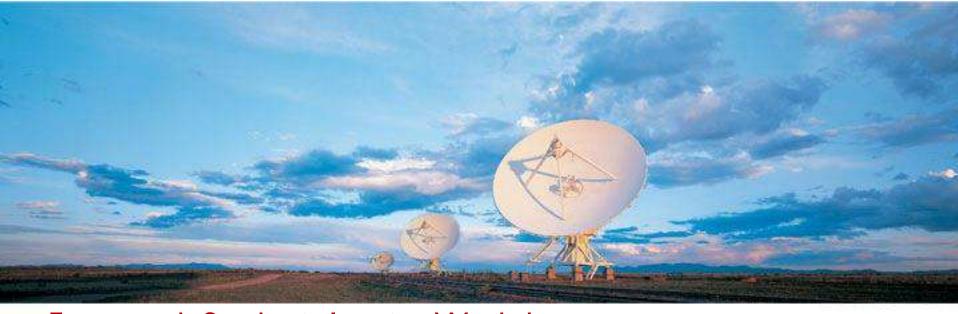
#### **Imaging and Deconvolution**

David J. Wilner (Harvard-Smithsonian Center for Astrophysics)

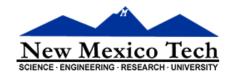




Fourteenth Synthesis Imaging Workshop 2014 May 13–20











#### References

- Thompson, A.R., Moran, J.M., Swensen, G.W. 2004
   "Interferometry and Synthesis in Radio Astronomy", 2<sup>nd</sup> edition (Wiley-VCH)
- previous Synthesis Imaging Workshop proceedings
  - Perley, R.A., Schwab, F.R., Bridle, A.H. eds. 1989 ASP Conf. Series 6
     "Synthesis Imaging in Radio Astronomy" (San Francisco: ASP)
    - Ch. 6 Imaging (Sramek & Schwab) and Ch. 8 Deconvolution (Cornwell)
  - www.aoc.nrao.edu/events/synthesis
    - lectures by Cornwell 2002 and Bhatnagar 2004, 2006
- IRAM Interferometry School proceedings
  - www.iram.fr/IRAMFR/IS/IS2008/archive.html
    - Ch. 13 Imaging Principles and Ch. 16 Imaging in Practice (Guilloteau)
    - lectures by Pety 2004-2012
- many other lectures and pedagogical presentations are available
  - ALMA primer, ATNF, CARMA, ASIAA, e-MERLIN, ...

#### Visibility and Sky Brightness

• V(u,v), the complex visibility function, is the 2D Fourier transform of T(l,m), the sky brightness distribution (for incoherent source, small field of view, far field, etc.) [for derivation from van Cittert-Zernike theorem, see TMS Ch. 14]

mathematically

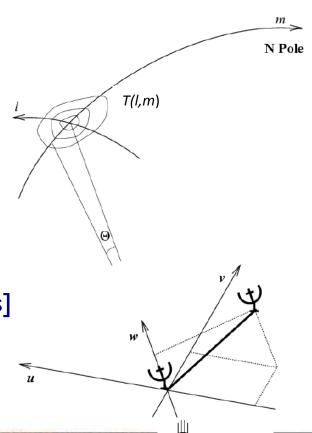
$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$

$$T(l,m) = \int \int V(u,v)e^{i2\pi(ul+vm)}dudv$$

u,v are E-W, N-S spatial frequencies [wavelengths] I,m are E-W, N-S angles in the tangent plane [radians] (recall  $e^{ix}=\cos x+i\sin x$ )



$$V(u,v) \xrightarrow{\mathcal{F}} T(l,m)$$

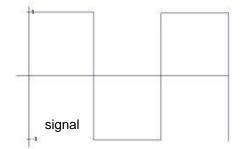


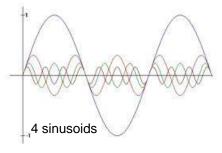
#### The Fourier Transform

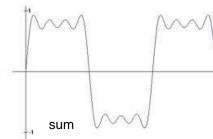
• Fourier theory states and any well behaved signal (including images) can be expressed as the sum of sinusoids



Jean Baptiste Joseph Fourier 1768-1830







$$x(t) = \frac{4}{\pi} \left( \sin(2\pi f t) + \frac{1}{3} \sin(6\pi f t) + \frac{1}{5} \sin(10\pi f t) + \dots \right)$$

- the Fourier transform is the mathematical tool that decomposes a signal into its sinusoidal components
- the Fourier transform contains all of the information of the original signal



#### **The Fourier Domain**

- acquire some comfort with the Fourier domain
- in older texts, functions and their Fourier transforms occupy *upper* and *lower* domains, as if "functions circulated at ground level and their transforms in the underworld" (Bracewell 1965)



adding 
$$g(x) + h(x) = G(s) + H(s)$$

scaling 
$$g(\alpha x) = \alpha^{-1}G(s/\alpha)$$

shifting 
$$g(x-x_0) = G(s)e^{i2\pi x_0 s}$$

convolution/multiplication 
$$g(x) = h(x) * k(x)$$
  $G(s) = H(s)K(s)$ 

Nyquist-Shannon sampling theorem

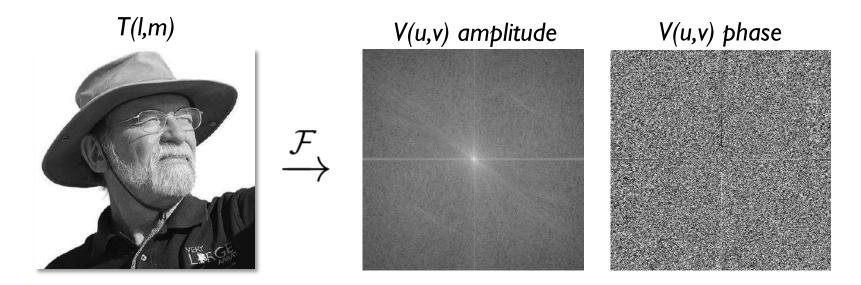
$$g(x) \subset \Theta$$
 completely determined if  $G(s)$  sampled at  $\leq 1/\Theta$ 





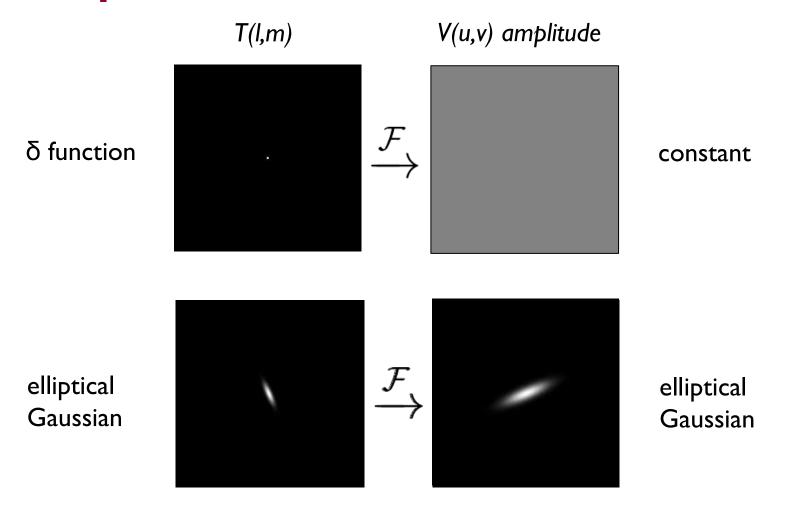
#### **Visibilities**

- each V(u,v) contains information on T(l,m) everywhere, not just at a given (l,m) coordinate or within a particular subregion
- each V(u,v) is a complex quantity
  - expressed as (real, imaginary) or (amplitude, phase)





#### **Example 2D Fourier Transforms**





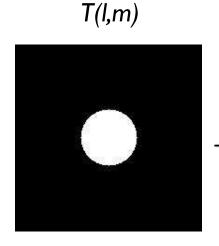
narrow features transform into wide features (and vice-versa)



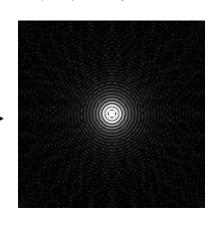
#### **Example 2D Fourier Transforms**

uniform

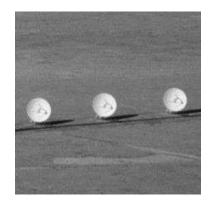
disk

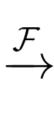


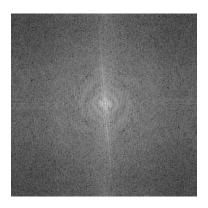
V(u,v) amplitude



Bessel function







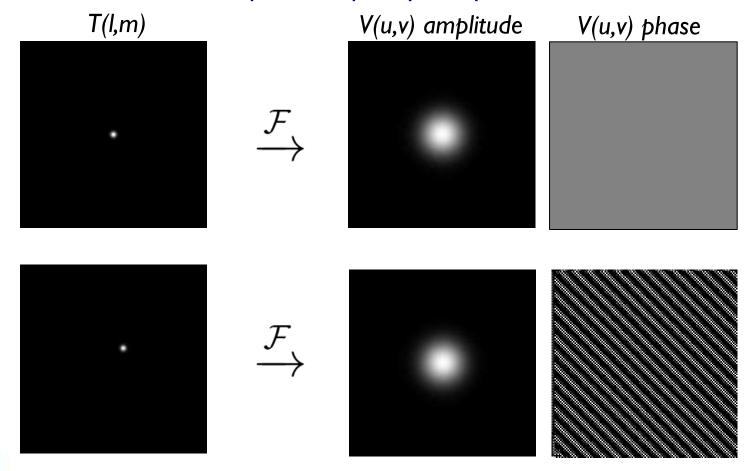
sharp edges result in many high spatial frequencies





#### **Amplitude and Phase**

- amplitude tells "how much" of a certain spatial frequency
- phase tells "where" this spatial frequency component is located





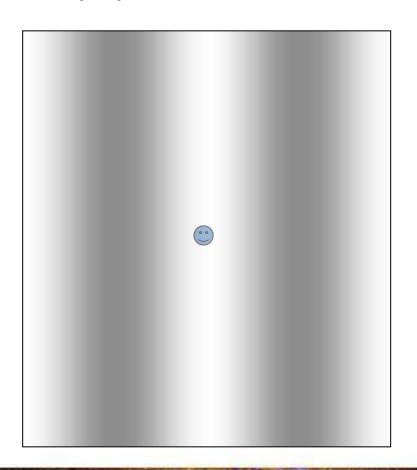


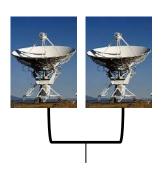
$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$

- visibility as a function of baseline coordinates (u,v) is the Fourier transform of the sky brightness distribution as a function of the sky coordinates (l,m)
- V(u=0,v=0) is the integral of T(l,m)dldm = total flux density
- since T(l,m) is real,  $V(-u,-v) = V^*(u,v)$ 
  - -V(u,v) is Hermitian
  - get two visibilities for one measurement



$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$

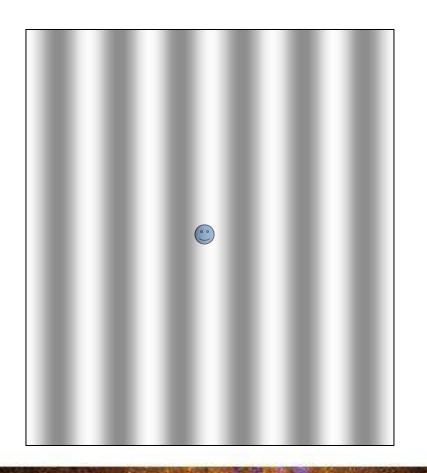


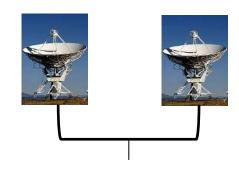






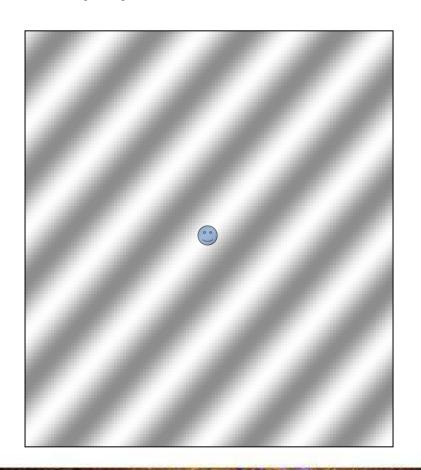
$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$

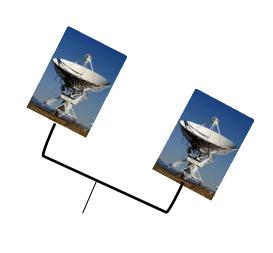






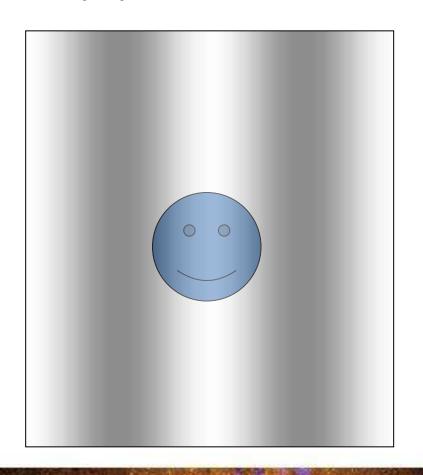
$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$

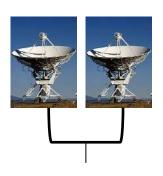






$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$

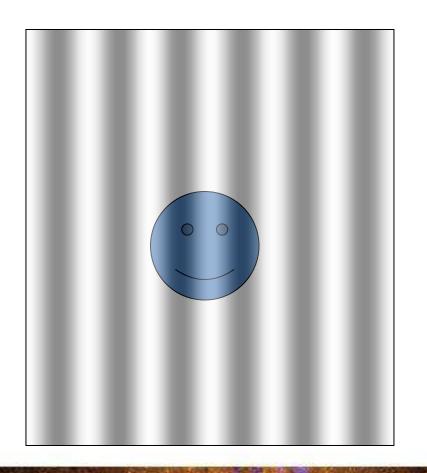


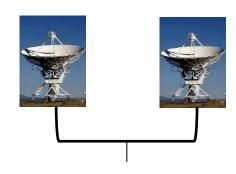






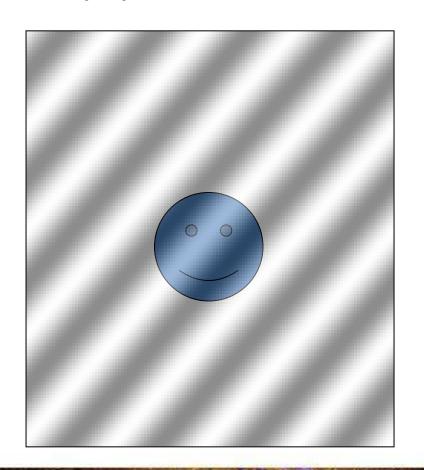
$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$

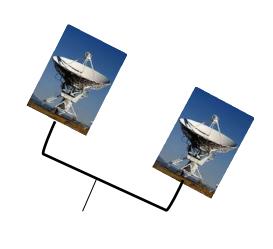






$$V(u,v) = \int \int T(l,m)e^{-i2\pi(ul+vm)}dldm$$







#### **Aperture Synthesis Basics**

- idea: sample V(u,v) at enough (u,v) points using distributed small aperture antennas to synthesize a large aperture antenna of size  $(u_{max}, v_{max})$
- one pair of antennas = one baseline
   two (u,v) samples at a time
- N antennas = N(N-1) samples at a time
- use Earth rotation to fill in (u,v) plane over time
   (Sir Martin Ryle, 1974 Nobel Prize in Physics)



Sir Martin Ryle 1918-1984

- reconfigure physical layout of N antennas for more samples
- observe at multiple wavelengths for (u,v) plane coverage, for source spectra amenable to simple characterization ("multi-frequency synthesis")
- if source is variable, then be careful



# Examples of Aperture Synthesis Telescopes (for Millimeter Wavelengths)

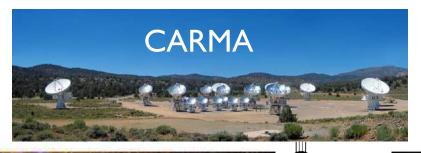




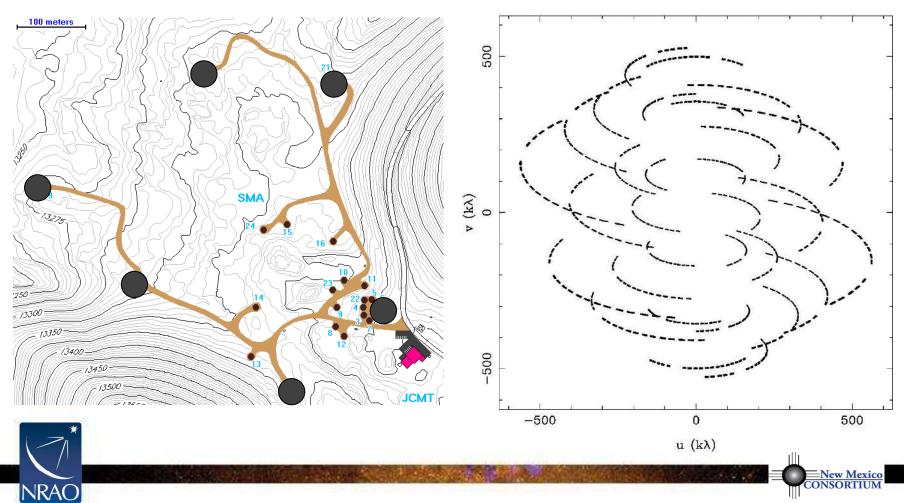




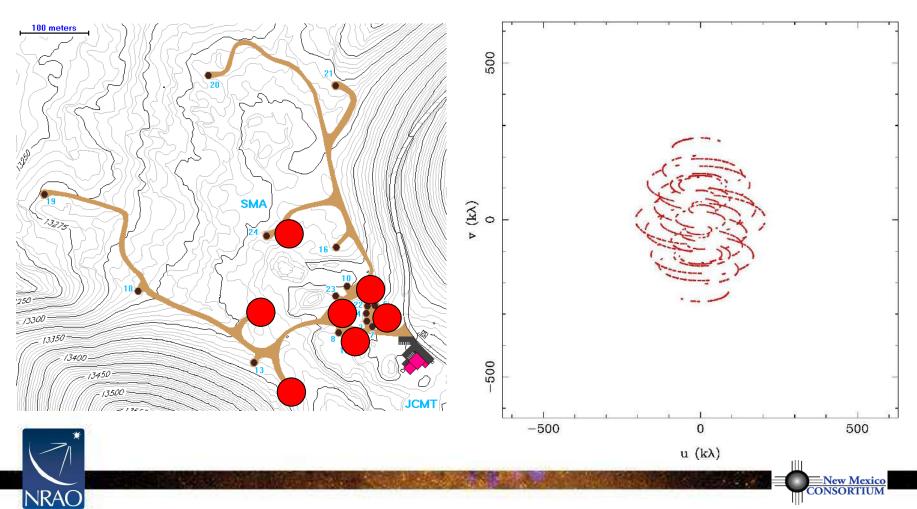




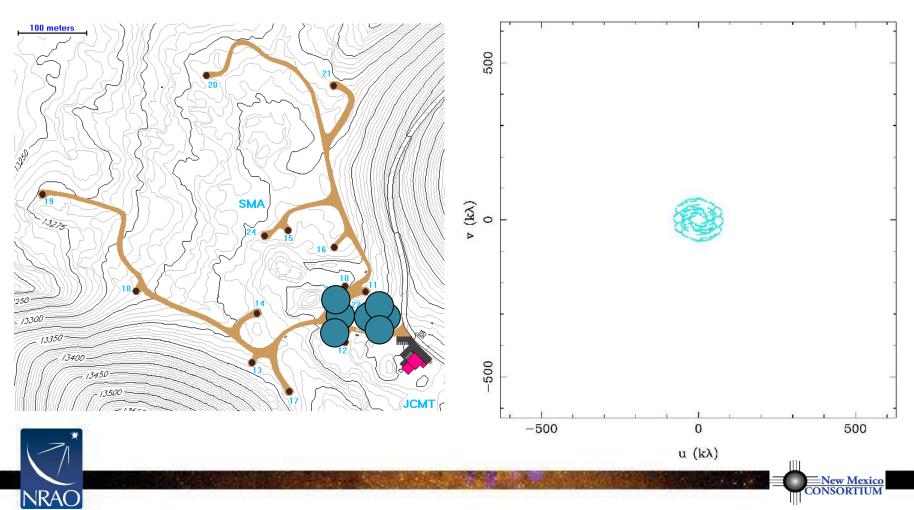
VEX configuration of 6 SMA antennas, v = 345 GHz, dec = +22 deg



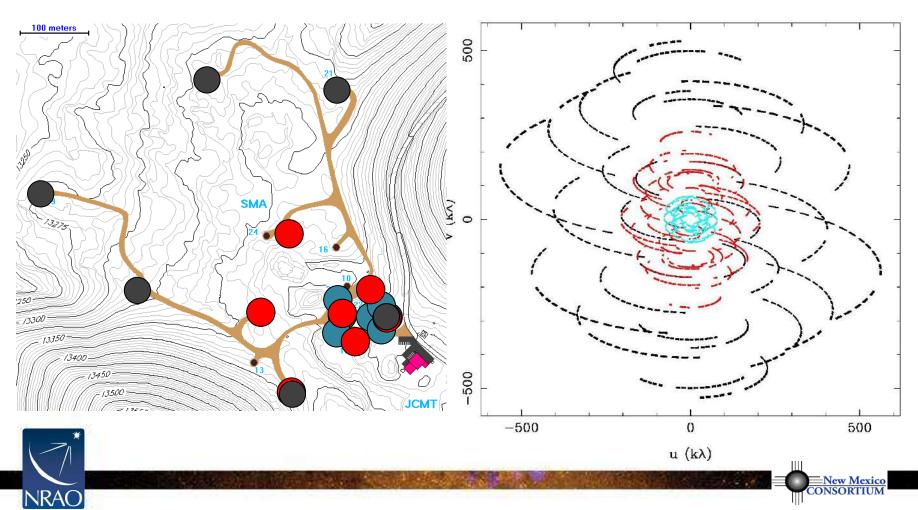
EXT configurations of 7 SMA antennas, v = 345 GHz, dec = +22 deg



COM configurations of 7 SMA antennas, V = 345 GHz, dec = +22 deg

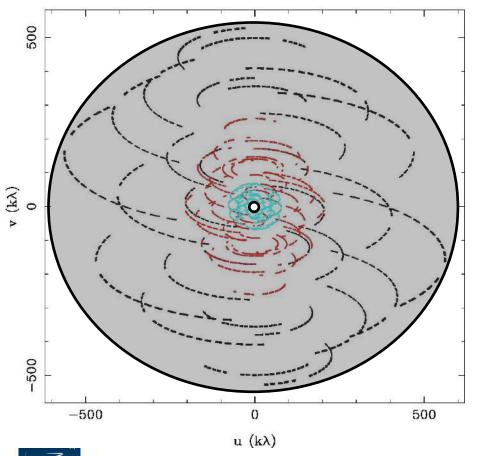


3 configurations of SMA antennas, v = 345 GHz, dec = +22 deg



## Implications of (u,v) plane Sampling

samples of V(u,v) are limited by number of antennas and by Earth-sky geometry

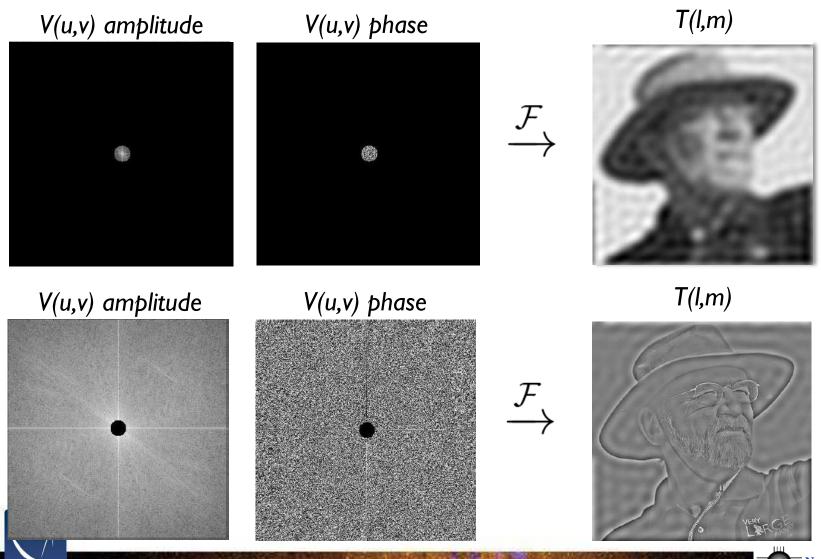


- outer boundary
  - no information on smaller scales
  - resolution limit
- inner hole
  - no information on larger scales
  - extended structures invisible
- irregular coverage between boundaries
  - sampling theorem violated
  - information missing

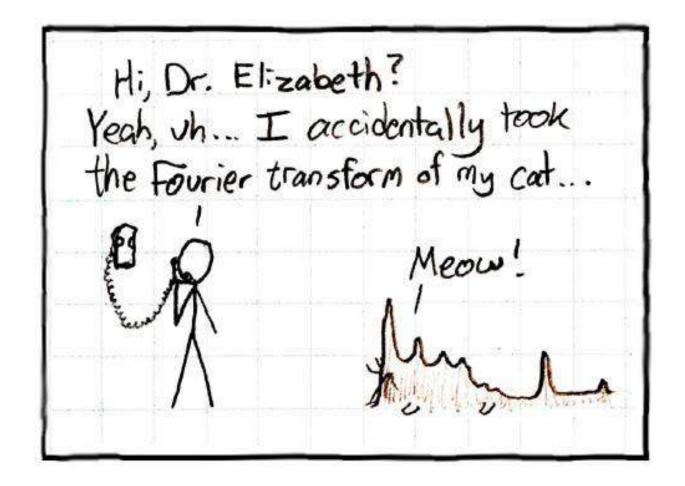




# Inner and Outer (u,v) Boundaries



#### xkcd.com/26/





#### Formal Description of Imaging

$$V(u,v) \xrightarrow{\mathcal{F}} T(l,m)$$

- sample Fourier domain at discrete points  $S(u,v) = \sum_{k=1}^{m} \delta(u-u_k,v-v_k)$
- Fourier transform sampled visibility function  $\ V(u,v)S(u,v) \xrightarrow{\mathcal{F}} T^D(l,m)$
- apply the convolution theorem

$$T(l,m) * s(l,m) = T^{D}(l,m)$$

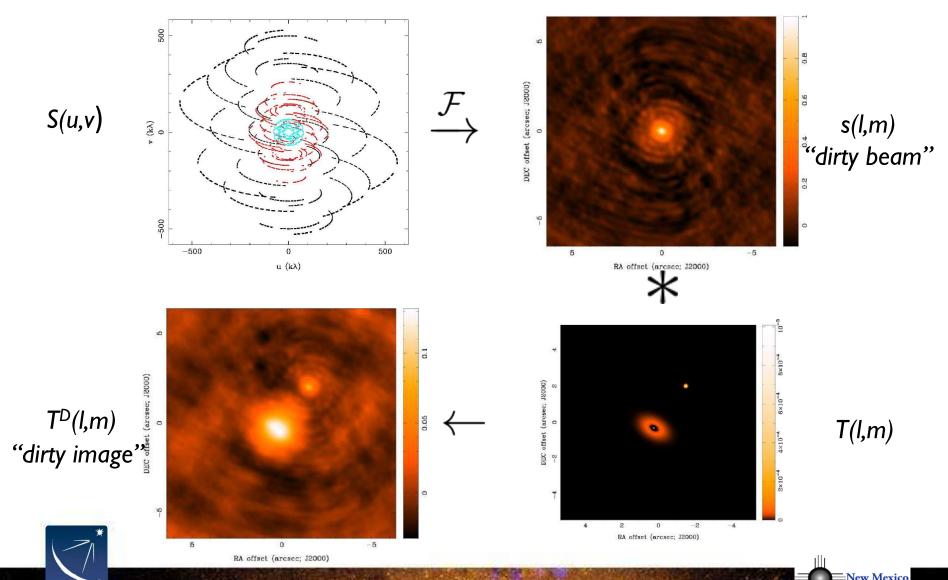
where the Fourier transform of the sampling pattern  $s(l,m) \xrightarrow{\mathcal{F}} S(u,v)$  is the "point spread function"

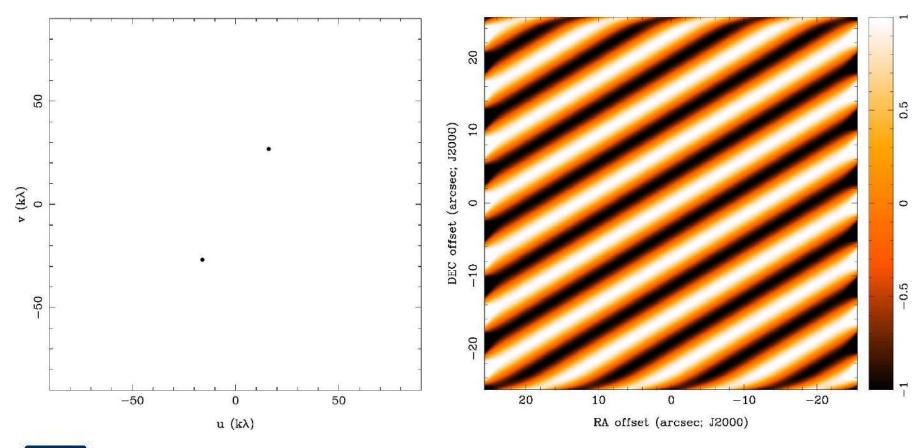
the Fourier transform of the sampled visibilities yields the true sky brightness convolved with the point spread function

radio jargon: the "dirty image" is the true image convolved with the "dirty beam"

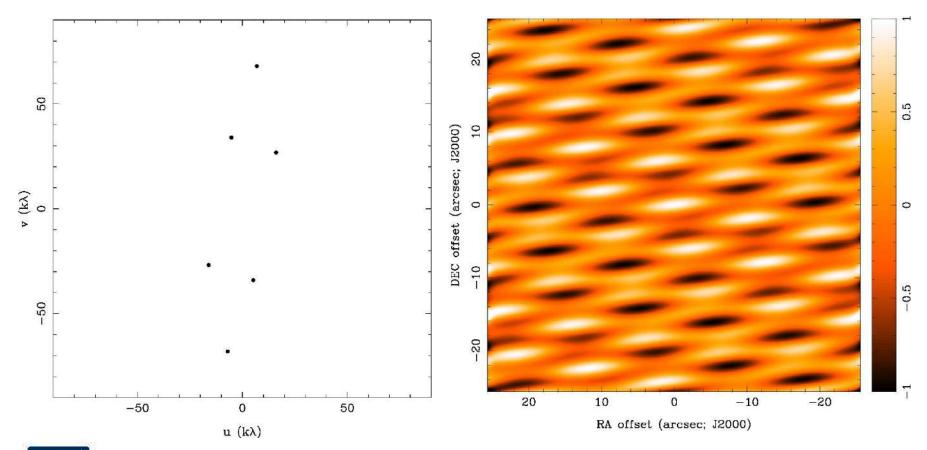


#### **Dirty Beam and Dirty Image**

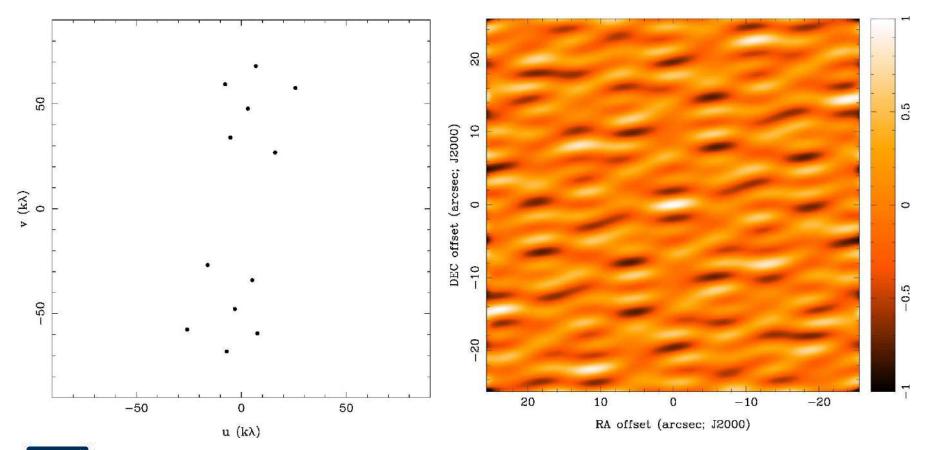




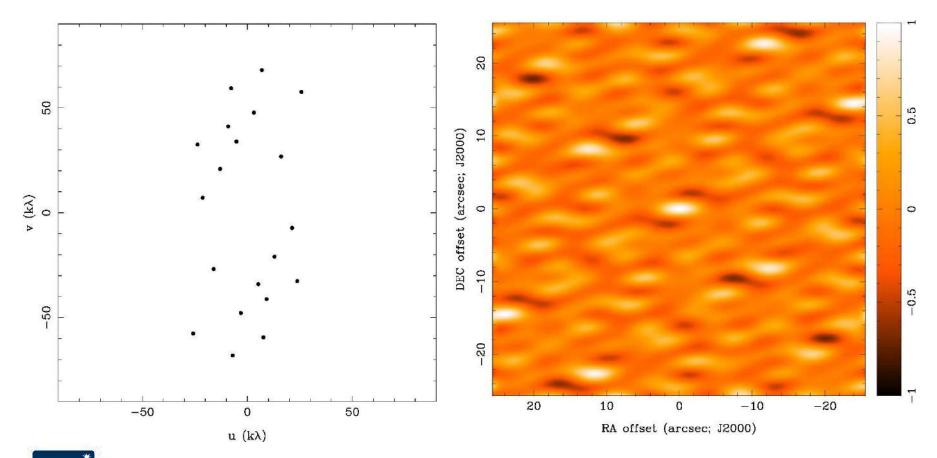




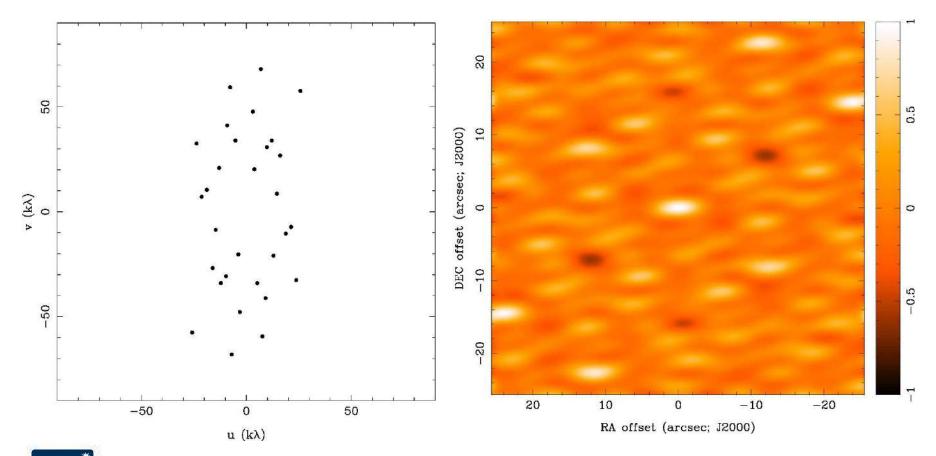




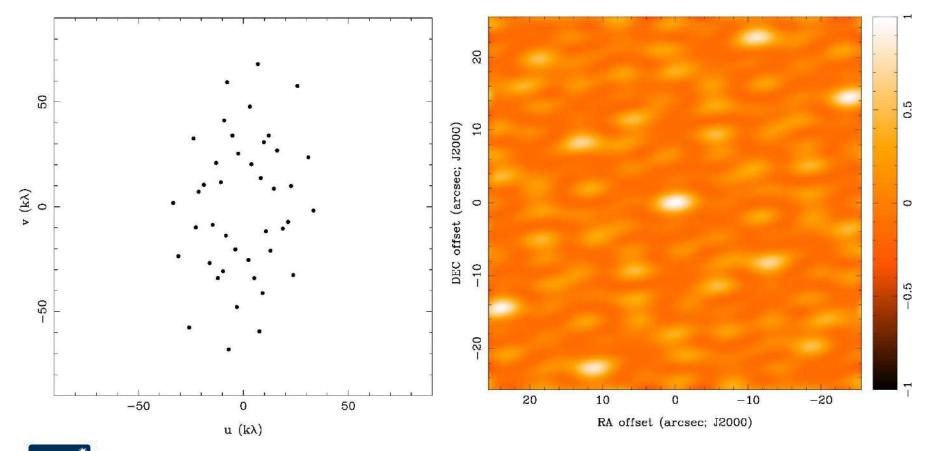






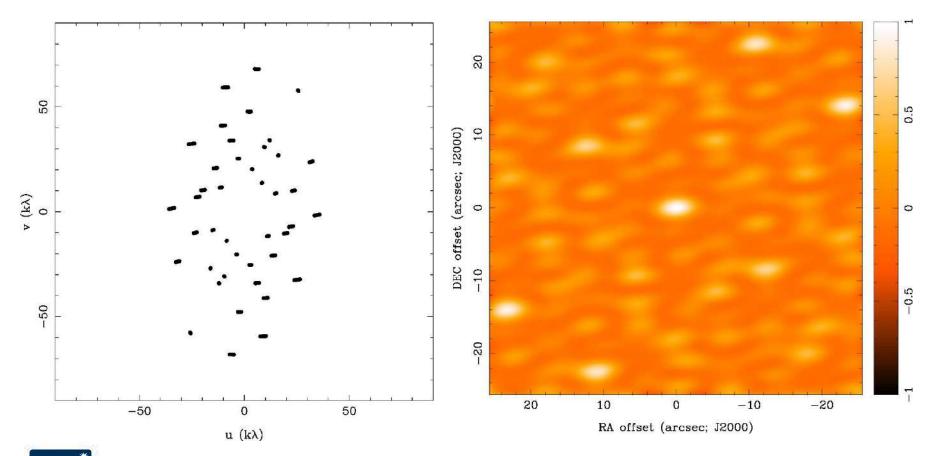






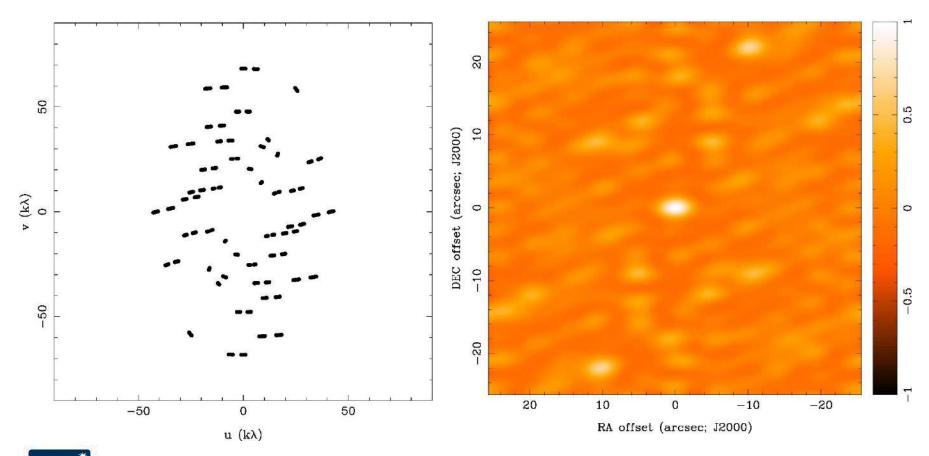


7 Antennas, 10 min



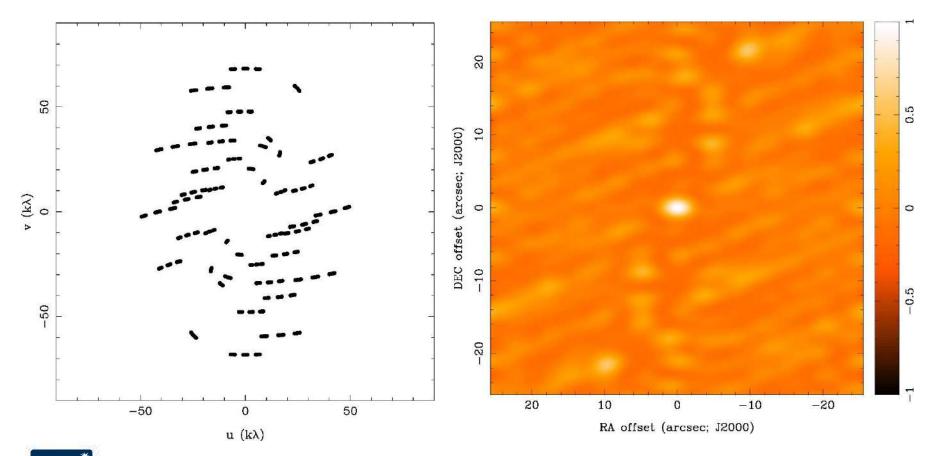


7 Antennas, 2 x 10 min





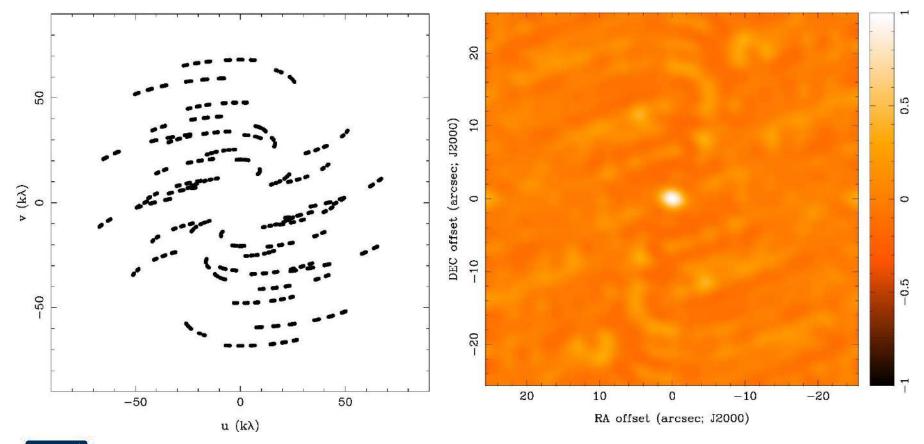
7 Antennas, I hour





#### Dirty Beam Shape and N Antennas

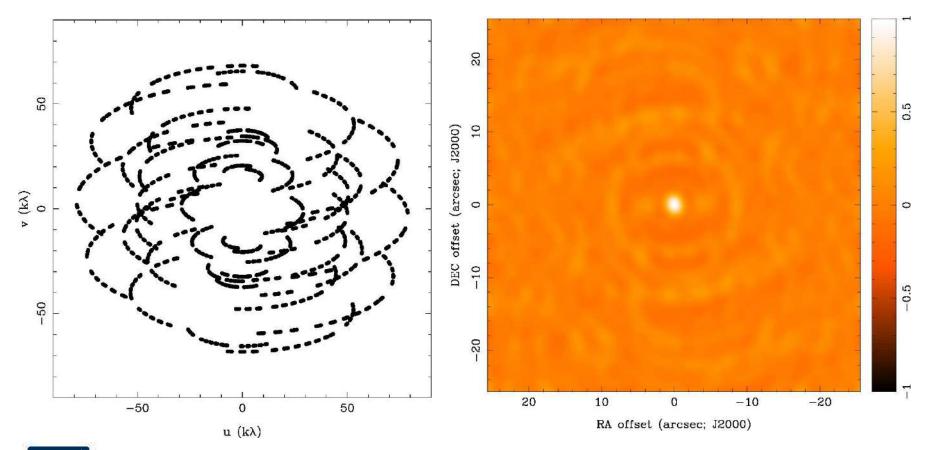
7 Antennas, 3 hours





## **Dirty Beam Shape and N Antennas**

7 Antennas, 8 hours





#### Calibrated Visibilities: What's Next?

- analyze directly V(u,v) samples by model fitting
  - good for simple structures, e.g. point sources, symmetric disks
  - sometimes for statistical descriptions of sky brightness
  - visibilities have very well defined noise properties
- recover an image from the observed incomplete and noisy samples of its Fourier transform for analysis
  - Fourier transform V(u,v) to get  $T^D(l,m)$
  - difficult to do science with the dirty image  $T^{D}(l,m)$
  - deconvolve s(l,m) from  $T^D(l,m)$  to determine a model of T(l,m)
  - work with the model of T(l,m)

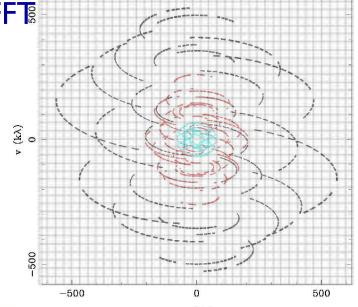


#### Some Details of the Dirty Image

- "Fourier transform"
  - Fast Fourier Transform (FFT) algorithm is much faster than simple Fourier summation, O(NlogN) for  $2^N \times 2^N$  image
  - FFT requires data on a regularly spaced grid
  - aperture synthesis does not provide V(u,v) on a regularly spaced grid, so...
- "gridding" used to resample V(u,v) for FF
  - customary to use a convolution method
  - special ("spheroidal") functions
     that minimize smoothing and aliasing

$$V^{G}(u, v) = V(u, v)S(u, v) * G(u, v)$$

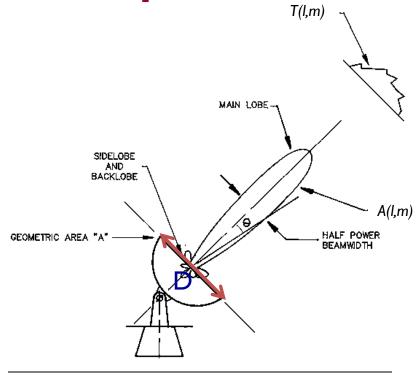
$$\xrightarrow{F} T^{D}(l, m)g(l, m)$$





#### **Antenna Primary Beam Response**

- antenna response A(I,m) is not uniform across the entire sky
  - main lobe = "primary beam"fwhm ~ λ/D
  - response beyond primary beam can be important ("sidelobes")
- antenna beam modifies the sky brightness distribution
  - $T(l,m) \rightarrow T(l,m)A(l,m)$
  - can correct with division by A(l,m) in the image plane
  - large source extents require multiple pointings of antennas
     = mosaicking













## Imaging Decisions: Pixel Size, Image Size

#### pixel size

satisfy sampling theorem for longest baselines

$$\Delta l < \frac{1}{2u_{max}} \qquad \Delta m < \frac{1}{2v_{max}}$$

- in practice, 3 to 5 pixels across main lobe of dirty beam to aid deconvolution
- e.g. at 870  $\mu$ m with baselines to 500 meters  $\rightarrow$  pixel size < 0.1 arcsec
- CASA "cell" size

#### image size

- natural choice is often the full extent of the primary beam A(l,m)
- e.g. SMA at 870  $\mu$ m, 6 meter antennas  $\rightarrow$  image size 2 x 35 arcsec
- if there are bright sources in the sidelobes of A(l,m), then the FFT will alias them into the image  $\rightarrow$  make a larger image (or equivalent)
- CASA "imsize"

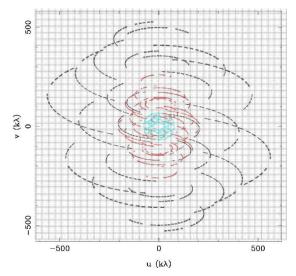


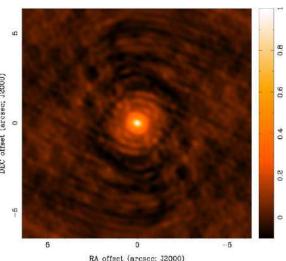
## Imaging Decisions: Visibility Weighting

- introduce weighting function W(u,v)
  - modifies sampling function
  - $S(u,v) \rightarrow S(u,v)W(u,v)$
  - changes s(l,m), the dirty beam shape

#### natural weight

- $W(u,v) = I/\sigma^2$  in occupied (u.v) cells, where  $\sigma^2$  is the noise variance, and W(u,v) = 0 everywhere else
- maximizes point source sensitivity
- lowest rms in image
- generally gives more weight to short baselines (low spatial frequencies), so
   angular resolution is degraded



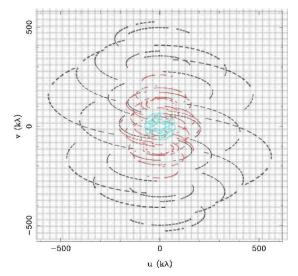


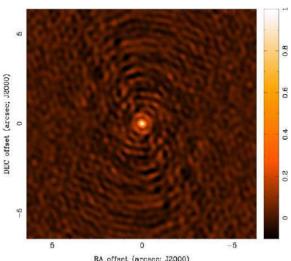


## Dirty Beam Shape and Weighting

#### uniform weight

- W(u,v) is inversely proportional to local density of (u,v) points
- sum of weights in a (u,v) cell = const (and 0 for empty cells)
- fills (u,v) plane more uniformly and dirty beam sidelobes are lower
- gives more weight to long baselines (high spatial frequencies), so angular resolution is enhanced
- downweights some data, so point source sensitivity is degraded
- can be trouble with sparse sampling:
   cells with few data points have same
   weight as cells with many data points







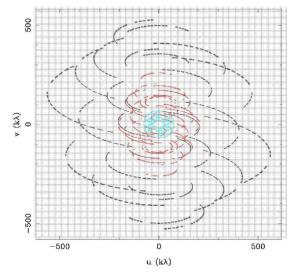
## Dirty Beam Shape and Weighting

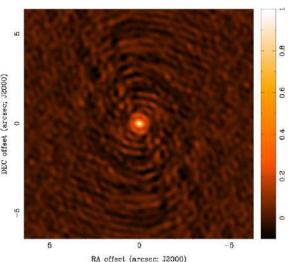
- robust (Briggs) weight
  - variant of uniform that avoids giving too much weight to (u.v) cells with low natural weight
  - software implementations differ

- e.g. 
$$W(u,v)=\frac{1}{\sqrt{1+S_N^2/S_{thresh}^2}}$$

 $S_N$  is natural weight of cell  $S_{thresh}$  is a threshold high threshold  $\rightarrow$  natural weight low threshold  $\rightarrow$  uniform weight

 an adjustable parameter allows for continuous variation between maximum point source sensitivity and resolution





## Dirty Beam Shape and Weighting

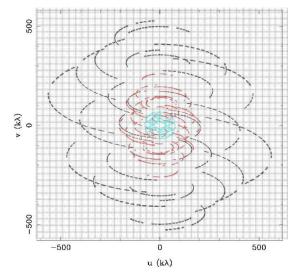
#### tapering

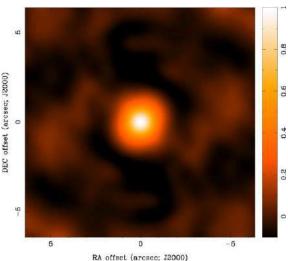
- apodize (u,v) sampling by a Gaussian

$$W(u,v) = \exp\left(-\frac{(u^2 + v^2)}{t^2}\right)$$

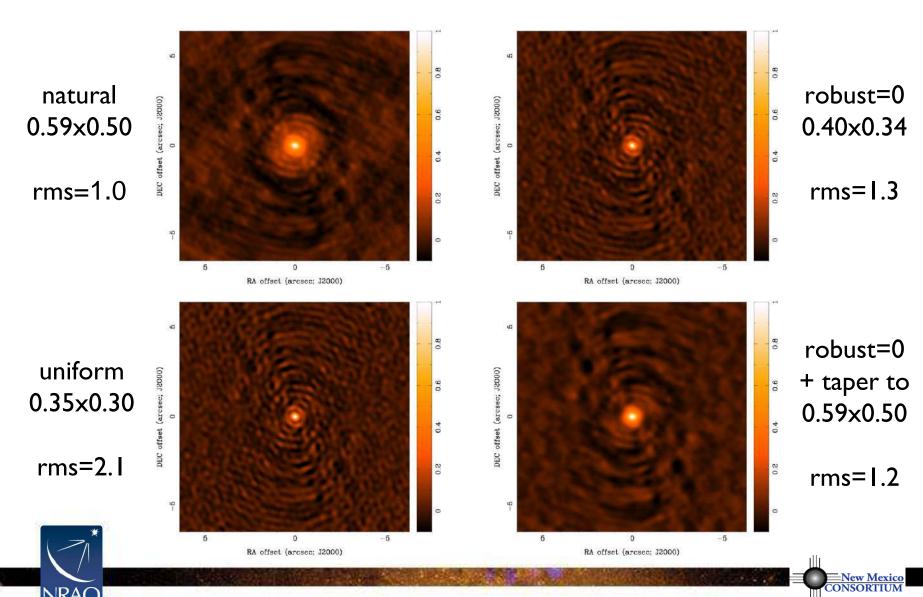
t = adjustable tapering parameter

- like smoothing in the image plane (convolution by a Gaussian)
- gives more weight to short baselines, degrades angular resolution
- downweights some data, so point source source sensivitity degraded
- may improve sensitivity to extended structure sampled by short baselines
- limits to usefulness





## Weighting and Tapering: Image Noise



## Weighting and Tapering: Summary

- imaging parameters provide a lot of freedom
- appropriate choices depend on science goals

	Robust/Uniform	Natural	Taper
resolution	higher	medium	lower
sidelobes	lower	higher	depends
point source sensitivity	lower	maximum	lower
extended source sensitivity	lower	medium	higher



## **Beyond the Dirty Image: Deconvolution**

- to keep you awake at night
  - $\exists$  an infinite number of T(l,m) compatible with sampled V(u,v), with "invisible" distributions R(l,m) where s(l,m)\*R(l,m)=0
    - no data beyond  $u_{max}$ , $v_{max} \rightarrow unresolved structure$
    - no data within  $u_{min}, v_{min} \rightarrow limit on largest size scale$
    - holes in between → synthesized beam sidelobes
  - noise  $\rightarrow$  undetected/corrupted structure in T(l,m)
  - no unique prescription for extracting optimum estimate of T(l,m)

#### deconvolution

- uses non-linear techniques to interpolate/extrapolate samples of V(u,v) into unsampled regions of the (u,v) plane
- aims to find a sensible model of T(l,m) compatible with data
- requires a priori assumptions about T(l,m) to pick plausible "invisible" distributions to fill unmeasured parts of the Fourier plane

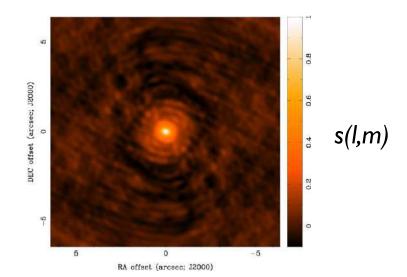
#### **Deconvolution Algorithms**

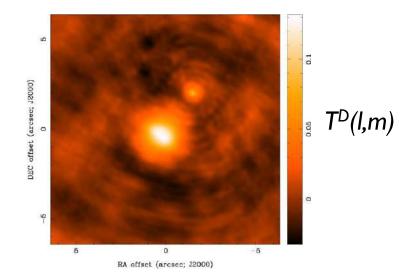
- an active research area, e.g. compressive sensing methods
- clean: dominant deconvolution algorithm in radio astronomy
  - a priori assumption: T(l,m) is a collection of point sources
  - fit and subtract the synthesized beam iteratively
  - original version by Högbom (1974) purely image based
  - variants developed for higher computational efficiency, model visibility subtraction, to deal better with extended emission structure, etc.
- maximum entropy: a rarely used alternative
  - a priori assumption: T(l,m) is smooth and positive
  - define "smoothness" via a mathematical expression for entropy, e.g.
     Gull and Skilling (1983), find smoothest image consistent with data
    - vast literature about the deep meaning of entropy as information content

### **Basic clean Algorithm**

- initialize

   a residual map to the dirty map
   a Clean Component list
- I. identify the highest peak in the residual map as a point source
- 2. subtract a fraction of this peak from the residual map using a scaled dirty beam, s(l,m) x gain
- 3. add this point source location and amplitude to the Clean Component list
- 4. goto step I (an iteration) unless stopping criterion reached







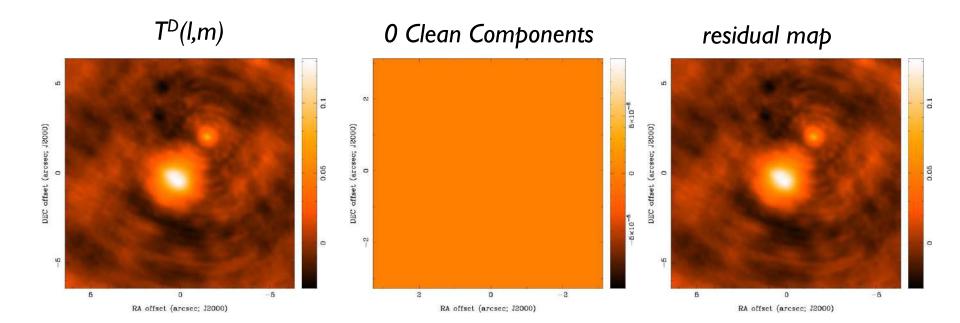
## Basic clean Algorithm (continued)

- stopping criteria?
  - residual map maximum < threshold = multiple of rms (if noise limited)</p>
  - residual map maximum < threshold = fraction of dirty map maximum (if dynamic range limited)
  - maximum number of Clean Components reached (no justification)
- loop gain?
  - good results for g=0.1 to 0.3
  - lower values can work better for smoother emission, g=0.05
- easy to include a priori information about where in dirty map to search for Clean Components (using "boxes" or "masks")
  - very useful but potentially dangerous
- Schwarz (1978) showed that the clean algorithm is equivalent to a least squares fit of sinusoids to visibilities in the case of no noise

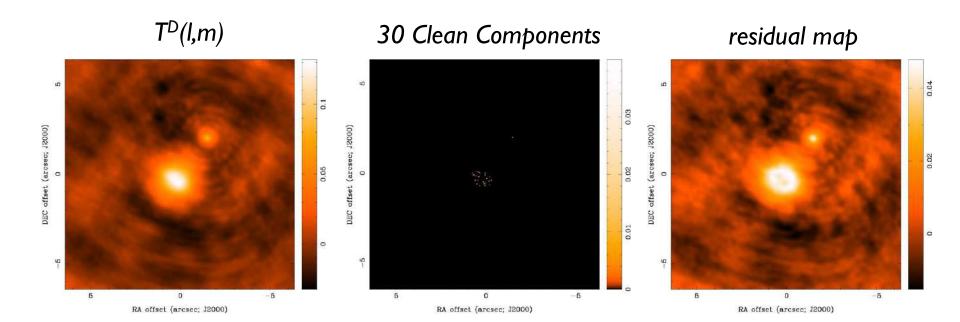


#### **Basic clean Algorithm (continued)**

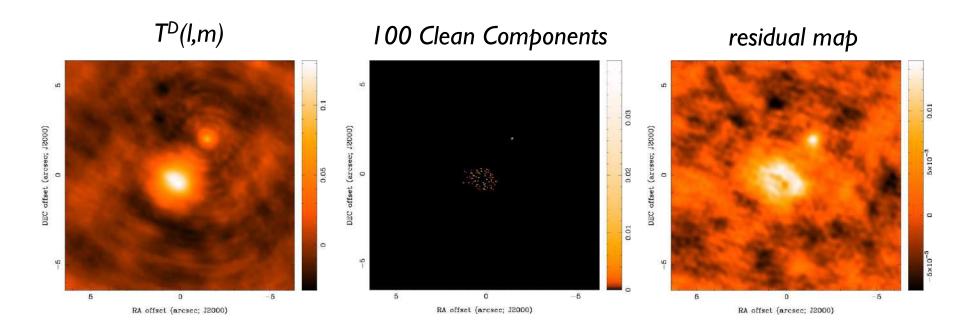
- last step: make "restored" image
  - make a model image with all point source Clean Components
  - convolve point sources with an elliptical Gaussian, fit to the main lobe of the dirty beam ("clean beam"); avoids super-resolution of model
  - add residual map of noise and source structure below the threshold
- resulting restored image is an estimate of the true sky brightness T(l,m)
- units of the restored image are (mostly) Jy per clean beam area
  - = intensity (or brightness temperature)
- for most weighting schemes, there is information in the image from baselines that sample high spatial frequencies within the clean beam fwhm, so modest super-resolution may be OK
- the restored image does not actually fit the observed visibilities



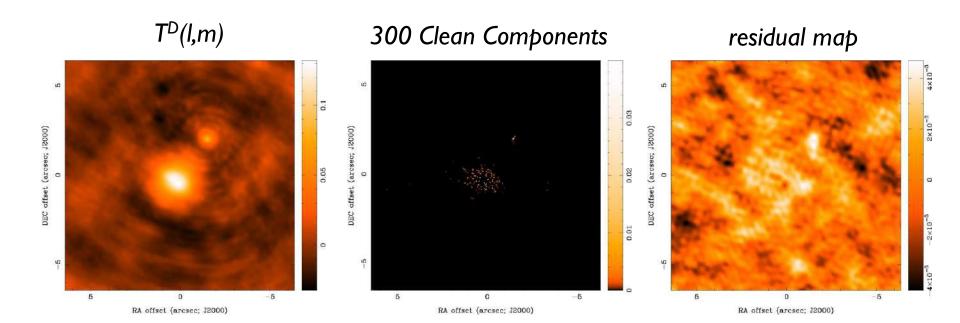




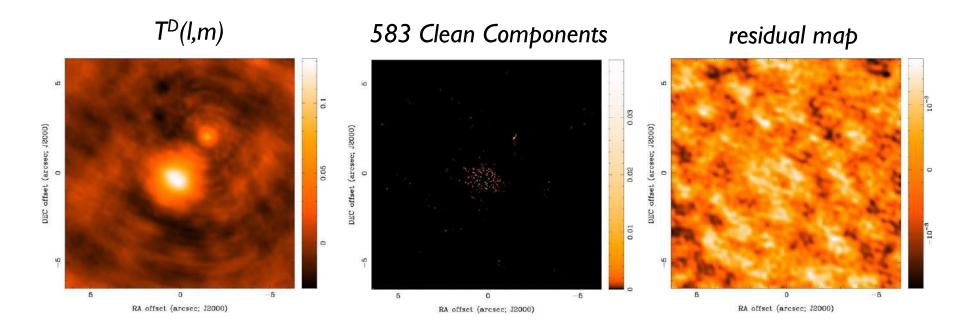




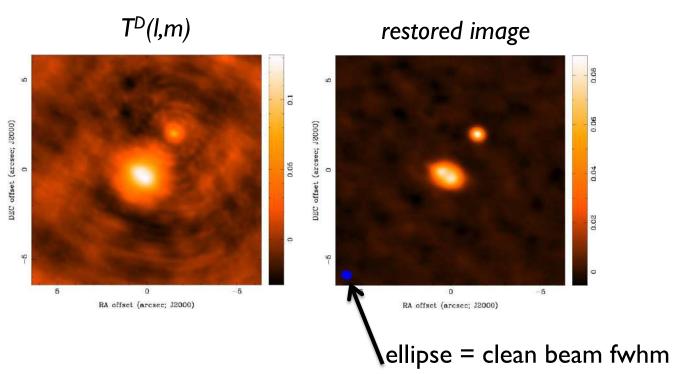












final image depends on

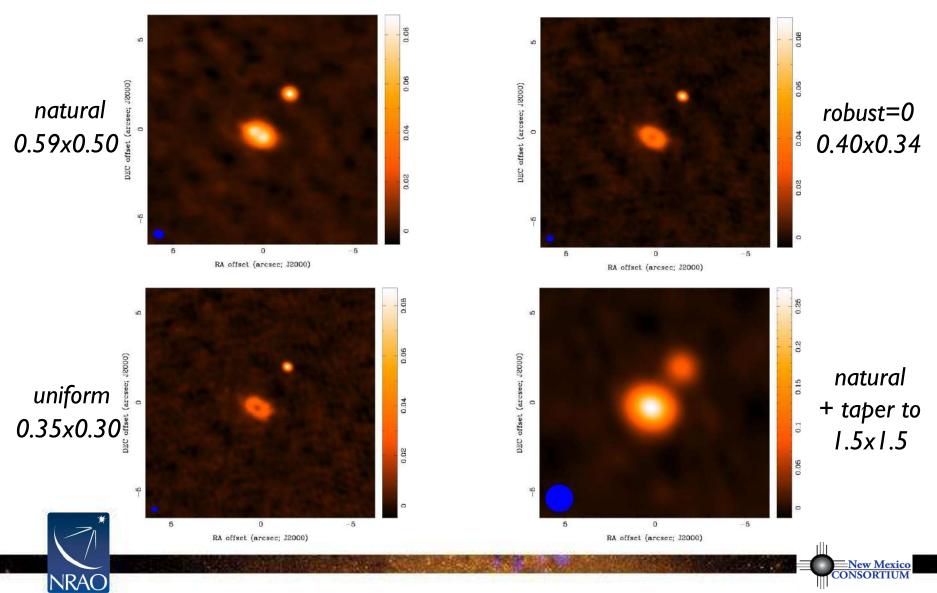
imaging parameters (pixel size, visibility weighting scheme, gridding) and deconvolution (algorithm, iterations, masks, stopping criteria)

#### **CASA** clean filename extensions

- <imagename>.image
  - final clean image (or dirty image if niter=0)
- <imagename>.psf
  - point spread function (= dirty beam)
- <imagename>.model
  - image of clean components
- <imagename>.residual
  - residual after subtracting clean components
     (use to decide whether or not to continue clean)
- <imagename>.flux
  - relative sensitivity on the sky
  - pbcor = True divides .image by .flux

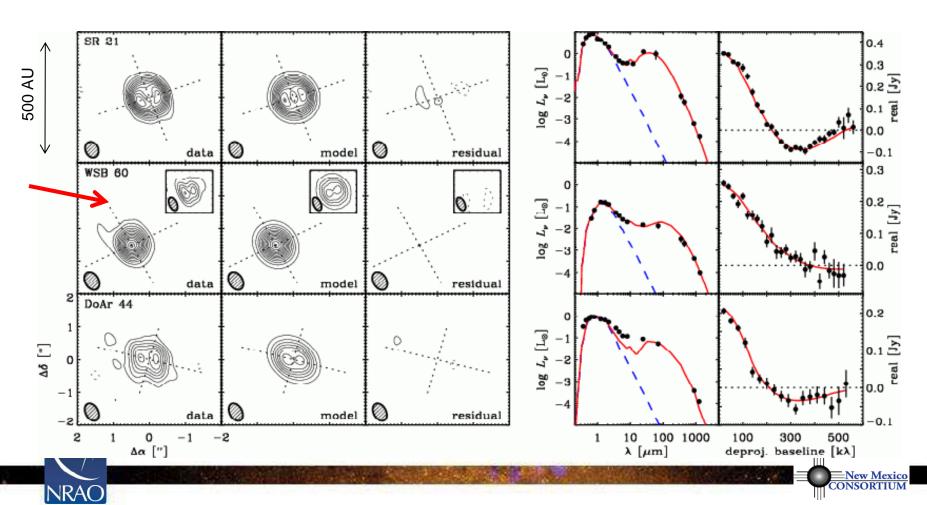


## Results from Different Weighting Schemes



## Tune Resolution/Sensitivity to suit Science

• example: SMA 870 µm images of protoplanetary disk dust continuum emission with resolved inner cavities (Andrews et al. 2009, ApJ, 700, 1502)



### Scale Sensitive Deconvolution Algorithms

- basic clean (or Maximum Entropy) is scale-free and treats each pixel as an independent degree of freedom: no concept of source size
- adjacent pixels in an image are not independent
- an extended source covering 1000 pixels might be characterized by just a few parameters, not 1000 parameters (e.g. an elliptical Gaussian with 6 parameters: x, y, amp, major fwhm, minor fwhm, position angle)
- scale sensitive deconvolution algorithms try to employ fewer degrees of freedom to model plausible sky brightness distributions
- MS Clean (Multi-Scale Clean)
- Adaptive Scale Pixel (Asp) Clean
- yields promising results on extended emission



#### "Invisible" Large Scale Structure

- missing short spacings can be problematic for large scale structure
- to estimate? simulate observations, or check simple expressions for a Gaussian or unform disk (appendix of Wilner & Welch 1994, ApJ, 427, 898)

#### Homework Problem

- Q: By what factor is the central brightness reduced as a function of source size due to missing short spacings for a Gaussian characterized by fwhm  $\theta_{1/2}$ ?
- A: a Gaussian source central brightness is reduced 50% when

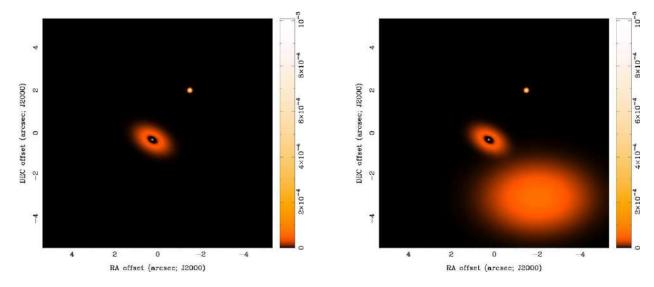
$$\theta_{1/2} = 18'' \left(\frac{\nu}{100 \ GHz}\right)^{-1} \left(\frac{B_{min}}{15 \ meters}\right)^{-1}$$

where  $B_{min}$  is the shortest baseline [meters], U is the frequency [GHz]



#### Missing Short Spacings: Demonstration

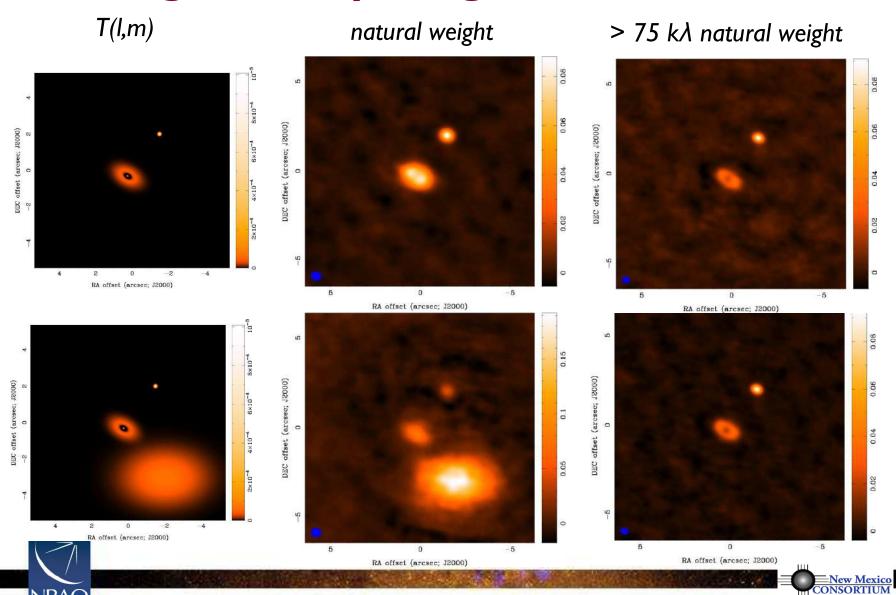
- important structure may be missed in central hole of (u,v) coverage
- Do the visibilities observed in our example discriminate between these two models of the sky brightness distribution T(l,m)?



Yes... but only on baselines shorter than about 75 kλ



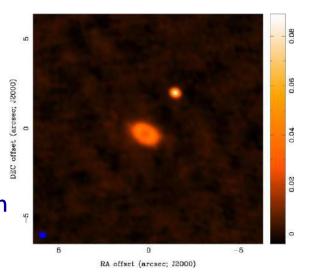
#### Missing Short Spacings: Demonstration



#### **Measures of Image Quality**

#### dynamic range

- ratio of peak brightness to rms noise in a region void of emission
- easy way to calculate a lower limit to the error in brightness in a non-empty region
- e.g. peak = 89 mJy/beam, rms = 0.9 mJy/beam  $\rightarrow$  DR = 89/0.9 = 99



#### fidelity

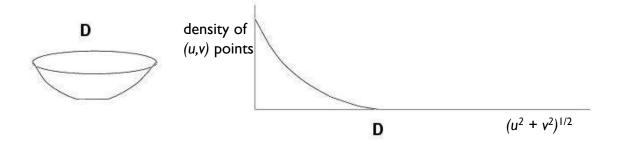
- difference between any produced image and the correct image
- fidelity image = input model / difference
  - = model \* beam / abs(model \* beam reconstruction)
  - = inverse of the relative error

need knowledge of the correct image to calculate



## **Techniques to Obtain Short Spacings**

use a large single dish telescope



- all Fourier components from 0 to D sampled, where D is dish diameter (weighting depends on illumination)
- scan single dish across sky to make an image T(l,m) \* A(l,m) where A(l,m) is the single dish response pattern
- Fourier transform single dish image, T(l,m) \* A(l,m), to get V(u,v)a(u,v) and then divide by a(u,v) to estimate V(u,v) for baselines < D
- choose D large enough to overlap interferometer samples of V(u,v) and avoid using data where a(u,v) becomes small, e.g. VLA & GBT

## Techniques to Obtain Short Spacings

#### use a separate array of smaller antennas

- small antennas can observe short baselines inaccessible to larger ones
- the larger antennas can be used as single dish telescopes to make images with Fourier components not accessible to the smaller antennas
- example: ALMA main array + ACA

main array  $50 \times 12m$ : 12m to 14+ km

ACA

12 x 7m: covers 7-12m

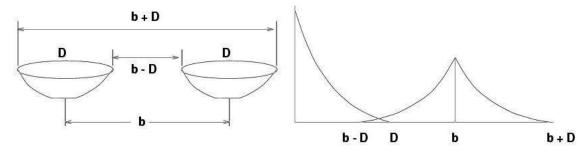
 $4 \times 12$ m single dishes: 0-7m



### **Techniques to Obtain Short Spacings**

#### mosaic with a homogeneous array

 recover a range of spatial frequencies around the nominal baseline b using knowledge of A(I,m), shortest spacings from single dishes (Ekers & Rots 1979)



- V(u,v) is a linear combination of baselines from b-D to b+D
- depends on pointing direction  $(l_0, m_0)$  as well as on (u, v)

$$V(u, v; l_0, m_0) = \int \int T(l, m) A(l - l_0, m - m_0) e^{i2\pi(ul + vm)} dl dm$$

• Fourier transform with respect to pointing direction  $(l_0, m_0)$ 

$$V(u-u_0, v-v_0) = \left(\int \int V(u, v; l_0, m_0) e^{i2\pi(u_0 l_0 + v_0 m_0)} dl_0 dm_0\right) / a(u_0, v_0)$$



#### **Self Calibration**

- a priori calibration using external calibrators is not perfect
  - interpolated from different time, different sky direction from source
- basic idea of self calibration is to correct for antenna based phase and amplitude errors together with imaging to create a source model
- works because
  - at each time, measure N complex gains and N(N-1)/2 visibilities
  - source structure can be represented by a small number of parameters
  - a highly overconstrained problem if N large and source simple
- in practice, an iterative, non-linear relaxation process
  - assume source model  $\rightarrow$  solve for time dependent gains  $\rightarrow$  form new source model from corrected data using e.g. clean  $\rightarrow$  solve for new gains
  - requires sufficient signal-to-noise at each solution interval
- loses absolute phase from calibrators and therefore position information
- dangerous with small N arrays, complex sources, marginal signal-to-noise



#### **Concluding Remarks**

- interferometry samples Fourier components of sky brightness
- make an image by Fourier transforming sampled visibilities
- deconvolution attempts to correct for incomplete sampling
- remember
  - there are an infinite number of images compatible with the visibilities
  - missing (or corrrupted) visibilities affect the entire image
- astronomers must use judgement in the imaging and deconvolution process
- it's fun and worth the trouble  $\rightarrow$  high angular resolution images!

many, many issues not covered in this talk: see References and upcoming talks



# **END**

