

# Query Generation

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# Outline

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- 2 Embedding-Based Test Generation
- 3 Query Type Taxonomy
- 4 LLM-Based Generation Process
- 5 Practical Implementation
- 6 Evaluation and Testing
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# What is Query Generation?

## Core Definition

Query Generation is a **reverse process** to instruct LLMs to generate specific query types given context samples for comprehensive system testing.

## Key Objectives:

- Evaluate system's ability to retrieve relevant contexts
- Generate accurate responses across diverse scenarios
- Stress-test capabilities: reasoning, recall, synthesis, generalization
- Ensure comprehensive coverage of system functionality

## Why It Matters

Enables targeted stress-testing and scenario-based evaluation of system capabilities under realistic and diverse user inputs.

# Query Generation Benefits

## **Comprehensive Assessment**

- Tests retrieval and generation accuracy
- Covers all topics systematically
- Enables scenario-based testing
- Identifies specific weaknesses

## **Diverse Coverage**

- Fact extraction to analytical reasoning
- Multiple linguistic formats
- Noise and ambiguity handling
- Structured data interpretation

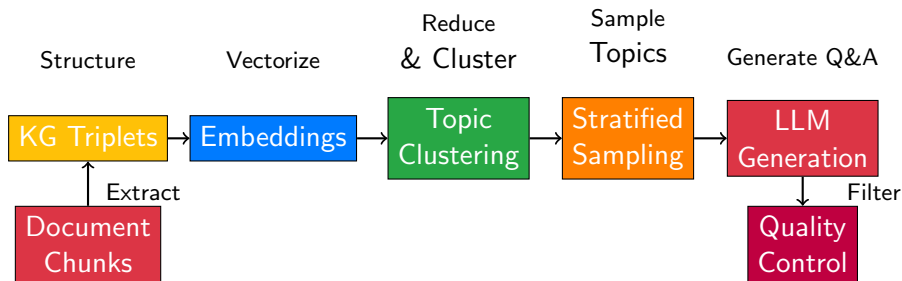
## **Automated Scale**

- LLM-powered generation
- Stratified sampling approach
- Quality control mechanisms
- Consistent output formats

## **Robust Evaluation**

- Consistency across variations
- Error tolerance testing
- Edge case identification
- Performance evaluation of specific use case

# Embedding-Driven Workflow



## Process Steps:

- 1 **Structuring:** Convert document chunk to triplets
- 2 **Vectorization:** Convert triplets to embeddings
- 3 **Clustering:** Group similar content into topics using dimensionality reduction
- 4 **Stratified Sampling:** Ensure representative coverage across all topics
- 5 **Generation:** Use LLMs to create diverse query types from samples
- 6 **Quality Control:** Filter and validate generated Q&A pairs

# Topic Stratification and Sampling

## Stratification Strategy

Topics (clusters of similar contents) define stratification to guarantee comprehensive test coverage.

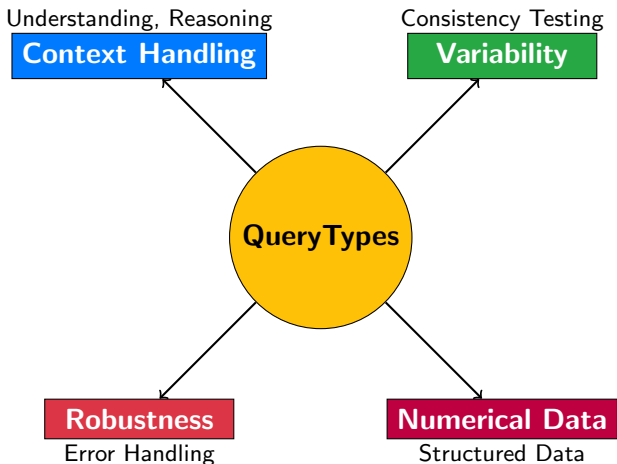
### Sampling Approaches:

- **Random Samples:** Basic sampling from each topic cluster
- **Twinning Samples:** Guarantee distribution replication with statistical properties preservation

**Coverage Guarantee: Embedding-based stratification ensures that test generation covers the full semantic space of the document corpus systematically**

- Samples from each topic as contexts
- Ensures no semantic domain is left untested
- Maintains proportional representation
- Enables targeted evaluation of specific areas

# Four Main Query Categories



Each category tests different aspects of system capabilities with specific objectives and evaluation criteria.

# Context Handling Queries

## Objective

Test system's ability to retrieve right contexts and to understand, recall, reason, synthesize, and generalize.

## Query Subtypes:

- **Fact Extraction:** Extract specific facts without additional reasoning
- **Definition & Explanation:** Test ability to define or explain terms
- **Procedural:** Understanding of processes or sequences
- **Analytical Reasoning:** Logical analysis and synthesis
- **Multi-Hop Reasoning:** Integration across multiple context parts

## Example System Prompt

**Fact Extraction:** "Given the following context, generate a question that extracts a specific fact or detail without requiring additional reasoning. Ensure the question is concise and unambiguous."



# Variability Queries

## Objective

Test whether system generates consistent responses to similar inputs and manages variability across different contexts and prompts.

## Query Subtypes:

- **Paraphrased Queries:** Similar queries phrased differently
- **Repeated Queries:** Same query multiple times for consistency
- **Rephrased Follow-Up:** Different phrasings of follow-up questions
- **Multi-Round:** Sequential related queries building on each other
- **Fine-Grained Detail:** Focus on minor details for variability testing

## Example System Prompt

**Paraphrased:** "Given the following context, generate multiple paraphrased versions of a query asking for the same information in different ways."

# Robustness Queries

## Objective

Test system ability to handle ambiguity, errors, noise, domain-specific intricacies, and stress-test scenarios.

## Query Subtypes:

- **Ambiguous Queries:** Multiple plausible interpretations
- **Noisy Queries:** Typographical errors, grammar issues, mixed languages
- **Out-of-Context:** Information not present in context
- **Edge Cases:** Rare or boundary conditions
- **Domain Jargon:** Highly technical or niche terminology

## Example System Prompt

**Ambiguous:** "From the given context, generate a query with ambiguous phrasing or multiple valid interpretations that could confuse the retrieval process."

# Numerical, Table and Chart Queries

## Objective

Test whether system can accurately extract, interpret, and reason about structured and semi-structured data.

## Query Subtypes:

- **Comparative Numerical:** Compare numerical values (max, min, relations)
- **Trend Analysis:** Identify patterns in data over time
- **Table Lookup:** Retrieve specific information from tables
- **Chart Data Retrieval:** Extract specific values from charts
- **Cross-Referencing:** Reason across tables and charts together

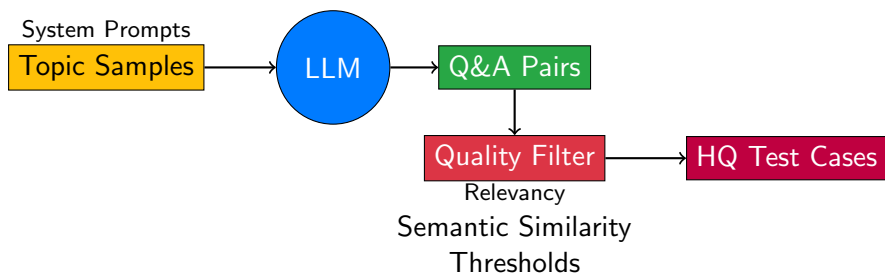
## Example System Prompt

**Trend Analysis:** "Generate a query about identifying trends or patterns in the numerical data provided in the context."

# Automated Query Generation Workflow

## Example Prompt:

"Based on the information of the given chunk, generate 10 Q&A pairs from the text following the designed format."



## Process Elements:

- **Structured Prompts:** Specific instructions for each query type
- **Template Formats:** Consistent output formatting
- **Quality Metrics:** Relevancy and semantic similarity evaluation
- **Threshold Filtering:** Remove low-quality generated pairs

# Quality Control and Evaluation

## Evaluation Metrics:

- **Relevancy:** How well does the question relate to the context?
- **Semantic Similarity:** Do questions and answers align semantically?
- **Coverage:** Are all important topics represented?
- **Diversity:** Do we have sufficient variety in query types?

## Quality Control Process:

- ① Calculate evaluation metrics for each Q&A pair
- ② Set reasonable quality thresholds
- ③ Filter out low-quality test cases
- ④ Ensure balanced representation across categories
- ⑤ Validate against human-created gold standards

## Best Practice

Always implement quality control mechanisms to ensure generated test cases meet evaluation standards and provide meaningful system insights.

## Step-by-Step Implementation:

### ① Data Preparation

- Chunk documents appropriately
- Generate embeddings for all triplets
- Perform clustering and topic identification

### ② Sampling Strategy

- Implement stratified sampling across topics and query types
- Create twinning samples for distribution replication
- Ensure adequate coverage of all semantic domains

### ③ Prompt Engineering

- Design category-specific system prompts
- Create output templates for consistency

### ④ Generation and Validation

- Generate Q&A pairs using LLMs
- Apply quality control filters
- Validate against evaluation metrics

# System Testing Framework

## Context Handling:

- Understanding
- Recall
- Reasoning
- Synthesis
- Generalization

## Robustness:

- Ambiguity handling
- Error tolerance
- Noise resistance
- Domain adaptation

## Consistency:

- Response stability
- Format consistency
- Multi-turn coherence
- Paraphrase handling

## Data Processing:

- Numerical reasoning
- Table interpretation
- Chart analysis
- Cross-referencing

Comprehensive Coverage: Query generation enables systematic testing of all critical system capabilities through diverse, automatically generated test scenarios.

# Best Practices Summary

## Key Recommendations:

- 1 **Systematic Approach:** Use embedding-based stratification for comprehensive coverage
- 2 **Diverse Categories:** Implement all four query types for complete evaluation
- 3 **Quality Control:** Always filter generated content using multiple metrics
- 4 **Template Consistency:** Use structured prompts and output formats
- 5 **Multi-Step Processing:** Break complex tasks into manageable subtasks
- 6 **Continuous Improvement:** Regularly update based on evaluation results

## Success Factors

Effective query generation requires careful balance of systematic sampling, diverse query types, quality control, and continuous refinement based on evaluation results.



# Key Takeaways

## ① Systematic Testing is Essential

- Query generation enables comprehensive RAG evaluation
- Embedding-based stratification ensures complete coverage
- Four query categories test different system capabilities

## ② Automation Scales Evaluation

- LLM-powered generation handles large-scale testing
- Structured prompts ensure consistent, high-quality outputs
- Multi-step processes improve generation quality

## ③ Quality Control is Critical

- Multiple evaluation metrics prevent low-quality tests
- Template formats ensure output consistency
- Continuous refinement improves system effectiveness

## ④ Comprehensive Coverage Enables Insights

- Diverse query types reveal different system strengths/weaknesses
- Systematic sampling ensures no gaps in evaluation
- Results guide targeted system improvements