decision trees

September 13, 2022

1 Decision Trees for Classification

Implementing decision trees for classification on the spam dataset to determine whether or not an email is spam.

```
[42]: from collections import Counter

import numpy as np
from numpy import genfromtxt
import matplotlib.pyplot as plt

import scipy.io
from scipy import stats
from sklearn.model_selection import train_test_split

import sys

import random
random.seed(246810)
np.random.seed(246810)
```

1.1 Setup: Data Preprocessing

```
break
            onehot_features.append(term[0])
            onehot_encoding.append((data[:, col] == term[0]).astype(float))
        data[:, col] = '0'
    onehot_encoding = np.array(onehot_encoding).T
    data = np.hstack(
        [np.array(data, dtype=float),
         np.array(onehot_encoding)])
    # Replace missing data with the mode value. We use the mode instead of
    # the mean or median because this makes more sense for categorical
    # features such as gender or cabin type, which are not ordered.
    if fill mode:
        for i in range(data.shape[-1]):
            mode = stats.mode(data[((data[:, i] < -1 - eps) +</pre>
                                     (data[:, i] > -1 + eps))][:, i]).mode[0]
            data[(data[:, i] > -1 - eps) *
                 (data[:, i] < -1 + eps)][:, i] = mode
    return data, onehot_features
def evaluate(dtree, X, y, folds=5):
    print("Cross Validation:")
    train accuracies = []
    val accuracies = []
    for i in range(folds):
        X_train, X_val, y_train, y_val = train_test_split(X, y, test_size=0.2,_
 →random state=i)
        train_preds = dtree.predict(X_train)
        assert(train_preds.shape == y_train.shape)
        train_accuracy = np.sum(train_preds == y_train) / y_train.shape[0]
        train_accuracies.append(train_accuracy)
        val preds = dtree.predict(X val)
        assert(val_preds.shape == y_val.shape)
        val_accuracy = np.sum(val_preds == y_val) / y_val.shape[0]
        val_accuracies.append(val_accuracy)
    avg_train_accuracy = np.mean(train_accuracies)
    avg_val_accuracy = np.mean(val_accuracies)
    print('averaged train accuracy:', avg_train_accuracy)
    print('averaged validation accuracy:', avg_val_accuracy)
    return avg_train_accuracy, avg_val_accuracy
```

```
[44]: eps = 1e-5 # a small number
```

```
dataset = "spam"
N = 100
if dataset == "spam":
    features = [
        "pain", "private", "bank", "money", "drug", "spam", "prescription",
        "creative", "height", "featured", "differ", "width", "other",
        "energy", "business", "message", "volumes", "revision", "path",
        "meter", "memo", "planning", "pleased", "record", "out",
        "semicolon", "dollar", "sharp", "exclamation", "parenthesis",
        "square_bracket", "ampersand"
    assert len(features) == 32
    # Load spam data
    path_train = './datasets/spam_data/spam_data.mat'
    data = scipy.io.loadmat(path_train)
    X = data['training_data']
    y = np.squeeze(data['training_labels'])
    Z = data['test_data']
    class_names = ["Ham", "Spam"]
else:
    raise NotImplementedError("Dataset %s not handled" % dataset)
print("Features", features)
print("Train/test size", X.shape, Z.shape)
```

```
Features ['pain', 'private', 'bank', 'money', 'drug', 'spam', 'prescription', 'creative', 'height', 'featured', 'differ', 'width', 'other', 'energy', 'business', 'message', 'volumes', 'revision', 'path', 'meter', 'memo', 'planning', 'pleased', 'record', 'out', 'semicolon', 'dollar', 'sharp', 'exclamation', 'parenthesis', 'square_bracket', 'ampersand']
Train/test size (5172, 32) (5857, 32)
```

1.2 1) Decision Tree Implementation

```
Attributes:
            - self.max_depth (int): Maximum depth of the tree
            - self. features (List of strings): features that are used to make_{\sqcup}
→splits in the decision tree (Basically only used in the __repr__ function)
           - self.left (DecisionTree): Left subtree of this decision tree
            - self.right (DecisionTree): Right subtree of this decision tree
           - self.split_feature (int): The index that corresponds to the -
\hookrightarrow feature in self.features that was used to
                                     split this Decision Tree into its left and
\neg right branches
            - self.thresh (int): If the value of the split feature is less than_{\sqcup}
⇔self.thresh, the data point will
                                 go to the left subtree. Otherwise, it will go \Box
\hookrightarrow to the right subtree
           - self.leaf_samples (int): The number of samples that are_
⇔classified at a leaf node
           - self.pred (int): The prediction made at a leaf node. Only_{\sqcup}
⇔assigned at a leaf node.
           - self.min_samples_split (int): The
       self.max_depth = max_depth
       self.features = features
       self.left, self.right = None, None # Attributes only for non-leaf nodes
       self.split feature, self.thresh = None, None # Attributes only for
\hookrightarrow non-leaf nodes
       self.leaf_samples, self.pred = None, None # Attributes only for leaf_
\rightarrownodes
       self.min_samples_split = min_samples_split
  Ostaticmethod
  def entropy(y):
       Calculate the entropy of the tree at the current node. Remember to take \sqcup
⇒care of the special case where we
       must handle log(0), in which case the entropy should be 0!
       Inputs:
           - y: n \times 1 vector of class labels for each data point (either 0 or \Box
\hookrightarrow 1)
       Outputs:
           - Entropy: scalar value between 0 and 1
        111
```

```
y_count = np.bincount(y)
       y_prob = y_count/len(y)
       if y_prob.all() == 0:
           return 0
       y_prob = y_prob[y_prob != 0]
       return -sum(y_prob * np.log(y_prob))
  Ostaticmethod
  def information_gain(X_feat, y, thresh):
       Calculate the information gain from splitting the data based on a_{\sqcup}
⇒specific feature at a specific threshold
       Inputs:
           - X_{feat}: n \times 1 vector representing a column of the X data matrix.
\Rightarrow (a single feature)
           - y: n \times 1 vector of class labels for each data point (either 0 or_{\sqcup}
→1)
           - thresh: The threshold scalar value to split the feature on
       Outputs:
           - Information Gain: Scalar value between 0 and 1
       split_dat1 = y[np.where(X_feat < thresh)]</pre>
       split_dat2 = y[np.where(X_feat >= thresh)]
       dt_ent = DecisionTree.entropy(y)
       dt_entsplit1 = DecisionTree.entropy(split_dat1)
       dt_entsplit2 = DecisionTree.entropy(split_dat2)
       info_gain = dt_ent - ((dt_entsplit1*len(split_dat1) +__

dt_entsplit2*len(split_dat2)) / len(y))

       return info_gain
  def feature_split(self, X, y, feature, thresh):
       111
       Split the data into two halves based on a specific feature at a_{\sqcup}
⇔specific threshold
```

```
Inputs:
            - X: n x d matrix
            - y: n \times 1 vector of class labels for each data point (either 0 or \Box
\hookrightarrow1)
            - feature: An index in the range [0, d-1] that represents a_{11}
⇒specific feature in the data matrix
            - thresh: The threshold scalar value to split the feature on
       Outputs:
           - XO: k \times d matrix representing the k data points that are split \sqcup
⇒into the left subtree
           - y0: k x 1 vector representing the k class labels that are split_{\sqcup}
→into the left subtree
            - X1: (n - k) x d matrix representing the n - k data points that
→are split into the right subtree
           - y1: (n - k) x 1 vector representing the n - k class labels that \sqcup
⇒are split into the right subtree
        111
       X0 = X[np.where(X[:,feature] < thresh)]</pre>
       y0 = y[np.where(X[:,feature] < thresh)]</pre>
       X1 = X[np.where(X[:,feature] >= thresh)]
       y1 = y[np.where(X[:,feature] >= thresh)]
       return XO, yO, X1, y1
   def find_feature_thresh(self, X, y, feature):
       Given the data and the feature, find the best threshold for the feature_
⇒split. Choose the threshold
       from 10 evenly spaced values between the (min value + eps) and
\rightarrow (max_value - eps) for the feature.
       We need to include the +/- eps for min and max values because without_{\sqcup}
\ominus it, there is a chance that the
       training algorithm will split the data on the min or max value, which \sqcup
\hookrightarrow is not a useful split
       Hint: You may find np.linspace helpful
       Inputs:
            - X: n x d matrix
            - y: n \times 1 vector of class labels for each data point (either 0 or_{\sqcup}
⇔1)
```

```
- feature: An index in the range [0, d-1] that represents a_{\sqcup}
⇒specific feature in the data matrix
       Outputs:
           - max_ig: The largest information gain that is attained
           - best thresh: The best threshold value that gives us max ig
       threshh = np.linspace(min(X[:, feature]) + eps, max(X[:, feature]) -
⊶eps)
      max_ig = -sys.maxsize
      best_thresh = -sys.maxsize
      for t in threshh:
           val_check = self.information_gain(X[:, feature], y, t)
           if val_check > max_ig:
               best_thresh = t
           max_ig = max(val_check, max_ig)
      return max_ig, best_thresh
  def find_best_feature_split(self, X, y):
       Find the best feature and threshold to split on
       Inputs:
           - X: n x d matrix
           - y: n x 1 vector of class labels for each data point (either 0 or_{\sqcup}
→1)
       Outputs:
           - best_feature: An index in the range [0, d-1] that represents the \sqcup
⇒best feature in the data matrix to split on
           - best_thresh: The best threshold value for best_feature
       111
      best_feature = -sys.maxsize
      best_thresh = -sys.maxsize
      best_featureind = 0
       for i in range(X.shape[1] - 1):
           info_gain, threshh = self.find_feature_thresh(X, y, i)
           if best_feature < info_gain:</pre>
               best_feature = info_gain
```

```
best_featureind = i
               best_thresh = threshh
       return best_featureind, best_thresh
  def fit(self, X, y):
       Fit the decision tree
       Inputs:
           - X: n x d matrix
           - y: n \times 1 vector of class labels for each data point (either 0 or \Box
⇔1)
       Outputs:
           - None
       if self.max_depth > 0:
           self.split_feature, self.thresh = self.find_best_feature_split(X, y)
           X0, y0, X1, y1 = self.feature_split(X, y, self.split_feature, self.
→thresh)
           if len(X0) >= self.min_samples_split and len(X1) >= self.

→min_samples_split:
               self.left = DecisionTree(self.max_depth - 1, self.features,__
self.min_samples_split)
               self.left.fit(X0, y0)
               self.right = DecisionTree(self.max_depth - 1, self.features,__
⇔self.min_samples_split)
               self.right.fit(X1, y1)
           else:
               self.max_depth = 0
               self.leaf_samples = X
               self.pred = stats.mode(y).mode[0]
       else:
           self.leaf_samples = X
           self.pred = stats.mode(y).mode[0]
```

```
def predict_split(self, X, idx, thresh):
    idx0 = np.where(X[:, idx] < thresh)[0]</pre>
    idx1 = np.where(X[:, idx] >= thresh)[0]
    X0, X1 = X[idx0, :], X[idx1, :]
    return XO, idxO, X1, idx1
def predict(self, X):
    if self.max depth == 0:
        return self.pred * np.ones(X.shape[0])
    else:
        X0, idx0, X1, idx1 = self.predict_split(
            X, idx=self.split_feature, thresh=self.thresh)
        yhat = np.zeros(X.shape[0])
        yhat[idx0] = self.left.predict(X0)
        yhat[idx1] = self.right.predict(X1)
        return yhat
def __repr__(self):
    if self.max_depth == 0:
        return "[Leaf: %s (%s)]" % (self.pred, self.leaf_samples)
    else:
        return "[%s < %s: %s | %s]" % (self.features[self.split_feature],</pre>
                                        self.thresh, self.left. repr (),
                                        self.right.__repr__())
```

1.2.1 Testing Decision Tree

```
⇔0, 0,
          1, 1, 0, 1, 0, 1, 1, 1, 1, 1, 1, 1, 1, 0, 0, 1, 1, 1, 1, 1, 1, 1,
          1, 1, 0, 0, 0, 0, 0, 1, 1, 0, 0, 1, 1, 0, 1, 1, 0, 1, 1, 0, 0, 0,
          1, 0, 1, 1, 0, 0, 1, 1, 0, 1, 1, 0, 1, 1, 0, 1, 0, 1, 0, 1, 1, 0,
          0, 1, 1, 0, 0, 1, 0, 0, 1, 0, 0, 0]
    ⇔0, 0,
          0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]
     ^{\prime\prime\prime} The first values in the assertions correspond to entropy computed using _{\sqcup}
     \hookrightarrow natural log.
        The second values correspond to entropy computed using log base 2'''
    assert(DecisionTree.entropy(test1) == 0.6913460990017393 or DecisionTree.
     \rightarrowentropy(test1) == 0.9974015885677396)
    print("Test 1 Passed")
    assert(DecisionTree.entropy(test2) == 0.6859298002523728 or DecisionTree.
     ⇔entropy(test2) == 0.9895875212220556)
    print("Test 2 Passed")
    assert(DecisionTree.entropy(test3) == 0.6881388137135884 or DecisionTree.
     \rightarrowentropy(test3) == 0.9927744539878083)
    print("Test 3 Passed")
    assert(DecisionTree.entropy(test4) == 0)
    print("Test 4 Passed")
    assert(DecisionTree.entropy(test4 + 1) == 0)
    print("Test 5 Passed")
    print("All Test Cases Passed")
    Entropy Test Cases
    Test 1 Passed
    Test 2 Passed
    Test 3 Passed
    Test 4 Passed
    Test 5 Passed
    All Test Cases Passed
[47]: X_train, X_val, y_train, y_val = train_test_split(X, y, test_size=0.2)
[48]: # Basic decision tree
    print('======')
```

print("\n\nYour decision tree")

```
dt = DecisionTree(max_depth=3, features=features)
print(X_train)
print(y_train)
dt.fit(X_train, y_train)
print("Predictions", dt.predict(Z)[:100])
print("Tree structure", dt.__repr__())
```

```
Your decision tree
[[0. 0. 0. ... 1. 0. 0.]
[0. 0. 0. ... 0. 0. 0.]
[0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]]
[0 0 0 ... 0 1 1]
Predictions [0. 1. 1. 1. 1. 0. 0. 0. 0. 1. 0. 0. 0. 1. 1. 0. 1. 1. 1. 0. 0. 1.
0. 0. 1. 0. 0. 1. 0. 0. 0. 0. 0. 1. 0. 1. 0. 1. 0. 1. 0. 0. 0. 0. 0.
0. 1. 0. 0. 0. 0. 1. 1. 1. 0. 1. 1. 0. 0. 1. 1. 0. 1. 0. 1. 0. 1. 0. 1. 0. 1.
0. 0. 1. 0. 0. 1. 0. 1. 0. 0. 0. 1. 0. 0. 0. 1. 0. 0. 0. 0. 0. 0. 0. 0. 1.
0. 0. 0. 0.]
Tree structure [exclamation < 1e-05: [meter < 1e-05: [parenthesis < 1e-05:
[Leaf: 0 ([[0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]])] | [Leaf: 0 ([[0. 0. 0. ... 1. 0. 0.]
 [0. 0. 0. ... 1. 0. 0.]
 [0. 0. 0. ... 1. 0. 0.]
 [0. 0. 0. ... 1. 0. 0.]
 [0. 0. 0. ... 1. 0. 0.]
 [0. 0. 0. ... 1. 0. 0.]])]] | [Leaf: 0 ([[0. 0. 0. ... 0. 0. 1.]
 [0. 0. 0. ... 3. 0. 0.]
 [0. 0. 0. ... 1. 0. 1.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
 [0. 0. 0. ... 1. 0. 0.]])]] | [meter < 1e-05: [money < 1e-05: [Leaf: 1 ([[0. 0.
0. ... 0. 0. 0.]
 [0. 0. 0. ... 0. 0. 0.]
```

```
[2. 0. 0. ... 0. 0. 0.]
      [0. 0. 0. ... 3. 0. 0.]
      [0. 0. 0. ... 4. 0. 0.]
      [0. 0. 0. ... 0. 0. 0.]])] | [Leaf: 1 ([[0. 0. 0. ... 0. 0. 0.]
      [0. 0. 0. ... 0. 0. 0.]
      [0. 1. 0. ... 0. 1. 0.]
      [0. 0. 0. ... 4. 0. 0.]
      [0. 0. 0. ... 0. 0. 0.]
      [0. 0. 0. ... 4. 1. 0.]])]] | [Leaf: 0 ([[0. 0. 0. ... 3. 0. 0.]
      [0. 0. 0. ... 1. 0. 0.]
      [0. 0. 0. ... 0. 0. 1.]
      [0. 0. 0. ... 0. 0. 0.]
      [0. 0. 0. ... 2. 0. 1.]
      [0. 0. 0. ... 1. 0. 0.]])]]]
[49]: |print('=======')
      print("\n\nCross Validation on your decision tree")
      print()
      evaluate(dt, X, y)
      def evaluate(dtree, X, y, folds=5, display=True):
          if display:
              print("Cross Validation:")
          train_accuracies = []
          val_accuracies = []
          for i in range(folds):
              X_train, X_val, y_train, y_val = train_test_split(X, y, test_size=0.2,_
       →random_state=i)
                                                  ### Inserted this line here
              dtree.fit(X_train, y_train)
              train_preds = dtree.predict(X_train)
              assert(train_preds.shape == y_train.shape)
              train_accuracy = np.sum(train_preds == y_train) / y_train.shape[0]
              train_accuracies.append(train_accuracy)
              val_preds = dtree.predict(X_val)
              assert(val_preds.shape == y_val.shape)
              val_accuracy = np.sum(val_preds == y_val) / y_val.shape[0]
              val_accuracies.append(val_accuracy)
          avg_train_accuracy = np.mean(train_accuracies)
          avg_val_accuracy = np.mean(val_accuracies)
          print('averaged train accuracy:', avg_train_accuracy)
          print('averaged validation accuracy:', avg_val_accuracy)
```

```
return avg_train_accuracy, avg_val_accuracy
```

```
Cross Validation on your decision tree

Cross Validation:
averaged train accuracy: 0.7810974135847233
averaged validation accuracy: 0.7735265700483092
```

1.3 2) Tree Depth vs. Performance

```
[50]: train_accuracy_depth = []
  validation_accuracy_depth = []
  for depth in range(1, 40):
        print(depth)

        X_train, X_val, y_train, y_val = train_test_split(X, y, test_size=0.2)

        dt = DecisionTree(max_depth=depth, features=features)
        dt.fit(X_train, y_train)

        accuracy = evaluate(dt, X, y)

        train_accuracy_depth.append(accuracy[0])
        validation_accuracy_depth.append(accuracy[1])
```

```
Cross Validation:
averaged train accuracy: 0.7642252840222383
averaged validation accuracy: 0.758840579710145
2
Cross Validation:
averaged train accuracy: 0.7810974135847233
averaged validation accuracy: 0.7735265700483092
3
Cross Validation:
averaged train accuracy: 0.7810974135847233
averaged train accuracy: 0.7810974135847233
averaged validation accuracy: 0.7735265700483092
4
Cross Validation:
averaged train accuracy: 0.7909112883732173
averaged validation accuracy: 0.7851207729468598
5
Cross Validation:
```

averaged train accuracy: 0.7987430505197003 averaged validation accuracy: 0.7920772946859903 Cross Validation: averaged train accuracy: 0.8064297800338409 averaged validation accuracy: 0.801159420289855 Cross Validation: averaged train accuracy: 0.8165820642978003 averaged validation accuracy: 0.8123671497584543 Cross Validation: averaged train accuracy: 0.8211264201111916 averaged validation accuracy: 0.8166183574879227 Cross Validation: averaged train accuracy: 0.8231568769639835 averaged validation accuracy: 0.8133333333333335 10 Cross Validation: averaged train accuracy: 0.8274111675126903 averaged validation accuracy: 0.8098550724637681 Cross Validation: averaged train accuracy: 0.833695914914189 averaged validation accuracy: 0.8146859903381642 12 Cross Validation: averaged train accuracy: 0.8394488759970994 averaged validation accuracy: 0.8144927536231883 13 Cross Validation: averaged train accuracy: 0.8425912496978487 averaged validation accuracy: 0.81487922705314 Cross Validation: averaged train accuracy: 0.8445733623398597 averaged validation accuracy: 0.8146859903381642 15 Cross Validation: averaged train accuracy: 0.8470872613004593 averaged validation accuracy: 0.8154589371980677 16 Cross Validation: averaged train accuracy: 0.8486826202562243 averaged validation accuracy: 0.8171980676328502 17

Cross Validation:

averaged train accuracy: 0.8496978486826203 averaged validation accuracy: 0.8189371980676328 18

Cross Validation:

averaged train accuracy: 0.8510031423737008 averaged validation accuracy: 0.8208695652173912

Cross Validation:

averaged train accuracy: 0.8522117476432196 averaged validation accuracy: 0.8183574879227052 20

Cross Validation:

averaged train accuracy: 0.8531786318588349 averaged validation accuracy: 0.8204830917874396

Cross Validation:

averaged train accuracy: 0.8539521392313271 averaged validation accuracy: 0.8216425120772948 22

Cross Validation:

averaged train accuracy: 0.8545322697606961 averaged validation accuracy: 0.8222222222222222223

Cross Validation:

averaged train accuracy: 0.8548706792361613 averaged validation accuracy: 0.822222222222222224

Cross Validation:

averaged train accuracy: 0.8556441866086537 averaged validation accuracy: 0.822222222222222225

Cross Validation:

averaged train accuracy: 0.855982596084119 averaged validation accuracy: 0.8220289855072463

Cross Validation:

averaged train accuracy: 0.8563210055595842 averaged validation accuracy: 0.8218357487922706 27

Cross Validation:

averaged train accuracy: 0.8565143824027073 averaged validation accuracy: 0.8216425120772947 28

Cross Validation:

averaged train accuracy: 0.8565143824027073 averaged validation accuracy: 0.8216425120772947 29

Cross Validation:

averaged validation accuracy: 0.8216425120772947 30 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 32 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 34 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 36 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 37 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 39 Cross Validation: averaged train accuracy: 0.8566594150350495 averaged validation accuracy: 0.8218357487922706 [51]: x1 = train_accuracy_depth x2 = validation_accuracy_depth plt.figure(figsize=(12,10))

averaged train accuracy: 0.8565143824027073

```
plt.plot(range(1,40), x1, label="Training")
plt.plot(range(1,40), x2, label="Validation")

plt.plot(range(1,40), np.ones(39)*0.8566594, 'b--')
plt.plot(range(1,40), np.ones(39)*0.8218357, 'r--')

plt.title("Depth vs. Accuracy")
plt.xlabel("Depth")
plt.ylabel("Accuracy")
plt.legend()
```

[51]: <matplotlib.legend.Legend at 0x7f5c7d9eb940>

