# The Cedilleum Language Specification Syntax, Typing, Reduction, and Elaboration

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November 21, 2018

### 1 Introduction

The document describes *Cedilleum*, a general-purpose dependently typed programming language with inductive datatypes. Unlike most languages of this description, the underlying theory of Cedilleum is *not* the Calculus of Inductive Constructions (CIC)[PM15]. Instead, Cedilleum is designed so that it may easily be translated to *Cedille Core* – a compact core theory in which induction is derivable for lambda-encoded datatypes – while still providing high-level features like pattern-matching and recursive definitions. That said, the formal specification of Cedilleum as a self-contained language has a lot in common with CIC – see in particular Section 8 of [Inr18], which served as the basic template for much of this document's formal development.

### 1.1 Data-type Declarations

Before diving into the details, let us take a bird's-eye view of the language by showing some simple example data-type definitions and functions over them.

```
-- Non-recursive
data Bool: * =
    | tt: Bool
    | ff: Bool
.
-- Recursive
data Nat: * =
    | zero: Nat
    | succ: Nat → Nat
.
-- Recursive, parameterized, indexed
data Vec (A: *): Nat → * =
    | vnil : Vec zero
    | vcons: ∀ n: Nat. A → Vec n → Vec (succ n)
```

Figure 1: Definition of natural numbers and length-indexed lists

Figure 1 shows some definitions of inductive datatypes, and modulo differences in syntax should seem straightforward to programmers that have used languages like Agda, Idris, or Coq. The key things to note are:

In constructor type signatures, recursive occurrences of the inductive data-type being defined (such as in suc : Nat → Nat) must be positive, but not strictly positive.

- In parameterized types (like Vec with parameter (A: \*)) occurrences of the inductive type being defined are not written applied to its parameters.
  - For example, the constructor declaration vnil : Vec zero results in the term vnil having type  $\forall$  A:  $\star$ . Vec  $\cdot$ A zero (with  $\cdot$  denoting type application)
- In the constructor declaration vcons: ∀ n: Nat. A → Vec n → Vec (succ n), the argument n is computationally irrelevant (also called erased). This is because it is introduced by the irrelevant dependent function former ∀, as opposed to the relevant function former Π. More will be said of this when we discuss the type system of Cedilleum, but for now it suffices to say that this idea comes from the Implicit Calculus of Constructions [Miq01]

#### 1.2 Function Definitions

```
-- Non-recursive
ite : \forall X: \star. Bool \rightarrow X \rightarrow X
   = \Lambda X. \lambda b. \lambda then. \lambda else. \mu' b {
          | tt \rightarrow then
          \mid ff \rightarrow else
          }.
\mathtt{pred} : \mathtt{Nat} \to \mathtt{Nat}
   = \lambda n. \mu' n {
          zero
          | succ n' \rightarrow n'
          }
-- Recursive
\mathtt{add} \; : \; \mathtt{Nat} \; \to \; \mathtt{Nat} \; \to \; \mathtt{Nat}
   = \lambda n. \lambda m.
          \mu add-rec. n Q(\lambda x: Nat. Nat) {
          zero
                         \rightarrow m
          \mid succ p \rightarrow succ (add-rec p)
          }.
```

Figure 2: Functions over inductive datatypes

Figure 2 shows functions defined over inductive datatypes using pattern matching and recursion. The first difference to note between the definitions is that functions ite and pred perform "mere" pattern matching on their arguments by using  $\mu$ ', whereas add uses  $\mu$  which provides combined pattern-matching and fix-point recursion. In add,  $\mu$  binds add-rec as the name of the fixpoint function for recursion on n. From this alone the reader might expect that  $\mu$ ' is merely syntactic sugar for the more verbose  $\mu$ , just without recursion. Actually the difference is a bit more subtle that this, as we will see shortly.

The first major departure of Cedilleum from other languages with inductive datatypes can be seen in the type of add-rec. Ordinarily, its type would be  $\Pi$  x: Nat. Nat (corresponding to the motive  $(\lambda \text{ x: Nat.Nat})$ ) – but in Cedilleum, its type is Nat/add-rec  $\to$  Nat (where we read Nat/add-rec as a single identifier) and by extension for the expression add-rec p to be well-typed, the p bound in the pattern succ p must have type Nat/add-rec and not the more usual Nat. The type Nat/add-rec is automatically generated by Cedilleum by combining the name of the inductive datatype Nat with the of the function add-rec bound by  $\mu$ . Why? For recursive functions in Cedilleum, termination is guaranteed by the type system and not by a separate syntactic check for structurally-decreasing arguments. The type Nat/add-rec indicates the types of those terms which the function add-rec may legally take as arguments without risking non-termination, and within a case branch variables of this type are introduced in the place of recursive arguments to constructors – that is, p in the pattern succ p. In Section 1.3, we will see more of

how to use "recursive occurence" types like Nat/add-rec, including how to do further pattern matching on them and perform conversion back to the original type. For now it suffices to consider them an artifice for type-guided termination.

```
-- Recursive, parameterized, indexed vappend: \forall A: \star. \forall m: Nat. \forall n: Nat. Vec ·A m \rightarrow Vec ·A n \rightarrow Vec ·A (add m n) = \Lambda A. \Lambda m. \Lambda n. \lambda xs. \lambda ys. \mu vappend-rec. xs @(\lambda i: Nat. \lambda zs: Vec ·A i. Vec ·A (add i n)) { | vnil \rightarrow ys | vcons -m' x xs' \rightarrow [ zs = vappend-rec -m' xs' ] - vcons -(add m' n) x zs }.
```

Figure 3: Dependent functions over inductive datatypes

Figure 3 shows the classic dependent function vappend over length-indexed lists Vec. Like add, it is defined by fixpoint recursion, here over the argument xs, and as with add-rec the fixpoint function vappend-rec has type  $\forall$  i: Nat.  $\Pi$  zs: Vec/vappend-rec i. Vec ·A (add i n), where the  $\forall$  indicates that i is an *irrelevant* argument. Note again the missing parameter A in the type Vec/vappend-rec i – this is not a typo, but rather an indication that A is "baked-in" to the type Vec/vappend-rec. Aside from this the two cases of vappend are mostly straightforward: in the vnil branch the expected type is Vec ·A (add zero n) which converts to Vec ·A n, so ys suffices; in the vcons branch we bind subdata m': Nat, x: A, and xs': Vec/vappend-rec m', with -m' indicating that m' is bound *irrelevantly*, then we make a local biding zs by invoking vappend recursively on m' and xs' (where again the -m' indicates m' is an *irrelevant* argument to vappend-rec) before producing a result whose type is convertible with the expected Vec ·A (add (suc m') n).

#### 1.3 Course-of-Value Recursion

We study more closely the strange types Nat/add-rec and Vec/append-rec. The reader may well ask whether they serve any purpose other than marking what recursive calls are legal in a function – and the answer is yes! Cedilleum uses types like these to support *course-of-value* recursion, which is to say recursion schemes that can dig arbitrarily deeply into the recursive occurences of data to compute results. As a motivating example, we now show how we can define division on natural numbers in Cedilleum using course-of-value recursion.

Languages with inductive datatypes and recursive function definitions that also wish to have their type systems interpreted as sound logics must address the issue of termination, because the principle of general recursion  $\forall$  A:  $\star$ . (A  $\rightarrow$  A)  $\rightarrow$  A allows one to inhabit every type – including ones we want to be uninhabited! To that end, most such languages perform some terminiation check separate from type checking that usually involves making sure that arguments to recursive calls are getting structurally smaller to ensure that eventually a base case is reached. This check is necessarily conservative (i.e. it will not accept all terminating functions), and the classic example of a function that is not "obviously" terminating is division on natural numbers by iterated subtraction. Intuitively, we understand that subtracting n from m never produces a number larger than m – but it can be tricky to explain this to the termination checker!

The definition of division is given in Figure 4. We start by defining some straightforward preliminaries: iterate for applying a function some number of times to a base case, minus for subtraction, and lt for a (boolean) predicate to test whether its first argument is less than its second. In divide we kick off recursion on the numerator m, and in the base case where it is zero we return zero. When it is non-zero, we locally define m' by using fromNat/divide-rec to cast the sub-data r of type Nat/divide-rec back to Nat, where fromNat/divide-rec is another automatically-generated name in-scope of the body of the  $\mu$  definition. We then use ite (mnemonic for "if-then-else") to see whether our current numerator m' is less than the denominator, and if so return zero.

```
iterate : \forall R: \star. Nat \rightarrow (R \rightarrow R) \rightarrow R \rightarrow R
   = \Lambda R. \lambda n. \lambda f. \lambda x.
          \mu iterate-rec. n \mathbb{Q}(\lambda _: Nat. Nat) {
          zero

ightarrow r
          | succ r' \rightarrow f (iterate-rec r')
         }.
\mathtt{minus} \; : \; \mathtt{Nat} \; \to \; \mathtt{Nat} \; \to \; \mathtt{Nat}
   = \lambda m. \lambda n.
         \mu minus-rec. n Q(\lambda : Nat. Nat) {
          zero
                       \rightarrow m
          \mid succ r \rightarrow pred (minus-rec r)
          }.
\mathtt{lt} \; : \; \mathtt{Nat} \; \rightarrow \; \mathtt{Nat} \; \rightarrow \; \mathtt{Bool}
   = \lambda m. \lambda n.
         \mu' (minus m n) {
          zero

ightarrow ff
          | succ r \rightarrow tt
          }.
\mathtt{divide} \,:\, \mathtt{Nat} \,\to\, \mathtt{Nat} \,\to\, \mathtt{Nat}
   = \lambda m. \lambda n.
         \mu divide-rec. m Q(\lambda : Nat. Nat) {
          lzero

ightarrow zero
          | succ r \rightarrow [ m' = succ (fromNat/divide-rec r) ]
             - ite (lt m' n) zero
                   ([ pred' = \lambda x: Nat/divide-rec.
                           \mu' x {| zero \rightarrow x | succ x' \rightarrow x'} ]
                    - succ (divide-rec (iterate (pred n) pred' r)))
          }.
```

Figure 4: Histomorphic recursion and division

The real action happens when the current numerator is larger than our denominator, as we must make a recursive call to divide-rec after subtracting (via iterated predecessor) n from m'. We declare a version of predecessor pred' to operator over a term of type Nat/divide-rec and define it in terms of  $\mu$ '. This is the key to enabling histomorphic recursion –  $\mu$ ' allows for pattern matching on both the "concrete" Nat and "abstracted" Nat/divide-rec and can be iterated arbitrarily (here pred n times), but it cannot be used to kick off its own recursion and cannot produce terms of the recursion-abstracted type Nat/divide-rec that are larger than its input. Having define pred' this way, the rest of the definition is straightforward: recursively call divide-rec after performing "abstracted" subtraction via iterated predecessor on our denominator (iterate (pred n) pred' r), and increment the result.

#### 1.4 Reasoning via Induction

Figure 5 shows a simple proof that zero is the right identity of add using induction on Nat. At a high level, it proceeds mostly as usual, but in the inductive succ case some care must be taken to mediate between the motive  $\lambda$  x: Nat. {add x zero  $\simeq$  x} phrased over Nats, the type of ih, and the type expected for the result of each branch. Previously, we saw for the non-dependent case that  $\mu$ -bound recursive functions like ih accept as arguments recursive-occurrence types like Nat/ih; in the dependent case, the result type / proof always mentions the bound variable as being casted back to the original type. In this particular example, the type of ih is therefore  $\Pi$  x: Nat/ih. {add (fromNat/ih x) zero  $\simeq$  fromNat/ih x}.

As for the branches, in the base case zero the required branch type is the usual {add zero zero  $\simeq$  zero}

Figure 5: A proof via induction

which comes from simple  $\beta$ -reduction. In the step case succ  $\mathbf{r}$  the required branch type is more subtle – {add (succ (fromNat/ih  $\mathbf{r}$ )) zero  $\simeq$  succ (fromNat/ih  $\mathbf{r}$ )}, where the cast function has been pushed into the constructor arguments. (In general the sub-data for such constructors is  $\eta$ -expanded in the motive until the cast has a target to apply to – see Section 1.6 for more). Here, we first invoke ih to produce eq of type {add (fromNat/ih  $\mathbf{r}$ ) zero  $\simeq$  fromNat/ih  $\mathbf{r}$ }. Next, we need to get the type system to  $\beta$ -reduce the expected branch type a bit so that we can rewrite by eq. To that end, we check the remainder of branch with  $\chi$  against the type {succ (add (fromNat/ih  $\mathbf{r}$ ) zero)  $\simeq$  succ (fromNat/ih  $\mathbf{r}$ )}, which is convertible with the expected type (note that the difference is that constructor succ has been pushed outside of the call to add). Finally, we can use  $\rho$  to rewrite this expected type by the equality eq, producing expected type {succ (fromNat/ih  $\mathbf{r}$ )} which is true  $\beta$ , the reflexivity rule for equality.

### 1.5 Reduction Rules of $\mu$ and $\mu$ '

In the preceding section there was a tiny white lie. The expected type corresponding to the branch succ r is {add (succ (fromNat/ih r)) zero  $\simeq$  succ (fromNat/ih r)}, and we said we used the type annotation construct  $\chi$  to get this to  $\beta$ -reduce to a suitable type. However, using  $\beta$ -reduction alone, the normalized branch type is actually

First, the arguments  $\mathtt{succ}$  (fromNat/ih r) and zero are substituted into the body of add. Then, we note that the scrutinee  $\mathtt{succ}$  (fromNat/ih r) of  $\mu$  matches one of the given branches (the  $\mathtt{succ}$  branch), so by what is known as  $\delta$ -reduction we replace the entire  $\mu$  expression with the branch body, substituting the variable p bound at the branch with the value (fromNat/ih r). Constructs  $\mu$  and  $\mu$ ' have this  $\delta$ -reduction in common, and if we were normalzing a  $\mu$ ' expression this would be the end of the reduction step. For  $\mu$  however, if we just stopped here we would have a problem – the result of substitution would be  $\mathtt{succ}$  (add-rec (fromNat/ih r)), but add-rec was bound by the  $\mu$  expression we just removed! This is remedied by substituting it away for the original  $\mu$  expression, producing the expected type given above.

There is one more form of reduction that may surprise the reader, and that is the behavior of the generated  $\mu$ -bound casting functions like fromNat/ih. The expression fromNat/ih r actually reduces, in a single step, to r! This may seem quite strange, as these two expressions do not even have the same type, but Cedilleum (like Cedille) is an extrinsic type theory in which a single (untyped) term can be given ascribed types and in which type preservation does not hold. For more on this, see [Stu18].

### 1.6 Non-strictly Positive Datatypes

In the preceding sections, we have that seen "cast" functions like Nat/ih (in Figure 5) show up in the the expected type of a case branch, and also have noted already that Cedilleum allows for positive but not

strictly positive data type defintions. We now take a look at how these two things interact.

```
data PTree : * =
   | leaf : PTree
   \mid node : ((PTree \rightarrow Bool) \rightarrow PTree) \rightarrow PTree
{\tt PTreeSel} \; : \; \star \; = \; ({\tt PTree} \; \rightarrow \; {\tt Bool}) \; \rightarrow \; {\tt PTree}.
indTree : \forall P: PTree \rightarrow \star.
     P leaf 	o (\forall s: PTreeSel. (\Pi p: PTree 	o Bool. P (s p)) 	o P (node s))

ightarrow \Pi t: PTree. P t
   = \Lambda P. \lambda base. \lambda step. \lambda t. \mu ih. t @(\lambda x: PTree. P x) {
         | leaf

ightarrow base
         \mid node s \rightarrow
            [ conv-p : (PTree 
ightarrow Bool) 
ightarrow PTree/ih 
ightarrow Bool
               = \lambda p. \lambda r. p (fromPTree/ih r) ]
            - [ s' : PTreeSel = \lambda p. fromPTree/ih (s (conv-p p)) ]
              step -s' (\lambda p. ih (s (conv-p p)))
        }.
```

Figure 6: A non-strictly positive infinitary tree

Figure 6 presents a definition of PTree, an infinitary tree which is not strictly positive in the node constructor, and a proof of induction for it using  $\mu$ . One intuition for what kind of terms inhabit PTree is "at a node, there must be some way of selecting one PTree (of infinitely many) from some partition PTree  $\rightarrow$  Bool". The branch given by pattern leaf is the base case, requring a proof of P leaf which we have by assumption. For the step case given by the branch for pattern node, the expected type is the trickier P (node s'), where s' is defined as above. Recall that in our branch the constructor arguments have all recursive occurrences of their inductive type replaced with a special "abstracted" version. In the case of leaf, the subdata s has type (PTree/ih  $\rightarrow$  Bool)  $\rightarrow$  PTree/ih. The the naive expected branch type P (node s) given by simply substituting the pattern in for the bound x given in the motive is not well-kinded! To fix this we  $\eta$ -expand and cast using fromPTree/ih as needed to produce the appropriate expected type.

Now we examine the body of the node branch itself more closely. First, we need a way to convert any "partition" p of type PTree  $\rightarrow$  Bool to PTree/ih  $\rightarrow$  Bool so that it can operate over terms of the abstracted type. With this we can do the same for our selector s, producing s'. Finally, we invoke step so that we can have prove P (node s') as desired. The second argument of step requires a proof that P (s'p) holds for any p. To show this, we take the assumed p, "weaken" it to work over the abstracted recursive types with conv-p, and pass this to s (and not s'!) to produce a value of type PTree/ih suitable for consumption by ih, which has type  $\Pi$  t: PTree/ih. P (fromPTree/ih t). Note again that the proof we need to give here, P (s'p), is convertible with the proof P (fromPTree/ih (s (conv-p p))) that the call to ih actually returns.

# 2 Syntax

Identifiers We now turn to a more formal treatment of Cedilleum. Figure 7 gives the metavariables used in our grammar for identifiers. We consider all identifiers as coming from two distinct lexical "pools" – regular identifiers (consisting of identifiers id given for modules and definitions, term variables u, and type variables X) and kind identifiers  $\kappa$ . In Cedilleum source files (as in the parent language Cedille) kind variables should be literally prefixed with  $\kappa$  – the suffix can be any string that would by itself be a legal non-kind identifier. For example, myDef is a legal term / type variable and a legal name for a definition, whereas  $\kappa$ myDeff is only legal as a kind definition.

```
\begin{array}{lll} id & & \text{identifiers for definitions} \\ u,c & & \text{term variables} \\ X & & \text{type variables} \\ \kappa & & \text{kind variables} \\ x & ::= & id \mid u \mid X & \text{non-kind variables} \\ y & ::= & x \mid \kappa & \text{all variables} \end{array}
```

Figure 7: Identifiers

Figure 8: Untyped terms

**Untyped Terms** The grammar of pure (untyped) terms the untyped  $\lambda$ -calculus augmented with a primitives for combination fixed-point and pattern-matching definitions (and an auxiliary pattern-matching construct).

```
::= module id \cdot imprt^* \ cmd^*
                                                        module declarations
mod
                                                         module imports
imprt
                       import id.
                  ::=
                  ::= defTermOrType
                                                         definitions
cmd
                       defDataType
                       defKind
                      id \ checkType^? = t.
defTermOrType ::=
                                                         term definition
                       id: K = T.
                                                         type definition
defKind
                      \kappa = K
                                                        kind definition
                  ::=
defDataType
                       data id \ param^* : K = constr^*.
                                                        datatype definitions
                  ::=
checkType
                  ::= :T
                                                         annotation for term definition
param
                  ::= (x:C)
constr
                      \mid id:T
```

Figure 9: Modules and definitions

**Modules and Definitions** All Cedilleum source files start with production *mod*, which consists of a module declaration, a sequence of import statements which bring into scope definitions from other source files, and a sequence of *commands* defining terms, types, and kinds. As an illustration, consider the first few lines of a hypothetical list.ced:

```
module list .
import nat .
```

Imports are handled first by consulting a global options files known to the Cedilleum compiler (on \*nix systems ~/.cedille/options) containing a search path of directories, and next (if that fails) by searching the directory containing the file being checked.

Term and type definitions are given with an identifier, a classifier (type or kind, resp.) to check the definition against, and the definition. For term definitions, giving classifier (i.e. the type) is optional. As an example, consider the definitions for the type of Church-encoded lists and two variants of the nil constructor, the first with a top-level type annotation and the second with annotations sprinkled on binders:

```
cList : \star \to \star
	= \lambda A : \star . \forall X : \star . (A \to X \to X) \to X \to X .

cNil : \forall A : \star . cList · A
	= \Lambda A . \Lambda X . \lambda c . \lambda n . n .

cNil' = \Lambda A : \star . \Lambda X : \star . \lambda c : A \to X \to X . \lambda n : X . n .
```

Kind definitions are given without classifiers (all kinds have super-kind  $\Box$ ), e.g.  $\kappa func = \star \to \star$ 

Inductive datatype definitions take a set of parameters (term and type variables which remain constant throughout the definition) well as a set of *indices* (term and type variables which can vary), followed by zero or more constructors. Each constructor begins with "|" (though the grammar can be relaxed so that the first of these is optional) and then an identifier and type is given. As an example, consider the following two definitions for lists and vectors (length-indexed lists).

```
data Bool : ★ =
    | tt : Bool
    | ff : Bool
    .
data Nat : ★ =
    | zero : Nat
    | suc : Nat → Nat
    .
data List (A : ★) : ★ =
    | nil : List
    | cons : A → List → List
    .
data Vec (A : ★) : Nat → ★ =
    | vnil : Vec zero
    | vcons : ∀ n: Nat. A → Vec n → Vec (succ n)
```

Types and Kinds In Cedilleum, the expression language is stratified into three main "classes": kinds, types, and terms. Kinds and types are listed in Figure 10 and terms are listed in Figure 11 along with some auxiliary grammatical categories. In both of these figures, the constructs forming expressions are listed from lowest to highest precedence – "abstractors" ( $\lambda \Lambda \Pi \forall$ ) bind most loosely and parentheses most tightly. Associativity is as-expected, with arrows ( $\rightarrow \Rightarrow$ ) and applications being left-associative and abstractors being right-associative.

The language of kinds and types is similar to that found in the Calculus of Implicit Constructions<sup>1</sup>. Kinds are formed by dependent and non-dependent products ( $\Pi$  and  $\rightarrow$ ) and a base kind for types which can classify terms ( $\star$ ). Types are also formed by the usual (dependent and non-dependent) products ( $\Pi$  and  $\rightarrow$ ) and also *implicit* products ( $\forall$  and  $\Rightarrow$ ) which quantify over erased arguments (that is, arguments that disappear at run-time).  $\Pi$ -products are only allowed to quantify over terms as all types occurring in terms are erased at run-time, but  $\forall$ -products can quantify over types and terms because terms can be erased.

 $<sup>^{1}\</sup>mathrm{Cite}$ 

```
Sorts S ::= \square
                                     sole super-kind
                      K
                                     kinds
Classifiers C
                     K
                                     kinds
               ::=
                                     types
    Kinds K ::= \Pi x : C \cdot K
                                     explicit product
                     C \to K
                                     kind arrow
                                     the kind of types that classify terms
                      (K)
    Types T ::=
                    \Pi x : T \cdot T
                                     explicit product
                     \forall x : C . T
                                     implicit product
                     \lambda x : C \cdot T
                                     type-level function
                     T \Rightarrow T'
                                     arrow with erased domain
                     T \to T'
                                     normal arrow type
                     T \cdot T'
                                     application to another type
                      T t
                                     application to a term
                      \{ p \simeq p' \}
                                     untyped equality
                      (T)
                      X
                                     type variable
                                     hole for incomplete types
```

Figure 10: Kinds and types

Meanwhile, non-dependent products ( $\rightarrow$  and  $\Rightarrow$ ) can only "quantify" over terms because non-dependent type quantification does not seem particularly useful. Besides these, Cedilleum features type-level functions and applications (with term and type arguments), and a primitive equality type for untyped terms. Last of all is the "hole" type ( $\bullet$ ) for writing partial type signatures or incomplete type applications. There are term-level holes as well, and together the two are intended to help facilitate "hole-driven development": any hole automatically generates a type error and provides the user with useful contextual information.

We illustrate with another example: what follows is a module stub for **DepCast** defining dependent casts – intuitively, functions from a:A to B a that are also equal<sup>2</sup> to identity – where the definitions CastE and castE are incomplete.

```
module DepCast .
```

Annotated Terms Terms can be explicit and implicit functions (resp. indicated by  $\lambda$  and  $\Lambda$ ) with optional classifiers for bound variables, let-bindings, applications t t', t-t', and t-T (resp. to another term, an erased term, or a type). In addition to this there are a number of useful operators for equaltional reasoning, type casting, providing annotations, and pattern matching. Each operator will be discussed in more detail in Section 4, but a few concrete programs in Cedilleum are given below merely to give a better idea of the syntax of the language.

<sup>&</sup>lt;sup>2</sup>Module erasure, discussed below

```
Subjects s ::= t
                                                 term
                                                 type
  Terms t ::= \lambda x \ class?. t
                                                 normal abstraction
                   \Lambda x \ class?. t
                                                 erased abstraction
                   [defTermOrType] - t
                                                let definitions
                   \rho t - t'
                                                 equality elimination by rewriting
                   \phi t - t' {t''}
                                                 type cast
                   \chi T - t
                                                 check a term against a type
                   \delta - t
                                                 ex falso quodlibet
                   \theta t t'^*
                                                 elimination with a motive
                   t \ t'
                                                 applications
                   t -t'
                                                 application to an erased term
                   t \cdot T
                                                 application to a type
                   \beta \{t\}
                                                 reflexivity of equality
                   ct
                                                 symmetry of equality
                   \mu u \cdot t \ motive^? \{case^*\}
                                                 type-guarded pattern match and fixpoint
                   \mu' \ t \ motive? \{case^*\}
                                                 auxiliary pattern match
                                                 term variable
                   (t)
                                                 hole for incomplete term
                  |c\ vararg^* \rightarrow t
                                                 pattern-matching cases
                                                 normal constructor argument
   vararg
             ::=
                                                 erased constructor argument
                   -u
                   \cdot X
                                                 type constructor argument
     class
                   : C
   motive ::=
                   @ T
                                                 motive for induction
```

Figure 11: Annotated Terms

```
}.   
vlength : \forall A: \star. \forall n: Nat. Vec ·A n \rightarrow Nat  
= \Lambda A. \Lambda n. \lambda xs. \mu len . xs @(\Lambda n . \lambda x . Nat) {  
  | vnil \rightarrow zero  
  | vcons -n x xs \rightarrow suc (len -n xs) }.
```

### 3 Erasure and Reduction

The definition of the erasure function given in Figure 12 takes the annotated terms from Figures 10 and 11 to the untyped terms of Figure 8. The last two equations indicate that any type or erased arguments in the the zero or more vararg's of pattern-match case are indeed erased. The additional constructs introduced in the annotated term language such as  $\beta$ ,  $\phi$ , and  $\rho$ , are all erased to the language of pure terms.

Reduction rules are defined for the untyped term language. In essence, to run a Cedilleum program you first erase it, then reduce it.

#### $\beta$ -reduction

$$(\lambda x. p_1) p_2 \leadsto_{\beta} [p_2/x]p_1$$

The rule for  $\beta$ -reduction is standard: those expressions consisting of a  $\lambda$ -abstraction as the left component

```
|\star|
|\beta|\{t\}|
|\delta|t|
                                                   |t|
|\chi T^{?} - t|
                                                   |t|
                                                   |t|
|\varsigma| t
|t \ t'|
                                                   |t| |t'|
|t - t'|
                                                   |t|
|t \cdot T|
                                                   |t|
|\rho|t - t'|
                                                   |t'|
|\forall x: C. C'
                                                   \forall x : |C|. |C'|
|\Pi x: C. C'|
                                            = \Pi x : |C| . |C'|
|\lambda u:T.t|
                                                 \lambda u. |t|
|\lambda u.t|
                                                  \lambda u. |t|
|\lambda X:K.C|
                                                   \lambda X : |K| . |C|
|\Lambda x:C.t|
|\phi \ t - t' \ \{t''\}|
                                                  |t''|
|[x = t : T]| - t'|
                                            = (\lambda x. |t'|) |t|
|[X = T : K] - t|
                                            = \{|t| \simeq |t'|\}
|\{t \simeq t'\}||
|\mu \ u, \ t \ motive^? \{case^*\}| = \mu \ u \ |t| \{|case^*|\}
|\mu' \ t \ motive^? \{case^*\}|
                                            = \mu' |t| \{|case^*|\}
|id\ vararg^* \mapsto t|
                                            = id |vararg^*| \mapsto |t|
|-u|
|\cdot X|
```

Figure 12: Erasure for annotated terms

of an application reduce by having their bound variable substituted away by the given argument (where  $[p_2/x]$  is the simultaneous and capture-avoiding substitution of  $p_2$  for x)

#### $\mu'$ -reduction

$$\mu'$$
  $(c_i \ p_1...p_n) \ \{... \ | c_i \ u_1...u_n \mapsto f \ | ... \} \leadsto_{\mu'} [p_1...p_n/u_1...u_n] f$ 

 $\mu'$ -reduction is a simple pattern-matching reduction rule: if the scrutinee of  $\mu'$  is some variable-headed application  $c_i$   $p_1...p_n$  where the head  $c_i$  matches one of the branch patterns, replace the entire expression with the branch body f after substituting each of the bound variables of the branch pattern  $u_1...u_n$  with the scrutinee's arguments  $p_1...p_n$ 

#### $\mu$ -reduction

$$\frac{\exists i. \ c = c_i \wedge j_i = n \quad p_\mu = \lambda \ v. \ \mu \ u. \ v \ \{c_i \ u_{i1}...u_{ij_i} \mapsto f_i\}_{i=1..n}}{\mu \ u.(c \ p_1...p_n) \ \{c_i \ u_{i1}...u_{ij_i} \mapsto f_i\}_{i=1..n} \leadsto_{\mu} [p_1...p_n/u_1...u_n][u/p_\mu]f} \ \mu$$

 $\mu$ -reduction is similar to  $\mu'$ -reduction, but combines with it fixpoint reduction. Again, if the scrutinee c  $p_1...p_n$  matches one of the branch patterns  $c_i$   $u_{i1}...u_{ij_i}$  (for some i, where  $j_i = n$ ), then we replace the original  $\mu$  expression with the matched branch, replacing each of the pattern variables  $u_1...u_n$  with the scrutinee's arguments  $p_1...p_n$ , but in addition we also replace the  $\mu$ -bound variable u (which represents the

entire  $\mu$  expression itself) with a function  $p_{\mu}$  that takes its argument v and re-creates the original  $\mu$  expression by scrutinizing v.

# 4 Type System (sans Inductive Datatypes)

Figure 13: Contexts

Typing contexts 
$$\Gamma ::= \emptyset \mid x : C, \Gamma \mid x = s : C, \Gamma$$

$$\frac{\Gamma \vdash C : S \quad \Gamma, y : C \vdash C' : S'}{\Gamma \vdash \Pi y : C . C' : S'} \qquad \frac{\Gamma \vdash C : S \quad \Gamma, y : C \vdash C' : \star}{\Gamma \vdash \forall y : C . C' : \star}$$

$$\frac{FV(p \ p') \subseteq dom(\Gamma)}{\Gamma \vdash \{p \simeq p'\} : \star} \qquad \frac{\Gamma \vdash K : \Gamma(\kappa)}{\Gamma \vdash \kappa : \Gamma(\kappa)} \qquad \frac{\Gamma \vdash T : \Pi x : T' . K}{\Gamma \vdash T : T' : K}$$

$$\frac{\Gamma \vdash \Pi x : C . K : \Box \quad \Gamma, x : C \vdash T : K}{\Gamma \vdash \lambda x : C . T : \Pi x : C . K} \qquad \frac{\Gamma \vdash T : \Pi x : K . K' \quad \Gamma \vdash T' : K}{\Gamma \vdash T \cdot T' : [T'/x]K'} \qquad \frac{\Gamma \vdash T : \Pi x : T' . K}{\Gamma \vdash T : [t/x]K}$$

Figure 14: Sort checking  $\Gamma \vdash C : S$ 

$$\frac{\Gamma \vdash T : K \quad \Gamma, x : T \vdash_{\delta} t : T'}{\Gamma \vdash_{\delta} u : \Gamma(u)} \qquad \frac{\Gamma, x : T \vdash_{\delta} t : T'}{\Gamma \vdash_{\delta} \lambda x : T : \Pi x : T . T'} \qquad \frac{\Gamma, x : T \vdash_{\psi} t : T'}{\Gamma \vdash_{\psi} \lambda x . t : \Pi x : T . T'}$$

$$\frac{\Gamma \vdash C : S \quad x \notin FV(|t|) \quad \Gamma, x : C \vdash_{\delta} t : T}{\Gamma \vdash_{\delta} \Lambda x : C . t : \forall x : C . T} \qquad \frac{x \notin FV(|t|) \quad \Gamma, x : C \vdash_{\delta} t : T}{\Gamma \vdash_{\psi} \Lambda x . t : \forall x : C . T} \qquad \frac{\Gamma \vdash_{\uparrow} t : \Pi x : T' . T \quad \Gamma \vdash_{\psi} t' : T'}{\Gamma \vdash_{\delta} t : t' : [t'/x]T}$$

$$\frac{\Gamma \vdash_{\uparrow} t : \forall X : K . T' \quad \Gamma \vdash_{\tau} T : K}{\Gamma \vdash_{\delta} t : T : [T/X]T'} \qquad \frac{\Gamma \vdash_{\uparrow} t : \forall x : T' . T \quad \Gamma \vdash_{\psi} t' : T'}{\Gamma \vdash_{\delta} t : - t' : [t'/x]T} \qquad \frac{\Gamma \vdash_{\uparrow} t : T' \quad |T'| =_{\beta} |T|}{\Gamma \vdash_{\psi} t : T}$$

$$\frac{\Gamma \vdash_{\uparrow} t : T \quad \Gamma, id = t : T \vdash_{\delta} t' : T'}{\Gamma \vdash_{\delta} [id : T = t] - t' : T'} \qquad \frac{\Gamma \vdash_{\uparrow} t : T \quad \Gamma, id = t : T \vdash_{\delta} t' : T'}{\Gamma \vdash_{\delta} [id : t] - t' : T'} \qquad \frac{\Gamma \vdash_{\uparrow} t : \{t_{1} \simeq t_{2}\} \quad \Gamma \vdash_{\uparrow} t' : \{t_{1}/x] T}{\Gamma \vdash_{\delta} \zeta t : \{t_{2} \simeq t_{1}\}}$$

$$\frac{\Gamma \vdash_{\psi} t : \{|t_{1}| \simeq |t_{2}\} \quad \Gamma \vdash_{\delta} t_{1} : T}{\Gamma \vdash_{\psi} \delta \vdash_{\tau} t : \{t_{1} \simeq t_{2}\}} \qquad \frac{\Gamma \vdash_{\psi} t : \{t_{1} \simeq t_{2}\}}{\Gamma \vdash_{\delta} \zeta t : \{t_{2} \simeq t_{1}\}}$$

$$\frac{\Gamma \vdash_{\psi} t : \{|t_{1}| \simeq |t_{2}\} \quad \Gamma \vdash_{\delta} t_{1} : T}{\Gamma \vdash_{\psi} \delta \vdash_{\tau} t : \{t_{1} \simeq t_{2}\}} \qquad \frac{\Gamma \vdash_{\psi} t : \{t_{1} \simeq t_{2}\}}{\Gamma \vdash_{\psi} \delta \vdash_{\tau} t : T}$$

Figure 15: Type checking  $\Gamma \vdash_{\delta} s : C$  (sans inductive data types)

The inference rules for classifying expressions in Cedilleum are stratified into two judgments. Figure 14 gives the uni-directional rules for ensuring types are well-kinded and kinds are well-formed. Future versions of Cedilleum will allow for bidirectional checking for both typing and sorting, allowing for a unification of

 $<sup>^4\</sup>mathrm{Where}$  we assume t does not occur anywhere in T

<sup>&</sup>lt;sup>4</sup>Where  $\mathsf{tt} = \lambda x. \lambda y. x$  and  $\mathsf{ff} = \lambda x. \lambda y. y$ 

these two figures. Most of these rules are similar to what one would expect from the Calculus of Implicit Constructions, so we focus on the typing rules unique to Cedilleum.

The typing rule for  $\rho$  shows that  $\rho$  is a primitive for rewriting by an (untyped) equality. If t is an expression that synthesizes a proof that two terms  $t_1$  and  $t_2$  are equal, and t' is an expression synthesizing type  $[t_1/x]$  T (where, as per the footnote,  $t_1$  does not occur in T), then we may essentially rewrite its type to  $[t_2/x]$  T. The rule for  $\beta$  is reflexivity for equality – it witnesses that a term is equal to itself, provided that the type of the equality is well-formed. The rule for  $\varsigma$  is symmetry for equality. Finally,  $\phi$  acts as a "casting" primitive: the rule for its use says that if some term t witnesses that two terms  $t_1$  and  $t_2$  are equal, and  $t_1$  has been judged to have type T, then intuitively  $t_2$  can also be judged to have type T. (This intuition is justified by the erasure rule for  $\phi$  – the expression erases to  $|t_2|$ ). The last rule involving equality is for  $\delta$ , which witnesses the logical principle ex falso quodlibet – if a certain impossible equation is proved (namely that the two Church-encoded booleans tt and ff are equal), then any type desired is inhabited. The remaining primitive  $\chi$  allows the user to provide an explicit top-level annotation for a term.

# 5 Inductive Datatypes

Before we can provide the typing rules for introduction and usage of inductive datatypes, some auxiliary definitions must be given. The syntax for these, and the structure of this entire section, borrows heavily from the conventions of the Coq documentation<sup>5</sup>. The author believes it is worthwhile to restate this development in terms of the Cedilleum type system, rather than merely pointing readers to the Coq documentation and asking them to infer the differences between the two systems.

To begin with, the production def Data Type gives the concrete syntax for datatype definitions, but it is not a very useful notation for representing one in the abstract syntax tree. In our typing rules we will instead use the notation  $\operatorname{Ind}_M[p](\Gamma_I := \Sigma)$ , where

- M is a meta-variable ranging over constant labels "C" and "A" (used to distinguish concrete and abstracted inductive definitions more on this below)
- p is the number of parameters of the inductive definition
- $\Gamma_I$  is a typing context binding one type variable I, the inductive type being defined
- $\Sigma$  is a typing context containing the *n* data constructors  $c_1, ..., c_n$  of *I*.

For example, consider the List and Vec definitions from Section 2. These will be represented in the AST as

$$\label{eq:Ind_C} \begin{split} \operatorname{Ind_C}[1](List: \star \to \star := \begin{array}{ccc} nil & : & \forall A: \star.List \cdot A \\ cons & : & \forall A: \star.A \to List \cdot A \to List \cdot A \end{array}) \end{split}$$

and

$$\begin{aligned} & \operatorname{Ind}_{\mathbf{C}}[1](Vec: \star \to Nat \to \star := \begin{array}{ccc} vnil & : & \forall A: \star. Vec \cdot A \ zero \\ & vcons & : & \forall A: \star. \forall n: Nat. A \to Vec \cdot A \ n \to Vec \cdot A \ (succ \ n) \\ \end{aligned} \right) \end{aligned}$$

All inductive types the user will define will be concrete inductive definitions, and have global scope. Abstracted definitions are automiatically generated during fix-point pattern matching, and have local scope.

For an inductive datatype definition to be well-formed, it must satisfy the following conditions (each of which is explained in more detail in Subsections 5.1 and 5.2):

• The kind of I must be (at least) a p-arity of kind  $\star$ .

<sup>&</sup>lt;sup>5</sup>https://coq.inria.fr/refman/language/cic.html#inductive-definitions

- The types of each  $id \in \Sigma$  must be types of constructors of I
- The definition must satisfy the non-strict positivity condition.

Similarly, the notation in the grammar of Cedilleum  $\mu'$  and  $\mu$  for pattern matching is inconvenient, and we will represent them in the AST as resp.  $\mu'(t,P,t_{i=1..n})$  and  $\mu(x_{\rm rec},I',x_{\rm to},t,P,t_{i=1..n})$ . Translation from the form given in the grammar to this form is discussed in detail below, but is as expected. In particular, we enforce that patterns are exhaustive and non-overlapping, and that I' and  $x_{\rm to}$  (which correspond to the automatically generated identifiers like Nat/ih and fromNat/ih from the introduction) are fresh w.r.t the global and local context. For example, consider the pattern-matches given in the code listings for isvnil and vlength above. These would be translated into the AST as

$$\mu'(xs, \Lambda\, n.\, \lambda\, x.\, Bool, \quad \mathop{\rm tt}_{\phantom{a}} (\Lambda\, n.\, \lambda\, x.\, \lambda\, xs.\, \mathop{\rm ff}_{\phantom{a}})$$

and

$$\mu(len, Vec/len, from Vec/len, xs, \Lambda\, n.\, \lambda\, x.\, Nat, \quad \frac{\texttt{zero}}{\Lambda\, n.\, \lambda\, x.\, \lambda\, xs.\, succ\,\, (len\, \textit{-}n\,\, xs)}\,\,)$$

In general, the generated name for I' and  $x_{\text{to}}$  that users will write in Cedilleum programs will be of the form " $I/x_{\text{rec}}$ " and "from  $I/x_{\text{rec}}$ ".

For a pattern construct ( $\mu$  or  $\mu'$ ) in the AST to be well-formed, it must satisfy the following conditions (each of which is, again, explained in more detail in Subsections 5.3, 5.5, and 5.6):

- The motive P must be well-kinded
- P must be a legal motive to be used in eliminating the inductive type I of the scrutinee t
- Each branch  $t_i$  must have the type expected given the constructor  $c_i \in \Sigma$  and the motive P.

### 5.1 Auxiliary Definitions

**Contexts** To ease the notational burden, we will introduce some conventions for writing contexts within terms and types.

- We write  $\lambda \Gamma$ ,  $\Lambda \Gamma$ ,  $\forall \Gamma$ , and  $\Pi \Gamma$  to indicate some form of abstraction over each variable in  $\Gamma$ . For example, if  $\Gamma = x_1 : T_1, x_2 : T_2$  then  $\lambda \Gamma . t = \lambda x_1 : T_1 . \lambda x_2 : T_2 . t$ . Additionally, we will also write  $^{\Pi}_{\forall} \Gamma$  to indicate an arbitrary mixture of  $\Pi$  and  $\forall$  quantified variables. Note that if  $^{\Pi}_{\forall} \Gamma$  occurs multiple times within a definition or inference rule, the intended interpretation is that all occurrences have the same mixture of  $\Pi$  and  $\forall$  quantifiers.
- $\|\Gamma\|$  denotes the length of  $\Gamma$  (the number of variables it binds)
- We write s  $\Gamma$  to indicate the sequence of variable arguments in  $\Gamma$  given as arguments to s. Implicit in this notation is the removal of typing annotations from the variables  $\Gamma$  when these variables are given as arguments to s.
  - Since in Cedilleum there are three flavors of applications (to a type, to an erased term, and to an unerased term), we will only us this notion when the type or kind of s is known, which is sufficient to disambiguate the flavor of application intended for each particular binder in  $\Gamma$ . For example, if s has type  $\forall X:\star, \forall x:X, \Pi x':X$  and  $\Gamma=X:\star, x:X, x':X$  then s  $\Gamma=s$   $\cdot X$   $\cdot x$   $\cdot x'$
- $\Delta$  and  $\Delta'$  are notations we will use for a specially designated contexts associating type variables with both global "concrete" and local "abstracted" inductive data-type declarations. The purpose of this latter sort of declaration is to enable type-guided termination of definitions using fixpoints (see Section 5.7) For example, given just the (global) data type declaration of Vec, we would have  $\Delta(Vec) = \operatorname{Ind}_{\mathbb{C}}[1](\Gamma_{Vec} := \Sigma)$ , where  $\Gamma_{Vec} = Vec : \star \to Nat \to \star$  and  $\Sigma$  binds data constructors vnil and vcons to the appropriate types.

**p-arity** A kind K is a p-arity if it can be written as  $\Pi \Gamma$ . K' for some  $\Gamma$  and K', where  $\|\Gamma\| = p$ . For an inductive definition  $\operatorname{Ind}_M[p](\Gamma_I := \Sigma)$ , requiring that the kind  $\Gamma_I(I)$  is a p-arity of  $\star$  ensures that I really does have p parameters.

**Types of Constructors** T is a type of a constructor of I iff

- it is  $I s_1...s_n$
- it can be written as  $\forall s: C.T$  or  $\Pi s: C.T$ , where (in either case) T is a type of a constructor of I

**Positivity condition** The positivity condition is defined in two parts: the positivity condition of a type T of a constructor of I, and the positive occurrence of I in T. We say that a type T of a constructor of I satisfies the positivity condition when

- T is I  $s_1...s_n$  and I does not occur anywhere in  $s_1...s_n$
- T is  $\forall s:C.T'$  or  $\Pi s:C.T'$ , T' satisfies the positivity condition for I, and I occurs only positively in C

We say that I occurs only positively in T when

- I does not occur in T
- T is of the form  $I s_1...s_n$  and I does not occur in  $s_1...s_n$
- T is of the form  $\forall s: C.T'$  or  $\Pi s: C.T'$ , I occurs only positively in T', and I does not occur positively in C

#### 5.2 Well-formed inductive definitions

Let  $\Gamma_{P}$ ,  $\Gamma_{I}$ , and  $\Sigma$  be contexts such that  $\Gamma_{I}$  associates a single type-variable I to kind  $\Pi \Gamma_{p}$ . K and  $\Sigma$  associates term variables  $c_{1}...c_{n}$  with corresponding types  $\forall \Gamma_{P}.T_{1},...\forall \Gamma_{P}.T_{n}$ . Then the rule given in Figure 16 states when an inductive datatype definition may be introduced, provided that the following side conditions hold:

Figure 16: Introduction of inductive datatype

$$\frac{\emptyset \vdash \Gamma_I(I) : \square \quad \|\Gamma_P\| = p \quad (\Gamma_I, \Gamma_P \vdash T_i : \star)_{i=1..n}}{\operatorname{Ind}_M[p](\Gamma_I := \Sigma) \ wf}$$

- Names I and  $c_1...c_n$  are distinct from any other inductive datatype type or constructor names, and distinct amongst themselves
- Each of  $T_1...T_n$  is a type of constructor of I which satisfies the positivity condition for I. Furthmore, each occurrence of I in  $T_i$  is one which is applied to the parameters  $\Gamma_P$ .
- Identifiers I,  $c_1$ , ...,  $c_n$  are fresh w.r.t the global context, and do not overlap with each other nor any identifiers in  $\Gamma_P$ .

When an inductive data-type has been defined using the defDataType production, it is understood that this always a concrete inductive type, and it (implicitly) adds to a global typing context the variable bindings in  $\Gamma_I$  and  $\Sigma$ . Similarly, when checking that the kind  $\Gamma_I(I)$  and type  $T_i$  are well-sorted and well-kinded, we assume an (implicit) global context of previous definitions.

Figure 17: Valid elimination kinds

$$\frac{ \llbracket T : \star \mid T \to \star \rrbracket }{ \llbracket T : \star \mid T \to \star \rrbracket } \quad \frac{ \llbracket T : S : K \mid K' \rrbracket }{ \llbracket T : \Pi \, s : C . \, K \mid \Pi \, s : C . \, K' \rrbracket }$$

#### 5.3 Valid Elimination Kind

When type-checking a pattern match (either  $\mu$  or  $\mu'$ ), we need to know that the given motive P has a kind K for which elimination of a term with some inductive data-type I is permissible. We write this judgment as [T:K'|K], which should be read "the type T of kind K' can be eliminated through pattern-matching with a motive of kind K". This judgment is defined by the simple rules in Figure 17. For example, a valid elimination kind for the indexed type family  $Vec \cdot X$  (which has kind  $\Pi n: Nat. \star$ ) is  $\Pi n: Nat. \Pi x: Vec \cdot X$   $n. \star$ 

### 5.4 Valid Branch Type

Another piece of kit we need is a way to ensure that, in a pattern-matching expression, a particular branch has the correct type given a particular constructor of an inductive data-type and a motive. We write  $\{\{c:T\}\}_I^P$  to indicate the type corresponding to the (possibly partially applied) constructor c of I and its type T. We abbreviate this notation to  $\{\{c\}\}_I^P$  when the inductive type variable I, and the type T of c, is known from the (meta-language) context.

$$\begin{array}{rcl} \{\{c: I \; \overline{T} \; \overline{s}\}\}_I^P & = & P \; \overline{s} \; c \\ \{\{c: \forall \, x \colon\! T', T\}\}_I^P & = & \forall \, x \colon\! T', \{\{c \cdot\! x \colon\! T\}\}_I^P \\ \{\{c: \forall \, x \colon\! K, T\}\}_I^P & = & \forall \, x \colon\! K, \{\{c \cdot x \colon\! T\}\}_I^P \\ \{\{c: \Pi \, x \colon\! T', T\}\}_I^P & = & \Pi \, x \colon\! T', \{\{c \; x \colon\! T\}\}_I^P \end{array}$$

where we leave implicit the book-keeping required to separate the parameters  $\overline{T}$  from the indicies  $\overline{s}$ .

The biggest difference bewteen this definition and the similar one found in the Coq documentation is that types can have implicit and explicit quantifiers, so we must make sure that the types of branches have implicit / explicit quantifiers (and the subjects c have applications for types, implicit terms, and explicit terms), corresponding to those of the arguments to the data constructor for the pattern for the branch.

### 5.5 Well-formed Patterns

Figure 18: Well-formedness of a pattern

$$\frac{\Gamma \vdash P : K \quad \Sigma = c_1 : \forall \, \Gamma_P. \, T_1, ..., c_n : \forall \, \Gamma_P. \, T_n \quad \|\overline{T}\| = \|\Gamma_p\| = p \quad \llbracket I \ \overline{T} : \Gamma(I) \mid K \rrbracket \quad (\Gamma, \Delta \vdash_{\Downarrow} t_i : \{\{c_i \ \overline{T}\}\}^P)_{i=1..n}}{WFPat(\Gamma, \Delta, \operatorname{Ind}_M[p](\Gamma_I := \Sigma), \overline{T}, \mu'(t, P, t_{i=1..n}))}$$

Figure 18 gives the rule for checking that a pattern  $\mu'(t,P,t_{i=1..n})$  is well-formed. We check that the motive P is well-kinded at kind K, that the given parameters  $\overline{T}$  match the expected number p from the inductive data-type declaration, that an inductive data-type I instantiated with the given parameters  $\overline{T}$  can be eliminated to a type of kind K, and that the given branches  $t_i$  account for each of the constructors  $c_i$  of  $\Sigma$  and have the required branch type  $\{\{c_i \ \overline{T}\}\}^P$  under the given local context  $\Gamma$  and context of inductive data-type declarations  $\Delta$ .

### 5.6 Generation of Abstracted Inductive Definitions

Cedilleum supports histomorphic recursion (that is, having access to all previous recursive values) where termination is ensured through typing. In order to make this possible, we need a mechanism for tracking the global definitions of *concrete* inductive data types as well the locally-introduced *abstract* inductive data type representing the recursive occurences suitable for a fixpoint function to be called on.

If I is an inductive type such that  $\Delta(I) = \operatorname{Ind}_{\mathbb{C}}[p](\Gamma_I := \Sigma)$  and I' is a fresh type variable, then we define function  $\operatorname{Hist}(\Delta, I, \overline{T}, I')$  producing an abstracted (well-formed) inductive definition  $\operatorname{Ind}_{\mathbb{A}}[0](\Gamma_{I'} := \Sigma')$ , where

- $\Gamma_{I'}(I') = \forall \Gamma_D. \star \text{ if } \Gamma_I(I) = \forall \Gamma_P. \forall \Gamma_D. \star \text{ (and } ||\Gamma_P|| = ||\overline{T}|| = p)$ That is, the kind of I' is the same as the kind of  $I.\overline{T}$
- $\Sigma' = c'_1 : \forall \Gamma_D . ^{\Pi}_{\forall} \Gamma_{A'_1} . I' \Gamma_D, ..., c'_n : \forall \Gamma_D . ^{\Pi}_{\forall} \Gamma_{A'_n} . I \overline{T} \Gamma_D,$ when each of the concepts constructors  $c_n$  in  $\Sigma$  are esseciated with type  $\forall \Gamma_n$ .

when each of the concrete constructors  $c_i$  in  $\Sigma$  are associated with type  $\forall \Gamma_P . \forall \Gamma_D . ^{\Pi}_{\forall} \Gamma_{A_i} . I \Gamma_P \Gamma_D$  and each  $\Gamma_{A'_i} = [\lambda \Gamma_P . I'/I, \overline{T}/\Gamma_P]\Gamma_{A_i}$ .

That is, trasforming the concrete constructors of the inductive datatype I to "abstracted" constructors involves replacing each recursive occurrence of I  $\Gamma_P$  with the fresh type variable I, and instantiating each of the parameters  $\Gamma_P$  with  $\overline{T}$ .

Users of Cedilleum will see "punning" of the concrete constructors  $c_i$  and abstracted constructors  $c'_i$ . In particular, when using fix-point pattern matching branch labels will be written with the constructors for the concrete inductive data-type, and the expected type of a branch given by the motive will pretty-print using the concrete constructors. In the inference rules, however, we will take more care to distinguish the abstract constructors (see Subsection 5.7).

### 5.7 Typing Rules

Figure 19: Use of an inductive datatype  $\operatorname{Ind}_M[p](\Gamma_I := \Sigma)$ 

$$\frac{\Gamma \vdash_{\Uparrow} t : I \ \overline{T} \ \overline{s} \quad WFPat(\Gamma, \Delta, \Delta(I), \overline{T}, \mu'(t, P, t_{i=1..n}))}{\Gamma, \Delta \vdash_{\delta} \mu'(t, P, t_{i=1..n}) : P \ \overline{s} \ t}$$
 
$$\Gamma \vdash_{\Uparrow} t : I \ \overline{T} \ \overline{s} \quad \Delta(I) = \operatorname{Ind}_{\mathbf{C}}[p](\Gamma_I := \Sigma) \quad \Gamma_I(I) = \Pi \Gamma_P. \Pi \Gamma_{\mathbf{D}}. \star, \|\Gamma_P\| = p \quad Hist(\Delta, I, \overline{T}, I') = \operatorname{Ind}_{\mathbf{A}}[0](\Gamma_{I'} := \Sigma')$$
 
$$\Gamma' = \Gamma, \Gamma_{I'}, x_{\mathsf{to}} = \Lambda \Gamma_D. \lambda x. x : \forall \Gamma_{\mathbf{D}}. I' \ \Gamma_{\mathbf{D}} \to I \ \overline{T} \ \Gamma_{\mathbf{D}}, x_{\mathsf{rec}} : \forall \Gamma_{\mathbf{D}}. \Pi x : I' \ \Gamma_{\mathbf{D}}. P \ \Gamma_{\mathbf{D}} \ (x_{\mathsf{to}} \ \Gamma_D x) \quad \Delta' = \Delta, Hist(\Delta, I, \overline{T}, I')$$
 
$$WFPat(\Gamma', \Delta', \Delta'(I'), \varnothing, \mu'(t, P, t_{i=1..n}))$$
 
$$\Gamma, \Delta \vdash_{\delta} \mu(x_{\mathsf{rec}}, I', x_{\mathsf{to}}, t, P, t_{i=1..n}) : P \ \overline{s} \ t$$

The first rule of Figure 19 is for typing simple pattern matching with  $\mu'$ . We need to know that the scrutinee t is well-typed at some inductive type  $I \overline{T} \overline{s}$ , where  $\overline{T}$  represents the parameters and  $\overline{s}$  the indicies. Then we defer to the judgment WF-Pat to ensure that this pattern-matching expression is a valid elimination of t to type P.

The second rule is for typing pattern-matching with fix-points, and is significantly more involved. As above we check the scrutinee t has some inductive type I  $\overline{T}$   $\overline{s}$ . We confirm that I is a concrete inductive data-type by looking up its definition in  $\Delta$ , and then generate the abstracted definition  $Hist(\Delta, I, \overline{T}, I')$  for some fresh I'. We then add to the local typing context  $\Gamma_{I'}$  (the new inductive type I' with its associated kind) and two new variables  $x_{\text{to}}$  and  $x_{\text{rec}}$ .

- $x_{to}$  is the revealer. It casts a term of an abstracted inductive data-type  $I' \Gamma_D$  to the concrete type  $I \overline{T} \Gamma_D$ . Crucially, it is an *identity* cast (the implicit quantification  $\Lambda \Gamma_D$  disappears after erasure). The intuition why this should be the case is that the abstracted type I' only serves to mark the recursive occurrences of I during pattern-matching to guarantee termination.
- $x_{\text{rec}}$  is the recursor (or the inductive hypothesis). Its result type  $P' \Gamma_D x$  utilizes  $x_{\text{to}}$  in P' to be well-typed, as the x in this expression has type  $I' \Gamma_D$ , but P expects an  $I \overline{T} \Gamma_D$ . Because  $x_{\text{to}}$  erases to the identity, uses of the  $x_{\text{rec}}$  will produce expressions whose types will not interfere with producing the needed result for a given branch (see the extended example TODO).

With these definitions, we finish the rule by checking that the pattern is well-formed using the augmented local context  $\Gamma'$  and context of inductive data-type definitions  $\Delta'$ .

# 6 Elaboration of Inductive Datatypes

As mentioned in Section 1, Cedilleum is not based on CIC. Rather, its core theory is the Calculus of Dependent Lambda Eliminations (CDLE), whose complete typing rules can are those of Section 4 plus rules for dependent intersections (see [Stu18]). That is to say, the preceding treatment for inductive datatypes (Section 5) is a high-level and convenient interface for derivable inductive  $\lambda$ -encodings. This section explains the elaboration process. Since the generic derivation of inductive data-types with course-of-value induction has been covered in-depth in [TODO], we omit these details and instead describe the interface such developments provide that data-type elaboration targets.

At a high level, inductive data-types in Cedilleum are first translated to *identity mappings*, which are (in the non-indexed case) a class of type schemes  $F \colon \star \to \star$  that are more general than functors. The parameter of the identity scheme replaces all recursive occurrences of the data-type in the signatures of the constructor and a quantified type variable replaces all "return type" occurrences. For example, the type scheme for data-type Nat is  $\lambda$  R:  $\star$ .  $\forall$  X:  $\star$ . X  $\to$  (R  $\to$  X)  $\to$  X, with R the parameter and X the quantified variable. For the rest of this section we assume the reader has at least a basic understanding of impredicative encodings of datatypes (see [PPM89] and [Wad90]) and taking the least fix-point of functors (see [MFP91]).

The following developments are parameterized by an indexed type scheme F whose kind is the index-sort of some data-type declaration  $\operatorname{Ind}_I[p](\Gamma_I := \Sigma)$  – that is to say if I has kind  $\Pi$   $\Gamma_P$ .  $\Pi$   $\Gamma_D$ .  $\star$  (where  $\|\Gamma_p\| = p$ ) then F has kind  $\Pi$   $\Gamma_D$ .  $\star$ .

### 6.1 Identity Mappings

Our first task is to describe identity mappings, the class of type schemes  $F: (\Pi \Gamma_D. \star) \to \Pi \Gamma_D. \star$  we concerned with. Identity mappings are similar to functors in that they come equipped with a function that resembles fmap:  $\forall \Gamma_D. \forall A B: \Pi \Gamma_D. \star. \Pi f: (A \cdot \Gamma_D \to B \cdot \Gamma_D). F \cdot (A \cdot \Gamma_D) \to F \cdot (B \cdot \Gamma_D)$  except that it need only be defined for an argument f that is equal to the identity function. We define the type Id of such functions and declare (indicated by  $\langle ... \rangle$ ) its elimination principle elimId<sub>D</sub>:

Recall that since Cedilleum has a Curry-style type system and implicit products there are many non-trivial functions that erase to identity. While the definition of  $elimId_D$  is omitted, it is important to note that it enjoys the property of erasing to the identity function:

```
elimId_D-prop : \{elimId_D \simeq \lambda x. x\} = \beta.
```

We may now define IdMapping as a scheme F that comes with a way to lift identity functions:

Finally, it is convenient to define fimap which given an IdMapping and an Id function performs the lifting:

From  $elimId_D$ -prop it should be clear that  $fimap_D$  also erases to  $\lambda$  x. x.

### 6.2 Type-views of Terms

A crucial component of course-of-value is the ability to view some term as having two different types. The idea behind a View is similar to that behind the type Id from the previous section, except now we explicitly name the doubly-typed term:

```
View : \Pi A: \star. A \rightarrow \star \rightarrow \star = \lambda A a B. \iota b: B. {a \simeq b} elimView : \forall A B: \star. \Pi a: A. View ·A a ·B \Rightarrow B = <..> elimView-prop : {elimView \simeq \lambda x. x} = \beta.
```

### 6.3 $\lambda$ -encoding Interface

This subsection describes the interface to which data-type declarations are elaborated; it is parameterized by an identity mapping.

```
module (F_D: (\Pi \ \Gamma_D. \ \star) \rightarrow (\Pi \ \Gamma_D. \ \star))\{\text{im}: \ \text{IdMapping} \ \cdot F_D\}.
```

where parameters  $F_D$  and im are automatically derived from the declaration of a positive data-type.

With these two parameters alone, the generic developments of [TODO] provide the following interface for inductive  $\lambda$ -encodings of data-types:

```
\begin{split} &\operatorname{Fix}_D : \Pi \ \Gamma_D. \ \star = < ... > \\ &\operatorname{in}_D : \forall \ \Gamma_D. \ F_D \cdot \operatorname{Fix}_D \ \Gamma_D \to \operatorname{Fix}_D \ \Gamma_D = < ... > \\ &\operatorname{out}_D : \forall \ \Gamma_D. \ \operatorname{Fix}_D \ \Gamma_D \to F_D \cdot \operatorname{Fix}_D \ \Gamma_D = < ... > \\ &\operatorname{PrfAlg}_D : \Pi \ P : \ (\Pi \ \Gamma_D. \ \Pi \ d : \ \operatorname{Fix}_D \ \Gamma_D. \ \star ) . \ \star \\ &= \lambda \ P . \ \forall \ R : \ (\Pi \ \Gamma_D. \ \star ) . \\ &\forall \ c : \ \operatorname{Id}_D \cdot R \cdot \operatorname{Fix}_D. \\ &\forall \ c : \ \operatorname{Id}_D \cdot R \cdot \operatorname{Fix}_D \ \Gamma_D \to F_D \cdot \operatorname{Fix}_D \ \Gamma_D) \ \operatorname{out} \cdot (\forall \ \Gamma_D. \ R \ \Gamma_D \to F_D \cdot R \ \Gamma_D). \\ &\Pi \ \operatorname{in} : \ (\forall \ \Gamma_D. \ \Pi \ r : \ R \ \Gamma_D. \ P \ \Gamma_D \ (\operatorname{elimId}_D \ -c \ -\Gamma_D \ r)). \\ &\Pi \ \Gamma_D. \ \Pi \ \operatorname{fr} : \ F \cdot R \ \Gamma_D. \\ &P \ \Gamma_D \ (\operatorname{in}_D \ -\Gamma_D \ (\operatorname{fimap}_D \ -\operatorname{im} \ -c \ \operatorname{fr})). \\ &\operatorname{induction}_D : \ \forall \ P : \ (\Pi \ \Gamma_D. \ \Pi \ d : \ \operatorname{Fix}_D \ \Gamma_D. \ \star). \ \operatorname{PrfAlg}_D \cdot P \to \ \forall \ \Gamma_D. \ \Pi \ d : \ \operatorname{Fix}_D \ \Gamma_D. \ P \ \Gamma_D \ d \\ &= < ... > \end{split}
```

The first three definitions give  $Fix_D$  as the (least) fixed-point of  $F_D$ , with  $in_D$  and  $out_D$  representing resp. a generic set of constructors and destructors. induction<sub>D</sub> of course is the proof-principle stating that if one can provide a PrfAlg for property P (that is, P holds for all  $Fix_D$  generated by (generic) constructor  $in_D$ ) then this suffices to show that P holds for all  $Fix_D$ .

We now explain the definition of PrfAlg<sub>D</sub> in more detail:

R is the type of recursive occurrences of the data-type Fix<sub>D</sub>.
 It corresponds directly to types like rec/Nat when using μ in Cedilleum

- c is a "revealer", that is to say a proof that R really is Fix<sub>D</sub> witnessed by an identity function.
   It corresponds directly to functions like rec/cast when using μ
- v is evidence that the (generic) destructor out<sub>D</sub> can be used on the recursive occurrence type R for further pattern-matching.
  - It corresponds directly to  $\mu$ ' (when used outside of  $\mu$  it corresponds to the "trivial" view that out<sub>D</sub> has the type it is already declared to have).
- ullet in is the inductive hypothesis, stating that property P holds for all recursive occurrences R of an inductive case

It corresponds directly to the  $\mu$ -bound variable for fix-point recursion.

- fr represents the collection of constructors that each  $\mu$  branch must account for. For example, for the data-type Nat we have identity mapping fr:  $\forall$  X:  $\star$ . X  $\rightarrow$  (R  $\rightarrow$  X)  $\rightarrow$  X and Cedilleum cases branches {| zero  $\rightarrow$  zcase | succ r  $\rightarrow$  scase r } translate to fr zcase ( $\lambda$  r. scase r)
- Finally, result type P  $\Gamma_D$  (in<sub>D</sub>  $-\Gamma_D$  (fimap<sub>D</sub> -im -c fr)) accounts for the return type of each case branch.

Since P is phrased over  $\text{Fix}_D$ , and we have by assumption  $\text{fr}\colon F_D \cdot R \ \Gamma_D$ , we must first use our identity mapping im to traverse fr and cast each recursive occurrence  $R \ \Gamma_D$  to  $\text{Fix}_D \ \Gamma_D$ , producing an expression of type  $F \cdot \text{Fix}_D \ \Gamma_D$  which we are then able to transform into  $\text{Fix}_D \ \Gamma_D$  using (generic) constructor  $\text{in}_D$ .

While the definitions of  $in_D$ ,  $out_D$ , and  $induction_D$  are omitted, it is important that they have the following computational behavior (guaranteed by [TODO]):

```
\begin{split} & \text{lambek1}_D: \ \forall \ \Gamma_D. \ \Pi \ \text{gr:} \ F_D \ \text{Fix}_D \ \Gamma_D. \ \{\text{out}_D \ (\text{in}_D \ \text{gr}) \simeq \text{gr}\} = \beta. \\ & \text{lambek2}_D: \ \forall \ \Gamma_D. \ \Pi \ \text{d:} \ \text{Fix}_D \ \Gamma_D. \ \{\text{in} \ (\text{out} \ d) \simeq d\} \\ & = \text{induction}_D \cdot (\lambda \ \Gamma_D. \ \lambda \ \text{x:} \ \text{Fix}_D \ \Gamma_D. \ \{\text{in} \ (\text{out} \ \text{x}) \simeq \text{x}\}) \\ & \quad (\Lambda \ R. \ \Lambda \ c. \ \lambda \ o. \ \Lambda \ \text{eq.} \ \lambda \ \text{ih.} \ \lambda \ \text{gr.} \ \beta). \end{split} & \text{inductionCancel}_D: \ \forall \ P: \ (\Pi \ \Gamma_D. \ \text{Fix}_D \ \Gamma_D \to \star). \\ & \quad \Pi \ \text{alg:} \ \text{PrfAlg} \ \cdot P \to \forall \ \Gamma_D. \ \Pi \ \text{fr:} \ F \cdot \text{Fix}_D \ \Gamma_D. \\ & \{ \ \text{induction}_D \ \text{alg} \ (\text{in} \ \text{gr}) \simeq \text{alg} \ \text{out}_D \ (\text{induction}_D \ \text{alg}) \ \text{fr} \} \\ & = \lambda \ \_. \ \lambda \ \_. \ \beta. \end{split}
```

That is,  $in_D$  and  $out_D$  are inverses of each other and  $induction_D$  behaves like a fold (where the algebra takes the additional  $out_D$  argument).

### 6.4 Sum-of-Products Induction

As stated above, every inductive data-type declaration  $\operatorname{Ind}_I[p](\Gamma_I := \Sigma)$  is first translated to a type-scheme IF where all recursive occurrences of type I in the constructor signatures  $\Sigma$  have been replaced by the scheme's argument R. In this subsection describe that process more precisely and explain "sum-of-products" induction for IF

First, as the kind of I is  $\Pi$   $\Gamma_{\mathtt{p}}$ .  $\Pi$   $\Gamma_{\mathtt{D}}$ .  $\star$ , where  $\Gamma_{\mathtt{p}}$  are the parameters and  $\Gamma_{\mathtt{D}}$  the indices, it follows that the kind of IF is  $\Pi$   $\Gamma_{\mathtt{p}}$ .  $\Pi$  R:  $(\Pi$   $\Gamma_{\mathtt{D}}$ .  $\star$ ).  $(\Pi$   $\Gamma_{\mathtt{D}}$ .  $\star$ ). Next, each constructor  $c_j$  has type  $\Sigma(c_j)$  which we know has the form  $\forall \Gamma_j$ . I  $\Gamma_p$   $\overline{t_j}$  (that is, some number of arguments  $\Gamma_j$  with a return type constructing the inductive data-type I). All recursive occurrences of I in  $\Gamma_j$  are substituted away with  $\lambda$   $\Gamma_{\mathtt{p}}$ . R to produce  $\Gamma_j^R$ . With that, we may defined IF as

$$\lambda \ \Gamma_{\mathtt{p}} \ \mathtt{R} \ \Gamma_{\mathtt{D}}. \ \forall X : \Pi \ \Gamma_{\mathtt{D}}. \star . (\Pi \ c_j : (^\Pi_{\forall} \Gamma^R_j. \ X \ \overline{t_j}))_{j=1..n}. \ X \ \Gamma_{\mathtt{D}}$$

**Example** The data-type declaration of Vec translates to:

An induction principle for each of these non-recursive sum-of-products types IF can be defined in an automated way following the recipe given by [TODO]; in general these have the following shape:

indIF: 
$$\forall \ \Gamma_p$$
.  $\forall \ R$ :  $(\Pi \ \Gamma_D. \ \star)$ .  $\forall \ \Gamma_D$ .  $\Pi \ fr$ : IF  $\Gamma_p \cdot R \ \Gamma_D$ .  $\forall \ P$ :  $(\Pi \ \Gamma_D. \ IF \ \Gamma_p \cdot R \ \Gamma_D \rightarrow \star)$   $(\Pi \ p_j : \ ^H_j \ \Gamma^R_j . \ P \ (c_j \ \Gamma^R_j))_{j=1..n}$ .  $P \ \Gamma_D \ fr = <...>$ 

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