

Responsive. Dependable. Flexible.

Southfield Mezzanine takes an old school approach to investing, focused on building lasting relationships with our partners

TEAM CONTACT

Steven Axel

saxel@southfieldmezz.com

Steve Diverio

sdiverio@southfieldmezz.com

Eric Sloane

esloane@southfieldmezz.com

Derrick Yu

dYu@southfieldmezz.com

Sean Budhrani

sbudhrani@southfieldmezz.com

Jason Perlroth

jperlroth@southfieldcapital.com

Thomas Hoogstoel

thoogstoel@southfieldmezz.com

Dan Porell

dporell@southfieldmezz.com

For general inquiries:

203-813-4100

www.southfieldmezz.com

Southfield Capital has been partnering with exceptional, growing companies since its origins as a private equity firm in 2002. In 2013, Southfield launched its dedicated mezzanine strategy, raising its inaugural fund structured as a Small Business Investment Company ("SBIC") providing both debt and equity co-investments across the lower middle market. Currently investing out of Fund III, Southfield Mezzanine aims to continue its strong history of partnering with experienced management teams and private equity sponsors across a variety of industry verticals.

How We Invest

Southfield Mezzanine targets US-based businesses, tailoring flexible capital solutions to support acquisition and growth financings, as well as recapitalizations.

EBITDA Criteria

\$3MM

Minimum

Investment Size

\$5 - 25MM per platform

Can go larger with co-investment

Industry Agnostic

Unitranche and Subordinated Debt

Preferred and Common Equity Co-Investments

Sponsored and Non-Sponsored Transactions

Representative Investments

**Unitranche Debt
& Common Equity**



*Project Management &
Project Controls*

**Subordinated Debt
& Common Equity**



*DTC sleep
technology products*

**Subordinated Debt
& Preferred Equity**



*Healthcare-focused digital
marketing services*

**Subordinated Debt
& Common Equity**



*Warehousing &
transportation*

**Subordinated Debt
& Preferred Equity**



*Manufacturer of Class III
medical devices*

Subordinated Debt



*Physical rehabilitation
clinics*

**Subordinated Debt
& Common Equity**



*Computer repair services &
inventory management*

**Unitranche Debt
& Common Equity**



*Roadway infrastructure
safety services*

**Unitranche Debt
& Common Equity**



*Temporary nurse & allied
health staffing*

**Subordinated Debt
& Warrants**



*Manufacturer of flexible packaging
for nutritional supplements*

**Unitranche Debt
& Common Equity**



*Network of optometry
practices*

**Subordinated Debt
& Common Equity**



*Property appraisal
management*

**Unitranche Debt
& Common Equity**



*Environmental &
engineering contractor*

**Subordinated Debt
& Preferred Equity**



*Software systems
integration partner*

**Subordinated Debt
& Preferred Equity**



*Franchisor of quick service
acai bowl stores*

**Subordinated Debt
& Preferred Equity**



*Commercial equipment
rental stores*

**Subordinated Debt
& Common Equity**



*Franchisor of quick service
sandwich shops*

**Unitranche Debt
& Common Equity**



*Commercial & multi-family
elevator services*

**Unitranche Debt
& Common Equity**



*Environmental consulting
& engineering*

**Subordinated Debt
& Common Equity**



*Non-destructive
testing services*