CS31003: Compilers

Syntax Analysis or Parsing

Lecture: 09-14

LR Parsers

Shift-Reduce Parser: Example: Grammar

Sample grammar G_1 :

```
1: E \rightarrow E + T
```

2:
$$E \rightarrow T$$

3:
$$T \rightarrow T * F$$

4:
$$T \rightarrow F$$

5:
$$F \rightarrow (E)$$

6:
$$F \rightarrow id$$

Shift-Reduce Parser: Example: Parse Table

State	Action						G	ОТ	O
	id	+	*	()	\$	Ε	T	F
0	s5			s4			1	2	3
1		s6				acc			
2		r2	s7		r2	r2			
3		r4	r4		r4	r4			
4	s5			s4			8	2	3
5		r6	r6		r6	r6			
6	s5			s4				9	3
7	s5			s4					10
8		s6			s11				
9		r1	s7		r1	r1			
10		r3	r3		r3	r3			
11		r5	r5		r5	r5			

Shift-Reduce Parser: Example: Parsing id * id + id

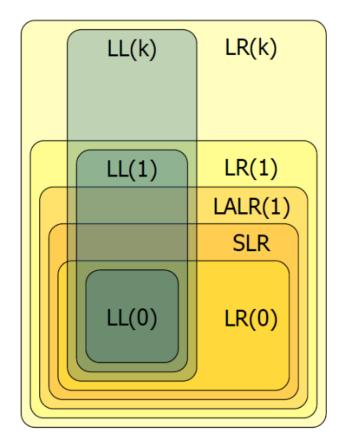
Step	Stack	Symbols	Input	Action
(1)	0		id * id + id \$	shift
(2)	0 5	id	* id + id \$	reduce by $F o {\sf id}$
(3)	0 3	F	* id + id \$	reduce by $T o F$
(4)	0 2	T	* id + id \$	shift
(5)	0 2 7	T *	id + id \$	shift
(6)	0275	T * id	+ id \$	reduce by $F o {f id}$
(7)	0 2 7 10	T * F	+ id \$	reduce by $T o T * F$
(8)	0 2	T	+ id \$	reduce by $E o T$
(9)	0 1	E	+ id \$	shift
(10)	016	E +	id \$	shift
(11)	0165	E + id	\$	reduce by $F o {f id}$
(12)	0163	E + F	\$	reduce by $T o F$
(13)	0169	E + T	\$	reduce by $E o E + T$
(14)	0 1	E	\$	accept

E\$	\Rightarrow	E + T \$
	\Rightarrow	$\overline{E+\underline{F}}$ \$
	\Rightarrow	E + <u>id</u> \$
	\Rightarrow	\underline{T} + id \$
	\Rightarrow	T * F + id \$
	\Rightarrow	<i>T</i> * <u>id</u> + id \$
	\Rightarrow	<u>F</u> * id + id \$
	\Rightarrow	\underline{id} * id + id \$

	J	ссері							
State			Α	ction			(GO T	0
	id	+	*	()	\$	E	T	F
0	s5			s4			1	2	3
1		s6				acc			
2		r2	s7		r2	r2			
3		r4	r4		r4	r4			
4	s5			s4			8	2	3
5		r6	r6		r6	r6			
6	s5			s4				9	3
7	s5			s4					10
8		s6			s11				
9		r1	s7		r1	r1			
10		r3	r3		r3	r3			
11		r5	r5 _	b 4 4	₌ r5	_ r5	= ⊾	=	500

LR Parsers LR Fundamentals

LR Parsing: CFG Classes



- LL(k), Top-Down, Predictive: LL parser (Left-to-right, Leftmost derivation) with k look-ahead
- LR(k), Bottom-Up, Shift-Reduce: LR parser (Left-to-right, Rightmost derivation) with k look-ahead

LR Parsers

- LR parser (Left-to-right, Rightmost derivation in reverse)
- Reads input text from left to right without backing up
- Produces a rightmost derivation in reverse
- Performs bottom-up parse
- To avoid backtracking or guessing, an LR(k) parser peeks ahead at k look-ahead symbols before deciding how to parse earlier symbols. Typically k is 1.
- LR parsers are deterministic produces a single correct parse without guesswork or backtracking
- Works in linear time
- Variants of LR parsers and generators:
 - LP(0) Parsers
 - SLR Parsers
 - LALR Parsers Generator: Yacc (AT & T), Byacc (Berkeley Yacc)
 - Canonical LR(1) Parsers Generator: Bison (GNU)
 - Minimal LR(1) Parsers Generator: Hyacc (Hawaii Yacc)
 - GLR Parsers Generator: Bison (GNU) with %glr-parser declaration
- Minimal LR and GLR parsers have better memory performance CLR Parsers and address reduce/reduce conflicts more effectively

LR Parsers

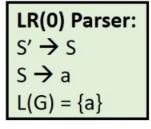
- An LR parser is a DPDA having:
 - An Input Buffer
 - A Stack of Symbols terminals as well as non-terminals
 - A DFA that starts on the first state and has four types of actions:
 - Shift Target state on input symbol
 - Reduce Production rule and Target state on non-terminal on reduction (GOTO actions)
 - Accept Successful termination of parsing
 - Reject Failure termination of parsing
- Designing an LR Parser is all about designing its DFA and actions

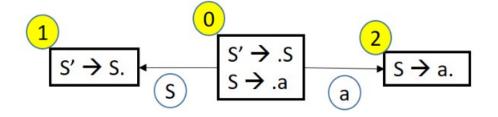
FIRST and FOLLOW

- $FIRST(\alpha)$, where α is any string of grammar symbols, is defined to be the set of terminals that begin strings derived from α . If $\alpha \Rightarrow^* \epsilon$, then ϵ is also in $FIRST(\alpha)$. Examples:
 - Given $S \rightarrow 0|A$, $A \rightarrow AB|1$, $B \rightarrow 2$; $FIRST(B) = \{2\}$, $FIRST(A) = \{1\}$, $FIRST(S) = \{0, 1\}$
 - Given $E \rightarrow E + E|E * E|(E)|id$; $FIRST(E) = \{id, (\}$
 - Given $B \rightarrow A, A \rightarrow Ac|Aad|bd|\epsilon$; $FIRST(B) = FIRST(A) = \{\epsilon, a, b, c\}$
- FOLLOW(A), for non-terminal A, is defined to be the set of terminals a that can appear immediately to the right of A in some sentential form; that is, the set of terminals a such that there exists a derivation of the form $S \Rightarrow^* \alpha A a \beta$, for some α and β . \$ can also be in the FOLLOW(A). Examples:
 - Given $E \rightarrow E + E|E * E|(E)|id$; $FOLLOW(E) = \{+, *, \}$
 - Given $B \rightarrow A, A \rightarrow Ac|Aad|bd|\epsilon$; $FOLLOW(B) = \{\$\}, FOLLOW(A) = \{a, c, \$\}$

LR Parsers LR(0) Parser

•
$$G_3 = \{S \to a\}$$

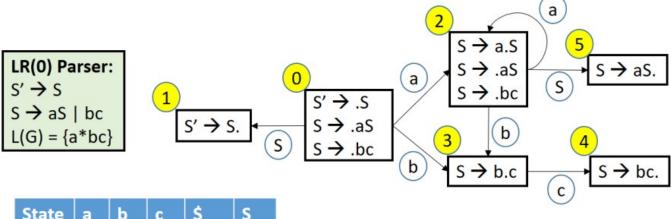




State	а	\$	S
0	s2		1
1		Acc	
2	r1	r1	

S′ →	S	→	a\$
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•
$$G_4 = \{S \rightarrow aS | bc\}$$



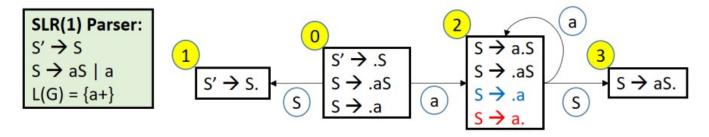
State	а	b	С	\$	S
0	s2	s3			1
1				Acc	
2	s2	s3			5
3			s4		
4	r2	r2	r2	r2	
5	r1	r1	r1	r1	

S → bc\$
S → aS\$ → abc\$
$S \rightarrow aS$ \rightarrow aaS$ \rightarrow aabc$$

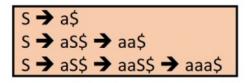
 $\textit{G}_{4} = \{\textit{S} \rightarrow \textit{a} \; \textit{S} \; | \; \textit{b} \; \textit{c} \; \}. \; \textit{S}' \; \$ \Rightarrow \textit{S} \; \$ \Rightarrow \textit{a} \; \textit{A} \; \textit{S} \; \$ \Rightarrow \textit{a} \; \textit{a} \; \textit{A} \; \$ \Rightarrow \texttt{a} \; \textit{a} \; \textit{A} \; \texttt{b} \; \texttt{c} \; \$$

Step	Stack	Symbols	Input	Action	Parse Tree
${(1)}$	0	- Cylindens	aaabc\$	shift	1
(2)	0 2	a	aabc\$	shift	
(3)	0 2 2	аа	abc\$	shift	
(4)	0 2 2 2	aaaS	bc\$	shift	
(5)	0 2 2 2 3	aaab	с \$	shift	
(6)	022234	ааа <u>ьс</u>	\$	reduce by $S o \mathbf{b} \; \mathbf{c}$	S b c
(7)	02225	a a <u>a S</u>	\$	reduce by $S o \mathbf{a} \ S$	a S ₂
(8)	0 2 2 5	a <u>a S</u>	\$	reduce by $S o \mathbf{a}~S$	S ₁ a S ₂ a S ₃ b c
(9)	0 2 5	<u>a S</u>	\$	reduce by $S o \mathbf{a}~S$	a a b
(10)	1	<u>s</u>	\$	accept	8

• $G_5 = \{S \to aS | a\}$



State	а	\$	S
0	s2		1
1		Acc	
2	s2/r2	r2	3
3	r1	r1	



LR(0) Parser Construction

Sample Grammar, G_6 Augmented Grammar, G_6

- \bullet LR(0) Item: An LR (0) item is a production in G with dot at some position on the right side of the production. Examples: S o .(L), S o $(.L), S \rightarrow (L), S \rightarrow (L).$
- Closure: Add all items arising from the productions from the non-terminal after the period in an item. Closure is computed transitively. *Examples*:
 - Closure($S \rightarrow .(L)$) = { $S \rightarrow .(L)$ }
 - Closure $(S \rightarrow (.L)) = \{S \rightarrow (.L), L \rightarrow .S, L \rightarrow .L, S, S \rightarrow .x, S \rightarrow .(L)\}$
- State: Collection of LR(0) items and their closures. Examples:

 - $\{S' \to .S, S \to .x, S \to .(L)\}$ $\{S \to (.L), L \to .S, L \to .L, S, S \to .x, S \to .(L)\}$
- Actions: Shift (s#), Reduce (r#), Accept (acc), Reject (' '), GOTO (#):
 - Shift on input symbol to state# (dot precedes the terminal to shift)
 - Reduction on all input symbols by production# (dot at the end of a production)
 - Accept on reduction by the augmented production $S' \to S$
 - Reject for blank entries cannot be reached for a valid string
 - GOTO on transition of non-terminal after reduction (dot precedes the non-terminal to reduce to)

LR(0) Parser Example

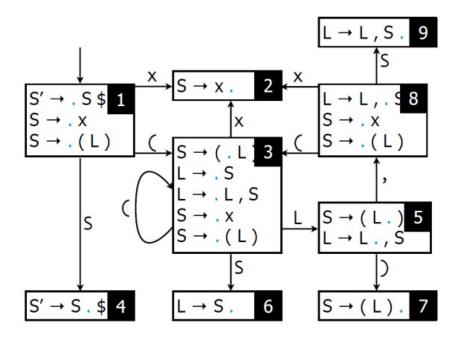
```
0: S' \rightarrow S

1: S \rightarrow X

2: S \rightarrow (L)

3: L \rightarrow S

4: L \rightarrow L, S
```

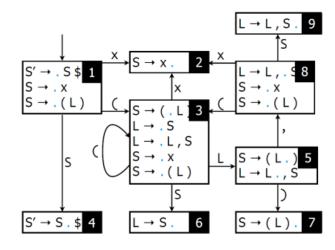


	()	X	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					а		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		

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LR(0) Parser Example: Parsing (x , x) \$

	()	х	,	\$	S	L
1	s 3		s 2			g 4	
2	r 1	r 1	r 1	r 1	r 1		
3	s 3		s 2			g 6	g 5
4					а		
5		s 7		s 8			
6	r 3	r 3	r 3	r 3	r 3		
7	r 2	r 2	r 2	r 2	r 2		
8	s 3		s 2			g 9	
9	r 4	r 4	r 4	r 4	r 4		



Step	Stack	Symbols	Input	Action
$\overline{(1)}$	1		(x,x)\$	shift
(2)	1 3	(x,x)\$	shift
(3)	1 3 2	(x	, x) \$	reduce by $S \to \mathbf{x}$
(4)	1 3 6	(5	, x) \$	reduce by $L o S$
(5)	1 3 5	(<i>L</i>	, x) \$	shift
(6)	1 3 5 8	(L ,	x) \$	shift
(7)	13582	(<i>L</i> , x) \$	reduce by $S \to \mathbf{x}$
(8)	13589	(L,S) \$	reduce by $L o L$, S
(9)	1 3 5	(L) \$	shift
(10)	1357	(L)	\$	reduce by $S \rightarrow (L)$
$\overline{(11)}$	1 4	S	\$	accept

Source: https://www.slideshare.net/eelcovisser/lr-parsing-71059803?from_action=save

LR(0) Parser: Practice Example

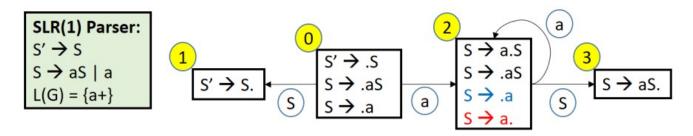
Construct an LR(0) parser for G_7 :

- 1: $S \rightarrow AA$
- 2: $A \rightarrow a A$
- 3: $A \rightarrow b$

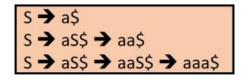
LR Parsers SLR(1) Parser

LR(0) Parser: Shift-Reduce Conflict

 $G_5 = \{S \rightarrow aS | a\}$

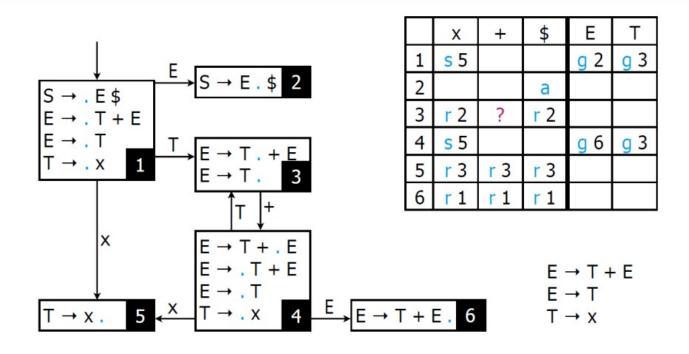


State	а	\$	S
0	s2		1
1		Acc	
2	s2/r2	r2	3
3	r1	r1	



- Consider State 2.
 - By $S \rightarrow .a$, we should shift on a and remain in state 2
 - By $S \rightarrow a$., we should reduce by production 2
- We have a Shift-Reduce Conflict
- As $FOLLOW(S) = \{\$\}$, we decide in favor of shift. Why?

LR(0) Parser: Shift-Reduce Conflict

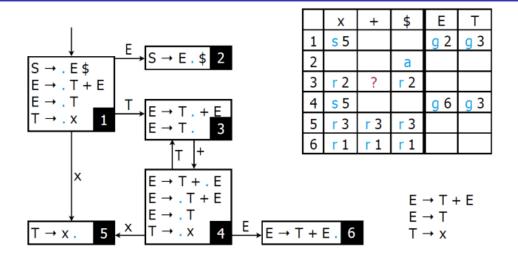


- Consider State 3.
 - By $E \rightarrow T. + E$, we should shift on + and move to state 4
 - By $E \rightarrow T$., we should reduce by production 2
- We have a Shift-Reduce Conflict
- To resolve, we build SLR(1) Parser

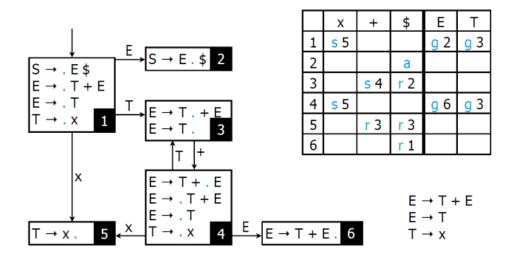
SLR(1) Parser Construction

- LR(0) Item: Canonical collection of LR(0) Items used in SLR(1) as well
- Closure: Same way as LR(0)
- State: Collection of LR(0) items and their closures.
- Actions: Shift (s#), Reduce (r#), Accept (acc), Reject (<space>), GOTO (#):
 - Shift on input symbol to state#
 - Reduction by production# only on the input symbols that belong to the FOLLOW of the left-hand side
 - Accept on reduction by the augmented production
 - GOTO on transition of non-terminal after reduction

SLR Parse Table: Shift-Reduce Conflict on LR(0)



Reduce a production $S \to ...$ on symbols $k \in T$ if $k \in Follow(S)$



SLR(1) Parser: Practice Example

Construct an SLR(1) parser for G_8 :

```
1: S \rightarrow E

2: E \rightarrow E + T

3: E \rightarrow T

4: T \rightarrow T * F

5: T \rightarrow F

6: F \rightarrow id
```

LR Parsers LR(1) Parser

SLR(1) Parser: Shift-Reduce Conflict

Grammar G_9 1: $S \rightarrow L = R$ 2: $S \rightarrow R$ 3: $L \rightarrow *R$ 4: $L \rightarrow id$ 5: $R \rightarrow L$

```
I_0: S' \rightarrow \cdot S
           S \rightarrow \cdot L = R
           L \rightarrow \cdot * R
           L \rightarrow -id
           R \rightarrow \cdot L
I_1: S' \rightarrow S.
I_3: S \rightarrow R.
```

$$I_{5} \colon L \to \mathbf{id} \cdot$$

$$I_{6} \colon S \to L = \cdot R$$

$$R \to \cdot L$$

$$L \to \cdot * R$$

$$L \to \cdot \mathbf{id}$$

$$I_{7} \colon L \to * R \cdot$$

$$I_{8} \colon R \to L \cdot$$

$$I_{9} \colon S \to L = R \cdot$$

- $\bullet = \in FOLLOW(R)$ as $S \Rightarrow L = R \Rightarrow *R = R$
- So in State#2 we have a shift/reduce Conflict on =
- The grammar is not ambiguous. Yet we have the shift/reduce conflict as SLR is not powerful enough to remember enough left context to decide what action the parser should take on input =, having seen a string reducible to L.
- To resolve, we build LR(1) Parser

LR(1) Parser Construction

Sample Grammar G_7 Augmented Grammar G_7

- LR(1) Item: An LR(1) item has the form $[A \to \alpha.\beta, a]$ where $A \to \alpha\beta$ is a production and a is the look-ahead symbol which is a terminal or \$. As the dot moves through the right-hand side of the production, token a remains attached to it. LR(1) item $[A \to \alpha., a]$ calls for a reduce action when the look-ahead is a. Examples: $[S \to .CC, \$]$, $[S \to C.C, \$]$, $[S \to CC, \$]$
- Closure(S):

```
For each item [A \rightarrow \alpha.B\beta, t] \in S,

For each production B \rightarrow \gamma \in G,

For each token b \in FIRST(\beta t),

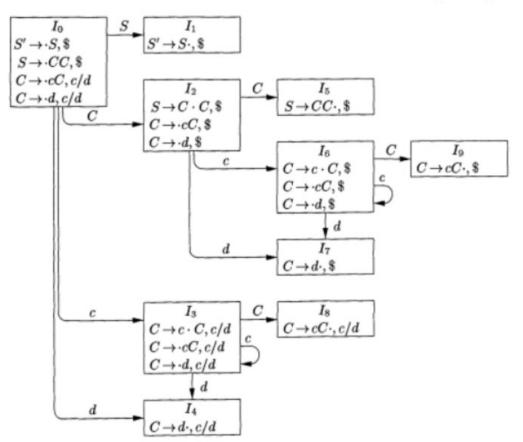
Add [B \rightarrow .\gamma, b] to S
```

Closure is computed transitively. Examples:

- Closure([$S \to C.C, \$$]) = {[$S \to C.C, \$$], [$C \to .cC, \$$], [$C \to .d, \$$]} • Closure([$C \to c.C, c/d$]) = {[$C \to c.C, c/d$], [$C \to .cC, c/d$], [$C \to .d, c/d$]}
- **State**: Collection of LR(1) items and their closures. *Examples*:
 - $\{[S \to C.C, \$], [C \to .cC, \$], [C \to .d, \$]\}$
 - { $[C \rightarrow c.C, c/d]$, $[C \rightarrow .cC, c/d]$, $[C \rightarrow .d, c/d]$ }

LR(1) Parser: Example

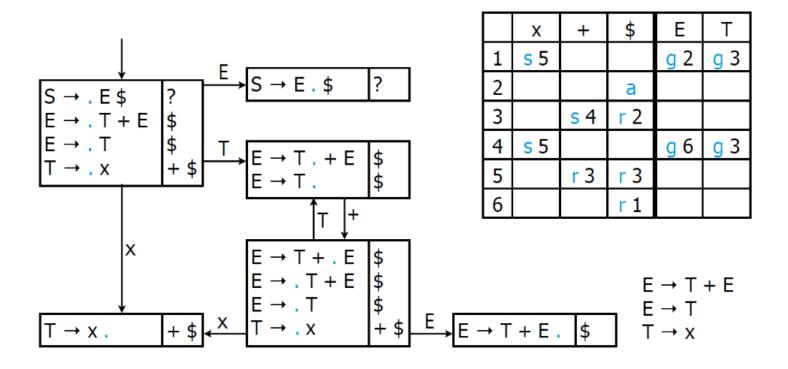
Construct an LR(1) parser for G_7 : 1: $S \rightarrow CC$ 2: $C \rightarrow cC$ 3: $C \rightarrow d$



STATE	A	GOTO			
DIMIL	c	d	\$	S	C
0	s3	s4		1	2
1			acc		
2	s6	s7	2222		5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

Source: Dragon Book

LR(1) Parser: Example



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LR Parsers LALR(1) Parser

LALR(1) Parser Construction

Sample Grammar G_7 Augmented Grammar G_7

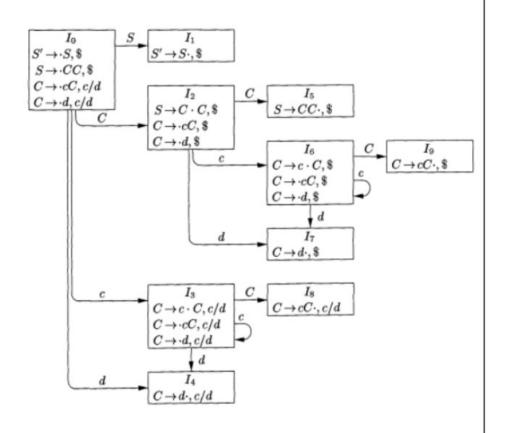
- LR(1) States: Construct the Canonical LR(1) parse table.
- LALR(1) States: Two or more LR(1) states having the same set of core LR(0) items may be merged into one by combining the look-ahead symbols for every item. Transitions to and from these merged states may also be merged accordingly. All other states and transitions are retained. Examples:
 - Merge State#3 = {[$C \rightarrow c.C, c/d$], [$C \rightarrow .cC, c/d$], [$C \rightarrow .d, c/d$]} with State#6 = {[$C \rightarrow c.C, \$$], [$C \rightarrow .cC, \$$], [$C \rightarrow .d, \$$]} to get State#36 = {[$C \rightarrow c.C, c/d/\$$], [$C \rightarrow .cC, c/d/\$$], [$C \rightarrow .d, c/d/\$$]}
 - Merge

State#4 = {[
$$C \rightarrow d., c/d$$
]} with State#7 = {[$C \rightarrow d., \$$]} to get State#47 = {[$C \rightarrow d., c/d/\$$]}

Reduce/Reduce Conflict: LR(1) to LALR(1) transformation cannot introduce any new shift/reduce conflict. But it may introduce reduce/reduce conflict.

LALR(1) Parser: Example

Construct an LALR(1) parser for G_7 : 2: $C \rightarrow cC$ 3: $C \rightarrow d$



STATE	A	GOTO			
DIMIE	c	d	\$	S	C
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4 5	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

STATE	ACTION			GOTO	
	c	d	\$	S	C
0	s36	s47		1	2
1			acc	1	
2	s36	s47			5
36	s36	s47)	89
47	r3	r3	r3	1	
5			r1		
89	r2	r2	r2		

Source: Dragon Book

LALR(1) Parser: Reduce-Reduce Conflict

LR Parsers: Practice Examples

Determine the LR Class (LR(0), SLR(1), LR(1) or LALR(1)) for the following grammars:

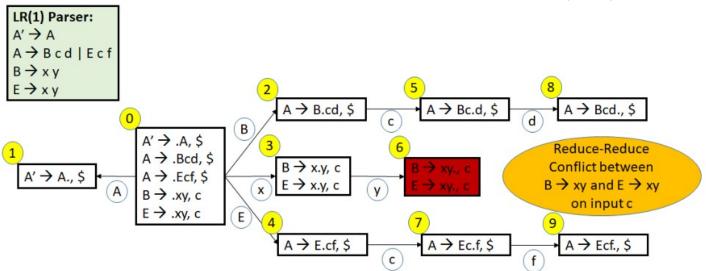
- $G: S \rightarrow aSb \mid b$
- $G: S \rightarrow Sa \mid b$
- $G: S \rightarrow (S) \mid SS \mid \epsilon$
- $G: S \rightarrow (S) \mid SS \mid ()$
- $G: S \rightarrow ddX \mid aX \mid \epsilon$
- G: $S \rightarrow E$; $E \rightarrow T + E \mid T$; $T \rightarrow int * T \mid int \mid (E)$
- $G: S \rightarrow V = E \mid E; E \rightarrow V; V \rightarrow x \mid *E$
- $G: S \rightarrow AB; A \rightarrow aAb \mid a; B \rightarrow d$

LR Parsers LR(k) Parser

LR(1) Parser: Shift-Reduce Conflict

Grammar G_{11}

- 1: $A \rightarrow B c d$
- 2: $A \rightarrow E c f$
- 3: $B \rightarrow xy$
- 4: $E \rightarrow xy$
 - For this grammar, an example input that starts with xyc is enough to confuse an LR(1) parser, as it has to decide whether xy matches B or E after only seeing 1 symbol further (i.e. c).



- An LL(1) parser would also be confused, but at the x should it expand A to B c d or to E c f, as both can start with x. An LL(2) or LL(3) parser would have similar problems at the y or c respectively.
- An LR(2) parser would be able to also see the d or f that followed the c and so make the correct choice between B and E.
- An LL(4) parser would also be able to look far enough ahead to see the d or f that followed the c and so make the correct choice between expanding A to B c d or to E c f.

LR(k) Parser: Shift-Reduce Conflict

Grammar G_{12}

- \rightarrow B C d 1:
- $A \rightarrow E C f$
- 3: $B \rightarrow xy$
- 4: $E \rightarrow xy$
- 5: $C \rightarrow Cc$
- 6:
 - The grammar would confuse any LR(k) or LL(k) parser with a fixed amount of look-ahead
 - To workaround, rewrite
 - $A \rightarrow B C d$ 1:
 - $A \rightarrow E C f$
 - 3: $B \rightarrow xy$
 - 4: $E \rightarrow xy$

- - 3: $BorE \rightarrow xy$



 $A' \rightarrow A$

A → BorE c d | BorE c f

BorE $\rightarrow xy$

