## PSO HPO 1 Code

## May 1, 2023

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[]: import numpy as np
     import matplotlib.pyplot as plt
     import tensorflow as tf
     import pyswarms as ps
     from functools import partial
     from sklearn.metrics import classification report, confusion matrix,
      →roc_auc_score
     from tensorflow.keras.models import Sequential
     from tensorflow.keras.layers import Conv2D, MaxPooling2D, Flatten, Dense,
      →Dropout, BatchNormalization
     from tensorflow.keras.optimizers import Adam
     from tensorflow.keras.callbacks import EarlyStopping
     import time
     # Load and preprocess the CIFAR-10 dataset
     print("Loading and preprocessing CIFAR-10 dataset")
     (x_train, y_train), (x_test, y_test) = tf.keras.datasets.cifar10.load_data()
     x_train, x_test = x_train / 255.0, x_test / 255.0
     y_train, y_test = tf.keras.utils.to_categorical(y_train), tf.keras.utils.
      →to_categorical(y_test)
     # Split the training data into train and validation sets
     print("Splitting the training data into train and validation sets")
     validation_split = 0.1
     split_index = int(len(x_train) * validation_split)
     x_val, y_val = x_train[:split_index], y_train[:split_index]
     x_train, y_train = x_train[split_index:], y_train[split_index:]
     sample_size = 5000 # Adjust this value as needed
     sample_indices = np.random.choice(np.arange(x_train.shape[0]), sample_size,__
     ⇔replace=False)
     x_train_small = x_train[sample_indices]
     y_train_small = y_train[sample_indices]
     # Define a fitness function to be optimized using PSO
     def fitness_function(hparams, x_train, y_train, x_val, y_val):
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fitness_values = []
  for hparam in hparams:
      num_filters1, num_filters2, dense_units, learning_rate = hparam
      num filters1 = int(num_filters1)
      num_filters2 = int(num_filters2)
      dense_units = int(dense_units)
      print(f"Hyperparameters: num_filters1={num_filters1}, "
            f"num filters2={num filters2}, dense units={dense units}, "
            f"learning_rate={learning_rate}")
      model = Sequential([
          Conv2D(num_filters1, (3, 3), activation='relu', padding='same',__
→input_shape=(32, 32, 3)),
          BatchNormalization(),
          Conv2D(num_filters1, (3, 3), activation='relu', padding='same'),
          BatchNormalization(),
          MaxPooling2D((2, 2)),
          Dropout (0.25),
          Conv2D(num filters2, (3, 3), activation='relu', padding='same'),
          BatchNormalization(),
          Conv2D(num_filters2, (3, 3), activation='relu', padding='same'),
          BatchNormalization(),
          MaxPooling2D((2, 2)),
          Dropout (0.25),
          Flatten(),
          Dense(dense_units, activation='relu'),
          BatchNormalization(),
          Dropout(0.5),
          Dense(10, activation='softmax')
      ])
      model.compile(optimizer=Adam(learning_rate=learning_rate),
                    loss='categorical_crossentropy',
                    metrics=['accuracy'])
      early_stopping = EarlyStopping(monitor='val_loss', patience=5,_
→restore_best_weights=True)
      history = model.fit(x_train, y_train, epochs=5, batch_size=256,
                           validation_data=(x_val, y_val),
                           callbacks=[early_stopping],
                          verbose=0)
      best_val_acc = max(history.history['val_accuracy'])
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fitness_values.append(1 - best_val_acc) # Minimize the fitness_
 →function (1 - val_accuracy)
    return np.array(fitness_values)
# Define the PSO search space for hyperparameters
print("Defining the PSO search space for hyperparameters")
search_space_bounds = (np.array([16, 16, 128, 1e-5]),
                       np.array([128, 128, 1024, 1e-2]))
# Define the fitness function with fixed data arguments
print("Defining the fitness function with fixed data arguments")
fitness_function_data = partial(fitness_function,
                                x_train=x_train_small, y_train=y_train_small,
                                x_val=x_val, y_val=y_val)
# Initialize the PSO optimizer
print("Initializing the PSO optimizer")
options = \{'c1': 1.5, 'c2': 1.5, 'w': 0.9\}
optimizer = ps.single.GlobalBestPSO(n_particles=20, dimensions=4,_
 ⇔options=options,
                                    bounds=search_space_bounds)
# Run the PSO optimizer
print("Running the PSO optimizer")
cost, best_hyperparams = optimizer.optimize(fitness_function_data, iters=20)
# Extract the best hyperparameters
best_num_filters1, best_num_filters2, best_dense_units, best_learning_rate = __
 ⇒best_hyperparams
best_num_filters1 = int(best_num_filters1)
best_num_filters2 = int(best_num_filters2)
best_dense_units = int(best_dense_units)
print(f"Best hyperparameters found by PSO: num_filters1={best_num_filters1}, "
      f"num_filters2={best_num_filters2}, dense_units={best_dense_units}, "
      f"learning_rate={best_learning_rate}")
# Train the model with the best hyperparameters
print("Training the model with the best hyperparameters")
model = Sequential([
    Conv2D(best_num_filters1, (3, 3), activation='relu', padding='same', __
 \rightarrowinput_shape=(32, 32, 3)),
    BatchNormalization(),
    Conv2D(best_num_filters1, (3, 3), activation='relu', padding='same'),
    BatchNormalization(),
    MaxPooling2D((2, 2)),
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Dropout(0.25),
   Conv2D(best_num_filters2, (3, 3), activation='relu', padding='same'),
   BatchNormalization(),
   Conv2D(best_num_filters2, (3, 3), activation='relu', padding='same'),
   BatchNormalization(),
   MaxPooling2D((2, 2)),
   Dropout(0.25),
   Flatten(),
   Dense(best dense units, activation='relu'),
   BatchNormalization(),
   Dropout(0.5),
   Dense(10, activation='softmax')
])
model.compile(optimizer=Adam(learning_rate=best_learning_rate),
              loss='categorical_crossentropy',
              metrics=['accuracy'])
early_stopping = EarlyStopping(monitor='val_loss', patience=10,_
→restore_best_weights=True)
start_time = time.time()
history = model.fit(x_train, y_train, epochs=50, batch_size=64,
                    validation_data=(x_val, y_val),
                    callbacks=[early_stopping],
                    verbose=1)
end_time = time.time()
training_time = end_time - start_time
print(f'Total training time: {training_time:.2f} seconds')
# Evaluate the model on the test dataset
print("Evaluating the model on the test dataset")
test_loss, test_acc = model.evaluate(x_test, y_test, verbose=0)
y_pred = model.predict(x_test)
y_pred_classes = np.argmax(y_pred, axis=1)
y_test_classes = np.argmax(y_test, axis=1)
print(f'Test accuracy: {test_acc}')
print("Classification Report:")
print(classification_report(y_test_classes, y_pred_classes))
print("Confusion Matrix:")
print(confusion_matrix(y_test_classes, y_pred_classes))
```

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# Calculate ROC-AUC for multi-class classification
roc_auc = roc_auc_score(y_test, y_pred, multi_class='ovr')
print(f'ROC-AUC Score: {roc_auc}')

# Plot the training and validation accuracies
print("Plotting the training and validation accuracies")
plt.plot(history.history['accuracy'], label='Training accuracy')
plt.plot(history.history['val_accuracy'], label='Validation accuracy')
plt.title('Training and Validation Accuracy')
plt.xlabel('Epoch')
plt.ylabel('Accuracy')
plt.legend()
plt.show()
```

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[]: # Using these hardcoded values as obtained from confusion matrix above inorder
      ⇔to make a plot
     import numpy as np
     import matplotlib.pyplot as plt
     import seaborn as sns
     # Confusion matrix data
     confusion_matrix = np.array([
         [833, 8, 23, 20, 17, 4, 5, 6, 60, 24],
         [8, 905, 4, 4, 1, 3, 4, 0, 24, 47],
         [68, 0, 632, 94, 63, 70, 48, 10, 12, 3],
         [9, 5, 25, 694, 33, 158, 46, 13, 12, 5],
         [9, 1, 37, 66, 810, 31, 31, 9, 6, 0],
         [8, 0, 12, 144, 25, 778, 13, 16, 4, 0],
         [4, 3, 15, 38, 27, 27, 877, 2, 7, 0],
         [13, 1, 10, 53, 58, 54, 3, 799, 2, 7],
         [32, 11, 2, 9, 4, 4, 4, 3, 920, 11],
         [10, 50, 4, 9, 5, 3, 0, 9, 26, 884]
    1)
     # Class names
     class_names = ['airplane', 'automobile', 'bird', 'cat', 'deer', 'dog', 'frog', |
      ⇔'horse', 'ship', 'truck']
     # Plot confusion matrix
     plt.figure(figsize=(10, 8))
     sns.heatmap(confusion_matrix, annot=True, fmt='d', cmap='Blues',_
      Axticklabels=class_names, yticklabels=class_names)
     plt.xlabel('Predicted')
     plt.ylabel('True')
     plt.title('Confusion Matrix')
     plt.show()
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