# Design and Analysis of Algorithms: Homework #4

Due in class on March 27, 2018

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Consider the following randomized algorithm for generating biased random bits. The subroutine FairCoin returns either 0 or 1 with equal probability; the random bits returned by FairCoin are mutually independent.

```
1: function OneInThree
2: if FairCoin = 0 then
3: return 0
4: else
5: return 1-OneInThree
6: end if
7: end function
```

- (a) Prove that **ONEINTHREE** returns 1 with probability  $\frac{1}{3}$ .
- (b) What is the exact expected number of times that this algorithm calls FAIRCOIN?
- (c) Now suppose you are given a subroutine OneInthree that generates a random bit that is equal to 1 with probability  $\frac{1}{3}$ . Describe a FairCoin algorithm that returns either 0 or 1 with equal probability, using OneInthree as your only source of randomness.
- (d) What is the exact expected number of times that your FAIRCOIN algorithm calls ONEINTHREE?

#### Solution

#### Part A

In order for One Inthree to return 1, FairCoin must return an odd number of 1s before returning a 0. We can begin to enumerate cases: first, that FairCoin returns a 1 and then a 0 is  $\frac{1}{2} \cdot \frac{1}{2} = \frac{1}{4}$ . The probability that FairCoin returns 3 1s and then a 0 is  $\frac{1}{2} \cdot \frac{1}{2} \cdot \frac$ 

$$\frac{1}{4} + \frac{1}{16} + \frac{1}{64} + \dots + \frac{1}{2^{2n}} = \sum_{k=1}^{\infty} \frac{1}{2^{2k}}$$
 (1)

This is a geometric series with common ratio  $r=\frac{1}{4}$ ; we can then use the formula  $S=\frac{a_1}{1-r}$  to determine the summation. Using this, we can see that  $S=\frac{\frac{1}{4}}{1-\frac{1}{4}}=\frac{\frac{1}{4}}{\frac{3}{4}}=\frac{1}{3}$ . Therefore, One In Three has a  $\frac{1}{3}$  probability of returning 1.

#### Part B

Since OneInThree might never terminate, our expected value of T(n) is:

$$E[T(n)] = \sum_{k=1}^{\infty} k \cdot \Pr[T(n) = k]$$
(2)

In order for OneInthree to terminate with exactly k calls to FairCoin, there must have been exactly k-1 times that FairCoin returned 1 (and OneInthree continued recursing), and 1 time it returned 0 (and OneInthree stopped recursing). Thus, the combined probability for OneInthree to terminate with exactly k calls to FairCoin is  $\frac{1}{2^k}$ . Plugging this back into our equation for E[T(n)]:

$$E[T(n)] = \sum_{k=1}^{\infty} k \cdot \Pr[T(n) = k]$$

$$= \sum_{k=1}^{\infty} k \cdot \frac{1}{2^k}$$

$$= 2$$
(3)

# Part C

```
1: function FAIRCOIN
        c_1 \leftarrow \mathsf{OneInThree}
 3:
         c_2 \leftarrow \mathsf{OneInThree}
        if c_1 = 1 and c_2 = 1 then
 4:
             return FairCoin
 5:
 6:
             if c_1 = 1 or c_2 = 1 then
 7:
                 return 1
 8:
             else
 9:
                 return 0
10:
             end if
11:
         end if
12:
13: end function
```

The idea for this algorithm is that we break the probability space into 3 partitions: first, the probability that both calls to **OneInThree** return 1 is  $\frac{1}{9}$ . The remaining probability is  $\frac{8}{9}$ ; we further divide this into two partitions. The probability that both calls to **OneInThree** returned 0 is  $\frac{4}{9}$ ; thus, the remaining probability (that only one call to **OneInThree** returned 1) is  $\frac{4}{9}$ .

Now, the probability that any call to FairCoin which actually *returns* will return 0 is clearly  $\frac{1}{2}$ ; the same probability applies to any returning call to FairCoin returning 1. Thus, FairCoin has an equal probability of returning either 0 or 1.

#### Part D

Since FairCoin might never terminate, our expected value of T(n) is:

$$E[T(n)] = \sum_{k=1}^{\infty} k \cdot \Pr[T(n) = k]$$
(4)

In order for FairCoin to terminate with exactly 2k calls to OneInThree, there must have been exactly k-1 times that both calls of OneInThree returned 1 (and FairCoin continued recursing), and 1 time at least one call returned 0 (and FairCoin stopped recursing). Thus, the combined probability for FairCoin to terminate with exactly 2k calls to FairCoin is  $\frac{1}{9^{k-1}} \cdot \frac{8}{9} = \frac{8}{9^k}$ . Plugging this back into our equation for E[T(n)]:

$$E[T(n)] = \sum_{k=1}^{\infty} 2k \cdot \Pr[T(n) = k]$$

$$= \sum_{k=1}^{\infty} 2k \cdot \frac{8}{9^k}$$

$$= \frac{9}{4}$$
(5)

Consider the following algorithm for finding the smallest element in an unsorted array:

```
1: function RANDOMMIN(A[1..n])
       min \leftarrow \infty
2:
       for i \leftarrow 1 to n in random order do
3:
            if A[i] < min then
4:
                min \leftarrow A[i]
                                                                                                                                      \triangleright (\star)
5:
6:
            end if
       end for
7:
        return min
9: end function
```

- (a) In the worst case, how many times does RANDOMMIN execute line  $(\star)$ ?
- (b) What is the probability that line  $(\star)$  is executed during the *i*th iteration of the for loop?
- (c) What is the *exact* expected number of executions of line  $(\star)$ ?

#### Solution

## Part A

In the worst case, RandomMin retrieves the elements in descending order, so it must re-assign min (in line  $(\star)$ ) a total of n times.

#### Part B

If line  $(\star)$  is executed during the ith iteration of the for loop, this means that the randomly selected element is smaller than the previous i-1 randomly selected elements. Essentially, we have a set of i elements, and we want to know the probability that a specific element (the most recently added element) is the smallest element in that set. We have a single minimal element, and a  $\frac{1}{i}$  chance of choosing that minimal element; therefore, the probability that the most recently added element is the minimal element of the set is  $\frac{1}{i}$ . We can thus conclude that the probability that line  $(\star)$  is executed during the ith iteration of the for loop is also  $\frac{1}{i}$ .

### Part C

We know that line  $(\star)$  has a  $\frac{1}{i}$  probability of being executed during the *i*th iteration of the for loop, so the expected value at each iteration of the for loop is:

$$E[T(n)]_{\text{iter}} = 0 \cdot \frac{i-1}{i} + 1 \cdot \frac{1}{i} = \frac{1}{i}$$
 (6)

We can sum all of these expected values together to determine the expected number of executions of line  $(\star)$  during the entire algorithm:

$$E[T(n)] = \sum_{k=1}^{n} \frac{1}{k} = H_n \tag{7}$$

Suppose we have a circular linked list of numbers, implemented as a pair of arrays, one storing the actual numbers and the other storing successor pointers. Specifically, let X[1..n] be an array of n distinct real numbers, and let N[1..n] be an array of indices with the following property: If X[i] is the largest element of X, then X[N[i]] is the smallest element of X; otherwise, X[N[i]] is the smallest among the set of elements in X larger than X[i]. For example:

					5				
X[i]	83	54	16	31	45	99	78	62	27
N[i]	6	8	9	5	2	3	1	7	4

Describe and analyze a randomized algorithm that determines whether a given number x appears in the array X in  $O(\sqrt{n})$  expected time. Your algorithm may not modify the arrays X and N.

# **Solution**

A majority tree is a complete binary tree with depth n, where every leaf is labeled either 0 or 1. The value of a leaf is its label; the value of any internal node is the majority of the values of its three children. Consider the problem of computing the value of the root of a majority tree, given the sequence of  $3^n$  leaf labels as input. For example, if n = 2 and the leaves are labeled 1, 0, 0, 0, 1, 0, 1, 1, 1, the root has value 0.

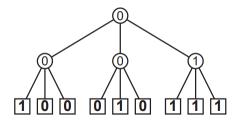


Figure 1: A majority tree with depth n=2.

- (a) Prove that *any* deterministic algorithm that computes the value of the root of a majority tree *must* examine every leaf. [Hint: Consider the special case n = 1. Recurse.]
- (b) Describe and analyze a randomized algorithm that computes the value of the root in worst-case expected time  $O(c^n)$  for some constant c < 3. [Hint: Consider the special case n = 1. Recurse.

# **Solution**

Suppose you are given a graph G with weighted edges, and your goal is to find a cut whose total weight (not just number of edges) is smallest.

- (a) Describe an algorithm to select a random edge of G, where the probability of choosing edge e is proportional to the weight of e.
- (b) Prove that if you use the algorithm from part (a), instead of choosing edges uniformly at random, the probability that GuessminCut returns a minimum-weight is still  $\Omega(1/n^2)$ .
- (c) What is the running time of your modified GuessMinCut algorithm?

# **Solution**