

#### 4. Heaps and Heap Sort

Link: [https://www.youtube.com/watch?v=B7hVxCmfPtM&list=PLSX2U\\_ZE4Huk19DPn34oZlygPbsig380X&index=7](https://www.youtube.com/watch?v=B7hVxCmfPtM&list=PLSX2U_ZE4Huk19DPn34oZlygPbsig380X&index=7)

##### Priority Queue

- Implements a set  $S$  of elements, each of elements associated with a key

##### Properties of PQ

- $\text{Insert}(s, x)$  insert element  $x$  into set  $S$
- $\text{max}(s)$  : return element of  $S$  with the largest key
- $\text{extract\_max}(s)$ : and remove it from  $S$
- $\text{increase\_key}(s, x, k)$ : increases the val of  $x$ 's key to new value  $k$

##### Heap

- An implementation of a priority queue
- An array visualized as a nearly complete binary tree

##### Heap as a Tree

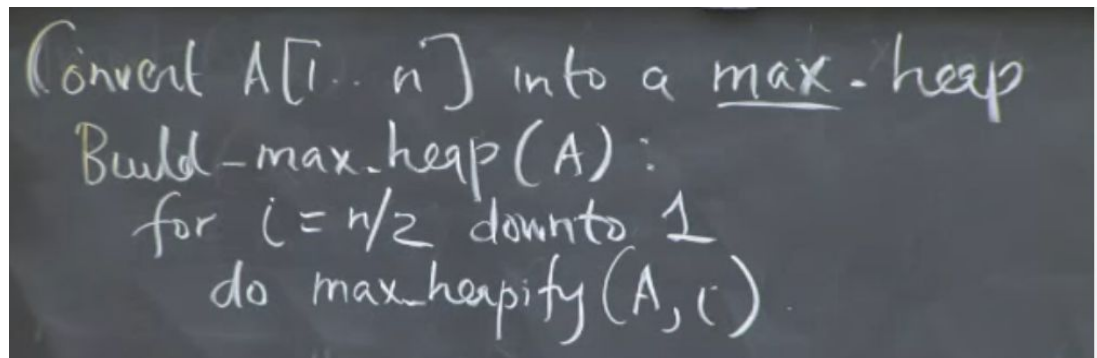
- Root of tree: first element ( $i=1$ )
- $\text{parent}(i) = i/2$
- $\text{left}(i) = 2i$   $\text{right}(i) = 2i+1$

##### Max/Min-Heap property:

- Max Heap - the key of a node is  $\geq$  the keys of its children
- Min Heap - the opposite

##### Building Max Heaps:

- $\text{Build\_max\_heap}$ : produces a max heap from an unordered array
- Max-heapify: correct a single violation of the heap property in a subtree's root
  - Assume that the trees rooted at  $\text{left}(i)$  and  $\text{right}(i)$  are max heaps
  - You must define the heap size first
  - Go through the node and exchange nodes in order to fulfill the tree structure



Convert  $A[1..n]$  into a max-heap  
 $\text{Build-max-heap}(A)$ :  
for  $i = n/2$  down to 1  
do  $\text{max-heapify}(A, i)$

- Work from bottom up
- Elements  $A[n/2 + 1 .. n]$  are all leaves
- $O(n \log n)$  simple analysis
- Observation: Max Heapify takes  $O(1)$  for nodes that one level above the leaves and in general  $O(l)$  time of nodes that are  $l$  levels above the leaves
  - $n/4$  nodes with level 1,  $n/8$  with level 2, ... 1 node  $\lg n$  level
  - Total amount of work in the for loop  $n/4(1 c) + n/8 (2 c) + n/16(3 c) + \dots$   
 $1(\lg n c)$ 
    - $(1 c)$  is a constant factor that is later removed
  - Set  $n/4 = 2^k$
  - This entire expression is bounded by a constant (this is the key observation)
  - Since  $2^k = n/4$ , it really amounts to  $O(n)$  after removal of constants

- 1) Build max heap from unordered array  $O(n)$
- 2) Find max element  $A[i]$   $O(1)$
- 3) Swap elements  $A[n]$  with  $A[i]$   $O(1)$ 
  - a) Now max element is the end of the array
- 4) Discard node  $n$  from heap - decrementing heap size
- 5) New root may violate max heap, but children are max heaps - max-heapify  $O(\lg n)$