

1 **Supplemental Material for Mixing Modalities of 3D**
2 **Sketching and Speech for Interactive Model Retrieval in**
3 **Virtual Reality**

6 ANONYMOUS

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13 **1 INTRODUCTION**

14 In this supplemental material, we describe the motivation of our choice of using a Wizard of Oz
15 (WoZ) approach for the speech interaction (Section 2). Then we provide the sequence of user
16 sessions for our second experiment (Section 4), show the dictionary and chair collection (shape
17 and colors) we generated by colour permutations (Section 3).

19 **2 WHY DO WE CHOOSE A WIZARD OF OZ APPROACH?**

21 In this section we introduce the state of the art of Natural Language Process algorithms, we define
22 the entire speech interaction pipeline and discuss the advantages and disadvantages of using
23 automatic processes or the human component.

25 **2.1 State of The Art of Natural Language Process**

26 In the last decade, deep learning algorithms have been designed to process text data for classification
27 tasks such as sentiment analysis or text comprehension. Recurrent Neural Networks (RNN) have
28 been effectively utilised for text analysis [5], however they are prone to suffer from vanishing
29 gradients [4], implying that they can forget the context and thus neglect parts of the sentence that
30 are critical for correctly parsing it. More recent models such as Long Short-Term Memory (LSTM) [2]
31 and its variants overcome this memory problem, making it easier to remember data. In addition,
32 recent techniques of mass parallel processing developed by Google Brain and Google Research
33 have helped to improve attention mechanisms, implemented in Transformer model architecture
34 [6]. These NLP models had a considerable improvement in 2018, comparable to the impact of
35 ImageNet [3] in 2012 for Computer Vision. These results make us consider to build a software stack
36 for an automatic pipeline. This pipeline is described in the next section, considering the advantages
37 and disadvantages of each step. Based on this analysis we conclude the automatic pipeline can
38 introduce an error that percolates and/or accumulates in the subsequent steps, we chose to have an
39 experimenter-in-the-loop approach, and so a semi-automatic. We motivate our choice in the next
40 section.

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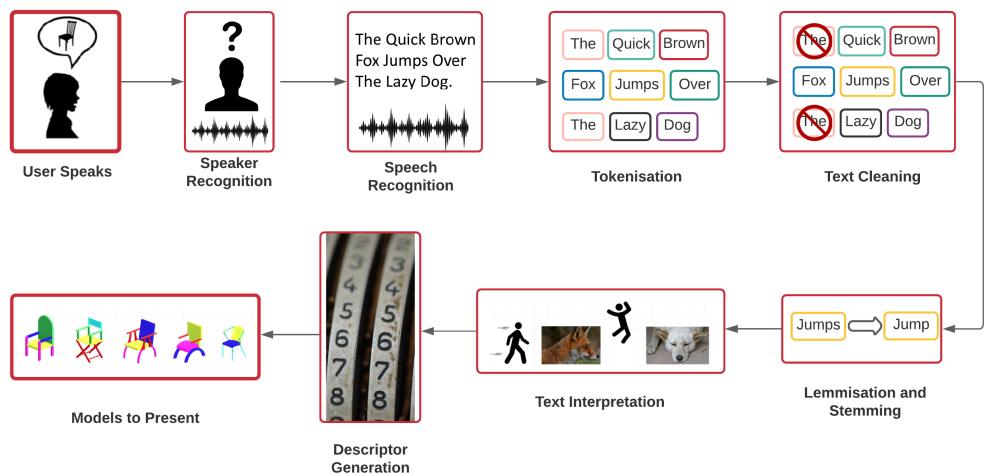


Fig. 1. Our speech pipeline includes: speaker identification, speech recognition, tokenisation, lemmatisation, stemming, text interpretation, descriptor generation, selection of the proposed results.

2.2 Speech Interaction Experiment Requirements

In the experiment, where three types of interaction are compared, while the sketch method was based on Giunchi *et al.* [1] work, the speech interaction was to be designed to be easily included in the system. We analysed the phase of speech interaction, and we split the entire process into stages. All these stages are part of a pipeline. This pipeline manipulates the speech query, as shown in Figure 1.

In our experiment, speech interaction involves a sequence of steps that starts with the user verbally describing the model, and finishes with the presentation of the results to the user. Between these two events, we can enumerate stages identified by the specific required task. This pipeline needs to last a maximum of 5 seconds with the following steps:

Speaker identification. Speaker identification or recognition is the process that allows identifying who is the active speaker. During the experiment, the user can interact with the experimenter by asking clarifications. Thus, it is essential to understand who is talking to avoid another input channel that injects words in the system.

Speech recognition. Speech recognition is the process that converts speech to plain text. This process is challenging, but efficient dictation software can be very accurate when trained with the speaker's voice. We tested three different speech recognition services without training phase, achieving poor results with audio recorded with Oculus equipment. In addition, another potential problem can be the words spoken by the user that are not relevant to the search, such as clarifications asked the experimenter during the test.

Tokenisation. Tokenisation or lexical analysis is the process that converts a sequential text in tokens. Each token is a string with its own length and meaning. Tokenising a text is a very simple operation.

99 *Text cleaning.* Text cleaning is the process that removes from the list of tokens all the items that
100 do not contain relevant information for the training of a machine learning model. NLP libraries
101 implement dictionaries that are used to exclude such words from the tokens list.

102 *Lemmatisation and Stemming.* Lemmatisation and Stemming are two processes that prepare
103 the text to be used for training a model, grouping words with the same root—while Lemmatisation
104 focuses on the morphological analysis of the word, stemming cut prefixes or suffixes of the
105 considered word.

106 *Text interpretation.* Text interpretation is the process that maps the corpus with the meanings that
107 are being tried to convey. In our case, each group of words represents information that describes
108 the object or a part of it. The output of this process is a feature vector that describes the speech
109 query.

110 *Selection of the models.* The descriptor generated by the previous step is used to measure the
111 Euclidean distance with the feature vectors of the models in the dataset, to find the closest matches.

112 **2.3 Motivation for the Wizard Of Oz**

113 In this section, we enumerate the problems that each stage of the pipeline can introduce in the
114 system. Because all the steps of the pipeline can be automatic, we analyse them, one by one and we
115 motivate our choice of using a Wizard Of Oz instead of a fully automatic pipeline.

116 Speaker identification is requested because during the experiment can occur that the participant
117 asks the experimenter some clarifications about the experiment itself, and the nature of the questions
118 can be very different. Normally the experimenter answers and his voice is a different input that
119 should not be used for the experiment. One possible alternative is to instruct the user to avoid any
120 kind of interaction with the experimenter. This stage could be considered optional. An automatic
121 step, in this case, needs to have a 100% accuracy since injecting a different and unrelated input can
122 compromise the query. If a human handles, this phase is naive to consider the voice coming only
123 from the participant.

124 Speech recognition is an essential step in the pipeline that converts all the words pronounced by
125 the speaker to plain text. During this process, we noticed that the participants sometimes speak
126 without providing relevant information, instead they react to some events happening in the
127 virtual environment, e.g., commenting on the results or also make some questions to themselves.
128 These chunks of information need to be disregarded as they can inject incorrect information in
129 to the pipeline. Accuracy of speech to text is the most important metric in this case. If the stage
130 is handled in an automatic way, there are different software that can be used. We tested three
131 different speech-to-text services, not fine-tuned with the speaker's voice, that represents a plausible
132 condition for our experiment. The accuracy for all the three services was not satisfactory, and we
133 present the detailed results in Section 2.4. To solve the problem of excluding uninformative chunk,
134 one possibility is to give the user a command that enables the speech to be interpreted, but this is
135 not an optimal solution as one of our pre-condition is to avoid the use of the hands during speech
136 interaction. An experimenter is able to convert speech to text very quickly, but it isn't easy in
137 our experiment configuration to write text in real-time. An efficient alternative is to provide the
138 experimenter with a GUI and instructing the participant to follow a limited dictionary (always
139 visible in VR). This dictionary contains all the meanings valid for the dataset that we designed. In
140 addition, we describe a speech query in order to generate one search each 10 seconds, this is because
141 a continuous speech is challenging to deal with for both for an automatic and a semi-automatic
142 process.

148 Tokenisation stage is a very simple task to achieve from a corpus both if a computer or a human
149 manages the stage.

150 Text cleaning stage is another phase of the pipeline that is simple to achieve. If handled by com-
151 puter NLP libraries contains dictionaries that list all the words that do not give useful information.
152 Also, for a human that is listening to a speaker, the task of dropping words that do not add semantic
153 value to the sentence is easy.

154 Lemmatisation and stemming stage can be managed by NLP libraries, and also easily by a human
155 with the limitation that we put in our experiment configuration.

156 Text interpretation and descriptor generation step can be managed automatically by one of
157 the state-of-the-art models such as Transformer model. In this case, anyway, it is necessary to
158 train the model, labelling all the models with many descriptions. A possible way to achieve such
159 meta-information dataset is to use Amazon Mechanical Turk or to hire additional participants to
160 describe a large part of the chairs and complete the colour variational dataset, replacing the colours
161 in the description. However, this activity requires a big effort. On the other hand, the experimenter
162 can be provided with an interface where he can clock buttons that increase the value of specific
163 entries connected to the meanings that the user expressed. In this case, at the end of the query,
164 a feature vector is automatically created and, moreover, can bee synchronised with the current
165 selection in the virtual scene.

166 Selection of the models is a stage that anticipates the models' presentation and can be handled
167 automatically.

168 Each stage can introduce errors, independently if a human or a machine manages it. These
169 errors are also difficult to calculate for each stage, but they accumulate over the stages. We notice
170 that speech recognition gave us very low accuracy in some cases when managed by speech-to-
171 text software. The reasons can be found in the different component of the audio profile of each
172 participant (tempo, rhythm, pitch, context), as well as fluency and accents which all can affect
173 the accuracy of the transcript. In addition, we do not use software trained with the voice of each
174 participant, and it is reasonable to experience a worse accuracy if compared with trained dictation
175 software. However, fine-tuning would add an additional training step within the study. Moreover,
176 the microphone we used could inject noise in the system.

177 On the other side, a human can deal with speech to text conversion easily, and with some
178 limitation related to the domain of the meanings extracted from the dataset, we achieve a reliable
179 semi-automatic system.

181 2.4 Speech to Text services

183 In this section, we present the results of some speech to text services fed with the audio files coming
184 from some users that tested our apparatus. We tested 10 audio files in the following services:

- 186 (1) Watson IBM (<https://speech-to-text-demo.ng.bluemix.net/> from heree)
- 187 (2) SONIX (<https://sonix.ai/>)
- 188 (3) google speech to text (<https://cloud.google.com/speech-to-text>)

190 We determined the accuracy considering groups of keywords in the speech queries. Each group
191 conveys specific information about the style and/or colour of the chair, or the style and/or colour of
192 a part of the chair. For example, if the speaker told "red straight arm" only that sequence of words
193 (or eventually "straight red arm") will trigger a point in the accuracy score. We achieved 37% of
194 accuracy for the Watson IBM, 60% for the SONIX service, and even only 16% with google speech.

197 3 DICTIONARY AND DATASET

198 We define a dictionary that contains the following characteristics describing a chair. Each feature
 199 is associated with a value that represents how much that feature impact on the description of
 200 the chair. Our dictionary contains the following concepts: Height-Length, Size, Thick, Decorated,
 201 Curvy, Modern, Antique, Slatted, Swiveling, Flexible, Stable, Reclinable, Padded, Slanted, Canvased,
 202 Missing. Each concept can be associated to the chair globally, or to each component of the segmented
 203 chairs: back, seat, arms, legs.

204 3.1 Chair Shapes and Colours

205 This section shows the shapes (45) of the chairs we include in the dataset, in Figure 2, and for one
 206 chair all the colour permutation (360) in Figure 3 for a total of 16200. This dataset is 5 time larger
 207 than the version used in Giunchi *et al.* [1] work.



237 Fig. 2. The 45 shapes selected for the chairs in the dataset.
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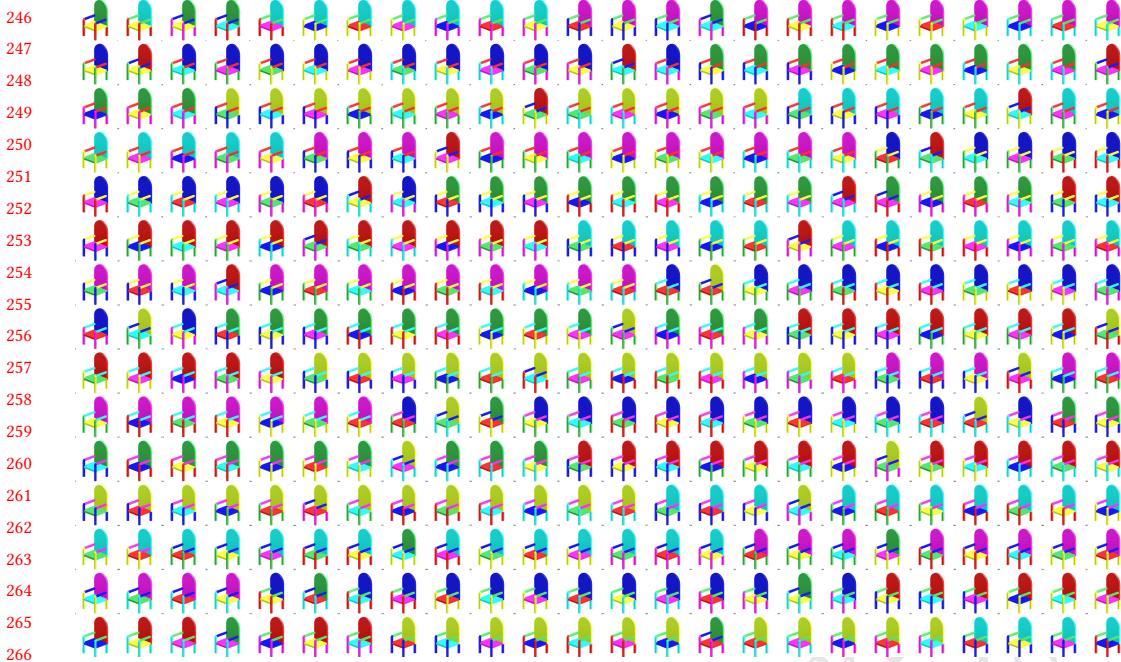
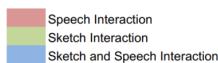


Fig. 3. The 360 permutations without repetitions of colour in one type of chair.

4 SECOND EXPERIMENT SCHEDULE

This section shows the schedule of the experiment, in Figure 4.

03-00	08-00	01-00	04-00	05-00	07-00	06-00	02-00	00-00	10-01	15-01	11-01	17-01	12-01	16-01	13-01	14-01	09-01	22-02	26-02	20-02	24-02	18-02	19-02	23-02	25-02	21-02
09-00	11-00	14-00	15-00	17-00	16-00	10-00	13-00	12-00	29-02	30-02	28-02	32-02	27-02	34-02	35-02	31-02	33-02	21-01	25-01	19-01	22-01	18-01	23-01	24-01	20-01	26-01
19-00	18-00	26-00	24-00	22-00	21-00	23-00	20-00	25-00	36-02	42-02	39-02	37-02	41-02	38-02	40-02	44-02	43-02	27-01	30-01	29-01	28-01	35-01	31-01	34-01	32-01	33-01
08-02	01-02	04-02	03-02	05-02	00-02	07-02	02-02	06-02	43-01	40-01	44-01	39-01	38-01	36-01	41-01	37-01	42-01	33-00	28-00	30-00	35-00	32-00	30-00	31-00		
08-01	01-01	00-01	06-01	03-01	04-01	07-01	02-01	05-01	38-00	39-00	44-00	42-00	41-00	36-00	43-00	40-00	37-00	12-02	13-02	11-02	09-02	16-02	10-02	17-02	15-02	14-02
23-02	21-02	20-02	25-02	19-02	18-02	26-02	22-02	24-02	02-00	06-00	01-00	07-00	08-00	04-00	05-00	00-00	03-00	16-01	17-01	09-01	11-01	10-01	13-01	12-01	15-01	14-01
20-01	21-01	23-01	25-01	22-01	18-01	24-01	19-01	26-01	11-00	17-00	14-00	13-00	12-00	09-00	16-00	15-00	10-00	27-02	32-02	30-02	29-02	28-02	34-02	31-02	33-02	35-02
28-01	32-01	34-01	30-01	29-01	35-01	31-01	27-01	33-01	44-02	36-02	37-02	41-02	40-02	42-02	43-02	39-02	38-02	18-00	22-00	23-00	21-00	20-00	19-00	26-00	24-00	25-00
43-01	37-01	44-01	41-01	40-01	36-01	39-01	42-01	38-01	07-02	04-02	02-02	08-02	00-02	05-02	06-02	01-02	03-02	27-00	34-00	35-00	29-00	30-00	32-00	28-00	33-00	31-00
38-00	43-00	42-00	36-00	40-00	41-00	44-00	37-00	39-00	12-02	10-02	17-02	13-02	14-02	16-02	11-02	15-02	09-02	01-01	07-01	05-01	06-01	03-01	02-01	04-01	00-01	08-01


 Speech Interaction
 Sketch Interaction
 Sketch and Speech Interaction

First number is the chair model
Second number is the type of interaction (same as colour)

Fig. 4. This table shows the schedule of the experiment with ten users (rows). Each column represents a searching session, where the first number is the searched shape model, while the second number and the colour reveals the interaction type. Each user searched 27 chairs, split into three sessions with 9 chairs with the same type of interaction. Both the models and the interaction are randomised.

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