A note on the Fredrickson-Andersen one spin facilitated model in stationarity

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ABSTRACT. This note discusses three problems related to the Fredrickson-Andersen one spin facilitated model in stationarity. The first, considered in 2008 in a paper of Cancrini, Martinelli, Roberto and Toninelli, is the spectral gap of the model's infinitesimal generator. They study the decay of this spectral gap when the density is large, but in dimensions 3 and higher, they do not find the exact exponent. They also show that the persistence function of the model has exponential tail, but the typical decay time is not analyzed. We will see that the correct exponent for the decay of the spectral gap in dimension 3 and higher is 2, and discover how the time over which the persistence function decays diverges in high densities. We also discuss the scaling of the spectral gap in finite graphs.

1. Introduction and results

The purpose of this note is to present three small results on the Fredrickson-Andersen one spin facilitated model (FA1f), following [2], addressing two problems that have not been solved there and one tightly related problem in a slightly different setting studied in [4, 5, 6]. Since it is, in a sense, an extension of [2], the reader is referred to [2] for the relevant background, references, and complete introduction of the model and notation.

We will only briefly remind here that sites in \mathbb{Z}^d could be either occupied or empty, with equilibrium probabilities 1-q and q respectively (where q is thought of as small). When a site has at least one empty neighbor, it is being resampled from equilibrium with rate 1, and otherwise its occupation cannot change. The process is reversible with respect to the invariant measure μ , given by an independent product of Bernoulli random variables with parameter 1-q. Probabilities and expected values with respect to the stochastic process are denoted \mathbb{P}_{μ} and \mathbb{E}_{μ} , where the subscript μ indicates that the initial configuration is drawn from equilibrium.

The first result here completes Theorem 6.4 of [2], which bounds the spectral gap of the FA1f model. It is shown there that the gap decays polynomially as the parameter q tends to 0, and for dimensions 1 and 2 the exact exponent is identified, up to a logarithmic correction in dimension 2. For dimension $d \geq 3$, however, the exponent is bounded between 1 + 2/d and 2, and its exact value is not determined. The following theorem shows that the correct scaling is q^2 –

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Theorem 1.1. Consider the setting of [2, Theorem 6.4], in dimension $d \ge 3$. Then there exists a positive constant C (possibly depending on d) such that

$$gap(\mathcal{L}) \leq C q^2$$
.

The second result presented here concerns with the persistence function. Recall that

$$F_0(t) = \mathbb{P}_{\mu}(\tau_0 > t),$$

$$\tau_0 = \inf\{t : \text{origin is empty at time } t\}.$$

In general, when the spectral gap is positive, $F_0(t) \leq e^{-t/\overline{\tau}}$, where $\overline{\tau}$ could be chosen to be equal $\frac{1}{\text{gap} \cdot q}$. We will see that for the FA1f model on \mathbb{Z}^d this choice is not optimal, and that the typical time to empty the origin scales (perhaps with lower order corrections) like the inverse of the spectral gap, without the additional factor of q.

Theorem 1.2. Consider the FA1f model. Then there exists a positive constant C such that

$$F_0(t) \le e^{-Cq^3 t}$$
 $d = 1,$
 $F_0(t) \le e^{-C\frac{q^2}{\log(1/q)} t}$ $d = 2,$
 $F_0(t) \le e^{-Cq^2 t}$ $d \ge 3.$

Moreover, for a different positive constant C,

$$\mathbb{E}_{\mu}(\tau_0) \ge Cq^{-3} \qquad d = 1,$$

$$\mathbb{E}_{\mu}(\tau_0) \ge Cq^{-2}\log(1/q) \qquad d = 2,$$

$$\mathbb{E}_{\mu}(\tau_0) \ge Cq^{-2} \qquad d = 3.$$

The last theorem that will be presented is in a slightly different setting – the Fredrickson-Andersen model on a finite graph G. A particularly interesting case, studied in [5, 6] and more recently in [4], is when $|V(G)| = cq^{-1}$ for some positive constant c, where V(G) denotes the set of vertices of G. A lower bound on the spectral gap is given in [5, 6] and later on in [4] by suggesting a relaxation mechanism in which vacancies travel as random walkers on G. The next theorem will bound the spectral gap of this model from above, showing that this mechanism has a leading contribution. Consider two independent continuous time random walks on G, namely, each of the two walkers moves to each neighboring site with rate 1. For two vertices $x,y\in V(G)$, we define $\tau_{\rm meet}(x,y)$ to be the expected time that it takes for two such random walkers starting at x and y to reach distance at most 1. Let $\overline{\tau}_{\rm meet}$ be its expected value, starting at two random positions, i.e., $\overline{\tau}_{\rm meet} = |V(G)|^{-2} \sum_{x,y \in V(G)} \tau_{\rm meet}(x,y)$.

Theorem 1.3. Consider the FA1f model on a finite graph G, and assume that |V(G)| = c/q for some positive constant c. Let $gap(\mathcal{L}_G)$ denote its spectral gap with respect to the product measure

conditioned on having at least one vacancy. Then

$$\operatorname{gap}(\mathcal{L}_G) \le \frac{Cq}{\overline{\tau}_{\operatorname{meet}}}$$

for some positive constant C.

Remark 1.4. [4] give a lower bound on the spectral gap, which in various graphs is of the same order as the upper bound given in Theorem 1.3. In particular, on the two dimensional torus $\mathbb{T}^2 = \mathbb{Z}^2/\ell\mathbb{Z}^2$, $\ell = cq^{-1/2}$, both the upper and lower bounds scale like $q^2/\log(1/q)$. In view of this scaling, and the relaxation mechanism reflected in its proof, it seems that the correct scaling of the spectral gap is $q^2/\log(1/q)$ also in \mathbb{Z}^2 , coinciding with the lower bound of [2]. Unfortunately, the ideas in the proof of Theorem 1.3 do not seem to be easily adapted for the model on \mathbb{Z}^2 , and the problem remains open.

2. Notation

We will now recall some of the notation in [2] that will be used in this note.

- For $\ell > 0$, $\Lambda_{\ell} = \{0, \dots, \ell 1\}^d$ and $\mathbb{Z}^d(\ell) = \ell \mathbb{Z}^d$.
- For $\ell > 0$ and $x \in \mathbb{Z}^d(\ell)$ we denote $\Lambda_x = x + \Lambda_\ell$ (where the ℓ -dependence of Λ_x is implicit).
- The configuration space is $\Omega = \{0,1\}^{\mathbb{Z}^d}$, and the measure μ on this space is a product measure of Bernoulli random variables with parameter 1-q.
- For $\eta \in \Omega$ and $x \in \mathbb{Z}^d$, the configuration which equals η outside x and different from η at x is denoted η^x .
- The FA1f critical length is denoted $\ell_q = \left(\frac{\log(1-q_0)}{\log(1-q)}\right)^{1/d} \approx Cq^{-1/d}$, where $q_0 \in (0,1)$ is given in [2, Theorem 4.1], and does not depend on q.
- The constraint of the FA1f dynamics, for a configuration $\eta \in \Omega = \{0,1\}^{\mathbb{Z}^d}$ and $x \in \mathbb{Z}^d$, is

$$c_x(\eta) = \begin{cases} 1 & \exists y \text{ such that } \|y - x\|_1 = 1 \text{ and } \eta(y) = 0, \\ 0 & \text{otherwise}. \end{cases}$$

• The Dirichlet form of FA1f operating on a local function $f:\Omega\to\mathbb{R}$ is given by

$$\mathcal{D}(f) = \sum_{x \in \mathbb{Z}^d} \mu \left(c_x \operatorname{Var}_x(f) \right) = q(1 - q) \sum_{x \in \mathbb{Z}^d} \mu \left(c_x (f(\eta) - f(\eta^x))^2 \right).$$

For the FA1f model on a finite graph G with vertex set V(G) denote $\Omega_G = \{0,1\}^{V(G)}$; and μ_G the product measure of Bernoulli random variables with parameter 1-q, conditioned on having at least one empty site. The constraint is defined in the same manner as in \mathbb{Z}^d , except that $\|y-x\|_1$ should be understood as the graph distance between x and y, that we denote d(x,y). The Dirichlet form operating on $f:\Omega_G\to\mathbb{R}$ is given by

$$\mathcal{D}_G(f) = q(1-q) \sum_{x \in V(G)} \mu_G \left(c_x (f(\eta) - f(\eta^x))^2 \right).$$

Throughout the proof C will denote a generic positive constant, and q is assumed to be small enough.

3. Proof of Theorem 1.1

In order to bound the spectral gap from above, we need to find an appropriate test function f, such that

$$\mathcal{D}(f) \le Cq^2 \operatorname{Var}(f)$$
.

Consider the box $\Lambda = \Lambda_{\ell}$ for $\ell = \lfloor 1/q \rfloor$. For a configuration η and a site $x \in \Lambda$, the *connected cluster of* x, denoted $\mathcal{C}_x(\eta)$, is defined as the set of sites $y \in \Lambda$ that are connected to x via a path of empty sites in Λ . If $\eta(x) = 1$, its connected cluster is the empty set. This way, the set of empty sites in Λ is partitioned in connected clusters, and we define:

$$f(\eta) = \# \text{connected clusters in } \Lambda.$$
 (3.1)

Proposition 3.1. For the test function f defined in equation (3.1),

$$Var(f) \ge C q \ell^d. \tag{3.2}$$

Proof. This result is shown in [3] for the case of Bernoulli bond percolation. We will repeat their argument applied to our case for completeness.

First, note that we may write

$$f(\eta) = \sum_{x \in \Lambda} \frac{1 - \eta(x)}{|\mathcal{C}_x(\eta)|},$$

where, when $\eta(x) = 1$ (and therefore $C_x(\eta) = \emptyset$), we define $\frac{1 - \eta(x)}{|C_x(\eta)|} = 0$.

Let $G=3\mathbb{Z}^d\cap\Lambda$, and for $A\subseteq G$, define $\chi_A(\eta)$ to be the indicator of the event, that the set $\{x\in\Lambda:\eta(y)=1\ \forall y \text{ such that } \|y-x\|_1=1\}$ is equal A. Note that $\mu(\chi_A)=(1-q)^{2d|A|}\cdot \left(1-(1-q)^{2d}\right)^{|G|-|A|}$. For such a set A, let $D(A)=\{y\in\Lambda:\|y-x\|_1=1\ \text{for some }x\in A\}$, and define

$$f_A(\eta) = \sum_{x \in \Lambda \setminus D(A)} \frac{1 - \eta(x)}{|\mathcal{C}_x(\eta)|}.$$

When η is such that $\chi_A(\eta) = 1$,

$$f(\eta) = f_A(\eta) + \sum_{x \in A} (1 - \eta(x)) =: f_A(\eta) + n_A(\eta).$$

In order to use this identity, we split the variance over the different choices of A:

$$\operatorname{Var}(f) = \sum_{A \subseteq G} \mu \left((f - \mu(f))^2 \chi_A \right).$$

Consider one of the summands in the above expression –

$$\mu \left((f - \mu(f))^2 \chi_A \right) = \mu \left((f_A - (\mu(f) + \mu(n_A)) + n_A - \mu(n_A))^2 \chi_A \right)$$

$$= \mu \left((f_A - (\mu(f) + \mu(n_A))^2 \chi_A \right) + \mu \left((n_A - \mu(n_A))^2 \chi_A \right)$$

$$+ \mu \left((f_A - (\mu(f) + \mu(n_A))(n_A - \mu(n_A)) \chi_A \right).$$

The first term is positive, and we will simply bound it by 0. In order to find the second term, we note that the variables n_A and χ_A are independent, and therefore

$$\mu\left((n_A - \mu(n_A))^2 \chi_A\right) = \mu(\chi_A) \operatorname{Var}(n_A) = (1 - q)^{2d|A|} \cdot \left(1 - (1 - q)^{2d}\right)^{|G| - |A|} \cdot |A| \, q(1 - q).$$

Finally, since under the event $\{\chi_A = 1\}$ the variables f_A and n_A are independent, the third term vanishes. Therefore,

$$\operatorname{Var}(f) \ge \sum_{A \subseteq G} (1 - q)^{2d|A|} \cdot \left(1 - (1 - q)^{2d} \right)^{|G| - |A|} \cdot |A| \, q(1 - q) = q(1 - q)^{2d + 1} \, |G| \, .$$

This establishes inequality (3.2).

Proposition 3.2. For the test function f defined in equation (3.1),

$$\mathcal{D}(f) \le Cq^{3-d}. (3.3)$$

Proof. Recall first that

$$\mathcal{D}(f) = q(1-q) \sum_{x \in \mathbb{Z}^d} \mu \left(c_x(\eta) \left(f(\eta^x) - f(\eta) \right)^2 \right).$$

Consider a single term in that sum. First, note that by flipping a single site f could change by at most 2d. If x is outside Λ , flipping it could not change the number of clusters in Λ and its contribution would be 0. If x is on the boundary of Λ (i.e., it is in Λ and has a neighbor outside Λ), then

$$\mu\left(c_x(\eta)\left(f(\eta^x) - f(\eta)\right)^2\right) \le 2d\mu\left(c_x(\eta)\right) \le C q.$$

Finally, if x is in Λ but has no neighbors outside Λ , the number of open clusters could only change if it has at least two empty neighbors –

$$\mu\left(c_x(\eta)\left(f(\eta^x)-f(\eta)\right)^2\right) \leq 2d\,\mu(\mathbb{1}_x \text{ has at least 2 empty neighbors}) \leq C\,q^2.$$

The proof is now concluded by summing these options –

$$\sum_{x \in \mathbb{Z}^d} \mu \left(c_x(\eta) \left(f(\eta^x) - f(\eta) \right)^2 \right) \le C\ell^{d-1}q + C\ell^d q^2 = Cq^{-d+2}.$$

Theorem 1.1 follows from equations (3.2) and (3.3), together with the variational characterization of the spectral gap. \Box

4. Proof of Theorem 1.2

4.1. **Upper bound.** The basic tool for the proof of the upper bounds on $F_0(t)$ is the following result of [1] (see also [8, Section 4]):

Lemma 4.1. Assume that, for some $\overline{\tau} > 0$ and any local function f which vanishes on the event $\{\eta_0 = 0\}$,

$$\mu(f^2) \le \overline{\tau}\mathcal{D}(f). \tag{4.1}$$

Then $F_0(t) \leq e^{-t/\overline{\tau}}$.

We will use a path argument, similar to [2, proof of Proposition 6.6], proving inequality (4.1) with the appropriate $\overline{\tau}$.

We start by defining a canonical geometric path, which is a discrete approximation of a straight segment. More precisely, for any $z \in \mathbb{Z}^d$, we will construct a nearest neighbor path $\gamma(z) = (\gamma_0(z), \ldots, \gamma_n(z))$ with $\gamma_0(z) = 0$ and $\gamma_n(z) = z$ whose distance from the line segment $[0, z] \in \mathbb{R}^d$ is small. The exact definition is rather cumbersome, and a reader who accepts that such a path could be constructed satisfying Observation 4.3 and Claim 4.6 (see Definition 4.5) may skip the technicalities involved in their proofs.

Definition 4.2. Fix $z=(z_1,\ldots,z_d)\in\mathbb{Z}^d$ with $\|z\|_1=n$. The canonical geometric path connecting z to the origin is the path $\gamma(z)=(\gamma_0(z),\ldots,\gamma_n(z))$ constructed as follows – consider the set $S\subseteq(0,1]\times\{1,\ldots,d\}$ defined as

$$S = \{(s, \alpha) : sz_{\alpha} \in \mathbb{Z}\}.$$

For each α there are z_{α} values of s for which $sz_{\alpha} \in \mathbb{Z}$, hence |S| = n. We will order S according to the lexicographic order, $(s_1, \alpha_1) < \cdots < (s_n, \alpha_n)$, so that $s_i \leq s_{i+1}$ for all i, and in case of equality $\alpha_i < \alpha_{i+1}$. Then

$$\gamma_0(z) = 0,$$

$$\gamma_i(z) = \gamma_{i-1}(z) + \vec{e}_{\alpha_i} \quad i \ge 1.$$

Observation 4.3. Fix $z=(z_1,\ldots,z_d)\in\mathbb{Z}^d$ and $0\leq i\leq \|z\|_1$. Then $\|\gamma_i(z)\|_1=i$, i.e., the sites of the path are indexed by their norm.

Claim 4.4. Fix $z=(z_1,\ldots,z_d)\in\mathbb{Z}^d$ with $\|z\|_1=n$, and let $(s_1,\alpha_1)<\cdots<(s_n,\alpha_n)$ be as in Definition 4.2. Then for all $1\leq i\leq n$,

$$\gamma_i(z) = \lfloor s_i z \rfloor^{(\alpha_i)},$$

where $|y|^{(\alpha)}$, for $y=(y_1,\ldots,y_d)\in\mathbb{R}^d$, is defined as

$$\lfloor y \rfloor^{(\alpha)} = (\lfloor y_1 \rfloor, \dots, \lfloor y_{\alpha} \rfloor, \lceil y_{\alpha+1} \rceil - 1, \dots \lceil y_d \rceil - 1).$$

Proof. We show this by induction. Start with i = 1, and consider the vector s_1z . By the construction of s_1 ,

$$0 < s_1 z_{\alpha} < 1$$
 for $\alpha < \alpha_1$,
 $s_1 z_{\alpha} = 1$ for $\alpha = \alpha_1$,
 $0 < s_1 z_{\alpha} \le 1$ for $\alpha > \alpha_1$;

and indeed $\lfloor s_i z \rfloor^{(\alpha)} = e_{\alpha}$.

For i > 1, there are two options – either $s_i = s_{i-1}$ and $\alpha_i > \alpha_{i-1}$, or $s_i > s_{i-1}$. In the first case, by induction and letting $y = s_{i-1}z$,

$$\gamma_i(z) = \gamma_{i-1}(z) + e_{\alpha_i}$$

$$= (\lfloor y_1 \rfloor, \dots, \lfloor y_{\alpha_{i-1}} \rfloor, \lceil y_{\alpha_{i-1}+1} \rceil - 1, \dots, \lceil y_{\alpha_i} \rceil, \dots, \lceil y_d \rceil - 1).$$

Since the coordinates between α_{i-1} and α_i are not integer, we can replace $\lceil \cdot \rceil - 1$ by $\lfloor \cdot \rfloor$, and since y_{α_i} is integer we may replace $\lceil y_{\alpha_i} \rceil$ by $\lfloor y_{\alpha_i} \rfloor$. That is, $\gamma_i(z) = \lfloor y \rfloor^{(\alpha_i)} = \lfloor s_i z \rfloor^{(\alpha)}$.

Let us now consider the second case, where $s_i > s_{i-1}$. First, by induction, noting that the coordinates after α_{i-1} of $s_{i-1}z$ are not integer,

$$\gamma_{i-1}(z) = (\lfloor s_{i-1}z_1 \rfloor, \ldots, \lfloor s_{i-1}z_d \rfloor).$$

On the other hand, we know that

$$\begin{split} s_{i-1}z_{\alpha} &< s_i z_{\alpha} < \lfloor s_{i-1}z_{\alpha} \rfloor + 1 & \text{for } \alpha < \alpha_1, \\ s_{i}z_{\alpha} &= \lfloor s_{i-1}z_{\alpha} \rfloor + 1 & \text{for } \alpha = \alpha_1, \\ s_{i-1}z_{\alpha} &< s_i z_{\alpha} \leq \lfloor s_{i-1}z_{\alpha} \rfloor + 1 & \text{for } \alpha > \alpha_1; \end{split}$$

so $\lfloor s_i z \rfloor^{(\alpha)} = \gamma_{i-1}(z) + \vec{e}_{\alpha}$ and the proof is complete.

Definition 4.5. Fix $\ell > 0$ and $y \in \mathbb{Z}^d$ with $||y||_1 = m \le \ell$. The the ℓ -cone of y is the set

$$C_y^{(\ell)} = \{ z \in \mathbb{Z}^d : m < \|z\|_1 \le \ell, \gamma_m(z) = y \}.$$

Claim 4.6. Fix $\ell > 0$ and $y \in \Lambda_{\ell}$ such that $||y||_1 \le \ell$. Then $|C_y^{(\ell)}| \le \frac{\ell^d}{||y||_1^{d-1} + 1}$.

Proof. First, since for y=0 the cone $C_y^{(\ell)}$ consists of the points in Λ_ℓ of norm smaller than ℓ , its size is smaller than ℓ^d , so in what follows we may assume $y\neq 0$; and in this case we will show that the stronger inequality $|C_y|\leq \frac{\ell^d}{\|y\|_0^{d-1}}$ holds.

Let $z \in C_y$, i.e., $\gamma_m(z) = y$, so by Claim 4.4 there exist s and α such that $sz_{\alpha} = y_{\alpha}$ and

$$y = \lfloor sz \rfloor^{\alpha}$$
.

Assume first $\alpha=1$, so in particular $y_1\neq 0$ by the construction of the geometric path. s must be contained in $\{\frac{y_1}{k+y_1}\}_{k\in\mathbb{N}}$; so we fix k and let $s=\frac{y_1}{k+y_1}$, such that

$$z = \frac{k + y_1}{y_1}(y + \delta)$$

for some $\delta \in \{0\} \times [0,1]^{d-1}$. That is, for all $\alpha > 1$,

$$z_{\alpha} \in (\frac{k}{y_1} + 1) y_{\alpha} + [0, \frac{k}{y_1} + 1],$$

allowing at most $(\frac{k}{y_1}+1)^{d-1}$ integer choices of z. Finally, since $\|z\|_1 \le \ell$, necessarily $k \le k_{\max} = (\frac{\ell}{\|y\|_1}-1)y_1$, so, still for $\alpha=1$, the number of possibilities for z is bounded by

$$\left(\frac{\ell}{\|y\|_1} - 1\right)y_1 \cdot \left(\frac{k_{\max}}{y_1} + 1\right)^{d-1} \le y_1 \left(\frac{\ell}{\|y\|_1}\right)^d.$$

Finally, summing over all possible values of α ,

$$|C_y| \le \frac{\ell^d}{\|y\|_1^d} \left(\sum_{\alpha=1}^d y_\alpha \right) = \frac{\ell^d}{\|y\|_1^{d-1}}.$$

For any $\ell > 0$, let

$$\chi_{\ell}(\eta) = \mathbb{1}_{\{\exists x \in \Lambda_{\ell}, \, \eta(x) = 0\}}.$$

Claim 4.7. Fix $\eta \in \Omega$ and $\ell > 0$ such that $\chi_{\ell} = 1$. Then there exists a path of configurations $\eta^{(0)}, \ldots, \eta^{(j)}$ and a sequence of sites x_0, \ldots, x_{j-1} such that:

- (1) $\eta^{(0)} = \eta$ and $\eta_0^{(j)} = 0$.
- (2) For any i, $\eta^{(i+1)} = (\eta^{(i)})^{x_i}$, and $c_{x_i}(\eta^{(i)}) = 1$.
- (3) The sites x_0, \ldots, x_{j-1} all belong to the geometric path $\gamma(x_1)$. Moreover, each site of $\gamma(x_1)$ appears at most twice in the sequence x_0, \ldots, x_{j-1} , and in particular $j \leq 2\ell$.
- (4) For all i, the number of sites in $\Lambda_{\ell} \setminus \{x_i\}$ which are empty for $\eta^{(i)}$ is at most the number of sites in $\Lambda_{\ell} \setminus \{x_i\}$ which are empty for η .
- (5) Fix z, η' , x'. Then there exist at most one configuration η and one index i such that $z = x_1$, $\eta' = \eta^{(i)}$ and $x' = x_i$. We write $(\eta, i) \sim (\eta', x', z)$.

Proof. The path is constructed in the same manner as [2, proof of Proposition 6.6] – let z be an empty site in Λ_{ℓ} with minimal 1-norm, and denote $||z||_1 = n$. Then set, for $i \in \{0, \dots, 2n-2\}$,

$$x_i = \begin{cases} \gamma_{n-\frac{i}{2}-1}(z) & i \text{ even,} \\ \gamma_{n-\frac{i-1}{2}}(z) & i \text{ odd.} \end{cases}$$

This sequence defines a path $\eta^{(0)}, \dots, \eta^{(j)}$ that indeed satisfied the conditions of the claim. \Box

Proposition 4.8. For any local function f that vanishes on the event $\{\eta_0 = 0\}$ and for any $\ell > 0$,

$$\mu(\chi_{\ell}f^{2}) \leq \tau_{\ell}\mathcal{D}(f),$$

$$\tau_{\ell} = \begin{cases} C\ell^{2}q^{-1} & d = 1, \\ C\log\ell\ell^{2}q^{-1} & d = 2, \\ C\ell^{d}q^{-1} & d = 3. \end{cases}$$

Proof. First, since f is local, we may restrict ourselves to proving the inequality for FA1f on a large finite set $V \subset \mathbb{Z}^d$, so the configuration space is $\Omega_V = \{0,1\}^V$. This allows us to write the Dirichlet form as

$$\begin{split} \mathcal{D}(f) &= \frac{1}{2} \sum_{\eta \in \Omega_V} \sum_{x \in V} R(\eta^x, \eta) \left(f(\eta^x) - f(\eta) \right)^2, \\ R(\eta^x, \eta) &= R(\eta, \eta^x) = c_x(\eta) q(1 - q) (\mu(\eta) + \mu(\eta^x)). \end{split}$$

Consider, for any η , the path constructed in Claim 4.7, and for $i \in \{0, \dots, j-1\}$ set

$$w_i = w(||x_i||_1) = (||x_i||_1 + 1)^{(d-1)/2}.$$

Note that we can bound, uniformly in j,

$$\sum_{i=1}^{j} w_i^{-2} \le 2 \sum_{k=0}^{\ell} w(k) \le W,$$

$$W = \begin{cases} 2\ell & d = 1, \\ C \log \ell & d = 2, \\ C & d \ge 3; \end{cases}$$

and by Claim 4.6, for every $y \in \Lambda_{\ell}$,

$$|C_y^{(d\ell)}| w(y)^2 \le C\ell^d.$$

By the Cauchy-Schwarz inequality and the properties of the path,

$$\mu\left(\chi_{\ell}f^{2}\right) = \mu\left(\chi_{\ell}\left(\sum_{i=1}^{j} \frac{1}{w_{i}}w_{i}(f(\eta^{(i)}) - f(\eta^{(i-1)}))\right)^{2}\right)$$

$$\leq W\sum_{i} \mu\left(w_{i}^{2}c_{x_{i}}(\eta^{(i)})(f(\eta^{(i)}) - f(\eta^{(i-1)}))^{2}\right)$$

$$\leq W\sum_{i=1}^{2\ell} \sum_{\eta \in \Omega_{V}} \mu(\eta)\sum_{\eta' \in \Omega_{V}} \sum_{x' \in V} \sum_{z \in C_{x'}^{(d\ell)}} \mathbb{1}_{\eta' = \eta_{i}, x_{i} = x', z = x_{1}} w(x')^{2}c_{x'}(\eta')(f(\eta'^{x'}) - f(\eta'))^{2}$$

$$= W\sum_{\eta'} \sum_{x'} R(\eta'^{x'}, \eta') \sum_{z \in C_{x'}^{(h\ell)}} \sum_{i} \sum_{\eta} \frac{\mu(\eta)}{R(\eta'^{x'}, \eta')} \mathbb{1}_{(\eta, i) \sim (\eta', x', z)} w(x')^{2}c_{x'}(\eta')(f(\eta'^{x'}) - f(\eta'))^{2}.$$

Note that we are allowed to divide by $R(\eta'^{x'}, \eta')$ since $c_{x'}(\eta') = 1$, and hence it is non-zero. We can estimate $R(\eta'^{x'}, \eta')$ more precisely:

$$R(\eta'^{x'}, \eta') = q(1-q) \prod_{y \neq x'} ((1-q)\eta'(y) + q(1-\eta'(y))),$$

SO

$$\frac{\mu(\eta)}{R(\eta'^{x'},\eta')} = \frac{(1-q)\eta(x') + q(1-\eta(x'))}{q(1-q)} \prod_{y \neq x'} \frac{(1-q)\eta(y) + q(1-\eta(y))}{(1-q)\eta'(y) + q(1-\eta'(y))}.$$

By property 4 of the path we obtain

$$\frac{\mu(\eta)}{R(\eta'^{x'}, \eta')} \le q^{-1}.$$

We now conclude by continuing the estimate (4.2) –

$$\mu\left(\chi_{\ell}f^{2}\right) \leq C\ell^{d}Wq^{-1}\sum_{\eta'}\sum_{x'}R(\eta'^{x'},\eta')c_{x'}(\eta')(f(\eta'^{x'})-f(\eta'))^{2} = C\ell^{d}q^{-1}W\mathcal{D}(f). \qquad \Box$$

Remark 4.9. If, rather than $\mu(f^2)$ in inequality (4.1), we would like to bound $\mu(f)^2$, we could use Proposition 4.8 directly. By the Cauchy-Schwarz inequality

$$\mu(f)^{2} \leq 2\mu \left(\chi_{\ell} f\right)^{2} + 2\mu \left((1 - \chi_{\ell}) f\right)^{2} \leq 2\mu \left(\chi_{\ell} f^{2}\right) + 2\mu (1 - \chi_{\ell})\mu(f^{2}).$$

Choosing $\ell = C/q$ with C small enough, $\mu(1-\chi_{\ell})$ is bounded below 1/4, so

$$\mu(f)^2 \le 4\mu \left(\chi_{\ell} f^2\right) + \operatorname{Var}(f) \le C\tau_{\ell} \mathcal{D}(f).$$

This inequality is not entirely worthless, and it does bound $\mathbb{E}_{\mu}(\tau_0)$ from above by $C\tau_{\ell}$ (see [8, Section 4] and equation (4.3) in the following section). However, in order to obtain the exponential tail in Theorem 1.2 a more sophisticated approach is required.

From now on we set $\ell=\ell_q$. The lower bound on the spectral gap of [2, Theorem 6.4] is proven by introducing an auxiliary dynamics with large spectral gap and then comparing it to the FA1f dynamics. For that objective they define the constraints $\{\tilde{c}_x\}_{x\in\mathbb{Z}^d(\ell)}$, stating that none of the boxes Λ_y is entirely occupied for $y\in x+\{\ell e_1,\ldots,\ell e_d\}$; with the associated Dirichlet form

$$\tilde{\mathcal{D}}(f) = \sum_{x \in \mathbb{Z}^d(\ell)} \mu \left(\tilde{c}_x \operatorname{Var}_{\Lambda_x}(f) \right).$$

The following Lemma is given in [2, equation (5.1)]:

Lemma 4.10. The spectral gap associated with $\tilde{\mathcal{D}}$ is at least 1/2.

Then, [2, Theorem 6.4] is proved by showing:

Proposition 4.11. For any local function f,

$$\tilde{\mathcal{D}}(f) \leq C \tau_{\ell} \mathcal{D}(f),$$

where τ_{ℓ} is defined in Proposition 4.8.

We will use Lemma 4.10 in order to prove the following claim:

Claim 4.12. Assume that q is small enough, and let g be a function vanishing on the event $\{\chi_{\ell}=1\}$. Then

$$\mu(g^2) \le C\tilde{\mathcal{D}}(g).$$

Proof. By Lemma 4.10, the spectral gap of the dynamics described by the Dirichlet form $\tilde{\mathcal{D}}$ is at least 1/2. A simple application of Chebyshev's inequality (see [8, Claim 4.11]) then yields

$$\mu(g^2) \le \frac{1 + \mu(\chi_\ell)}{\mu(\chi_\ell)} 2\tilde{\mathcal{D}}(g),$$

and the result follows since $\ell = \ell_q$, hence $\mu(\chi_\ell)$ is bounded away from 0.

We are now ready to prove the upper bound on the persistence function – consider f which vanishes on $\{\eta_0 = 0\}$. Then, by Claim 4.12 and the fact that χ_ℓ does not depend on the occupation in Λ_x for $x \neq 0$,

$$\mu\left((1-\chi_{\ell})f^{2}\right) \leq C\tilde{\mathcal{D}}\left((1-\chi_{\ell})f\right) = C\sum_{x\in\mathbb{Z}^{d}}\mu\left(\tilde{c}_{x}\operatorname{Var}_{\Lambda_{x}}\left((1-\chi_{\ell})f\right)\right)$$

$$= C\sum_{x\in\mathbb{Z}^{d}\setminus\{0\}}\mu\left(\tilde{c}_{x}\operatorname{Var}_{\Lambda_{x}}\left((1-\chi_{\ell})f\right)\right) + C\mu\left(\tilde{c}_{0}\operatorname{Var}_{\Lambda_{0}}\left((1-\chi_{\ell})f\right)\right)$$

$$\leq C\sum_{x\in\mathbb{Z}^{d}\setminus\{0\}}\mu\left(\tilde{c}_{x}\operatorname{Var}_{\Lambda_{x}}(f)\right) + C\sum_{x\in\Lambda_{0}}\mu\left(\tilde{c}_{0}\operatorname{Var}_{x}\left((1-\chi_{\ell})f\right)\right)$$

$$\leq C\tilde{\mathcal{D}}(f) + C\sum_{x\in\Lambda_{0}}\mu\left(\tilde{c}_{0}\operatorname{Var}_{x}\left((1-\chi_{\ell})f\right)\right).$$

The first term could be bounded using Proposition 4.11. In order to bound the second term, we note that when $\chi_{\ell}(\eta^x) \neq \chi_{\ell}(\eta)$, necessarily $\chi_{\ell}(\eta^{1\leftarrow x}) = 0$, where $\eta^{1\leftarrow x}$ is the configuration that equals η outside x and 1 at x. Therefore,

$$\operatorname{Var}_{x}((1-\chi_{\ell})f) \leq q(1-q)\left((1-\chi_{\ell}(\eta^{x}))f(\eta^{x}) - (1-\chi_{\ell}(\eta))f(\eta)\right)^{2}$$

$$= q(1-q)\mathbb{1}_{\chi_{\ell}(\eta^{x})\neq\chi_{\ell}(\eta)}\left(f(\eta^{1\leftarrow x})\right)^{2}$$

$$\leq q\left((1-q)\left(f(\eta^{1\leftarrow x})\right)^{2} + q\left(f(\eta^{0\leftarrow x})\right)^{2}\right)$$

$$= q\mu_{x}(f^{2}).$$

Since \tilde{c}_0 does not depend on the occupation in Λ_0 ,

$$\sum_{x \in \Lambda_0} \mu\left(\tilde{c}_0 \operatorname{Var}_x\left((1 - \chi_\ell)f\right)\right) \le \sum_{x \in \Lambda_0} q\mu\left(\tilde{c}_0 f^2\right) \le \sum_{x \in \Lambda_0} q\mu\left(\chi_{2\ell} f^2\right) \le C\mu\left(\chi_{2\ell} f^2\right),$$

which could be bounded using Proposition 4.8.

We have so far shown that

$$\mu\left((1-\chi_{\ell})f^{2}\right) \leq C\tau_{\ell}\mathcal{D}(f) + C\tau_{2\ell}\mathcal{D}(f) \leq C\tau_{\ell}\mathcal{D}(f).$$

Using Proposition 4.8 again $\mu(\chi_{\ell}f^2) \leq \tau_{\ell}\mathcal{D}(f)$, and therefore

$$\mu(f^2) = \mu\left((1 - \chi_\ell)f^2\right) + \mu\left(\chi_\ell f^2\right) \le C\tau_\ell \mathcal{D}(f),$$

i.e., inequality (4.1) holds with $\overline{\tau} = C \tau_{\ell}$; and Lemma 4.1 concludes the proof of the upper bound.

4.2. **Lower bound.** In order to bound the expected value of τ_0 from below, we will use the following variational principle (see [8, Proposition 4.7]):

Lemma 4.13. Let V_0 be the space of local functions that vanish on the event $\{\eta(0)=0\}$. Then

$$\mathbb{E}_{\mu}(\tau_0) = \sup_{f \in V_0} (2\mu(f) - \mathcal{D}(f)).$$

Remark 4.14. It would be more convenient to use a homogeneous version of that variational principle –

$$\mathbb{E}_{\mu}(\tau_0) = \sup_{f \in V_0} \sup_{\lambda \in \mathbb{R}} (2\mu(\lambda f) - \mathcal{D}(\lambda f)) = \sup_{f \in V_0} \sup_{\lambda \in \mathbb{R}} (2\lambda \mu(f) - \lambda^2 \mathcal{D}(f)),$$

and since for fixed f the expression is maximized for $\lambda = \frac{\mu(f)}{\mathcal{D}(f)}$,

$$\mathbb{E}_{\mu}(\tau_0) = \sup_{f \in V_0} \frac{\mu(f)^2}{\mathcal{D}(f)}.$$
(4.3)

We will now treat separately three different cases: $d \ge 3$, d = 1, and d = 2.

4.2.1. $d \ge 3$. For high dimensions, we will use the test function $f(\eta) = \eta_0$. Its expected value is 1 - q, and its Dirichlet form is given by $\mathcal{D}(f) = q(1 - q)\mu(c_0) \le 2q^2$. Equation (4.3) now concludes the proof of this case.

4.2.2. d=1. In the one dimensional case we will use a test function similar to [2, proof of Theorem 6.4]. Let $\ell = \lceil 1/q \rceil$,

$$\xi(\eta) = \inf\{|x| : \eta_x = 0\},\$$

and

$$f(\eta) = \xi \mathbb{1}_{\xi < \ell} + (2\ell - \xi) \mathbb{1}_{\ell \le \xi < 2\ell}.$$
 (4.4)

Proposition 4.15. Consider f defined in equation (4.4). Then

$$\mu(f) \ge C\ell$$
.

Proof. First, note that ξ is a geometric random variable with parameter $1-(1-q)^2$, so we can calculate explicitly

$$\mu(f > \ell/2) = \mu(\frac{\ell}{2} < \xi < \frac{3\ell}{2}) = (1 - q)^{2\ell/2} (1 - (1 - q)^{2\ell}) > C,$$

and since f is positive $\mu(f) \geq C\ell$.

Proposition 4.16. Consider f defined in equation (4.4). Then

$$\mathcal{D}(f) \leq 4q$$
.

Proof. In order to bound $\mathcal{D}(f)$ we make the following observations –

- (1) For fixed η , if $f(\eta) \neq f(\eta^x)$ then either $\xi(\eta) = |x|$, or $\xi(\eta) = |x| + 1$.
- (2) For fixed η , if $f(\eta) \neq f(\eta^x)$ then $(f(\eta) f(\eta^x))^2 = 1$.

With these observations in mind,

$$\mathcal{D}(f) = q(1-q) \sum_{x} \mu \left(c_x (f(\eta^x) - f(\eta))^2 \right)$$

$$\leq q(1-q) \sum_{x} \mu \left(c_x (\mathbb{1}_{\xi=|x|} + \mathbb{1}_{\xi=|x|+1}) \right)$$

$$\leq 4q(1-q)$$

Using these two propositions and equation (4.3), the case d=1 is concluded.

4.2.3. d=2. Let $\ell=\ell_q$, recalling $\ell_q=Cq^{-1/2}$, and $\Lambda=\{x\in\mathbb{Z}^2:\|x\|_1\leq\ell\}$. The test function we will use is

$$f(\eta) = \inf_{\substack{x \in \mathbb{Z}^2 \\ \eta(x) = 0}} \log(1 + \|x\|_1 \wedge \ell). \tag{4.5}$$

Note that it vanishes on the event $\{\eta(0) = 0\}$, and that it depends only on the occupation in Λ .

Remark 4.17. The function $\log(1 + ||x||_1)$ is used in [7] in a different context, in order to bound the relaxation time of the simple random walk on a certain graph that consists of two copies of Λ_n (for some $n \in \mathbb{N}$). Though presented differently, the proof there is based on the fact that this function serves as a test function for the hitting time at 0 of the random walk on Λ_n ; and that for the dynamics to relax a random walk in one of the two copies of Λ_n must first hit 0. Indeed, the bound $Cq^{-2}\log(1/q)$ obtained scales as the expected hitting time at the origin for a random walk in Λ with jump rate q.

Proposition 4.18. Consider f defined in equation (4.5). Then

$$\mu(f) \ge C \log(1/q)$$
.

Proof. The proof is based on the fact, that the probability that Λ is entirely occupied, given by $(1-q)^{|\Lambda|}$, is bounded away from 0 uniformly in q (thanks to the choice $\ell = Cq^{-1/2}$). In this case, f equals $\log(1+\ell)$, which is greater than $\frac{1}{2}\log(1/q)$.

Proposition 4.19. Consider f defined in equation (4.5). Then

$$\mathcal{D}(f) \le Cq^2 \log(1/q).$$

Proof. The proof is based on the following observation:

Observation 4.20. Fix $\eta \in \Omega$ and $x \in \Lambda$ such that $c_x(\eta) = 1$, $\eta(x) = 0$, and $f(\eta) \neq f(\eta^x)$. Then $f(\eta) = \log(1 + ||x||_1)$ and $f(\eta^x) = \log(2 + ||x||_1)$.

Proof. Since $f(\eta) \neq f(\eta^x)$, there can be no vertex y with $\eta(y) = 0$ and $\|y\|_1 \leq \|x\|_1$, so in particular $f(\eta) = \log(1 + \|x\|_1)$. Moreover, since $c_x = 1$, it must have an empty neighbor z, and since this neighbor has norm greater than $\|x\|_1$, necessarily $\|z\|_1 = \|x\|_1 + 1$. Since, in addition, no empty site for η^x has norm strictly smaller than $\|x\|_1 + 1$, we conclude that $f(\eta^x) = \log(2 + \|x\|_1)$.

This observation implies in particular that for all $\eta \in \Omega$ and $x \in \Lambda$ such that $c_x(\eta) = 1$

$$(f(\eta^x) - f(\eta))^2 \le (1 + ||x||_1)^{-2}$$
.

Using this estimate,

$$\mathcal{D}(f) = q(1 - q) \sum_{x} \mu \left(c_x (f(\eta^x) - f(\eta))^2 \right)$$

$$\leq q(1 - q) \sum_{x \in \Lambda} \mu \left(c_x \right) (1 + \|x\|_1)^{-2}$$

$$\leq Cq^2 \log(\ell) = Cq^2 \log(1/q)$$

The proof of Theorem 1.2 is then concluded by the last two propositions and equation (4.3).

5. Proof of Theorem 1.3

As in the proof of Theorem 1.1, we look for $f:\Omega_G\to\mathbb{R}$ such that

$$\mathcal{D}_G(f) \le C d_{\max} q \overline{\tau}_{\text{meet}}^{-1} \operatorname{Var}(f),$$

where the variance is understood with respect to the measure μ_G .

The test function that we use is

$$f(\eta) = \max_{\substack{x,y \in V(G)\\ \eta(x) = \eta(y) = 0}} \tau_{\text{meet}}(x,y). \tag{5.1}$$

Before analyzing the function f, note that τ_{meet} solves the following Poisson problem:

$$-\mathcal{L}_{\text{RW}}\left(\tau_{\text{meet}}(x, y)\right) = 1, \qquad d(x, y) > 1,$$

$$\tau_{\text{meet}}(x, y) = 0, \qquad d(x, y) < 1;$$

where \mathcal{L}_{RW} is the infinitesimal generator of two independent random walks on G. Multiplying both sides by $\tau_{meet}(x, y)$ and averaging over x and y we obtain

$$\overline{\tau}_{\text{meet}} = \mathcal{D}_{\text{RW}} \left(\tau_{\text{meet}} \right), \tag{5.2}$$

where the Dirichlet form is given for every $g:V(G)\times V(G)\to \mathbb{R}$ by

$$\mathcal{D}_{\text{RW}}\left(g\right) = \frac{1}{2\left|V(G)\right|^{2}} \sum_{x} \sum_{y} \left[\sum_{x' \sim x} \left(g(x', y) - g(x, y)\right)^{2} + \sum_{y' \sim y} \left(g(x, y') - g(x, y)\right)^{2} \right].$$

Proposition 5.1. For f defined in equation (5.1),

$$Var(f) \ge C\overline{\tau}_{meet}^2$$
.

Proof. Under μ_G , the probability that exactly one site is empty is of order 1 (i.e., bounded away from 0 uniformly in q). When this happens, f = 0, so

$$\operatorname{Var}(f) = \mu_G \left[(f - \mu_G(f))^2 \right] \ge \mu_G \left[(f - \mu_G(f))^2 \, \mathbb{1}_{\sum_x (1 - \eta(x)) = 1} \right]$$
$$= \mu_G(f)^2 \, \mu_G \left[\sum_x (1 - \eta(x)) = 1 \right] \ge C \mu_G(f)^2.$$

Moreover, the probability that there are exactly two vacancies is also of order 1. Under this event,

$$\mu_G\left[f(\eta)\big|\mathbb{1}_{\sum_x(1-\eta(x))=2}\right] = \frac{1}{|V(G)|\left(|V(G)|-1\right)} \sum_{\substack{x,y \in V(G)\\x \neq y}} \tau_{\mathrm{meet}}(x,y) \ge \overline{\tau}_{\mathrm{meet}}. \qquad \Box$$

Proposition 5.2. For f defined in equation (5.1),

$$\mathcal{D}(f) \leq Cq\overline{\tau}_{\text{meet}}.$$

Proof. We start the proof with an observation:

Observation 5.3. Let $\eta \in \Omega_G$ and $x \in V(G)$ such that $c_x(\eta) = 1$, $\eta(x) = 0$, and $f(\eta) \neq f(\eta^x)$. Then there exist $x', y \in V(G)$ such that $x' \sim x$, d(x, y) > 1, $\eta(y) = \eta(x') = 0$, and

$$\tau_{\mathrm{meet}}(x', y) \le f(\eta^x) < f(\eta) = \tau_{\mathrm{meet}}(x, y).$$

Proof. First, recalling equation (5.1), when filling an empty site f could only decrease, and since $f(\eta) \neq f(\eta^x)$ necessarily $f(\eta) > f(\eta^x)$. Moreover, f could only change if the maximum is attained at the pair x,y for some $y \in V(G)$, i.e., $f(\eta) = \tau_{\text{meet}}(x,y)$. Note that $f(\eta)$ is non-zero, hence d(x,y) > 1. Finally, $c_x(\eta) = 1$ means that x has an empty neighbor x'; and since in the configuration η^x both x' and y are empty $f(\eta^x) \geq \tau_{\text{meet}}(x',y)$.

As a consequence of this observation, for all $\eta \in \Omega_G$ and x such that $c_x(\eta) = 1$,

$$(f(\eta^x) - f(\eta))^2 \leq \sum_{\substack{y \in V(G) \\ d(x,y) > 1}} \sum_{\substack{x' \in V(G) \\ x' \sim x}} (1 - \eta(y))(1 - \eta(x')) \ (\tau_{\mathsf{meet}}(x,y) - \tau_{\mathsf{meet}}(x',y))^2 \ .$$

We can now use this estimate and calculate the Dirichlet form:

$$\mathcal{D}(f) = q(1 - q) \sum_{x} \mu_{G} \left[c_{x} \left(f(\eta^{x}) - f(\eta) \right)^{2} \right]$$

$$\leq q(1 - q) \sum_{x} \mu_{G} \left[\sum_{\substack{y \in V(G) \\ d(x,y) > 1}} \sum_{x' \sim x} (1 - \eta(y)) (1 - \eta(x')) \left(\tau_{\text{meet}}(x, y) - \tau_{\text{meet}}(x', y) \right)^{2} \right]$$

$$\leq q^{3} (1 - q) \sum_{x} \sum_{\substack{y \in V(G) \\ d(x,y) > 1}} \sum_{x' \sim x} \left(\tau_{\text{meet}}(x, y) - \tau_{\text{meet}}(x', y) \right)^{2}$$

$$\leq Cq \mathcal{D}_{\text{RW}}(\tau_{\text{meet}}),$$

and the proposition follows form equation (5.2).

Theorem 1.3 is a consequence of Propositions 5.1 and 5.2, using the variational characterization of the spectral gap. \Box

Remark 5.4. For the case of the two dimensional torus discussed in Remark 1.4, it is possible to bound $\overline{\tau}_{\text{meet}}$ from below using the equivalent of equation (4.3):

$$\overline{\tau}_{\text{meet}} = \sup_{g} \frac{\left(\frac{1}{|V(G)|^2} \sum_{x,y} g(x,y)\right)^2}{\mathcal{D}_{\text{RW}}(g)},$$

where the supremum is taken over functions $g:V(G)\times V(G)\to \mathbb{R}$, for which g(x,y)=0 whenever $d(x,y)\leq 1$. Taking the test function $g(x,y)=\log(d(x,y)\vee 1)$ (cf. Remark 4.17) yields the bound $q^2/\log(1/q)$ on the spectral gap.

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