

Guideline for Argument Structure Annotation

Jan Wira Gotama Putra
School of Computing
Tokyo Institute of Technology

December 20, 2018

Thank you for participating in our discourse annotation study. You will be given a set of argumentative essays on two different topics. The topic is given to the writers (students of the English language) in the form of a “prompt”; i.e., a sentence giving a statement to be discussed, for instance “*smoking should be banned at all restaurants in the country.*” The students are told to produce a stand-alone text that can be read without knowing the prompt. We would like you to perform the following three tasks on these texts.

1. Annotating relations or dropping sentences

For each sentence in the text, determine another sentence that is most closely related to it and indicate what their relationship is. Alternatively, remove the sentence if it does not contribute to the overall argument.

2. Reordering sentences

If necessary, reorder the sentences to improve the overall logical flow. The reordered text should be a more well-structured argument than the original one.

3. Repairing text

If it is necessary for understanding the reordered text and only then, you may change the referring and connective expressions.

The output of your work are two things.

- The structure of the text, expressed in form of relation links between sentences.
- The final text that results from you performing the above reorder and repair operations. (The relations will be stripped away.) Aim for the highest quality of text that can be produced with the above methods given to you.

For the automatic task that motivates this annotation, we care about both outputs equally.

1 Annotation Procedure

Roughly, an argumentative essay can be divided into three main parts: **introduction**, **body** and **conclusion**. Figure 1 shows an illustration.

Introduction typically presents a general background about the discussion topic. It also contains the main claim that begins the argumentation. Since an argumentative essay aims to persuade the readers to adhere to the main claim, a deeper level of argumentation usually follows in the **body**. The **body** contains one or several ideas that support or attack the main claim. For example, one main reason argues why students should (or not) have a part-time job from the economics and education viewpoints. The essay’s author may also describe a viewpoint on a deeper level of argumentation. For example, he/she might argue about economics from the viewpoint of practising financial management and lessening family’s burden. Finally, the **conclusion** part sums up the entire argument, most often, by restating the main claim. Please note that the **conclusion** part does not strictly consist of only one sentence. It might be composed of several sentences.

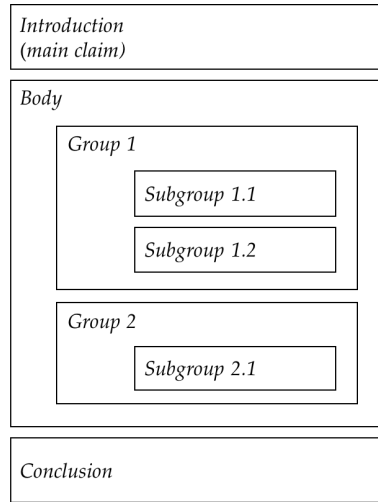


Figure 1. General structure of an argumentative essay

The followings are **the sequential steps you should perform** when annotating an essay.

1. Read through the whole text at least once to understand its content.
2. Find the statement that expresses the author’s opinion at the highest level of abstraction, i.e., the main claim.
3. Iteratively, determine the parts or *groups* existing in the text, i.e., **introduction**, **body** and **conclusion**. A part (especially **body**) might be recursively divided into several subgroups denoting deeper level of argumentation. **Each group is represented by a representative sentence that you will choose. The group is connected to the rest of the argument only via this representative sentence. In a logical representation, relations in argumentative texts form hierarchical structures. You will annotate these relations by choosing from a set of four relation labels.**
4. **Determine relations existing in the text and drop sentences that are not connected to the argument (and ignore them from now on).** We recommend that you start by determining the relations among sentences in the smaller groups.
5. If necessary, reorder sentences in such a way that a logically better-structured text results.
6. Reordering may cause changes in how people and things are referred to, and how sentences are connected. If necessary, edit the referring and connective expressions.
7. Read through the entire text, again, at least once to assess whether the current annotation is already the most proper annotation you can think of. If it is not, repeat the process from Step 2.

2 Annotating Relations or Dropping Sentences

There are two steps. First, find the text’s main claim (as will be explained in Section 2.1). Then, for each sentence X other than the main claim, determine another sentence Y that is strongly related to it. Express the relationship between X (which we call the *source*) and Y (which we call the *target*) as a link labelled with one of four possible relations.

There are four¹ relation labels you can choose: *support*, *detail*, *attack* and *restatement*. The definitions of these relations are shown in Table 1. The first three of them are directional: they

¹In this annotation, “sibling relationships” like *sequence* and *conjunction* are *not* annotated, general preference is given to hierarchical relationships.

Label	Name	Description
sup	support	The source sentence asserts or justifies reasons and ideas for supporting the target sentence; it contains evidence or examples for the target sentence.
det	detail	The source sentence further explains, describes, elaborates or provides background for the concept(s) mentioned in the target sentence.
att	attack	The source sentence considers counter-arguments that argue for the opposite opinion.
=	restatement	Two sentences are connected with “=” label if they are restatements of each other.

Table 1. Relation labels

hold between a source (lower in the hierarchy) and a target (higher in the hierarchy). The last relation, restatement, is non-directional, meaning that the source and target are not in a hierarchical relationship. The relations you can use are explained in more detail in Section 2.2-2.5.

After the annotation process, the resulting relations in the text should form a hierarchical structure in which the main claim (conclusion, in the absence of the main claim) is at the top of the hierarchy. The main claim is then supported or attacked at a deeper level of argumentation, forming the hierarchical structure. Figure 2 shows an example.

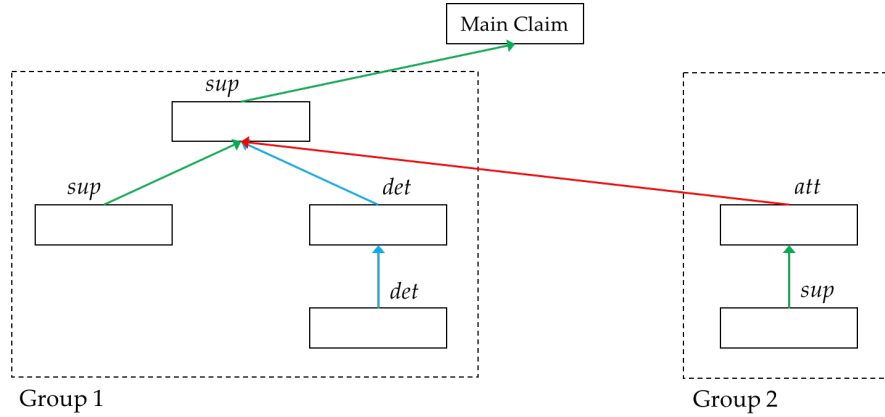


Figure 2. Illustration of (logical) hierarchical structure

2.1 Finding Main Claim

The first step of the relation annotation is to find the statement that expresses the author’s opinion at the highest level of abstraction, i.e., the main claim. It expresses the author’s overall stance toward a discussion topic. After determining the main claim, you can proceed to identifying all remaining relations existing in the text. Consider the following example.

(Prompt) Smoking should be banned at all the restaurants in the country.

- (1) Supported by the utilitarian perspective, I believe smoking should be completely banned at all restaurants.
(2) This is because there is overall harm if smoking is not prohibited.

In this example, sentence (1) is the author’s opinion at the highest level of abstraction denoting the author’s stance in response to the discussion topic. Sentence (1) is the main claim, and other sentences will be connected according to their stance towards it.

Tip Sometimes, main claims might be marked with indications such as “*In my opinion*”, “*I strongly believe*” or “*I feel that*”. You can take such expressions into account, but your judgement should always be based on the context.

2.2 Support

A statement in an argumentative essay can be supported by several reasons/ideas. They assert why readers of the essay should believe the statement, in general, by providing new argumentative material. A **sup** relationship is the relationship that expresses this. Consider the following example.

- (1) From my point of view, banning smoking in all restaurants is necessary.
- (2) First, I think it is essential to protect the citizens' health.
- (3) It is well known that smoking causes cancer.
- (4) Second, banning smoking also allows all diners to eat in peace.

Sentence (1) is a statement about banning smoking in restaurants. It is further reinforced by two different ideas, and therefore, two different groups. The first group consisting of sentences (2) and (3) concerns health, and has sentence (2) as its representative sentence. Sentence (3) supports sentence (2) since it gives evidence for it. The second group consisting of sentence (4) concerns dining. Sentences (2) and (4) support the main claim, i.e., making the opinion in sentence (1) become more convincing. Therefore, both sentences (2) and (4) point at sentence (1) via the **sup** label, and sentence (3) points at sentence (2) via the **sup** label.

Tip The support relationships are sometimes indicated by, but not necessarily, the presence of list markers (“*first*”, “*second*”), exemplification expressions (“*for example*”) or reasoning expressions (“*it is because*”, “*for this reason*”).

2.3 Detail

The **det** relation label is applied in the following two cases.

- Sentences which present additional details (further explanations, descriptions or elaborations) about a particular sentence in question, but *without providing new argumentative material*.
- Sentences that introduce the topic of the discussion in a neutral way by providing general background, but *without any argumentative material*.

Consider the following example for the first case.

- (1) It is difficult to balance studying and working.
- (2) Especially if the students cannot manage their time well, because it only breaks the focus of their studying.

By reading sentence (1) on its own, we can infer that “*difficult to balance*” is talking about the time management between studying and working. When we read sentence (2) afterwards, we understand that sentence (2) elaborates the information which we inferred from sentence (1). Sentence (2) provides the author’s explanation for the phrase “*difficult to balance*”. Because no new argumentative material is introduced, and because sentence (2) provides additional explanation to sentence (1), you should annotate sentence (2) pointing at sentence (1) via the **det** label.

Consider the following example for the second case.

- (1) Today, more and more college students are taking part-time jobs.
- (2) I think having a part-time job is a good thing for them.

Sentence (1) is an introduction to a discussion topic in a neutral way. It enables the readers to comprehend sentence (2) by giving some contextual information. In this case, the correct annotation is to relate sentence (1) to sentence (2) via the **det** label; i.e., sentence (1) is now pointing *forward*² at sentence (2).

Tip Sometimes, **sup** and **det** labels might be in competition with each other. The main decision criterion is whether new argumentative material (“a new idea”) is introduced or not. A new idea is a new reason for the target sentence, so **sup** is the correct relation. We can test whether this is the

²Note that *backward* direction is more common in texts.

case by placing the word “*because*” between the target and the source, in this order. If the resulting sentence sounds odd, it is more likely to be a **det** relation, for instance an explanation or a definition.

2.4 Attack

The **att** relation label denotes sentences arguing for the opposite opinion. Consider the following example.

- (1) From my point of view, banning smoking in all restaurants is necessary.
...
(4) On the other hand, I admit that some restaurants are popular because men are allowed to smoke.

Sentence (1) is an example of the main claim in a smoking-themed essay. It states that smoking should be banned. However, sentence (4) argues against banning smoking because smoking makes restaurants popular. In this example, the correct annotation is sentence (4) pointing at sentence (1) via the **att** label.

Tip The attack relations might, but do not have to, be indicated using the following expressions: “*on the other hand*”, “*but*”, “*however*”, “*in contrast*”, “*contrary to*” and “*in another way*”.

2.5 Restatement

Two sentences are connected with “=” label if they are restatements of each other. Consider the following example.

- (1) I agree that college students should have a part-time job.
...
(4) Second, having a part-time job is a valuable way to pick up communication skills that will be needed in the workforce.
...
(8) Therefore, it is better for college students to have a part-time job to exercise communication skills.

Sentence (1) is an example of the author’s opinion at the highest level of abstraction which is in favour of a part-time job. Sentence (4) states one of the reasons why students need a part-time job, i.e., to acquire communication skills. After further elaboration, the entire meaning of sentence (4) is restated as sentence (8). Notice that sentence (4) does not say anything about agreement or disagreement towards the question of part-time jobs while sentence (8) explicitly states it. However, we understand from reading sentence (1) that sentence (4) is implicitly in favour of a part-time job. This means that sentence (4) is basically restated as sentence (8). You should therefore connect sentence (4) and (8) with the “=” label. Such restatements often happen in a situation such as the one above, where large parts of an argument are summarised for the second time. The two restatement sentences are treated as an equivalence class with respect to all outgoing and incoming relations they participate in.

Tip Restatement sentences might, but do not have to, be indicated by the following expressions: “*in conclusion*”, “*to conclude*”, “*therefore*”, “*for all those reasons*”, and “*to sum up*”.

2.6 Handling sequence and conjunctive arguments

Our scheme does not treat *sibling relations* in the hierarchy. But sometimes, you will come across strong sibling relations. For instance, sequences and conjunctive arguments. In the case of sequences, you should connect each component of the sequence to its preceding element via the **det** relation. The head acts as the representative for the sequence; therefore, you should connect the representative to the rest of the argument using the appropriate relation. Figure 3 shows an example.

In the case of conjunctive arguments, we assume that each argument is equally important, and that both of them support their target. We therefore annotate direct links between *each* member of the conjunctive argument and the target (see Figure 4).

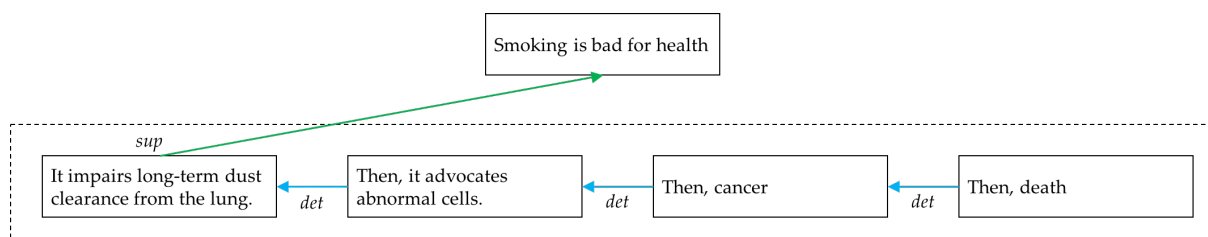


Figure 3. Example of a sequence

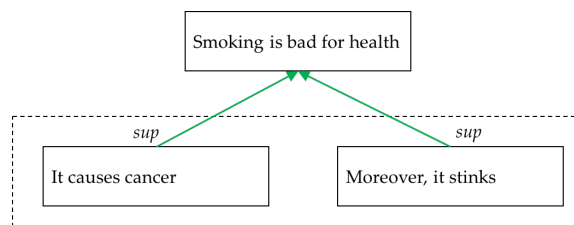


Figure 4. Example of conjunctive arguments

2.7 Relation Selection

A sentence can relate to many sentences at once. For example, a sentence might elaborate on two ideas at once. But in this task, you have to choose which sentence it relates to the most, and you cannot split sentences, either. There are several factors to consider.

1. Closeness in position.

A sentence tends to relate more to those sentences that are close to it.

2. Directness of relation.

A direct hierarchical relation is preferred over an indirect relation. For example, consider three sentences (1), (2) and (3). Sentence (3) attacks sentence (2), and sentence (2) attacks sentence (1). In this case, sentence (3) also indirectly supports sentence (1) by attacking sentence (2). However, since we prefer a direct relation, you should annotate the relation between sentence (3) and (2), not the one between sentence (3) and (1). This situation is illustrated in Figure 5.

3. Preferential ordering.

You should choose the **sup** relation over **det** when a sentence both explains another sentence further and contains a new idea. This is because the new idea is more informative.

Sometimes, a relation can hold between the parts of a long sentence. But relationships inside a sentence cannot be expressed in our system, so do not worry about them. In this case, please annotate only the *function of the entire sentence* as a whole.

2.8 Dropping Criteria

You may find it hard to connect some sentences by using the four relations mentioned. In this case, you can also consider dropping them. We list the criteria to judge whether a sentence should be dropped.

1. Meta-information. You should drop sentences which only make statements about other sentences, without adding any real material. For example, “*I have two reasons for supporting this opinion.*” Unlike details, this kind of sentences contributes nothing substantial toward the argument.
2. Redundant material. For example, a student may state twice that smoking is dangerous as it causes lung cancer. Please note the difference to restatements, which contain the same

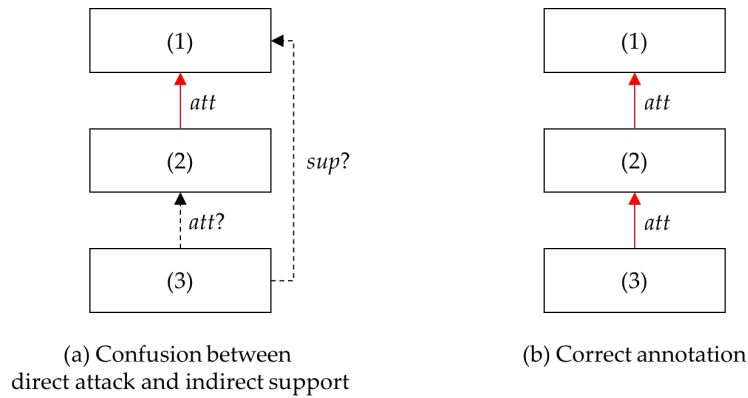


Figure 5. Direct vs Indirect Relation

information, but typically at a higher level of argumentation (claims, not facts) and with a real function in the overall argument. Unlike redundant material, dropping a restatement might affect the flow of argumentation. So, the restatement cannot be dropped. The material considered as redundant here typically consists of mere facts, rather than real argumentative material such as claims or conclusions.

3. Truly disconnected sentences with no proper connection to the argument. Sometimes a sentence is logically isolated; i.e., it does not really relate to any other sentence. In this case, you should drop it.

The remaining sentences after dropping should be logically connected via relation to each other. In the following, you can improve the text in order to reflect this.

3 Reordering Sentences

A good text usually places semantically related sentences close to each other, forming semantically consistent segments. If the sentences in the current text are not already in the best order they could be, arrange them into a logically well-structured argument; i.e., the best arrangement of sentences that you can think of. Remember that you can also drop sentences. Please make sure that you keep the original meaning of the text intact while doing so. Consider the following example.

- (1) If people smoke in the restaurant, other people may think the food isn't delicious.
 - (2) At restaurants, people enjoy eating and talking.
 - (3) They might have a sore throat and be unable to enjoy talking.

This text talks about the effect of smoking in restaurants, then talks about how dining should be an enjoyable experience while moving back again to the effect of smoking in restaurants. A better order is to place sentence (2) before sentence (1) as below.

- (2) At restaurants, people enjoy eating and talking.
 - (1) If people smoke in the restaurant, other people may think the food isn't delicious.
 - (3) They might have a sore throat and be unable to enjoy talking.

4 Repairing Text

After reordering the sentences in the previous step, you might have made the text itself harder to understand in certain superficial ways. In order to revert these negative changes, you are allowed to perform the following operations.

1. Change the text material used to connect two sentences or sentence parts.
Examples of what we mean are “however”, “therefore”, “but” or “eventhough”.

2. Change the text material used to identify people or things.

Examples of what we mean are “*she*”, “*the woman*”, “*Maria*” or “*Sister of Kim*”.

Please only make minimal repairs necessary for keeping the meaning the same. You should edit, i.e., add, delete, substitute, parts of the text (cf. material 1 and 2 above) only if it is needed for understanding the reordered text correctly.

Example 1

- (1) I think it is okay when poor students have part-time jobs.
- (2) Generally speaking, there are challenges in part-time jobs.
- (3) For instance, my girlfriend cannot focus on her studies.
- (4) I don't think she needs part-time jobs as she is not in a dire state for money.

After reordering the sentences in a more natural way, you might have the following text.

- (2) Generally speaking, there are challenges in part-time jobs.
- (3) For instance, my girlfriend cannot focus on her studies.
- (4) I don't think she needs part-time jobs as she is not in a dire state for money.
- (1) I think it is okay when poor students have part-time jobs.

After moving sentence (1) at the end of the text, **it has a better flow**. In this case, it might be useful to use the expression “*however*” at the beginning of sentence (1) as well. Thus, the final text looks as follows.

- (2) Generally speaking, there are challenges in part-time jobs.
- (3) For instance, my girlfriend cannot focus on her studies.
- (4) I don't think she needs part-time jobs as she is not in a dire state for money.
- (1) **However**, I think it is okay when poor students have part-time jobs.

Example 2

- (1) I don't like when my girlfriend is smoking.
- (2) She doesn't look cute while doing so.
- (3) But I think my grandmother doesn't care about looking cute.
- (4) It is okay if she smokes.

When you reorder the text, you might end up with the following order.

- (1) I don't like when my girlfriend is smoking.
- (2) She doesn't look cute while doing so.
- (4) **It is okay if she smokes.**
- (3) But I think my grandmother doesn't care about looking cute.

In this example, sentences (3) and (4) are swapped in position to make a better text; the sentences are now arranged in the form of opinions followed by reasons. But after reordering, the expression “*she*” in sentence (4) wrongly refers to “*my girlfriend*” instead of “*my grandmother*”. To preserve the meaning of the statement, it is therefore necessary to replace “*she*” with “*my grandmother*” in sentence (4), as in the following text.

- (1) I don't like when my girlfriend is smoking.
- (2) She doesn't look cute while doing so.
- (4) It is okay if **my grandmother** smokes.
- (3) But I think my grandmother doesn't care about looking cute.

Note that in sentence (3), the repetition of “*my grandmother*” now sounds a bit unnatural while the meaning of the text is not affected. As we ask you to make only minimal changes, please leave “*my grandmother*” in sentence (3) as it is. However, the way of connecting sentences is unnatural in a different way too. To make the structure of “opinions followed by reasons” apparent, we can modify the text as follows.

- | |
|---|
| (1) I don't like when my girlfriend is smoking.
(2) She doesn't look cute while doing so.
(4) But it is okay if my grandmother smokes.
(3) But I think my grandmother doesn't care about looking cute. |
|---|

By introducing “*but*” at the beginning of sentence (4) and deleting “*but*” from sentence (3), the text now expresses the contrast relationship better.

Special Case: Repairing Main Claim

You may also have to fix the main claim if the author makes the error of assuming that the prompt is read alongside the text. It is because we consider that the prompt is not a part of the text. For example, he/she may write the following main claims.

1. I think *so*.
2. I agree with the prompt.
3. *But*, I do not think ...
4. ... is bad *indeed*.

The example sentences above shows the case when the main claim appears in the beginning of the text. As the writers (students) are supposed to produce stand-alone texts, we should assume that readers do not read the prompt. You should repair the examples above by including some information from the prompt and/or editing phrases indicating discourse connection to the prompt. The final text should not refer to the prompt; it should not even mention the word “prompt”. Some possible repairs for sentences above are as follows.

1. I think *smoking should be banned at all restaurants in the country*.
2. I strongly believe *smoking should be banned*.
3. I do not think ...
4. ... is bad, *I think*.

Formatting

Editing should be done by placing the edited part inside a bracket “[*before* | *after*]”. The “*before*” part denotes the expression before edit while the “*after*” part denotes the expression after edit. We will now give a formatting example of each operation.

1. **Addition.** Suppose you want to add an expression “*therefore*,” before the phrase “*the old man*”. You rewrite this phrase as “[| *therefore*,] *the old man*”, leaving the “*before*” part as blank (space).
2. **Deletion.** Suppose you want to delete the word “*old*” from the phrase “*the old man*”. You rewrite this phrase as “*the* [*old* |] *man*”, leaving the “*after*” part as blank (space).
3. **Substitution.** Suppose you want to substitute the word “*instead*” with “*but*” in the phrase “*I don't have a pen. Instead, I have a pencil.*” You rewrite this phrase as “*I don't have a pen. [Instead | But], I have a pencil.*” You put the original phrase in the “*before*” part and the new phrase in the “*after*” part.

5 Annotation Illustration

To illustrate the whole annotation process, read the text below and follow the step-by-step illustration of its annotation with full attention. The text below is used through the rest of this section.

(Prompt) Smoking should be banned at all restaurants in the country.

- (1) I agree with the previous statement.
- (2) If somebody smokes in the restaurant, other people may not be able to enjoy their meal.
- (3) At restaurants, customers enjoy eating and talking.
- (4) However, if we ban smoking in restaurants, then those restaurants might lose some customers.
- (5) Some restaurants are indeed popular, especially among old men, because they allow people to smoke.
- (6) But, I firmly support banning smoking in restaurants because we need to prioritise health.
- (7) In conclusion, I encourage banning smoking in all restaurants.

Step 1

Read through the whole text at least once to understand its content.

Step 2

The main claim is sentence (1).

Step 3

The body part can be divided into three groups. The first group, consisting of sentence (2)–(3), is about “*enjoyment of eating and talking*”. The second group, composed of sentence (4)–(5), is about “*smoking and the number of customers*”. Lastly, sentence (6), which forms the third group, argues from a “*health*” viewpoint. The grouping is illustrated in Figure 6.

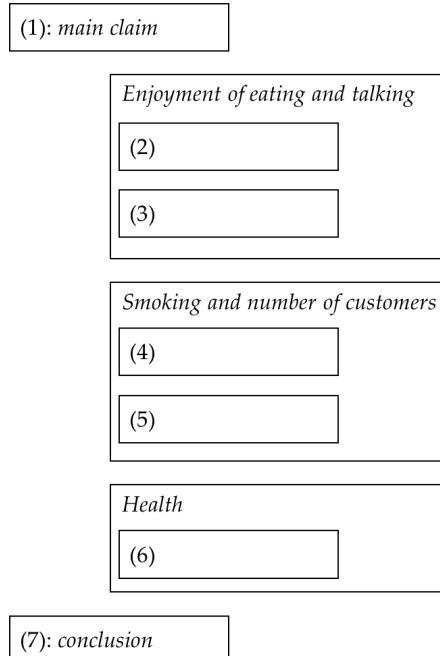


Figure 6. Illustration of recognising *groups* in text

Step 4

We first consider relations existing in the smaller groups. In the “*enjoyment of eating and talking*” group, there are two sentences. Sentence (3) gives background for the phrase “*their meal*” in sen-

tence (2). Therefore, sentence (3) points at sentence (2) via the **det** label. Sentence (2) acts as the representative of the group since it is the main statement of the group. As it supports sentence (1) by arguing for it, it receives a **sup** relation to sentence (1).

In the “*smoking and number of customers*” group, sentence (5) supports sentence (4) by presenting an opinion to increase readers’ belief on it. Therefore, sentence (5) points at sentence (4) via the **sup** label. Sentence (4), the group representative, points at sentence (1) via the **att** label.

Sentence (6) presents an opposing opinion of sentence (4) by saying we should prioritise health. In this sense, sentence (6) supports sentence (1) by attacking sentence (4). However, as we prefer a more direct relation, sentence (6) is annotated as pointing at sentence (4) with the **att** label (cf. Section 2.7).

Finally, sentence (7) sums up the whole argument by basically restating the author’s main claim. Even though sentence (7) is not the same as sentence (1), we understand that both expressions mean the same thing. Therefore, we annotate sentence (7) as a restatement of sentence (1) via the bidirectional “=” label ³. **In this text, all sentences participate in the argument, and thus no sentence should be dropped.** The relations we have established so far are illustrated in Figure 7. As you can see, the relations form a hierarchical structure in which the main claim is placed at the top.

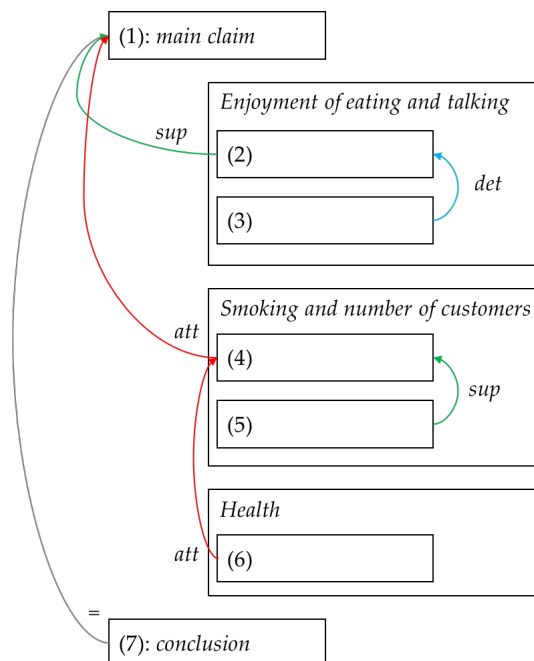


Figure 7. Illustration of annotating relations

Step 5

We can improve the arrangement of sentences by swapping sentence (2) and (3). Sentence (2) talks about customers’ meals, but sentence (3) gives basic information as background to sentence (2) and therefore, sounds more natural if it is stated first.

- (1) I agree with the previous statement.
 (3) At restaurants, customers enjoy eating and talking.
 (2) If somebody smokes in the restaurant, other people may not be able to enjoy the experience.
 (4) However, if we ban smoking in restaurants, then those restaurants might lose some customers.
 (5) Some restaurants are indeed popular, especially among old men, because they allow people to smoke.
 (6) But, I firmly support banning smoking in restaurants because we need to prioritise health.
 (7) In conclusion, I encourage banning smoking in all restaurants.

³It does not matter whether you point (1) to (7) or vice versa.

Step 6

The author of the example text has made an error assuming that the prompt is read alongside the text (cf. Section 4). It is indicated by the expression “*with the previous statement*” in sentence (1). Furthermore, it is necessary to improve the transition from sentence (4) and (5). For example, we can append “*This is because*” at the beginning of sentence (5). The result of this final step is given as follows.

- (1) I agree [with the previous statement | that smoking should be banned at all restaurants in the country].
- (3) At restaurants, customers enjoy eating and talking.
- (2) If somebody smokes in the restaurant, other people may not be able to enjoy their meal.
- (4) However, if we ban smoking in restaurants, then those restaurants might lose some customers.
- (5) [| This is because] some restaurants are indeed popular, especially among old men, because they allow people to smoke.
- (6) But, I firmly support banning smoking in restaurants because we need to prioritise health.
- (7) In conclusion, I encourage banning smoking in all restaurants.

Step 7

Read through the whole text, again, at least once to assess whether the current annotation is already the most proper annotation you can think and whether you can accept the text as it is. If this is the case, you can stop your annotation.

6 General Comment

We appreciate your work and patience. After you annotate the essays, we would like to hear about your experience with and observations about the texts, the tool and the task.