

# QMA AND THE POWER OF “POSITIVITY”

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joint with Roozbeh Bassirian, Bill Fefferman ([arXiv](#))

see also Jeronimo and Wu ([STOC '23](#))

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# OUTLINE

1. An introduction to  $\text{QMA}^+$
2. Proof outline

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# CONTEXT: QMA AND QMA(2)

What is the power of *unentangled* proofs?

# REVIEW: $\text{QMA}_{c,s}$

Set of decision problems such that:

- If YES (completeness):  $\exists$   $\text{poly}(n)$ -qubit  $|\psi\rangle$  input to BQP machine, accepts w.p.  $\geq c(n)$ .
- If NO (soundness):  $\forall$   $\text{poly}(n)$ -qubit  $|\psi\rangle$  input to BQP machine, accepts w.p.  $\leq s(n)$ .

# REVIEW: $\text{QMA}(2)_{c,s}$

Set of decision problems such that:

- If YES (completeness):  $\exists$  poly( $n$ )-qubit  $|\psi_1\rangle \otimes |\psi_2\rangle$  input to BQP machine, accepts w.p.  $\geq c(n)$ .
- If NO (soundness):  $\forall$  poly( $n$ )-qubit  $|\psi_1\rangle \otimes |\psi_2\rangle$  input to BQP machine, accepts w.p.  $\leq s(n)$ .

# FACTS ABOUT QMA, QMA(2)

**Gap amplification** (as long as  $c(n) - s(n) \geq \frac{1}{\text{poly}(n)}$ ):

- QMA: via parallel repetition
- QMA(2): using the *product test* [HM10]

**Upper bounds** (better than NEXP):

- $\text{QMA} \subseteq \text{PSPACE}$  using semidefinite programming (in fact,  $\subseteq \text{PP}$  by Kitaev and Watrous)
- Only  $\text{QMA}(2) \subseteq \text{NEXP}$ . *Why can't we do better?*



# [JW23]: $\text{QMA}_{c,s}^+$

Set of decision problems such that:

- If YES (completeness):  $\exists$   $\text{poly}(n)$ -qubit  $|\psi\rangle$  **with non-negative amplitudes** input to BQP machine, accepts w.p.  $\geq c(n)$ .
- If NO (soundness):  $\forall$   $\text{poly}(n)$ -qubit  $|\psi\rangle$  **with non-negative amplitudes** input to BQP machine, accepts w.p.  $\leq s(n)$ .

## [JW23]: $\text{QMA}^+(2)_{c,s}$

Set of decision problems such that:

- If YES (completeness):  $\exists$   $\text{poly}(n)$ -qubit  $|\psi_1\rangle \otimes |\psi_2\rangle$  **with non-negative amplitudes** input to BQP machine, accepts w.p.  $\geq c(n)$ .
- If NO (soundness):  $\forall$   $\text{poly}(n)$ -qubit  $|\psi_1\rangle \otimes |\psi_2\rangle$  **with non-negative amplitudes** input to BQP machine, accepts w.p.  $\leq s(n)$ .

# HAVEN'T I SEEN THIS BEFORE?

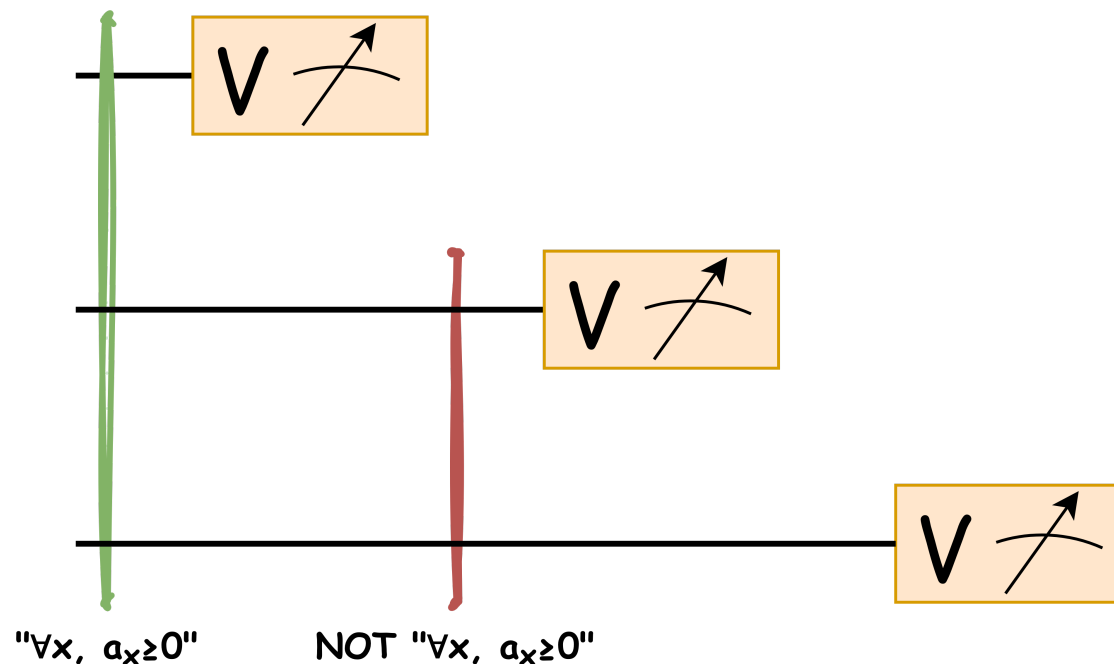
[GKS14] show that  $SQMA = QMA$ ,  
where we restrict to *subset states* **only in completeness**.

**Lesson:** Promise-symmetric restrictions can increase power, since they *restrict* Merlin's cheating in soundness.

# “FACTS” ABOUT $QMA^+$ , $QMA^+$ (2)

**Gap amplification:**  
Parallel repetition **fails**.

*Reason:* Partial measurements can reintroduce complex phases into remaining state.



# “FACTS” ABOUT $\text{QMA}^+$ , $\text{QMA}^+$ (2)

**Upper bounds** (better than NEXP):  
Using a semidefinite program **fails**.

*Reason:* Copositive programming **is hard!**

Optimizing  $\max_{x \geq 0} x^\dagger A x$  can compute independence numbers of graphs, etc.

# HOW POWERFUL IS THE $(^+)$ ?

Every state  $|\psi\rangle$  has  $\frac{1}{4}$  overlap with some state with non-negative amplitudes.

$$\implies \exists \text{ constants } 1 > c' > s' > 0 \text{ s.t.}$$
$$\text{QMA}_{c',s'}^+ = \text{QMA} \text{ and } \text{QMA}^+(2)_{c',s'} = \text{QMA}(2).$$

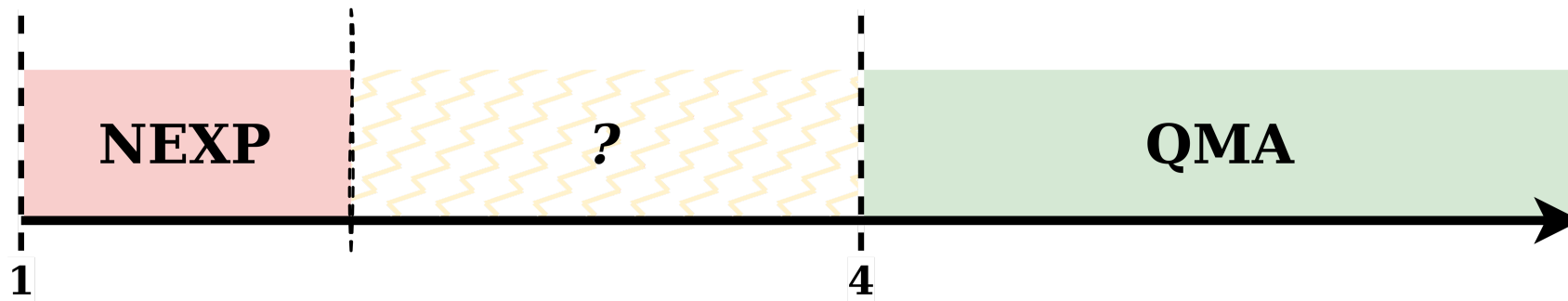
## [JW23]: ON $\text{QMA}^+(2)$

$\exists$  other constants  $1 > c > s > 0$ , s.t.  
 $\text{QMA}^+(2)_{c,s} = \text{NEXP}$ .

New way to understand  $\text{QMA}(2)$ :  
 $\text{QMA}^+(2)$  gap amplification  $\implies \text{QMA}(2) = \text{NEXP}$ !

# OUR WORK: ON $\text{QMA}^+$

$\exists$  other constants  $1 > c > s > 0$ , s.t.  
 $\text{QMA}_{c,s}^+ = \text{NEXP}$ !

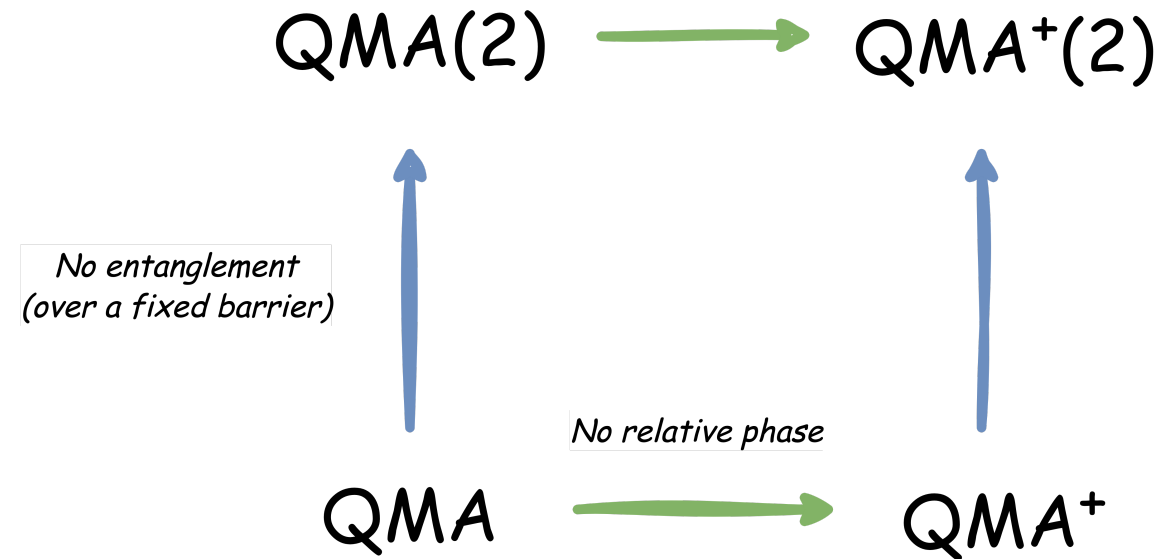


$(\frac{c}{s})$  interpolates from  $\text{QMA}$  to  $\text{NEXP}$

**NO** gap amplification of  $\text{QMA}^+$ !



# INTERPRETING THESE RESULTS



*"Perhaps the power lies in the  $^+$ , not the (2)..."*

Any technique to amplify the promise gap in  $QMA^+(2)$   
must **fail** for  $QMA^+$ .

# OUTLINE

1. An introduction to  $\text{QMA}^+$
2. **Proof outline**

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1. An introduction to  $\text{QMA}^+$
2. Proof outline:
  - $\text{NP} \subseteq \text{QMA}^+$  **with  $O(\log n)$ -qubit proof**
  - Scaling up to  $\text{NEXP} \subseteq \text{QMA}^+$

# CHOOSING A NP-HARD PROBLEM

**Input:** CSP instance ( $n$  variables, bounded alphabet  $\Sigma$ ,  
 $q$ -uniform constraints  $\{\mathcal{C}_1, \dots, \mathcal{C}_R\}$ )

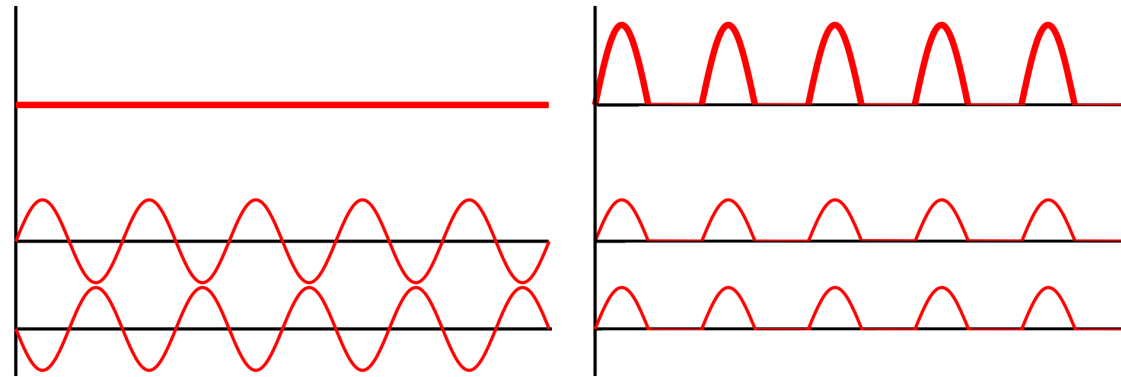
**Output:** Is instance fully satisfiable (for some  $x \in \Sigma^n$ )?

PCP Theorem: NP-hard for  $q = O(1)$ , with completeness  
 $c = 1$  and soundness  $s = \frac{1}{2}$  (i.e. *constant gap*).

*Plan:* Solve this in  $\text{QMA}^+$  with  $O(\log n)$ -sized proof.

# AMPLITUDE AND INTERFERENCE

edited from [Wikipedia](#)



Intuition: **non-negative amplitudes** "take the interference" out of  $|\psi\rangle$  (they don't "cancel out"!)

# THE POWER OF PLUS ( $+$ )

Goal: *require* Merlin to send a certain type of state.

**Big idea of [JW23]:**  $\langle \psi | + \rangle \propto \| |\psi\rangle \|_1$ .

( $\Pi_+ := |+\rangle\langle +|$  accepts  $|\psi\rangle$  according to its  $\ell_1$  norm)

This is the **only use** of the ( $+$ ) assumption in both papers.

## [JW23]'S USE OF $(^+)$

1. Notice that  $\text{QMA}^+(2) = \text{QMA}^+(k)$  by [HM10] (so we can assume many copies of  $|\psi\rangle$ ).
2. Project  $\Pi_+ |\psi\rangle$  on each copy; count the fraction that accept. (This *estimates* the  $\ell_1$  norm of  $|\psi\rangle$ )  
—→ check closeness to states of a *target*  $\ell_1$  norm.

# OUR USE OF $(^+)$

Consider two registers:

*question* ( $\log n$  qubits) and *answer* ( $O(1)$  qubits)

We choose one of two tests:

1.  $\Pi_+ \otimes \Pi_+$ : (dense as possible)
2.  $\mathbb{I} \otimes (\mathbb{I} - \Pi_+)$

States with **non-negative amplitudes** can't perfectly pass Test 2. The best have *one answer per question*.

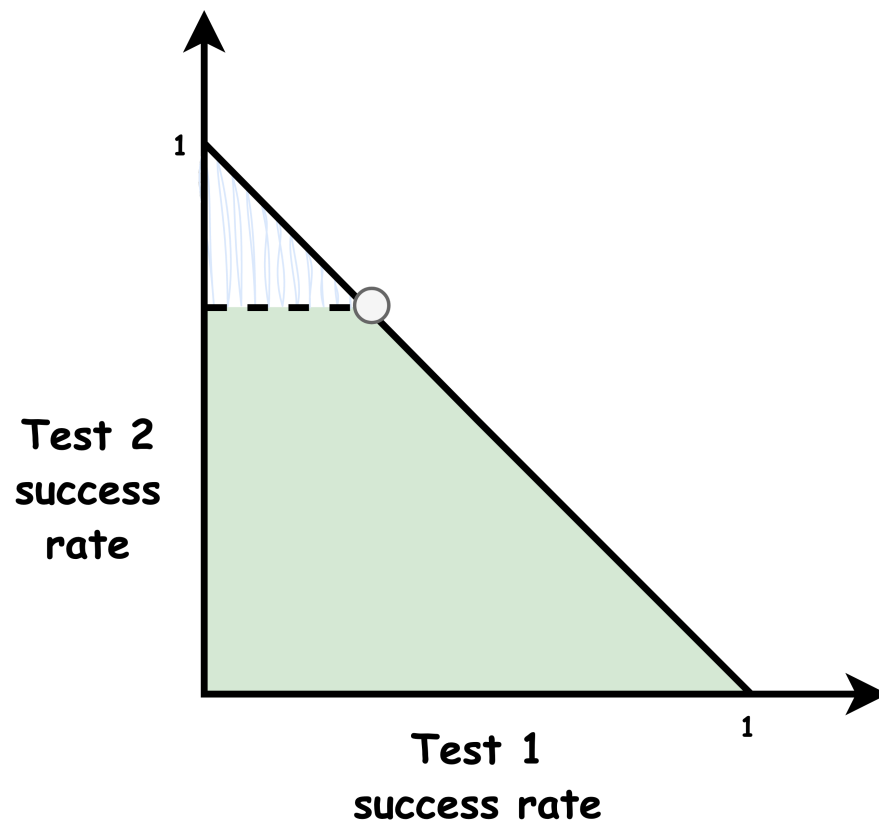
→ check closeness to states of a *rigid* form:

$$\frac{1}{\sqrt{n}} \sum_{j=1}^n |j\rangle |f(j)\rangle$$



# OUR USE OF (+)

concept: Bryan O'Gorman



- Tests are orthogonal, so sum of success rates  $\leq 1$ .
- Non-negative amplitude states live in green ■ region.
- *Rigid* states at the circled ● point.

# HOW CAN WE USE THIS POWER?

*questions*  $\rightarrow$  constraints

*answers*  $\rightarrow$  assignments of associated variables

In completeness,  $\exists$  satisfying assignment  $f : [R] \rightarrow \Sigma^q$

and proof  $|\psi\rangle := \frac{1}{\sqrt{R}} \sum_{j=1}^R |j\rangle |f(j)\rangle$ .

With some probability, we test for this *rigid* form.

Otherwise, we check the *constraints*  $\{C_j\}$ .

# TESTING THE CONSTRAINTS

For *rigid* states, checking the constraints is easy:  
*measure in computational basis, and test  $C_j(f(j))$ .*

But Merlin can cheat: sending different values for the same variable depending on the constraint.

So (with some probability), need to check for *consistency*.

# CHECKING “CONSISTENCY”

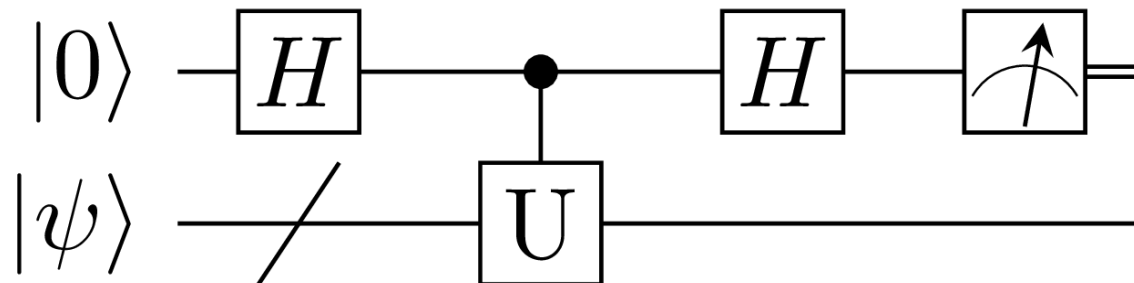
Goal: Construct unitaries  $\{U_1, \dots, U_d\}$  such that:

- Honest:  $|\psi\rangle = U_k|\psi\rangle$  for all  $k$
- Cheating:  $|\psi\rangle$  “far” from  $U_k|\psi\rangle$  for some  $k$

Then, the consistency protocol would:

1. Choose uniform  $k \in \{1, \dots, d\}$
2. Run “Hadamard test” on  $(|\psi\rangle, U_k|\psi\rangle)$

source: [Victory Omole](#)

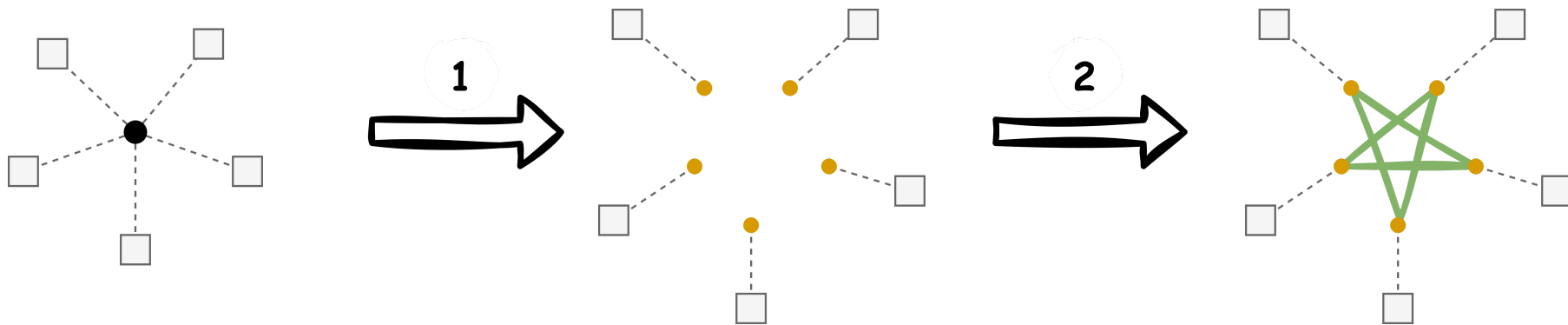


# THE “CONSISTENCY” UNITARIES

We build the graph  $G$  using a step from [Dinur07]:

1. Turn each variable  $i \in [n]$  into a *cluster* of vertices.  
(One vertex  $\bullet$  per constraint  $\blacksquare$  involving  $i$ .)
2. Add a  $d$ -regular *expander* graph  $\star$  within each cluster.

Illustration for one variable  $\bullet$  and  $d = 2$ :



$G$  is the *union* of all expander graphs ( $R \cdot q$  vertices).

# THE “CONSISTENCY” UNITARIES

Recall that a *rigid* state is of the form

$$|\psi\rangle := \frac{1}{\sqrt{R}} \sum_{j=1}^R |j\rangle |f(j)\rangle.$$

It is convenient to separate the variable assignments:

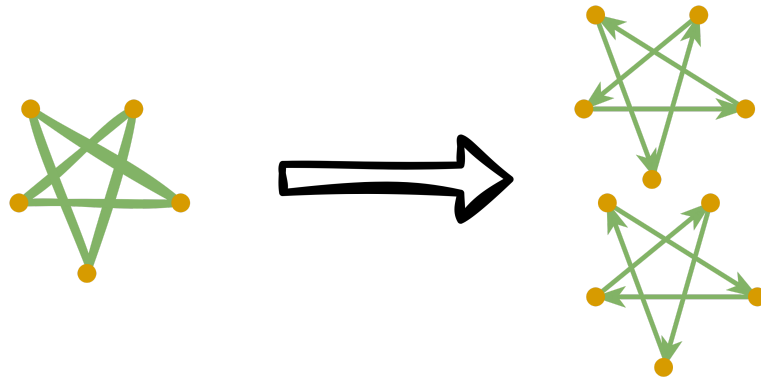
$$|\phi\rangle := \frac{1}{\sqrt{R \cdot q}} \sum_{j=1}^R \sum_{\iota=1}^q |j, \iota\rangle |f(j)[\iota]\rangle.$$

(Then all vertices of  $G$  are in superposition!)

The map  $|\psi\rangle \mapsto |\phi\rangle$  is efficient; see paper for details.

# THE “CONSISTENCY” UNITARIES

We can always decompose a  $d$ -regular graph into  $d$  permutations  $\{\pi_1, \dots, \pi_d\}$ .



For  $k \in [d]$ , let  $U_k : |j, \iota\rangle |value\rangle \mapsto |\pi_k(j, \iota)\rangle |value\rangle$  for each constraint  $j \in [R]$  and variable index  $\iota \in [q]$ .

Honest assignment  $\implies |\phi\rangle = U_k |\phi\rangle$  for all  $k$ !

# OUTLINE

1. An introduction to  $\text{QMA}^+$
2. Proof outline:
  - $\text{NP} \subseteq \text{QMA}^+$  with  $O(\log n)$ -qubit proof
  - **Scaling up to**  $\text{NEXP} \subseteq \text{QMA}^+$



# A NEXP-HARD PROBLEM

**Input:** *Succinct* CSP instance ( $N := 2^n$  variables, bounded alphabet  $\Sigma$ ,  $q$ -uniform constraints  $\{\mathcal{C}_1, \dots, \mathcal{C}_R\}$ )

**Output:** Is instance fully satisfiable (for some  $x \in \Sigma^{2^n}$ )?

PCP Theorem: **NEXP**-hard for  $q = O(1)$ , completeness  $c = 1$  and soundness  $s = \frac{1}{2}$  (i.e. *constant* gap).

*Plan:* Solve this in  $\text{QMA}^+$  with  $O(\text{poly}(n))$ -sized proof.

# THE NP PROTOCOL *ALMOST* WORKS

Arthur's protocol needs to be *efficient*.

Only issue: how to do "consistency" test?

- expanders are exponentially large
- could be exponentially many expanders
- adjacency lists could be exponentially large

# SOLUTIONS ([JW23])

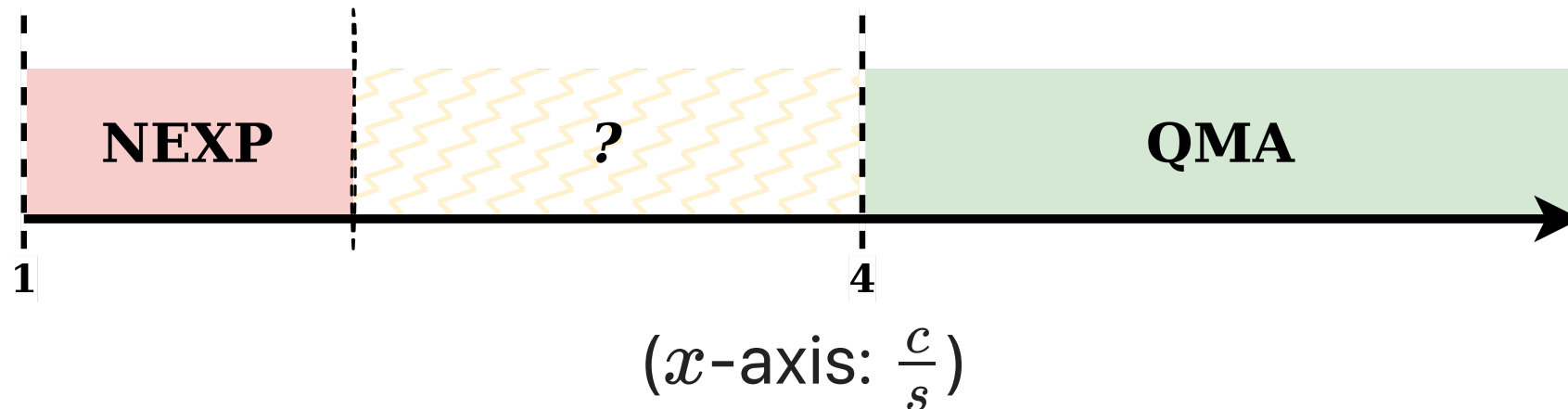
- expanders are exponentially large  
*Doubly explicit* expander constructions, to build the expanders and decompose them in  $\text{polylog}(N)$  time.
- could be exponentially many expanders  
Use a  $O(1)$ -strongly uniform PCP.
- adjacency lists could be exponentially large  
Use a  $\text{polylog}(N)$ -doubly explicit PCP.

Everything else works after making these adjustments! 🧐

# CONCLUSIONS

*Promise-symmetric* classes can be very powerful.

But the promise gap *really matters*:  $\text{QMA}_{c,s}^+$  interpolates from QMA to NEXP for **constant**  $c, s$ .



**Is there a phase transition in constants?**

# CONCLUSIONS

Suppose you use  $\text{QMA}^+(2)$  to study  $\text{QMA}(2)$ ...

Any technique to amplify the promise gap in  $\text{QMA}^+(2)$   
must **fail** for  $\text{QMA}^+$ .

**What does  $\text{QMA}^+(2)$  have that  $\text{QMA}^+$  doesn't have?**

# THANK YOU

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