

# Workflow Analytics

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## 1 Clustering Before and After Settlement

### 1.1 Before Settlement

Note: We only consider officers who were eventually involved in a settlement of some sort here. Before a settlement considers the officer's entire career before a settlement incident, and likewise after considers the entire career post-settlement incident. In the future we might want to control for the length of the career on both ends and normalize that in some way.

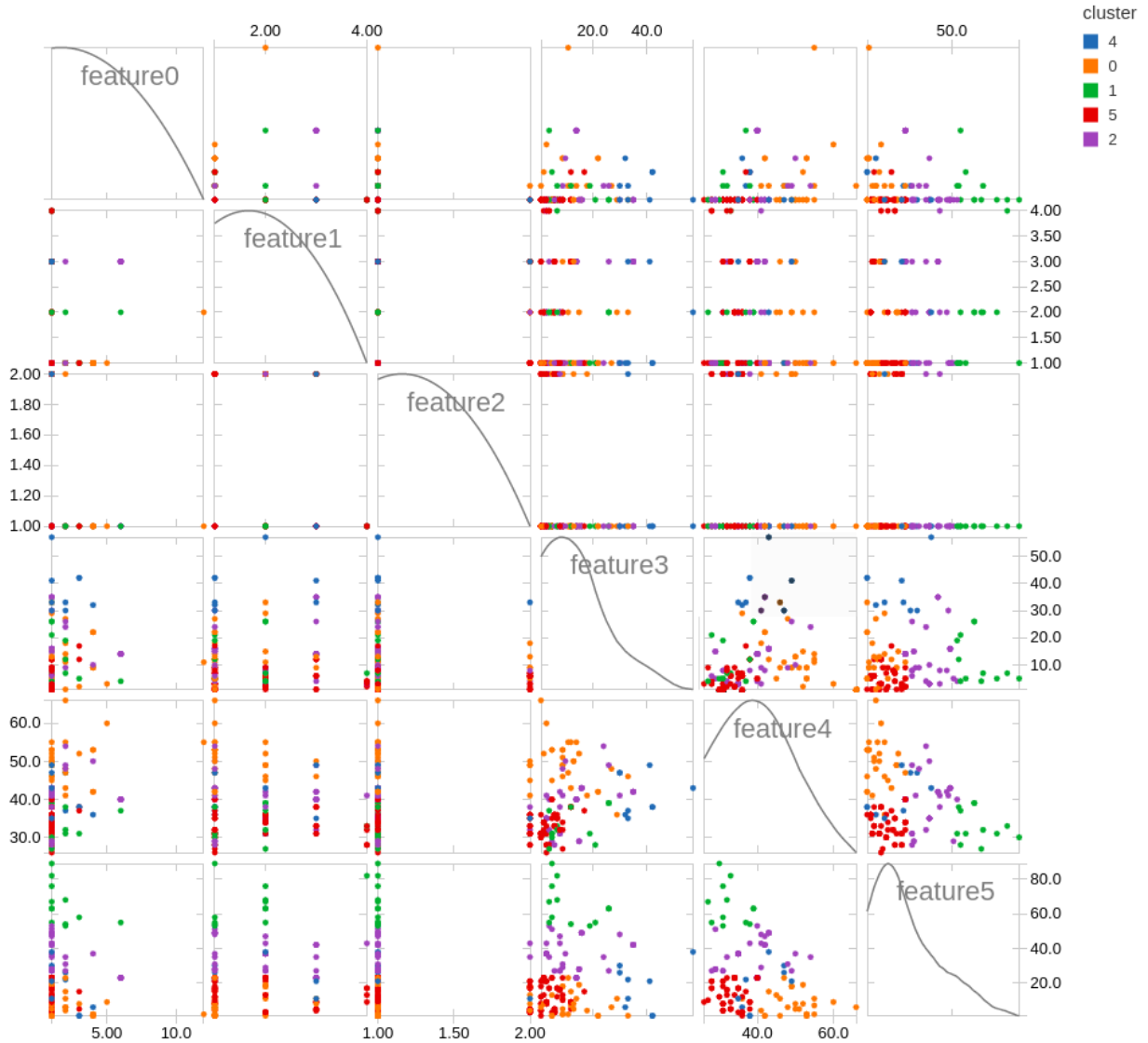
Features are rank, race, gender, number of allegations, age at the time of settlement, and number of awards, respectively. For rank, the encoding is 1-12, generally in order of police hierarchy (1 being officer, 12 being superintendent). For gender, 1 is male, 2 female, and for race, 1 is white, 2 is hispanic, 3 black, 4 Asian, 5 Native American and 6 unknown. To create the clusters we used k-means clustering. We used  $k = 6$  clusters for the before analysis and  $k = 4$  clusters for after, both based on the error plots (visible in the spark python notebook).

Looking at the clusters, a few patterns emerge:

0. A cluster of older officers without many awards
1. A cluster of highly decorated young men
2. Middle aged men and women officers with quite a few awards
3. Middle aged cluster with the most complaints
4. Young officers without many awards

Interestingly, gender, race, and rank don't seem to define the clusters as well as age and awards do, but this may be an effect of the encoding. Rank and age are pretty well correlated, which makes it less useful here.

Figure 1: Clustering careers before settlement



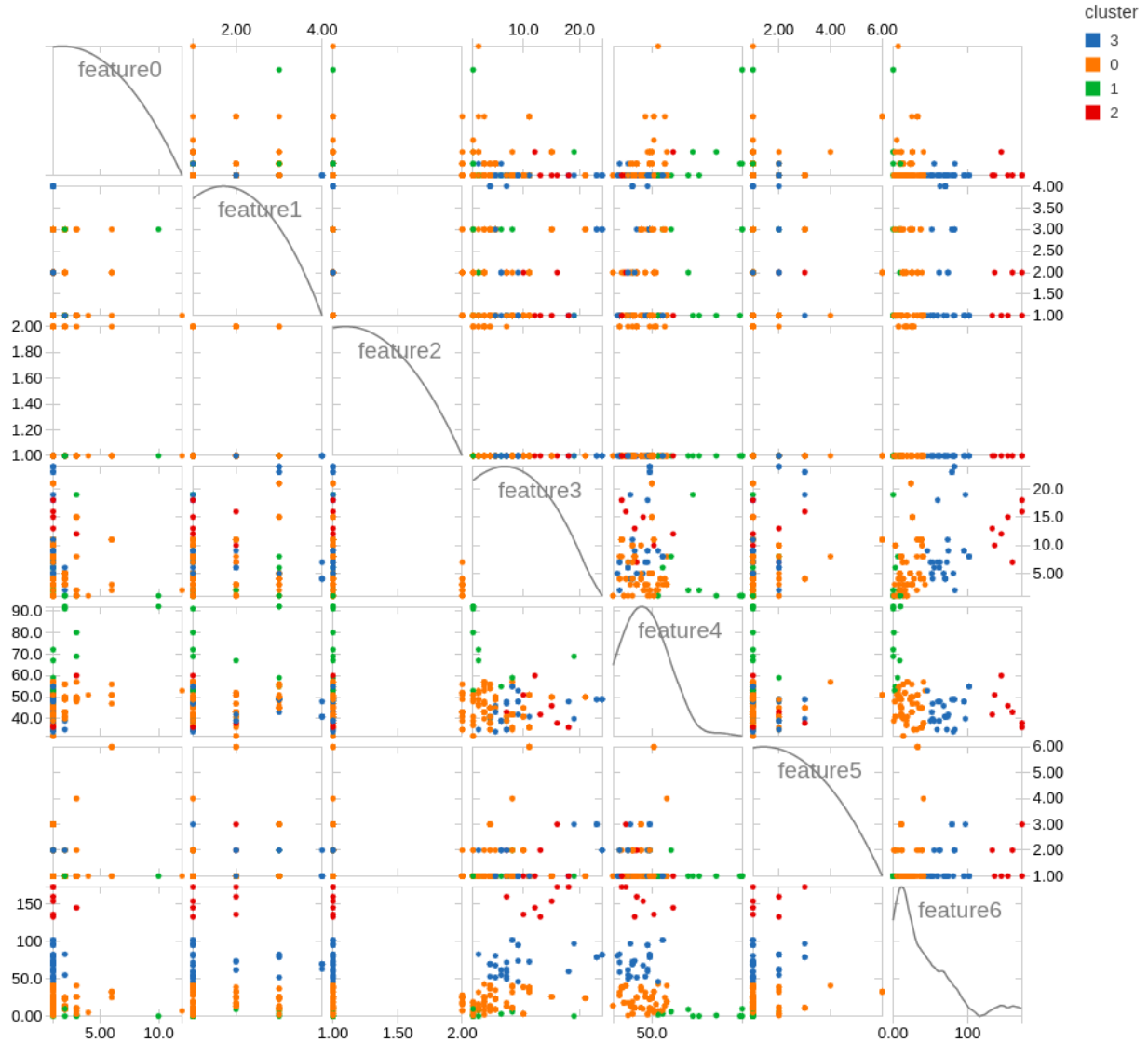
## 1.2 After Settlement

Keeping the same features and encoding as before, except for the addition of one new feature—a count of the number of settlements the officer was involved in. We also created a column for the total amount of settlement per officer, but the resulting clusters including one single large cluster, so we did not include it.

This time the optimal amount of clusters is four. Just to reiterate, the features are rank, race, gender, number of allegations, age, number of settlements, and awards.

0. This cluster is young, without many complaints or awards, also includes more women than any other cluster
1. A group of older male officers, without many complaints or awards—Note it looks like this group may include some officers who are no longer in the service, which is why they are so old
2. Highly decorated with lots of awards, generally young but also with a decent amount of complaints
3. Cluster of young men with some awards and a wide array of complaint numbers

Figure 2: Clustering careers after settlement



## 2 Are officers involved in settlements more or less likely to receive complaints in the future?

Taking the same data from previous question, we can create a box plot for before and after the first settlement an officer is involved in. The plot is below. The median value for before is 10 complaints and for after is 4 complaints. It does, in general, look like being part of a settlement changes an officer's behavior for the better. However, it is also important to note that there are some other factors here. If officers involved in a settlement leave the force, or are sidelined, then they would presumably receive less allegations. Now this isn't a bad thing, in effect police departments are enforcing better behavior, but it isn't quite the same as individual changes in behavior.

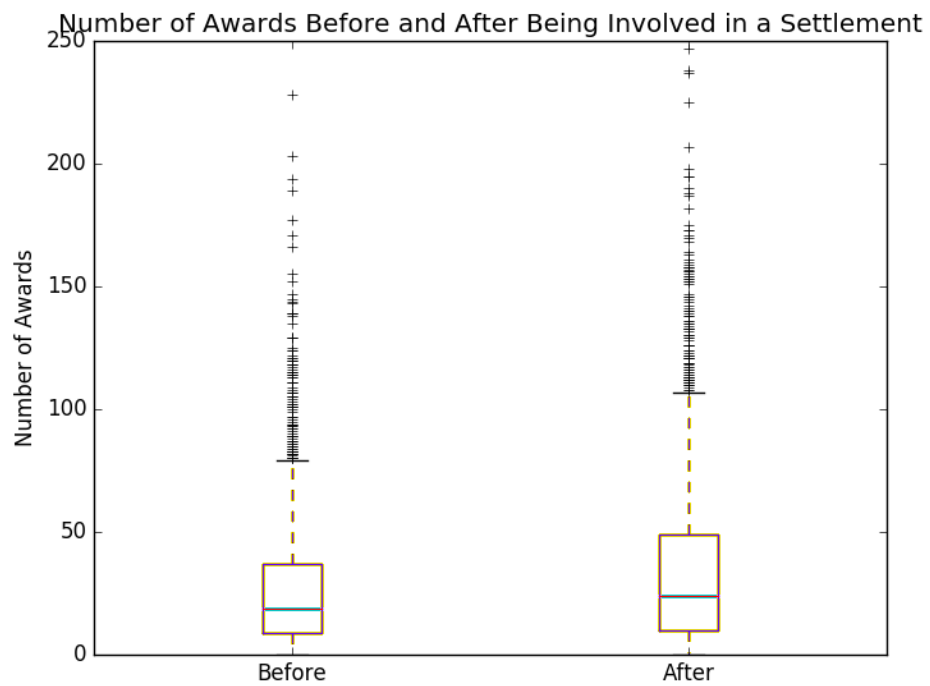
Then looking at the other side of things, awards are effectively a model of how 'good' an officer has been. As such, from the plot below, we surprisingly find officers receive more awards after receiving a settlement. It's possible that this is partly due to the fact that officers spend more time 'after' a settlement vs 'before' and thus accumulate more awards, but if that were the case we'd expect them to accrue more complaints as

Figure 3: Median before=10, after=4



well. The fact that they receive fewer complaints and more awards does seem to imply that the settlement has a positive effect on behavior.

Figure 4: Median before=19, after=24



### 3 Are neighborhoods where a settlement took place less likely to receive complaints in the future?

To get a database where we could see the settlement with the officer and the area, we first join the several table and check the location of settlements before a settlement for a particular officer and after a settlement for an officer. This was done as an extension of the previous officer settlement since that study had a timeline to follow.



There were only a few areas that had sufficient data to compare the change in allegation number per area once an officer received a complaint. According to this study, settlements reduce the amount of allegations in an area by about 49%. However, the data involved may be quite sparse so it may be hard to actually prove that this is beneficial in all areas. For example O'Hare which had a low amount of allegations matched within the area actually found an increase in allegations after settlements. In addition, many neighborhoods were not included in this study due to insufficient data matching this criteria.

