CSE 535 - Information Retrieval

Project 2: IR Models, Query Processing and Evaluation

Introduction:

There are various IR Models available to perform scoring and ranking namely the Vector Space Model, BM25. These are different models which give results that vary with the collection that they are implemented on and the way the scoring and ranking are done. The project compares these models for their performance on the TREC 4,5 collections. Once the models are compared for their performance and a baseline is obtained, query processing is done to improve the performance and see how the queries which leverage more of the information need actually improve the performance. This is then compared with the baseline performance to understand the different query expansion techniques and how they can improve performance.

Section 1:

This section describes the step wise procedure to execute the baseline code and how the performance metrics are compared. Comparisons give an idea of which is the better model given an expected IR system, the model that is having better early precision, the model that has better results for varying lengths of the query and for out of vocabulary terms present in the query.

This section also tries to explain how the code can be modified to accommodate Boolean queries and how the parameters of the various models can be modified to improve the performance.

Baseline code execution steps:

The project consists of two parts, one being the java code that is capable of producing the ranked results for both the models and another is the evaluation program called trec_eval that is responsible for comparing the given relevance judgments against the produced results and provide with statistics for each of the scenarios executed.

The output of the first part is always stored into result/result.out and then used while running trec_eval against test-data/qrels.trec6-8.nocr.

1. BatchSearch.java:

This is the program used to perform a search on the given index and obtain results for a given set of queries at a time. The file can be run in the following manner:

java BatchSearch [-index dir] [-simfn similarity] [-field f] [-queries file]

where the similarity function can be specified as either "default" for Vector Space Model similarity computation or "bm25" for BM25 model similarity computation. The field gives the filed which has to be searched for ("contents" is the only field that is present in the index so it is hardcoded in the program) and the index file/ directory, file that contains the queries is specified.

The purpose of doing batch search is to obtain the first 1000 results of all the 150 queries that are being executed from the test-data/title-queries.301-450. If there aren't as many as 1000 results for the given query then whatever has been found is printed as output.

2. Trec_eval:

The output of the above program should be in a format as follows:

Qid	iter	docno	rank	sim	run_id
301	Q0	FBIS4-41991	0	17.554089	bm25

where the similarity and qid-docno pair are used to compare with the available relevance judgments in order to come up with statistics about the IR model over the indexed collection. Here the ranking is done by sorting the similarity scores but rows with same scores are ranked based upon the earliness of retrieval i.e. the earlier document is ranked above the later one.

Comparison of trec_eval results for both the models:

Initially when the trec_eval is done on the output of VSM and BM25 models, the output is obtained as given by Figure 1 – Vector Space Model and Figure 2 – BM25 respectively. It is observed that the VSM model has slightly more performance for the given collection which is evident from the Mean Average Precision value which can be considered as a single value measure that estimates the precision of the model on the relevant documents retrieved averaged over all the information needs. The VSM has a MAP value of 0.1730 which is slightly greater than that of BM25 which has a MAP estimate of 0.1722. But, in case of early precision values: BM25 performs better when compared with VSM given that the precision @ K for K = 5,10,15,20,30,100 (where K represents the document retrieved) ,the values of precision is higher for BM25 model. It can be found that the R-Precision values are same for both the

models which explain that they have the top |Relevant| number of documents retrieved in the similar fashion or in other words the

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr test-data/default.out runid all default
num_q
                             all
                                       150
                                       137122
num_ret
                             all
num_rel
                             all
                                       13692
num_rel_ret
                             all
                                       6151
                             all
map
                                       0.1730
gm_map
                             all
                                       0.0819
                             all
Rprec
                                       0.2227
bpref
                             all
                                       0.2016
recip_rank
                             all
                                       0.5795
iprec_at_recall_0.00
                             all
                                       0.6342
iprec_at_recall_0.10
iprec_at_recall_0.20
                             all
                                       0.4274
                             all
                                       0.3101
iprec_at_recall_0.30
                             all
                                       0.2253
iprec_at_recall_0.40
iprec_at_recall_0.50
                             all
                                       0.1771
                             all
                                       0.1376
                                       0.0914
iprec_at_recall_0.60
                             all
iprec_at_recall_0.70
iprec_at_recall_0.80
                             all
                                       0.0649
                             all
                                       0.0424
iprec_at_recall_0.90
                             all
                                       0.0266
                                       0.0102
iprec_at_recall_1.00
                             all
P_5
P_10
P_15
P_20
                             all
                                       0.4307
                             all
                                       0.3733
                             all
                                       0.3373
                             all
                                       0.3113
 _30
_100
                             all
                                       0.2813
                             all
                                       0.1707
 _200
                             all
                                       0.1176
  500
                             all
                                       0.0666
  1000
                             all
                                       0.0410
```

Figure 1 - Vector Space Model

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr test-data/bm25.out runid all bm25
                                all
                                           150
num_q
num_ret
num_rel
                                all
                                           137116
                                all
                                           13692
num_rel_ret
                                all
                                          6064
                                all
                                          0.1722
map
gm_map
                                all
                                          0.0830
Rprec
                                all
                                          0.2227
                                all
bpref
                                          0.1989
                                all
recip_rank
                                          0.6016
iprec_at_recall_0.00 iprec_at_recall_0.10
                                all
                                          0.6535
                                all
                                          0.4348
iprec_at_recall_0.20 iprec_at_recall_0.30
                                all
                                          0.3143
                                all
                                          0.2216
iprec_at_recall_0.40 iprec_at_recall_0.50
                                all
                                          0.1700
                                all
                                          0.1289
iprec_at_recall_0.60
iprec_at_recall_0.70
                                all
                                          0.0867
                                all
                                          0.0592
iprec_at_recall_0.80 iprec_at_recall_0.90
                                all
                                          0.0359
                                all
                                          0.0158
                                all
iprec_at_recall_1.00
                                          0.0099
P_5
P_10
P_15
P_20
P_30
                                all
                                          0.4360
                                all
                                          0.3920
                                all
                                          0.3631
                                all
                                          0.3337
                                all
                                          0.2924
  _100
_200
                                all
                                          0.1708
                                          0.1162
                                all
  500
                                all
                                           0.0658
  1000
                                all
                                          0.0404
```

Figure 2 - BM25

ratio of the number of relevant documents retrieved in the first |Relevant| number of documents to the number of relevant documents is almost similar for both the models.

One of the standards for evaluating the IR models is 11-point interpolated-precision curve.

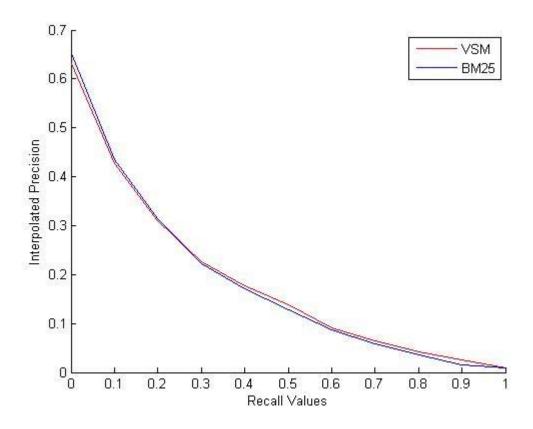


Figure 3 – Interpolated Precision

The Figure 3 – Interpolated Precision shows how the interpolated precision values vary at different recall levels. It is observed that both the models show a decreasing trend in the values of precision on increasing value of recall. But, the early interpolated values of precision in the BM25 model are slightly greater than that of VSM. This clearly supports the observation about early precision.

Effect of Query length on the models:

In case of longer queries that try to leverage the information need the values of MAP and interpolated precision values are better than the ones obtained for shorter queries in case of both the models. This can be verified by comparing the results of running a single query with just one word with those with 2 words and all the words (which is the normal title query for which the results were exhibited earlier). The results of which are represented by the following screenshots:

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr result/result.out
                             ali
                                       default
runid
num_q
                             all
                                       149
num_ret
                             all
                                       109264
num rel
                             all
                                       13631
num_rel_ret
                             all
                                       3563
                             all
                                       0.0802
map
gm_map
                             all
                                      0.0045
                                       0.1087
Rprec
                             all
bpref
                             all
                                      0.1098
recip_rank
iprec_at_recall_0.00
                             all
                                      0.2624
                                      0.2916
                             all
iprec_at_recall_0.10
                             all
                                      0.1897
iprec_at_recall_0.20
                             all
                                      0.1436
iprec_at_recall_0.30
iprec_at_recall_0.40
iprec_at_recall_0.50
                             all
                                      0.1033
                             all
                                      0.0774
                             all
                                      0.0598
iprec_at_recall_0.60
                             all
                                      0.0478
iprec_at_recall_0.70
                                      0.0383
                             all
iprec_at_recall_0.80
iprec_at_recall_0.90
                             all
                                      0.0288
                             all
                                      0.0201
iprec_at_recall_1.00
                             all
                                       0.0145
P_5
P_10
P_15
P_20
                                      0.1691
                             all
                             all
                                      0.1523
                                      0.1369
                             all
                             all
                                       0.1292
P_30
                             all
                                      0.1139
P_100
P_200
                                       0.0770
                             all
                             all
                                      0.0568
P 500
                             all
                                       0.0353
P_1000
                             all
                                      0.0239
```

Figure 4 - VSM 1 word queries

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr result/result.out
runid
                           all
                                    default
                           all
num_q
                                    150
num_ret
                           all
                                    129210
                                    13692
num_rel
                           all
num_rel_ret
                           all
                                    5287
                                    0.1344
map
                           all
                           all
gm_map
                                    0.0320
Rpгес
                           all
                                    0.1795
bpref
                           all
                                    0.1634
recip_rank
                                    0.4382
                           all
                                    0.4892
iprec_at_recall_0.00
                           all
iprec_at_recall_0.10
                           all
                                    0.3137
iprec_at_recall_0.20
                           all
                                    0.2437
iprec_at_recall_0.30
                                    0.1789
                           all
iprec_at_recall_0.40
iprec_at_recall_0.50
iprec_at_recall_0.60
                           all
                                    0.1431
                           all
                                    0.1105
                                    0.0755
                           all
iprec_at_recall_0.70
                           all
                                    0.0564
                                    0.0389
iprec_at_recall_0.80
                           all
iprec_at_recall_0.90
                           all
                                    0.0244
iprec_at_recall_1.00
                           all
                                    0.0104
P_5
                           all
                                    0.2960
P 10
                           all
                                    0.2800
P_15
                           all
                                    0.2564
                           all
                                    0.2370
 _20
 _
_30
_100
                           all
                                    0.2187
                                    0.1365
                           all
  200
                           all
                                    0.0967
 500
                           all
                                    0.0567
                                    0.0352
  _1000
                           all
```

Figure 5 - VSM 2 word queries

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr result/result.out
runid
                            all
                                     bm25
num_q
                            all
                                     149
num ret
                            all
                                     109263
                                     13631
                            all
num_rel
                                     3765
num_rel_ret
                            all
                                     0.0866
map
                            all
qm map
                            all
                                     0.0052
Rprec
                            all
                                     0.1140
                                     0.1137
bpref
                            all
recip_rank
                            all
                                     0.2829
iprec_at_recall_0.00
                            all
                                     0.3065
iprec_at_recall_0.10
                            all
                                     0.2037
iprec_at_recall_0.20
                            all
                                     0.1537
iprec_at_recall_0.30
iprec_at_recall_0.40
iprec_at_recall_0.50
                            all
                                     0.1114
                            all
                                     0.0820
                            all
                                     0.0646
iprec_at_recall_0.60
                            all
                                     0.0521
                                     0.0406
iprec_at_recall_0.70
                            all
iprec_at_recall_0.80
iprec_at_recall_0.90
                                     0.0296
                            all
                            all
                                     0.0206
iprec_at_recall_1.00
                            all
                                     0.0146
P_5
                            all
                                     0.1973
P_10
                                     0.1705
                            all
P_15
                            all
                                     0.1570
                                     0.1473
 _20
                            all
 _30
                            all
                                     0.1273
P_100
                            all
                                     0.0832
 _200
                                     0.0593
                            all
  500
                            all
                                     0.0373
P_1000
                                     0.0253
                            all
```

Figure 6 - BM25 1 word queries

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr result/result.out
                                     bm25
runid
                            all
num q
                            all
                                      150
                            all
                                      129209
num_ret
num_rel
                            all
                                      13692
num_rel_ret
                            all
                                      5300
                                     0.1368
                            all
map
gm map
                            all
                                      0.0331
                                      0.1833
Rprec
                            all
bpref
                            all
                                      0.1638
                                      0.4813
recip_rank
                            all
iprec_at_recall_0.00
                                      0.5256
                            all
iprec_at_recall_0.10
                            all
                                      0.3378
iprec_at_recall_0.20
                            all
                                     0.2433
iprec_at_recall_0.30
iprec_at_recall_0.40
iprec_at_recall_0.50
                            all
                                      0.1794
                            all
                                      0.1406
                            all
                                      0.1045
iprec at recall 0.60
                            all
                                      0.0779
iprec_at_recall_0.70
                            all
                                      0.0508
iprec_at_recall_0.80
iprec_at_recall_0.90
                            all
                                      0.0316
                            all
                                      0.0159
iprec_at_recall_1.00
                                      0.0100
                            all
P_5
                            all
                                      0.3467
P_10
                            all
                                      0.3067
P_15
P_20
P_30
                            all
                                      0.2818
                            all
                                      0.2583
                                      0.2331
                            all
P 100
                            all
                                      0.1417
P_200
                            all
                                      0.0976
 500
                            all
                                      0.0572
  1000
                            all
                                      0.0353
```

Figure 7 - BM25 2 word queries

```
// Queries which are of different word lengths
String[] pair = line.split(" ");
Query query;
if ( pair.length >= 3)
    query = parser.parse((pair[1]+" "+pair[2]).trim());
else if (pair.length == 2)
    query = parser.parse(pair[1]);
else
    continue;

doBatchSearch(in, searcher, pair[0], query, simstring);
```

Figure 8 – creating 2 word queries

The Figure 8 – creating 2 word queries shows how the given title queries are modified to 2 word queries. It is also experimented and found that any combination of 2 word queries formulated from the title query are found to satisfy the trend found between 1 word 2 word and normal title queries.

But, in case of expanded queries that actually don't leverage the information need it is found to reduce the performance of the system. This is found by adding random terms from the description or narrative into the query terms. Therefore it is understood from this experiment that the Out of Vocabulary terms actually reduce the performance of the system.

There is another striking observation which is, when the queries are expanded to include the contents of the description as well as the narrative; due to the length of the query VSM fails to produce higher performance whereas BM25 is capable of consuming the length to produce higher performance.

Model-Query	MAP	Relevant docs retrieved
VSM-title+description	0.1888	6342
BM25-title+description	0.1904	6523
VSM-title+description+narrative	0.1800	6223
BM25-title+description+narrative	0.1955	6697

Modification of parameters:

Parameters namely term frequency; inverse document frequency can be set for the similarity model while actually computing the scores. This will eventually improve the performance of the system. Since the number of terms can't be found out unless the documents are available, the values of Inverse Document Frequency (IDF) were modified. Earlier the mean value of IDF was 4.7538. This was estimated by making use of the Search explanations for the expanded query

which is made use of to compare the results in section 3 of the report. When this value was doubled, the scores improved slightly. This is done by making use of a custom scorer.

Once inside the Searcher, a Collector is used for the scoring and sorting of the search results. These important objects are involved in a search:

- 1. The Weight object of the Query. The Weight object is an internal representation of the Query that allows the Query to be reused by the Searcher.
- 2. The Searcher that initiated the call.
- 3. A Filter for limiting the result set. Note, the Filter may be null.
- 4. A Sort object for specifying how to sort the results if the standard score based sort method is not desired.

When the parameters of the BM25 model are varied, there is performance difference observed. Figure 9 – k modified BM25 model shows how the MAP values are varied as we vary the value of k from 0.1 to 0.9 keeping b at 0.5.

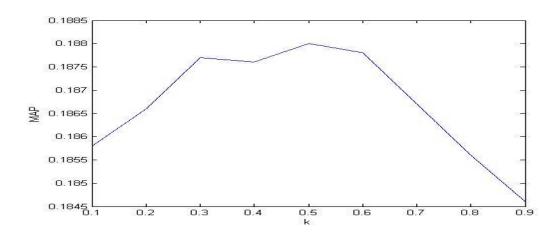


Figure 9 - k modified BM25 model

It can be inferred from the graph that the parameter values set at k = 0.5 and b = 0.5 maximum performance is obtained.

Boolean Model:

The search method which takes in the Collector object along with the query is used to process Boolean queries. BooleanQuery is the class that is made use of for formulating the queries. For example a negation and conjunction: (+X - Z) is represented by

BooleanQuery q = new BooleanQuery();.add(X, Occur.MUST); q.add(y, OCCUR MUST NOT);

The Collector API is responsible to incrementally add the query results for each query encountered.

Section 2:

This section presents an explanation about designed query processing module and shows how the techniques adopted in developing the module have been implemented in code.

The Query Processing module is developed by making use of two techniques:

- 1. Weighting different zones of the Topics file provided differently
- 2. Parts of Speech tagging

The three stages of query processing includes:

- 1. Text Parsing
- 2. Query Reformulation
- 3. Weighting/ Query Boosting

The various parts of the Query processor utilize the content present in topics.301-450 file. They try to leverage the queries that are used to evaluate the models upon all the three sections present in the information need provided by the 150 given topics. These are title, description and narrative. Though during the IR model evaluation without query processing took the title queries for the purpose of estimating the various collection statistics for each model, this method of taking into consideration the contents of the other two sections improves the performance of the system. Now, all the above parts of the designed query processor are explained in detail.

It is noted that the algorithm developed is aimed at replicating the QueryParserHelper class that is capable of handling the query processing by delegation of different activities to different components. It has a configuration module which is used to initiate the query processing. First step is query parsing. Then input query is treated as a node and is processed as a top-level query with the help of analyzers and filters. Then the query is built. The same way there is text parsing and then the query is reformulated and then built. The final step is weighting which is a heuristic that is used to improve the efficiency of the output. The complete flow is given in Figure 10 – Query Processing Design Diagram.

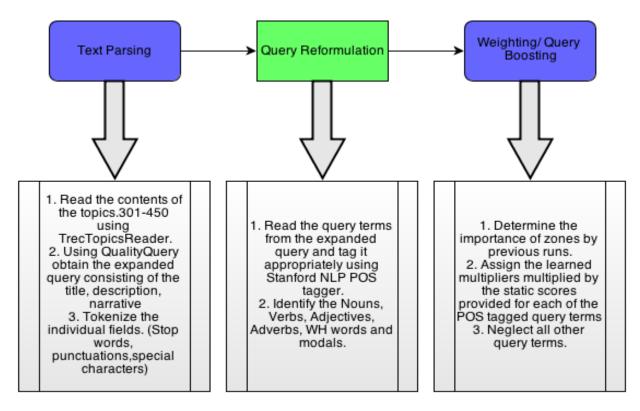


Figure 10 - Query Processing Design Diagram

Text Parsing:

In order to perform text parsing the details have to be obtained from the Topics file. This is done by making use of the TrecTopicsReader class present in the benchmarking API of Lucene. This class is capable of separating the individual topics and stores the content of its fields separately. This enables us to obtain the individual topics' decription and narrative in addition

Figure 11 – Topics File Parsing

to the title. Once the Topics file has been processed (Figure 11 – Topics File Parsing) making use of Regular Expressions, all the unwanted special characters like brackets, colon, dashes, hyphens and quotation marks are removed. Once this is done, the StandaedAnalyzer is used to parse the contents of every topic and remove stopwords.

Weighted Query terms:

As the first and early approach towards Query processing: The individual sections of the topic are given a score boost as seen in Figure 12 – Zone weights over Query. This is done to estimate how the system performs with all the query terms given a weight to them depending upon the position they occur in the information need.

```
while(querycount < expandedQuery.length) {</pre>
    float score1 = 0.4F;
    float score2 = 0.2F;
    qno = expandedQuery[querycount].getQueryID();
    parsedTitle = parser.parse(expandedQuery[querycount]
            .getValue("title").replaceAll(pat.pattern(), ""));
    titleString = parsedTitle.toString().replaceAll(" ", "^"+score1+" ");
    titleString += "^"+score1;
    parsedDescription = parser.parse(expandedQuery[querycount]
            .getValue("description").replaceAll(pat.pattern(), ""));
    descString = parsedDescription.toString().replaceAll(" ", "^"+score1+" ");
    descString += "^"+score1;
    parsedNarrative = parser.parse(expandedQuery[querycount]
            .getValue("narrative").replaceAll(pat.pattern(), ""));
    narrativeString = parsedNarrative.toString().replaceAll(" ", "^"+score2+" ");
    narrativeString += "^"+score2;
    reformulatedQuery += qno+" "+titleString+" "+descString+" "+narrativeString+"\n";
    querycount++;
}
```

Figure 12 - Zone weights over Query

As it is evident from the code, the title and description hold a higher weight than the narrative section. This can be explained by the results obtained while comparing the IR models with all the terms in the topics included in the query and the corresponding performance of the IR models.

Model	MAP	Relevant docs retrieved
VSM-weighted	0.1890	6355
BM25-weighted	0.2001	6828

This result is very descriptive of the performance improvement that is possible through query processing. Given this result in hand, the query was reformulated to achieve better performance.

Query Reformulation:

This part of the design corresponds to the actual job of extracting the helpful parts present in a topic and trying to analyze them and then assign weights to them. Identification of such terms in the Topics given the parsed document is easier and the approach followed is divided into 2 steps.

- 1. POS tagging using the Stanford NLP POS tagger
- 2. Process the tagged and expanded query

The first part of POS tagging is done over the individual sections of the Topic so that we can further subject them to zone weighting later in the query processing. The Stanford NLP POS tagger is used by creating the maximum entropy tagger.

A bi-directional dependency network tagger i.e. english-bidirectional-distsim.tagger is made use of for utmost accuracy, but in this case we need only speed and minimal accuracy will be more than sufficient. So, for this purpose we make use of the model using only left sequence information and similar but less unknown words and lexical features as the previous model i.e. english-left3words-distsim.tagger (Figure 13 – POS Tagger).

```
public static String posTag(String input){
    // Initialize the tagher
    String field = "contents:";
    MaxentTagger tagger = new MaxentTagger("taggers/english-left3words-distsim.tagger");
    input = input.replaceAll(field, "");
    // The tagged string
    String tagged = tagger.tagTokenizedString(input);

tagged = tagged.replaceAll(" ", " "+field);
    tagged = field+tagged;

return tagged.substring(0, tagged.lastIndexOf(" "+field));
}
```

Figure 13 – POS Tagger

In addition to that, there is also some time saved in re tokenization of the terms because the parsing has already taken care of that. This can be made possible by calling the tagger over the string to be tagged using tagTokenizedString method. It is essential that the string is devoid of anything except the individual tokens to be tagged, it is therefore mandatory to remove the

field name from the parsed query before tagging and re insert them after the tagging is complete.

Once the title/description/narrative has been tagged, it is processed for the presence of Nouns, Verbs, Adjectives, Adverbs, WH-words, interjections and Modals. The rest of the tagging is conveniently neglected since they don't contribute to the information need. The tags for the above are represented using the Penn Treebank Tagset:

Regular expressions (Figure 14 – Regular expressions) are defined to identify these tags and give that to the next stage of processing which is the assignment of weights according to the zone in which the terms were found and the type of the term represented by these tags.

```
Pattern pat = Pattern.compile("[^\\s\\w]");
Pattern patN = Pattern.compile("_N[^ ]*");
Pattern patV = Pattern.compile("_V[^ ]*");
Pattern patJR = Pattern.compile("_[JR][^ ]*");
Pattern patUW = Pattern.compile("_[WU][^ ]*");
Pattern patNone = Pattern.compile("_[^ ]*");
```

Figure 14 – Regular expressions

The complete algorithm for reformulation is given by

```
while(querycount < expandedQuery.length) {</pre>
     float score1 = 0.4F, score2 = 0.2F, score3 = 0.3F, score4 = 0.1F;
     qno = expandedQuery[querycount].getQueryID();
     parsedTitle = parser.parse(expandedQuery[querycount]
             .getValue("title").replaceAll(pat.pattern(), ""));
     taggedTitle = parsedTitle.toString().replaceAll(" ", "^"+score1*6+" ");
     taggedTitle += "^"+score1;
     parsedDescription = parser.parse(expandedQuery[querycount]
             .getValue("description").replaceAll(pat.pattern(), ""));
     taggedDesc = posTag(parsedDescription.toString());
     taggedDesc = taggedDesc.replaceAll(patN.pattern(),"^"+(score1*3)+" ");
    taggedDesc = taggedDesc.replaceAll(patV.pattern(), "^"+(score3*2)+" ");
     taggedDesc = taggedDesc.replaceAll(patJR.pattern(), "^"+(score2*3)+" ");
     taggedDesc = taggedDesc.replaceAll(patUW.pattern(),"^"+(score4*3)+" ");
     taggedDesc = taggedDesc.replaceAll(patNone.pattern(),"");
     parsedNarrative = parser.parse(expandedQuery[querycount]
             .getValue("narrative").replaceAll(pat.pattern(), ""));
     taggedNarrative = posTag(parsedNarrative.toString());
     taggedNarrative = taggedNarrative.replaceAll(patN.pattern(), "^"+(score1*2)+" ");
     taggedNarrative = taggedNarrative.replaceAll(patV.pattern(), "^"+(score3)+" ");
     taggedNarrative = taggedNarrative.replaceAll(patJR.pattern(), "^"+(score2*2)+" ");
     taggedNarrative = taggedNarrative.replaceAll(patUW.pattern(), "^"+(score4*2)+" ");
     taggedNarrative = taggedNarrative.replaceAll(patNone.pattern(),"");
     reformulatedQuery += qno+" "+taggedTitle+" "+taggedDesc+" "+taggedNarrative+"\n";
     querycount++;
   write/reformulatedOwery).
```

Figure 15 – Query Reformulation and weighting code

Weighting/ Query boosting:

The process of weighting the terms is based upon the results that were obtained by running the collection with queries involving several combinations between title, description and narrative. The weight is higher for Nouns and then closely followed by verbs. The adjectives receive a higher weight than the interjections and WH- words. These values are depending upon the

frequency of these terms and also the effect of improving their scores on the performance of the system.

The title is not POS tagged for all the terms in title are highly important for the queries to return good results as in Figure 15 – Query Reformulation and weighting code. Therefore they are boosted by a constant factor. Whereas the terms in the description are much more succinct in describing the information need and therefore are given higher values for the multiples than that for narrative.

It is evident that the other terms in the query are not provided with any boosting factor and are left as it is.

Section 3:

In this section it is explained as of:

- 1. Which model performed well overall after the query processing?
- 2. Which technique of query expansion is better?
- 3. What are the other techniques that can be tried?

Answering the first question, here is the snapshot of the results from the query processed IR models:

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr result/result.out
runid
                            all
                                     default
num_q
                            all
                                     150
num_ret
                            all
                                     149994
num_rel
num_rel_ret
                            all
                                     13692
                            all
                                     6624
                            all
                                     0.1898
map
gm_map
                                     0.0989
                            all
Rprec
                            all
                                     0.2384
bpref
                            all
                                     0.2104
recip_rank
iprec_at_recall_0.00
                                     0.6809
                            all
                            all
                                     0.7213
                                     0.4466
iprec_at_recall_0.10
                            all
iprec_at_recall_0.20
iprec_at_recall_0.30
                                     0.3339
                            all
                            all
                                     0.2583
iprec_at_recall_0.40
                            all
                                     0.1996
iprec_at_recall_0.50
iprec_at_recall_0.60
                            all
                                     0.1561
                            all
                                     0.1064
iprec_at_recall_0.70
                            all
                                     0.0715
iprec_at_recall_0.80
                            all
                                     0.0407
iprec_at_recall_0.90
                            all
                                     0.0204
iprec_at_recall_1.00
                            all
                                     0.0083
P_5
P_10
                            all
                                     0.4573
                            all
                                     0.4153
15
                            all
                                     0.3804
 _20
                                     0.3480
                            all
  30
                                     0.3073
 100
                            all
                                     0.1832
 _200
                            all
                                     0.1262
  500
                            all
                                     0.0708
  1000
                            all
                                     0.0442
```

Figure 16 - VSM after Query processing

```
aswin@aswin-pc:~/workspace/project2$ trec_eval test-data/qrels.trec6-8.nocr result/result.out
runid
                         all
                                 bm25
num_q
                         all
                                 150
num_ret
                                 149992
                         all
num_rel
                         all
                                 13692
num_rel_ret
                                 6854
                         all
                         all
                                 0.2035
map
gm_map
                         all
                                 0.1163
Rprec
                         all
                                 0.2516
bpref
                         all
                                 0.2241
                         all
recip_rank
                                 0.7190
iprec_at_recall_0.00
                         all
                                 0.7509
iprec_at_recall_0.10
                         all
                                 0.5087
iprec_at_recall_0.20
                         all
                                 0.3735
iprec_at_recall_0.30
                         all
                                 0.2854
iprec_at_recall_0.40
                         all
                                 0.2072
iprec_at_recall_0.50
                         all
                                 0.1594
iprec_at_recall_0.60
                         all
                                 0.0967
iprec_at_recall_0.70
                         all
                                 0.0660
iprec at recall 0.80
                         all
                                 0.0331
iprec_at_recall_0.90
                         all
                                 0.0155
                                 0.0061
iprec_at_recall_1.00
                         all
P_5
                         all
                                 0.5227
P_10
                         all
                                 0.4440
 _15
                                 0.4013
                         all
 _20
                         all
                                 0.3757
 30
                         all
                                 0.3307
 100
                         all
                                 0.1905
 200
                         all
                                 0.1298
 500
                         all
                                 0.0738
 1000
                         all
                                 0.0457
```

Figure 17 – BM25 after Query processing

The TF-IDF based Vector Space Model is found to show better improvements after query processing and it can be further improved by measures that will be discussed later in the report. But, in spite of poor performance improvement after query processing the BM25 model is found to produce better performance over all.

When the values are compared with the baseline, all of them clearly are more than the baseline performance. A few observations are that the 11- point interpolated precision values (Figure 18 – Interpolated Precision after Query Processing) when plotted for the new values after query processing: It is seen that the VSM values of precision are very low when compared to BM25 but are greater than that of the non-query processed model. It is also seen that the VSM performs so well at higher recall than BM25 and thus explains why VSM improves after query processing but BM25 is good at overall performance.

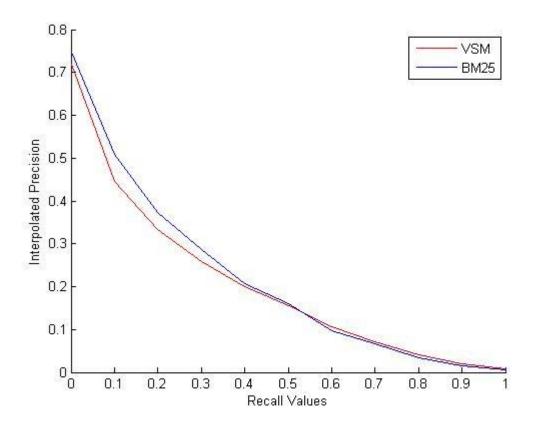


Figure 18 – Interpolated Precision after Query Processing

The second question is to explain which of the query expansion techniques worked.

Model	МАР	Relevant docs retrieved
VSM-weighted	0.1890	6355
BM25-weighted	0.2001	6828

These were the values obtained over weighting the zones of the topic file. The snapshots that explain the results of the query processing done using POS tagging shows better results if not the best results. The main reason for this betterment of results is because of the ability of the POS tagging to neutralize the increasing number of terms in the query.

$$score(q,d) = \frac{V(q) \cdot V(d)}{score(q,d) - query-boost(q)} - \frac{V(q) \cdot V(d)}{lV(q)l}$$

Lucene Conceptual Scoring Formula

Figure 19 – Scoring Formula used for all the Similarity models

The lucene scoring (Figure 19 – Scoring Formula used for all the Similarity models) is dependent upon the coord-factor of the query and also the length of the query vector. This is drastically increased on query expansion and eventually lowers the score against a matching document. In order to improve this parsing is done that removes the unwanted terms and the reformulation process takes into consideration the type of terms and boosts its scores only if they actually contribute to the score. These two operations are part of the Query processing and thus at the end of it there is improvement in the MAP and number of relevant documents retrieved.

The third question to be answered leads to the extension of the query processing module which will lead to improved results. There are certain things that can be done to tokenize the terms much more efficiently like:

- 1. Using an analyzer that is prompt for the system being implemented
- 2. Removal of terms like "relevant" which occur in almost all the topic narratives
- 3. Introducing Capitalization and Hyphenation to improve search results

In addition to modification of the existing queries a thesaurus can be constructed and the values can be added to the queries.

The document can be indexed zone wise and the values be matched with them.

The POS tagging done is not completely exploited in the developed module. It can be futher made use of by developing phrase queries from them and improving the search results. The various types of tags can be segregated and then boosted.

The tags can reveal very essential information about the important term in a given sentence. Therefore the narratives can be subjected to sentence wise POS tagging and the important terms in the sentence, namely the noun and the verbs can be taken for query formulation.

Conclusion:

The results of IR models namely Vector Space Model and BM25 were initially compared. Then a query processing module was developed to improve the retrieval performance. At last the improved models were compared with the previously developed baseline and found to have exceeded the performance of baseline.