

**MT 30001**

**Materials Engineering (3-0-0)**

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# Difference between Crystal & Lattice

## Crystal

A 3D  
translationally  
periodic  
arrangement  
of **atoms**

## Lattice

A 3D  
translationally  
periodic  
arrangement of  
**points**

What is the relation between  
the two?

Crystal = Lattice + Motif



# Difference between Crystal & Lattice

Lattice + Motif = Crystal



Love Lattice  
Love Pattern

+    ♥    =



Love Pattern  
(Crystal)

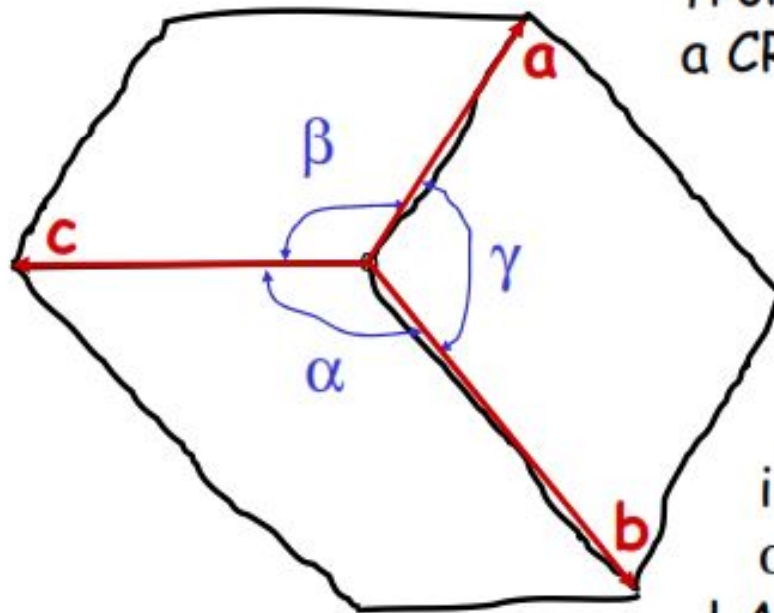
# Unit Cell

## Size and shape of the unit cell:

1. A corner as origin

2. Three edge vectors  $\{a, b, c\}$

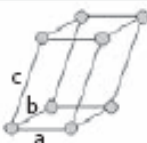
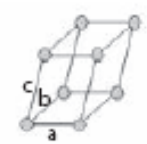
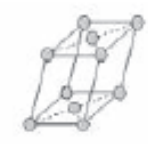
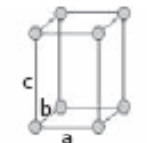
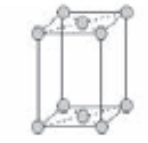
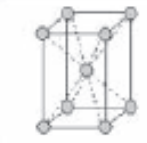

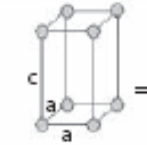

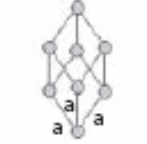
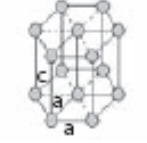
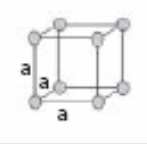


from the origin define  
a CRYSTALLOGRAPHIC  
COORDINATE  
SYSTEM



3. The three  
lengths  $a, b, c$  and  
the three  
interaxial angles  
 $\alpha, \beta, \gamma$  are called the

LATTICE PARAMETERS

# Crystal system and Bravais Lattice

| Name                    | Conditions  | Primitive  | Base centered   | Body centered   | Face centered   |
|-------------------------|---|--|---|---|---|
| Triclinic               | $a \neq b \neq c$<br>$\alpha \neq \beta \neq \gamma$              |    |   |   |   |
| Monoclinic              | $a \neq b \neq c$<br>$\alpha = \gamma = 90^\circ \neq \beta$      |    |  |   |   |
| Orthorhombic            | $a \neq b \neq c$<br>$\alpha = \beta = \gamma = 90^\circ$         |    |  |    |    |
| Tetragonal              | $a = b \neq c$<br>$\alpha = \beta = \gamma = 90^\circ$            |    |   |    |   |
| Rhombohedral (trigonal) | $a = b = c$<br>$\alpha = \beta = \gamma \neq 90^\circ$            |   |   |   |   |
| Hexagonal               | $a = b \neq c$<br>$\alpha = \beta = 90^\circ, \gamma = 120^\circ$ |  |   |   |   |
| Cubic                   | $a = b = c$<br>$\alpha = \beta = \gamma = 90^\circ$               |  |   |  |  |



# Cubic Bravais Lattice

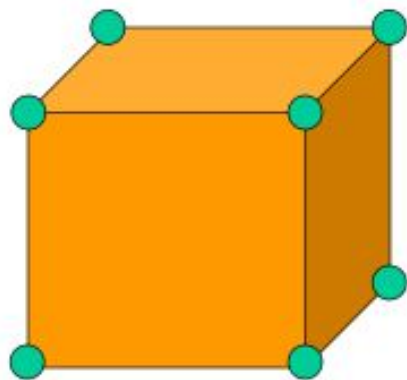
## The three cubic Bravais lattices

Crystal system

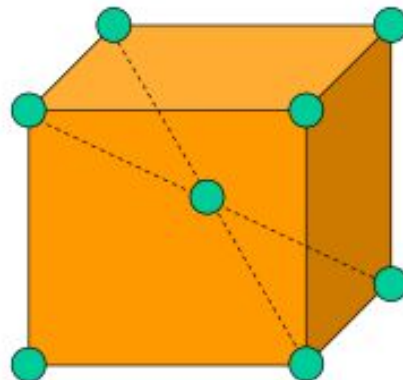
1. Cubic

Bravais lattices

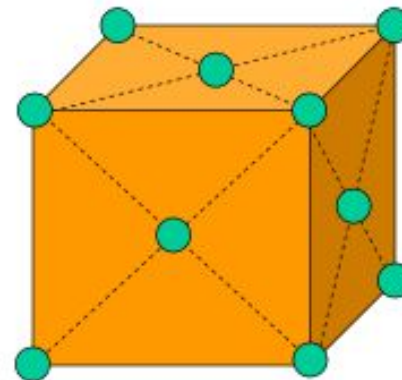
P I F



Simple cubic  
Primitive cubic



Body-centred cubic  
Cubic I



Face-centred cubic  
Cubic F

# Lattice Defects

- ✓ Real crystals deviate from the perfect periodicity
- ✓ While the concept of the perfect lattice is adequate for explaining the *structure-insensitive properties* of metal, it is necessary to consider a number of types of lattice defects to explain *structure-sensitive properties*

| Structure-insensitive            | Structure-sensitive      |
|----------------------------------|--------------------------|
| Elastic constants                | Electrical Conductivity  |
| Melting point                    | Semiconductor properties |
| Density                          | Yield stress             |
| Specific heat                    | Fracture strength        |
| Coefficient of thermal expansion | Creep strength           |



# **Different Types of Lattice Defects**

**Point defects**

**Line defects**

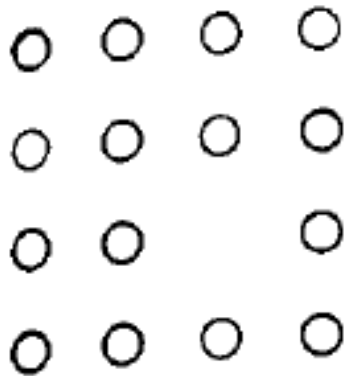
**Surface defects**

**Volume defects**





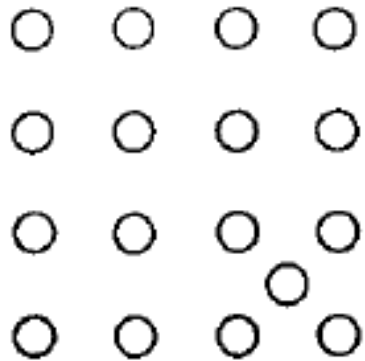
# Point Defects



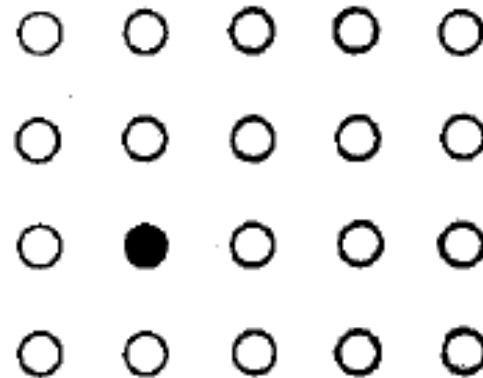
**Vacancy**

**Number of vacant sites (n)**

$$\frac{n}{N} = e^{-E_s/kT}$$



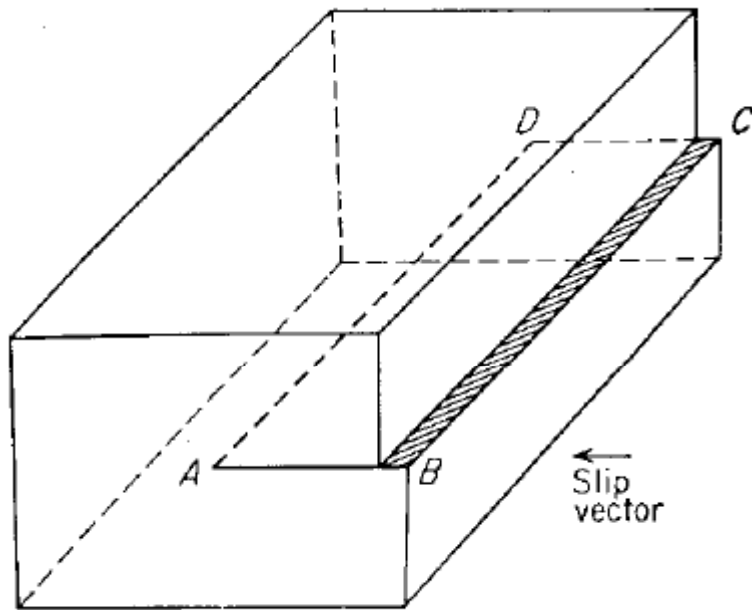
**Interstitial**



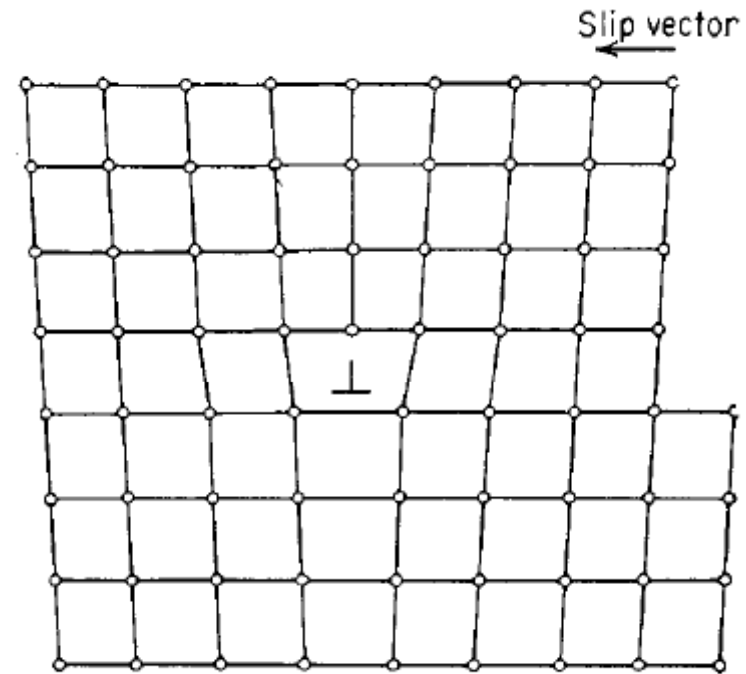
**Impurity**



# Line Defects - Dislocations

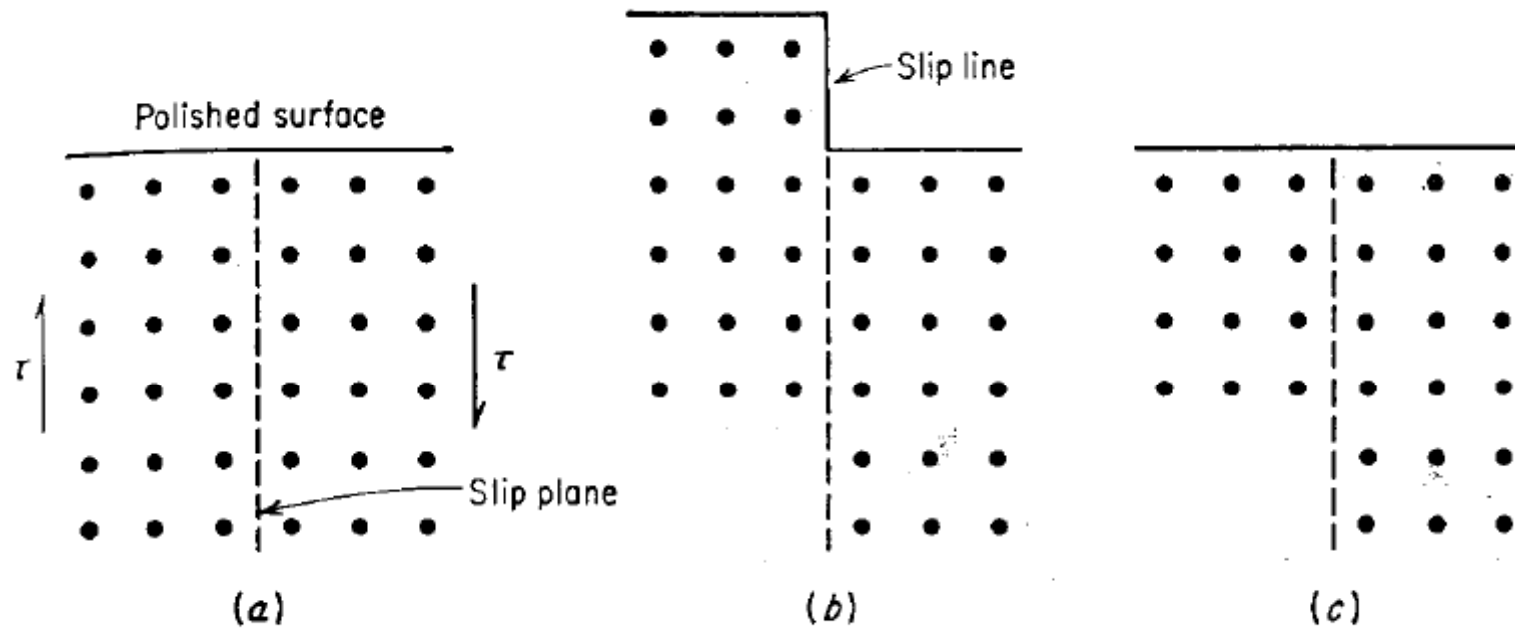


**Edge dislocation produced by slip in cubic system**



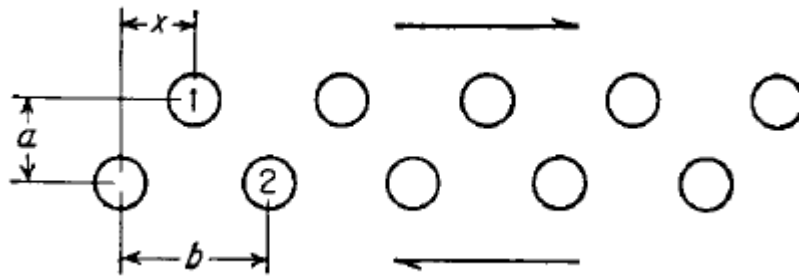
**Atomic arrangement near edge dislocation**

# Deformation by slip

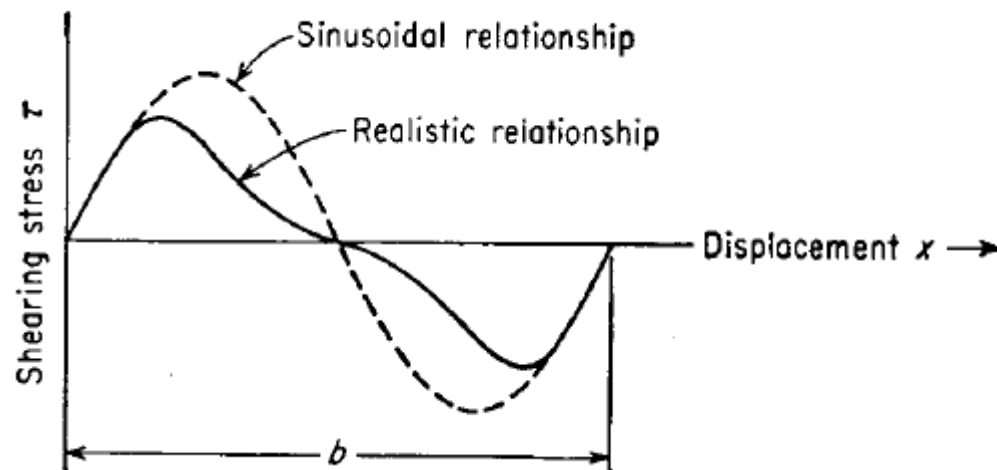


**Schematic drawing of classical idea of slip**

# Slip in perfect lattice



**Shear displacement of plane of atoms over another**



**Variation of shearing stress with displacement in slip direction**

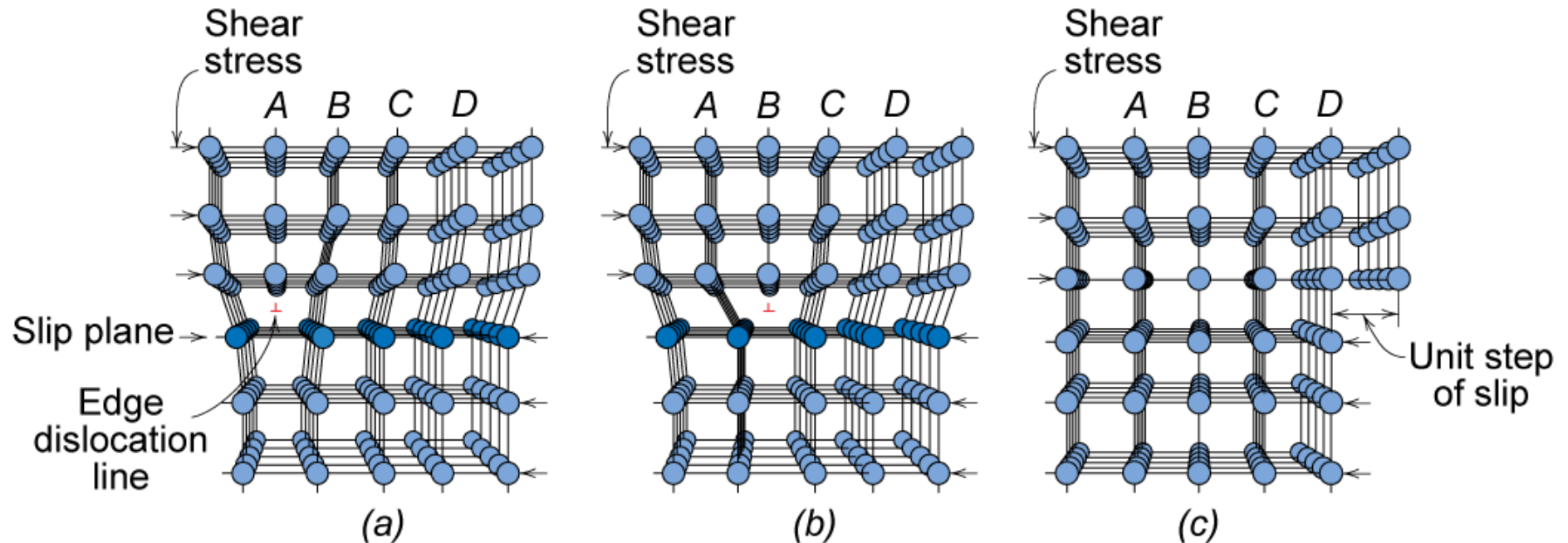
**Relation between shear stress and displacement**

$$\tau = \tau_m \sin \frac{2\pi x}{b}$$

**The max. stress at which slip should occur**

$$\tau_m = \frac{G}{2\pi} \frac{b}{a}$$

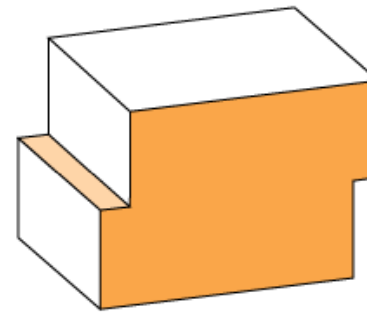
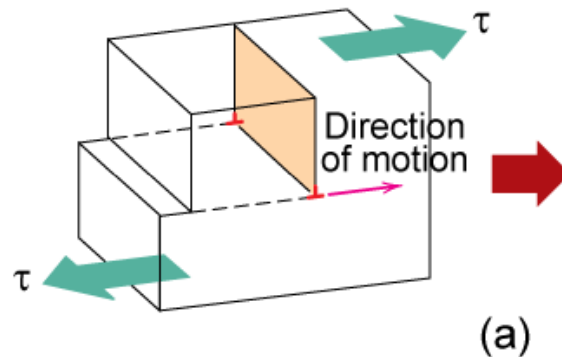
# Slip by dislocation movements



- If dislocations don't move, deformation doesn't occur!

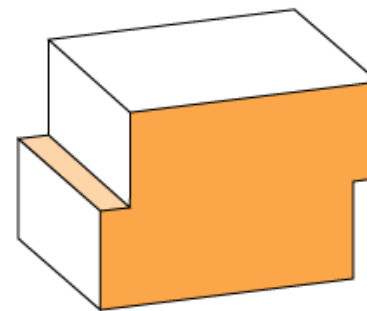
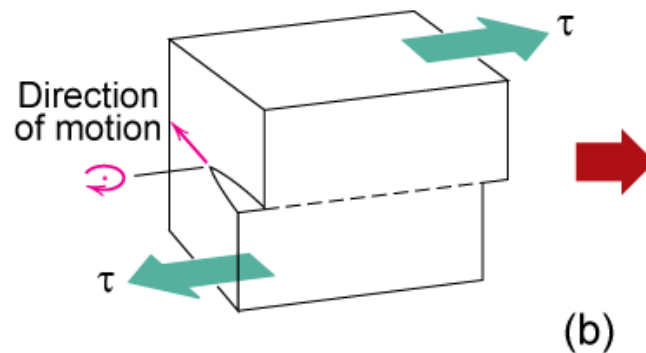
# Dislocation Motion

- Dislocation moves along **slip plane** in **slip direction** perpendicular to dislocation line
- Slip direction same direction as **Burgers vector**



**Edge dislocation**

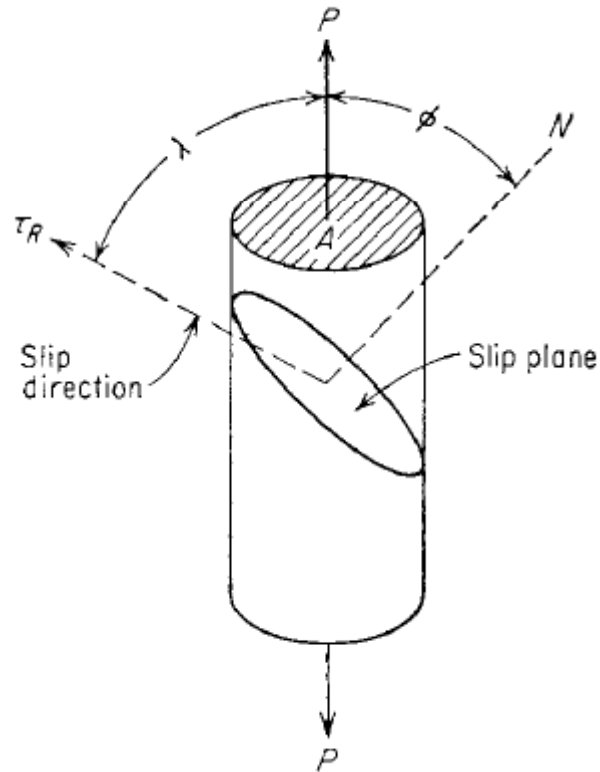
From Fig. 10.2  
*Callister's Materials Science  
and Engineering, Adapted  
Version.*



**Screw dislocation**

## Critical Resolved Shear Stress for Slip

Slip begins when the shearing stress on the slip plane in the slip direction reaches a threshold value – called as critical resolved shear stress (CRSS)



$$\tau_R = \frac{P \cos \lambda}{A / \cos \phi} = \frac{P}{A} \cos \phi \cos \lambda$$

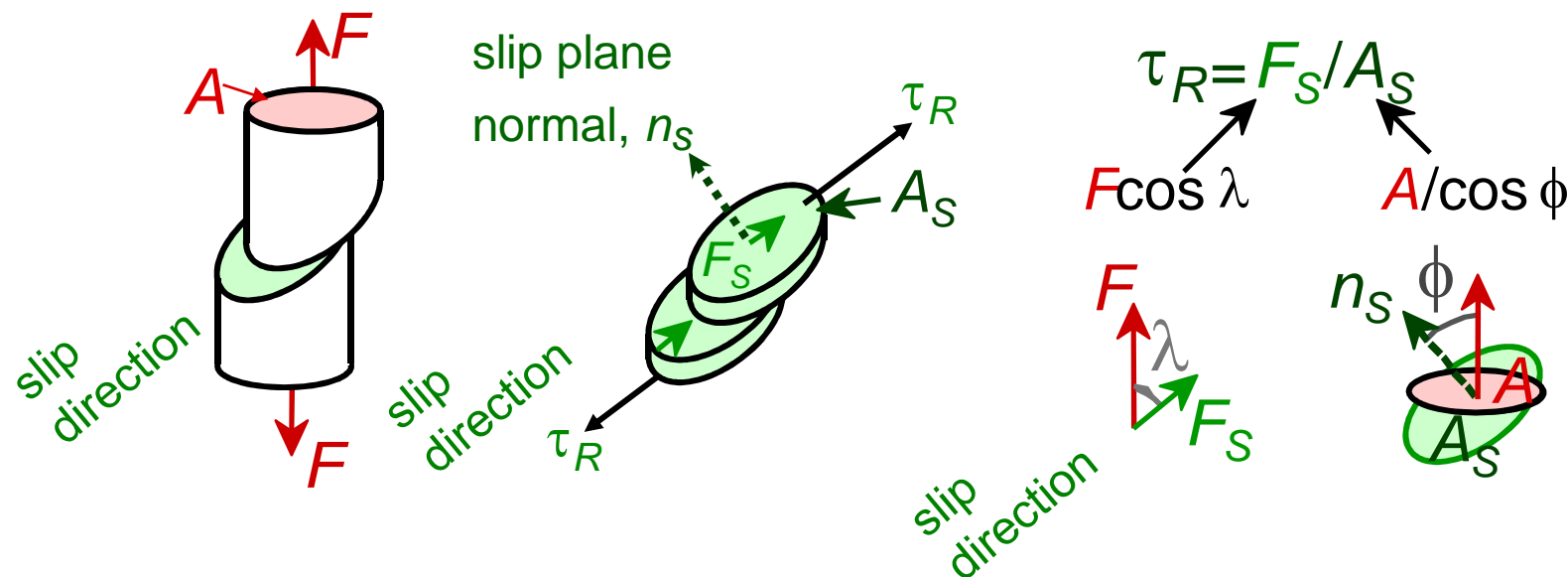
# Stress and Dislocation Motion

- Crystals slip due to a resolved shear stress,  $\tau_R$ .
- Applied tension can produce such a stress.

Applied tensile stress:  $\sigma = F/A$

Resolved shear stress:  $\tau_R = F_S/A_S$

Relation between  $\sigma$  and  $\tau_R$



$$\tau_R = \sigma \cos \lambda \cos \phi$$



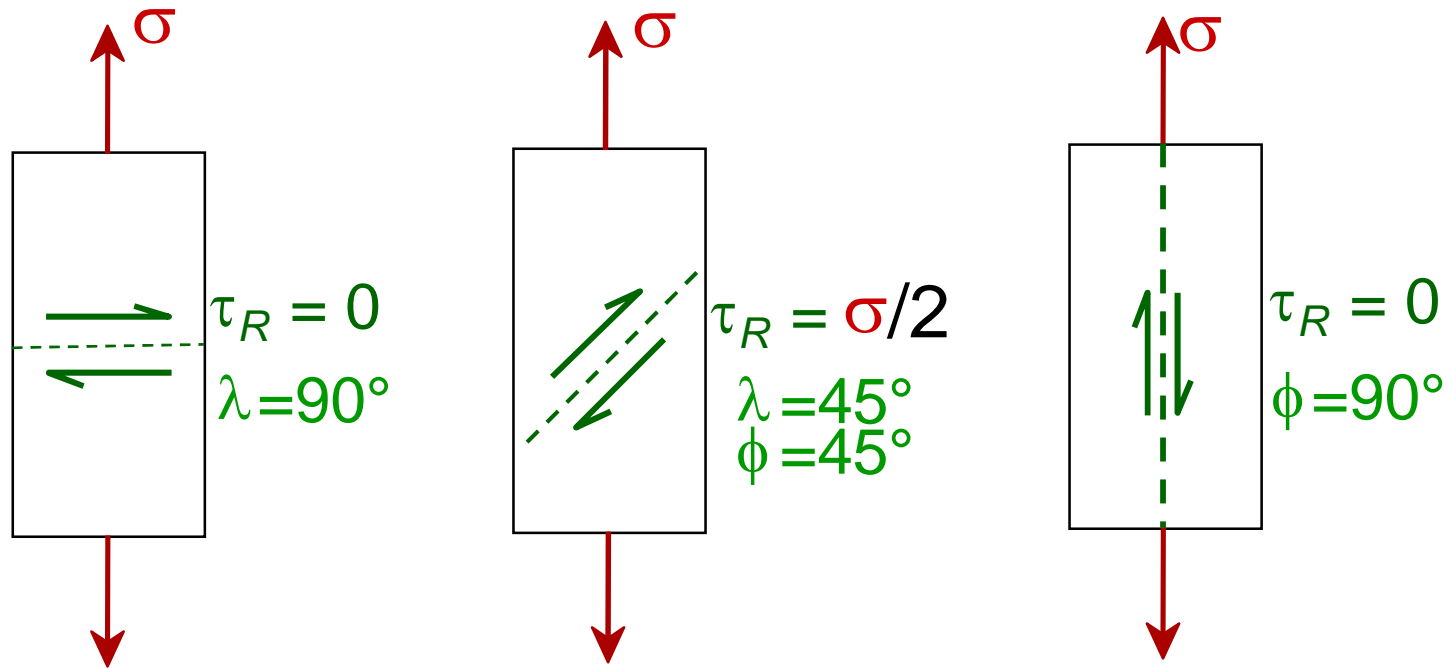
# Critical Resolved Shear Stress

- Condition for dislocation motion:
- Crystal orientation can make it easy or hard to move dislocation

$$\tau_R > \tau_{\text{CRSS}}$$

↑  
typically  
 $10^{-4}$  GPa to  $10^{-2}$  GPa

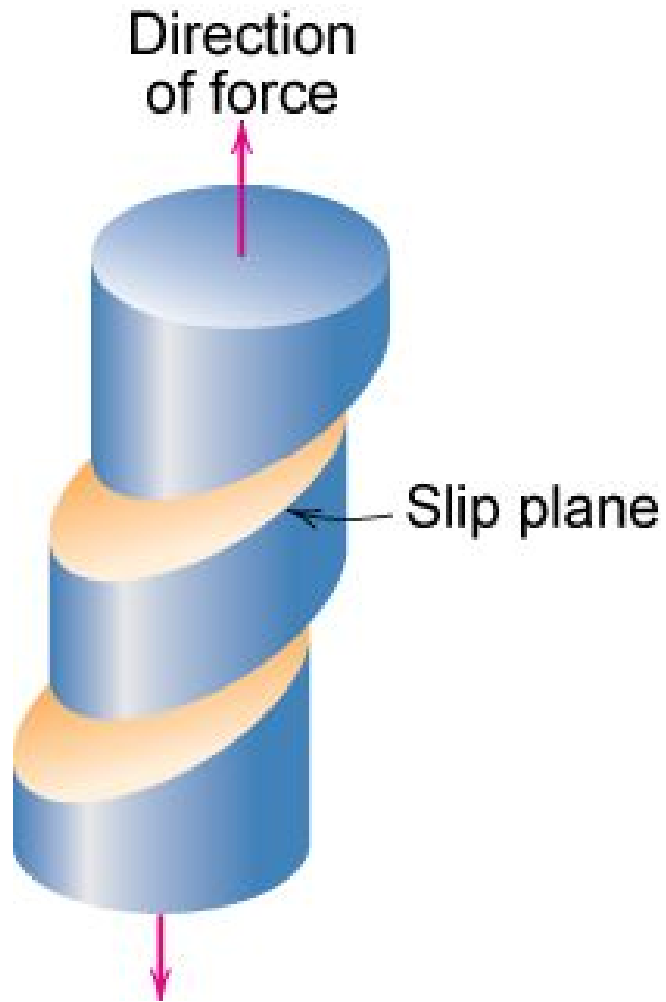
$$\tau_R = \sigma \cos \lambda \cos \phi$$



$\tau$  maximum at  $\lambda = \phi = 45^\circ$



# Single Crystal Slip



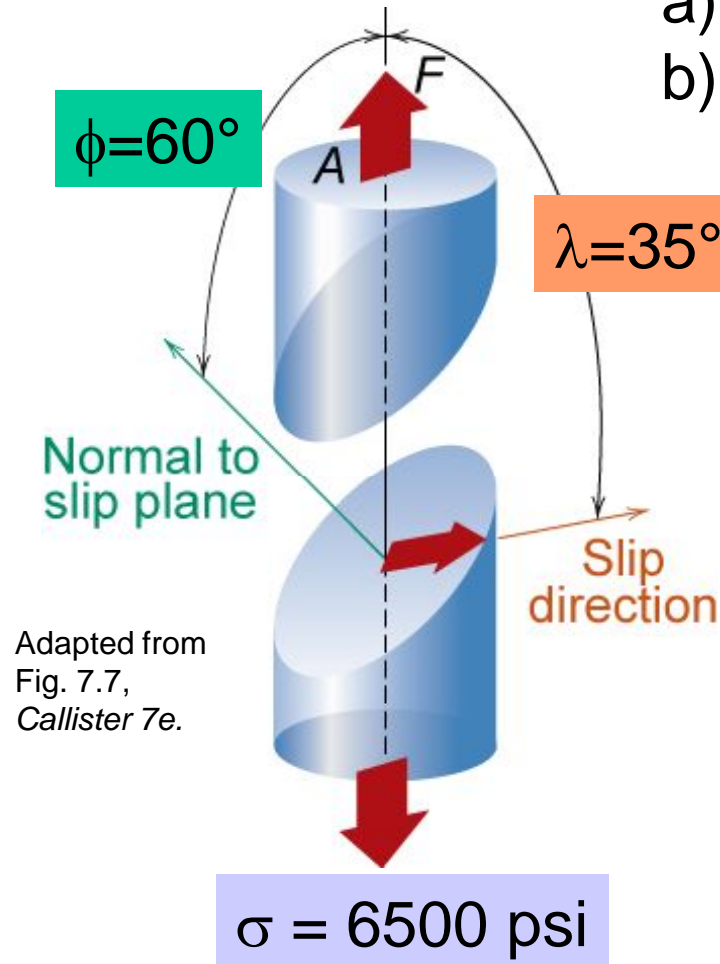
From Fig. 10.8  
*Callister's Materials Science and Engineering,*  
*Adapted Version.*

From Fig. 10.9,  
*Callister's Materials Science and Engineering,*  
*Adapted Version.*



# Ex: Deformation of single crystal

- a) Will the single crystal yield?
- b) If not, what stress is needed?



$$\tau_{\text{crss}} = 3000 \text{ psi}$$

$$\tau = \sigma \cos \lambda \cos \phi$$

$$\sigma = 6500 \text{ psi}$$

$$\begin{aligned} \tau &= (6500 \text{ psi}) (\cos 35^\circ) (\cos 60^\circ) \\ &= (6500 \text{ psi}) (0.41) \end{aligned}$$

$$\tau = 2662 \text{ psi} < \tau_{\text{crss}} = 3000 \text{ psi}$$

So the applied stress of 6500 psi will not cause the crystal to yield.

## Ex: Deformation of single crystal

What stress *is* necessary (i.e., what is the yield stress,  $\sigma_y$ )?

$$\tau_{\text{crss}} = 3000 \text{ psi} = \sigma_y \cos \lambda \cos \phi = \sigma_y (0.41)$$

$$\therefore \sigma_y = \frac{\tau_{\text{crss}}}{\cos \lambda \cos \phi} = \frac{3000 \text{ psi}}{0.41} = \underline{\underline{7325 \text{ psi}}}$$

So for deformation to occur the applied stress must be greater than or equal to the yield stress

$$\sigma \geq \sigma_y = 7325 \text{ psi}$$

# Assignment

Determine the tensile stress that need to be applied along the  $[1\bar{1}0]$  axis of a silver crystal to cause slip on the  $(1\bar{1}1)[0\bar{1}1]$  system. The CRSS is 6 MPa.

The angle between tensile axis  $[1\bar{1}0]$  and normal to  $(1\bar{1}1)$  is

$$\cos \phi = \frac{(1)(1) + (-1)(-1) + (0)(-1)}{\sqrt{(1)^2 + (-1)^2 + (0)^2} \sqrt{(1)^2 + (-1)^2 + (-1)^2}} = \frac{2}{\sqrt{2} \sqrt{3}} = \frac{2}{\sqrt{6}}$$

The angle between tensile axis  $[1\bar{1}0]$  and slip direction  $[0\bar{1}1]$  is

$$\cos \lambda = \frac{(1)(0) + (-1)(-1) + (0)(-1)}{\sqrt{2} \sqrt{(0)^2 + (-1)^2 + (-1)^2}} = \frac{1}{\sqrt{2} \sqrt{2}} = \frac{1}{2}$$

$$\sigma = \frac{P}{A} = \frac{\tau_R}{\cos \phi \cos \lambda} = \frac{6}{\frac{2}{\sqrt{6}} \times \frac{1}{2}} = 6\sqrt{6} = 14.7 \text{ MPa}$$



# Slip Motion in Polycrystals

- Stronger - grain boundaries pin deformations
- Slip planes & directions ( $\lambda$ ,  $\phi$ ) change from one crystal to another.
- $\tau_R$  will vary from one crystal to another.
- The crystal with the largest  $\tau_R$  yields first.
- Other (less favorably oriented) crystals yield later.

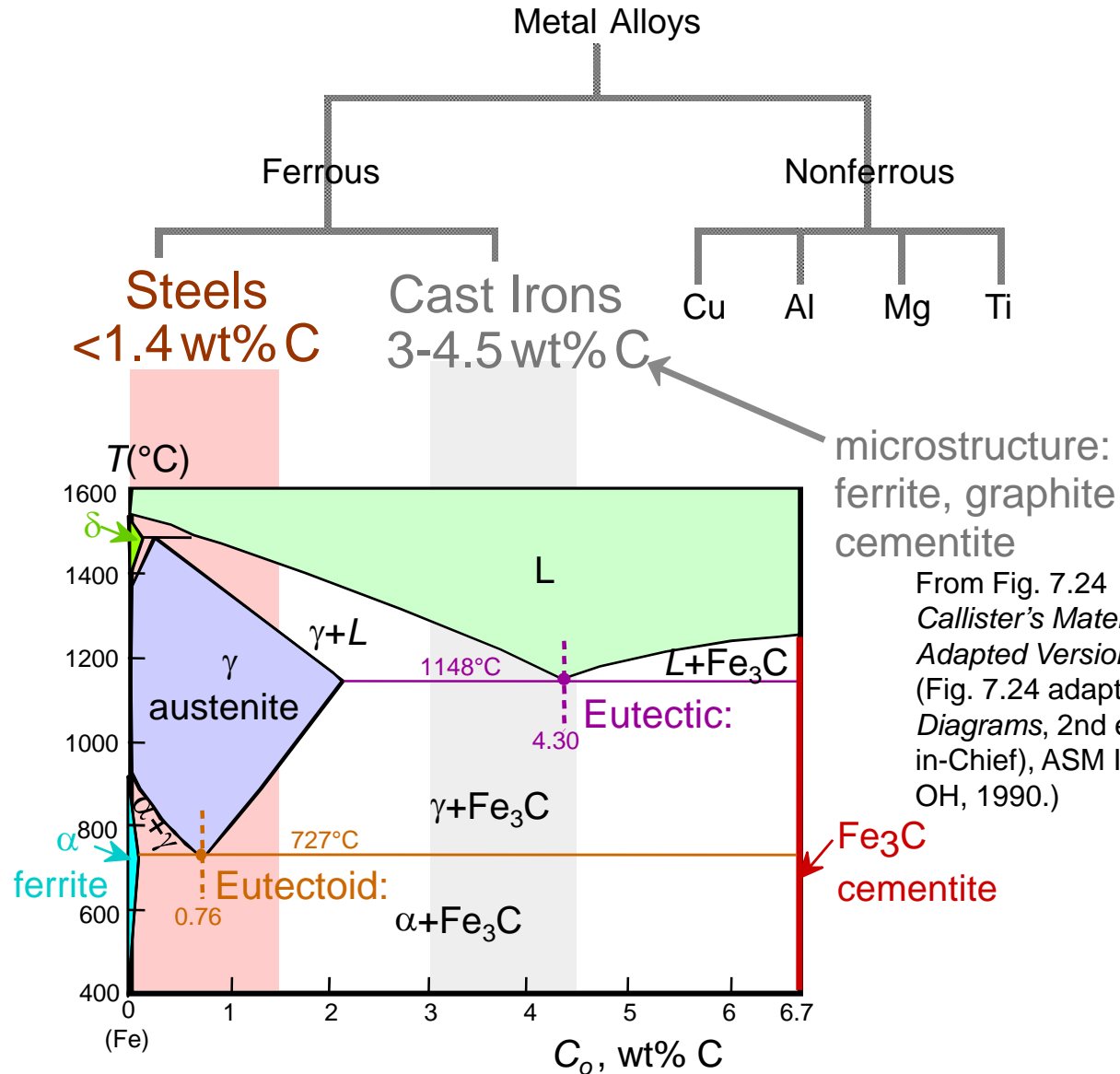


From Fig. 10.10,  
*Callister's Materials  
Science and  
Engineering,  
Adapted Version.*

(Fig. 10.10 is  
courtesy of C.  
Brady, National  
Bureau of  
Standards [now the  
National Institute of  
Standards and  
Technology,  
Gaithersburg, MD].)



# Taxonomy of Metals



From Fig. 9.1  
*Callister's Materials Science and Engineering, Adapted Version.*

microstructure:  
 ferrite, graphite  
 cementite

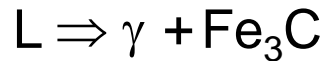
From Fig. 7.24  
*Callister's Materials Science and Engineering, Adapted Version.*  
 (Fig. 7.24 adapted from *Binary Alloy Phase Diagrams*, 2nd ed., Vol. 1, T.B. Massalski (Ed.-in-Chief), ASM International, Materials Park, OH, 1990.)



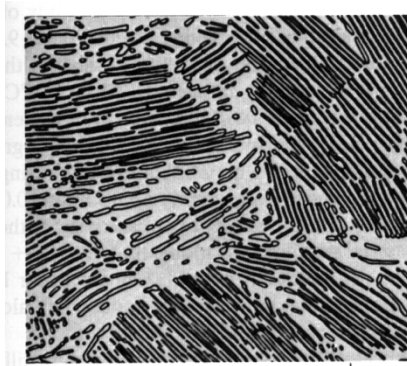
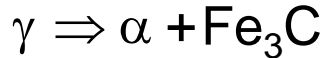
# Iron-Carbon (Fe-C) Phase Diagram

- 2 important points

-Eutectic (A):



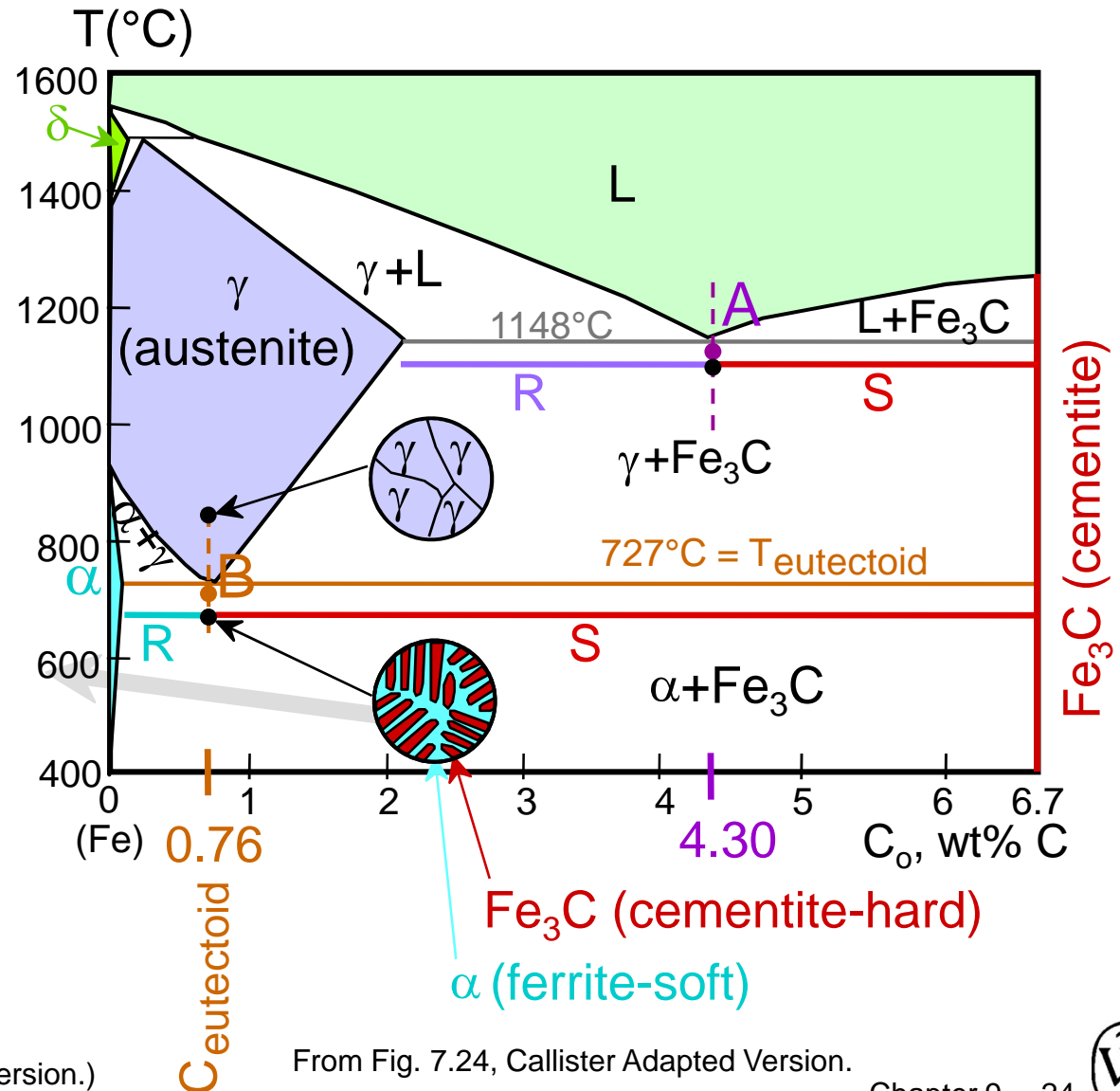
-Eutectoid (B):



120  $\mu\text{m}$

Result: Pearlite =  
alternating layers of  
 $\alpha$  and  $\text{Fe}_3\text{C}$  phases

(From Fig. 7.27, Callister Adapted Version.)

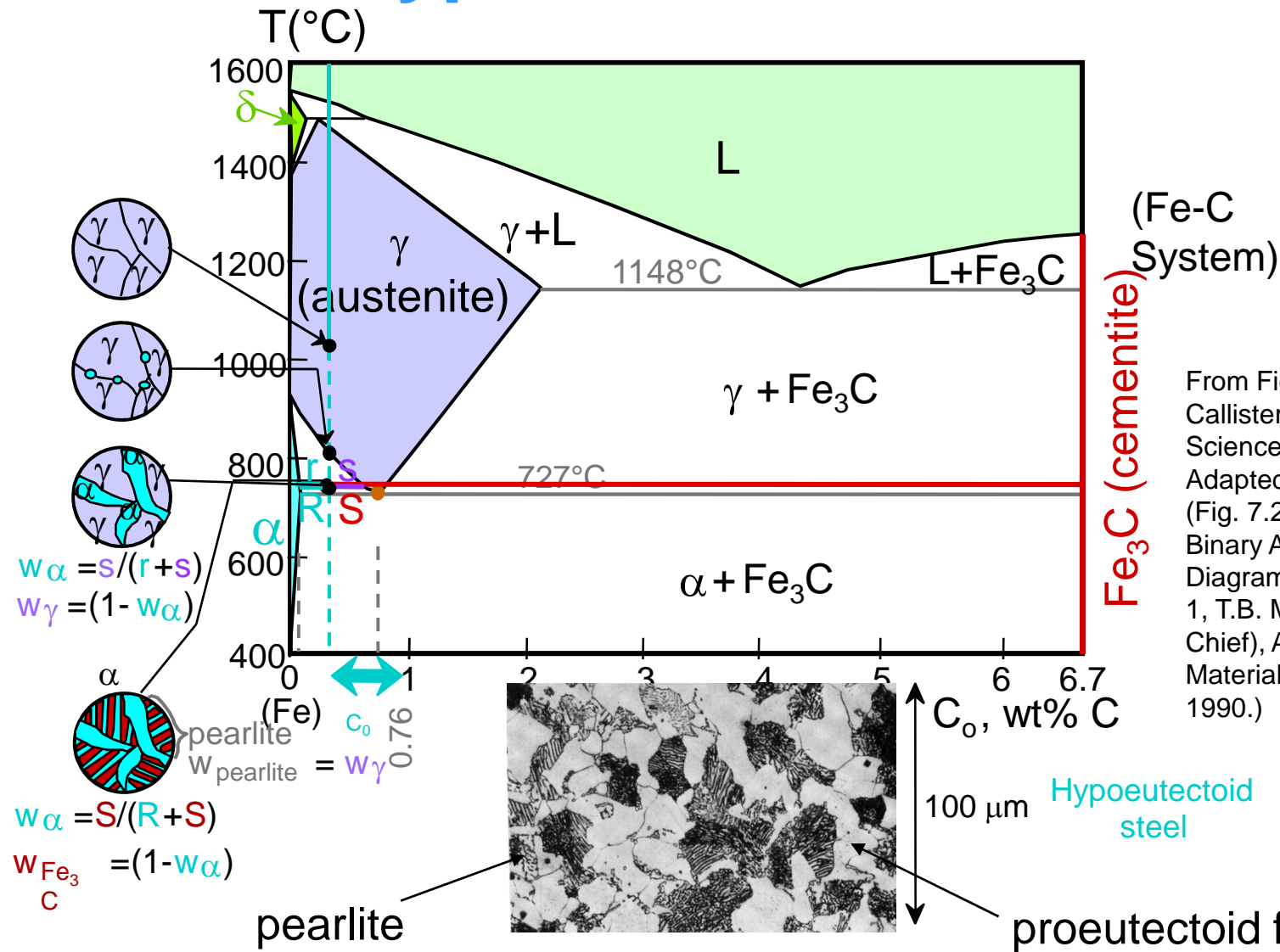


From Fig. 7.24, Callister Adapted Version.





# Hypoeutectoid Steel

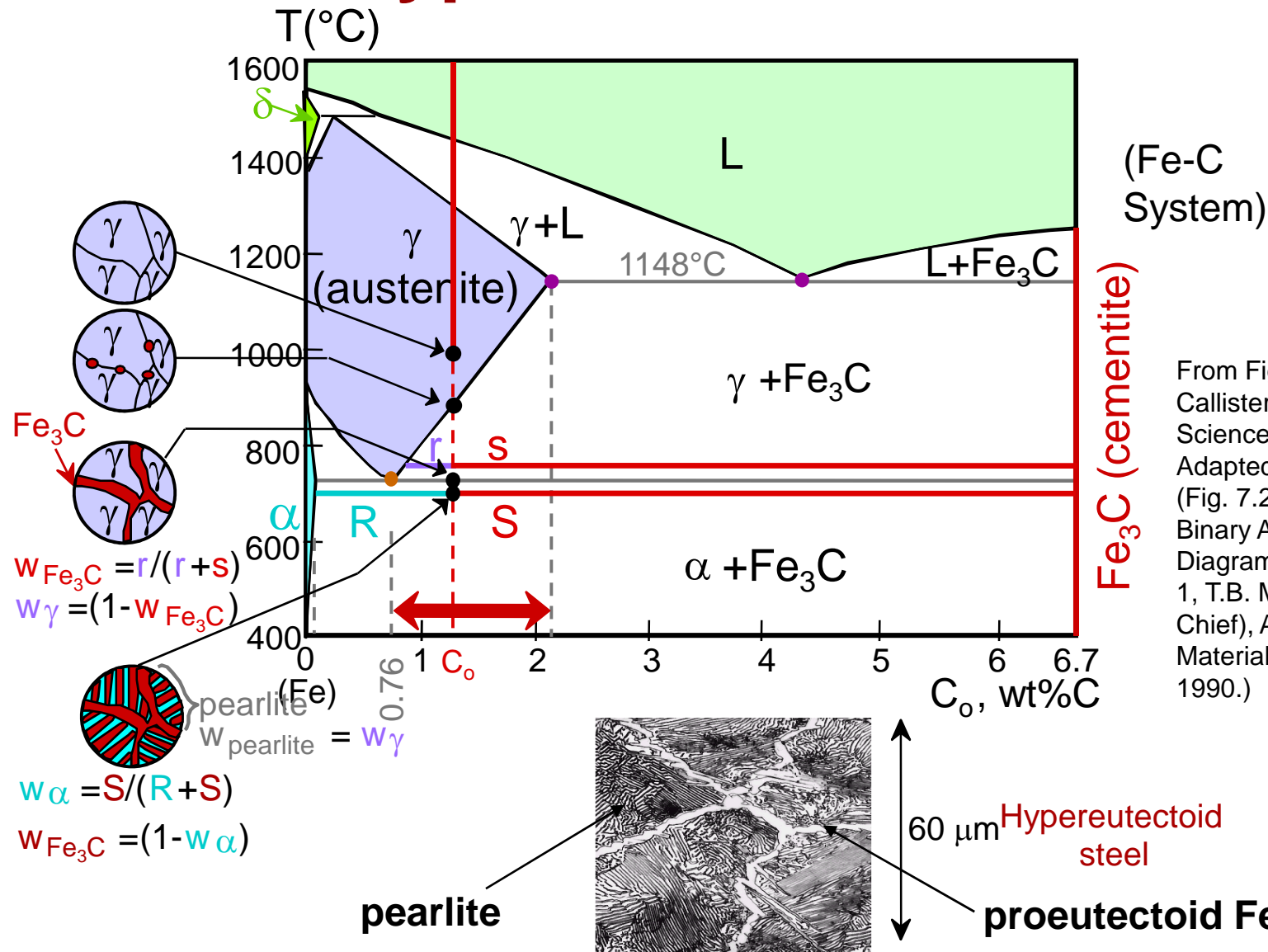


From Figs. 7.24 and 7.29  
Callister's Materials  
Science and Engineering,  
Adapted Version.  
(Fig. 7.24 adapted from  
Binary Alloy Phase  
Diagrams, 2nd ed., Vol.  
1, T.B. Massalski (Ed.-in-  
Chief), ASM International,  
Materials Park, OH,  
1990.)

From Fig. 7.30  
Callister's Materials Science and  
Engineering, Adapted Version.



# Hypereutectoid Steel

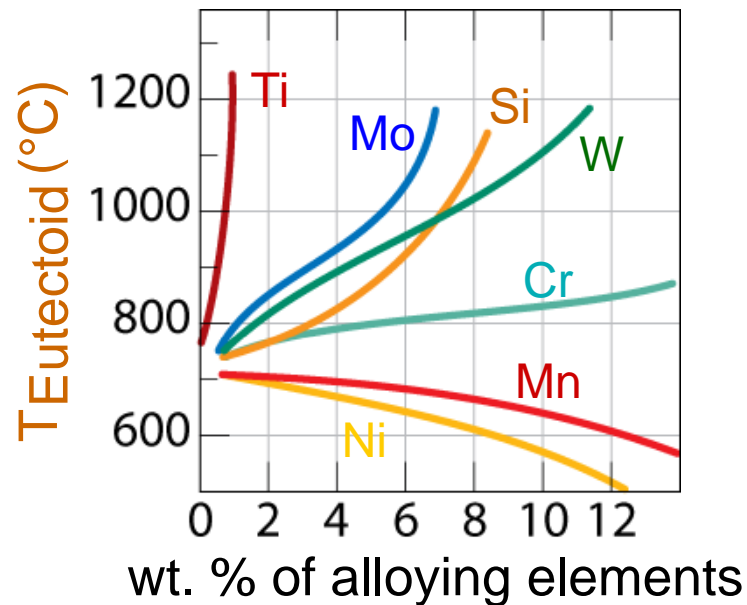


From Fig. 7.33, Callister's Materials Science and Engineering, Adapted Version.



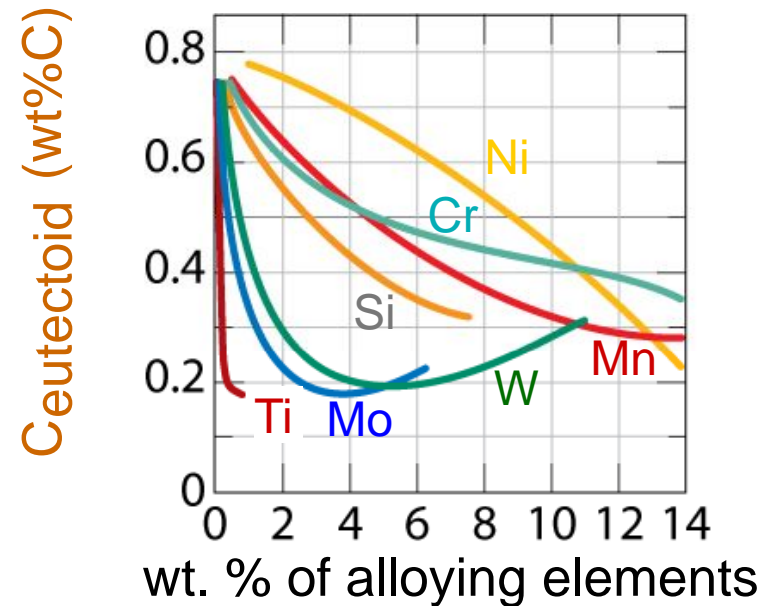
# Alloying Steel with More Elements

- $T_{\text{eutectoid}}$  changes:



From Fig. 7.34  
Callister's Materials Science and Engineering,  
Adapted Version.  
(Fig. 7.34 from Edgar C. Bain, Functions of the  
Alloying Elements in Steel, American Society for  
Metals, 1939, p. 127.)

- $C_{\text{eutectoid}}$  changes:



From Fig. 7.35  
Callister's Materials Science and Engineering,  
Adapted Version.  
(Fig. 7.35 from Edgar C. Bain, Functions of the  
Alloying Elements in Steel, American Society for  
Metals, 1939, p. 127.)



# Steels

|               | Low Alloy                 |  |   |                                     | High Alloy                   |                        |  |
|---------------|---------------------------|--|---|-------------------------------------|------------------------------|------------------------|--|
|               | low carbon<br><0.25 wt% C |  | Med carbon<br>0.25-0.6 wt% C                  |                                     | high carbon<br>0.6-1.4 wt% C |                        |  |
| Name          | plain                     | HSLA                                   | plain   | heat treatable                      | plain                        | tool                   | austenitic stainless   |
| Additions     | none                      | Cr, V<br>Ni, Mo                        | none  | Cr, Ni<br>Mo                        | none                         | Cr, V,<br>Mo, W        | Cr, Ni, Mo   |
| Example       | 1010                      | 4310                                   | 1040  | 4340                                | 1095                         | 4190                   | 304  |
| Hardenability | 0                         | +                                      | +   | ++                                  | ++                           | +++                    | 0  |
| TS            | -                         | 0                                      | +   | ++                                  | +                            | ++                     | 0  |
| EL            | +                         | +                                      | 0   | -                                   | -                            | --                     | ++   |
| Uses          | auto<br>struc.<br>sheet   | bridges<br>towers<br>press.<br>vessels | crank<br>shafts<br>bolts<br>hammers<br>blades | pistons<br>gears<br>wear<br>applic. | wear<br>applic.              | drills<br>saws<br>dies | high T<br>applic.<br>turbines<br>furnaces<br>V. corros.<br>resistant |

increasing strength, cost, decreasing ductility



# Ferrous Alloys

## Iron containing – Steels - cast irons

Nomenclature AISI & SAE

10xx Plain Carbon Steels

11xx Plain Carbon Steels (resulfurized for machinability)

15xx Mn (10 ~ 20%)

40xx Mo (0.20 ~ 0.30%)

43xx Ni (1.65 - 2.00%), Cr (0.4 - 0.90%), Mo (0.2 - 0.3%)

44xx Mo (0.5%)

where xx is wt% C x 100

example: 1060 steel – plain carbon steel with 0.60 wt% C

**Stainless Steel** -- >11% Cr

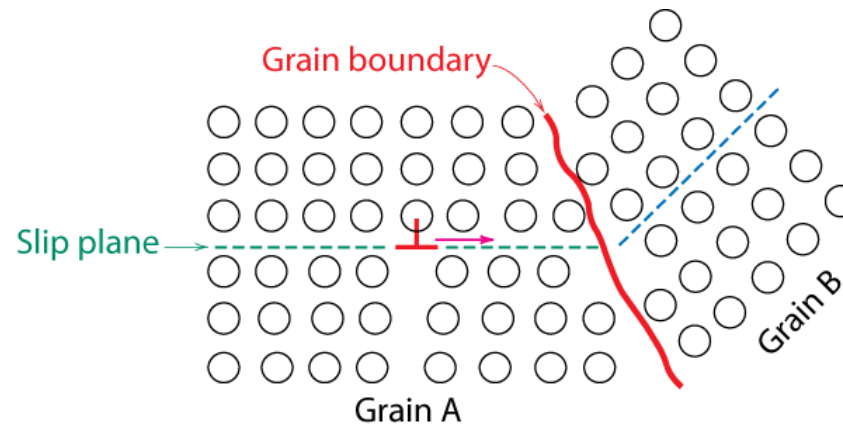
# Cast Iron

- Ferrous alloys with  $> 2.1$  wt% C
  - more commonly 3 - 4.5 wt%C
- low melting (also brittle) so easiest to cast
- Cementite decomposes to ferrite + graphite
$$\text{Fe}_3\text{C} \rightarrow 3 \text{Fe} (\alpha) + \text{C} (\text{graphite})$$
  - generally a slow process

# Strategies for Strengthening:

## 1: Reduce Grain Size

- Grain boundaries are barriers to slip.
- Barrier "strength" increases with increasing angle of misorientation.
- Smaller grain size: more barriers to slip.
- Hall-Petch Equation:



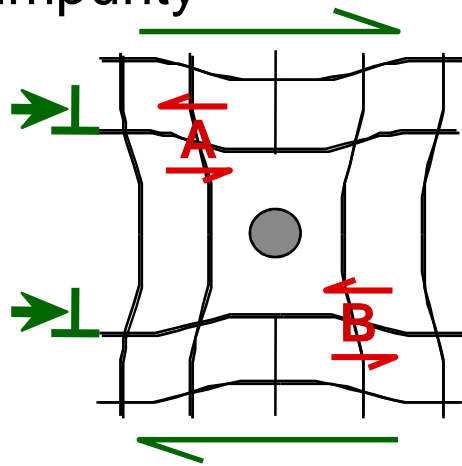
From Fig. 10.14,  
*Callister's Materials Science and Engineering*,  
Adapted Version.

(Fig. 10.14 is from *A Textbook of Materials Technology*, by Van Vlack, Pearson Education, Inc., Upper Saddle River, NJ.)

$$\sigma_{yield} = \sigma_o + k_y d^{-1/2}$$

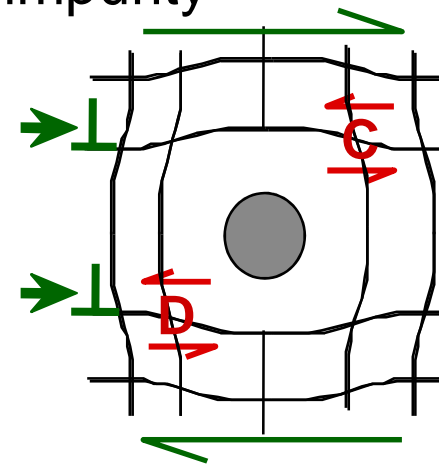
## 4 Strategies for Strengthening: 2: Solid Solutions

- Impurity atoms distort the lattice & generate stress.
- Stress can produce a barrier to dislocation motion.
- Smaller substitutional impurity



Impurity generates local stress at **A** and **B** that opposes dislocation motion to the right.

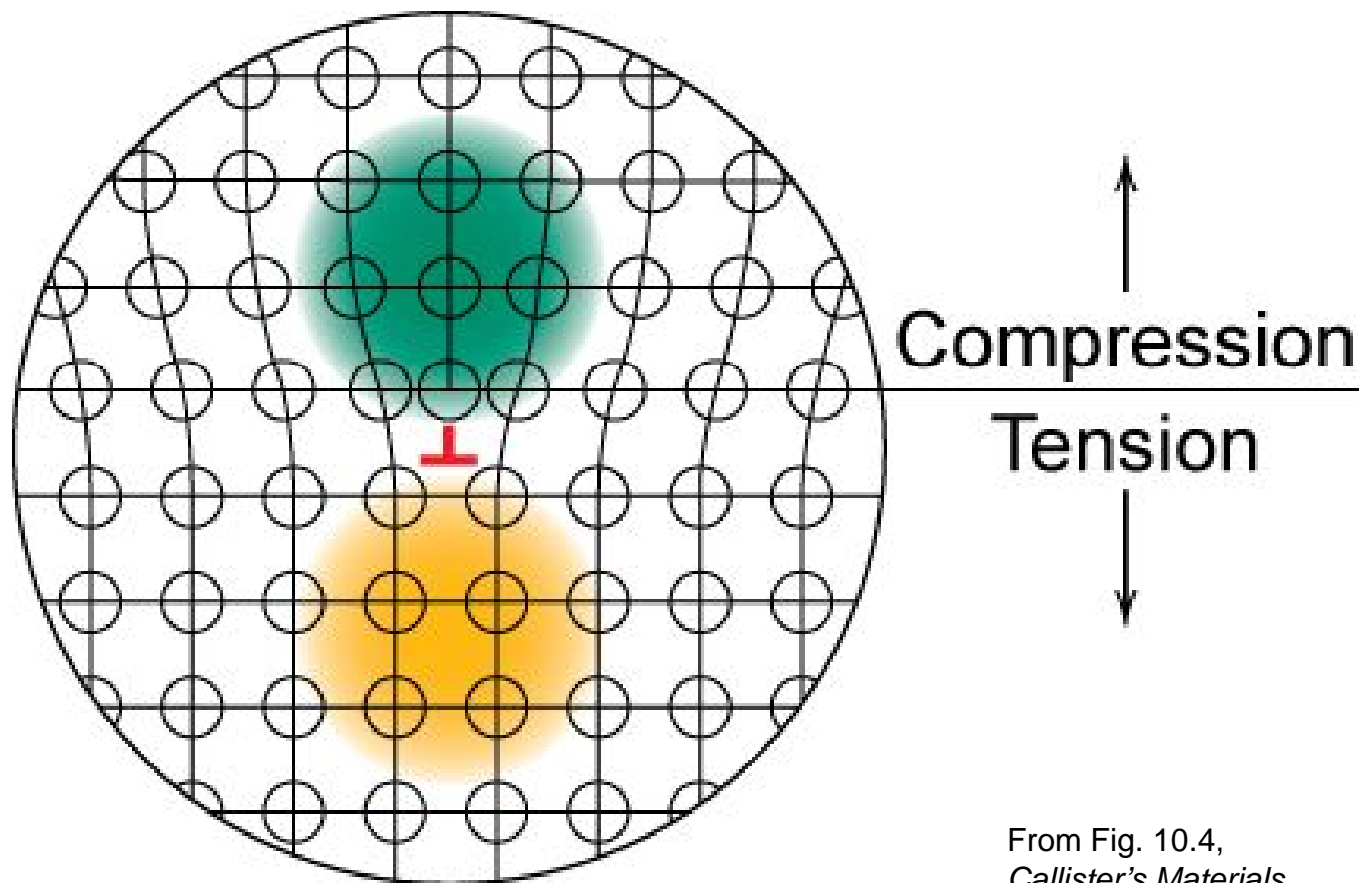
- Larger substitutional impurity



Impurity generates local stress at **C** and **D** that opposes dislocation motion to the right.



# Stress Concentration at Dislocations

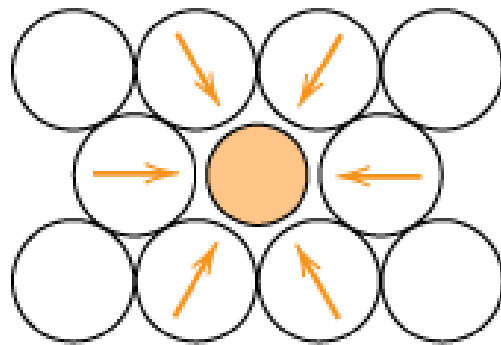


From Fig. 10.4,  
*Callister's Materials  
Science and  
Engineering,*  
*Adapted Version.*

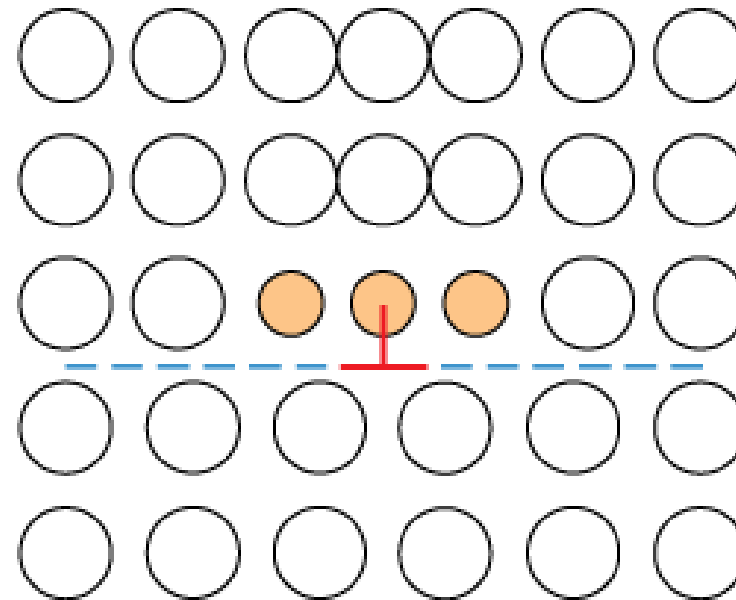


# Strengthening by Alloying

- small impurities tend to concentrate at dislocations
- reduce mobility of dislocation  $\therefore$  increase strength



(a)

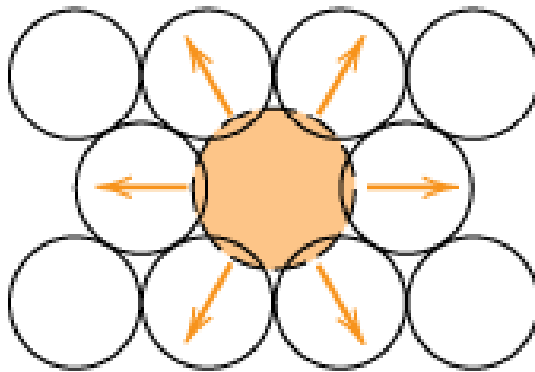


(b)

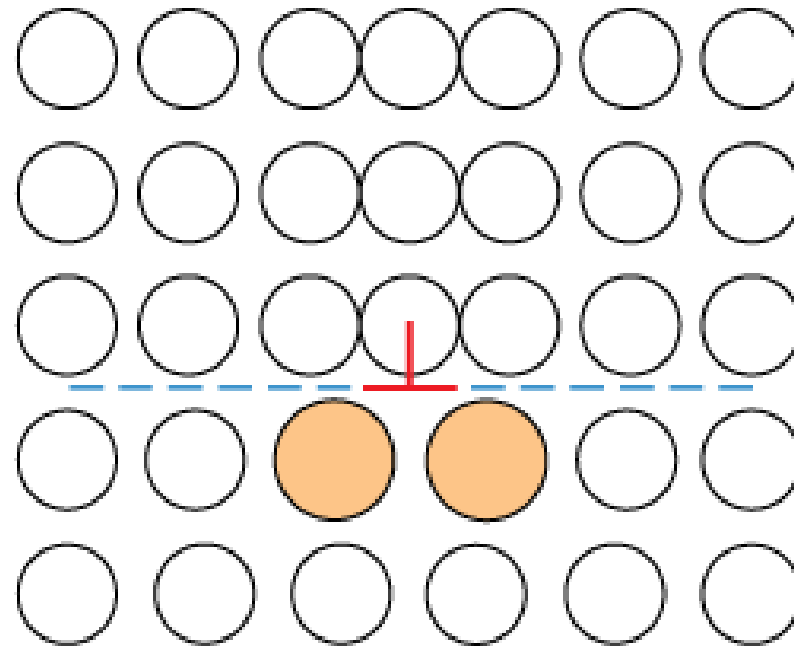
From Fig. 10.17,  
*Callister's Materials  
Science and  
Engineering,  
Adapted Version.*

# Strengthening by alloying

- large impurities concentrate at dislocations on low density side



(a)

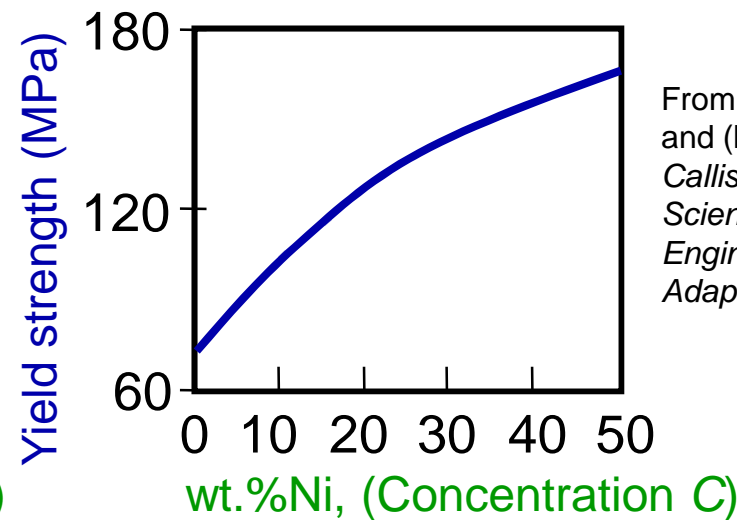
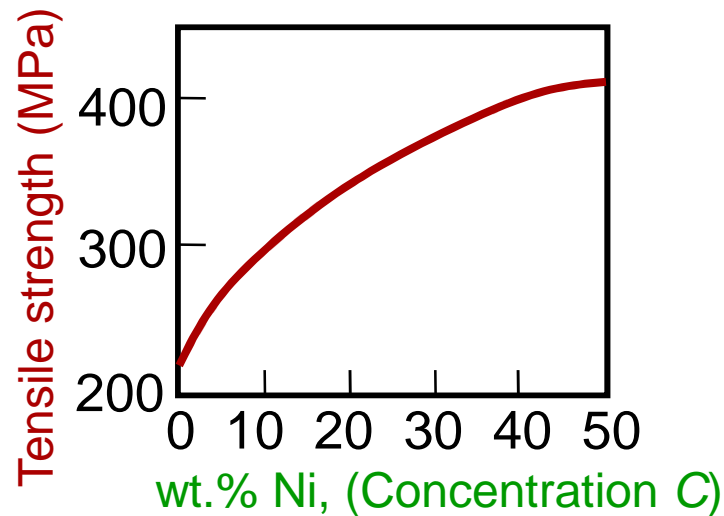


(b)

From Fig. 10.18,  
*Callister's Materials  
Science and  
Engineering,  
Adapted Version.*

## Ex: Solid Solution Strengthening in Copper

- Tensile strength & yield strength increase with wt% Ni.



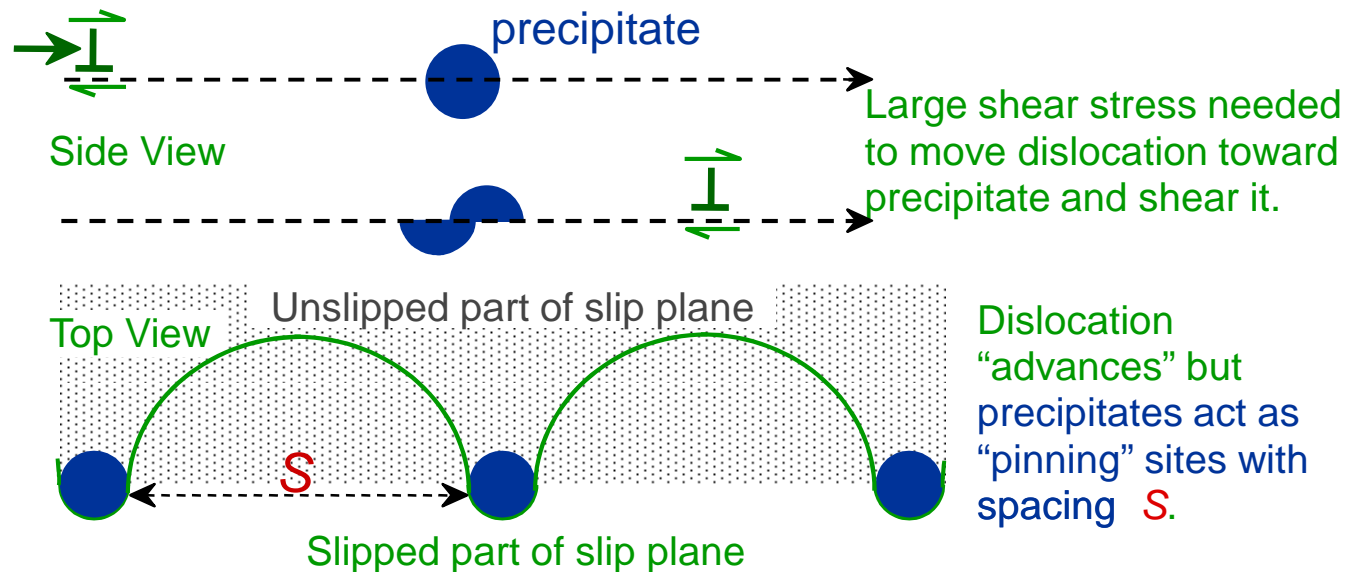
From Fig. 10.16 (a) and (b),  
*Callister's Materials Science and Engineering, Adapted Version.*

- Empirical relation:  $\sigma_y \sim C^{1/2}$
- Alloying increases  $\sigma_y$  and *TS*.

# 4 Strategies for Strengthening:

## 3: Precipitation Strengthening

- Hard precipitates are difficult to shear.  
Ex: Ceramics in metals (SiC in Iron or Aluminum).



- Result:  $\sigma_y \sim \frac{1}{S}$

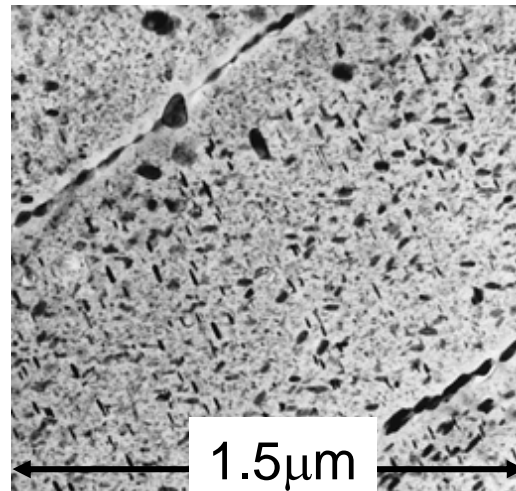
# Application: Precipitation Strengthening

- Internal wing structure on Boeing 767



From chapter-opening photograph, Chapter 11, *Callister 5e*. (courtesy of G.H. Narayanan and A.G. Miller, Boeing Commercial Airplane Company.)

- Aluminum is strengthened with precipitates formed by alloying.



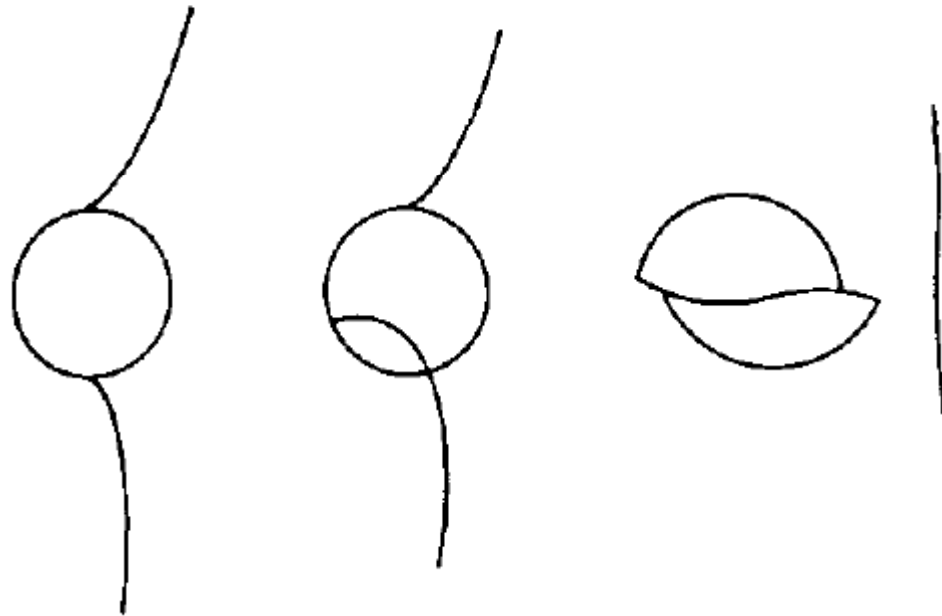
From Fig. 10.31, *Callister's Materials Science and Engineering, Adapted Version*.

(Fig. 10.31 is courtesy of G.H. Narayanan and A.G. Miller, Boeing Commercial Airplane Company.)



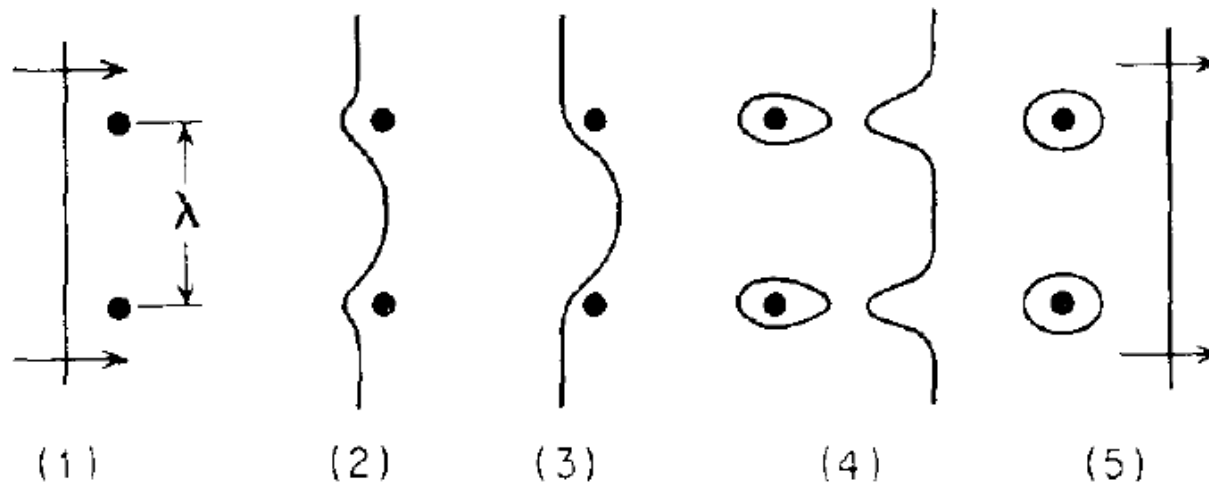
# Interaction between precipitates and dislocation

When the particles are small and/or soft, dislocations can cut and deform the particles as shown in the Figure



## Interaction between precipitates and dislocation

For the case of averaged non-coherent precipitates Orowan proposed the mechanism illustrated in the Fig. below. The yield stress is determined by the shear stress required to bow a dislocation line between two particles separated by a distance  $\lambda$ , where  $\lambda > R$ .



Schematic drawing, of stages in passage of a dislocation between widely separated obstacles, based on Orowan's mechanism of dispersion hardening



Stage 1: A straight dislocation line approaching two particles.

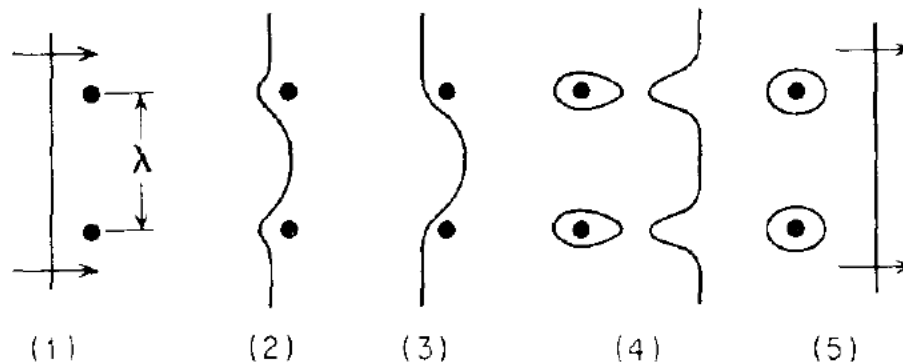
Stage 2: The line is beginning to bend.

Stage 3: It has reached the critical curvature. The dislocation can then move forward without further decreasing its radius of curvature ( $R$ ).

$$R = Gb/2\tau_0$$

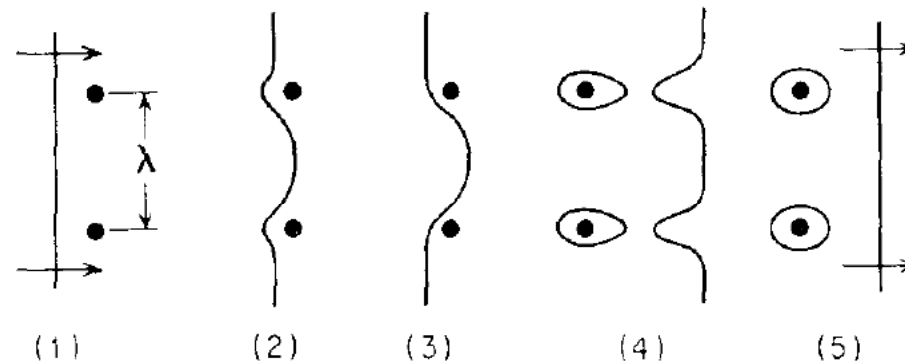
and  $\lambda = 2R$ , so that the shear stress required to force the dislocation between the obstacles is:

$$\tau_0 = \frac{Gb}{\lambda}$$



Stage 4: Since the segments of dislocation that meet on the other side of the particle are of opposite sign, they can annihilate each other over part of their length, leaving a dislocation loop around each particle

Stage 5: The original dislocation is then free to move on



Every dislocation gliding over the slip plane adds one loop around the particle. These loops exert a back stress on dislocation sources which must be overcome for additional slip to take place. This requires an increase in shear stress, with the result that dispersed non-coherent particles cause the matrix to strain-harden rapidly

## Exercise

An aluminum-4% copper alloy has a yield stress of 600 MPa. Estimate the particle spacing in this alloy. Given  $G \sim 27.6$  GPa;  $b \sim 0.25$  nm.

At this strength level we are dealing with a precipitation-hardening alloy that has been aged beyond the maximum strength. The strengthening mechanism is dislocation bypassing of particles.

$$\lambda = Gb/\tau_0$$

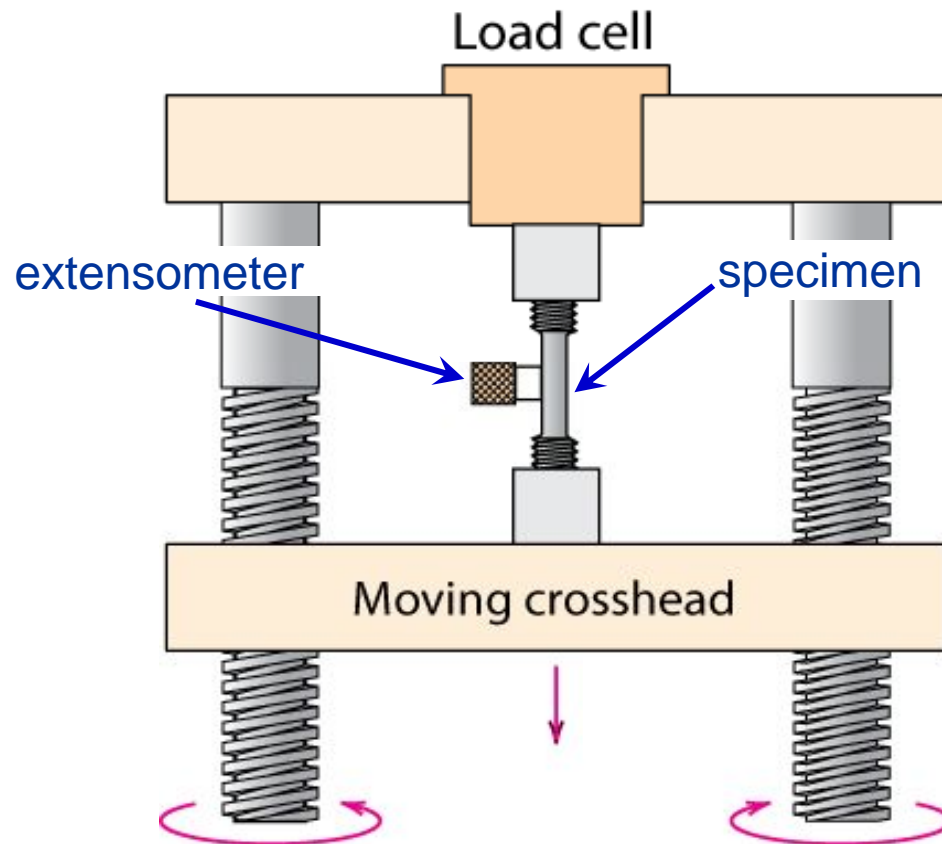
$$G \sim 27.6 \text{ GPa}; b \sim 0.25 \text{ nm}; \tau_0 = 600/2 = 300 \text{ MPa}$$

$$\lambda = \text{interparticle spacing} = \frac{(27.6 \times 10^9 \text{ Pa}) \times (2.5 \times 10^{-10} \text{ m})}{3 \times 10^8 \text{ Pa}}$$

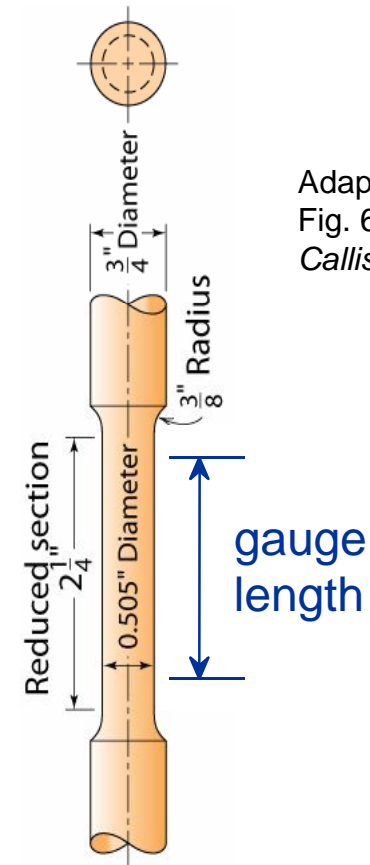
$$= 2.3 \times 10^{-8} \text{ m} = 0.023 \mu\text{m} = 23 \text{ nm}$$

# Stress-Strain Testing

- Typical tensile test machine



- Typical tensile specimen



Adapted from  
Fig. 6.2,  
Callister 7e.

From Fig. 9.9, *Callister's Materials Science and Engineering, Adapted Version.*

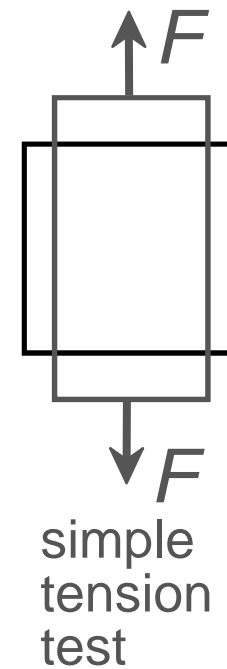
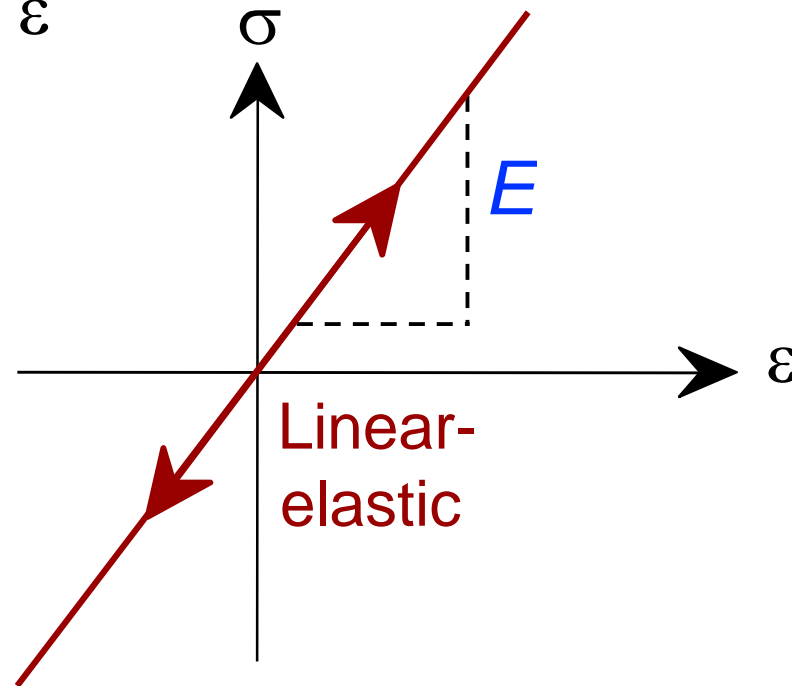
(Fig. 9.9 is taken from H.W. Hayden, W.G. Moffatt, and J. Wulff, *The Structure and Properties of Materials, Vol. III, Mechanical Behavior*, p. 2, John Wiley and Sons, New York, 1965.)



# Linear Elastic Properties

- **Modulus of Elasticity,  $E$ :**  
(also known as Young's modulus)
- **Hooke's Law:**

$$\sigma = E \varepsilon$$



# Poisson's ratio, $\nu$

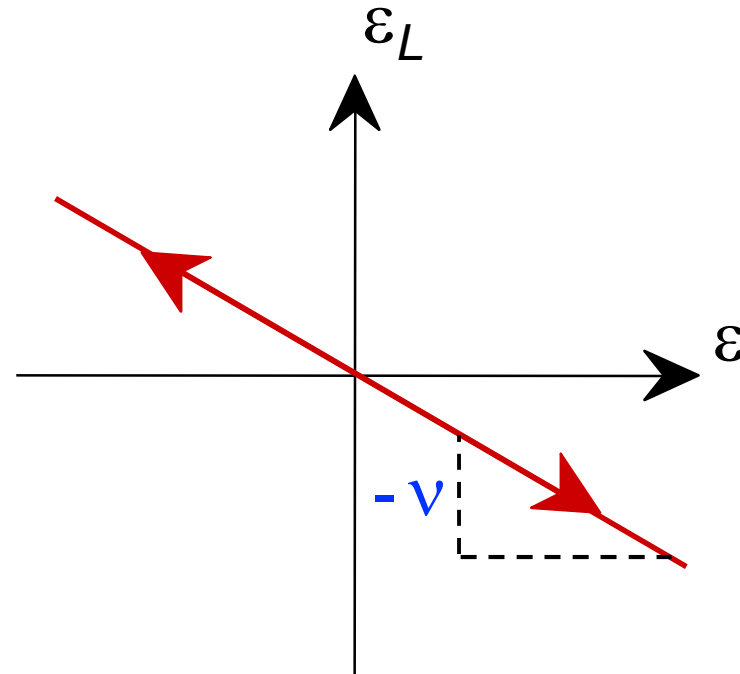
- Poisson's ratio,  $\nu$ :

$$\nu = -\frac{\varepsilon_L}{\varepsilon}$$

metals:  $\nu \sim 0.33$

ceramics:  $\nu \sim 0.25$

polymers:  $\nu \sim 0.40$



Units:

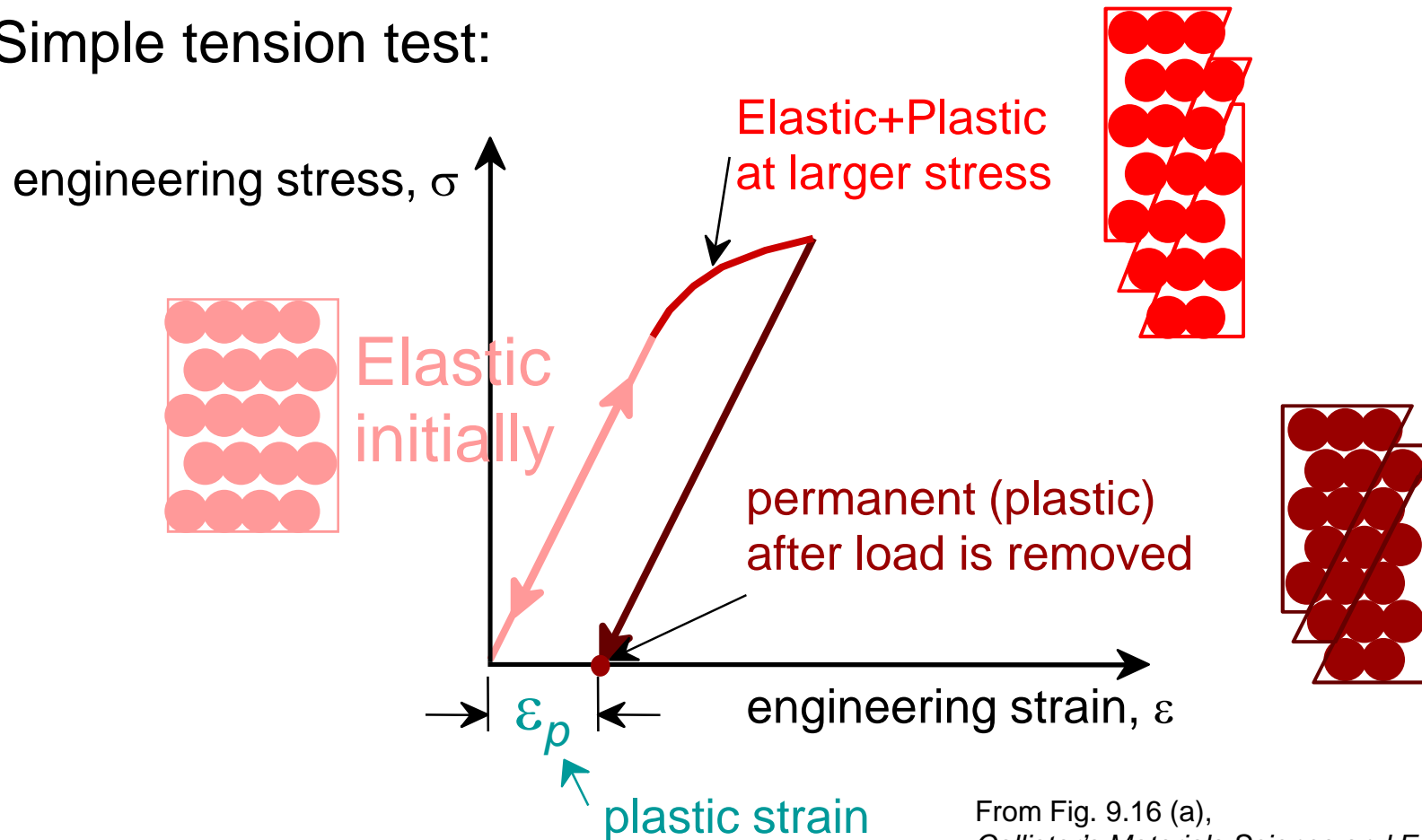
$E$ : [GPa] or [psi]

$\nu$ : dimensionless

# Plastic (Permanent) Deformation

(at lower temperatures, i.e.  $T < T_{melt}/3$ )

- Simple tension test:

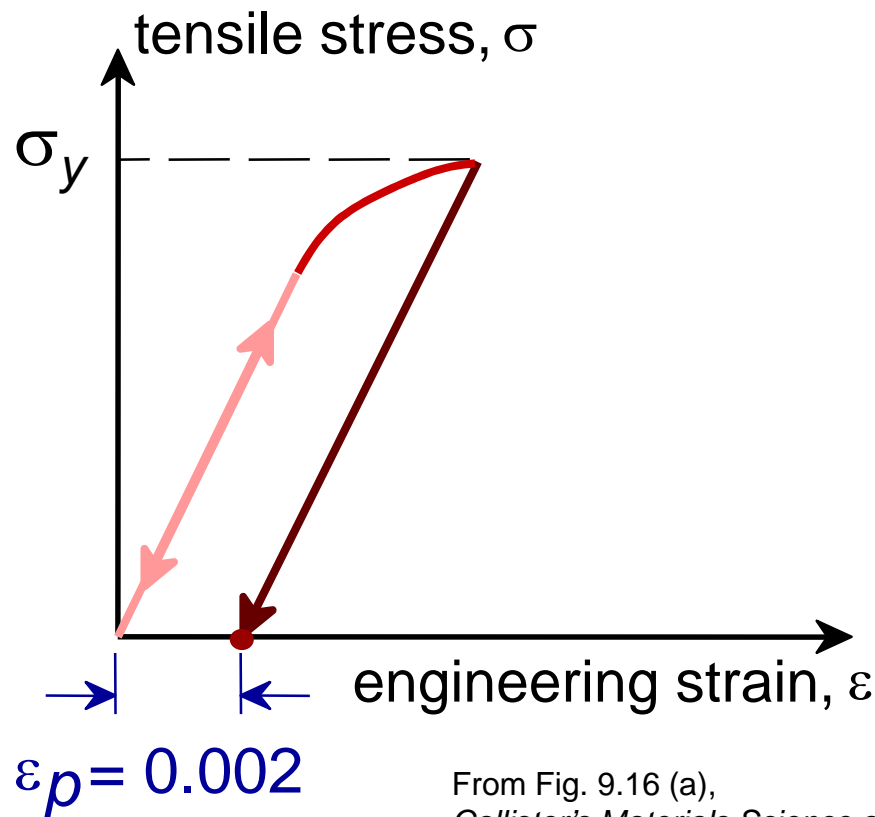


From Fig. 9.16 (a),  
*Callister's Materials Science and Engineering,*  
*Adapted Version.*

# Yield Strength, $\sigma_y$

- Stress at which *noticeable* plastic deformation has occurred.

when  $\varepsilon_p = 0.002$



$\sigma_y$  = yield strength

Note: for 2 inch sample

$$\varepsilon = 0.002 = \Delta z / z$$

$$\therefore \Delta z = 0.004 \text{ in}$$

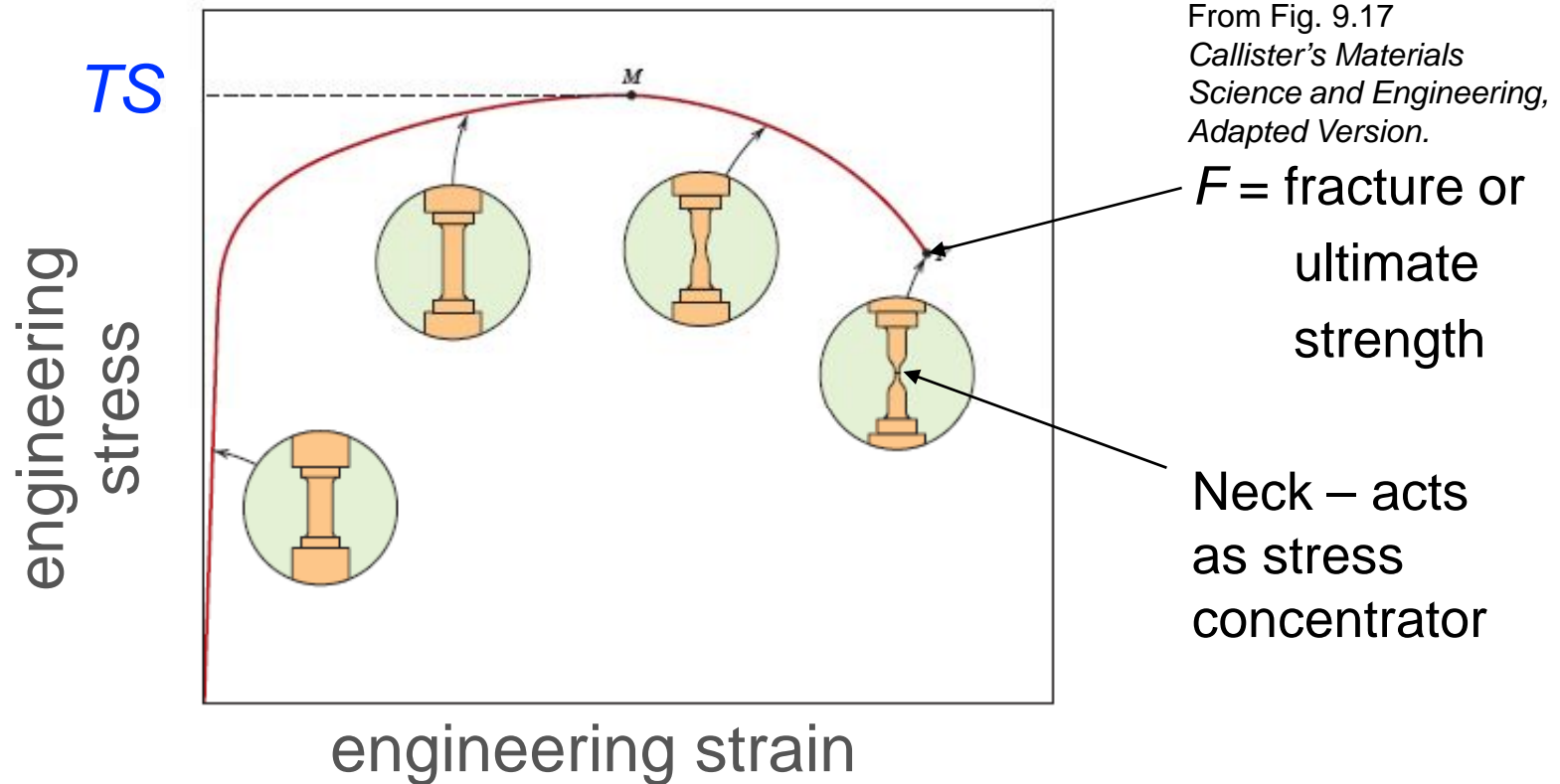
From Fig. 9.16 (a),  
Callister's Materials Science and Engineering  
Adapted Version.





# Tensile Strength, TS

- Maximum stress on engineering stress-strain curve.

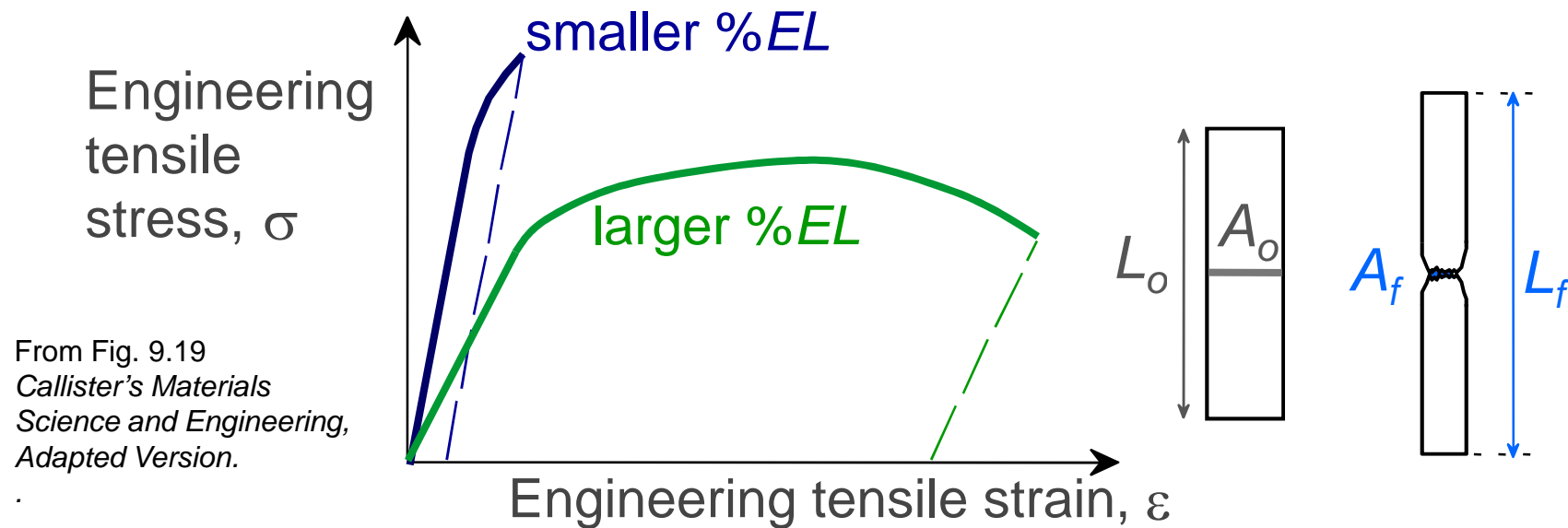


- Metals:** occurs when noticeable **necking** starts.
- Polymers:** occurs when **polymer backbone chains** are aligned and about to break.

# Ductility

- Plastic tensile strain at failure:

$$\%EL = \frac{L_f - L_o}{L_o} \times 100$$



- Another ductility measure:

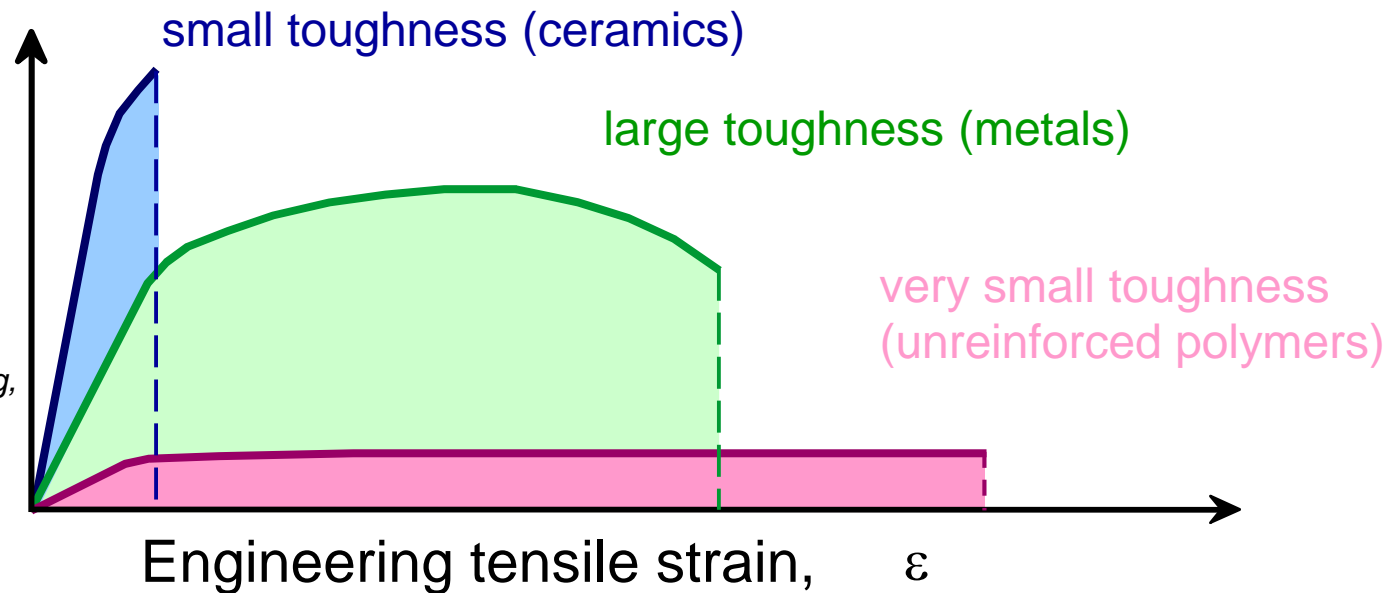
$$\%RA = \frac{A_o - A_f}{A_o} \times 100$$

# Toughness

- Energy to break a unit volume of material
- Approximate by the area under the stress-strain curve.

Engineering  
tensile  
stress,  $\sigma$

From Fig. 9.19  
*Callister's Materials  
Science and Engineering,  
Adapted Version.*

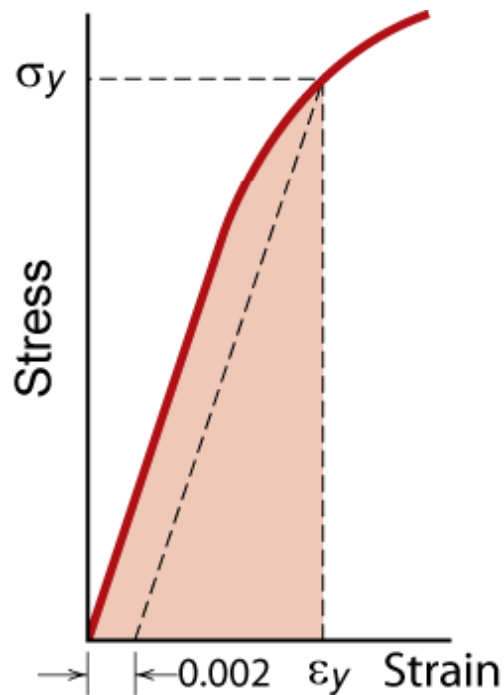


Brittle fracture: elastic energy

Ductile fracture: elastic + plastic energy

# Resilience, $U_r$

- Ability of a material to store energy
  - Energy stored best in elastic region



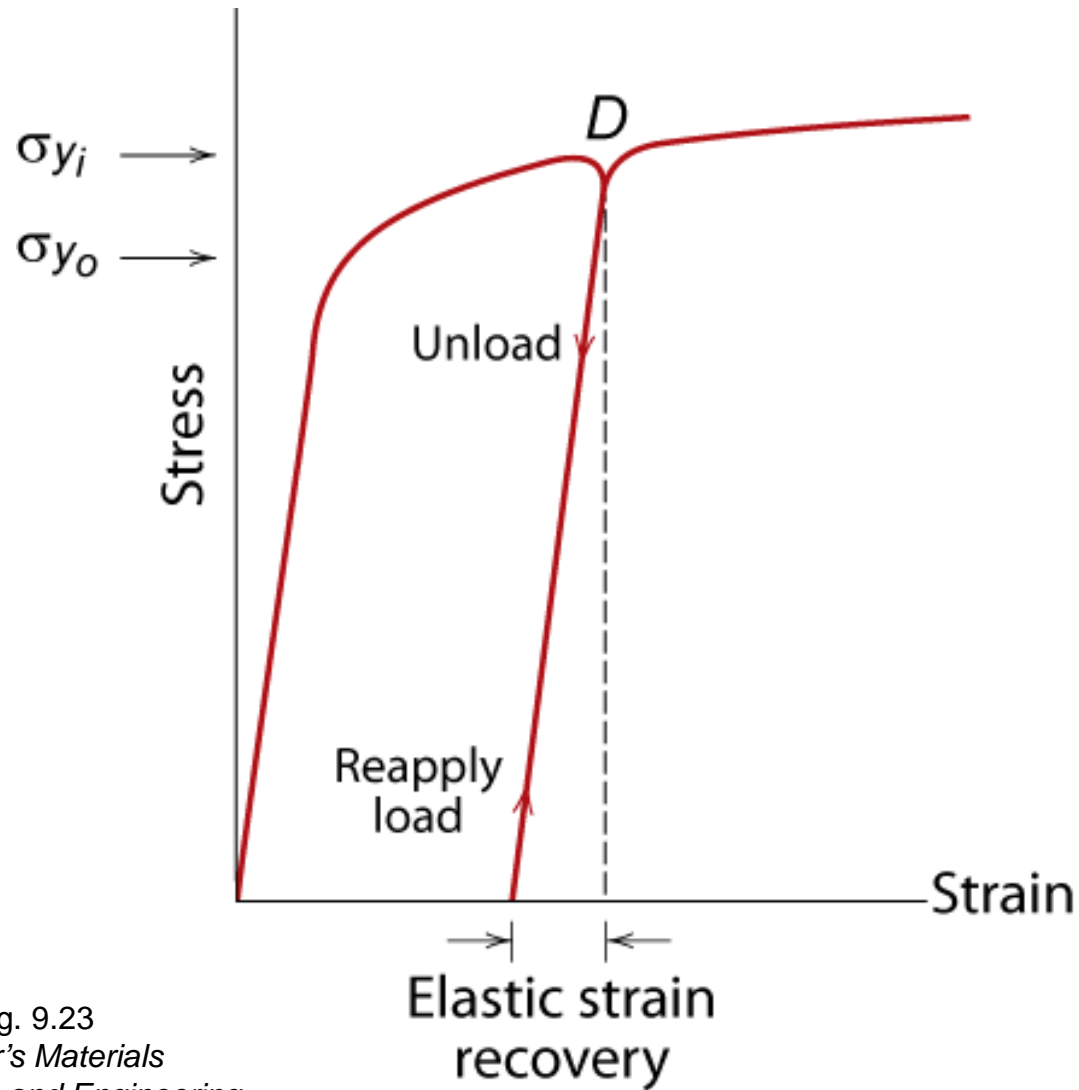
$$U_r = \int_0^{\epsilon_y} \sigma d\epsilon$$

If we assume a linear stress-strain curve this simplifies to

$$U_r \cong \frac{1}{2} \sigma_y \epsilon_y$$

From Fig. 9.21  
*Callister's Materials Science  
and Engineering,  
Adapted Version.*

# Elastic Strain Recovery



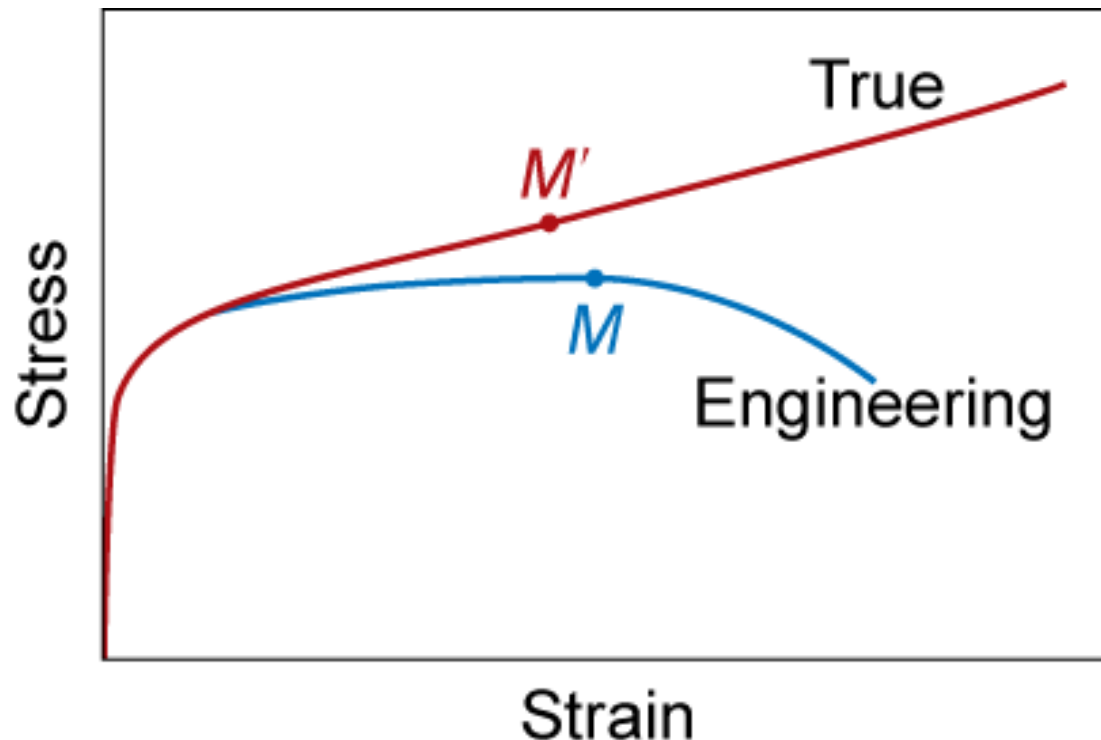
From Fig. 9.23  
*Callister's Materials  
Science and Engineering,  
Adapted Version.*



# True Stress & Strain

Note: specimen area changes when sample stretched

- True stress  $\sigma_T = F/A_i$
  - True Strain  $\epsilon_T = \ln(\ell_i/\ell_o)$
- $$\sigma_T = \sigma(1 + \epsilon)$$
$$\epsilon_T = \ln(1 + \epsilon)$$



From Fig. 9.22  
*Callister's Materials  
Science and Engineering,  
Adapted Version.*

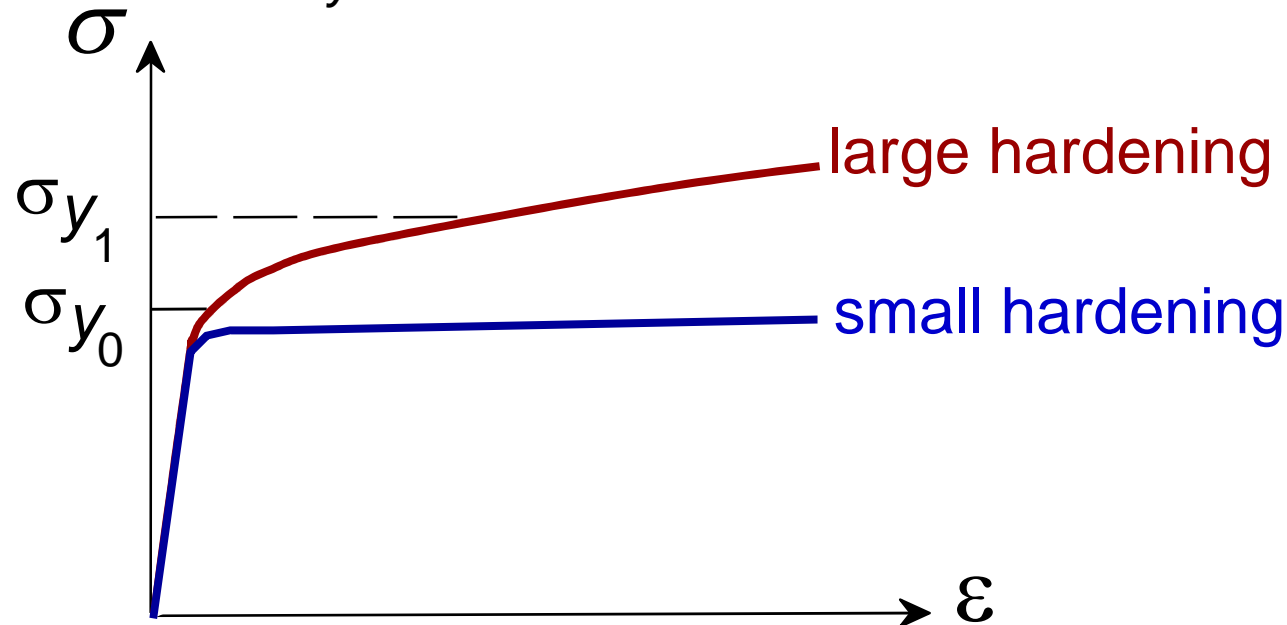
# Assignment

A cylindrical specimen of steel having an original diameter of 12.8 mm (0.505 in.) is tensile tested to fracture and found to have an engineering fracture strength  $\sigma_f$  of 460 MPa (67,000 psi). If its cross-sectional diameter at fracture is 10.7 mm (0.422 in.), determine:

- (a) The ductility in terms of percent reduction in area.
- (b) The true stress at fracture.

# Hardening

- An increase in  $\sigma_y$  due to plastic deformation.



- Curve fit to the stress-strain response:

$$\sigma_T = K(\epsilon_T)^n$$

hardening exponent:  
 $n = 0.15$  (some steels)  
to  $n = 0.5$  (some coppers)

“true” stress ( $F/A$ )

“true” strain:  $\ln(L/L_o)$





# Assignment

Compute the strain-hardening exponent ( $n$ ) for an alloy in which a true stress of 415 MPa produces true strain of 0.10. Assume a value of 1035 MPa for  $K$ .

# Instability in Tension

- ✓ Necking generally begins at maximum load during the tensile deformation of a ductile metal.
- ✓ An ideal plastic material in which no strain hardening occurs would become unstable in tension and begin to neck just as soon as yielding took place.
- ✓ However, a real metal undergoes strain hardening, which tends to increase the load-carrying capacity of the specimen as deformation increases. This effect is opposed by the gradual decrease in the cross-sectional area of the specimen as it elongates.



# Instability in Tension - Necking

- ✓ Necking or localized deformation begins at maximum load, where the increase in stress due to decrease in the cross-sectional area of the specimen becomes greater than the increase in the load-carrying ability of the metal due to strain hardening.
- ✓ This condition of instability leading to localized deformation is defined by the condition  $dP = 0$ .

$$P = \sigma A$$
$$dP = \sigma dA + A d\sigma = 0$$

This leads to the following relationship,

$$-\frac{dA}{A} = \frac{d\sigma}{\sigma} \dots\dots\dots(1)$$

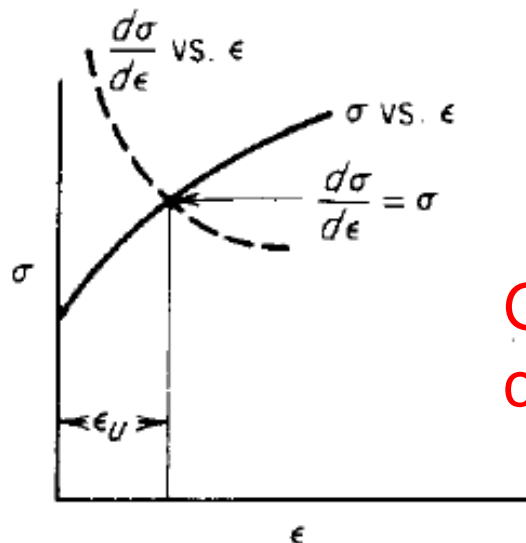
# Instability in Tension - Necking

From the constancy-of-volume relationship,

$$\frac{dL}{L} = - \frac{dA}{A} = d\epsilon \quad \dots\dots\dots(2)$$

*So that at a point of tensile instability (combining Equation 1 and Equation 2)*

$$\frac{d\sigma}{d\epsilon} = \sigma$$



Graphical interpretation of necking criterion

# Exercise

If the true-stress-true-strain curve is given by the relationship:  
 $\sigma = 1400\varepsilon^{0.33}$  where stress is in MPa, what is the ultimate tensile strength of the material?

## Hints:

$$\sigma_T = K(\varepsilon_T)^n$$

“true” stress ( $F/A$ )

hardening exponent:  
 $n = 0.15$  (some steels)  
to  $n = 0.5$  (some coppers)

“true” strain:  $\ln(L/L_0)$

$\varepsilon_T = n$  .....How you get the relationship??

$$\sigma_T = \sigma(1 + \varepsilon)$$

$$\varepsilon_T = \ln(1 + \varepsilon)$$

**Answer: 698 MPa**

# Strain Rate

Strain rate is defined as  $\dot{\varepsilon} = d\varepsilon/dt$

## Engineering Strain rate

$$\dot{\varepsilon} = d\varepsilon/dt = \frac{d(L - L_0)/L_0}{dt} = \frac{1}{L_0} \frac{dL}{dt} = \frac{v}{L_0}$$

## True Strain rate

$$\dot{\varepsilon}_T = d\varepsilon_T/dt = \frac{d[\ln(L/L_0)]}{dt} = \frac{1}{L} \frac{dL}{dt} = \frac{v}{L}$$

Here **v** is crosshead velocity of the machine

# Relation between Stress and Strain Rate

A general relationship exists between tensile stress and strain rate, at constant strain and temperature:

$$\sigma_T = C(\dot{\epsilon}_T)^m$$

where  $m$  is known as the *strain-rate sensitivity*

# Exercise

The parameters obtained from tensile tests of a commercially pure aluminum are as follows at a true strain of 0.25.

|       | 294 K    | 713 K    |
|-------|----------|----------|
| $C$ : | 70.3 MPa | 14.5 MPa |
| $m$ : | 0.066    | 0.211    |

Determine the change in flow stress for a two order of magnitude change (say 1 to 100 s<sup>-1</sup>) in strain rate at each of the temperatures.

At 294 K  $\sigma_a = C(\dot{\epsilon})^m = 70.3(1)^{0.066} = 70.3 \text{ MPa}$

$$\sigma_b = 70.3(100)^{0.066} = 95.3 \text{ MPa} \quad \sigma_b/\sigma_a = 1.35$$

At 713 K  $\sigma_a = 14.5(1)^{0.211} = 14.5 \text{ MPa}$

$$\sigma_b = 14.5(100)^{0.211} = 38.3 \text{ MPa} \quad \sigma_b/\sigma_a = 2.64$$



# Variability in Material Properties

- Elastic modulus is material property
- Critical properties depend largely on sample flaws (defects, etc.). Large sample to sample variability.
- Statistics

– Mean

$$\bar{x} = \frac{\sum^n x_n}{n}$$

– Standard Deviation

$$s = \left[ \frac{\sum^n (x_i - \bar{x})^2}{n-1} \right]^{\frac{1}{2}}$$

where  $n$  is the number of data points



# Design or Safety Factors

- Design uncertainties mean we do not push the limit.
- Factor of safety,  $N$

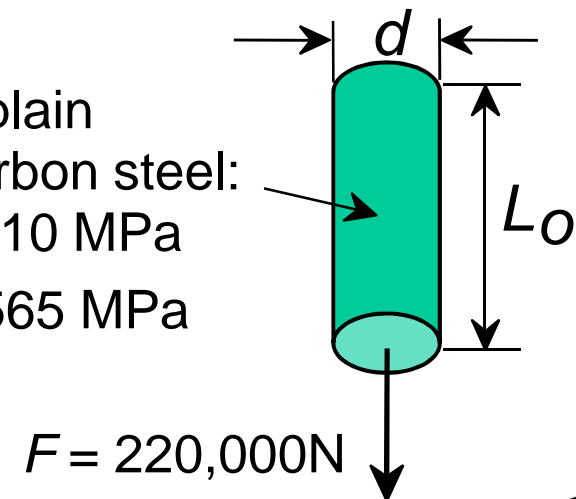
$$\sigma_{working} = \frac{\sigma_y}{N}$$

Often  $N$  is between 1.2 and 4

- Example: Calculate a diameter,  $d$ , to ensure that yield does not occur in the 1045 carbon steel rod below. Use a factor of safety of 5.

$$\frac{220,000N}{\pi(d^2 / 4)} = \frac{\sigma_y}{5}$$
$$d = 0.067 \text{ m} = 6.7 \text{ cm}$$

1045 plain carbon steel:  
 $\sigma_y = 310 \text{ MPa}$   
 $TS = 565 \text{ MPa}$

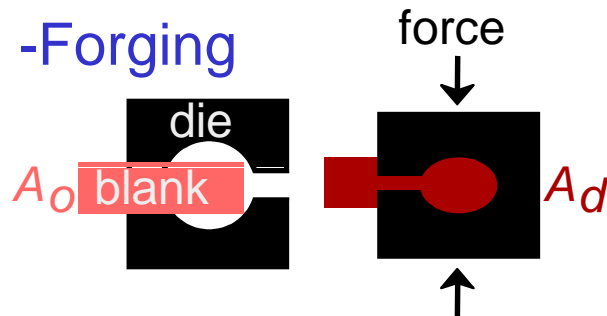


# 4 Strategies for Strengthening:

## 4: Cold Work (%CW)

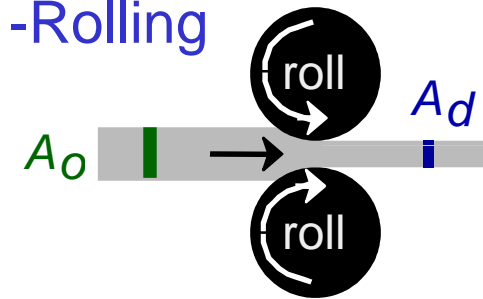
- Room temperature deformation.
- Common forming operations change the cross sectional area:

-Forging

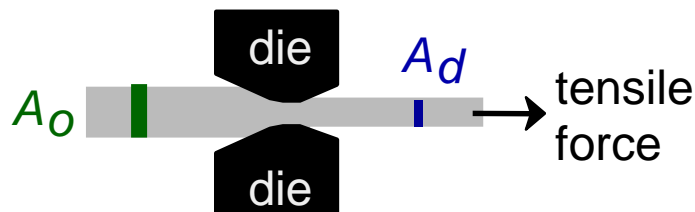


Adapted from Fig. 11.8, Callister 7e.

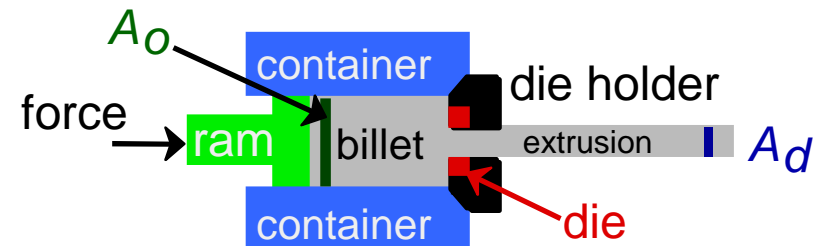
-Rolling



-Drawing



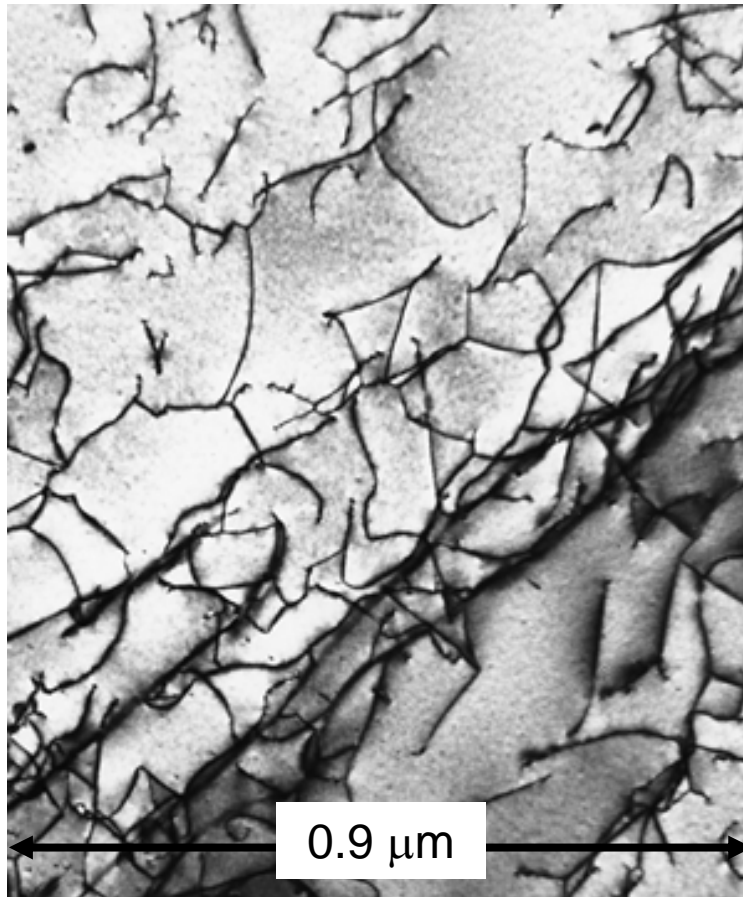
-Extrusion



$$\%CW = \frac{A_o - A_d}{A_o} \times 100$$

# Dislocations During Cold Work

- Ti alloy after cold working:



- Dislocations entangle with one another during cold work.
- Dislocation motion becomes more difficult.

From Fig. 5.10,  
*Callister's Materials  
Science and  
Engineering, Adapted  
Version.*

(Fig. 5.10 is courtesy  
of M.R. Plichta,  
Michigan  
Technological  
University.)

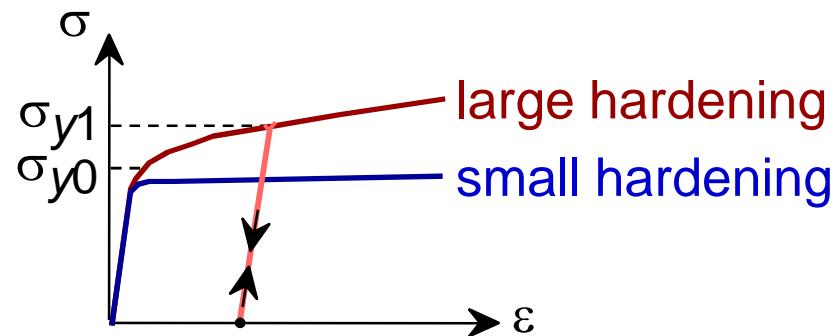


# Result of Cold Work

$$\text{Dislocation density} = \frac{\text{total dislocation length}}{\text{unit volume}}$$

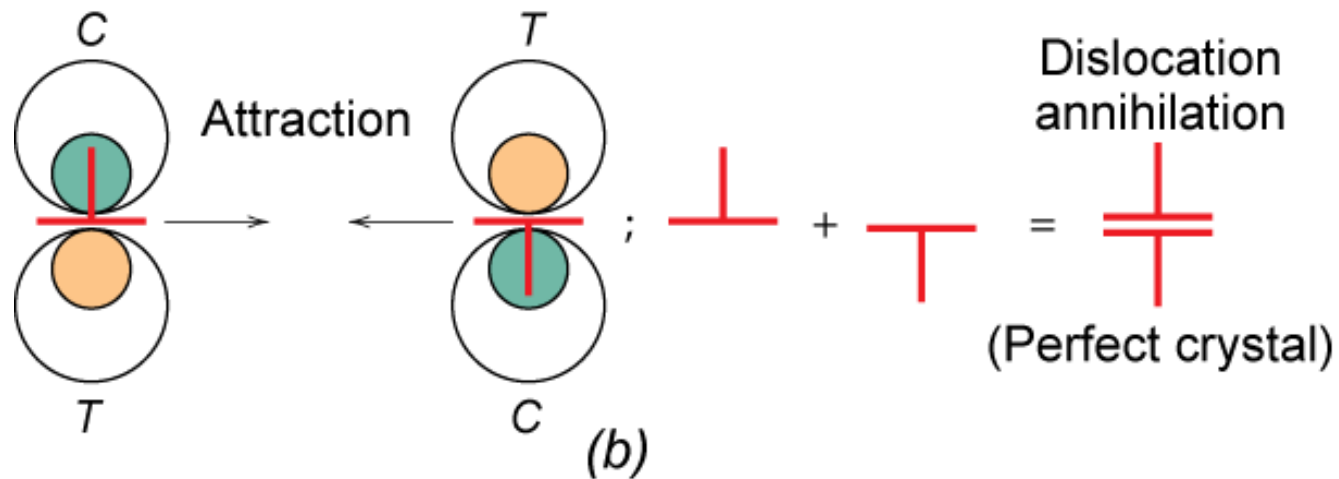
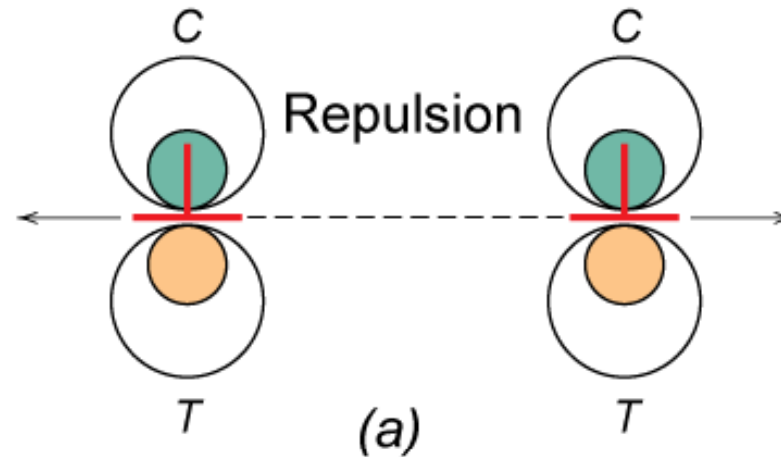
- Carefully grown single crystal  
→ ca.  $10^3 \text{ mm}^{-2}$
- Deforming sample increases density  
→  $10^9$ - $10^{10} \text{ mm}^{-2}$
- Heat treatment reduces density  
→  $10^5$ - $10^6 \text{ mm}^{-2}$

- Yield stress increases as  $\rho_d$  increases:



# Effects of Stress at Dislocations

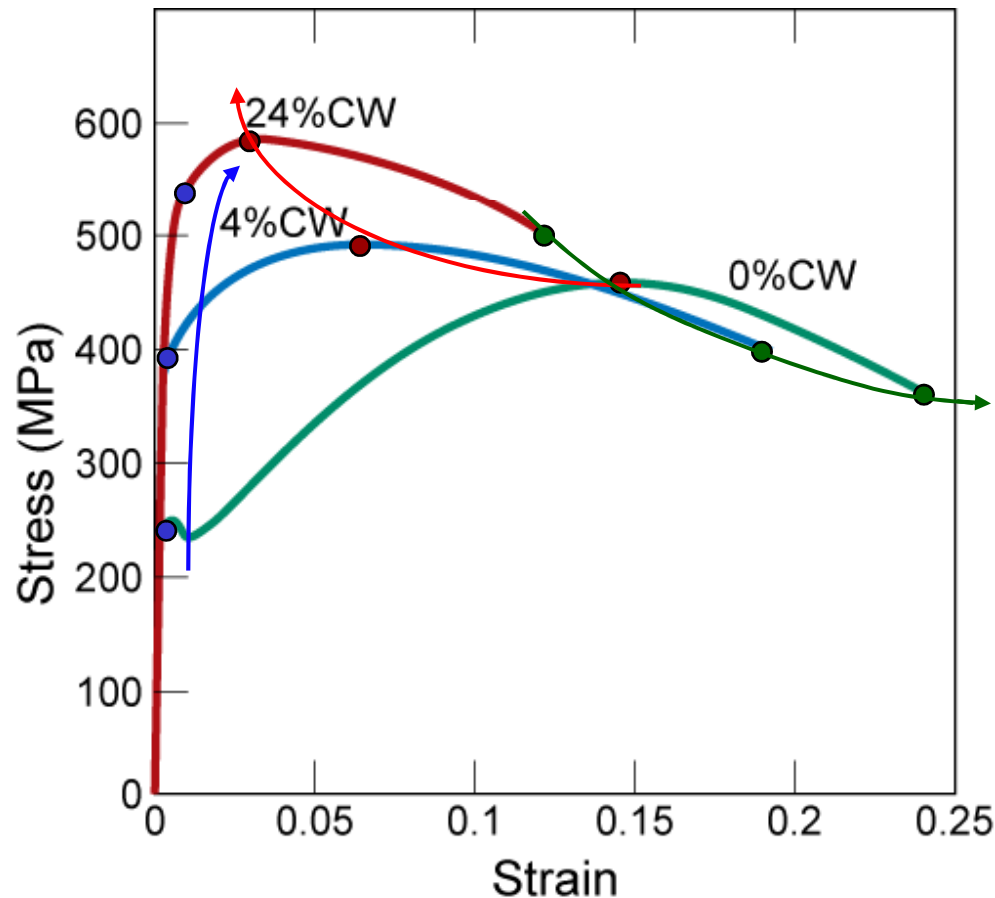
From Fig. 10.5,  
Callister's Materials  
Science and  
Engineering,  
Adapted Version.



# Impact of Cold Work

As cold work is increased

- Yield strength ( $\sigma_y$ ) increases.
- Tensile strength ( $TS$ ) increases.
- Ductility ( $\%EL$  or  $\%AR$ ) decreases.



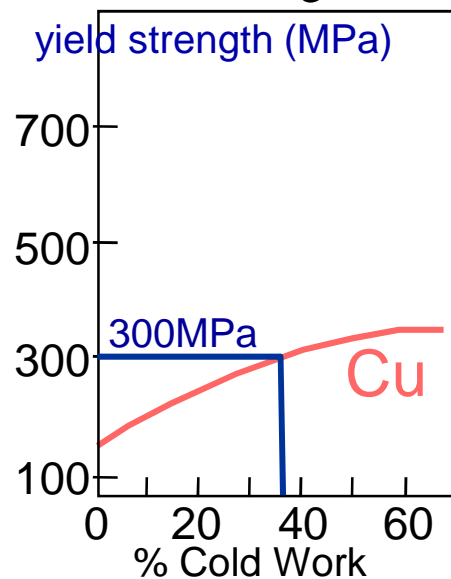
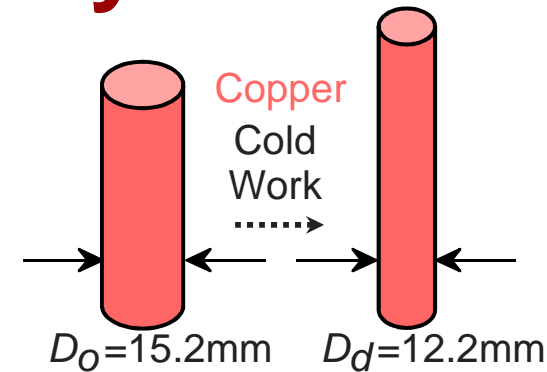
From Fig. 10.20  
Callister's Materials Science  
and Engineering,  
Adapted Version.



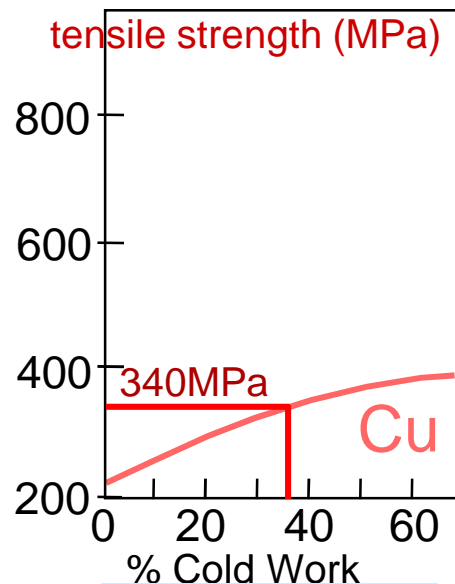
# Cold Work Analysis

- What is the tensile strength & ductility after cold working?

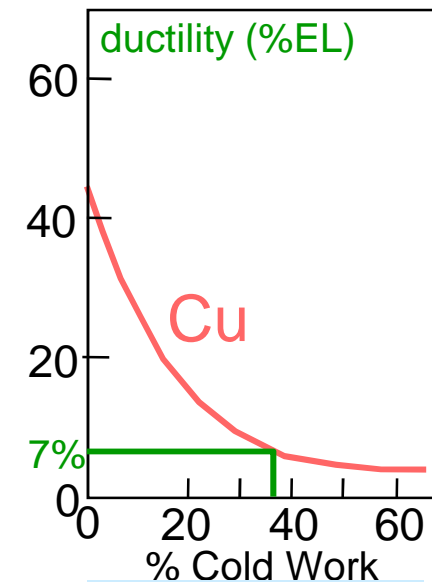
$$\%CW = \frac{\pi r_o^2 - \pi r_d^2}{\pi r_o^2} \times 100 = 35.6\%$$



$\sigma_y = 300\text{MPa}$



$TS = 340\text{MPa}$



$\%EL = 7\%$

From Fig. 10.19, Callister's *Materials Science and Engineering*, Adapted Version.

(Fig. 10.19 is adapted from *Metals Handbook: Properties and Selection: Iron and Steels*, Vol. 1, 9th ed., B. Bardes (Ed.), American Society for Metals, 1978, p. 226; and *Metals Handbook: Properties and Selection: Nonferrous Alloys and Pure Metals*, Vol. 2, 9th ed., H. Baker (Managing Ed.), American Society for Metals, 1979, p. 276 and 327.)

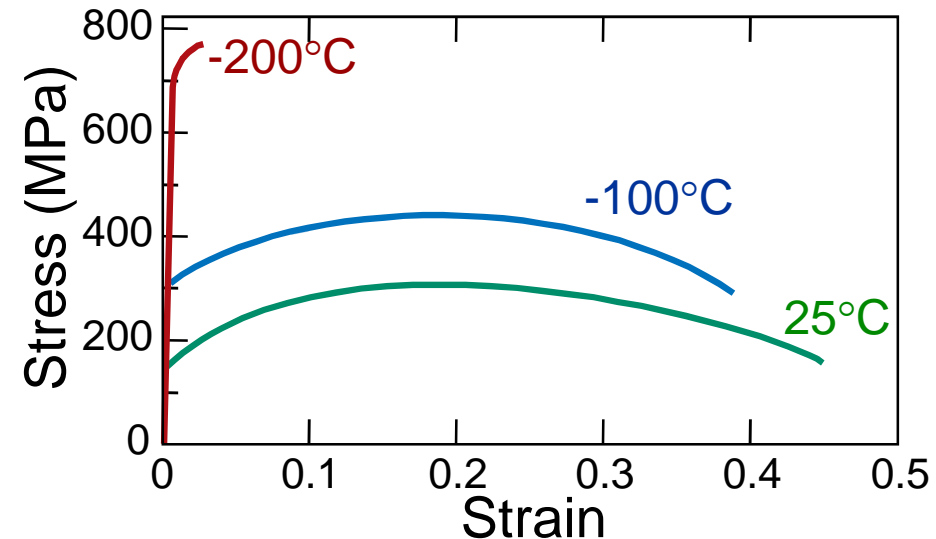




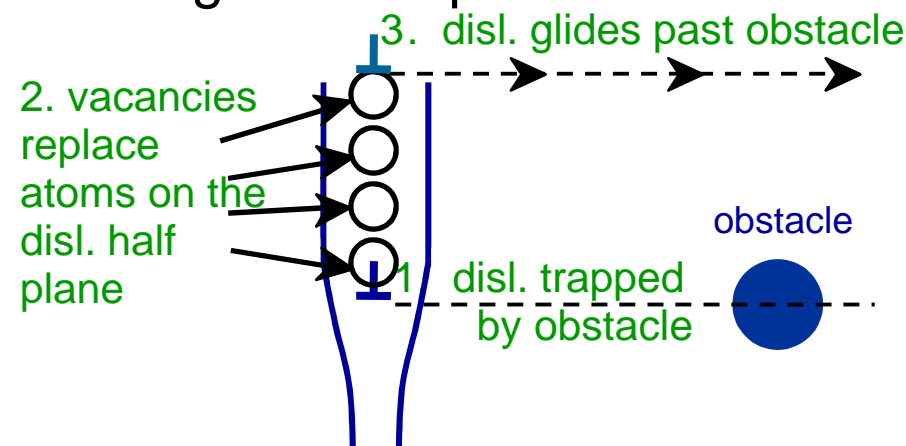
# $\sigma$ - $\epsilon$ Behavior vs. Temperature

- Results for polycrystalline iron:

From Fig. 9.20  
Callister's Materials Science  
and Engineering,  
Adapted Version.



- $\sigma_y$  and  $TS$  *decrease* with increasing test temperature.
- $\%EL$  *increases* with increasing test temperature.
- Why? Vacancies help dislocations move past obstacles.



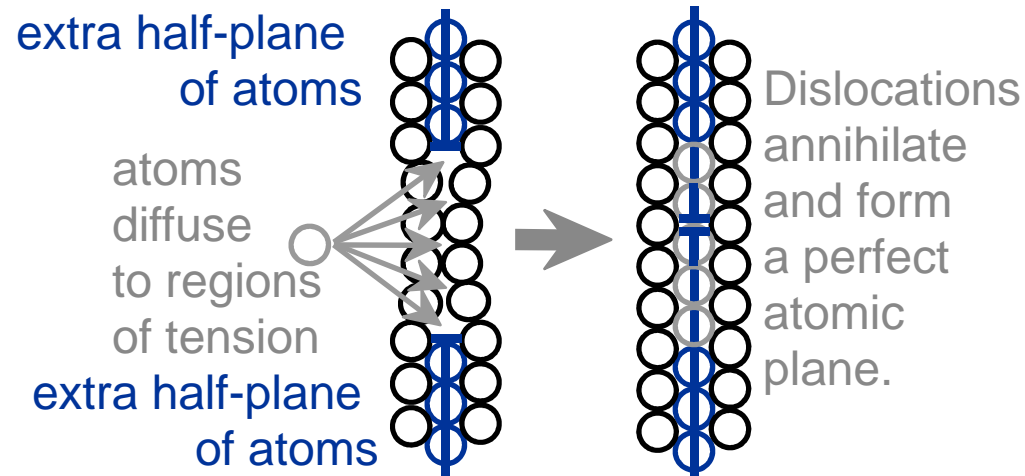
# Effect of Heating (Annealing) After *Cold Working*

- ❑ **Annealing** of the cold worked structure at high temperature *softens the metal* and reverts to a strain-free condition.
- ❑ Annealing restores the ductility to a metal that has been severely strain hardened.
- ❑ Annealing can be divided into *three distinct processes*:
  - ✓ **Recovery**
  - ✓ **Recrystallization**
  - ✓ **Grain growth**

# Recovery

Annihilation reduces dislocation density.

- Scenario 1  
Results from diffusion



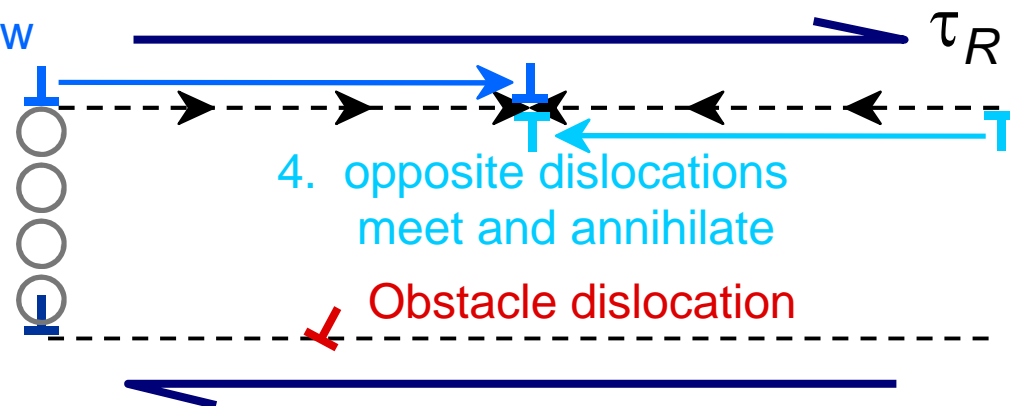
- Scenario 2

3. “Climbed” disl. can now move on new slip plane

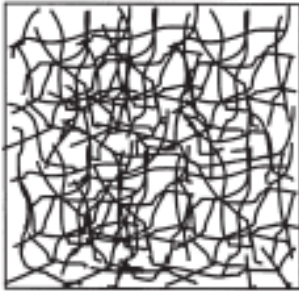
2. grey atoms leave by vacancy diffusion

allowing disl. to “climb”

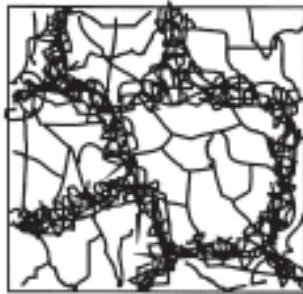
1. dislocation blocked; can’t move to the right



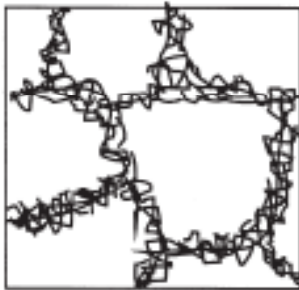
# Recovery



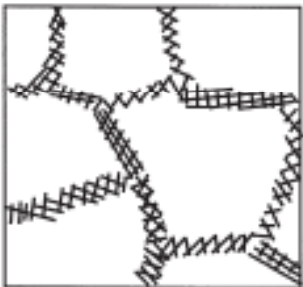
(a) Dislocation tangles



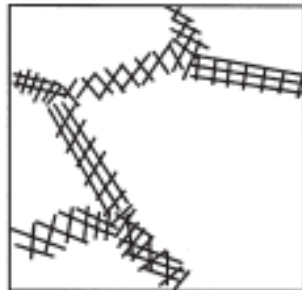
(b) Cell formation



(c) Annihilation of  
dislocations within cells



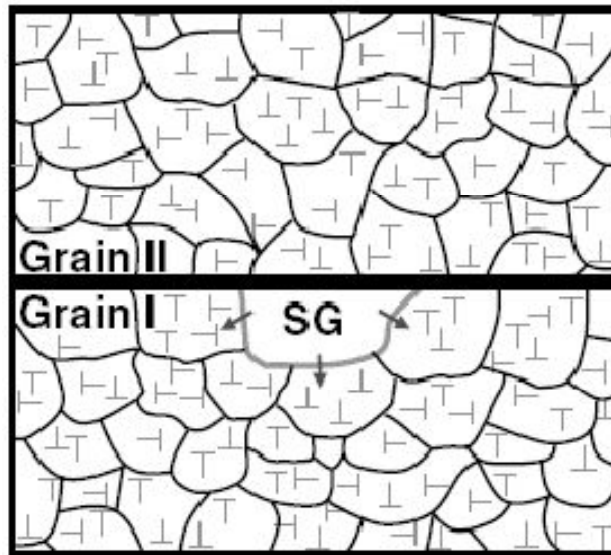
(d) Subgrain formation



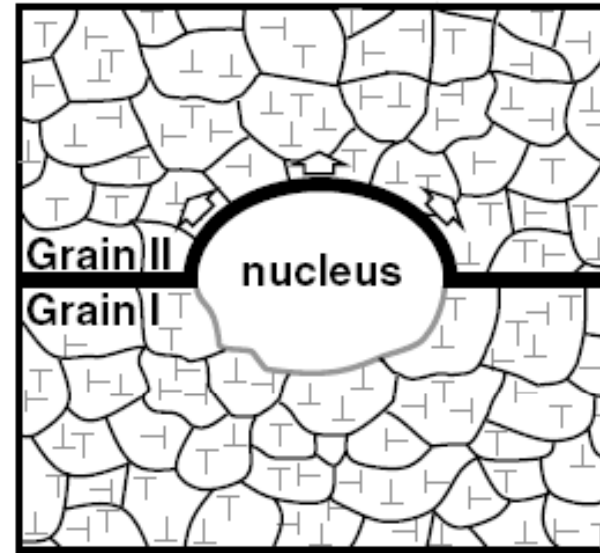
(e) Subgrain growth

Various stages in the recovery of a plastically deformed material

# Recrystallization



(a)

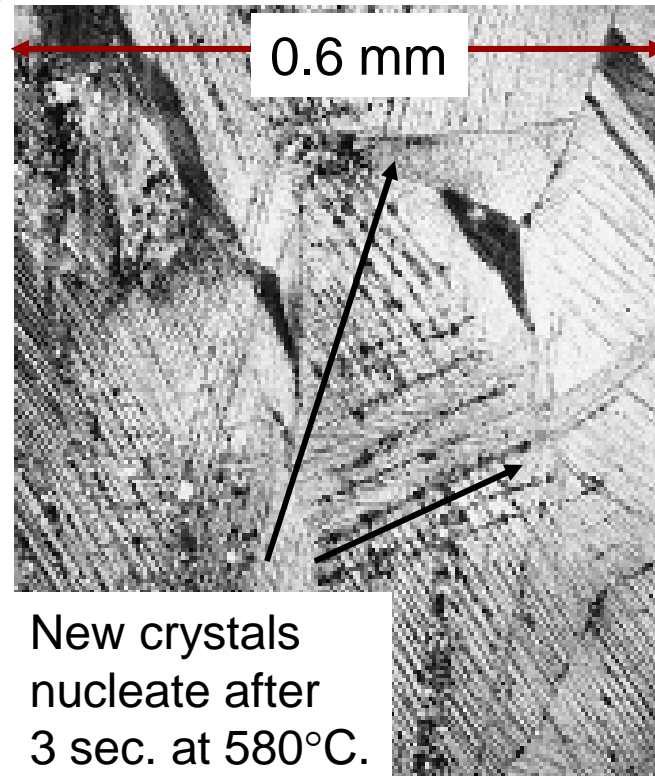
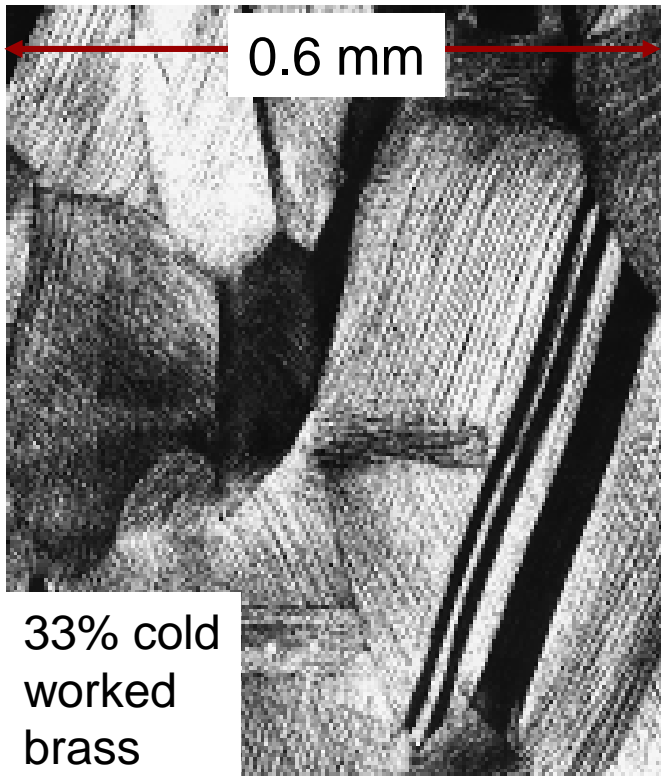


(b)

Schematic illustration of nucleation event: (a) subgrain (SG) initially grows within Grain I and reaches the critical size which allows it to overcome the capillary force; (b) subsequently it can bulge into Grain II as a new strain free grain

# Recrystallization

- New grains are formed that:
  - have a small dislocation density
  - are small
  - consume cold-worked grains.

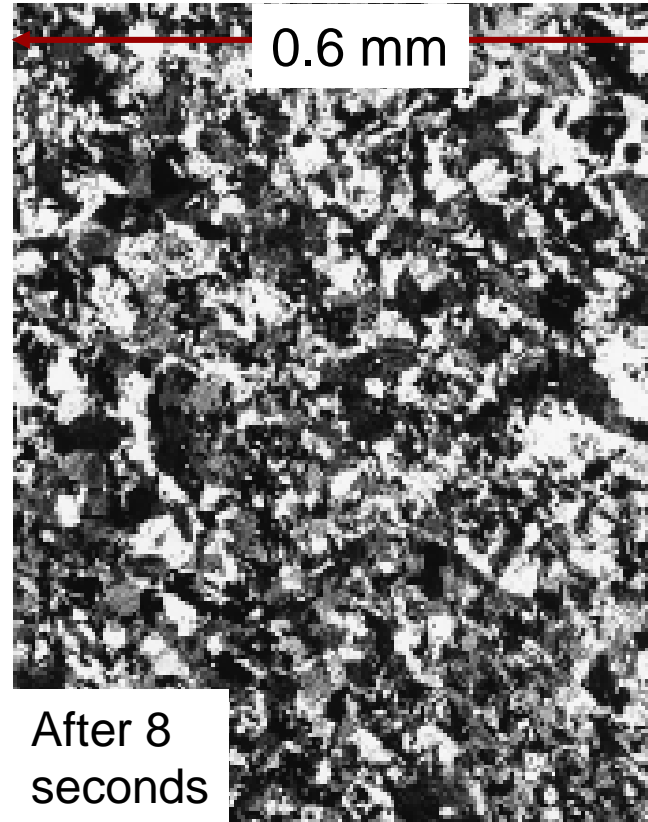
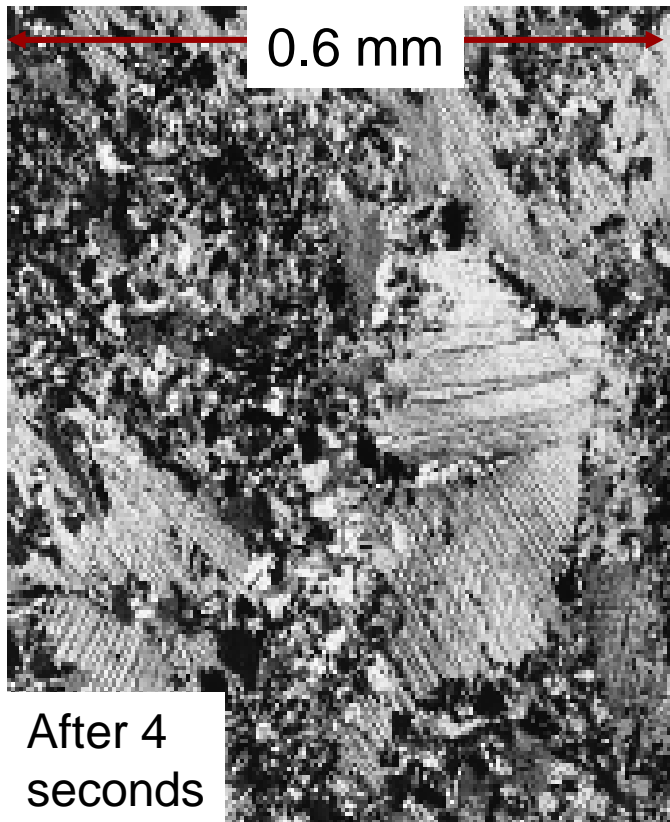


From Fig. 10.21  
(a),(b),  
*Callister's  
Materials  
Science and  
Engineering,  
Adapted Version.*  
(Fig. 10.21 (a),(b)  
are courtesy of  
J.E. Burke,  
General Electric  
Company.)



# Further Recrystallization

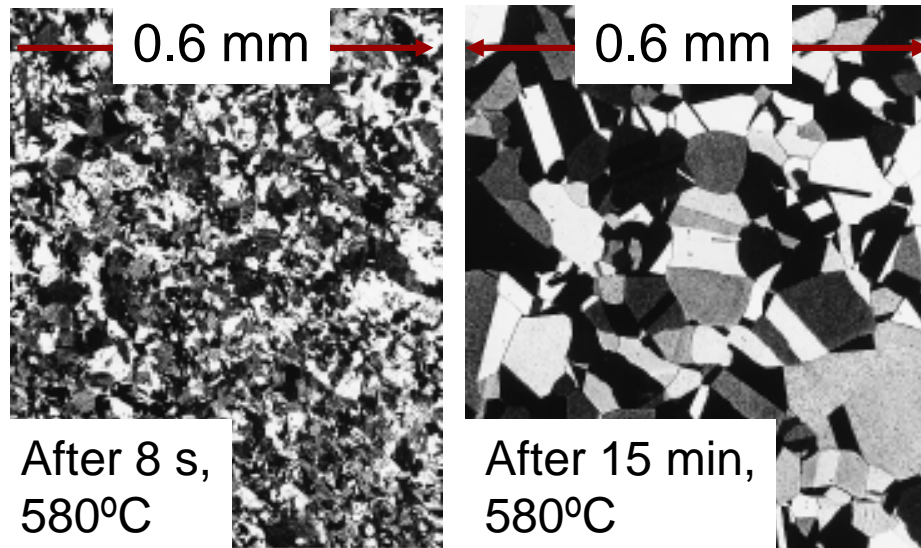
- All cold-worked grains are consumed.



From Fig. 10.21  
(c),(d),  
*Callister's  
Materials  
Science and  
Engineering,  
Adapted Version.*  
(Fig. 10.21 (c),(d)  
are courtesy of  
J.E. Burke,  
General Electric  
Company.)

# Grain Growth

- At longer times, larger grains consume smaller ones.
- Why? Grain boundary area (and therefore energy) is reduced.



From Fig. 10.21 (d),(e)  
*Callister's Materials Science and Engineering, Adapted Version.*  
 (Fig. 10.21 (d),(e) are courtesy of  
 J.E. Burke, General Electric  
 Company.)

- Empirical Relation:

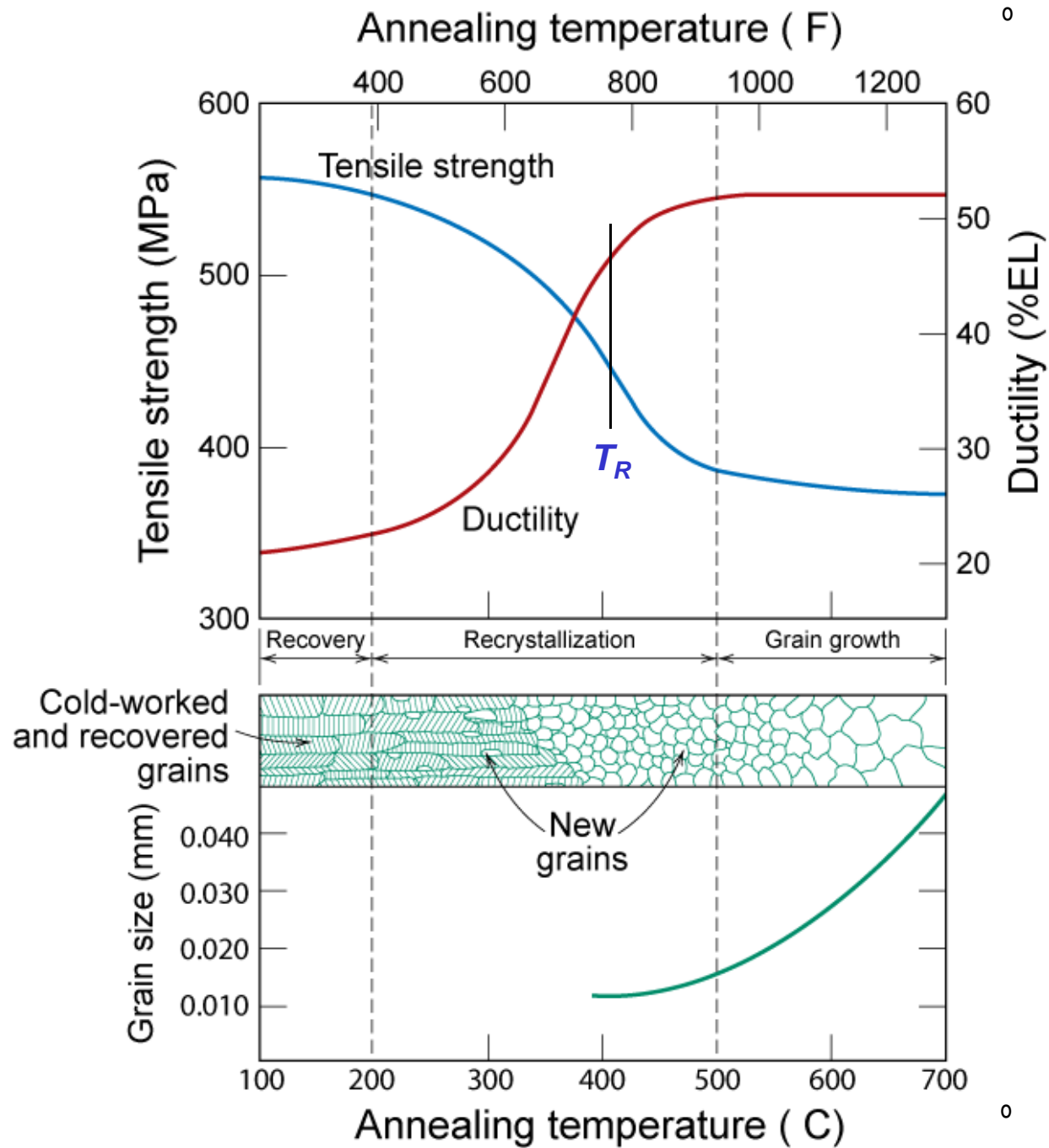
exponent typ.  $\sim 2$   
 grain diam.  
 at time  $t$ .

$$d^n - d_o^n = Kt$$

coefficient dependent  
 on material and  $T$ .

elapsed time





$T_R$  = recrystallization temperature

From Fig. 10.22,  
Callister's Materials  
Science and  
Engineering,  
Adapted Version.

# Recrystallization Temperature, $T_R$

$T_R$  = recrystallization temperature = point of highest rate of property change

1.  $T_m \Rightarrow T_R \approx 0.3-0.6 T_m$  (K)
2. Due to diffusion  $\rightarrow$  annealing time  $\rightarrow T_R = f(t)$   
shorter annealing time  $\Rightarrow$  higher  $T_R$
3. Higher %CW  $\Rightarrow$  lower  $T_R$
4. Pure metals lower  $T_R$  due to dislocation movements
  - Dislocation can move easily in pure metals  $\Rightarrow$  lower  $T_R$



# Summary

- **Recovery** : The restoration of the physical properties of the cold worked metal without any observable change in microstructure. *Strength is not affected.*
- **Recrystallization** : The cold worked structure is replaced by a new set of strain-free grains due to migration of high angle grain boundaries. *Hardness and strength decrease but ductility increases.*
- **Grain growth** : Occurs at higher temperature where some of the recrystallized fine grains start to grow rapidly.

