



# LAMBDA CALCULUS: AN INTRODUCTION

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# LISTS

A list may contain

- Nothing (empty)
- One thing
- Multiple things

List contains 2 values

- `make_pair =  $\lambda$ left.  $\lambda$ right.  $\lambda$ f. f(left)(right)`
- `get_left =  $\lambda$ pair. pair(true)`
- `get_right =  $\lambda$ pair. pair(false)`



*A list will have the form # (empty, (head, tail))*

*null=make\_pair(true)(true)*

*is\_empty = get\_left*

*is\_empty(null) would return true*

# LISTS

```
null = make_pair(true)(true)
```

```
(prepend(two)(single_item_list))
```

```
prepend = λitem. λl. make_pair(false)
```

*non-empty lists*

- $[2, 1] ==> (empty=false, (2, (1, null)))$
- $\# (false, (1, null))$ 
  - $single\_item\_list = prepend(one)(null)$
- $\# (false, (3, (2, (1, null))))$



# LISTS

```
hours_day_1=prepend(2)(null)
```

```
hours_per_day=prepend(three)(prepend(two)(hours_day_1 ))
```

```
head(tail(hours_per_day))
```

# PREDECESSOR

the general strategy will be to create a pair  $(n, n-1)$  and then pick the second element of the pair as the result

- $\text{make\_pair} = \lambda \text{left}. \lambda \text{right}. \lambda f. f(\text{left})(\text{right})$

$\text{make\_pair}(\text{true}) = \text{left}$

$\text{make\_pair}(\text{false}) = \text{right}$

$\Phi$  combinator generates from the pair  $(n, n-1)$  (which is the argument  $p$  in the function) the pair  $(n + 1, n)$

$\Phi = \lambda p. \lambda f. f(\text{succ}(p \text{ true}))(p \text{ true})$   $(\text{succ}(p \text{ true}))(p \text{ true})$

$(\text{zero}, \text{zero}) \rightarrow (\text{one}, \text{zero}) \rightarrow (\text{two}, \text{one}) \rightarrow (\text{three}, \text{two}) \rightarrow \dots$

The predecessor of a number  $n$  is obtained by applying  $n$  times the function to the pair  $(f \text{ zero zero})$  and then selecting the second member of the new pair

$\text{Pred} = \lambda n. n \Phi$

# PREDECESSOR FUNCTION

$\text{PRED} := \lambda n f x. n (\lambda g h. h (g f)) (\lambda u. x) (\lambda u. u)$

$\text{PRED } 1 \iff$

$(\lambda n f x. n (\lambda g h. h (g f)) (\lambda u. x) (\lambda u. u)) (\lambda h y. h y) \iff$

$\lambda f x. (\lambda h y. h y) (\lambda g h. h (g f)) (\lambda u. x) (\lambda u. u) \iff$

$\lambda f x. (\lambda g h. h (g f)) (\lambda u. x) (\lambda u. u) \iff$

$\lambda f x. (\lambda u. u) ((\lambda u. x) f) \iff$

$\lambda f x. (\lambda u. u) x \iff$

$\lambda f x. x \iff$

0

# ENCODING NATURAL NUMBERS IN LAMBDA CALCULUS

What can we do with a natural number?

- we can iterate a number of times

A natural number is a function that given an operation  $f$  and a starting value  $s$ , applies  $f$  a number of times to  $s$ :

$$1 =_{\text{def}} \lambda f. \lambda s. f\ s$$

$$2 =_{\text{def}} \lambda f. \lambda s. f\ (f\ s)$$

and so on

$$0 =_{\text{def}} \lambda f. \lambda s. s$$



# COMPUTING WITH NATURAL NUMBERS

$$\begin{aligned} 1 &\equiv \lambda sz.s(z) \\ 2 &\equiv \lambda sz.s(s(z)) \end{aligned}$$

```
var successor = n => f => x => f(n(f)(x));
```

The successor function

**successor**  $n =_{\text{def}} \lambda n.\lambda f.\lambda x.f(nfx)$

Successor of 0 (S0) is  $_{\text{def}} (\lambda nfx.f(nfx)) (\lambda sz.z)$

$$\lambda yx.y((\lambda sz.z)yx) = \lambda yx.y((\lambda z.z)x) = \lambda yx.y(x) \equiv 1$$

Successor of 1 (S1) is  $_{\text{def}} (\lambda wyx.y(wyx)) (\lambda sz.s(z))$

Addition

$_{\text{def}} \lambda m.\lambda n.\lambda f.\lambda x.m(f)(n(f)(x))$

2S3 is  $_{\text{def}} (\lambda sz.s(s(z)))(\lambda wyx.y(wyx))(\lambda uv.u(u(u(v))))$

# ADDITION/MULTIPLICATION

$(\text{int} \times \text{int}) \rightarrow \text{int}$  ---not pure lambda calculus

$(\text{int} \rightarrow \text{int}) \rightarrow \text{int}$  ----pure form (using currying)

$\lambda x. \lambda y. x + y$

Evaluate  $((\lambda x. \lambda y. y \ x) \ 3)$

## Multiplication

def  $\lambda m. \lambda n. \lambda f. \lambda x. m(n(f))(x)$

# SUBTRACTION AND COMPARISON

Subtraction:  $m - n$

- $\lambda m. \lambda n. n \text{ PRED } m$

Comparison

- $\text{greaterOrEqual} = \lambda n. \lambda m. \text{isZero}(\text{subtract } n \ m)$
- $\text{lessOrEqual} = \lambda n. \lambda m. \text{isZero}(\text{subtract } m \ n)$
- $\text{areEqual} = \lambda n. \lambda m. \text{AND } (\text{greaterOrEqual } n \ m) \ (\text{lessOrEqual } n \ m)$

# POLYMORPHISM

Functions that allow arguments of many types, such as this identity function, are known as **polymorphic operations**

- $((\lambda x . x) E) = E$

*define Twice =  $\lambda f . \lambda x . f (f x)$*

- If D is any domain, the syntax (or signature) for Twice can be described as
  - $\text{Twice} : (D \rightarrow D) \rightarrow D \rightarrow D$
- Given the square function,  $\text{sqr} : \mathbb{N} \rightarrow \mathbb{N}$  where  $\mathbb{N}$  stands for the natural numbers, it follows that
- $(\text{Twice sqr}) : \mathbb{N} \rightarrow \mathbb{N}$
- Is twice a higher order function?
- *define FourthPower = Twice sqr.*

The mechanism that allows functions to be defined to work on a number of types of data is also known as **parametric polymorphism**

# DIVISION

```
if (a >= b) then
    return 1 + (a - b) / b ;
else
    return 0
```

```
if_then_else =def λcond. λthen_do. λelse_do. Cond (then_do) (else_do)
```

- $a/b$ 
  - if  $a \geq b$  then  $1 + (a - b) / b$  else 0

`divide = λa. λb. if_then_else(greaterOrEqual a b) (succ (divide a (subtract a b))) (zero)`

`divide = λa. λb. if_then_else(greater b a) (zero) (succ (self (subtract a b) b))`

- divide seven three
- `if_then_else(greaterOrEqual seven three) (succ(self (subtract seven three) three) (zero))`
- `(succ(self (subtract seven three) three))`
- `(succ (if_then_else(greaterOrEqual four three) (succ(self (subtract four three) three) (zero))))`
- `(succ((succ(self (subtract four three) three)))`
- `(succ((succ(if_then_else(greaterOrEqual one three) (succ(self (subtract one three) three) (zero))))`
- `(succ(succ(zero)))`

# LITTLE BIT OF CREATIVITY + LITTLE BIT OF ELEGANCE

## Self application

- $sa = \lambda x. x x$

This function takes an argument  $x$ , which is apparently a function

- $Loop : (\lambda x. x x) (\lambda x. x x)$
- $\Omega = (\lambda x. (\lambda x. x x) (\lambda x. x x)) (\lambda x. x x)$
- The Omega Combinator is just the simplest function which infinitely recurs without calling itself.
- $Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$

# Y COMBINATOR

$$Yt = t(Yt) = t(t(Yt)) = \dots$$

Y combinator can be defined as

$$Y = \lambda t. (\lambda x. t (x x)) (\lambda x. t (x x))$$

$$\begin{aligned} Yz &= (\lambda t. (\lambda x. t (x x)) (\lambda x. t (x x))) z \\ &= (\lambda x. z (x x)) (\lambda x. z (x x)) \end{aligned}$$

$$\begin{aligned} Yz &= (\lambda x. z (x x)) (\lambda g. z (g g)) \\ &= z (\lambda g. z (g g)) (\lambda g. z (g g)) \\ &= z (Yz) \\ &= z ((\lambda g. z (g g)) (\lambda h. z (h h))) \\ &= z (z ((\lambda h. z (h h)) (\lambda h. z (h h)))) \\ &= z (z (Yz) \dots \end{aligned}$$

# Y COMBINATOR

Y combinator can be defined as

- $Y = \lambda f. (\lambda x. f(x\ x)) (\lambda x. f\ (x\ x))$

$Yf=f(Yf)=f(f(Yf))=\dots$

```
define factorial =  $\lambda n. \text{if } (= n\ 1)\ 1$   
                   $(*\ n\ (\text{factorial } (-\ n\ 1)))$ 
```

```
T=  $\lambda n. \text{If\_then\_else}(\text{isZero } n)\ \text{one } (\text{mult } n\ (\text{Fact } (\text{pred } n)))$ 
```

```
define factorial = T factorial  
define      T   =  $\lambda f. \lambda n. \text{if } (= n\ 1)\ 1$   
                   $(*\ n\ (f\ (-\ n\ 1)))$   
  
(Y T) 1 = T (Y T) 1  
         =  $\text{if } (= 1\ 1)\ 1\ (*\ 1\ (Y\ \underline{T}\ (-\ 1\ 1)))$   $\beta$ -reduction  
         = 1                                           calculating arithmetic
```

```
Fact:=Y ( $\lambda f. \lambda n. \text{If\_then\_else } (\text{isZero } n)\ \text{one } (\text{mult } n\ (f(\text{pred } n)))$ )
```



# CALCULATING FACTORIAL

```
T= (λ f. λ n. If_then_else (isZero n) one (mult n (f (pred n))
```

```
Fact=YT  Fact 2= (YT) two
```

```
=T (YT) two
```

```
= (λ f. λ n. If_then_else (isZero n) one (mult n (f (pred n)) (YT) two
```

```
= mult two (YT(one))
```

```
=mult two (T (YT) one)
```

```
divide = λa. λb. if_then_else (greaterOrEqual a b) (succ (self (subtract a b) b) (zero)
```

## DIVISION AGAIN!

```
D := λf. λa. λb. if_then_else (greaterOrEqual a b) (succ (f (subtract a b) b) (Zero)
```

```
YD = D (YD)
```

```
YD five two
```

```
=> D (YD) five two
```

```
=> succ (YD three two)
```

```
=> succ (D (YD) three two)
```

```
=> ...
```

```
=> succ (succ (YD one two))
```

```
...
```

```
=> succ (succ (zero))
```

# SUMMATION

To compute sum of natural numbers from 0 to n

$$\sum_{i=0}^n i = n + \sum_{i=0}^{n-1} i.$$

$$R \equiv (\lambda r n. Z n 0 (n S (r (P n))))$$

T = ( $\lambda f. \lambda n. \text{If\_then\_else } (\text{isZero } n) \text{ zero}$

**Summation = YT    Summation three = (YT) three**

- $Z n 0$  : if  $n == 0$  then the result of the sum is 0 else the successor
- function is applied n times through the recursive call (r)

# TAIL RECURSION

*Tail recursion* is a situation where a recursive call is the last thing a function does before returning, and the function either returns the result of the recursive call or (for a procedure) returns no result. A compiler can recognize tail recursion and replace it by a more efficient implementation.

# RECURSIVE SUM

```
tailrecsum(5, 0)
tailrecsum(4, 5)
tailrecsum(3, 9)
tailrecsum(2, 12)
tailrecsum(1, 14)
tailrecsum(0, 15)
15
```

```
function tailrecsum(x, running_total = 0) {
  if (x === 0) {
    return running_total;
  } else {
    return tailrecsum(x - 1, running_total + x);
  }
}
```

```
function recsum(x) {
  if (x === 0) {
    return 0;
  } else {
    return x + recsum(x - 1);
  }
}
```

- ❑ If the continuation is empty and there are no backtrack points, nothing need be placed on the stack; execution can simply jump to the called procedure, without storing any record of how to come back. This is called LAST-CALL OPTIMIZATION
- ❑ A procedure that calls itself with an empty continuation and no backtrack points is described as TAIL RECURSIVE, and last-call optimization is sometimes called TAIL-RECURSION OPTIMIZATION

# FACTORIAL

```
Fact(acc,n) {  
    return n==1?acc:Fact(acc*n,n-1);  
}
```

```
T= (λ f. λ n. If_then_else (isZero n) one (mult n(f(pred n)))
```

```
T= (λ f. λ n. λ acc. If_then_else (isZero n) acc  
                                   (f(pred n) (mult n acc)))
```

# INTRODUCING TYPES

- ❑ Even though the lambda calculus is untyped, a large majority of the lambda terms that we look at can be given types
- ❑ In fact, looking at the types of the terms provides insight into the kind of functions these terms represent
- ❑ So, wherever possible, we mention the types of the functions. We use capital letters  $A$ ,  $B$ ,  $\dots$  to represent arbitrary types and the  $\rightarrow$  symbol to represent function types.
- ❑  $A \rightarrow B$  represents the type of functions from  $A$  to  $B$ , i.e., functions that given  $A$ -typed arguments, return  $B$ -typed results.
- ❑ We use a bracketing convention to parse type expressions with multiple  $\rightarrow$  symbols



Simple types:  $A, B ::= \iota \mid A \rightarrow B \mid A \times B \mid 1$

## TYPE DEFINITION

- The base types are things like the type of integers or the type of Booleans
- The type  $A \rightarrow B$  is the type of functions from  $A$  to  $B$ .
- The type  $A \times B$  is the type of pairs  $\langle x, y \rangle$ , where  $x$  has type  $A$  and  $y$  has type  $B$
- The type  $1$  is a one-element type.
  - You can think of  $1$  as an abridged version of the booleans, in which there is only one boolean instead of two.
  - You can think of  $1$  as the “void” or “unit” type in many programming languages: the result type of a function that has no real result.

# INTRODUCING TYPES

We are going to construct functions to represent typed objects

In general, an object will have a type and a value

We need to be able to:

- i) construct an object from a value and a type
- ii) select the value and type from an object
- iii) test the type of an object

We will represent an object as a type/value pair

```
def make_obj type value = λs.(s type value)
```

```
def selectSecond=λfirst.λsecond.second
def value obj =obj selectSecond
```

## EXTRACTING TYPE AND/OR VALUE

```
def selectFirst=λfirst. λsecond. first
```

```
def type obj=obj selectFirst
```

we can use these functions to define a (type, value) pair and then access the type

```
def myObj ⟨type⟩ ⟨value⟩=λs.(s ⟨type⟩⟨value⟩)
```

```
type myObj=myObj selectFirst
  =λs.(s ⟨type⟩⟨value⟩) selectFirst
  =(selectFirst ⟨type⟩⟨value⟩)
  =(λfirst.λsecond.first ⟨type⟩ ⟨value⟩)
  =(λsecond.⟨type⟩) ⟨value⟩
  =⟨type⟩
```

# TYPE BOOLEAN

We will represent the boolean type as one:

```
def bool_type = one
```

Constructing a boolean type involves preceding a boolean value with `bool_type`:

```
def MAKE_BOOLEAN = make_obj bool_type
```

which expands as:

```
λvalue. λs.(s bool_type value)
```

We can now construct the typed booleans `TRUE` and `FALSE` from the untyped versions by:

```
def TRUE = MAKE_BOOLEAN true
```

which expands as:

```
λs.(s bool_type true)
```

# TYPE BOOLEAN

```
def FALSE = MAKE_BOOLEAN false
```

which expands as:

```
λs.(s bool_type false)
```

The test for a boolean type involves checking for bool\_type:

```
def isbool = istype bool_type
```

This definition expands as:

```
λobj.(equal (type obj) bool_type)
```

## SELF APPLICATION IN TYPED LAMBDA CALCULUS

- ❑ Even though self-application allows calculations using the laws of the lambda calculus, what it means conceptually is not at all clear
- ❑ We can see some of the problems by just trying to give a type to  $sa = \lambda x. x x$ .
- ❑ Suppose the argument  $x$  is of type  $A$ .
- ❑ But, since  $x$  is being applied as a function to  $x$ , the type of  $x$  should be of the form  $A \rightarrow \dots$
- ❑ How can  $x$  be of type  $A$  as well as  $A \rightarrow B \dots$ ?
- ❑ Is there a type  $A$  such that  $A = (A \rightarrow B)$ ?
- ❑ In traditional mathematics (set theory), there is no such type.
- ❑ The concept of “domains” which can be used to represent types (instead of traditional sets)
- ❑ This led to the development of an elegant theory of domains, which serves as the foundation for the mathematical meaning of programming languages.

# OBJECTS IN LAMBDA CALCULUS

- ❑ Self application is used very fundamentally in implementing object-oriented programming languages. Suppose we have an object  $x$  with a method  $m$ .
- ❑ We might invoke this method by writing something like  $x.m(y)$ .
- ❑ Inside the method  $m$ , there would be references to keywords like “self” or “this” which are supposed to represent the object  $x$  itself.
- ❑ One way of solving the problem is to translate the method  $m$  into a function  $m'$  that takes two arguments: in addition to the proper argument  $y$ , the object on which the method is being invoked. So, the definition of  $m'$  looks like:
- ❑  $m' = \lambda \text{ self}. \lambda y. \dots \text{the body of } m \dots$

# OBJECTS IN LAMBDA CALCULUS

- ❑ The object  $x$  has a collection of such functions encoding the methods.
- ❑ The method call  $x.m(y)$  is then translated as  $x.m'(x)(y)$ .
- ❑ This is a form of self application.
- ❑ The function  $m'$ , which is a part of the structure  $x$ , is applied to the structure  $x$  itself.



# EXPRESSIVENESS OF LAMBDA CALCULUS

The  $\lambda$ -calculus can express

- data types (integers, booleans, lists, trees, etc.)
- branching (using booleans)
- recursion

This is enough to encode Turing machines

Encodings can be done

But programming in pure  $\lambda$ -calculus is painful

- add constants (0, 1, 2, ..., true, false, if-then-else, etc.)
- add types