

CPE 460 Operating System Design

Lecture 3: Once Upon a Process

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Any program to run must be loaded in memory





```
// File: test.c
#include <stdio.h>

int main() {
    printf("I love Mansaf!\n");
    return 0;
}
```

```
gcc -o test test.c
```

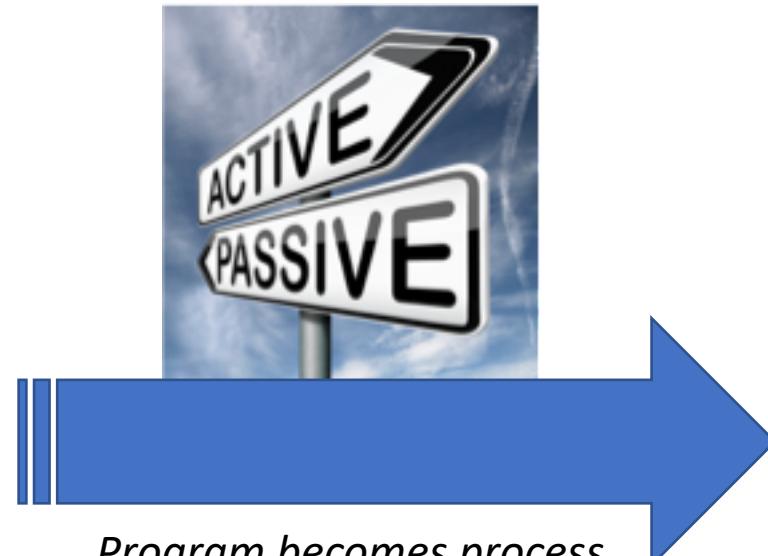




```
// File: test.c
#include <stdio.h>

int main() {
    printf("I love Mansaf!\n");
    return 0;
}
```

```
gcc -o test test.c
```



*Program becomes process
when executable file
loaded into memory*





```
// File: test.c
#include <stdio.h>

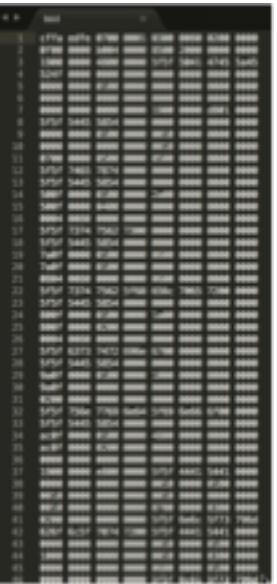
int main() {
    printf("I love Mansaf!\n");
    return 0;
}
```



```
gcc -o test test.c
```



```
101
011
```



```
Disassembly of section __TEXT,__text:
_text:
100000f50: 55 pushq %rbp
100000f51: 48 89 e5 movq %rsp, %rbp
100000f54: 48 83 ec 10 subq $16, %rsp
100000f58: 48 8d 3d 3b 00 00 00 leaq $9(%rip), %rdi
100000f5f: c7 45 fc 00 00 00 00 movl $0, -4(%rbp)
100000f66: b8 00 movb $0, %al
100000f68: e8 8d 00 00 00 callq 13
100000f6d: 31 c9 xorl %ecx, %ecx
100000f6f: 89 45 f8 movl %eax, -8(%rbp)
100000f72: 89 c8 movl %ecx, %eax
100000f74: 48 83 c4 10 addq $16, %rsp
100000f78: 5d popq %rbp
100000f79: c3 retq

_main:
100000f50: 55 pushq %rbp
100000f51: 48 89 e5 movq %rsp, %rbp
100000f54: 48 83 ec 10 subq $16, %rsp
100000f58: 48 8d 3d 3b 00 00 00 leaq $9(%rip), %rdi
100000f5f: c7 45 fc 00 00 00 00 movl $0, -4(%rbp)
100000f66: b8 00 movb $0, %al
100000f68: e8 8d 00 00 00 callq 13
100000f6d: 31 c9 xorl %ecx, %ecx
100000f6f: 89 45 f8 movl %eax, -8(%rbp)
100000f72: 89 c8 movl %ecx, %eax
100000f74: 48 83 c4 10 addq $16, %rsp
100000f78: 5d popq %rbp
100000f79: c3 retq

Disassembly of section __TEXT,__stubs:
__stubs:
100000f7a: ff 25 98 00 00 00 jmpq *+144(%rip)
Disassembly of section __TEXT,__stub_helpers:
__stub_helpers:
100000f80: 4c 8d 1d 81 00 00 00 leaq 129(%rip), %r11
100000f87: 41 53 pushq %r11
100000f89: ff 25 71 00 00 00 jmpq *+113(%rip)
100000f8f: 90 nop
100000f90: 68 00 00 00 00 pushq $0
100000f95: e9 e6 ff ff jjp -26 <__stub_helpers>
```

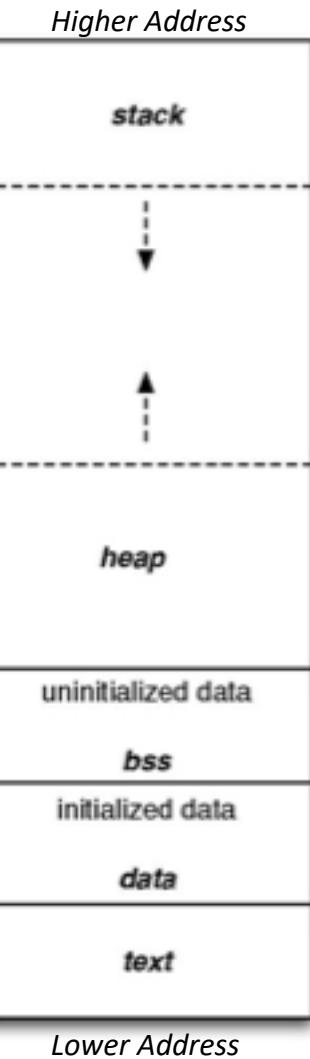
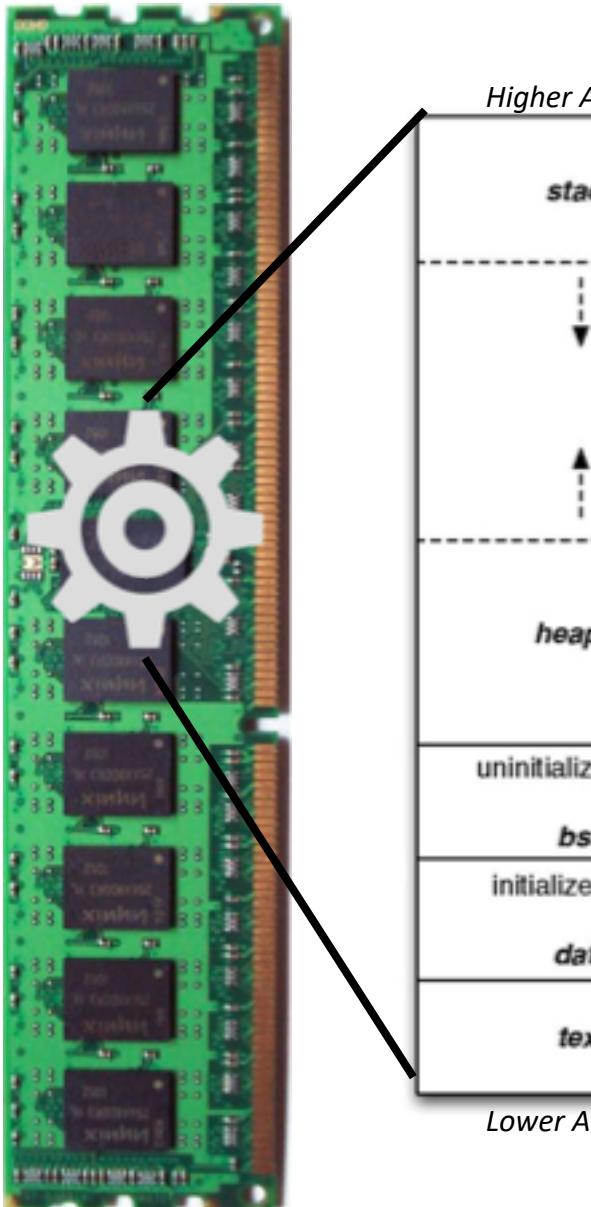


```
objdump -d test
```

http://www.thegeekstuff.com/2012/09/objdump-examples/?utm_source=feedburner
<https://jvns.ca/blog/2014/09/06/how-to-read-an-executable/>

Process Memory Layout

https://en.wikipedia.org/wiki/Data_segment



Stack Area contains the program stack, a LIFO structure. A “stack pointer” register tracks the top of the stack; it is adjusted each time a value is “pushed” onto the stack. The **stack area** contains temporary data: function parameters, return addresses, and local variables.

Heap Area is the memory that is dynamically allocated during process run time. The heap area is managed by `malloc`, `calloc`, `realloc`, and `free`, which may use the `brk` and `sbrk` system calls to adjust its size

BSS Data Segment contains all global variables and static variables that are initialized to zero or do not have explicit initialization in source code.

Initialized Data Segment contains any global or static variables which have a pre-defined value and can be modified

Text (Code) Segment is one of the sections of a program in an object file or in memory, which contains executable instructions

Process execution
must progress in
sequential fashion

```
#include <stdio.h>
int main(void) {
    return 0;
}
```

```
$ gcc memory-layout.c -o memory-layout
$ size memory-layout
text      data      bss      dec      hex      filename
960       248       8        1216     4c0      memory-layout
```

```
#include <stdio.h>
int global;
int main(void) {
    return 0;
}
```

```
$ gcc memory-layout.c -o memory-layout
$ size memory-layout
text      data      bss      dec      hex      filename
960       248      12       1216     4c0      memory-layout
```

```
#include <stdio.h>
int global;
int main(void) {
    static int i;
    return 0;
}
```

```
$ gcc memory-layout.c -o memory-layout
$ size memory-layout
text      data      bss      dec      hex      filename
960       248      16       1216     4c0      memory-layout
```

```
#include <stdio.h>
int global = 10;
int main(void) {
    static int i = 100;
    return 0;
}
```

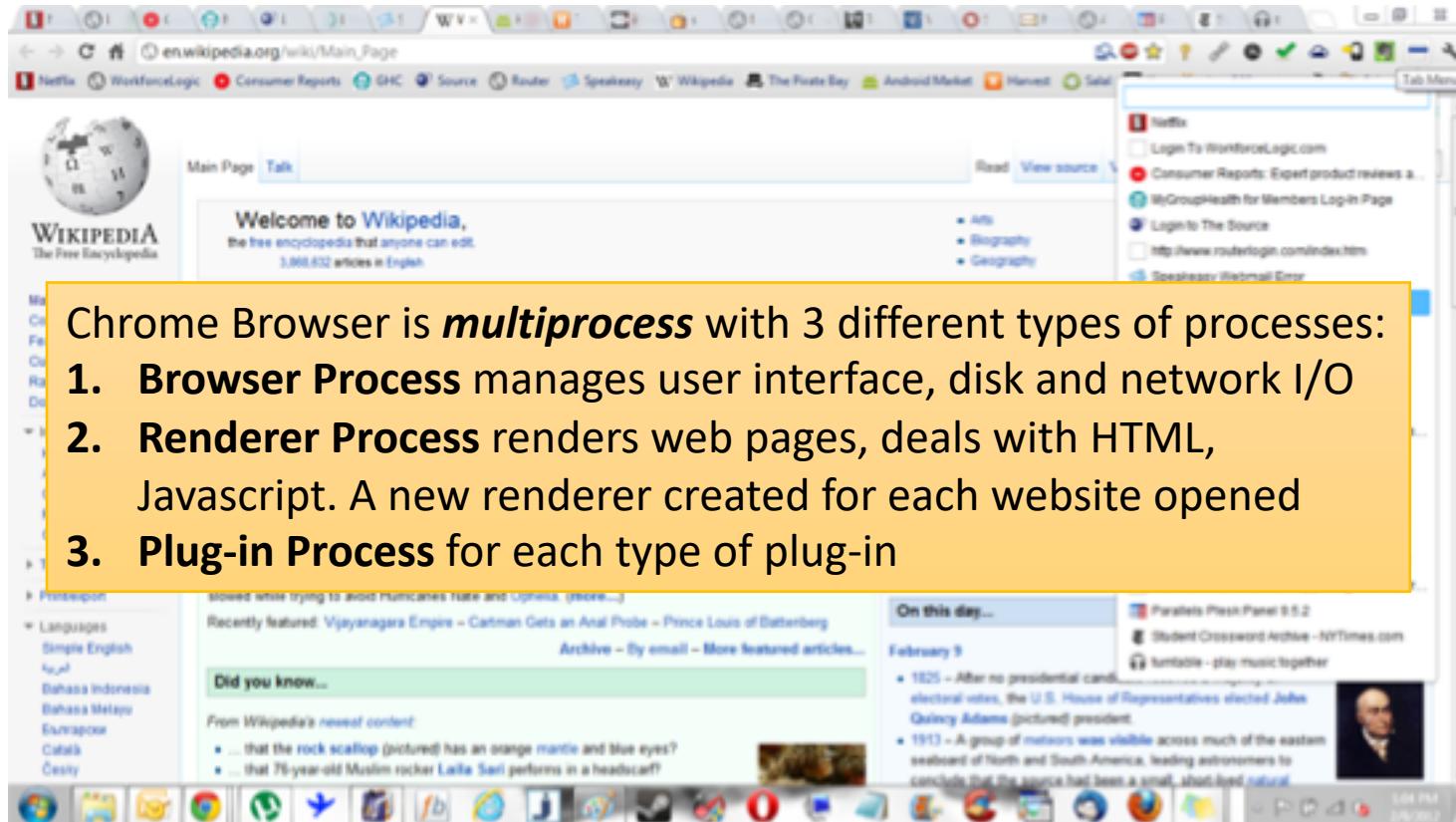
```
$ gcc memory-layout.c -o memory-layout
$ size memory-layout
text      data      bss      dec      hex      filename
960       256       8        1216     4c0      memory-layout
```

```
#include <stdio.h>
int main(void) {
    printf("hello\n");
    return 0;
}
```

```
$ gcc memory-layout.c -o memory-layout
$ size memory-layout
text      data      bss      dec      hex      filename
960       248       8        1216     4c0      memory-layout
```

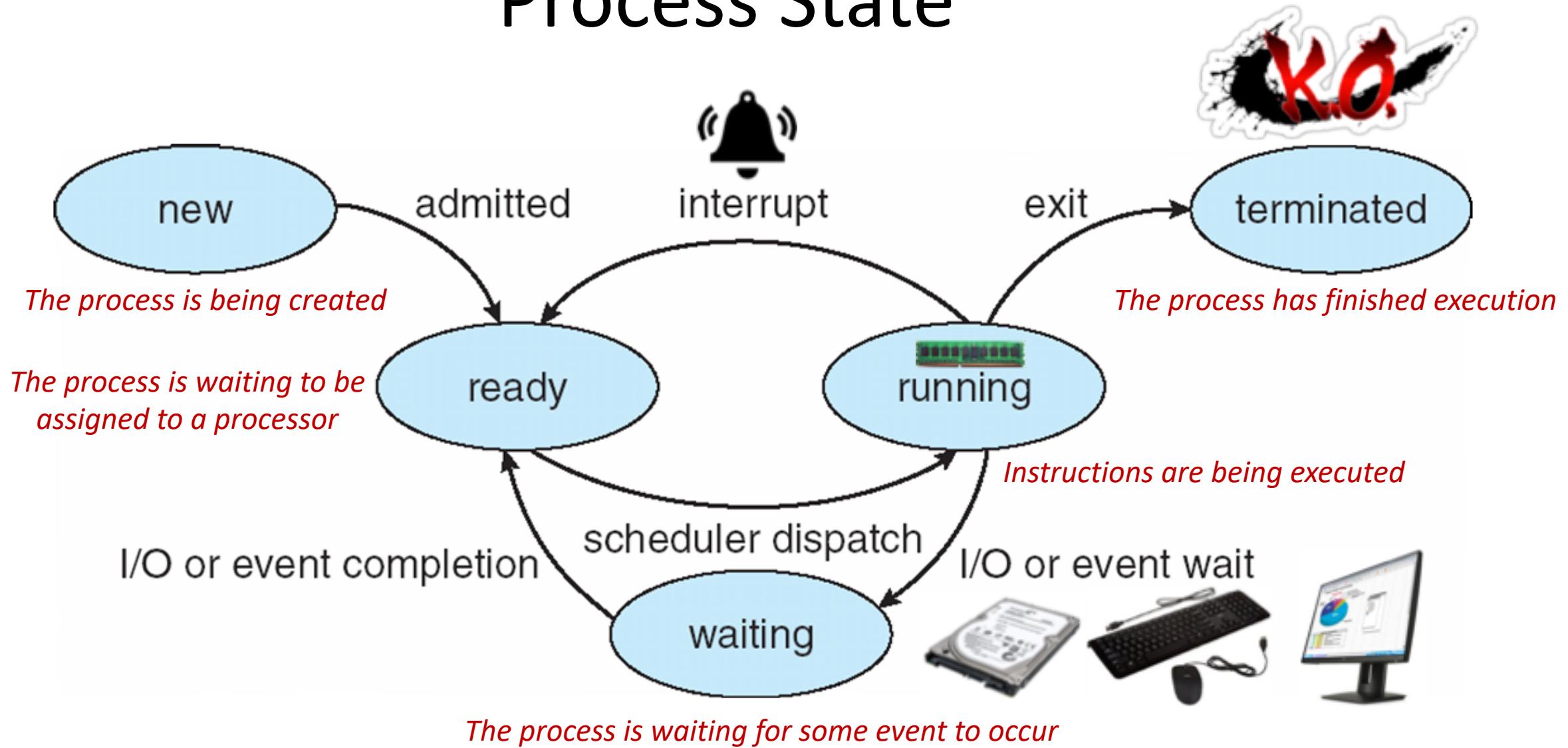
<http://www.geeksforgeeks.org/memory-layout-of-c-program/>

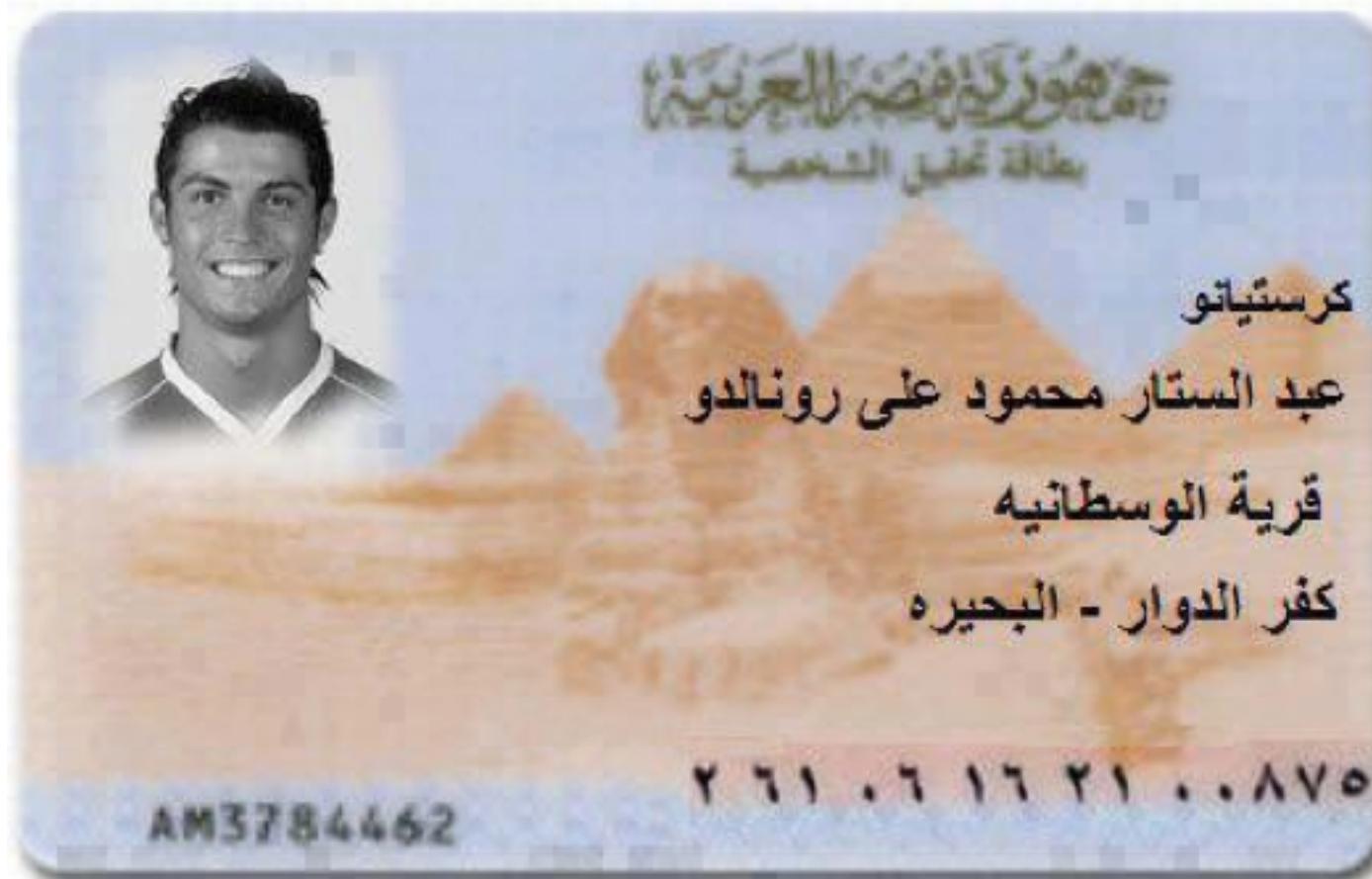
One program can be several processes



Name	Status	26% CPU	62% Memory	0% Disk	0% Network
Google Chrome (32 bit)		0%	69.2 MB	0 MB/s	0 Mbps
Google Chrome (32 bit)		1.3%	37.5 MB	0 MB/s	0 Mbps
Google Chrome (32 bit)		0.5%	88.3 MB	0 MB/s	0 Mbps
Google Chrome (32 bit)		0%	26.5 MB	0 MB/s	0 Mbps
Google Chrome (32 bit)		0%	67.1 MB	0 MB/s	0 Mbps
Google Chrome (32 bit)		0%	49.6 MB	0 MB/s	0 Mbps
Google Chrome (32 bit)		0%	19.8 MB	0 MB/s	0 Mbps

Process State





OPERATING SYSTEM KINGDOM

Process Control Block (PCB)



PROCESS

PROCESS STATE:

PROGRAM COUNTER:

CPU REGISTERS:

CPU SCHEDULING INFO:

MEMORY MANAGEMENT INFO:

ACCOUNTING INFO:

I/O STATUS INFO:

OPERATING SYSTEM KINGDOM

Process Control Block (PCB)



PROCESS

PROCESS STATE:

PROGRAM COUNTER:

CPU REGISTERS:

CPU SCHEDULING INFO:

MEMORY MANAGEMENT INFO:

ACCOUNTING INFO:

I/O STATUS INFO:



Process Number: a unique identification number for each process in the operating system.

Process State: new, ready, running, waiting, terminated.

Program Counter: A pointer to the address of the next instruction to be executed for this process

CPU Registers: Contents of all process-centric registers. This state information must be saved when an **interrupt** occurs, to allow the process to be continued correctly afterward.

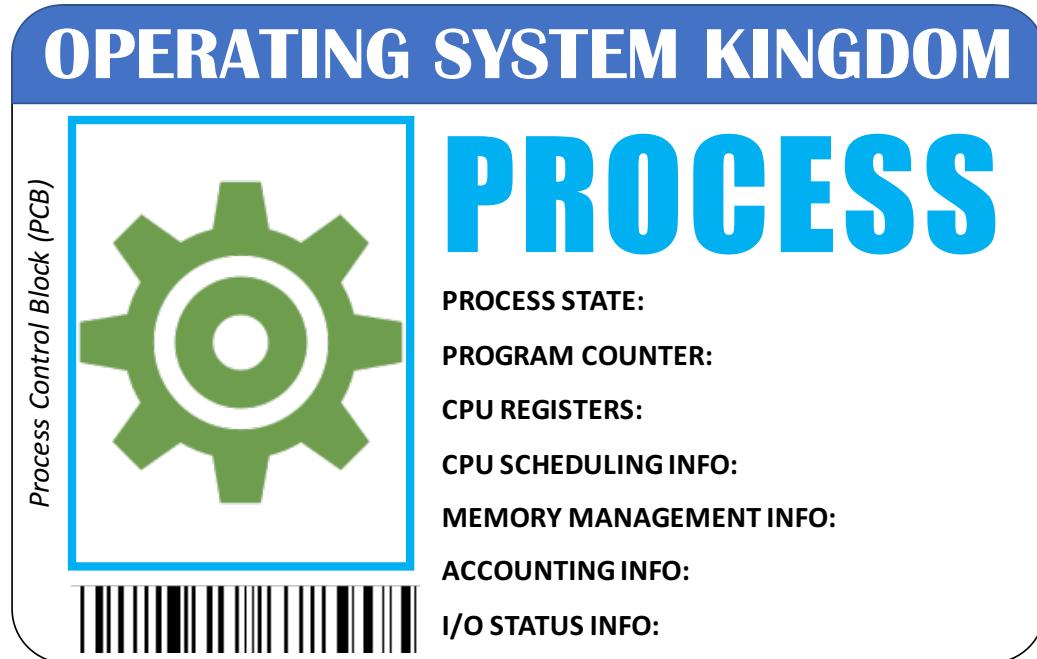
CPU Scheduling Info: Priorities, scheduling queue pointers and other scheduling parameters (*Chapter 6*)

Memory Management Info: Memory allocated to the process such as: base/limit registers and page/segment tables (*Chapter 7*)

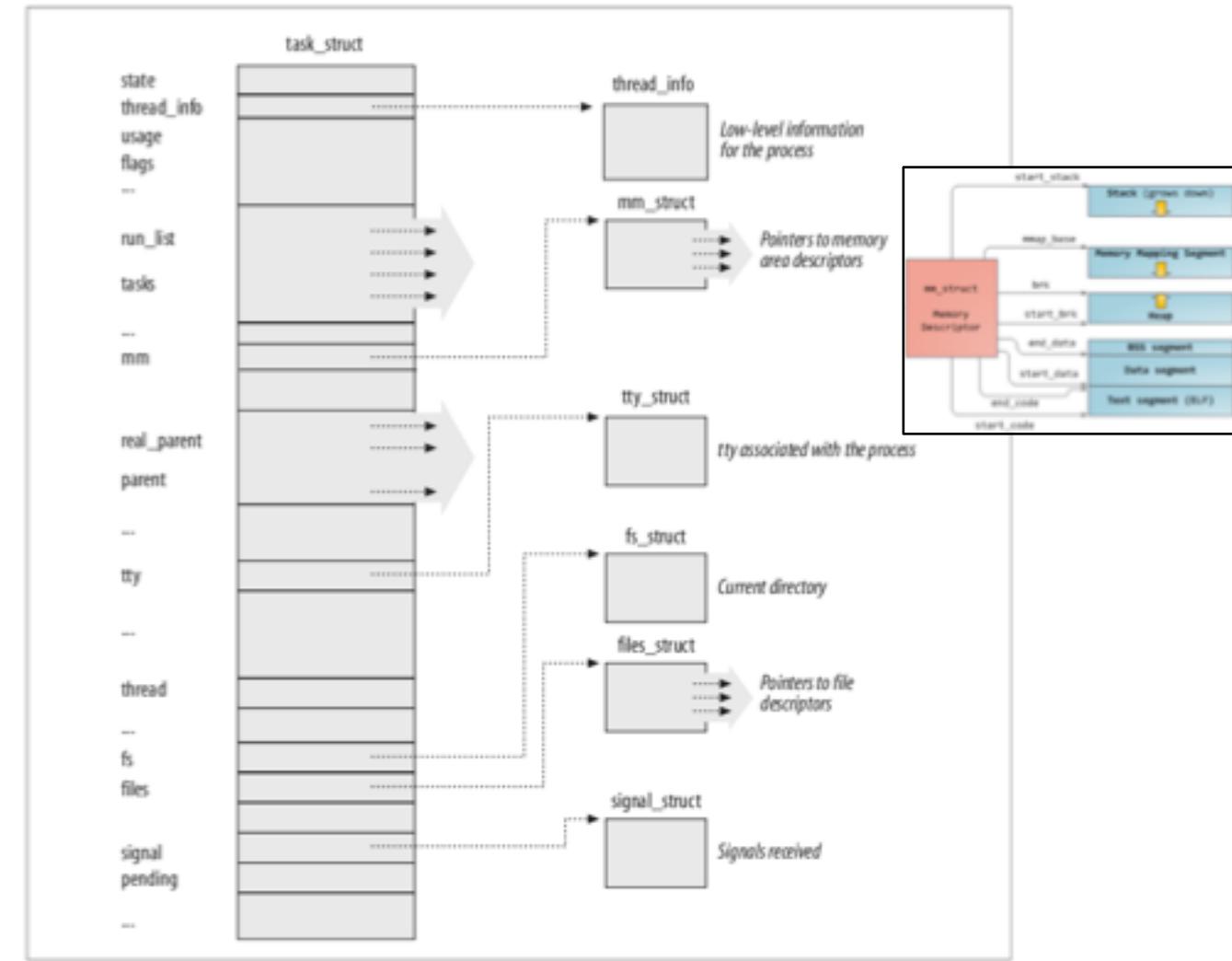
Accounting Info: Amount of CPU and real time used, time limits, account numbers, job or process numbers.

I/O Status Info: The list of I/O devices allocated to process, list of open files

Operating Systems *differ* in Process Representation



<https://github.com/torvalds/linux/blob/master/include/linux/sched.h#L1501>
<http://www.tldp.org/LDP/tlk/ds/ds.html>



Multiprogramming (Batch System)

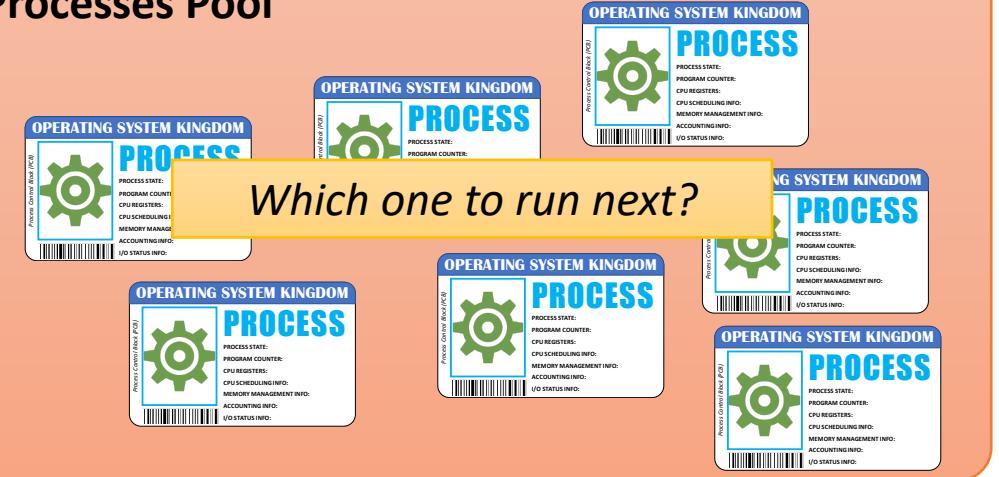


Maximize CPU use, quickly switch processes onto CPU for time sharing

Maximize throughput by increasing the number of processes that are completed per time unit

Maximize response time by decreasing the time from the submission of a request until the first response is produced

Processes Pool



Timesharing (Multitasking)





Process Scheduler

Process scheduler selects among available processes for next execution on CPU

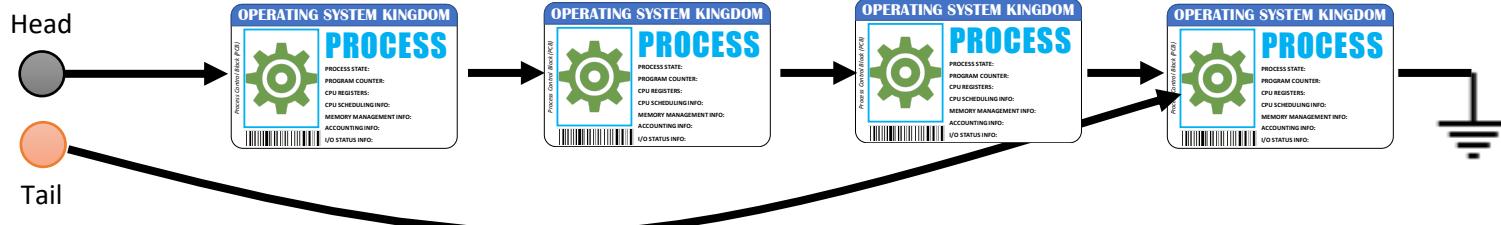


Maintains scheduling queues of processes

Processes migrate among the various queues

Job Queue

Set of all processes in the system



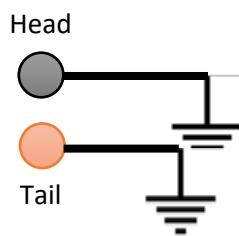
Ready Queue

Set of all processes residing in main memory, ready and waiting to execute



Disk 1 Queue

Device queue – Set of processes waiting for an I/O on Disk 1



I/O Queue

Device queue – Set of processes waiting for an I/O device





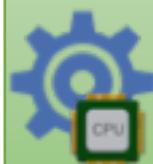
Representation of Process Scheduling

Long-Term Scheduling

selects which processes should be brought into the ready queue

Invoked infrequently (secs, mins) May be slow controls the degree of multiprogramming

Incoming Processes



CPU-Bound Process

spends more time doing computations; few very long CPU bursts



I/O-Bound Process

spends more time doing I/O than computations (*short CPU time*)

Blocked/Suspended Queues

Swap In

Time Slice Expired

Ready Queue

Swap Out

CPU

I/O



I/O Queue

I/O Request

Short-Term (CPU) Scheduling

selects which process should be executed next and allocates CPU

Invoked frequently (milliseconds) Must be fast algorithm Sometimes the only scheduler in a system



Wait for Interrupt



Fork a child process

Medium-Term Scheduling

swaps out process from memory, then **swaps it in** ready queue

process swapping scheduler

Reduces the degree of multiprogramming

Comparison among Scheduler

S.N.	Long-Term Scheduler	Short-Term Scheduler	Medium-Term Scheduler
1	It is a job scheduler	It is a CPU scheduler	It is a process swapping scheduler.
2	Speed is lesser than short term scheduler	Speed is fastest among other two	Speed is in between both short and long term scheduler.
3	It controls the degree of multiprogramming	It provides lesser control over degree of multiprogramming	It reduces the degree of multiprogramming.
4	It is almost absent or minimal in time sharing system	It is also minimal in time sharing system	It is a part of Time sharing systems.
5	It selects processes from pool and loads them into memory for execution	It selects those processes which are ready to execute	It can re-introduce the process into memory and execution can be continued.

https://www.tutorialspoint.com/operating_system/os_process_scheduling.htm

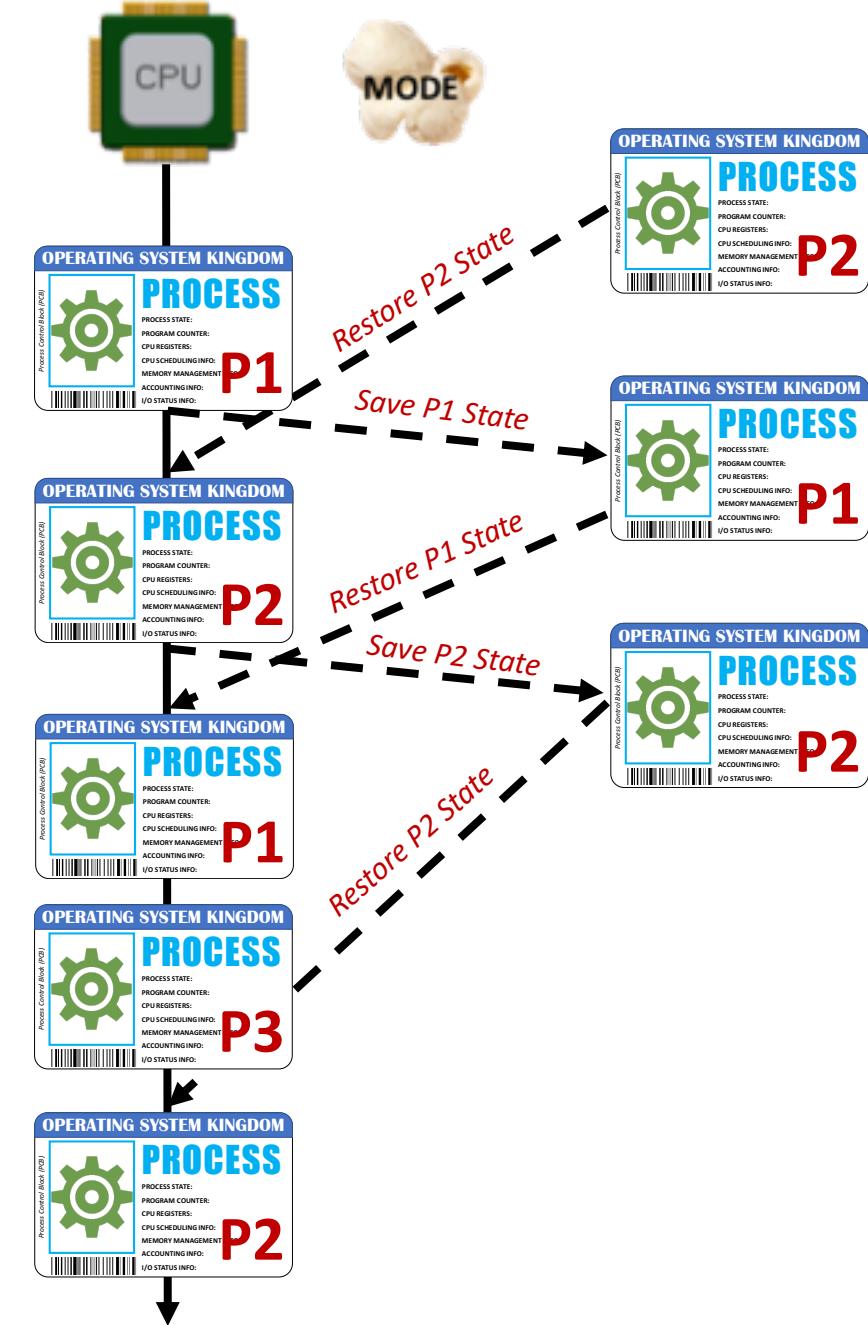
Context Switching

enables multiple processes to share a single CPU

The mechanism to store and restore **the state or context** of a CPU in **Process Control Block** so that a process execution can be resumed from the same point at a later time

When the scheduler switches the CPU switches from executing one process to another process, the system must save the state “Context” of the old process and load the saved state “Context” for the new process

Context



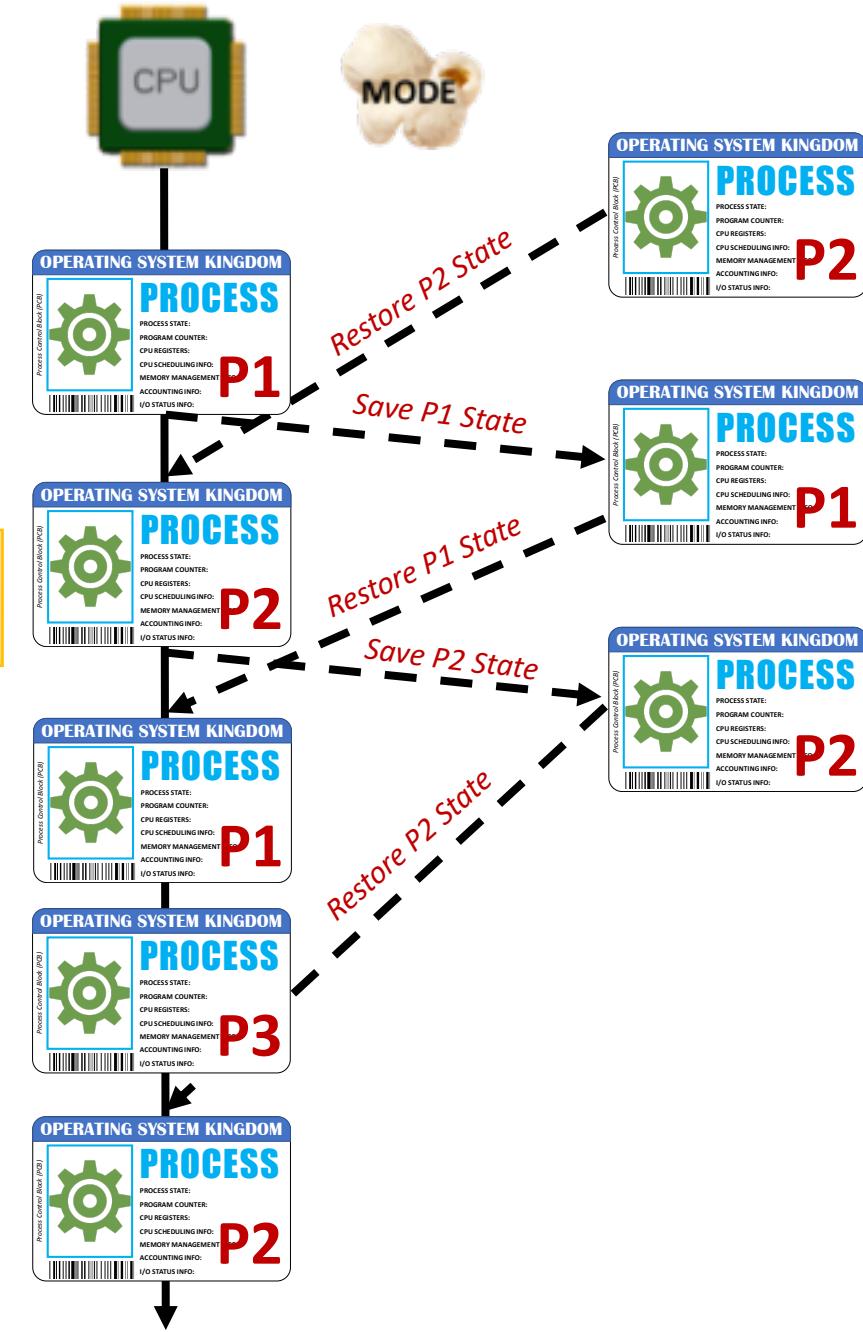
Context Switching

enables multiple processes to share a single CPU

Context switches are **computationally intensive** since register and memory state must be saved and restored

The more complex the OS and the PCB; the longer the context switching

To avoid the amount of context switching time, some hardware systems employ two or more sets of processor registers so that multiple contexts loaded at once.



```
2859 /*  
2860 * context_switch - switch to the new MM and the new thread's register state.  
2861 */  
2862 static __always_inline struct rq *  
2863 context_switch(struct rq *rq, struct task_struct *prev,  
2864                 struct task_struct *next, struct pin_cookie cookie)  
2865 {  
2866     struct mm_struct *mm, *oldmm;  
2867  
2868     prepare_task_switch(rq, prev, next);  
2869  
2870     mm = next->mm;  
2871     oldmm = prev->active_mm;  
2872     /*  
2873      * for paravirt, this is coupled with an exit in switch_to to  
2874      * combine the page table reload and the switch backend into  
2875      * one hypercall.  
2876     */  
2877     arch_start_context_switch(prev);  
2878  
2879     if (mm) {  
2880         next->active_mm = oldmm;  
2881         atomic_inc(&oldmm->mm_count);  
2882         enter_lazy_tlb(oldmm, next);  
2883     } else  
2884         switch_mm_irqs_off(oldmm, mm, next);  
2885  
2886     if (prev->mm) {  
2887         prev->active_mm = NULL;  
2888         rq->prev_mm = oldmm;  
2889     }  
2890     /*  
2891      * Since the runqueue lock will be released by the next  
2892      * task (which is an invalid locking up but in the case  
2893      * of the scheduler it's an obvious special-case), we've  
2894      * do an early locking release here.  
2895     */  
2896     locking_wake_lock(&rq->lock, cookie);  
2897     spin_release(&rq->lock.dep_map, 1, _THIS_IP_);  
2898  
2899     /* here we just switch the register state and the stack. */  
2900     switch_to(prev, next, prev);  
2901     barrier();  
2902 }
```

<https://github.com/torvalds/linux/blob/master/kernel/sched/core.c#L2862>



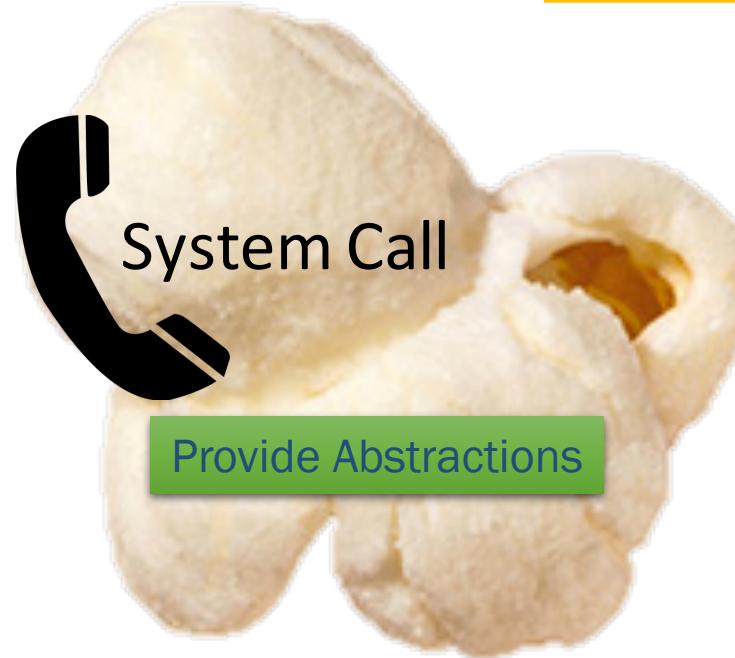
Create, Delete Communication Connection
Message Passing Model Host/Process Name
Shared-Memory Model
Transfer Status Information
Attach/Detach Remote Devices



Create/Terminate/Load/Execute Process
Get/Set Process Attributes
Wait for Time/Event
wait event, signal event
Allocate/Free/Dump Memory
Locks for Process Synchronization



Control access to resources
Get and set permissions
Allow and deny user access



Get/Set Time or Date
Get/Set System Data



Request/Release/Read/Write Device
Get/Set Device Attributes
Logically Attach/Detach devices



Create/Delete/Open/Close/Read/Write File
Get/Set File Attributes

Process Creation



Parent process creates **children** processes, which, in turn create other processes, forming a **tree of processes**

Process identified and managed via a process identifier (PID) – Unique ID



```
howto@ubuntu: ~
top - 03:48:40 up 19 min, 1 user, load average: 0.16, 0.09, 0.16
Tasks: 143 total, 1 running, 142 sleeping, 0 stopped, 0 zombie
Cpu(s): 2.6%us, 0.7%sy, 0.0%ni, 96.7%id, 0.0%wa, 0.0%hi, 0.0%si,
Mem: 1025656k total, 678580k used, 347076k free, 79936k buffer
Swap: 0k total, 0k used, 0k free, 310528k cached

 PID USER PR NI VIRT RES SHR %CPU %MEM TIME+ COMMAND
 1216 root 20 0 32624 3460 2860 S 0.7 0.3 0:05.31 vmtoolsd
 2025 howto@ 20 0 81456 23m 17m S 0.7 2.3 0:01.41 unity-2d-p
 17 root 20 0 0 0 S 0.3 0.0 0:00.34 kworker/0:
 36 root 20 0 0 0 S 0.3 0.0 0:00.18 scsi_eh_1
 1081 root 20 0 199m 60m 7340 S 0.3 6.0 0:13.42 Xorg
 1973 howto@ 20 0 6568 2832 916 S 0.3 0.3 0:06.24 dbus-daemon
 2153 howto@ 20 0 147m 16m 9820 S 0.3 1.7 0:03.63 unity-pane
 2313 howto@ 20 0 136m 13m 10m S 0.3 1.4 0:00.84 gnome-terminal
 2697 howto@ 20 0 2820 1148 864 R 0.3 0.1 0:00.05 top
 1 root 20 0 3456 1976 1280 S 0.0 0.2 0:02.31 init
 2 root 20 0 0 0 S 0.0 0.0 0:00.00 kthreadd
 3 root 20 0 0 0 S 0.0 0.0 0:00.07 ksoftirqd/
```

```
[root@linoxide ~]# pstree
systemd--NetworkManager--dhclient
                         |---3*[{NetworkManager}]
                         |
                         +---2*[agetty]
                         +---auditd--(auditd)
                         +---avahi-daemon--avahi-daemon
                         +---chrony
                         +---crond
                         +---dbus-daemon
                         +---iprdump
                         +---iprinit
                         +---iprupdate
                         +---polkitd--5*[{polkitd}]
                         +---rsyslogd--2*[{rsyslogd}]
                         +---sshd--sshd--bash--pstree
                         |                         |
                         |                         +---sshd--sshd
                         +---systemd-journal
                         +---systemd-logind
                         +---systemd-network
                         +---systemd-udevd
                         +---tuned--4*[{tuned}]
[root@linoxide ~]#
```

First process to run is the “**systemd**” process that is started at **system boot**. This is the grand parent of all processes in the whole system

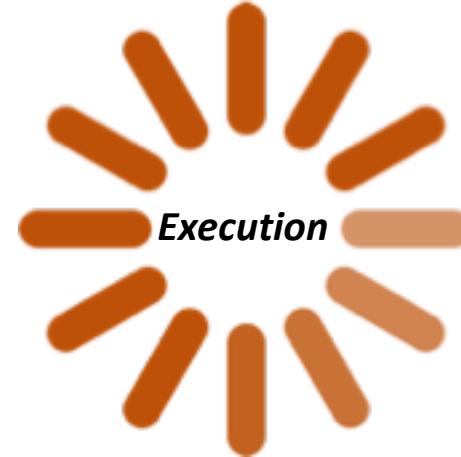
If a process dies, then its orphan children are re-parented to the “**systemd**” process



Parent and children share all resources

Children share subset of parent's resources

Parent and child share no resources



Parent and children execute concurrently

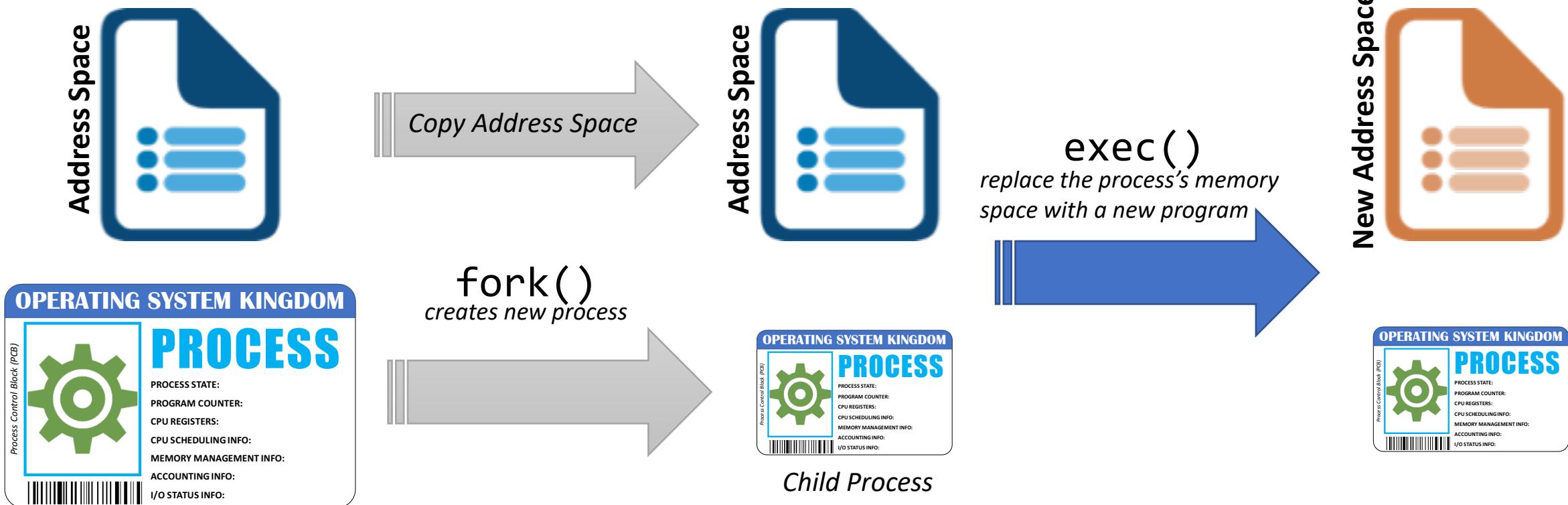
Parent waits until children terminate



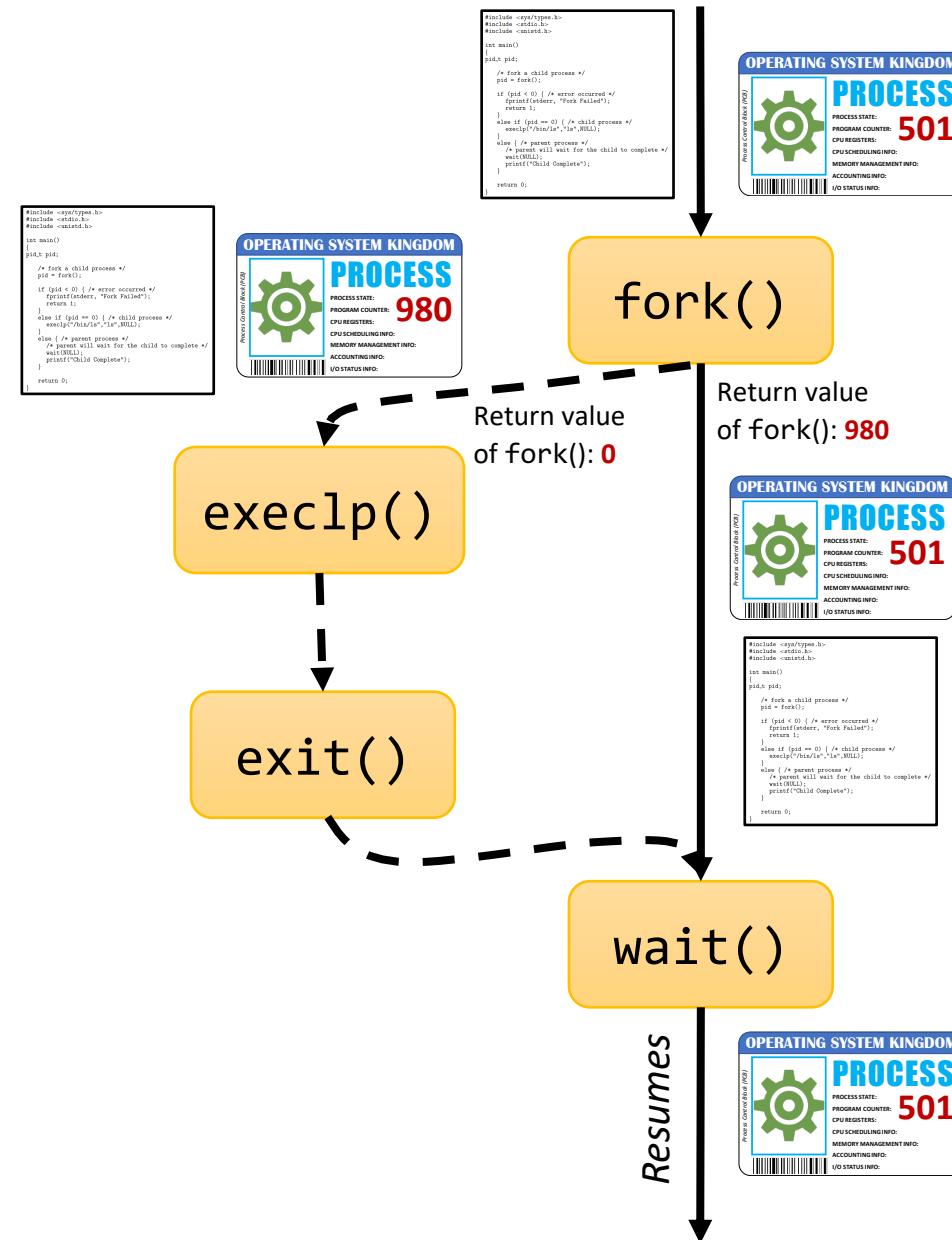
Child duplicate of parent

Child has a program loaded into it

Process Creation



Process Creation

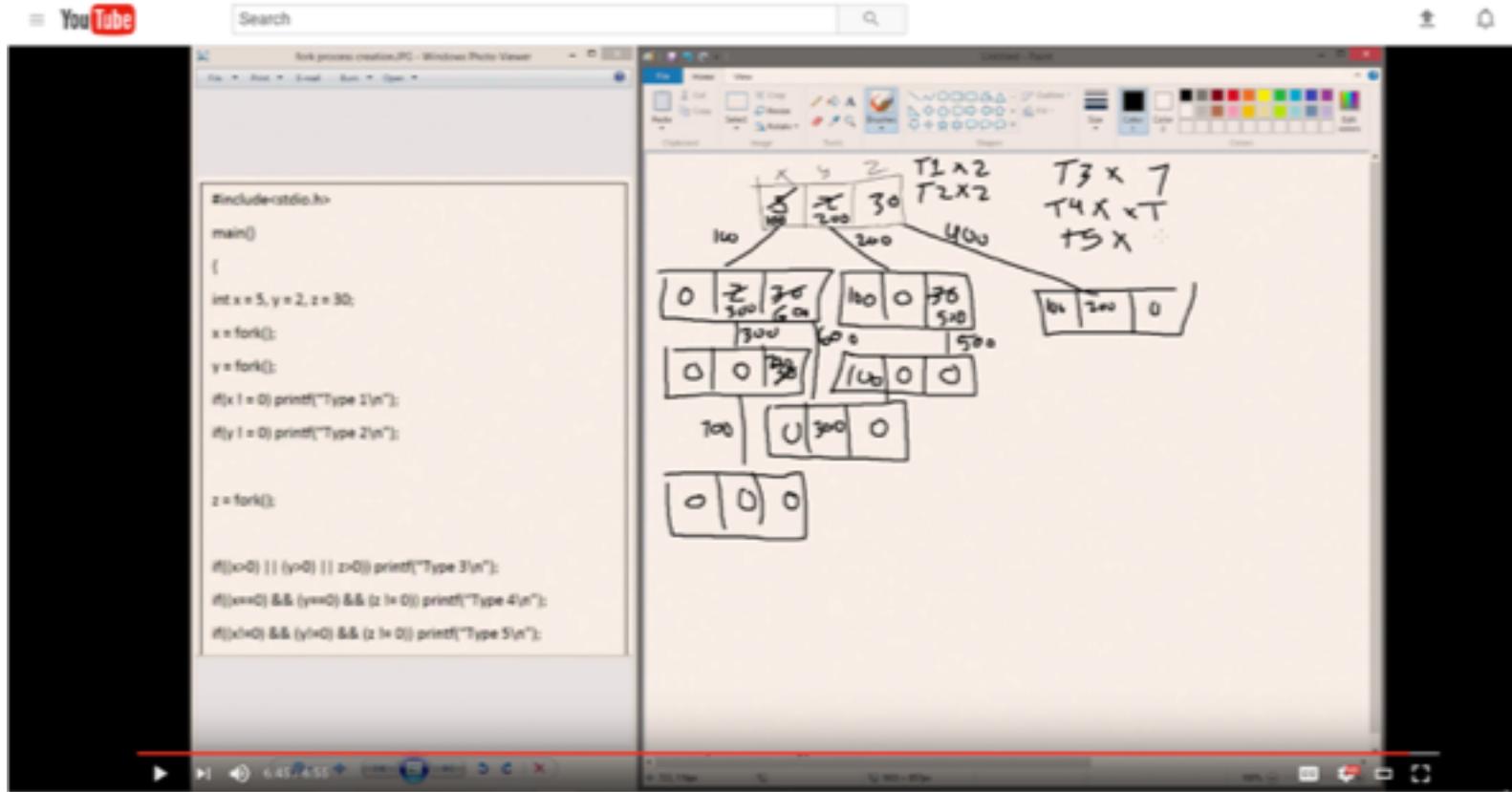


```
#include <sys/types.h>
#include <stdio.h>
#include <unistd.h>

int main()
{
    pid_t pid;

    /* fork a child process */
    pid = fork();

    if (pid < 0) { /* error occurred */
        fprintf(stderr, "Fork Failed");
        return 1;
    }
    else if (pid == 0) { /* child process */
        execlp("/bin/ls", "ls", NULL);
    }
    else { /* parent process */
        /* parent will wait for the child to complete */
        wait(NULL);
        printf("Child Complete");
    }
    return 0;
}
```



<https://www.youtube.com/watch?v=WcsZvdILkPw>

```
int x = 5, y = 2, z = 30;

x = fork();

y = fork();

if(x != 0){
    printf("Type 1\n");
}

if(y != 0){
    printf("Type 2\n");
}

z = fork();

if((x > 0) || (y > 0) || (z > 0)){
    printf("Type 3\n");
}

if((x == 0) && (y == 0) && (z != 0)){
    printf("Type 4\n");
}

if((x != 0) && (y != 0) && (z != 0)){
    printf("Type 5\n");
}
```



5	2	30
x	y	z

Process Termination

Process executes last statement and then asks the OS to delete it using the **exit()** system call

Parent may terminate the execution of children processes using the **abort()** system call

Child has exceeded allocated resources OR Task assigned to child is no longer required

The parent process may wait for termination of a child process by using **wait()**

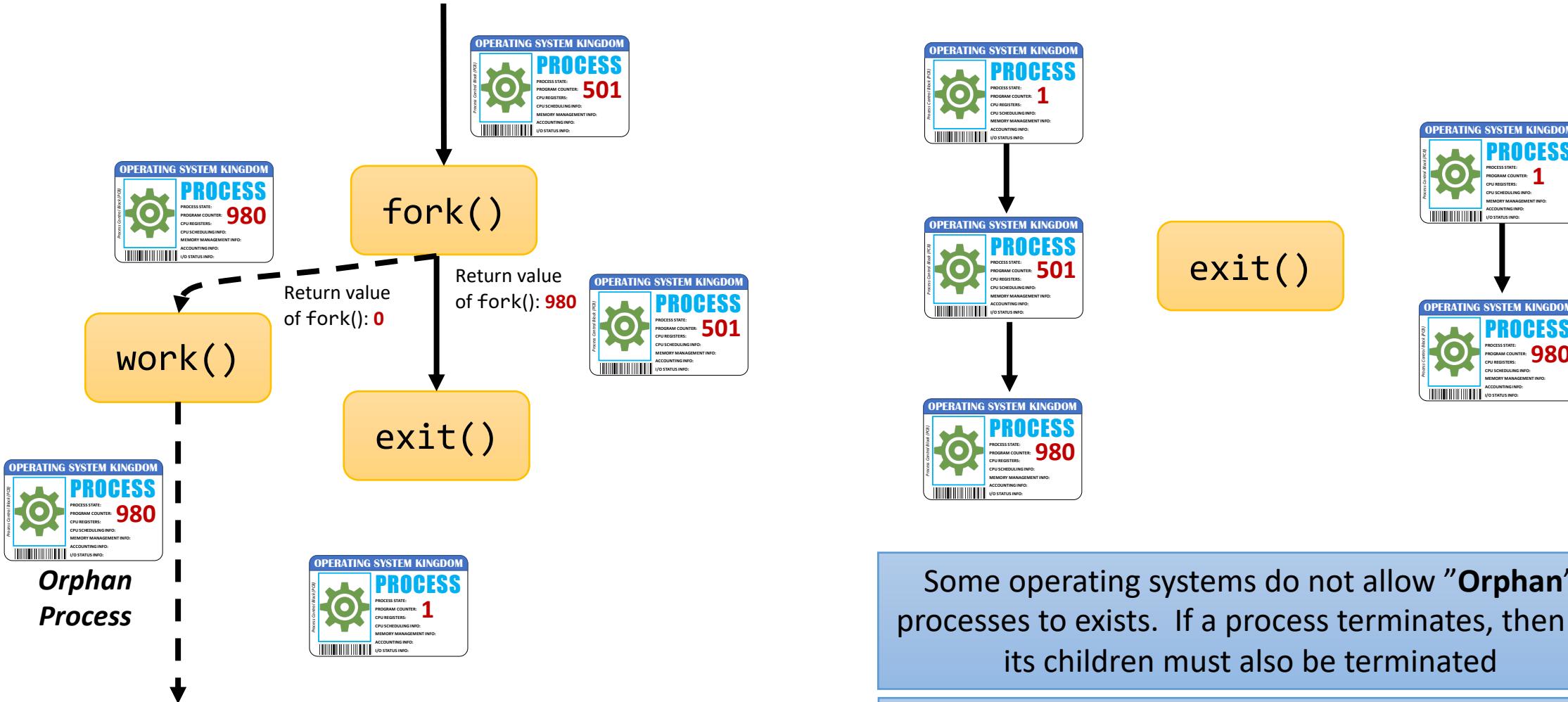
Returns status data from child to parent via
pid = wait(&status);

Process' resources are deallocated by OS



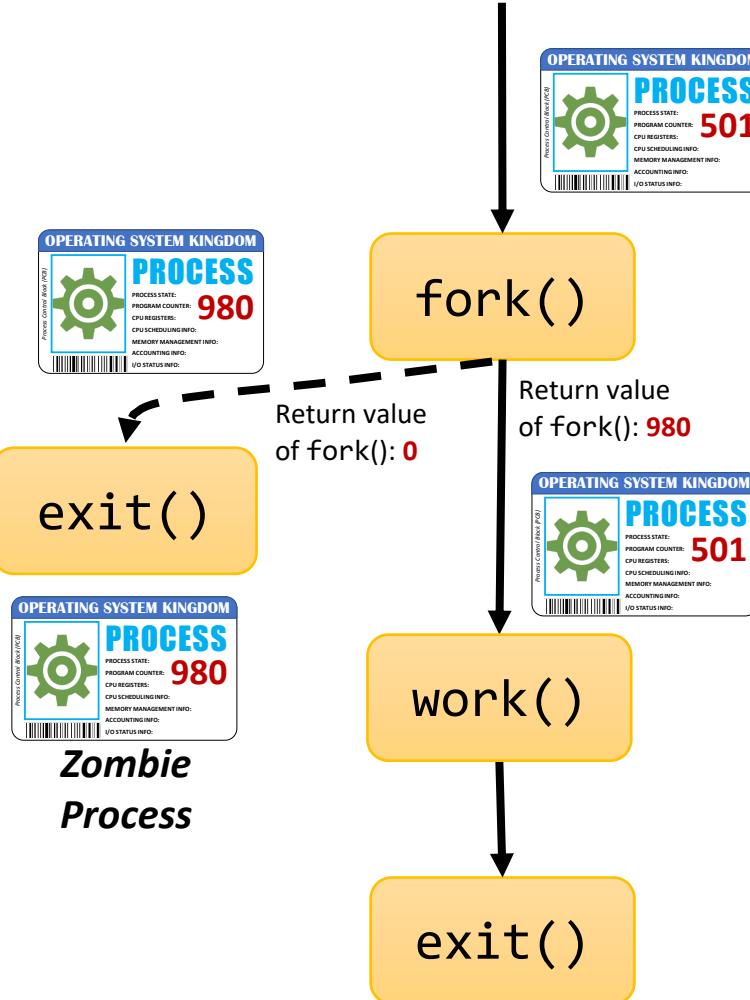
Orphan Process

A child process whose parent process has finished or terminated, though it remains running itself.



Zombie Process

A child process that has completed execution but has not yet been reaped



The entry for child process is still needed to allow the parent process to read its child's exit status: once the exit status is read via the `wait()`, the zombie's entry is removed from the process table and it is said to be "reaped"

A child process always first becomes a zombie before being removed from the resource table.

It requires a system re-boot



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