = 3x_2^2 + 8x_2 = x_2(3x_2 + 8) = 0$$

These equations satisfy at (0, 0),  $(0, -\frac{8}{3})$ ,  $(-\frac{4}{3}, 0)$  and  $(-\frac{4}{3}, -\frac{8}{3})$ 

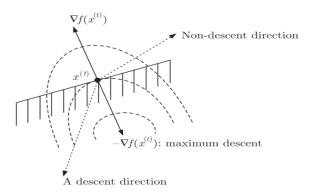
#### **Contours**



#### **Descent Direction**

#### Definition

A search direction  $\mathbf{d}^t$  is a descent direction at point  $\mathbf{x}^t$  if the condition  $\nabla f(\mathbf{x}^t).\mathbf{d}^t \leq 0$  is satisfied



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#### **Descent Direction**

#### Condition

$$f(\mathbf{x}^{(t+1)}) < f(\mathbf{x}^t) < f(\mathbf{x}^t + \alpha \bigtriangledown f(\mathbf{x}^t).\mathbf{d}^t)$$
(2)

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#### Maximum Descent Direction

#### Condition

When  $\mathbf{d}^t = - \bigtriangledown f(\mathbf{x}^t)$  maxim decrease in function value is obtained Let  $\mathbf{d}^t = (1,0)^T$  Example:  $f(x_1,x_2) = (x_1^2 + x_2 - 11)^2 + (x_1 + x_2^2 - 7)^2$  Let  $\mathbf{x}^t = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  Let  $\mathbf{d}^t = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$   $\bigtriangledown f \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} -46 \\ -38 \end{pmatrix}$   $\begin{pmatrix} 1 \\ 0 \end{pmatrix} = -46$ 

#### Maximum Descent Direction

#### Condition

When  $\mathbf{d}^t = - \nabla f(\mathbf{x}^t)$  maxim decrease in function value is obtained

Example: 
$$f(x_1, x_2) = (x_1^2 + x_2 - 11)^2 + (x_1 + x_2^2 - 7)^2$$

Let 
$$\mathbf{x}^t = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

When 
$$\mathbf{d}^t = - \nabla f(\mathbf{x}^t) = \begin{pmatrix} 46 \\ 38 \end{pmatrix}$$

$$\nabla f\left(\left(\begin{array}{c}1\\1\end{array}\right)\right) = \left(\begin{array}{c}-46\\-38\end{array}\right)$$

$$(-46-38)\left(\begin{array}{c}46\\38\end{array}\right)=-3560$$

#### **Gradient Descent**

#### Algorithm

Step 1 Choose a maximum number of iterations M to be performed, an initial point  $x^{(0)}$ , two termination parameters  $\epsilon_1$ ,  $\epsilon_2$ , and set k=0.

**Step 2** Calculate  $\nabla f(x^{(k)})$ , the first derivative at the point  $x^{(k)}$ .

Step 3 If  $\|\nabla f(x^{(k)})\| \le \epsilon_1$ , Terminate;

Else if  $k \ge M$ ; **Terminate**;

Else go to Step 4.

**Step 4** Perform a unidirectional search to find  $\alpha^{(k)}$  using  $\epsilon_2$  such that  $f(x^{(k+1)}) = f(x^{(k)} - \alpha^{(k)} \nabla f(x^{(k)}))$  is minimum. One criterion for termination is when  $|\nabla f(x^{(k+1)}) \cdot \nabla f(x^{(k)})| \le \epsilon_2$ .

Step 5 Is  $\frac{\|x^{(k+1)}-x^{(k)}\|}{\|x^{(k)}\|} \le \epsilon_1$ ? If yes, Terminate;

Else set k = k + 1 and go to Step 2.

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