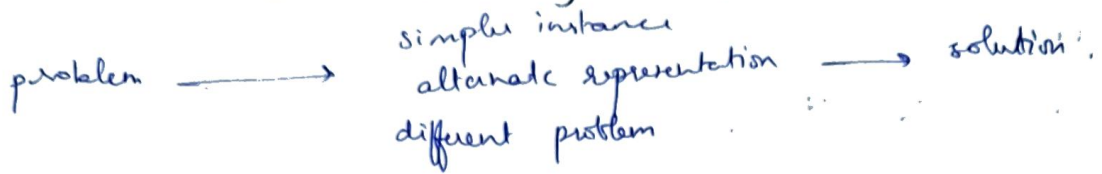


# TRANSFORM & CONQUER



## Red-Black Trees $[ \log n ]$

Root, leaves (NULL)  $\longrightarrow$  black

every red node  $\longrightarrow$  two children

route from root to NIL always has same no of black nodes (black depth)

shortest path  $\longrightarrow$  all black

longest path  $\longrightarrow$  alternate

$$\boxed{\text{longest} \leq 2(\text{shortest})}$$

$$\boxed{h \leq 2 \log_2(n+1)}$$

### Insertion

① insert and colour, red

② if Z is root:  
recolor

if Z.uncle = red:

recolors unc, parent, grandparent

if Z.uncle = black (triangle):

rotate Z.parent

if Z.uncle = black (line):

rotate Z.grandparent

recolors parent, grandparent

### 2-3 Trees

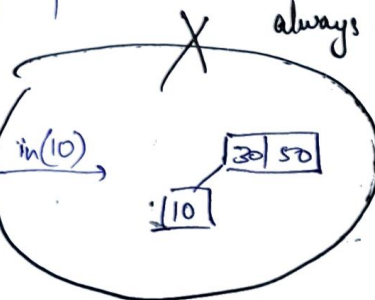
50

in(30)

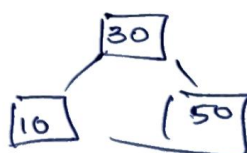
30 50

in(10)

10 30 50

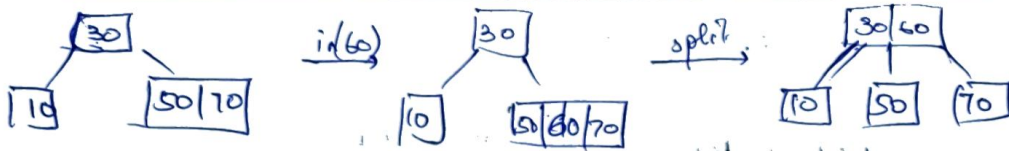


split



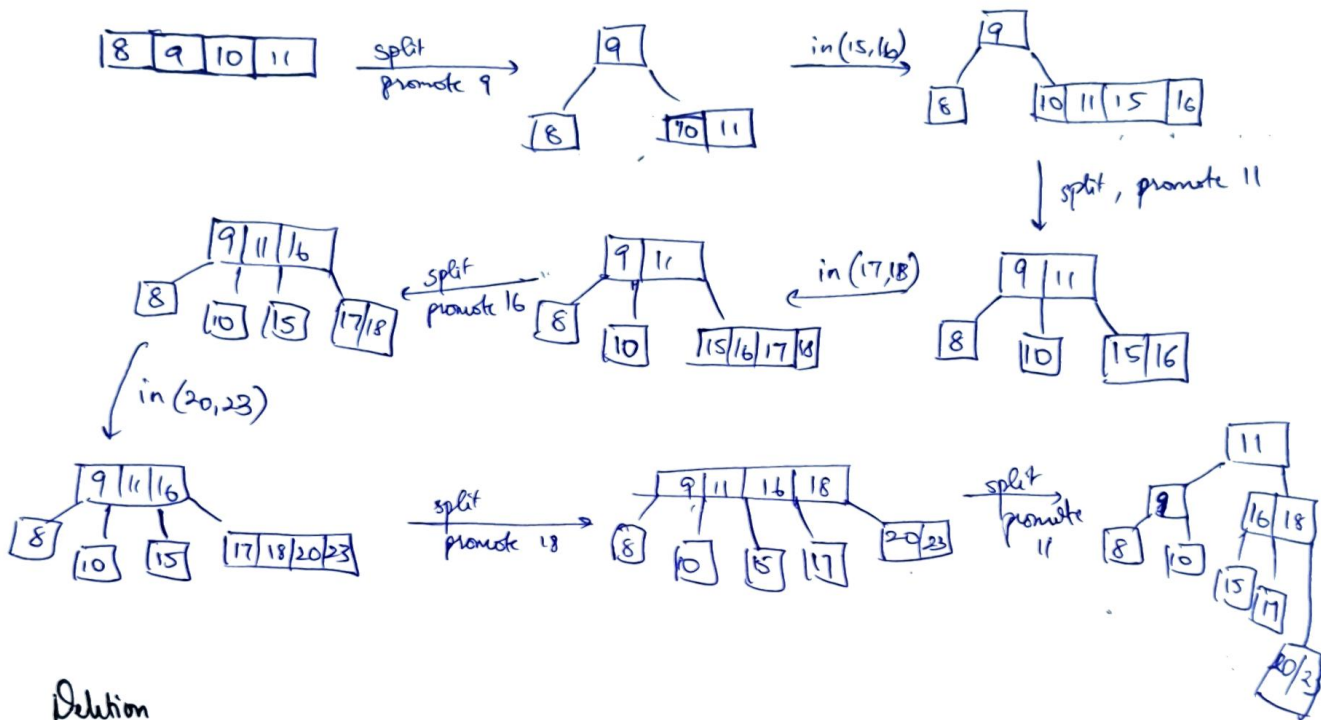
in(70)



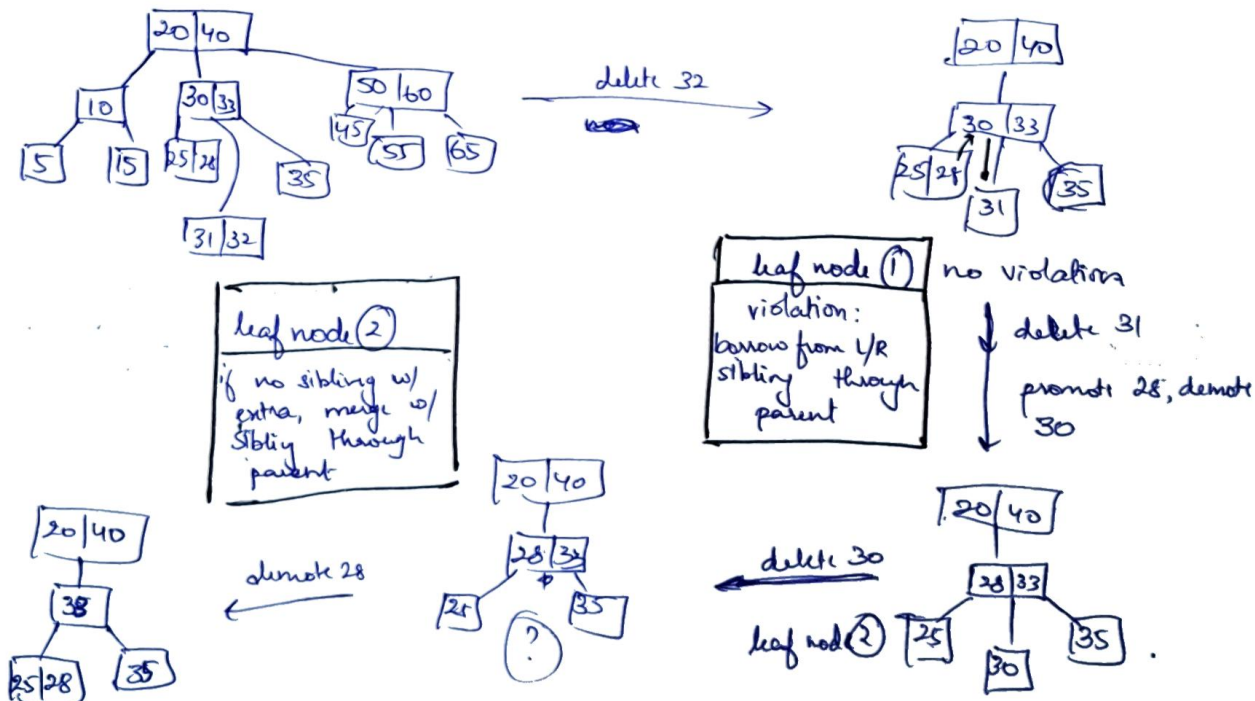


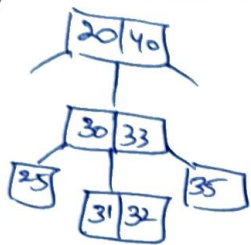
## B-TREE

8, 9, 10, 11, 15, 16, 17, 18, 20, 23. Order = 4

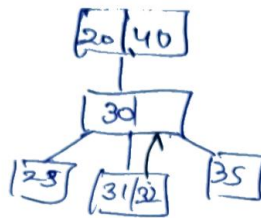


## Deletion

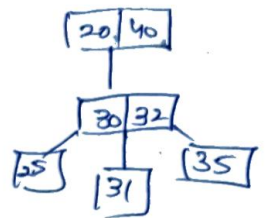




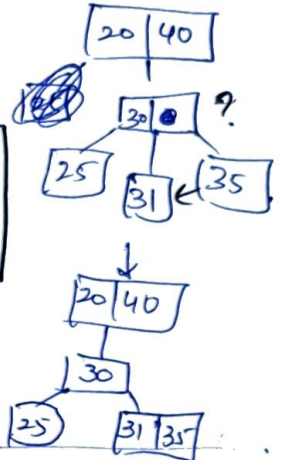
delete 33



promote  
32

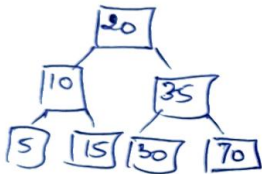


delete 32



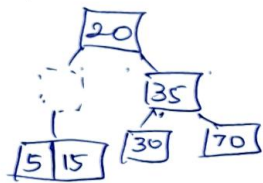
internal node ①  
if children extra,  
replace w/ inorder  
pred/successor

internal node ②  
no extra,  
merge children

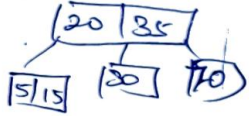


delete 10

no extra,  
merge  
children

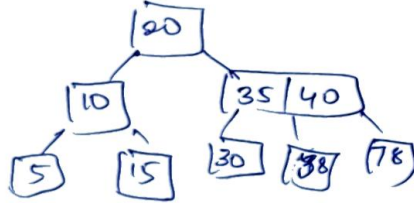


→



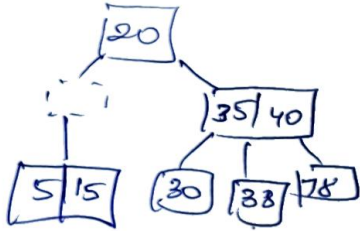
internal node ③  
look for extra in  
sibling to replace

internal node ③  
no extra in deleted  
merge parent w/  
sibling



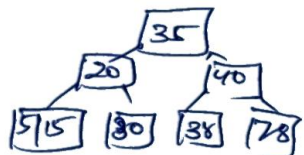
delete 10

②  
no extra  
merge  
children

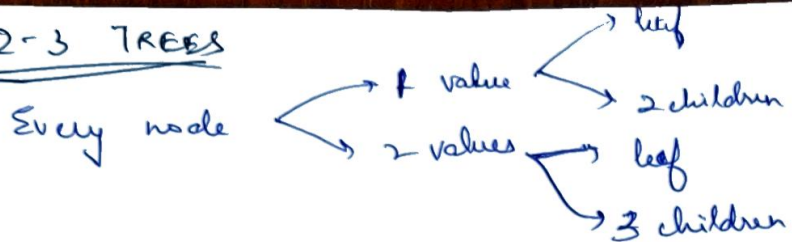


③  
merge  
parent  
w/ sibling

split  
promote  
35



## 2-3 TREES



$(\log n)$

All leaf nodes at same level  
like BST for search.

Insertion: find leaf to insert  $\rightarrow$  if more than 2, split and promote middle.

## B-TREE / M-way height balanced tree

General version of 2-3 tree.

2-3 tree  $\rightarrow$  B tree of order 3.

for order  $n$ ,

Any node can have max  $n-1$  values and  $n$  children

Each node except root  $\xrightarrow{\text{at least}}$   $\frac{n}{2}$  children  
 $\xrightarrow{\text{at most}}$   $n$  children

Root will have at least 1 value, 2 children.

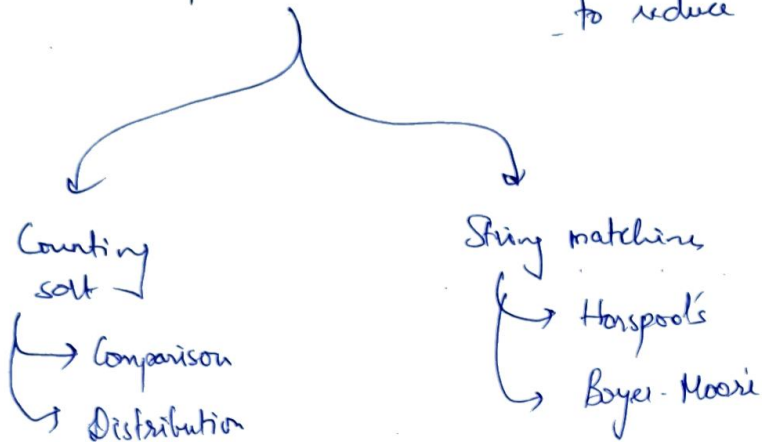
P.T.O

All leaves at same level, i.e., same height/depth



# SPACE - TIME TRADEOFFS

Input Enhancement: additional space to preprocess input  
to reduce overall time.



## Comparison Count Sort

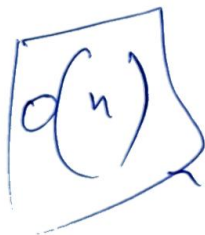
for every element, count no. of elements smaller than it.

→ gives position  
go through arr. → +1 the  $i$ th element for every element smaller  
+1 the  $i$ th element if bigger than  $i$ th

## Distribution Count Sort

Say you know the elements of a list  $\in$  finite domain

- get frequency of each element
- Construct distribution array with cumulative freq  $f_i$   
array elements in order.
- go from  $n-1$  to zero →  $A[i]-1$  becomes index in  $D$



Subtract 1 ←  
from  $D$  stored  
freq.

$D[i]-1$   
(zero based  
index)

becomes index  
for element  
in sorted  
array

# Input Enhancement

## Comparison Count Sort

Count the smaller  
↓  
ascending

" " greater  
↓  
descending

A 

62	31	84	96	19	47
----	----	----	----	----	----

Count (initial) 

0	0	0	0	0	0
---	---	---	---	---	---

i=0 

3	0	1	1	0	0
---	---	---	---	---	---

i=1 

3	1	2	2	0	1
---	---	---	---	---	---

i=2 

3	1	4	3	0	1
---	---	---	---	---	---

i=3 

3	1	4	5	0	1
---	---	---	---	---	---

i=4 

3	1	4	5	0	2
---	---	---	---	---	---

 } Final state.

Sorted array: 

19	31	47	62	84	96
----	----	----	----	----	----

$$\left[ \frac{n^2 + n - 1}{2} \right]$$

## Distribution Count sort

you know values come from finite domain, you know order of finite domain.

① freq. array  
② dist. array → cumulative freq.

③ from n-1 to 0:

$$A[i] = x$$

x - lower bound = index for dist array  $d_i$

$$S[A[d_i]] = A[i]$$

$$D[d_i] = 1$$

$$D[0 \dots (i-1)]$$

$$D[i] = D[i-1] + D[d_i]$$

$$O(n)$$

{11, 12, 13}

13	11	12	13	12	12	1
----	----	----	----	----	----	---

11 12 13

freq:

1	3	2
---	---	---

Dist:

1	4	6
---	---	---

1	4	6
---	---	---

-	-	-	-	-	-
---	---	---	---	---	---

$A[5]=12$

1	3	6
---	---	---

-	-	-	12	-	-
---	---	---	----	---	---

$A[4]=10$

1	2	6
---	---	---

-	-	12	12	-	-
---	---	----	----	---	---

$A[3]=13$

1	2	5
---	---	---

-	-	12	12	-	13
---	---	----	----	---	----

$A[2]=12$

1	2	5
---	---	---

-	12	12	12	-	13
---	----	----	----	---	----

$A[1]=11$

0	1	5
---	---	---

11	12	12	12	-	13
----	----	----	----	---	----

$A[0]=13$

0	1	4
---	---	---

11	12	12	12	13	13
----	----	----	----	----	----

## Horstpool's Algorithm

Shift table

Shift-val(a)

$\Theta(n)$
$O(m)$

$\left\{ \begin{array}{ll} \text{length of pattern } m & \text{if } a \notin P \\ \text{distance from rightmost occurrence of 'a' to end} & \text{if } a \in P \end{array} \right.$

Always makes a shift based on rightmost character c that was compared to p

Suffix matching

$0 \rightarrow m-2 : \text{Table}[P[j]] \leftarrow m-1-j$

P: BARBER

Shift table

A	B	E	R	...
4	2	1	3	6

S: THIS \_ IS \_ A \_ BARBIE - BARBERSHOP  
 BARBER  
 BARBER  
 BARBER  
 BARBER ✓

## BOYER - MOORE STRING MATCHING

Two tables → bad symbol shift → same as Horspool  
 → good suffix shift

k from 1 to m-1. take k length suffix  
 and check for <sup>rightmost</sup> occurrence that  
 isn't preceded by the same character  
 (preceding suffix)

$d_1$  = Horspool shift - no of chars matched

$d_2$  = good suffix shift

shift value =  $\max(d_1, d_2)$

only when some characters  
 matched. If no characters match,  
 shift value is only d1

P: BAOBAB

Bad symbol shift

B	A	O	...
2	1	3	6

good suffix shift

k	suffix	$d_2$
1	BAOBAB	2
2	BAOBAB	X 5
3	BAOBAB	X 5
4	BAOBAB	X 5
5	BAOBAB	X 5

CABABA  
 $d_2(1) = 4$

WOWWOW  
 $d_2(3) = 3$

$d_2(2) = 5$   
 ∴  $d_2(1) = 5$



B E S S \_ K N E W \_ A B O U T \_ B A O B A B S

B A O B A B

$d_1 = 6, d_2 = 2$

B A O B A B

$d_1 = 6 - 2 = 4, d_2 = 2$

B A O B A B

$d_1 = 6, d_2 = 2$

B A O B A B

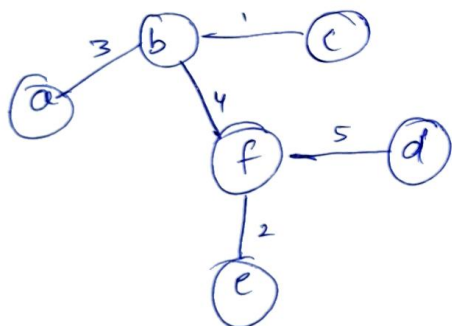
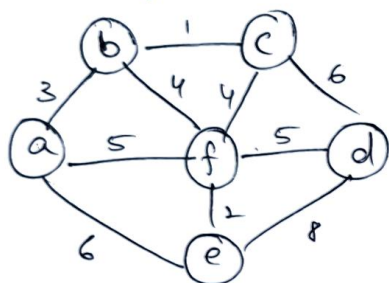
## GREEDY ALGOS

On every step:

- feasible
- locally optimal
- irrevocable (no backtracking)

## Prim's Algo (MST)

Start from one vertex and continue from there.



Vertex	Remaining vertices
a(-, -)	b(3,a), e(6,a), f(5,a), c(∞, -), d(∞, -)
b(3,a)	e(6,a), f(4,b), c(1,b), d(∞, -)
c(1,b)	e(6,a), f(4,b), d(6,c)
f(4,b)	e(2,f), d(5,f)
e(2,f)	d(5,f)
d(5,f)	

$|V|^2$

$\log(V)$

Kruskal's  $\rightarrow$  sort edges by weight

Min edge that does not add a cycle.

$$O(|E| \log |E|)$$

Huffman coding

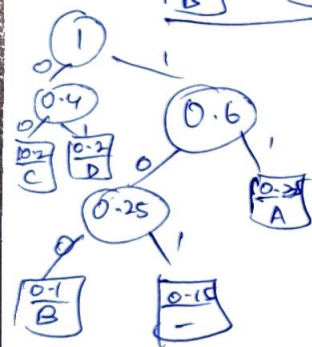
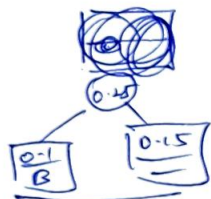
variable encoding  $\rightarrow$  prefix-free code.

① n - 1 node tree

② pick two w/ smallest freq, combine and repeat from ①.

sym	A	B	C	D	—
freq.	0.35	0.1	0.2	0.2	0.15

$\frac{0.1}{B}$	$\frac{0.15}{-}$	$\frac{C}{0.2}$	$\frac{D}{0.2}$	$\frac{0.35}{A}$
-----------------	------------------	-----------------	-----------------	------------------



left  $\rightarrow$  0  
right  $\rightarrow$  1

Huffman code	
sym	code
A	11
B	100
C	00
D	01
—	101

$$\text{Avg length} = 2 \times 0.35 + 3 \times 0.1 + 2 \times 0.2 + 2 \times 0.2 + 0.15 \times 3$$

$$= 2.20$$

$$\text{Compression ratio} = \frac{l_{\text{fixed}} - l_{\text{var}}}{l_{\text{fixed}}} = \frac{3 - 2.2}{3}$$

$$= 26.6\%$$

# Heaps

Bottom-up heap:

input:  $A[0 \dots n-1]$ .

for  $i \leftarrow \lfloor \frac{n}{2} \rfloor$  to 1:

$p\_ind \leftarrow i$ ;  $og\_par \leftarrow A[i]$ ;

$heap \leftarrow false$

    while not heap and ( $2 * p\_ind \leq n$ ): → children exist

$child\_ind \leftarrow 2 * p\_ind$ .

        if  $A[child\_ind+1] > A[child\_ind]$

$child\_ind \leftarrow child\_ind + 1$

        if  $A[p\_ind] > A[child\_ind]$

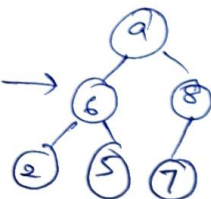
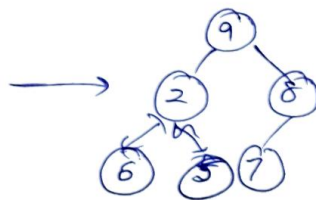
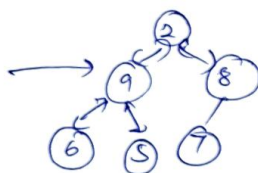
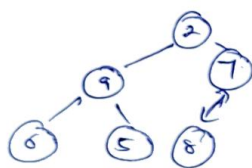
$heap = true$

    else:

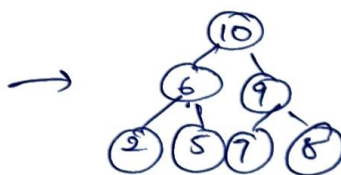
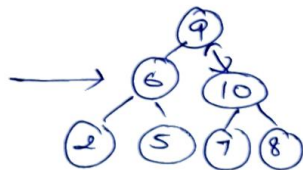
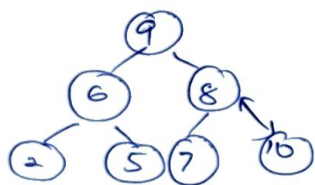
$A[p\_ind] = A[child\_ind]$

$p\_ind \leftarrow child\_ind$

$A[p\_ind] \leftarrow og\_par$



## Top-down



### Deletion

- ① Swap node to delete w/ last key
- ② Remove key
- ③ Heapify

### Heap sort

- ① Construct heap
- ② Delete max node -  $n-1$  times

Heap

2 9 7 6 5 8

2 9 8 6 5 7

2 9 8 6 5 7

9 2 8 6 5 7

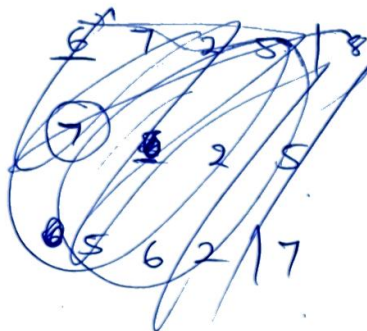
9 6 8 2 5 7

max deletions

⑨ 6 8 2 5 7

7 6 8 2 5 | 9

⑧ 6 7 2 5



5 6 7 2 | 8

⑦ 6 5 2

2 6 5 | 7

⑥ 2 5

⑤ 2 | 6

2 | 5

②