

Table 1
Variables of the climate dynamic model.

	Definition	Unit
C^{in}	Indoor CO ₂ absolute concentration.	g/m ³
C^{out}	Outdoor CO ₂ absolute concentration.	g/m ³
D^{dos}	CO ₂ dosing rate.	g/s
D^{vent}	CO ₂ changing rate due to ventilation.	g/s
D^{ass}	CO ₂ assimilation rate.	g/s
F^{cool}	Humidifying rate due to cooling pads and humidifiers.	g/s
F^{deh}	Dehumidifying rate.	g/s
F^{vent}	Humidity changing rate due to venting.	g/s
F^{tran}	Humidity changing rate due to transpiration.	g/s
F^{vc}	Humidity changing rate due to vapor condensing.	g/s
g^{vc}	Vapor condensing coefficient.	m/s
g^{tran}	Transpiration conductance.	m/s
H^{in}	Indoor absolute humidity.	g/m ³
$H^{in,sat}$	Indoor saturation absolute humidity.	g/m ³
H^{out}	Outdoor absolute humidity.	g/m ³
H^{cano}	Absolute humidity at canopy.	g/m ³
L	Daily light integral (resets to 0 at 00:00).	mol/m ²
Q^{heat}	Heat-gain power due to heaters.	W
Q^{vent}	Heat-exchange power due to ventilation.	W
Q^{cool}	Heat-loss power due to cooling systems.	W
Q^{solar}	Heat-gain power due to solar radiation.	W
Q^{i-o}	Heat-exchange power due to T^{i-o} .	W
Q^{LED}	Heat-gain power due to LEDs.	W
Q^{tran}	Heat-loss power due to transpiration.	W
r^s	Canopy stomatal resistance.	s/m
$R^{cano-abs}$	Canopy-absorbed radiation.	W/m ²
$R^{cano-glo}$	Global radiation at canopy.	W/m ²
$R^{cano-solar}$	Solar radiation at canopy.	W/m ²
$R^{cano-LED}$	LED radiation at canopy.	W/m ²
R^{out}	Outdoor radiation.	W/m ²
t	Time.	s
T^{out}	Outdoor temperature.	°C
T^{in}	Indoor temperature.	°C
T^{cover}	Covering temperature.	°C
T^{i-o}	Indoor-outdoor temperature difference.	°C
U^{heat}	Actuation of heater power.	-
U^{fan}	Actuation of ventilation fan speed.	-
U^{nat}	Actuation of natural ventilation opening ratio.	-
U^{pad}	Actuation of cooling pad wetness level.	-
U^{dos}	Actuation of CO ₂ dosing rate.	-
U^{LED}	Actuation of LED electric power.	-
U^{shad}	Actuation of shading screen closure.	-
U^{warm}	Actuation of warm-keeping screen closure.	-
U^{hum}	Actuation of humidification rate.	-
U^{deh}	Actuation of dehumidification rate.	-
V^{vent}	Total ventilation.	m ³ /s
X^{cano}	PPFD at canopy.	μmol/(m ² · s)

The indoor temperature dynamics are modeled by (1).

$$\frac{dT^{in}}{dt} = \frac{Q^{heat} - Q^{vent} - Q^{cool} + Q^{solar} - Q^{i-o} + Q^{LED} - Q^{tran}}{\omega^T \cdot V^{gh} \cdot c^{vh}} \quad (1a)$$

$$Q^{heat} = \bar{Q}^{heat} \cdot U^{heat} \quad (1b)$$

$$Q^{vent} = c^{vh} \cdot T^{i-o} \cdot V^{vent} \quad (1c)$$

$$Q^{cool} = \bar{Q}^{pad} \cdot U^{pad} + q^{evap} \cdot \eta^{evap} \cdot \bar{F}^{hum} \cdot U^{hum} \quad (1d)$$

$$Q^{solar} = A^{floor} \cdot R^{cano-solar} \quad (1e)$$

$$Q^{i-o} = [v^{roof} \cdot A^{roof} \cdot (1 - \eta^{warm} \cdot U^{warm}) + v^{wall} \cdot A^{wall}] \cdot T^{i-o} \quad (1f)$$

$$Q^{LED} = \bar{P}^{LED} \cdot U^{LED} \quad (1g)$$

$$Q^{tran} = q^{evap} \cdot g^{tran} \cdot (H^{cano} - H^{in}) \cdot A^{floor} \quad (1h)$$

The indoor humidity dynamics are modeled by (2).

$$\frac{dH^{in}}{dt} = \frac{F^{cool} - F^{deh} - F^{vent} + F^{tran} - F^{vc}}{\omega^H \cdot V^{gh}} \quad (2a)$$

$$F^{cool} = \bar{Q}^{cool} / q^{evap} \quad (2b)$$

$$F^{deh} = \bar{F}^{deh} \cdot U^{deh} \quad (2c)$$

$$F^{vent} = (H^{in} - H^{out}) \cdot V^{vent} \quad (2d)$$

$$F^{tran} = \bar{Q}^{tran} / q^{evap} \quad (2e)$$

$$F^{vc} = g^{vc} \cdot [c^s \cdot \exp(\delta^{vc} \cdot T^{in}) \cdot T^{i-o} - (H^{in,sat} - H^{in})] \cdot A^{floor} \quad (2f)$$

Table 2
Parameters of the state-space model.

	Definition	Value	Unit
A^{floor}	Floor area.	421	m ²
A^{roof}	Roof area.	472	m ²
A^{wall}	Side-wall area.	628	m ²
c^{vh}	Air volumetric heat capacity.	1230	J/(m ³ · °C)
\bar{C}^{in}	CO ₂ half-saturation constant.	0.23	g/m ³
\bar{D}^{dos}	Maximum CO ₂ dosing rate.	1.04	g/s
\bar{D}^{ass}	Maximum CO ₂ assimilation flux.	2.2e-3	g/(m ² · s)
\bar{F}^{hum}	Maximum injection rate of mist water.	18	g/s
\bar{F}^{deh}	Maximum moisture removal rate.	12	g/s
\bar{H}	Empirical coefficient.	5.5638	g/m ³
I	Leaf area index.	2.5	m ² /m ²
ρ	PPFD response coefficient.	1.5e-3	(m ² · s)/μmol
\bar{P}^{LED}	Maximum electrical power of LEDs.	4.375e4	W
q^{evap}	Latent heat of evaporation.	2430	J/g
\bar{Q}^{heat}	Maximum power from heaters.	2.36e5	W
\bar{Q}^{pad}	Maximum power from cooling pads.	2.95e5	W
r^b	Boundary layer resistance.	200	s/m
\bar{r}^s	Maximum additional resistance.	570	s/m
r^s	Minimal stomatal resistance.	82	s/m
τ	Crop-specific coefficient.	0.4	m ² /W
T^{sr}	Reference temperature.	24.5	°C
U^{roof}	Heat-transfer coefficient of roofs.	6.6	W/(m ² · °C)
v^{wall}	Heat-transfer coefficient of side walls.	6.3	W/(m ² · °C)
v^{surf}	Condensation surface coefficient.	1.8e-3	m/(°C ^{1/3} · s)
V^{gh}	Indoor volume.	3.351e3	m ³
\bar{V}^{fan}	Maximum mechanical ventilation rate.	48	m ³ /s
\bar{V}^{nat}	Maximum natural ventilation rate.	5	m ³ /s
ω^T	Temperature buffering coefficient.	30	-
ω^H	Humidity buffering coefficient.	15	-
ω^C	CO ₂ buffering coefficient.	1	-
χ	Latent-to-sensible ratio (sat air).	2.5	-
χ^{solar}	Solar radiation-to-PPFD coefficient.	2.0	μmol/(W · s)
χ^{LED}	LED radiation-to-PPFD coefficient.	5.17	μmol/(W · s)
ϕ^{leak}	Air leakage rate.	1.0	h ⁻¹
ζ	Empirical coefficient.	0.2522	g/(m ³ · °C)
σ	Smoothing coefficient.	1e-3	°C
δ^{tran}	Temperature sensitivity coefficient.	0.0518	°C ⁻¹
δ^{sat}	Temperature sensitivity coefficient.	0.0572	°C ⁻¹
δ^{vc}	Temperature sensitivity coefficient.	0.0485	°C ⁻¹
δ^{sr}	Temperature sensitivity coefficient.	0.023	°C ⁻²
η^{LED-r}	Fraction of LED electrical power emitted as radiation (light).	0.59	-
$\eta^{LED-cano}$	Attenuation of LED radiation to canopy.	0.40	-
η^{evap}	Water evaporation fraction.	0.70	-
η^{cover}	Cover shortwave transmissivity.	0.50	-
η^{short}	Shortwave absorption ratio.	0.86	-
η^{ext}	Light extinction coefficient.	0.70	-
η^{tran}	Empirical coefficient.	0.7584	-
η^{shad}	Radiation attenuation of shading screen.	0.35	-
η^{warm}	Heat insulation of warm-keeping screen.	0.50	-

The indoor CO₂ concentration dynamics are modeled by (3).

$$\frac{dC^{in}}{dt} = \frac{D^{dos} - D^{vent} - D^{ass}}{\omega^C \cdot V^{gh}} \quad (3a)$$

$$D^{dos} = \bar{D}^{dos} \cdot U^{dos} \quad (3b)$$

$$D^{vent} = (C^{in} - C^{out}) \cdot V^{vent} \quad (3c)$$

$$D^{ass} = \bar{D}^{ass} \cdot \frac{C^{in}}{C^{in} + \bar{C}^{in}} \cdot [1 - \exp(-\rho \cdot X^{cano})] \cdot A^{floor} \quad (3d)$$

The daily light integral is modeled by (4):

$$\frac{dL}{dt} = \frac{X^{cano}}{10^6} \quad (4)$$

Note that the transpiration model in (1h) and (2e) is adopted from [1, 2]. The condensation vapor humidity model in (2f) is adopted from [2, 3]. The CO₂ assimilation model in (3d) is adopted from [4, 5, 6].

Hereafter, we introduce the intermediate variables.

$$T^{i-o} = T^{in} - T^{out} \quad (5)$$

$$V^{vent} = \bar{V}^{fan} \cdot U^{fan} + \bar{V}^{nat} \cdot U^{nat} + \frac{\lambda^{leak} \cdot V^{gh}}{3600} \quad (6)$$

We follow references [1, 2, 3, 7] to calculate g^{tran} :

$$g^{\text{tran}} = \frac{2 \cdot I}{[1 + \eta^{\text{tran}} \cdot \exp(\delta^{\text{tran}} \cdot T^{\text{in}})] \cdot r^{\text{b}} + r^{\text{s}}} \quad (7)$$

$$r^{\text{s}} = \left[r^{\text{s}} + \bar{r}^{\text{s}} \cdot \exp\left(-\frac{\tau \cdot R^{\text{cano-abs}}}{I}\right) \right] \cdot [1 + \delta^{\text{sr}} \cdot (T^{\text{in}} - T^{\text{sr}})^2] \quad (8)$$

$$R^{\text{cano-abs}} = \eta^{\text{short}} \cdot \left[\frac{\exp(\eta^{\text{ext}} \cdot I) - 1}{\exp(\eta^{\text{ext}} \cdot I)} \right] \cdot R^{\text{cano-glo}} \quad (9)$$

$$R^{\text{cano-glo}} = R^{\text{cano-solar}} + R^{\text{cano-LED}} \quad (10)$$

$$R^{\text{cano-solar}} = \eta^{\text{cover}} \cdot (1 - \eta^{\text{shad}} \cdot U^{\text{shad}}) \cdot R^{\text{out}} \quad (11)$$

$$R^{\text{cano-LED}} = \frac{\eta^{\text{LED-r}} \cdot \eta^{\text{LED-cano}} \cdot \bar{P}^{\text{LED}} \cdot U^{\text{LED}}}{A^{\text{floor}}} \quad (12)$$

We follow references [1, 2, 3] to calculate H^{cano} :

$$H^{\text{cano}} = H^{\text{in,sat}} + \chi \cdot \frac{r^{\text{b}} \cdot R^{\text{cano-abs}}}{2 \cdot I \cdot q^{\text{evap}}} \quad (13)$$

$$H^{\text{in,sat}} = \bar{H} \cdot \exp(\delta^{\text{sat}} \cdot T^{\text{in}}) \quad (14)$$

We follow references [2, 3] to calculate g^{vc} :

$$g^{\text{vc}} = v^{\text{surf}} \cdot \left[\frac{(T^{\text{in}} - T^{\text{cover}}) + \sqrt{(T^{\text{in}} - T^{\text{cover}})^2 + \sigma^2}}{2} \right]^{1/3} \quad (15)$$

$$T^{\text{cover}} = \frac{2 \cdot T^{\text{out}} + T^{\text{in}}}{3} \quad (16)$$

We follow [6] to calculate the photon flux density of photosynthetically active radiation, known as photosynthetic photon flux density (PPFD):

$$X^{\text{cano}} = \phi^{\text{solar}} \cdot R^{\text{cano-solar}} + \phi^{\text{LED}} \cdot R^{\text{cano-LED}} \quad (17)$$

Next, we define more variables and parameters to build the MPC framework. The state vector is defined as

$$\mathbf{x} = [T^{\text{in}}, H^{\text{in}}, C^{\text{in}}, L^{\text{DLI}}]^{\text{T}} \quad (18)$$

By default, the initial state vector is set as

$$\mathbf{x}^{\text{ini}} = [19^{\circ}\text{C}, 7.41 \text{ g/m}^3, 0.92 \text{ g/m}^3, 0 \text{ mol/m}^2]^{\text{T}} \quad (19)$$

The control input vector is defined as

$$\mathbf{u} = [U^{\text{heat}}, U^{\text{fan}}, U^{\text{nat}}, U^{\text{pad}}, U^{\text{dos}}, U^{\text{LED}}, U^{\text{hum}}, U^{\text{deh}}, U^{\text{shad}}, U^{\text{warm}}]^{\text{T}} \quad (20)$$

The outdoor disturbance vector is defined as

$$\mathbf{d} = [T^{\text{out}}, H^{\text{out}}, C^{\text{out}}, R^{\text{out}}]^{\text{T}} \quad (21)$$

The nonlinear continuous-time dynamics can be compactly written as

$$\dot{\mathbf{x}}(t) = f(\mathbf{x}(t), \mathbf{u}(t), \mathbf{d}(t)), \quad (22)$$

where $f(\cdot)$ is defined by the temperature, humidity, CO_2 , and DLI dynamics in (1), (2), (3), and (4).

Within the MPC framework, the continuous-time state-space model can be discretized using integration with time step Δt , yielding (23):

$$\mathbf{x}_{k+1} = \mathbf{x}_k + f(\mathbf{x}_k, \mathbf{u}_k, \mathbf{d}_k) \cdot \Delta t \quad (23)$$

Table 3

Variables to build the MPC framework.

	Definition	Unit
E_k^{heat}	Cost of heating in step k .	\$
E_k^{fan}	Cost of fan electricity in step k .	\$
E_k^{LED}	Cost of LED electricity in step k .	\$
E_k^{pad}	Cost of cooling pad in step k .	\$
E_k^{hum}	Cost of humidifying in step k .	\$
E_k^{deh}	Cost of dehumidifying in step k .	\$
E_k^{dos}	Cost of CO ₂ dosing in step k .	\$
k	Step index.	—
κ_k	Time-of-day step index, $\kappa_k \in \{0, \dots, 287\}$.	—
K	Number of steps.	—
S_k^{T+}, S_k^{T-}	Slacking variables.	°C
S_k^{H+}, S_k^{H-}	Slacking variables.	g/m ³
S_k^{C+}, S_k^{C-}	Slacking variables.	g/m ³
S_k^L	Shortage of daily light integral.	mol/m ²

Table 4

Parameters to build the MPC framework (values shown as day/night when applicable).

	Definition	Value	Unit
α^{heat}	Price of heating.	0.05	\$/kWh
α^{fan}	Price of ventilation.	0.125	\$/kWh
α^{LED}	Price of LED lighting.	0.125	\$/kWh
α^{pad}	Price of using cooling pads.	1.48e-6	\$/g
α^{hum}	Price of humidifying.	4.79e-6	\$/g
α^{deh}	Price of dehumidifying.	6.5e-5	\$/g
α^{dos}	Price of CO ₂ dosing.	1.5e-4	\$/g
S^{fan}	Specific fan power.	93.4	W/(m ³ /s)
Δt	Time interval.	300	s
\bar{T}_k^{in}	Upper bound of T_k^{in} .	27/19	°C
$\underline{T}_k^{\text{in}}$	Lower bound of T_k^{in} .	21/16	°C
\bar{H}_k^{in}	Upper bound of H_k^{in} .	9.54	g/m ³
$\underline{H}_k^{\text{in}}$	Lower bound of H_k^{in} .	3.89	g/m ³
\bar{C}_k^{in}	Upper bound of C_k^{in} .	2.73/2.73	g/m ³
$\underline{C}_k^{\text{in}}$	Lower bound of C_k^{in} .	1.64/0	g/m ³
L_k^*	Reference for L_k .	$\begin{cases} 0, & 0 \leq \kappa_k < 72, \\ \frac{22(\kappa_k - 72)}{192}, & 72 \leq \kappa_k < 264, \\ 22, & 264 \leq \kappa_k \leq 287, \end{cases}$	mol/m ²
$\lambda^{T+}, \lambda^{T-}$	Penalty coefficients.	100	\$/ (°C)
$\lambda^{H+}, \lambda^{H-}$	Penalty coefficients.	100	\$/ (g/m ³)
$\lambda^{C+}, \lambda^{C-}$	Penalty coefficients.	100	\$/ (g/m ³)
λ^L	Penalty coefficients.	100	\$/ (mol/m ²)
γ	Discount factor.	0.95	—

Cost of resource consumption of the greenhouse during step k :

$$E_k^{\text{heat}} = \alpha^{\text{heat}} \cdot Q_k^{\text{heat}} \cdot \frac{\Delta t}{3.6 \times 10^6} \quad (24)$$

$$E_k^{\text{fan}} = \alpha^{\text{fan}} \cdot S^{\text{fan}} \cdot \bar{V}^{\text{fan}} \cdot U_k^{\text{fan}} \cdot \frac{\Delta t}{3.6 \times 10^6} \quad (25)$$

$$E_k^{\text{LED}} = \alpha^{\text{LED}} \cdot \bar{P}^{\text{LED}} \cdot U_k^{\text{LED}} \cdot \frac{\Delta t}{3.6 \times 10^6} \quad (26)$$

$$E_k^{\text{pad}} = \alpha^{\text{pad}} \cdot U_k^{\text{pad}} \cdot \Delta t \quad (27)$$

$$E_k^{\text{hum}} = \alpha^{\text{hum}} \cdot \bar{F}^{\text{hum}} \cdot U_k^{\text{hum}} \cdot \Delta t \quad (28)$$

$$E_k^{\text{deh}} = \alpha^{\text{deh}} \cdot \bar{F}^{\text{deh}} \cdot U_k^{\text{deh}} \cdot \Delta t \quad (29)$$

$$E_k^{\text{dos}} = \alpha^{\text{dos}} \cdot \bar{D}^{\text{dos}} \cdot U_k^{\text{dos}} \cdot \Delta t \quad (30)$$

The MPC model is given in (31).

$$\min_{u_0, \dots, u_{K-1}} \sum_{k=0}^{K-1} \gamma^k \left[E_k^{\text{heat}} + E_k^{\text{fan}} + E_k^{\text{LED}} + E_k^{\text{pad}} + E_k^{\text{hum}} + E_k^{\text{deh}} + E_k^{\text{dos}} + \lambda^{T+} S_k^{T+} + \lambda^{T-} S_k^{T-} + \lambda^{H+} S_k^{H+} + \lambda^{H-} S_k^{H-} + \lambda^{C+} S_k^{C+} + \lambda^{C-} S_k^{C-} + \lambda^L S_k^L \right]$$

$$\text{s.t. } \mathbf{x}_0 = \mathbf{x}^{\text{ini}}, \quad (31a)$$

$$\mathbf{x}_{k+1} = \mathbf{M} \mathbf{x}_k + \mathbf{N} \mathbf{u}_k + \mathbf{O} \mathbf{d}_k + \mathbf{m}, \quad k = 0, \dots, K-1 \quad (31b)$$

$$\underline{T}_k^{\text{in}} - S_k^{T-} \leq T_k^{\text{in}} \leq \bar{T}_k^{\text{in}} + S_k^{T+}, \quad k = 0, \dots, K-1 \quad (31c)$$

$$\underline{H}_k^{\text{in}} - S_k^{H-} \leq H_k^{\text{in}} \leq \bar{H}_k^{\text{in}} + S_k^{H+}, \quad k = 0, \dots, K-1 \quad (31d)$$

$$\underline{C}_k^{\text{in}} - S_k^{C-} \leq C_k^{\text{in}} \leq \bar{C}_k^{\text{in}} + S_k^{C+}, \quad k = 0, \dots, K-1 \quad (31e)$$

$$S_k^L \geq L_k^* - L_k, \quad k = 0, \dots, K-1 \quad (31f)$$

$$S_k^{T+}, S_k^{T-}, S_k^{H+}, S_k^{H-}, S_k^{C+}, S_k^{C-}, S_k^L \geq 0 \quad k = 0, \dots, K-1 \quad (31g)$$

$$0 \leq U_k^{\text{heat}} \leq Y_k^{\text{heat}}, \quad k = 0, \dots, K-1 \quad (31h)$$

$$0 \leq U_k^{\text{fan}} \leq Y_k^{\text{fan}}, \quad k = 0, \dots, K-1 \quad (31i)$$

$$0 \leq U_k^{\text{nat}} \leq Y_k^{\text{nat}}, \quad k = 0, \dots, K-1 \quad (31j)$$

$$0 \leq U_k^{\text{pad}} \leq Y_k^{\text{pad}}, \quad k = 0, \dots, K-1 \quad (31k)$$

$$0 \leq U_k^{\text{dos}} \leq Y_k^{\text{dos}}, \quad k = 0, \dots, K-1 \quad (31l)$$

$$0 \leq U_k^{\text{LED}} \leq Y_k^{\text{LED}}, \quad k = 0, \dots, K-1 \quad (31m)$$

$$0 \leq U_k^{\text{shad}} \leq Y_k^{\text{shad}}, \quad k = 0, \dots, K-1 \quad (31n)$$

$$0 \leq U_k^{\text{warm}} \leq Y_k^{\text{warm}}, \quad k = 0, \dots, K-1 \quad (31o)$$

$$0 \leq U_k^{\text{hum}} \leq Y_k^{\text{hum}}, \quad k = 0, \dots, K-1 \quad (31p)$$

$$0 \leq U_k^{\text{deh}} \leq Y_k^{\text{deh}}, \quad k = 0, \dots, K-1 \quad (31q)$$

$$U_k^{\text{fan}} \geq U_k^{\text{pad}}, \quad k = 0, \dots, K-1 \quad (31r)$$

$$U_k^{\text{fan}} \leq Y_k^{\text{fan}}, U_k^{\text{dos}} \leq Y_k^{\text{dos}}, Y_k^{\text{fan}} + Y_k^{\text{dos}} \leq 1 \quad k = 0, \dots, K-1 \quad (31s)$$

$$U_k^{\text{hum}} \leq Y_k^{\text{hum}}, U_k^{\text{deh}} \leq Y_k^{\text{deh}}, Y_k^{\text{hum}} + Y_k^{\text{deh}} \leq 1 \quad k = 0, \dots, K-1 \quad (31t)$$

$$Y_k^{\text{heat}}, Y_k^{\text{fan}}, Y_k^{\text{nat}}, Y_k^{\text{pad}}, Y_k^{\text{dos}}, Y_k^{\text{LED}}, Y_k^{\text{hum}}, Y_k^{\text{deh}}, Y_k^{\text{shad}}, Y_k^{\text{warm}} \in \{0, 1\},$$

$$k = 0, \dots, K-1 \quad (31u)$$

where $\Delta \mathbf{x}_k$ is the state incremental term; \mathbf{M} , \mathbf{N} , and \mathbf{O} are the discrete-time system matrices, and \mathbf{m} is the constant offset term.

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