

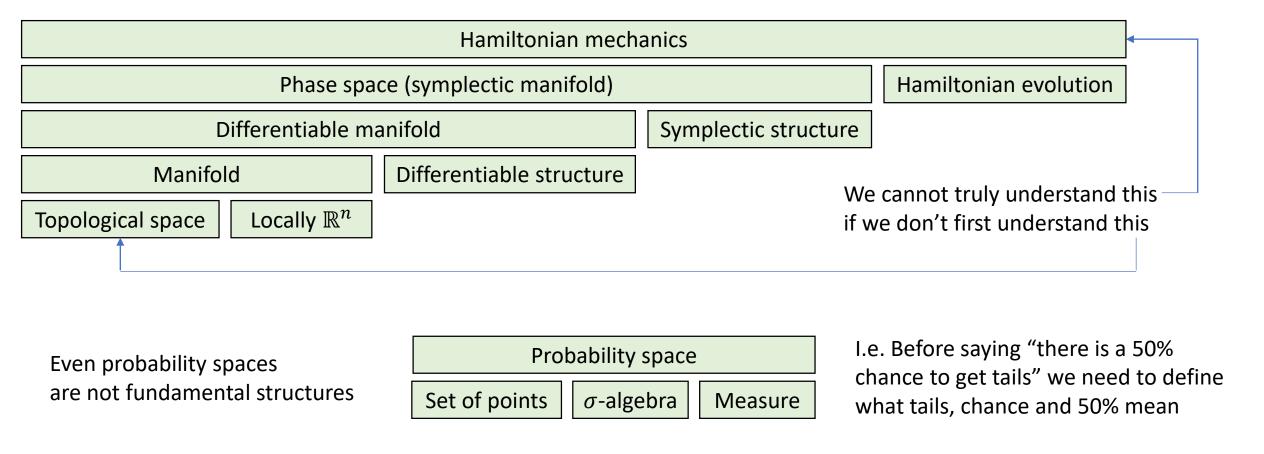
# Uncovering the Assumptions of Physics

Gabriele Carcassi

University of Michigan



## Understanding fundamental structures



• The desire to understand when and why the higher level structures are needed in science pushed us to backtrack to the most basic ones

## Assumptions of Physics

- This is the reason we started a project called Assumptions of Physics (see <a href="http://assumptionsofphysics.org/">http://assumptionsofphysics.org/</a>)
- The aim of the project is to find a handful of physical principles and assumptions from which the basic laws of physics can be derived with the following goals in mind:
  - Clarify our assumptions
  - Put physics back at the center of the discussion
    - Derive mathematical structures from physical ideas
  - Give science sturdier mathematical grounds
    - Each mathematical object has a clear physical meaning and no object is unphysical
  - Foster connections between different fields of knowledge
  - Provide a solid basis for new theories

## General mathematical theory of experimental science

#### **Experimental verifiability**

leads to topological spaces, sigma-algebras, ...

State-level assumptions

#### Infinitesimal reducibility

leads to classical phase space

Process-level assumptions

## Deterministic and reversible evolution

leads to isomorphism on state space

Non-reversible evolution

•••

Hamilton's equations

$$\frac{d}{dt}(q,p) = \left(\frac{\partial H}{\partial p}, -\frac{\partial H}{\partial q}\right)$$

#### Irreducibility

leads to quantum state space

Schroedinger equation

$$i\hbar \frac{\partial}{\partial t} \psi = H\psi$$

Thermodynamics

Euler-Lagrange equations

$$\delta \int L(q,\dot{q},t) = 0$$

Kinematic equivalence

leads to massive particles

#### Summary

- First we are going to see how we can construct a formal system that captures some key aspects of experimental verification
  - this leads directly to two fundamental mathematical structures, topologies and  $\sigma$ -algebras, which are the foundations of most of the other mathematical structures used in science
- Then we are going to see three assumptions and their consequences
  - Deterministic and reversible evolution
  - Infinitesimal reducibility, the ability to describe parts of a system
    - which leads to classical phase space and, paired with deterministic and reversible evolution, gives us classical Hamiltonian mechanics
  - Irreducibility, the inability to describe parts of a system
    - which leads to quantum states and, paired with deterministic and reversible evolution, gives us quantum mechanics (i.e. the Schroedinger equation)

# Experimental verifiability

#### Verifiable statements

The basic objects of our frameork will be **verifiable statements**: objective assertions that can be shown to be true experimentally in a finite time

#### Examples:

The mass of the photon is less than  $10^{-13}$  eV If the height of the mercury column is between 24 and 25 millimeters then its temperature is between 24 and 25 Celsius If I take  $2\pm0.01$  Kg of Sodium-24 and wait  $15\pm0.01$ 

#### Counterexamples:

Chocolate tastes good (not universal)
It is immoral to kill one person to save ten (not universal and/or evidence-based)
The number 4 is prime (not evidence-based)
This statement is false (not non-contradictory)
The mass of the photon is exactly 0 eV (not verifiable due to infinite precision)

We have to keep in mind that the meaning of the statements, their relationships and what truth values are allowed depends on context (e.g. premise, theory, etc...)

The mass of the electron is 510  $\pm$  0.5 KeV

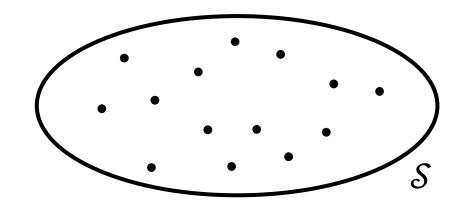
When measuring the mass is a verifiable hypothesis

hours there will be only  $1 \pm 0.01$  Kg left

Assumed to be true for particle identification

#### Basic axioms for statements

Axiom 1.2. A statement s is an assertion that is either true or false. A logical context S is a collection of statements with well defined logical relationships. Formally, a logical context S is a collection of elements called statements upon which is defined a function truth:  $S \to \mathbb{B}$ .



|            | $s_1$ | $s_2$ | $s_3$ |                        |
|------------|-------|-------|-------|------------------------|
| <i>a</i> → | T     | T     | F     |                        |
|            | T     | F     | T     | <br>$-\mathcal{A}_{c}$ |
|            | Т     | F     | F     |                        |

**Axiom 1.4.** A possible assignment for a logical context S is a map  $a: S \to \mathbb{B}$  that assigns a truth value to each statement in a way consistent with the content of the statements. Formally, each logical context comes equipped with a set  $A_S \subseteq \mathbb{B}^S$  such that truth  $\in A_S$ . A map  $a: S \to \mathbb{B}$  is a possible assignment for S if  $a \in A_S$ .

**Axiom 1.10.** We can always construct a statement whose truth value arbitrarily depends on an arbitrary set of statements. Formally, let  $S \subseteq \mathcal{S}$  be a set of statements and  $f_{\mathbb{B}} : \mathbb{B}^S \to \mathbb{B}$  an arbitrary function from an assignment of S to a truth value. Then we can always find a statement  $\bar{s} \in \mathcal{S}$  that depends on S through  $f_{\mathbb{B}}$ .

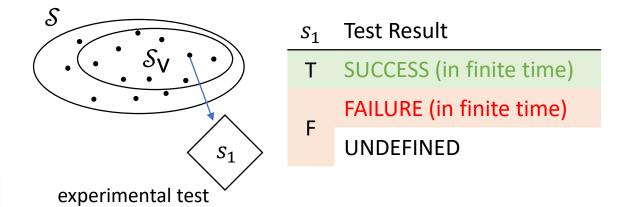
| $s_1$ | $s_2$ | $s_3$ |     |                  | $s_2 OR s_3$ |
|-------|-------|-------|-----|------------------|--------------|
| Т     | Т     | F     | ••• | £                | T            |
| Т     | F     | Т     | ••• | $J_{\mathbb{B}}$ | Т            |
| Т     | F     | F     | ••• |                  | F            |

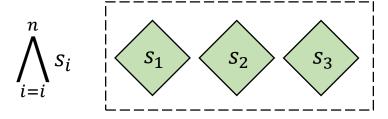
#### Basic axioms for verifiable statements

**Axiom 1.28.** A verifiable statement is a statement that, if true, can be shown to be so experimentally. Formally, each logical context S contains a set of statements  $S_v \subseteq S$  whose elements are said to be verifiable. Moreover, we have the following properties:

- every tautology  $T \in S$  is verifiable
- every contradiction  $\bot \in S$  is verifiable
- a statement equivalent to a verifiable statement is verifiable

*Remark.* The **negation or logical NOT** of a verifiable statement is not necessarily a verifiable statement.

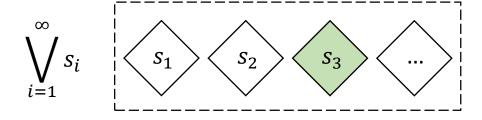




All tests must succeed

**Axiom 1.33.** The disjunction of a countable collection of verifiable statements is a verifiable statement. Formally, let  $\{s_i\}_{i=1}^{\infty} \subseteq \mathcal{S}_{\mathbf{v}}$  be a countable collection of verifiable statements. Then the disjunction  $\bigvee_{i=1}^{\infty} s_i \in \mathcal{S}_{\mathbf{v}}$  is a verifiable statement.

**Axiom 1.32.** The conjunction of a finite collection of verifiable statements is a verifiable statement. Formally, let  $\{s_i\}_{i=1}^n \subseteq \mathcal{S}_v$  be a finite collection of verifiable statements. Then the conjunction  $\bigwedge_{i=1}^n s_i \in \mathcal{S}_v$  is a verifiable statement.



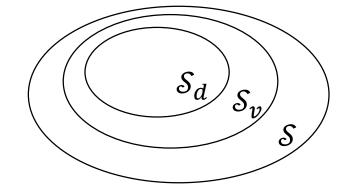
One successful test is sufficient

## Properties of the logic system

#### Different algebras for the different types of statements

| Operator    | Gate | Statement | Verifiable Statement | Decidable Statement |
|-------------|------|-----------|----------------------|---------------------|
| Negation    | NOT  | allowed   | disallowed           | allowed             |
| Conjunction | AND  | arbitrary | finite               | finite              |
| Disjunction | OR   | arbitrary | countable            | finite              |

Table 1.3: Comparing algebras of statements.



#### (Different) notions of equivalences

**Definition 1.16.** Two statements  $s_1$  and  $s_2$  are equivalent  $s_1 \equiv s_2$  if they must be equally true or false simply because of their content. Formally,  $s_1 \equiv s_2$  if and only if  $a(s_1) = a(s_2)$  for all possible assignments  $a \in \mathcal{A}_{\mathcal{S}}$ .

Are the same statement

"This animal is a bird" = "Questo animale e' un uccello"

Always have the same truth ——— "This animal is a bird" = "This animal has feathers" truth("This animal is a bird") = truth("That animal is a mammal")

Happen to have the same truth

## Properties of the logic system

#### Other semantic relationships

**Definition 1.21.** Given two statements  $s_1$  and  $s_2$ , we say that:

- $s_1$  is narrower than  $s_2$  (noted  $s_1 \leq s_2$ ) if  $s_2$  is true whenever  $s_1$  is true simply because of their content. That is, for all  $a \in \mathcal{A}_{\mathcal{S}}$  if  $a(s_1) = \text{TRUE}$  then  $a(s_2) = \text{TRUE}$ .
- $s_1$  is broader than  $s_2$  (noted  $s_1 \ge s_2$ ) if  $s_2 \le s_1$ .
- $s_1$  is compatible to  $s_2$  (noted  $s_1 \approx s_2$ ) if their content allows them to be true at the same time. That is, there exists  $a \in \mathcal{A}_{\mathcal{S}}$  such that  $a(s_1) = a(s_2) = \text{TRUE}$ .

The negation of these properties will be noted by  $\nleq$ ,  $\ngeq$ ,  $\Leftrightarrow$  respectively.

**Definition 1.22.** The elements of a set of statements  $S \subseteq \mathcal{S}$  are said to be independent (noted  $s_1 \perp \!\!\! \perp s_2$  for a set of two) if the assignment of any subset of statements does not depend on the assignment of the others. That is, a set of statements  $S \subseteq \mathcal{S}$  is independent if given a family  $\{t_s\}_{s \in S}$  such that each  $t_s \in \mathbb{B}$  is a possible assignment for the respective s we can always find  $a \in \mathcal{A}_{\mathcal{S}}$  such that  $a(s) = t_s$  for all  $s \in S$ .

```
narrower than
"This animal is a cat" ≤ "This animal is a mammal"

incompatible
"This animal is a cat" 

"This animal is a dog"

independent
"This animal is a cat" || "This animal is black"
```

- Minimal set of axioms (easy to justify)
- Allows to capture experimental verification
- Allows to describe semantic relationships of the type we have in science
- Gives us a basic structure all other structures have to "play nice" with

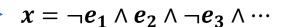
## Experimental domains (scientific models)

Start with a countable set of verifiable statements

From them generate all verifiable statements (close under finite AND countable OR)

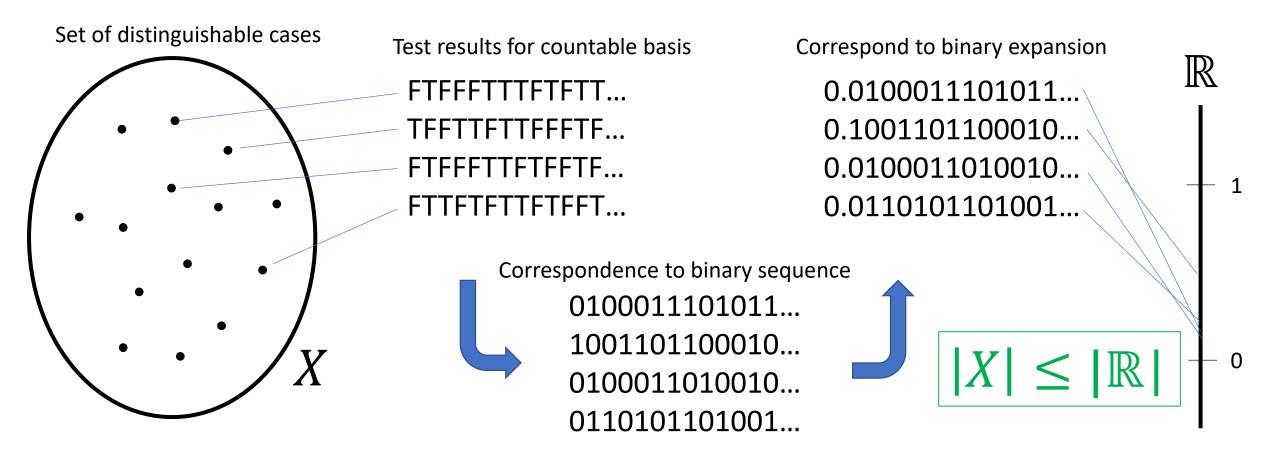
Generate all meaningful predictions (close under negation as well)

Fill in all possible assignments



For each possible assignment we have a theoretical statement that is true only in that case. We call these statements possibilities of the domain.

## Maximum cardinality of distinguishable cases



- Sets with greater cardinality (e.g. the set of all discontinuous functions from  $\mathbb R$  to  $\mathbb R$ ) cannot represent physical objects
- Issues about higher infinities (e.g. large cardinals) can be safely ignored

## Topologies and $\sigma$ -algebras

Each column (statement) is also a set of possibilities

$$s = \bigvee_{x \in U} x$$

**Topologies** (needed for manifold/geomet ric spaces) and  $\sigma$ algebra (needed for integration and probability spaces) naturally arise from requiring experimental verifiability

Finite AND and countable OR become finite intersection and countable union

Negation and countable AND become complement and countable union

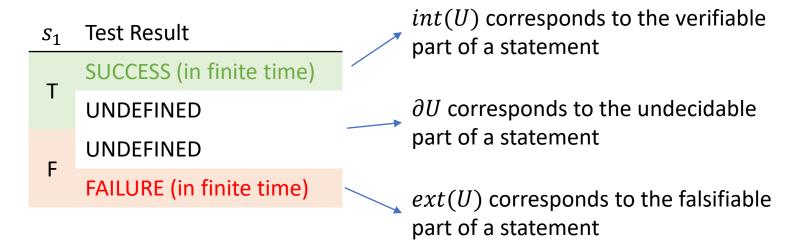
|       | Basis ${\mathcal B}$ Experimental domain ${\mathcal D}_X$ |       |     |                      |                        | Theoretical ( |                                      |                             |     |         |
|-------|---|-------|-----|----------------------|------------------------|---------------|--------------------------------------|-----------------------------|-----|---------|
| $e_1$ | $e_2$   | $e_3$ |     | $s_1 = e_1 \vee e_2$ | $s_2 = e_1 \wedge e_3$ | •••           | $\overline{s_1} = e_1 \lor \neg e_2$ | $\overline{s_2} = \neg e_1$ |     |         |
| F     | F   | F     |     | F                    | F                      | •••           | Т                                    | Т                           |     |         |
|       |   |       | ••• |                      |                        | •••           |                                      |                             | ••• | X sa    |
| F     | Т   | F     | ••• | Т                    | F                      | •••           | F                                    | Т                           | ••• | ibiliti |
| T     | Т   | F     |     | T                    | F                      |               | T                                    | F                           |     | Poss    |
| •••   |   | •••   | ••• |                      | •••                    |               |                                      |                             | ••• |         |

The experimental domain  $\mathcal{D}_X$  induces a topology on the possibilities X.

The theoretical domain  $\overline{\mathcal{D}_X}$  induces a (Borel)  $\sigma$ -algebra

## Topologies and $\sigma$ -algebras

All definitions and all proofs about these structures have precise physical meaning in this context



If  $U \subseteq X$  is an open set then "x is in U" is a verifiable statement (e.g. "the mass of the electron is 510  $\pm$  0.5 KeV")

If  $V \subseteq X$  is a closed set then "x is in V" is a falsifiable statement (e.g. "the mass of the electron is exactly 510 KeV")

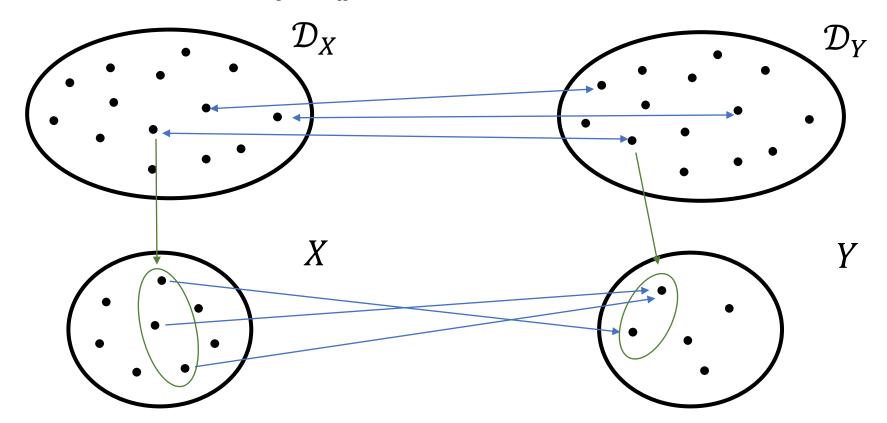
If  $A \subseteq X$  is a Borel set then "x is in A" is a theoretical statement: a test can be created, though we have no guarantee of termination (e.g. "the mass of the electron in KeV is a rational number" is undecidable, the test will never terminate)

Topologies and  $\sigma$ -algebras each capture part of the formal structure

For us, they are part of a single unified structure

## Inference/causal relationships and continuity

An inference relationship is a map  $\mathscr{V}: \mathcal{D}_Y \to \mathcal{D}_X$  such that  $\mathscr{V}(s) \equiv s$ 



A causal relationship is a map  $f: X \to Y$  such that  $s \leq f(s)$ 

If two domains admit an inference relationship if and only if they admin a causal relationship. The causal relationship must be a continuous map in the natural topology.

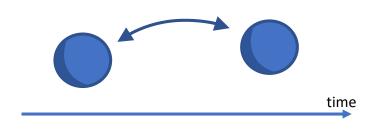
## Takeaway

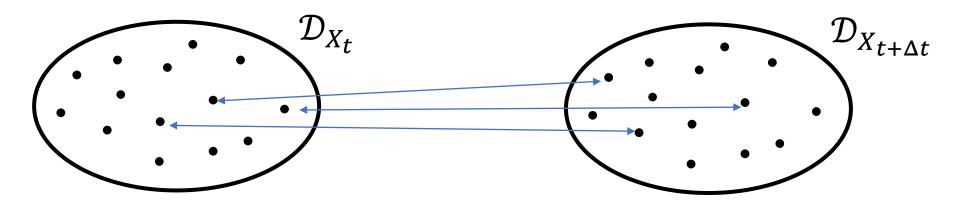
- Logic of verifiable statements -> basic formal structure for scientific theories
- Maximal sets of verifiable statements -> basis for an experimental domain -> topological space over the possibilities (the experimentally identifiable cases)
- Statements associated with a test -> theoretical domain ->  $\sigma$ -algebra over the possibilities
- Experimental verifiability provides the basis for most other mathematical structures used in science (differential geometry, measure theory, probability theory, Lie algebras, ...)
  - All scientific models are at least experimental domains (i.e. characterized by a countable collection of verifiable statements)
  - Studying the space of experimental domains means studying the space of possible scientific models
- We can create a "science first" formal structure
  - Better and more precise intuition, better link to mathematical structures, fewer underlying concepts

# Determinism and reversibility

## Assumption of determinism and reversibility

The system undergoes deterministic and reversible time evolution: given the initial state, we can identify the final state; given the final state, we can reconstruct the initial state

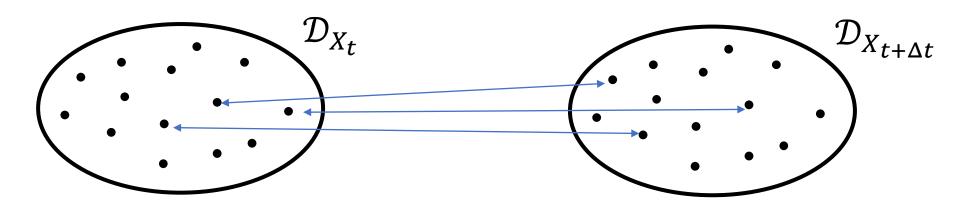




There is an experimental domain  $\mathcal{D}_{X_t}$  consisting of verifiable statements on the past state. There is an experimental domain  $\mathcal{D}_{X_{t+\Delta t}}$  consisting of verifiable statements on the future state. For each past statement  $s_t \in \mathcal{D}_{X_t}$  there is one future statement  $s_{t+\Delta t} \in \mathcal{D}_{X_{t+\Delta t}}$  such that  $s_t \equiv s_{t+\Delta t}$ 

Determinism and reversibility means equivalence of experimental domains

## Assumption of determinism and reversibility



- Domain equivalence is more than a bijective map: any extra structure that "plays nice" with the fundamental logical structure will be preserved under equivalence
  - Equivalence will, at least, map verifiable statements to verifiable statements -> open set to open set -> homeomorphism
  - If we have a group structure -> group isomorphism
  - If we have a differentiable structure -> diffeomorphism
  - If we have a vector space structure -> invertible linear transformation
- Determinism and reversibility implies an isomorphism in the category

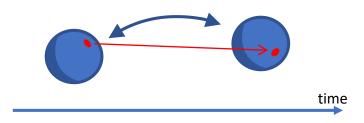
## Takeaway

- Determinism and reversibility is more than a one-to-one map: it has to preserve the nature of the system and the type of description
  - Mathematically it will be an isomorphism in the category used to capture states, the associated verifiable statements, and their logical structure
- Determinism and reversibility is an assumption that can be taken to be valid in specific contexts with specific state definitions
  - The state of a balloon is position and velocity or pressure and volume depending whether we study its motion or its expansion
  - If we puncture the balloon, neither of those state definitions is sufficient to predict the future states and the evolution is neither deterministic nor reversible
- But it's an assumption we "need" at some level because it is connected to the ability to define a state
  - We need to prepare states: initial preparation setting must predict the (future) state
  - We need to measure states: final measurement reading must reconstruct the (past) state

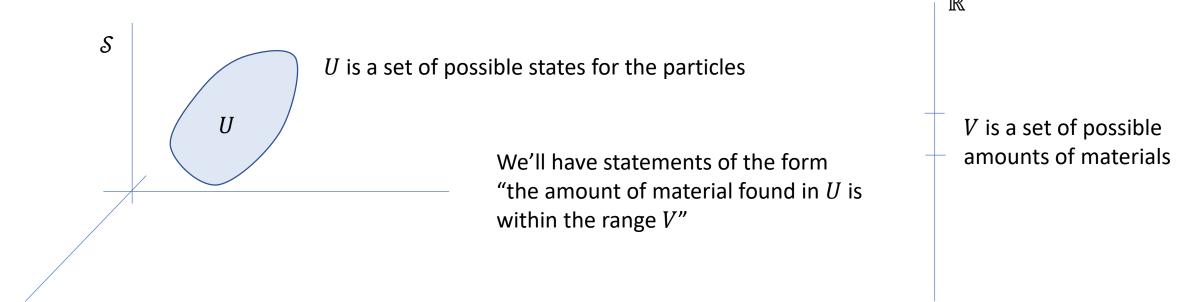
# Infinitesimal reducibility

## Assumption of infinitesimal reducibility

The system is reducible to its parts: giving the state of the whole is equivalent to giving the state of the parts. The system can be subdivided indefinitely.



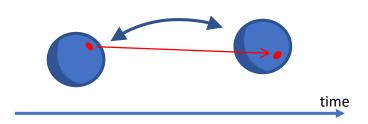
 $\mathcal{S}$  is the state of the infinitesimal parts (i.e. particles)



Assuming that the amount over disjoint areas sums, the state of the system can be characterized by a distribution  $\rho: \mathcal{S} \to \mathbb{R}$ 

## Assumption of infinitesimal reducibility

The system is reducible to its parts: giving the state of the whole is equivalent to giving the state of the parts. The system can be subdivided indefinitely.



The state of the whole is given by a distribution over the state of the infinitesimal parts (i.e. particles)

$$\rho: \mathcal{S} \to \mathbb{R}$$

$$\rho(s(\xi^a)) = \rho(\xi^a)$$

Density expressed in terms of state variables

Under a change of variables

$$\hat{\xi}^b = \hat{\xi}^b(\xi^a)$$

$$\hat{\xi}^b = \hat{\xi}^b(\xi^a) \qquad s(\xi^a) = s(\hat{\xi}^b)$$

$$\rho(\xi^a) = \left| \frac{\partial \xi^a}{\partial \hat{\xi}^b} \right| \rho(\hat{\xi}^b) \qquad \rho(s(\xi^a)) = \rho\left(s(\hat{\xi}^b)\right)$$

We need the distribution to change both as a density and be an invariant. How can that work?

#### Invariant densities

Densities must be defined upon areas that are invariant under coordinate transformations

For each coordinate q that defines a unit there must be a variable k defined on the inverse unit

$$\hat{q} = 100 \ cm/m \ q$$

$$\Delta k = 1 \ m^{-1}$$

$$\Delta \hat{k} = 0.01 \ cm^{-1}$$

$$\Delta \hat{q} = 100 \ cm$$

Number of possibilities for the degree of freedom  $\Delta q \Delta k$  is invariant

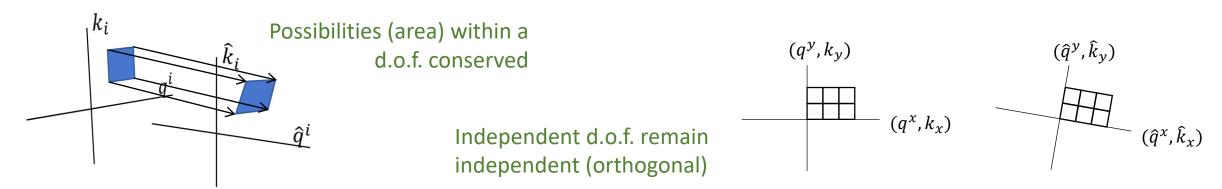
$$\rho(q^i, k_i) = \rho(\hat{q}^j, \hat{k}_j) \qquad \qquad \int \rho(q^i, k_i) dq^n dk^n = \int \rho(\hat{q}^j, \hat{k}_j) d\hat{q}^n d\hat{k}^n$$

- The structure of phase space is needed to define coordinate invariant densities over particle states
  - Without it we couldn't compare whether we had more particles in one state or another

#### Hamiltonian evolution

Deterministic and reversible evolution means that densities (including marginals) are mapped exactly from initial to final states

$$\rho(s(t)) = \hat{\rho}(s(t + \Delta t))$$



This is exactly what Hamilton's equations do

- Hamiltonian mechanics is just bookkeeping of the count of possibilities for each independent degree of freedom
- Nothing else

## For one degree of freedom

Displacement along the trajectory

$$\vec{S} = \left(\frac{dq}{dt}, \frac{dp}{dt}, \frac{dt}{dt}\right)$$

Deterministic and reversible: flux over a closed surface is zero

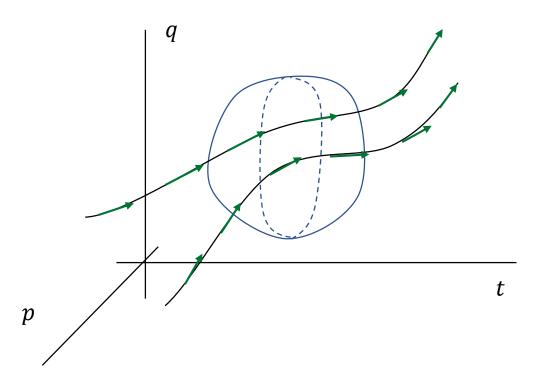
$$div(\vec{S}) = 0$$

$$\vec{S} = -curl(\vec{\theta})$$

Because  $\frac{dt}{dt} = 1$  we can choose a gauge such that:

$$\vec{\theta} = (p, 0, -H(q, p))$$

$$\vec{S} = \left(\frac{dq}{dt}, \frac{dp}{dt}, \frac{dt}{dt}\right) = \left(\frac{\partial H}{\partial v}, -\frac{\partial H}{\partial a}, 1\right) = \text{curl}(-\vec{\theta})$$



## Conservation of information entropy

Information entropy is invariant over and only over the transformations for which the density is invariant

Invariant distributions are precisely the distribution upon which entropy is invariant

$$I[\rho_t] = I_0 = I[\rho_{t+\Delta t}]$$

If we fix the entropy, the gaussian distribution minimizes the spread

During the evolution the spread over phase space is bounded

$$\rho(\xi^{a}) = \left| \frac{\partial \xi^{a}}{\partial \hat{\xi}^{b}} \right| \rho(\hat{\xi}^{b})$$

$$- \int_{\mathcal{S}} \rho \log(\rho) d\xi^{a} = - \int_{\mathcal{S}} \rho \log(\rho) d\hat{\xi}^{b} - \int_{\mathcal{S}} \rho \log \left| \frac{\partial \xi^{a}}{\partial \hat{\xi}^{b}} \right| d\hat{\xi}^{b}$$

If the evolution is deterministic and reversible, the information to identify the initial state is the same to identify the final state <-> Hamiltonian mechanics

$$I_G = \ln(2\pi e \,\sigma_q \sigma_k)$$

$$\sigma_q \sigma_k \ge \frac{\exp(I_0)}{2\pi e}$$

## Takeaway

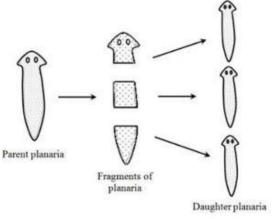
- Infinitesimal reducibility -> distribution over particle states
- Distributions over continuous variables -> differentiability
- Invariant distributions over continuous variables -> phase space (symplectic structure)
- Deterministic and reversible evolution -> Hamilton's equations (symplectomorphism)

- Hamiltonian mechanics is really just bookkeeping of the count of possibilities for each independent degree of freedom
- Note how much it was implied by a seemingly simple assumption

# Divisibility vs Reducibility vs Decomposability

#### Reducible

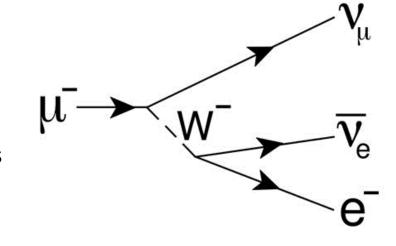
There exists a process where the final state consists of two or more independent systems (e.g.  $\mathcal{P}_t: \mathcal{S} \to \mathcal{S}_1 \times \mathcal{S}_2$ )



Planarian is divisible into three planaria (but not reducible to them)

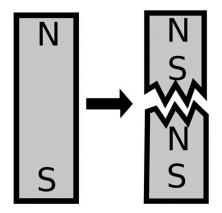
Fragmentation in Planaria

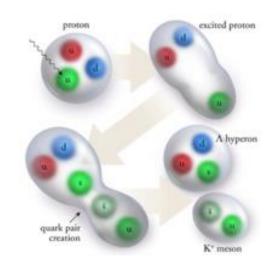
Muon is divisible into an electron and two neutrinos (but not reducible to them)



The state of the whole can be expressed as the state of the parts at the same time (e.g.  $\mathcal{S} \equiv \mathcal{S}_1 \times \mathcal{S}_2$ )

A magnet is reducible to its north and south pole (but not divisible into them)





A proton is reducible to its quarks (but not divisible into them)

## Decomposable

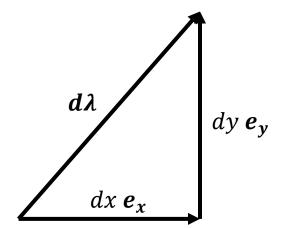
There exists a process where the final state consists of two or more independent systems (e.g.  $\mathcal{P}_t: \mathcal{S} \to \mathcal{S}_1 \times \mathcal{S}_2$ )

#### Reducible

The state of the whole can be expressed as the state of the parts at the same time (e.g.  $S \equiv S_1 \times S_2$ )

We can calculate a property by combining independent contributions (e.g.  $+: \mathcal{S} \times \mathcal{S} \to \mathcal{S}$  and  $F(s_1 + s_2) = F(s_1) + F(s_2)$ )

An infinitesimal line segment is decomposable along coordinates (it is not divisible nor reducible to them)

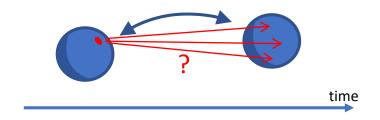


- Divisibility and reducibility are matter-of-fact properties of the system (under given circumstances)
- Decomposability (i.e. linearity) can be defined only over the properties that are linear on that decomposition (if they exist)
  - The work along an infinitesimal line segment is linear:  $dW(d\lambda) = F_x dx + F_y dy$
  - The length of the line segment is not linear:  $\mathcal{L}(d\lambda) \neq dx + dy$

# Irreducibility

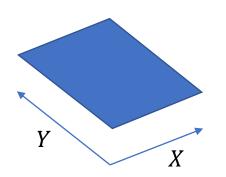
## Assumption of irreducibility

The system is irreducible to its parts: giving the state of the whole tells us nothing about the states of the parts.



- Irreducibility does not mean there are no parts and there is no internal dynamics: it means the dynamics is not accessible
  - We do not have a way to interact with each "fragment" independently from the rest of the system, we cannot gather information about them
- Irreducibility is not purely a property of the system: it depends on the process under study and the tools at our disposal
  - We can treat the proton as a single quantum system in many cases
  - We can't when we perform deep inelastic scattering at suitable wavelength

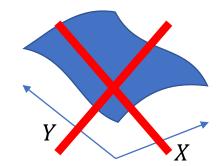
#### Invariant distribution over random variables



State of the fragments is unknowable

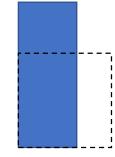
Defined by "internal" random variables

Still needs invariant distributions which we take to be uniform (homogeneity)

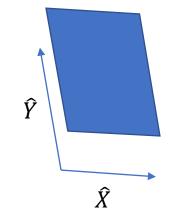


Transformations that break uniformity are not allowed: only linear transformations





Some linear transformations have no effect Choose X and Y such that  $\rho=1$  and  $\sigma_X=\sigma_Y$ , which means  $\int \rho dx dy \propto \sigma_X \sigma_Y=\sigma_X^2$ 



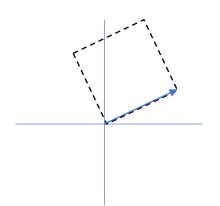
Only significant "internal" changes are those that change the integral (i.e. variance and covariance)

$$\widehat{X} = aX + bY$$

$$\widehat{Y} = -bX + aY$$

- Internal transformations are identified by a complex number  $\mathcal{T}(a + \iota b)$ :  $\mathcal{S} \to \mathcal{S}$ 
  - The square modulus  $|a + \iota b|^2 = a^2 + b^2$  represents the change in variance and the phase represents the change in correlation as the arccosine of the Pearson correlation coefficient  $\rho_{X,T(a+\iota b)(X)} = \cos(\arg(a+\iota b))$

#### Invariant distribution over random variables



The complex plane represents the space of pairs of random variables

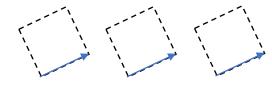
A complex number represents one variable

The second one is implied by the symplectic structure (closing the square)

$$\sigma_{X+Y}^2 = \sigma_X^2 + \sigma_Y^2 + 2\sigma_X\sigma_Y\rho_{X,Y}$$
$$|c_1 + c_2|^2 = |c_1|^2 + |c_2|^2 + 2|c_1||c_2|\cos(\Delta\theta)$$

Linear composition obeys addition of random variables, variance adds linearly only if variables are uncorrelated

Homogeneity → different d.o.f. carry the same spread and correlation A single complex plane for all d.o.f.



- The state space is a complex vector space
  - Complex inner product  $\langle X|Y\rangle = \sigma_X \sigma_Y e^{i \arccos(\rho_{X,Y})}$  gives variance and correlation information
- The rest is mostly standard arguments
  - Measurable quantities must have a real valued average over all states → Hermitian operators
  - Deterministic and reversible evolution must preserve the inner product → unitary evolution → Schroedinger equation

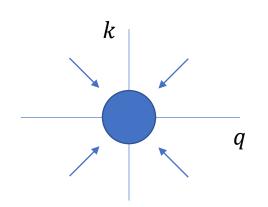
Pearson coefficient

- No real justification for Hilbert space, though
  - Cauchy limits are not necessarily physical
  - ullet Assuming the expectations for all polynomials of Q and P are finite gives us Schwartz space

## Effects due to irreducibility

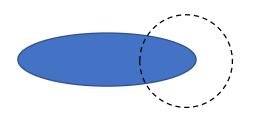
#### Minimum uncertainty

Suppose arbitrarily small spread -> internal dynamics more and more constrained -> we can know more about it -> contradiction



In fact, all states must be associated with the same information entropy (which is set to zero)

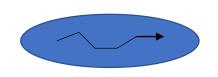
#### Non-locality



System spread in space -> interact within a region -> but can't interact with only a part-> must be interacting with the rest of the system as well

#### Superluminar effects ...

Suppose the internal dynamics is constrained by the speed of light -> we know something about the internal dynamics -> contradiction



#### ... that can't carry information

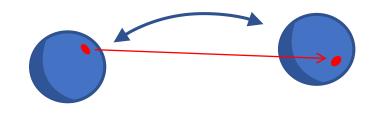
Suppose we can use the internal dynamics to carry a message -> we have a way to manipulate the internal dynamics -> contradiction

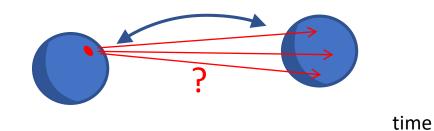
#### Classical state

#### $\rho(q^i, k_i)$

#### Quantum state

$$\psi(q^i)$$





We always have access to the internal dynamics

time

Any initial value for information entropy is allowed: we can study arbitrarily small parts

We have no access to the internal dynamics

All pure quantum states have the same information entropy (i.e. zero): no description for parts

## Takeaway

- Irreducibility -> invariant uniform distribution over random variables -> space of random variables represented by a complex plane
- Linear properties of random variables -> vector space over said complex plane
- Statistical relationships between random variables -> inner product
- Determinism and reversibility -> unitary evolution

• The difference between quantum and classical systems is not size, is reducibility



#### Other results

- With an additional assumption one can recover massive particles under potential forces
  - Kinematic assumption: giving the state is equivalent to giving the space-time trajectory
- We have identified a set of necessary and sufficient conditions under which the possibilities of a domain can be identified by numbers
  - That is, how quantities are constructed through experimental verifiability
  - This gives us insights on what assumption are required by the continuum and how they would fail
- We have started working on thermodynamics and statistical mechanics
  - The idea is to characterize evolution that is not reversible
  - Working on extending our logic structure to integrate additional concepts (e.g. measures, probability, entropy)

## Project status

#### Status — future activities

- Consolidate and expand the mathematical framework
  - We suspect that extended the framework with an extra axiom that allows to compare the level of description (i.e. granularity) of statements we can recover concepts from measure theory, probability theory, geometry and information theory
  - We also want to recover ideas from differential topology and geometric measure theory from finite value functions of finite valued shapes that are linear (i.e. value for the whole is the sum of the value for the parts)
- Extend to other areas
  - Currently working on thermodynamic and statistical mechanics
- Always looking for individuals that can provide insights/discussions/...
  - Collaborating with Julian Barbour on a better characterization of Shannon entropy
  - Collaborating with Lorenzo Maccone (Quantum Information) on system composition in quantum mechanics

#### Conclusions

- Only a handful of physical assumptions are required to rederive classical/quantum particle mechanics
  - They capture idealizations for a system under certain conditions
  - They can be seen as characterizing information availability
    - (Reducibility) How much information is accessible? (Determinism/Reversibility) How is it mapped through time? (Kinematic equivalence) Are trajectories enough to gather that information?
- We can create a physically meaningful formal structure for science that starts from simple well-motivated axioms (not abstract mathematical structures)
  - Maps very well to established mathematical structures
  - Objects are both physically and mathematically well defined (no "interpretation" needed, no unphysical objects)
- A comprehensive reorganization of the current theories can lead to better understanding and new ideas