

# Convolutional Neural Network

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**Week 9**

ECEGR4750 - Introduction to Machine Learning  
Seattle University

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# Recap and Updates

- Lab Take Home Assignment due next Wednesday 11/22/23 at 11.59pm
- Office Hours: please email me your desired timeslot and to make appointments
- Final Homework 5 due: 12/1/23 at 11.59pm
- Final week of class: review + in class “exam” on 11/30/23 as bonus
- Final project due: 12/8/23 at 11.59pm
- Please review the notes for this lecture from the original source (a very good lecture and class)

## 1 Introduction

## 2 Layers of a CNN

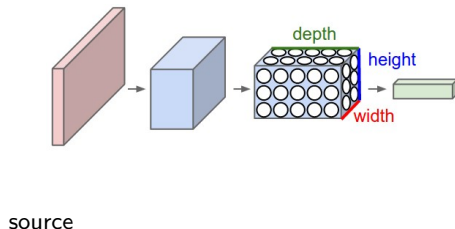
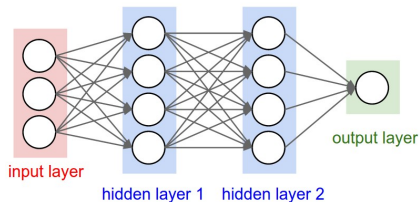
- Convolutional Layer
- Pooling Layer
- CNN Architecture

# Convolutional Neural Network (CNN or ConvNet)

- Made from an assumption where the inputs are images, which by nature have 2D properties.

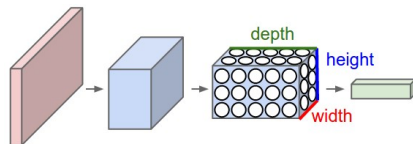
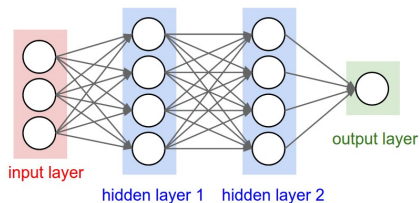
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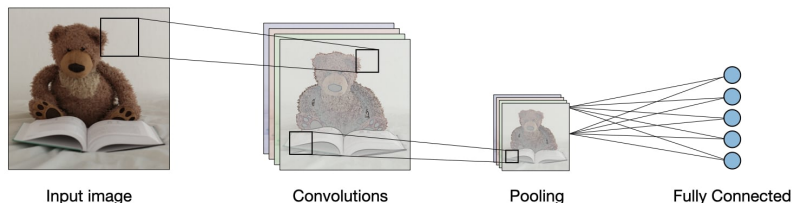


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- The neurons in CNN are arranged in 3D (width, depth, height). (e.g: the red input layer has width = horizontal pixels, height = vertical pixels, depth = R, G, B channels)

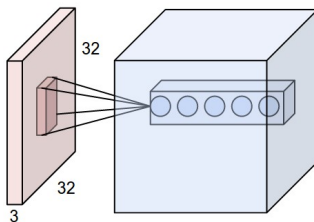
# Layers of a CNN

- 1 **Convolutional Layer (CONV)**
- 2 **Pooling Layer (POOL)**
- 3 **Fully-Connected Layer (FC)**
- 4 **Activation Layer (usually ReLU) (RELU)**



# Convolutional Layer

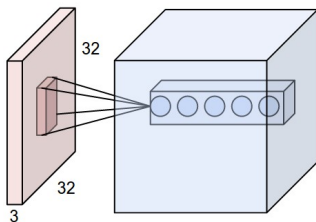
Core building block of a CNN that does most of the computational heavy lifting.





# Convolutional Layer

## Local Connectivity



Each neuron is connected to only a local region (along width and height in the 2D space), but fully along the depth axis, of the input volume. The spatial extent is called the **receptive field** or the filter size.

*Red input volume:  $32 \times 32 \times 3$ . Blue convolutional layer with receptive field:  $5 \times 5$ . Each neuron in the CONV layer will have  $5 \times 5 \times 3$  weights.*

# Convolutional Layer

## Spatial Arrangement

### Hyperparameters:

- 1 **Depth.** Depth of the convolutional layer. Corresponds to the number of filters we are using, each learning to look for something different in the input, such as: oriented edges, blobs of color, etc. The set of neurons that are all looking at the same region of the input is called the *depth column*.
- 2 **Receptive Field (Kernel / Filter) Size.** The width and height of the kernel or filter that slides across the input volume..
- 3 **Stride.** Stride in which we slide the filter. If stride is 1, we move 1 pixel at a time. Usually stride is set to 2.
- 4 **Zero Padding.** Pad the input volume with zeroes around the border.

# Convolutional Layer

## Spatial Arrangement

### Number of Output Neurons

$$\frac{W - F + 2P}{S} + 1$$

where:

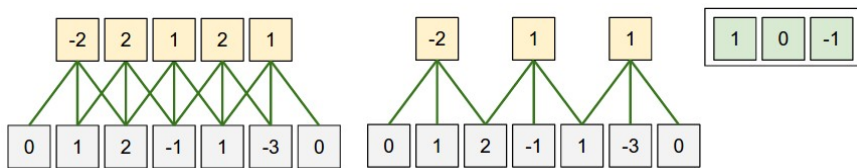
- $W$ : input volume size
- $F$ : receptive field size
- $P$ : amount of zero padding
- $S$ : stride

These parameters have to be carefully selected such that the number of the output neurons is an integer.

# Convolutional Layer

## Spatial Arrangement

Input volume: grey. Kernel: green. Output volume: yellow.



Left:

- Input size  $W = 5$
- Receptive field  $F = 3$
- Zero Padding  $P = 1$
- Stride  $S = 1$
- Output size  $\frac{5-3+2}{1} + 1 = 5$

Right:

- Input size  $W = 5$
- Receptive field  $F = 3$
- Zero Padding  $P = 1$
- Stride  $S = 2$
- Output size  $\frac{5-3+2}{2} + 1 = 3$

# Convolutional Layer

## Parameter Sharing

Neurons from a single 2D slice of depth '*depth slice*' share the same parameters (weights and biases).

ImageNet Challenge 2012 winner, Krizhevsky et al. with output volume of  $55 \times 55 \times 96$  and receptive field of  $11 \times 11 \times 3$ . Each of the 96 filters below is  $11 \times 11 \times 3$ , and each one is shared by the  $55 \times 55$  neurons in one depth slice.



source

# Convolutional Layer

## Parameter Sharing

Parameter sharing make sense when:

Detecting a horizontal edge is important at some location in the image, it should be useful at some other location as well (translational invariance). There is no need to relearn to detect a horizontal edge at every one of the distinct locations.

Parameter sharing doesn't make sense when:

The input images to a CNN have some specific centered structure. For example, when the input are faces that have been centered in the image. Eye-specific or hair-specific features should be learned in different spatial locations. In this case, the parameter sharing scheme is relaxed and the layer is called a **Locally-Connected Layer**.

# Convolutional Layer

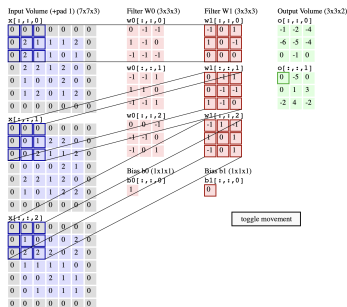
## Summary

- ① Accepts an input volume of size  $W_1 \times H_1 \times D_1$
- ② Requires 4 hyperparameters:
  - ① Receptive field size  $F$
  - ② Amount of zero padding  $P$
  - ③ Stride  $S$
  - ④ Number of filters  $K$
- ③ Produces an output volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = \frac{W_1 - F + 2P}{S} + 1$
  - $H_2 = \frac{H_1 - F + 2P}{S} + 1$
  - $D_2 = K$
- ④ With parameters sharing:
  - ① Number of weights per filter:  $F \cdot F \cdot D_1$
  - ② Total weights:  $(F \cdot F \cdot D_1) \cdot K$  and  $K$  biases
- ⑤ Common hyperparameter setting:  $F = 3, S = 1, P = 1$

# Convolutional Layer

## Computation

The visualization below iterates over the output activations (green), and shows that each element is computed by elementwise multiplying the highlighted input (blue) with the filter (red), summing it up, and then offsetting the result by the bias.



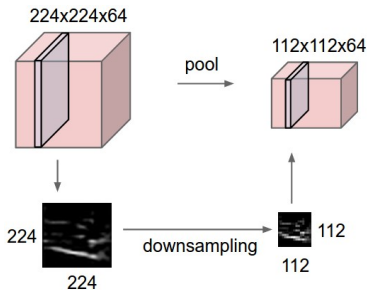
[See Full Animation Here](#)



# Pooling Layer

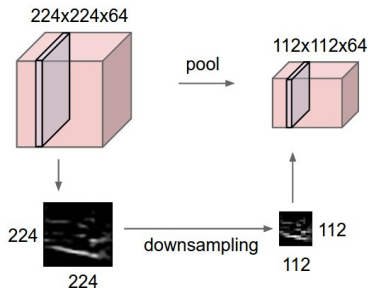
Pooling layer is inserted in-between successive Convolutional layers to:

- Progressively reduce the spatial size of the representation
- Reduce the amount of parameters and computation in the network
- Control overfitting



# Pooling Layer

## Spatial Arrangement



- 1 Pooling layer downsamples the volume independently in each depth slice. The **hyperparameters** are:
  - 1 **Spatial Extent  $F$**
  - 2 **Stride  $S$**
  - 3 Typically there is no zero padding in the input of a pooling layer
  - 4 In practice,  $F = 3$ ,  $S = 2$  or  $F = 2$ ,  $S = 2$  are commonly used.

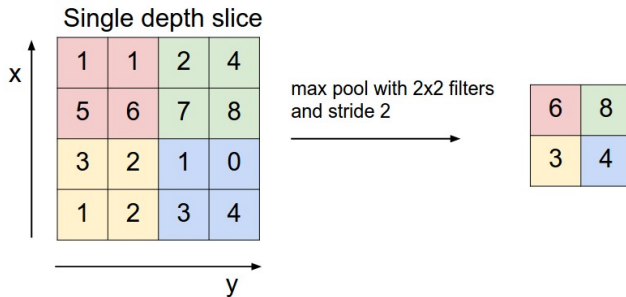
# Pooling Layer

## Summary

- ➊ Accepts an input volume of size  $W_1 \times H_1 \times D_1$
- ➋ Requires 2 hyperparameters:
  - ➊ Receptive field size  $F$
  - ➋ Stride  $S$
- ➌ Produces an output volume of size  $W_2 \times H_2 \times D_2$  where:
  - $W_2 = \frac{W_1 - F}{S} + 1$
  - $H_2 = \frac{H_1 - F}{S} + 1$
  - $D_2 = D_1$
- ➍ Common hyperparameter setting:  $F = 3, S = 2$ , or  $F = 2, S = 2$

# Pooling Layer

## Computation



The most common downsampling operation is *max*, which yields **max-pooling** method, which is proven to be better than *average-pooling* or *L2-norm pooling*.

# Pooling Layer

## No pooling

Striving for Simplicity: The All Convolutional Net proposes to discard the pooling layer. To reduce the size of the representation, they proposed using a larger stride once in a while. Discarding pooling is important in training good generative models such as VAE or GANs.

# Some Examples

- 1 LeNet
- 2 AlexNet
- 3 ZF Net
- 4 GoogLeNet
- 5 VGGNet
- 6 ResNet

# References



Fei-Fei Li, Yunzhu Li, Ruohan Gao (2023)

CSE231n Deep Learning for Computer Vision

*Stanford University*