

Time to Remove the Left Atrial Appendage at Surgery

LAAOS III in Perspective

Subodh Verma¹, MD, PhD; Deepak L. Bhatt², MD, MPH; Elaine E. Tseng, MD

Cardioembolic strokes are larger and associated with higher mortality when secondary to atrial fibrillation (AF).¹ Systemic anticoagulation, with vitamin K antagonists (VKAs) or direct oral anticoagulants, effectively reduces thromboembolic events,² but is limited by bleeding, poor adherence, contraindications, drug interactions, and therapeutic variability (particularly with VKA). Even when used optimally, however, residual ischemic stroke risk persists.³

Imaging and postmortem studies suggest that >90% of thrombi in nonvalvular AF are found in the left atrial appendage (LAA) and are causally related to ischemic strokes. LAA elimination may be an alternative or adjunct to systemic anticoagulation to provide a permanent preventive solution, while recognizing that the pitfalls of LAA management could be additional fluid retention attributable to atrial natriuretic peptide disruption, incomplete closure resulting in residual flow, and possible interference with the left circumflex artery. Procedural success of LAA management is typically determined by the absence of any residual blood flow between the LAA and the left atrium and a residual LAA neck (ie, stump) <1 cm.

Percutaneous LAA occlusion has been evaluated in trial settings wherein patients were randomly assigned to LAA occlusion versus VKA (PROTECT AF [Watchman Left Atrial Appendage System for Embolic Protection in Patients With Atrial Fibrillation], PREVAIL [Evaluation of the Watchman Left Atrial Appendage (LAA) Closure Device in Patients With Atrial Fibrillation Versus Long Term Warfarin Therapy]) or direct oral anticoagulants (PRAGUE-17 [Left Atrial Appendage Closure vs. Novel

Anticoagulation Agents in Atrial Fibrillation]).³ In the former, percutaneous LAA occlusion was noninferior to VKA and the benefit appeared to be driven primarily by reducing intracerebral bleeding with LAA occlusion, with a suggestion that there may have been higher device-related thrombotic/ischemic events. In patients with AF and a history of significant bleeding or an event on oral anticoagulation, PRAGUE-17 demonstrated similar rates of strokes in patients treated with percutaneous LAA occlusion or direct oral anticoagulant therapy, although there were significant implant-related complications (~5%). Guidelines thus provide a weak recommendation to consider percutaneous LAA occlusion in patients with nonvalvular AF who are at moderate to high risk of stroke and have absolute contraindications to oral anticoagulation.^{3,4}

Surgical LAA occlusion, in particular, if performed as an adjunct to a planned cardiac surgery, is an attractive proposition in patients with concomitant AF. However, the observational data have been unclear, resulting in weak recommendations for concomitant surgical LAA occlusion in patients with AF undergoing open chest surgical procedures who are deemed ineligible for oral anticoagulation.

In the LAAOS III trial (Left Atrial Appendage Occlusion Study III), Whitlock et al⁵ provide results from the much-anticipated trial of LAA occlusion performed concomitantly in patients undergoing cardiac surgery, plus planned postoperative oral anticoagulant therapy. Specifically, 4811 patients with a history of AF and CHA₂DS₂-VASc score of ≥2 who were scheduled to undergo

Key Words: atrial appendage ■ atrial fibrillation ■ stroke

The opinions expressed in this article are not necessarily those of the editors or of the American Heart Association.

Correspondence to: Subodh Verma, MD, PhD, Division of Cardiac Surgery, 8th Floor, Bond Wing, St. Michael's Hospital, 30 Bond St, Toronto, ON, M5B 1W8, Canada. Email subodh.verma@unityhealth.to

For Sources of Funding and Disclosures, see page 1090.

© 2021 American Heart Association, Inc.

Circulation is available at www.ahajournals.org/journal/circ

cardiac surgery were randomly assigned to LAA occlusion (using any closure technique—surgical amputation, stapler, closure device, or double-layer linear closure from within; $n=2379$) versus no LAA occlusion ($n=2391$; Figure). Patients were expected to receive appropriate guideline-recommended stroke prevention therapies postoperatively, including anticoagulation. The mean age of the cohort was 71 years; the distribution of permanent, persistent, and paroxysmal AF was $\approx 30\%$, 20% , and 50% , respectively. The CHA₂DS₂-VASc score was 4.2 ± 2 , and about one-half of the population was treated with a VKA or direct oral anticoagulant preoperatively. One-fifth underwent isolated coronary artery bypass surgery, whereas 65% had a valve procedure performed, of whom 36% had a mitral valve intervention. The trial was stopped early for efficacy with a mean follow-up of 3.8 years. At discharge, $\approx 80\%$ of the patients were prescribed an anticoagulant. The primary outcome, comprising the composite of ischemic stroke or systemic embolism, occurred in 7.0% in the no-occlusion group and 4.8% in the occlusion group, consistent with a 33% relative risk reduction (hazard ratio, 0.67 [95% CI, 0.53–0.85]; $P=0.001$). The benefit on the primary outcome was driven largely by a reduction in ischemic stroke (hazard ratio, 0.66 [95% CI, 0.52–0.84]). All-cause strokes were also reduced (hazard ratio, 0.63 [95% CI, 0.50–0.80]). Death, myocardial infarction, major bleeding, and hospitalization for heart failure were not different between groups.

What are the key implications and unanswered questions from LAAOS III? The trial provides evidence that LAA occlusion should now become standard of care in patients with a history of AF undergoing cardiac surgery. The procedure can be performed safely, without a significant increase in cardiopulmonary bypass or cross-clamp

time, mediastinal bleeding, or reoperation rate. Although only patients undergoing on-pump surgery were enrolled, if technically feasible, there is no reason to suspect that a similar benefit would not be seen with off-pump surgery. The benefits were consistent across the various subgroups studied, in general, including those with a CHA₂DS₂-VASc score >4 or ≤ 4 . The results extend to patients in sinus rhythm if they had a documented history of AF or atrial flutter. Consistent benefits were observed in patients irrespective of whether concomitant AF ablation was performed. The advantages on the primary outcome were much greater beyond 30 days, emphasizing that early postoperative strokes are likely related to surgical intervention (such as aortic cross-clamping) as opposed to cardioembolism. Although no heterogeneity was observed in patients undergoing valve surgery, specific details about the mitral valve group were not provided. This is important, because, in the setting of valvular AF (particularly mitral), the LAA is the source of thrombi in $\approx 40\%$ of cases (unlike nonvalvular AF, $\approx 90\%$). Furthermore, these data only apply to concomitant cardiac surgery and do not provide guidance on stand-alone surgical (or percutaneous) LAA closure. Although the data provide evidence of an additive benefit to oral anticoagulation, the question of whether LAA occlusion can be an alternative to oral anticoagulation remains unanswered. Although VKA was the most prescribed anticoagulant at discharge, the reasons why $\approx 20\%$ of patients were not prescribed anticoagulation at discharge is unclear. Why patients undergoing mechanical valve replacement were excluded remains unclear, but, in our opinion, this should not limit the generalizability of the results to this population. It is notable that there was no significant increase in the rates of hospitalization for heart failure. This is important, because the LAA is a source of atrial natriuretic peptide, and it has been postulated that LAA removal may promote salt/water retention and worsen this outcome. Last, the early termination may have inflated the observed benefits.

LAAOS III provides evidence from the largest and non-industry-funded trial to support the additive benefits of surgical LAA occlusion on stroke prevention, when performed in patients with a history of AF or atrial flutter and CHA₂DS₂-VASc score ≥ 2 and is followed through with anticoagulation. This strategy yields a number needed to treat for 5 years of 37 and was consistent in patients with all types of preoperative AF, those with and without concomitant ablation and across various modes of surgical closure. These data do not directly endorse percutaneous LAA closure, nor do they speak to stand-alone surgical LAA occlusion.

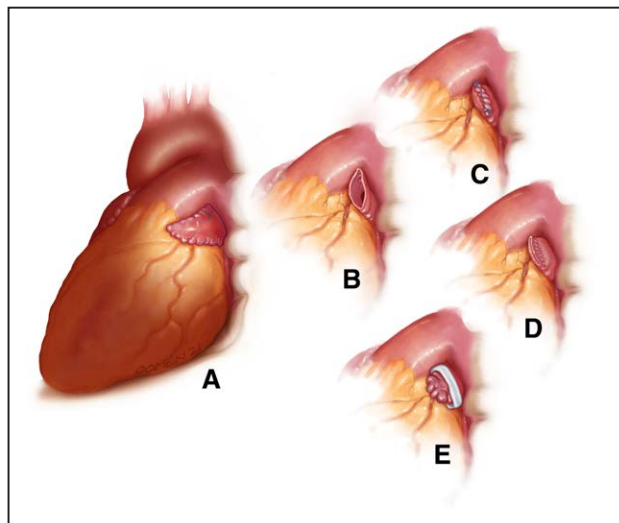


Figure. Views of the left atrial appendage before and after surgical exclusion.

A, Intact left atrial appendage. **B**, Resected left atrial appendage before closure. **C**, Left atrial appendage after sutured amputation. **D**, Left atrial appendage after stapled excision. **E**, Left atrial appendage after clip application.

ARTICLE INFORMATION

Affiliations

Division of Cardiac Surgery, St. Michael's Hospital, University of Toronto, ON, Canada (S.V.). Division of Cardiovascular Medicine, Brigham and Women's Hospital

Heart & Vascular Center, Harvard Medical School, Boston, MA (D.L.B.) Division of Cardiothoracic Surgery, University of California San Francisco and San Francisco VA Medical Center, San Francisco, CA (E.E.T.).

Acknowledgments

The authors thank Hwee Teoh, PhD, of St. Michael's Hospital, Toronto, ON, Canada for editorial assistance.

Sources of Funding

None.

Disclosures

Dr Verma holds a Tier 1 Canada Research Chair in Cardiovascular Surgery; and reports receiving research grants or speaking honoraria from Amarin, Amgen, AstraZeneca, Bayer, Boehringer Ingelheim, Bristol-Myers Squibb, Eli Lilly, EOCI Pharmacomm Ltd, HLS Therapeutics, Janssen, Merck, Novartis, Novo Nordisk, Pfizer, PhaseBio, Sanofi, Sun Pharmaceuticals, and the Toronto Knowledge Translation Working Group. He is the President of the Canadian Medical and Surgical Knowledge Translation Research Group, a federally incorporated not-for-profit physician organization. Dr Bhatt discloses the following relationships: Advisory Board: Cardax, CellProthera, Cereno Scientific, Elsevier Practice Update Cardiology, Janssen, Level Ex, Medscape Cardiology, MyoKardia, NirvaMed, Novo Nordisk, PhaseBio, PLx Pharma, Regado Biosciences; Board of Directors: Boston VA Research Institute, Society of Cardiovascular Patient Care, TobeSoft; Chair: Inaugural Chair, American Heart Association Quality Oversight Committee; Data Monitoring Committees: Baim Institute for Clinical Research (formerly Harvard Clinical Research Institute, for the PORTICO trial [Portico Re-sheathable Transcatheter Aortic Valve System], funded by St. Jude Medical, now Abbott), Cleveland Clinic (including for the ExCEED trial [CENTERA THV System in Intermediate Risk Patients Who Have Symptomatic, Severe, Calcific, Aortic Stenosis], funded by Edwards), Contego Medical (Chair, PERFORMANCE 2 trial [Protection Against Emboli During Carotid Artery Stenting Using the Neuroguard IEP System]), Duke Clinical Research Institute, Mayo Clinic, Mount Sinai School of Medicine (for the ENVISAGE trial [Edoxaban Compared to Standard Care After Heart Valve Replacement Using a Catheter in Patients With Atrial Fibrillation], funded by Daiichi Sankyo), Population Health Research Institute; Honoraria: American College of Cardiology (Senior Associate Editor, *Clinical Trials and News*, ACC.org; Chair, ACC Accreditation Oversight Committee), Baim Institute for Clinical Research (formerly Harvard Clinical Research Institute; RE-DUAL PCI [Evaluation of Dual Therapy With Dabigatran vs. Triple Therapy With Warfarin in Patients With AF That Undergo a PCI With Stenting] clinical trial steering committee funded by Boehringer Ingelheim; AEGIS-II [Study to Investigate CSL112 in Subjects With Acute Coronary Syndrome] executive committee funded by CSL Behring), Belvoir Publications (Editor in Chief, *Harvard Heart Letter*), Canadian Medical and Surgical Knowledge Translation Research Group (clinical trial steering committees), Duke Clinical Research Institute (clinical trial steering committees, including for the PRONOUNCE trial [A Trial Comparing Cardiovascular Safety of Degarelix Versus Leuprolide in Patients With Advanced Prostate Cancer and Cardiovascu-

lar Disease], funded by Ferring Pharmaceuticals), HMP Global (Editor in Chief, *Journal of Invasive Cardiology*), *Journal of the American College of Cardiology* (Guest Editor; Associate Editor), K2P (Co-Chair, interdisciplinary curriculum), Level Ex, Medtelligence/ReachMD (CME steering committees), MJH Life Sciences, Population Health Research Institute (for the COMPASS [Rivaroxaban for the Prevention of Major Cardiovascular Events in Coronary or Peripheral Artery Disease] operations committee, publications committee, steering committee, and USA national coleader, funded by Bayer), Slack Publications (Chief Medical Editor, *Cardiology Today's Intervention*), Society of Cardiovascular Patient Care (Secretary/Treasurer), *WebMD* (CME steering committees); Other: Clinical Cardiology (Deputy Editor), National Cardiovascular Data Registry ACTION Registry Steering Committee (Chair), VA CART Research and Publications Committee (Chair); Research Funding: Abbott, Afimmune, Amarin, Amgen, AstraZeneca, Bayer, Boehringer Ingelheim, Bristol-Myers Squibb, Cardax, CellProthera, Cereno Scientific, Chiesi, CSL Behring, Eisai, Ethicon, Ferring Pharmaceuticals, Forest Laboratories, Fractyl, Garmin, HLS Therapeutics, Idorsia, Ironwood, Ischemix, Janssen, Lexicon, Lilly, Medtronic, MyoKardia, NirvaMed, Novartis, Novo Nordisk, Owkin, Pfizer, PhaseBio, PLx Pharma, Regeneron, Roche, Sanofi, Synaptic, The Medicines Company, 89Bio; Royalties: Elsevier (Editor, *Cardiovascular Intervention: A Companion to Braunwald's Heart Disease*); Site Co-Investigator: Abbott, Biotronik, Boston Scientific, CSI, St. Jude Medical (now Abbott), Svelte; Trustee: American College of Cardiology; Unfunded Research: FlowCo, Merck, Takeda. Dr Tseng discloses the following: National Institutes of Health R01HL119857-01A1 grant, Marfan Foundation grant, Site principal investigator for NEWTON-CABG (Effect of Evolocumab on Saphenous Vein Graft Patency Following Coronary Artery Bypass Surgery; Amgen, Inc).

REFERENCES

1. Verma A, Bhatt DL, Verma S. Long-term outcomes of post-operative atrial fibrillation: guilty as charged. *J Am Coll Cardiol*. 2018;71:749–751. doi: 10.1016/j.jacc.2017.12.034
2. Verma A, Ha ACT, Rutka JT, Verma S. What surgeons should know about non-vitamin K oral anticoagulants: a review. *JAMA Surg*. 2018;153:577–585. doi: 10.1001/jamasurg.2018.0374
3. Andrade JG, Aguilar M, Atzema C, Bell A, Cairns JA, Cheung CC, Cox JL, Dorian P, Gladstone DJ, Healey JS, et al; Members of the Secondary Panel. The 2020 Canadian Cardiovascular Society/Canadian Heart Rhythm Society comprehensive guidelines for the management of atrial fibrillation. *Can J Cardiol*. 2020;36:1847–1948. doi: 10.1016/j.cjca.2020.09.001
4. Cheung CC, Nattel S, Macle L, Andrade JG. Atrial fibrillation management in 2021: an updated comparison of the current CCS/CHRS, ESC, and AHA/ACC/HRS guidelines. *Can J Cardiol*. 2021. doi: 10.1016/j.cjca.2021.06.011
5. Whitlock RP, Belley-Cote EP, Paparella D, Healey JS, Brady K, Sharma M, Reents W, Budera P, Baddour AJ, Fila P, et al; LAAOS III Investigators. Left atrial appendage occlusion during cardiac surgery to prevent stroke. *N Engl J Med*. 2021;384:2081–2091. doi: 10.1056/NEJMoa2101897