

Lambda Calculus: An Introduction

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Outline

- Why study lambda calculus?
- Lambda calculus
 - Syntax
 - Evaluation
 - Relationship to programming languages

Lambda Calculus

- A framework developed in 1930s by Alonzo Church to study computations with functions
 - Church wanted a minimal notation to expose only what is essential
- The smallest universal programming language of the world
 - Universal-Any computable function can be expressed and evaluated

Background

- **Godel** defined the class of *general recursive functions* as the *smallest set of functions*
 - all the constant functions, the successor function, and closed under certain operations (such as compositions and recursion)
- A function is computable (in the intuitive sense) if and only if it is general recursive
- **Church** defined an idealized programming language called the *lambda calculus*,
 - *a function is computable (in the intuitive sense) if and only if it can be written as a lambda term*

The Conjecture

- **Church's Thesis** : The effectively computable functions on the positive integers are precisely those functions definable in the pure lambda calculus (and computable by Turing machines).
- The conjecture cannot be proved since the informal notion of “effectively computable function” is not defined precisely.
- But since all methods developed for computing functions have been proved to be no more powerful than the lambda calculus, it captures the idea of computable functions

Why study λ -calculus

- We will see a number of important concepts in their simplest possible form, which means we can discuss them in full detail
- We will then reuse these notions frequently to build the different code blocks.
- The λ -calculus is of great historical and foundational significance.
- The independent and nearly simultaneous development of Turing Machines and the λ -Calculus as universal computational mechanisms led to the Church-Turing Thesis
- The notion of function is the most basic abstraction present in nearly all programming languages.
- If we are to study programming languages, we therefore must strive to understand the notion of function.

Function Creation

$$f(x) = x + 5$$

$$f = \lambda x. x + 5$$

- Church introduced the notation

$$\lambda x. E$$

to denote a function with formal argument x and with body E

- Functions do not have names
 - names are not essential for the computation
- Functions have a single argument
 - Only one argument functions are discussed

Function Application

$$f(x) = x + 5 \quad f(10)$$

$$f = \lambda x. x + 5 \quad f \ 10$$

$$(\lambda x. x + 5) \ 10$$

- The only thing that we can do with a function is to apply it to an argument
- Church used the notation

$E_1 \ E_2$

to denote the application of function E_1 to actual argument E_2

E_1 is called (ope)rator and E_2 is called (ope)rand

- All functions are applied to a single argument

Significance of λ -calculus

- λ -calculus is the standard testbed for studying programming language features
 - Because of its minimality
 - Despite its syntactic simplicity the λ -calculus can easily encode:
 - numbers, recursive data types, modules, imperative features, exceptions, etc.
- Certain language features necessitate more substantial extensions to λ -calculus:
 - for distributed & parallel languages: π -calculus
 - for object oriented languages: σ -calculus

The central concept in λ calculus is the “expression”. A “name”, also called a “variable”, is an identifier which, for our purposes, can be any of the letters a, b, c, \dots . An expression is defined recursively as follows:

$$\begin{aligned}\langle \text{expression} \rangle &:= \langle \text{name} \rangle \mid \langle \text{function} \rangle \mid \langle \text{application} \rangle \\ \langle \text{function} \rangle &:= \lambda \langle \text{name} \rangle . \langle \text{expression} \rangle \\ \langle \text{application} \rangle &:= \langle \text{expression} \rangle \langle \text{expression} \rangle\end{aligned}$$

Variables x

Expressions $e ::= \lambda x. x \mid e \mid e_1 e_2$

Examples of Lambda Expressions

- The identity function:

$$I =_{\text{def}} \lambda x. x$$

- A function that given an argument y discards it and computes the identity function:

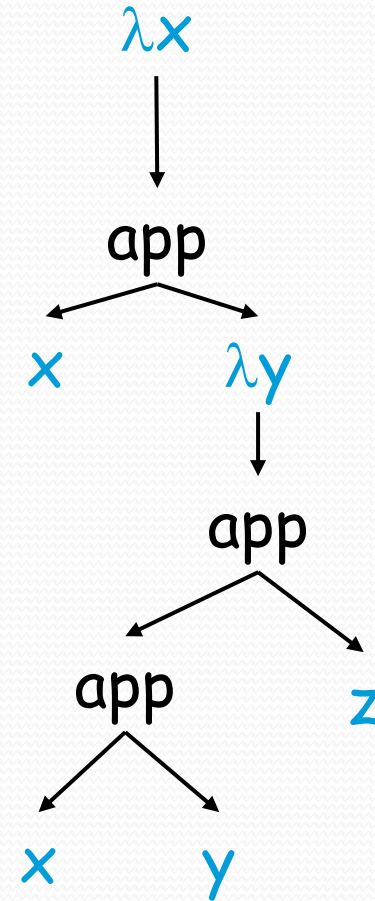
$$\lambda y. (\lambda x. x)$$

- A function that given a function f invokes it on the identity function

$$\lambda f. f (\lambda x. x)$$

Notational Conventions

- Application associates to the left
 $x\ y\ z$ parses as $(x\ y)\ z$
- Abstraction extends to the right as far as possible
 $\lambda x. x\ \lambda y. x\ y\ z$ parses as
 $\lambda x. (x\ (\lambda y. ((x\ y)\ z)))$
- And yields the the parse tree:



Scope of Variables

- As in all languages with variables, it is important to discuss the notion of scope
 - Recall: the **scope** of an identifier is the portion of a program where the identifier is accessible
- An abstraction $\lambda x. E$ **binds** variable x in E
 - x is the newly introduced variable
 - E is the scope of x
 - we say x is **bound** in $\lambda x. E$
 - Just like formal function arguments are bound in the function body

Free and Bound Variables

$$\int_0^1 x^2 dx$$

$$\sum_{x=1}^{10} \frac{1}{x}$$

$$\lim_{x \rightarrow \infty} e^{-x}$$

```
int succ(int x) { return x+1; }
```

- A variable is said to be free in E if it is not bound in E
- Free variables are declared outside the term
- We can define the free variables of an expression E recursively as follows:

$$\text{Free}(x) = \{ x \}$$

$$\text{Free}(E_1 E_2) = \text{Free}(E_1) \cup \text{Free}(E_2)$$

$$\text{Free}(\lambda x. E) = \text{Free}(E) - \{ x \}$$

- Example: $\text{Free}(\lambda x. x (\lambda y. x y z)) = \{ ? \}$

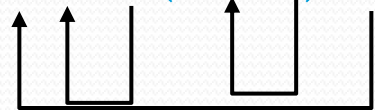
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$$M \equiv (\lambda x. xy)(\lambda y. yz).$$

- A lambda expression with no free variables is called closed.

Free and Bound Variables (Cont.)

- Just like in any language with static nested scoping, we have to worry about variable shadowing
 - An occurrence of a variable might refer to different things in different context
- In λ -calculus: $\lambda x. x (\lambda x. x) x$



Renaming Bound Variables

- Two λ -terms that can be obtained from each other by a renaming of the bound variables are considered identical
- Example: $\lambda x. x$ is identical to $\lambda y. y$ and to $\lambda z. z$
- Intuition:
 - by changing the name of a formal argument and of all its occurrences in the function body, the behavior of the function does not change
 - in λ -calculus such functions are considered identical

Renaming Bound Variables (Cont.)

- Convention: we will always rename bound variables so that they are all unique
 - e.g., write $\lambda x. x (\lambda y.y) x$ instead of $\lambda x. x (\lambda x.x) x$
 - Variable capture or name clash problem would arise!
- This makes it easy to see the scope of bindings
- And also prevents serious confusion !

Substitution

- The substitution of E' for x in E (written $[E'/x]E$)
 - **Step 1.** Rename bound variables in E and E' so they are unique (α -reduction)
 - **Step 2.** Perform the textual substitution of E' for x in E
- This is called β -reduction
- We write $E \rightarrow_{\beta} E'$ to say that E' is obtained from E in one β -reduction step
- We write $E \rightarrow_{\beta}^* E'$ if there are zero or more steps

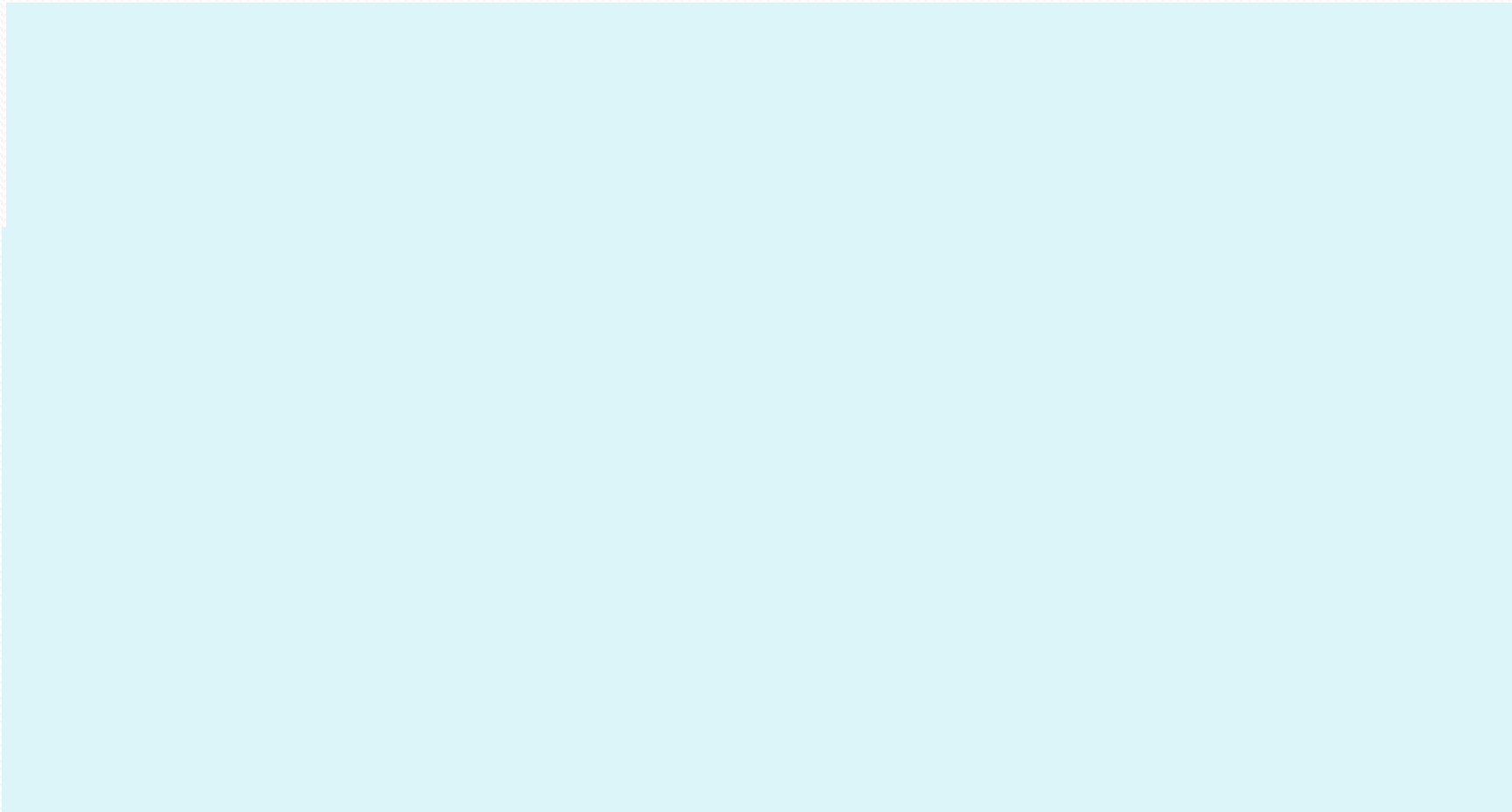
- $(\lambda x.x)$
- `int f(int x){`
 - `return x;`
- `}`
- $x \Rightarrow x;$

- `f(5);`
- $(\lambda x.x)(5)$
- $E_1 = \lambda x.x \quad E_2 = 5$
- $(E_1)(E_2)$

Functions with Multiple Arguments

- Consider that we extend the calculus with the **add** primitive operation
- The λ -term $\lambda x. \lambda y. \text{add } x \ y$ can be used to add two arguments E_1 and E_2 :
$$\begin{aligned} (\lambda x. \lambda y. \text{add } x \ y) E_1 E_2 &\rightarrow_{\beta} \\ ([E_1/x] \lambda y. \text{add } x \ y) E_2 &= \\ (\lambda y. \text{add } E_1 \ y) E_2 &\rightarrow_{\beta} \\ [E_2/y] \text{add } E_1 \ y &= \text{add } E_1 E_2 \end{aligned}$$
- The arguments are passed one at a time

$((\lambda x. ((\lambda y. (x\ y))x))(\lambda z. w))$



$((\lambda a. a) \lambda b. \lambda c. b) (x) \lambda e. f$

$(\lambda b. \lambda c. b) (x) \lambda e. f$

$(\lambda c. x) \lambda e. f \quad [((\lambda f. ((\lambda g. ((f f) g)) (\lambda h. (k h)))) (\lambda x. (\lambda y. y)))]$

- $I = \lambda x. x$
- `Var I = x=>x;`
- `Alert (I ("Hi")) ;`
- $fI = (\lambda f. f) (\lambda x. x)$

Encoding Natural Numbers in Lambda Calculus

- What can we do with a natural number?
 - we can iterate a number of times
- A natural number is a function that given an operation f and a starting value s , applies f a number of times to s :

$$1 =_{\text{def}} \lambda f. \lambda s. f (s)$$

$$2 =_{\text{def}} \lambda f. \lambda s. f (f s)$$

and so on

$$0 =_{\text{def}} \lambda f. \lambda s. s$$

$\text{anyNumber} = (\lambda n. \lambda f. \lambda x. n(f(x)))$

- anyNumber One

$((\lambda g. \lambda s. g(s)))$

- $= (\lambda n. \lambda f. \lambda x. n(f(x))) ((\lambda g. \lambda s. g(s)))$
- $= \lambda f. \lambda x. ((\lambda g. \lambda s. g(s))(f(x)))$
- $= \lambda f. \lambda x. (\lambda g. \lambda s. g(s))(f(x))$
- $= \lambda f. \lambda x. (\lambda s. f(s))((x))$
- $= \lambda f. \lambda x. (f(x))$

```
function(n){  
  ? Return  $\lambda f. \lambda x. n(f(x))$ ;  
  ? }
```


anyNumber= $(\lambda n. \lambda f. \lambda x. n(f(x)))$

- $\lambda n. n ((\lambda f. f+1)(o))$
- Number= $n \Rightarrow n(i \Rightarrow i+1)(o)$

- $\text{Successor} = (\lambda n. \lambda f. \lambda x. f(n(f(x))))$
- $\text{Successor}(\text{ONE})$
- $= (\lambda n. \lambda f. \lambda x. f(n(f(x)))) (\lambda f. \lambda x. f(x))$
- $= \lambda f. \lambda x. f(f(x))$
- $(\text{Successor}) (\text{two}) = (\lambda n. \lambda f. \lambda x. f(n(f(x)))) (\lambda g. \lambda s. g(g(s)))$

- Successor Two

- $= (\lambda n. \lambda f. \lambda x. f(n(f(x)))) (\lambda f. \lambda x. f(f(x)))$

Any Natural Number

$\text{anyNumber} = \lambda f. \lambda s. f\ s$

- $\text{def } \lambda n. \lambda f. \lambda s. n(f\ (s))$
 - $\text{def } ((\lambda f. f+1)(o))$
 - $\lambda n. \lambda f. n\ ((f+1)(o))$
-
- $\text{Number} = n \Rightarrow (i \Rightarrow i+1)(o)$

Addition

- $3+2$
- Apply successor 3 times on 2
- $nf(x) \rightarrow$ n times f is applied on x
- 3 successor(number)
- Three successor two
- Successor = $\lambda n. \lambda f. \lambda x. f(nfx)$
- One = $\lambda g. \lambda s. g(s)$
- $\lambda m. \lambda n. \lambda f. \lambda x. m(f)(n(f)(x))$ one one