

# Relational Algebra

COMP9311 25T3; Week 2.2

*By Xubo Wang, UNSW*

# Motivation

- We've seen what a relational model is.
- We needed a *formal* language to specify data (tuples) from the relational model.
- **Relational Algebra** (E.F. Codd (1970))

# Why Relational Algebra?

- It provides a formal foundation for relational model operations
- It is used as a basis for implementing and optimizing queries in the query processing and optimization
- Some of its concepts are incorporated into SQL

# Relational Algebra

*Relational Algebra* is a procedural data manipulation language (**DML**).  
It specifies operations on relations to define new relations:

**Unary Relational Operations:** Select, Project

**Operations from Set Theory:** Union, Intersection, Difference,  
Cartesian Product

**Binary Relational Operations:** Join, Divide.

# 1 SELECT

The SELECT operation/predicate is used to select a subset of the tuples of a relation R, satisfying some conditions.

Notation:  $\sigma_{\langle \text{selection condition} \rangle}(R)$

Intuition: Filters out all tuples that do not satisfy select condition



# Selection Condition

The condition is defined by a ***selection clause***:

- *<attribute> operator <constant>*
- *< attribute > operator < attribute >*

Where *operator* is one of =, <, ≤, >, ≥ or ≠ ...

Example:

- *age ≤ 24*
- *commission ≥ 24 000*

# Selection Condition

Selection clauses can also be

- `<expression> operator <expression>`

With this, we can use **Boolean connectives** as operators

- C1 AND C2
- C1 OR C2
- NOT C

Terms equivalently expressed by  $\wedge$  (and),  $\vee$  (or),  $\neg$  (not)

Q: Select the enrolment records for the students whose supervisor is Person 1

ENROLMENT:

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

$\sigma_{(Supervisor=1)}(ENROLMENT)$

The output relation is

Enrolment#	Supervisee	Supervisor	Department	Degree
2	3	1	Comp.Sci	Ph.D.
3	4	1	Comp.Sci	M.Sc.
4	5	1	Comp.Sci	M.Sc.



# Q: Select the enrolment records for Person 1's non-Ph.D. students

ENROLMENT:

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

$\sigma_{(Supervisor=1 \text{ AND } Degree \neq "Ph.D.")}(ENROLMENT)$

$\sigma_{(Supervisor=1 \text{ AND } NOT Degree="Ph.D.")}(ENROLMENT)$

} Same

The output relation is

Enrolment#	Supervisee	Supervisor	Department	Degree
3	4	1	Comp.Sci	M.Sc.
4	5	1	Comp.Sci	M.Sc.

# Properties of Selection

Properties:

- Consecutive selects ***can be combined***:

$$\sigma_{\langle cond1 \rangle}(\sigma_{\langle cond2 \rangle}(R)) = \sigma_{\langle cond1 \rangle \text{ AND } \langle cond2 \rangle}(R)$$

- Selection is a ***commutative*** operation:

$$\sigma_{\langle cond1 \rangle}(\sigma_{\langle cond2 \rangle}(R)) = \sigma_{\langle cond2 \rangle}(\sigma_{\langle cond1 \rangle}(R))$$

## 2 PROJECT

The PROJECT operation is used to project a subset of the attributes (columns) of a relation, denoted by:

General form:  $\pi_{\langle attribute\ list \rangle}(R)$

Result:

- schema: attribute list  $(A_1, \dots, A_k)$
- instance: the set of all subtuples  $t[A_1, \dots, A_k]$  where  $t \in R$

Q: Find **departments** and **degree** requirements for the courses that students enroll.

ENROLMENT:

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

$\pi_{\{department, degree\}}(ENROLMENT)$

The output relation is

Department	Degree
Psychology	Ph.D.
Comp.Sci	Ph.D.
Comp.Sci	M.Sc.

# Duplicates of PROJECT

ENROLMENT:

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

Question: What if we do PROJECTION on only department?

Department
Psychology
Comp.Sci.
Comp.Sci.
Comp.Sci.

or

Department
Psychology
Comp.Sci.

?

# Duplicates of PROJECT

ENROLMENT:

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

Question: What if we do PROJECTION on only department?

Answer: Keep only **one** 'Comp.Sci.'.

Department
Psychology
Comp.Sci.

**Relational Algebra is based on sets, so no duplicates are allowed.**

- The PROJECT operation *removes any duplicate tuples*, so the result of the PROJECT operation is a set of distinct tuples, and this is known as **duplicate elimination**.

# Properties of PROJECT

Consider  $\pi_{\langle list1 \rangle}(\pi_{\langle list2 \rangle}(R))$

**If**  $\langle list2 \rangle$  contains all the attributes in  $\langle list1 \rangle$  :

**Then**  $\pi_{\langle list1 \rangle}(\pi_{\langle list2 \rangle}(R)) = \pi_{\langle list1 \rangle}(R)$

**Else** the operation is *not well defined*.

# Project Predicate

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

**Question: is projection commutative with selection?**

$$\text{i.e., } \pi_X(\sigma_B(R)) = \sigma_B(\pi_X(R))?$$

Consider the following:

$$\pi_{\{degree\}}(\sigma_{(Department='Psychology')}(ENROLMENT))$$

Degree
Ph.D.

$$\sigma_{(Department='Psychology')}(\pi_{\{degree\}}(ENROLMENT))$$

Error as SELECT cannot find Department

**Answer: The attribute used in SELECT must be a subset of the attribute list in PROJECT**



# Project Predicate

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

**Question: is projection commutative with selection?**

i.e.,  $\pi_X(\sigma_B(R)) = \sigma_B(\pi_X(R))$ ?

Department	Degree
Psychology	Ph.D.

Consider the following:

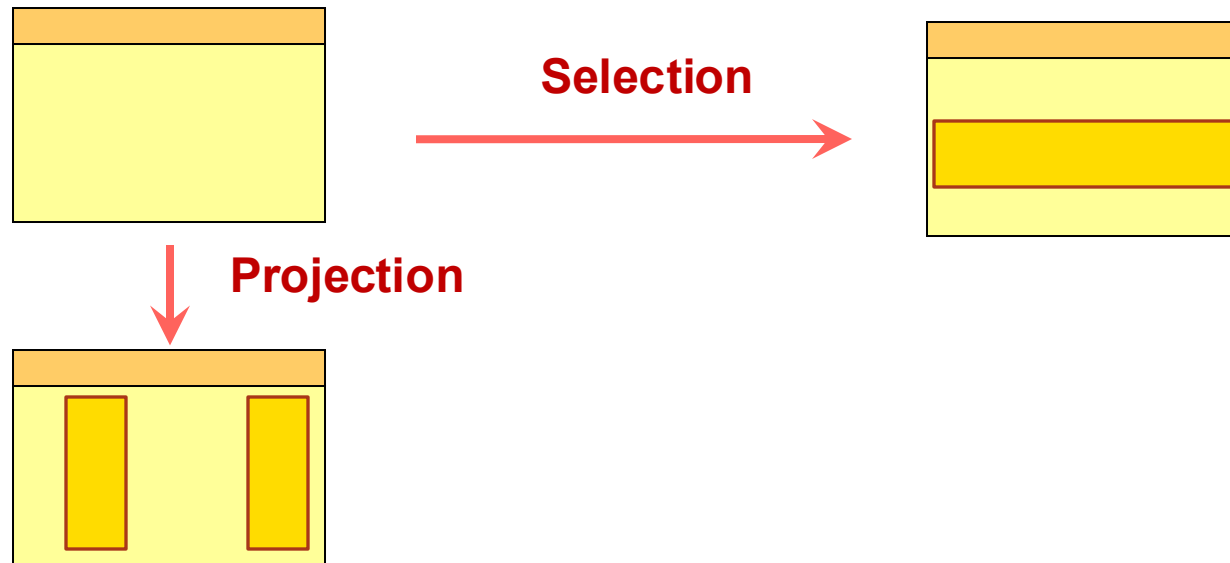
$\pi_{\{Department, Degree\}}(\sigma_{(Department='Psychology')}(ENROLMENT))$

$\sigma_{(Department='Psychology')}(\pi_{\{Department, Degree\}}(ENROLMENT))$

**Answer: The attribute used in SELECT must be a subset of the attribute list in PROJECT**

# Intuition: Projection and Selection

1. Selection performs a horizontal decomposition, and
2. Projection performs a vertical decomposition



# 3 SET UNION

UNION is the set-theoretic union of the tuples of two relations.

$$R \cup S = \{t: t \in R \text{ or } t \in S\}$$

Condition: R and S must be **union compatible**!

**Union compatibility:** there is a 1-1 correspondence between their attributes: the same **name** and same **domain**.

Example: Section(course\_id, semester, year, instructor)

To find all courses taught in the Fall 2009 semester, **or** in the Spring 2010 semester, **or** in both:

$$\pi_{\{course\_id\}}(\sigma_{(semester="Fall" \wedge year=2009)}(section)) \cup \\ \pi_{\{course\_id\}}(\sigma_{(semester="Spring" \wedge year=2010)}(section))$$

# Example

STUDENT:

Person#	Name
1	Dr C.C.Chen
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

RESEARCHER:

Person#	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

Example:  $STUDENT \cup RESEARCHER =$

Person#	Name
1	Dr C.C.Chen
3	Ms K.Juliff
4	Ms J.Gledhill
5	Ms B.K.Lee
2	Dr R.G.Wilkinson

# 4 SET INTERSECTION

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

- *INTERSECTION* is an operation that includes all tuples that are present in **both** relations, denoted by

$$R \cap S = \{t: t \in R \text{ and } t \in S\}$$

- Condition: R and S must also be **union compatible**!

- Example:  $R_1 \leftarrow \sigma_{(supervisor=1)}(ENROLMENT)$   
 $R_2 \leftarrow \sigma_{(degree='Ph.D.')} (ENROLMENT)$

$$R_1 \cap R_2 =$$

Enrolment#	Supervisee	Supervisor	Department	Degree
2	3	1	Comp.Sci.	Ph.D.

# Example of Intersection

STUDENT:

Person#	Name
1	Dr C.C.Chen
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

RESEARCHER:

Person#	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

Example:  $\text{STUDENT} \cap \text{RESEARCHER} =$

Person#	Name
1	Dr C.C. Chen

# 5 SET DIFFERENCE

*DIFFERENCE* is an operation that includes all tuples that are in the left relation but not in the right relation, denoted by

$$R - S = \{t: t \in R \text{ and } t \notin S\}$$

Condition: R and S must also be **union compatible**!

STUDENT:

Person#	Name
1	Dr C.C.Chen
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

RESEARCHER:

Person#	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

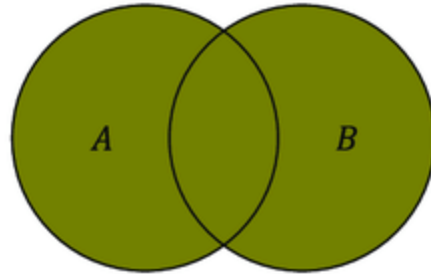
Example: STUDENT – RESEARCHER =

Person#	Name
3	Ms K. Juliff
4	Ms J. Gledhill
5	Ms B.K. Lee

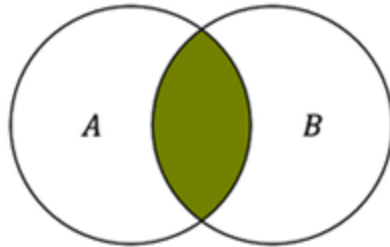
# Summary

## Operations on Relations

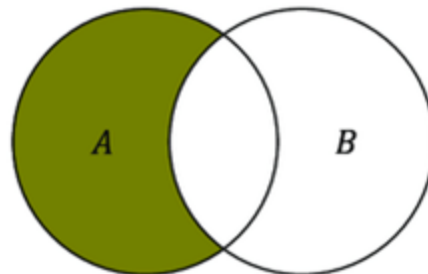
- *UNION*:  $A \cup B$



- *INTERSECTION*:  $A \cap B$



- *DIFFERENCE*:  $A - B$





Express: The names of persons who are either a student **or** a researcher

STUDENT:

<u>Person#</u>	Name
1	Dr C.C.Chen
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

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STUDENT:

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1	Dr C.C.Chen
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4	Ms J.Gledill
5	Ms B.K.Lee

RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

$\pi_{\{name\}}(STUDENT \cup RESEARCHER)$

Name
Dr C.C.Chen
Dr R.G.Wilkinson
Ms K.Juliff
Ms J.Gledill
Ms B.K.Lee

# Express: The names of persons who are a student **and** a researcher

STUDENT:

<u>Person#</u>	Name
1	Dr C.C.Chen
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RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
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# Express: The names of persons who are a student **and** a researcher

STUDENT:

<u>Person#</u>	Name
1	Dr C.C.Chen
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4	Ms J.Gledill
5	Ms B.K.Lee

RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

$\pi_{\{name\}}(STUDENT \cap RESEARCHER)$

Name
Dr C.C.Chen

Express: The names of persons who are a student **but not** a researcher

STUDENT:

<u>Person#</u>	Name
1	Dr C.C.Chen
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RESEARCHER:

<u>Person#</u>	Name
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# Express: The names of persons who are a student **but not** a researcher

STUDENT:

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1	Dr C.C.Chen
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

$\pi_{\{name\}}(STUDENT - RESEARCHER)$

Name
Ms K.Juliff
Ms J.Gledill
Ms B.K.Lee

# Express: The departments and degrees of Courses which are not enrolled by any student

ENROLMENT:

<u>Enrolment#</u>	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

COURSE:

<u>Department</u>	<u>Degree</u>
Psychology	Ph.D.
Comp.Sci.	Ph.D.
Comp.Sci.	M.Sc.
Psychology	M.Sc.

Express: The departments and degrees of Courses which are not enrolled by any student

ENROLMENT:

<u>Enrolment#</u>	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

COURSE:

<u>Department</u>	<u>Degree</u>
Psychology	Ph.D.
Comp.Sci.	Ph.D.
Comp.Sci.	M.Sc.
Psychology	M.Sc.

$COURSE - (\pi_{(Department, Degree)}(ENROLMENT))$



# CARTESIAN PRODUCT

$$R \times S = \{t_1 || t_2 : t_1 \in R \text{ and } t_2 \in S\}$$

- Intuition: **every combination of tuples in R with tuples in S.**
- $t_1 || t_2$  indicates the concatenation of tuples.
- R and S not required to be union compatible, but
- The number of tuples in the output relations is always  $|R| * |S|$

Usually assumes that attributes of  $r(R)$  and  $s(S)$  are disjoint. (That is,  $R \cap S = \emptyset$ ). If not, you must devise a naming schema to distinguish between the attribute names if they are the same for example attribute  $A$  in  $r(A, B)$  and  $s(A, C)$ , by attaching the relation's name,  $r.A$  and  $s.A$  (known as **dot-notation**)

# Example of cartesian product

ENROLMENT:

<u>Enrolment#</u>	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson



# Example of cartesian product

Person#	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

ENROLMENT X RESEARCHER =

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

E'ment#	S'ee	S'or	D'ment	Degree	Person#	Name
1	1	2	Psych.	Ph.D.	1	Dr C.C. Chen
1	1	2	Psych.	Ph.D.	2	Dr R.G.Wilkinson
2	3	1	Cmp.Sci	Ph.D.	1	Dr C.C. Chen
2	3	1	Cmp.Sci	Ph.D.	2	Dr R.G.Wilkinson
3	4	1	Cmp.Sci	M.Sc.	1	Dr C.C. Chen
3	4	1	Cmp.Sci	M.Sc.	2	Dr R.G.Wilkinson
4	5	1	Cmp.Sci	M.Sc.	1	Dr C.C. Chen
4	5	1	Cmp.Sci	M.Sc.	2	Dr R.G.Wilkinson

There were 4 tuples in ENROLMENT and 2 tuples in RESEARCHER. In the result, there are 8 tuples.

# Useful if we add a condition

$$R_1 \leftarrow ENROLMENT \times RESEARCHER$$

<u>E'ment#</u>	S'ee	S'or	D'ment	Degree	Person#	Name
1	1	2	Psych.	Ph.D.	1	Dr C.C. Chen
1	1	2	Psych.	Ph.D.	2	Dr R.G.Wilkinson
2	3	1	Cmp.Sci	Ph.D.	1	Dr C.C. Chen
2	3	1	Cmp.Sci	Ph.D.	2	Dr R.G.Wilkinson
3	4	1	Cmp.Sci	M.Sc.	1	Dr C.C. Chen
3	4	1	Cmp.Sci	M.Sc.	2	Dr R.G.Wilkinson
4	5	1	Cmp.Sci	M.Sc.	1	Dr C.C. Chen
4	5	1	Cmp.Sci	M.Sc.	2	Dr R.G.Wilkinson

In practice it's useful if we give a cartesian product specified condition

$$\sigma_{(Supervisor=Person\#)}(R_1) =$$

<u>E'ment#</u>	S'ee	S'or	D'ment	Degree	Person#	R'cher. Name
1	1	2	Psych.	Ph.D.	2	Dr R.G.Wilkinson
2	3	1	Cmp.Sci.	Ph.D.	1	Dr C.C. Chen
3	4	1	Cmp.Sci.	M.Sc.	1	Dr C.C. Chen
4	5	1	Cmp.Sci.	M.Sc.	1	Dr C.C. Chen

# More useful if we add a projection

$$R_1 \leftarrow ENROLMENT \times RESEARCHER$$

$$R_2 \leftarrow \sigma_{(Supervisor=Person\#)}(R_1)$$

E'ment#	S'ee	S'or	D'ment	Degree	Person#	R'cher. Name
1	1	2	Psych.	Ph.D.	2	Dr R.G.Wilkinson
2	3	1	Cmp.Sci.	Ph.D.	1	Dr C.C. Chen
3	4	1	Cmp.Sci.	M.Sc.	1	Dr C.C. Chen
4	5	1	Cmp.Sci.	M.Sc.	1	Dr C.C. Chen

$$\pi_{\{E'ment\#,S'ee,S'or,Name,D'ment,Degree\}}(R_2)$$

E'ment#	S'ee	S'or	Name	D'ment	Degree
1	1	2	Dr R.G.Wilkinson	Psych.	Ph.D.
2	3	1	Dr C.C. Chen	Comp.Sci.	Ph.D.
3	4	1	Dr C.C. Chen	Comp.Sci.	M.Sc.
4	5	1	Dr C.C. Chen	Comp.Sci.	M.Sc.

The two equal attributes occur only once

The last of these is also known as *natural join*, the next to last is *equi-join*.

# 6 JOIN

- JOIN is used to combine related tuples from two relations into single "longer" tuples.
- **Theta-join**

$$R \bowtie_{\langle \text{join condition} \rangle} S = \{t_1 \parallel t_2 : t_1 \in R \text{ and } t_2 \in S \text{ and } \langle \text{join condition} \rangle\}$$

- A general join condition is of the form:  
**<condition> AND <condition> AND ... AND <condition>**

<condition> is of the form  $A_i \theta B_j$ ,  $A_i$  is an attribute of  $R$ ,  $B_j$  is an attribute of  $S$ ,  $A_i$  and  $B_j$  have the same domain, and  $\theta$  (theta) is one of the comparison operators  $\{=, <, \leq, >, \geq, \neq\}$ .

## 6.1 Equi-join

A type of theta-join where the only comparison operator used is “=” is called an Equi-join

Example:

$$ENROLMENT \bowtie_{(Supervisor=Person\#)} RESEARCHER$$

$$R_2 \leftarrow \sigma_{(Supervisor=Person\#)}(R_1)$$

E'ment#	S'ee	S'or	D'ment	Degree	Person#	R'cher. Name
1	1	2	Psych.	Ph.D.	2	Dr R.G.Wilkinson
2	3	1	Cmp.Sci.	Ph.D.	1	Dr C.C. Chen
3	4	1	Cmp.Sci.	M.Sc.	1	Dr C.C. Chen
4	5	1	Cmp.Sci.	M.Sc.	1	Dr C.C. Chen

## 6.2 Natural Join

ENROLMENT:

Enrolment#	Supervisee	Supervisor	Department	Degree
1	1	2	Psychology	Ph.D.
2	3	1	Comp.Sci.	Ph.D.
3	4	1	Comp.Sci.	M.Sc.
4	5	1	Comp.Sci.	M.Sc.

COURSE:

Department	Degree
Psychology	Ph.D.
Comp.Sci.	Ph.D.
Comp.Sci.	M.Sc.
Psychology	M.Sc.

A type of equi-join that requires each pair of join attributes to have the same name and domain in both relations.

Notes: In a natural join, there may be several valid pairs of join attributes.

$ENROLMENT \bowtie_{(Department, Degree), (Department, Degree)} COURSE$

If there are pairs of joining attributes identically named, we can write

$ENROLMENT \bowtie COURSE$

Note: this notion also acceptable if there's one join attribute



## 6.2 Natural Join

Intuitions:

- Enforce equality on all attributes with same name
- Eliminate one copy of duplicated attributes

E'ment#	S'ee	S'or	Name	D'ment	Degree
1	1	2	Dr R.G.Wilkinson	Psych.	Ph.D.
2	3	1	Dr C.C. Chen	Comp.Sci.	Ph.D.
3	4	1	Dr C.C. Chen	Comp.Sci.	M.Sc.
4	5	1	Dr C.C. Chen	Comp.Sci.	M.Sc.

# JOINS

Remember the differences between the types of joins:

1. Theta JOIN  $R \bowtie_{\langle join\ condition \rangle} S$
2. Equi JOIN
3. Natural JOIN

Note: all denoted with  $\bowtie$

# Pracs

## STUDENT:

<u>Person#</u>	Name
1	Mr J.He
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

## RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

## COURSE

<u>Depart</u>	<u>Degree</u>
EE	PhD
CS	PhD
EE	MSc
CS	MSc

## ENROLMENT:

<u>Enrol#</u>	Supervisee	Supervisor	Depart	Degree
1	1	2	EE	PhD
2	3	1	CS	PhD
3	4	1	CS	MSc
4	5	1	CS	MSc

What are the names of students who are studying for an MSc in CS?

# Pracs

## STUDENT:

<u>Person#</u>	Name
1	Mr J.He
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

## RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

## COURSE

<u>Depart</u>	<u>Degree</u>
EE	PhD
CS	PhD
EE	MSc
CS	MSc

## ENROLMENT:

<u>Enrol#</u>	Supervisee	Supervisor	Depart	Degree
1	1	2	EE	PhD
2	3	1	CS	PhD
3	4	1	CS	MSc
4	5	1	CS	MSc

What are the names of students who are studying for an MSc in CS?

$\pi_{\{name\}}(\sigma_{(degree=MSc \text{ and } Depart=CS)} ENROLMENT \bowtie_{supervisee=person\#} Student)$

# Pracs

## STUDENT:

<u>Person#</u>	Name
1	Mr J.He
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

## RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

## COURSE

<u>Depart</u>	<u>Degree</u>
EE	PhD
CS	PhD
EE	MSc
CS	MSc

## ENROLMENT:

<u>Enrol#</u>	Supervisee	Supervisor	Depart	Degree
1	1	2	EE	PhD
2	3	1	CS	PhD
3	4	1	CS	MSc
4	5	1	CS	MSc

The IDs of students who are supervised by Dr C.C.Chen

# Pracs

## STUDENT:

<u>Person#</u>	Name
1	Mr J.He
3	Ms K.Juliff
4	Ms J.Gledill
5	Ms B.K.Lee

## RESEARCHER:

<u>Person#</u>	Name
1	Dr C.C.Chen
2	Dr R.G.Wilkinson

## COURSE

<u>Depart</u>	<u>Degree</u>
EE	PhD
CS	PhD
EE	MSc
CS	MSc

## ENROLMENT:

<u>Enrol#</u>	Supervisee	Supervisor	Depart	Degree
1	1	2	EE	PhD
2	3	1	CS	PhD
3	4	1	CS	MSc
4	5	1	CS	MSc

The IDs of students who are supervised by Dr C.C.Chen

$R1 = \text{ENROLMENT} \bowtie (\text{supervisor}=\text{person\#}) \text{ RESEARCHER}$

$R2 = \sigma_{(\text{name}=\text{Dr C.C.Chen})} R1$

$R3 = \pi_{\{\text{supervisee}\}} R2$

# Divide

- The DIVISION operation is applied to two Relations R and S, where the attributes of S are a subset of the attributes of R.
- The relation returned by the division operator will have attributes = (All attributes of R – All Attributes of S)
- Return all tuples from relation R which are associated to every S's tuple.

R	A	B	S	B	$R \div S =$	A
	a <sub>1</sub>	b <sub>1</sub>		b <sub>1</sub>		a <sub>1</sub>
	a <sub>1</sub>	b <sub>2</sub>		b <sub>2</sub>		a <sub>5</sub>
	a <sub>2</sub>	b <sub>1</sub>				
	a <sub>3</sub>	b <sub>2</sub>				
	a <sub>4</sub>	b <sub>1</sub>				
	a <sub>5</sub>	b <sub>1</sub>				
	a <sub>5</sub>	b <sub>2</sub>				

# Divide

**Typical use:** which departments offer all degrees?

$Course \div (\pi_{Degree} Course)$

COURSE

<u>Depart</u>	<u>Degree</u>
EE	PhD
CS	PhD
EE	MSc
CS	MSc



# Pracs

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2	3	1	CS	PhD
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4	5	1	CS	MSc

The names of supervisor who supervises both MSc and PhD students

# Pracs

## STUDENT:

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## RESEARCHER:

<u>Person#</u>	Name
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2	Dr R.G.Wilkinson

## COURSE

<u>Depart</u>	<u>Degree</u>
EE	PhD
CS	PhD
EE	MSc
CS	MSc

## ENROLMENT:

<u>Enrol#</u>	Supervisee	Supervisor	Depart	Degree
1	1	2	EE	PhD
2	3	1	CS	PhD
3	4	1	CS	MSc
4	5	1	CS	MSc

The names of supervisor who supervises both MSc and PhD students

$R1 = \pi_{\{SUPERVISOR, DEGREE\}} ENROLMENT \div \pi_{\{DEGREE\}} COURSE$

$R2 = \pi_{\{Name\}} (R1 \bowtie_{(supervisor=person\#)} RESEARCH)$

# Exercise

R:

A	B	C
a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>2</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>3</sub>
a <sub>1</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>2</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>3</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>2</sub>

S:

B	C
b <sub>1</sub>	c <sub>1</sub>
b <sub>1</sub>	c <sub>2</sub>

Write relational algebra that retrieves:

1. Find A of  $R$  that contains all  $S$ .

# Exercise

R:

A	B	C
a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>2</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>3</sub>
a <sub>1</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>2</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>3</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>2</sub>

S:

B	C
b <sub>1</sub>	c <sub>1</sub>
b <sub>1</sub>	c <sub>2</sub>

Write relational algebra that retrieves:

1. Find A of  $R$  that contains all  $S$ .

$R \div S$

A
a <sub>1</sub>

# Exercise

R:

A	B	C
a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>2</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>3</sub>
a <sub>1</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>2</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>3</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>2</sub>

S:

B	C
b <sub>1</sub>	c <sub>1</sub>
b <sub>1</sub>	c <sub>2</sub>

Write relational algebra that retrieves:

2. Find (A, B) of *R* that contains all C of *S*.

# Exercise

R:

A	B	C
a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>2</sub>
a <sub>1</sub>	b <sub>1</sub>	c <sub>3</sub>
a <sub>1</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>2</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>
a <sub>3</sub>	b <sub>1</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>	c <sub>2</sub>

S:

B	C
b <sub>1</sub>	c <sub>1</sub>
b <sub>1</sub>	c <sub>2</sub>

Write relational algebra that retrieves:

2. Find (A, B) of *R* that contains all C of *S*.

$$R \div \pi_{\{C\}}(S)$$

A	B
a <sub>1</sub>	b <sub>1</sub>
a <sub>3</sub>	b <sub>2</sub>

# Rename Operator

- The **rename** operator  $\rho$  changes the name of one or more attributes
- Change the names in a schema
- Does not affect **instance** of the target relation

Family

Father	Child
Adam	Abel
Adam	Cain
Abraham	Isaac

$\rho_{(\text{Parent}, \text{Child})}(\text{Family})$

Parent	Child
Adam	Abel
Adam	Cain
Abraham	Isaac

$\rho_{S(A1,A2,\dots,A_n)}(R)$  or  $\rho_S(R)$  or  $\rho_{(A1,A2,\dots,A_n)}(R)$

- Why might this be useful? To be included in relational algebra?

# Why RENAME Operator?

- To unify schemas for set operators
  - **Union compatibility:** there is a 1-1 correspondence between their attributes: the same **name** and same **domain**.

$$R(zid, name), S(studentid, name)$$

$$R \cup \rho_{(zid, name)} S$$

- For disambiguation in “self-join”

$$STUDENT \bowtie_{STUDENT.major = STUDENT.major} STUDENT$$

$$\rho_{S1}(STUDENT) \bowtie_{S1.major = S2.major} \rho_{S2}(STUDENT)$$



# Basic vs Extended Operators

Note:  $\{\sigma, \pi, \cup, -, \times\}$  (and *rename*) are sufficient to define all these operations: this is a relationally complete set of operators. These are the **basic operators** of the Relational Algebra.

What about *JOIN*, *INTERSECTION* and *DIVIDE*?

They are **extended operators** because they can be derived from the basic operators.

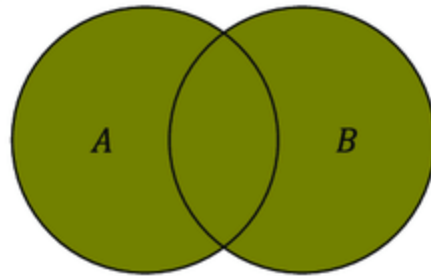
$$R \bowtie_{\langle \text{condition} \rangle} S = \sigma_{\langle \text{condition} \rangle} (R \times S)$$

$$A \cap B = A - (A - B)$$

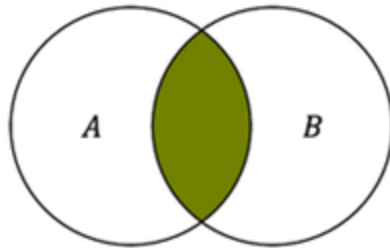
# Summary

## Operations on Relations

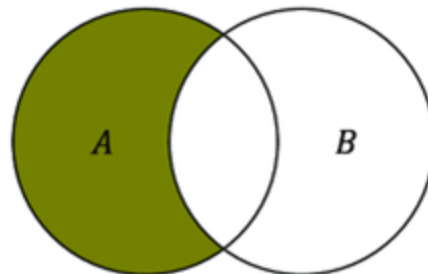
- *UNION*:  $A \cup B$



- *INTERSECTION*:  $A \cap B$



- *DIFFERENCE*:  $A - B$



$$A \cap B = A - (A - B)$$

# Basic vs Extended Operators

Note:  $\{\sigma, \pi, \cup, -, \times\}$  (and *rename*) are sufficient to define all these operations: this is a relationally complete set of operators. These are the **basic operators** of the Relational Algebra.

What about *JOIN*, *INTERSECTION* and *DIVIDE*?

They are **extended operators** because they can be derived from the basic operators.

E.g., We can write  $R \div S$  as

$$TEMP1 \leftarrow \pi_{R-S} (R)$$
$$TEMP2 \leftarrow \pi_{R-S} ((TEMP1 \times S) - R)$$
$$RESULT = TEMP1 - TEMP2$$

- *R-S: attributes in R not in S*
- The result to the right of  $\leftarrow$  is assigned to the relation variable on the left of  $\leftarrow$ .
- May use variable in subsequent expressions.

# Aggregate Operators

What if we want a relation with information about “sum of salaries” of employees, or the “average age” of students?

We need more expressive power. We can use **aggregation functions** to summarize information from multiple tuples into **aggregate values**.

We can use an **aggregation operator**  $\gamma$  and a function such as *SUM*, *AVG*, *MIN*, *MAX*, or *COUNT*.

In general, duplicates are considered in the aggregation.

If  $R =$

A	B
1	2
3	4
3	5
1	1

, then  $\gamma_{\text{SUM(A)}}(R) =$

SUM(A)
8

and  $\gamma_{\text{AVG(B)}}(R) =$

AVG(B)
3

t considered in

# Aggregate Operators

We can also retrieve aggregate values for groups, like the “sum of employee salaries” *per department* or the “average student age” *per faculty*.

We give  $\gamma$  additional arguments to specify that the aggregation behavior should be based on *groups* (defined by a set of attributes).

If  $R =$

a	b
1	2
3	4
3	5
1	3

, then  $\gamma_{a, \text{SUM}(b)}(R) =$

a	SUM(b)
1	5
3	9

# Formal Definition

A **basic relational algebra expression** is one of the following:

- A relation in the database
- (could also be a) constant relation
  - ( fixed set of tuples, e.g.,  $\{(1,2), (1,3), (2,3)\}$  )

A **general relational algebra expression** is constructed out of smaller subexpressions.

Let  $E_1$  and  $E_2$  be relational algebra expressions; the following are all relational-algebra expressions:

- $E_1 \cup E_2$
- $E_1 - E_2$
- $E_1 \times E_2$
- $\sigma_P(E_1)$  where  $P$  is predicate on attributes in  $E_1$
- $\pi_S(E_1)$  where  $S$  is a set of attributes in  $E_1$
- $\rho_X(E_1)$  where  $X$  is the new name for the result of  $E_1$

OPERATION	PURPOSE	NOTATION
SELECT	Selects all tuples that satisfy the selection condition from a relation R	$\sigma_{\langle \text{selection condition} \rangle}(R)$
PROJECT	Produces a new relation with only some of the attributes of R and removes duplicate tuples.	$\pi_{\langle \text{attribute list} \rangle}(R)$
THETA-JOIN	Produces all combinations of tuples from R and S that satisfy the join condition.	$R \bowtie_{\langle \text{join condition} \rangle} S$
EQUI-JOIN	Produces all the combinations of tuples from R and S that satisfy a join condition with only equality comparisons.	$R \bowtie_{\langle \text{join condition} \rangle} S$
NATURAL-JOIN	Same as EQUIJOIN except that the join attributes of S are not included in the resulting relation; if the join attributes have the same names, they do not have to be specified at all.	$R \bowtie_{\langle \text{join condition} \rangle} S$
UNION	Produces a relation that includes all the tuples in R or S or both R and S; R and S must be union compatible.	$R \cup S$
INTERSECTION	Produces a relation that includes all the tuples in both R and S; R and S must be union compatible.	$R \cap S$
DIFFERENCE	Produces a relation that includes all the tuples in R that are not in S; R and S must be union compatible.	$R - S$
CARTESIAN PRODUCT	Produces a relation that has the attributes of R and S and includes as tuples all possible combinations of tuples from R and S.	$R \times S$
DIVISION	Produces a relation T(X) that includes all tuples t[X] in R(Z) that appear in R in combination with every tuple from S(Y), where $Z = X \cup Y$ .	$R(Z) \div S(Y)$