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## Contents

<b>Artificial Intelligence</b>	<b>2</b>
Course Information . . . . .	2
Textbooks / Resources . . . . .	2
Lectures . . . . .	3
Lecture One: Searching the State Space . . . . .	3
Lecture Two: Searching the State Space (part two) . . . . .	11
Lecture Three: Knowledge Base and Information . . . . .	19
Lecture Four: Declarative Programming (Part One) . . . . .	23
Lecture Five: Declarative Programming (Part Two) . . . . .	25
Lecture Six: Local and Global Search (Optimisation) . . . . .	27
Lecture Seven: Belief Networks . . . . .	32
Lecture Eight: Introduction to machine learning . . . . .	40
Lecture Eight: Artificial Neural Networks . . . . .	48
Lecture Nine: Concluding Remarks . . . . .	58

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# **Artificial Intelligence**

## **Course Information**

The course covers core topics in AI including:

- uninformed and informed graph search algorithms,
- propositional logic and forward and backward chaining algorithms,
- declarative programming with Prolog,
- the min-max and alpha-beta pruning algorithms,
- Bayesian networks and probabilistic inference algorithms,
- classification learning algorithms,
- consistency algorithms,
- local search and heuristic algorithms such as simulated annealing, and population-based algorithms such as genetic search and swarm optimisation.

## **Grades**

Standard Computer science policy applies

- Average 50% over all assessment items
- Average at least 45% on all invigilated assessment items

Grading structure for course

- Assignments (5%)
  - Two Super Quiz's
- Quizzes (16.5%)
  - Weekly Quiz Assessments (1.5% ea)
- Lab Test (20%)
- Final Exam (58.5%)

## **Textbooks / Resources**

- Poole, David L.1958, Mackworth, Alan K; Artificial intelligence : foundations of computational agents; Cambridge University Press, 2010.
- Russell, Stuart J, Norvig, Peter; Artificial intelligence : a modern approach; 3rd ed; Prentice Hall, 2010.

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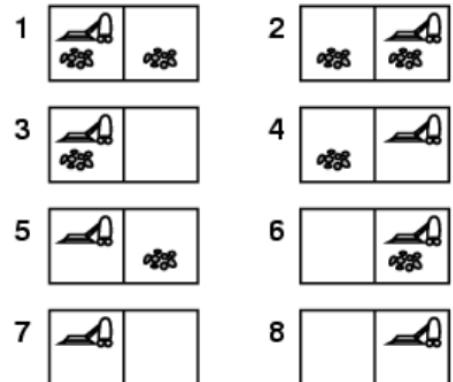
## Lectures

### Lecture One: Searching the State Space

#### What is state?

- A state is a data structure that represents a possible configuration of the world *agent and environment*
- The **state space** is the set of all possible states for that problem
- actions change the state of the world
- Example: A vacuum cleaner agent in two adjacent rooms which can be either clean or dirty.

- Location = {left, right}
- Left-room-condition = {dirty, clean}
- Right-room-condition = {dirty, clean}
- State-space = Location
  - × Left-room-condition
  - × Right-room-condition

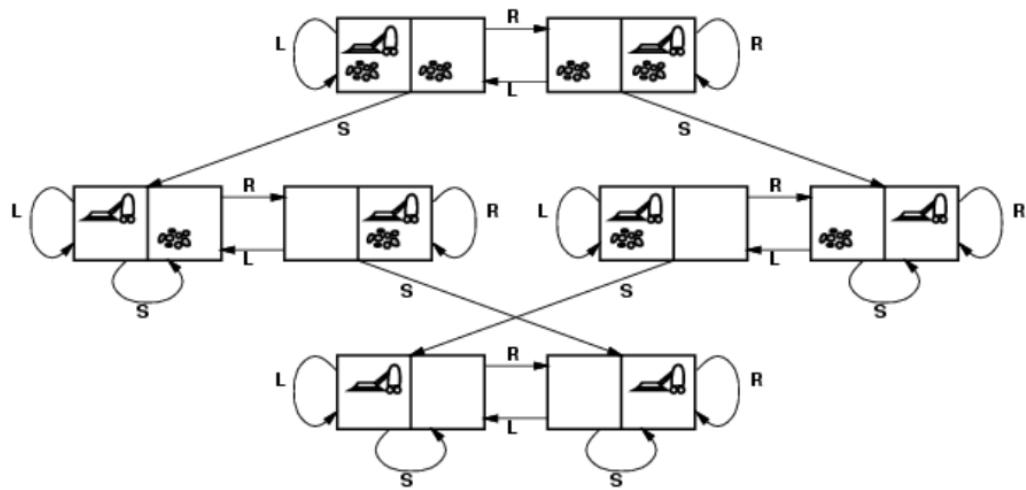


In this example, each state is represented by a triple (3-tuple).

**Figure 1:** State space example one

State can also be represented as a graph *both directed and undirected*

- Example: Suppose the vacuum cleaner agent can take the following actions: L (go left), R (go right), S (suck).



**Figure 2:** State space graph simplified

- Many problems in AI can be abstracted to the problem of finding a path in a directed graph
- Notation we use is **Nodes** and **arcs** for **vertices** and **edges** in a graph

### Explicit vs Implicit graphs

- In **explicit graphs** nodes and arcs are readily available, they are read from the input and stored in a data structure such as an adjacency list/matrix.
  - the entire graph is in memory.
  - the complexity of algorithms are measured in the number of nodes and/or arcs.
- In **implicit graphs** a procedure `outgoing_arcs` is defined that given a node, returns a set of directed arcs that connect node to other nodes.
  - The graph is generated as needed *due to the complexity of the graphs*.
  - The complexity is measured in terms of the depth of the goal state node or *how far do we have to get into the graph to find a solution*.

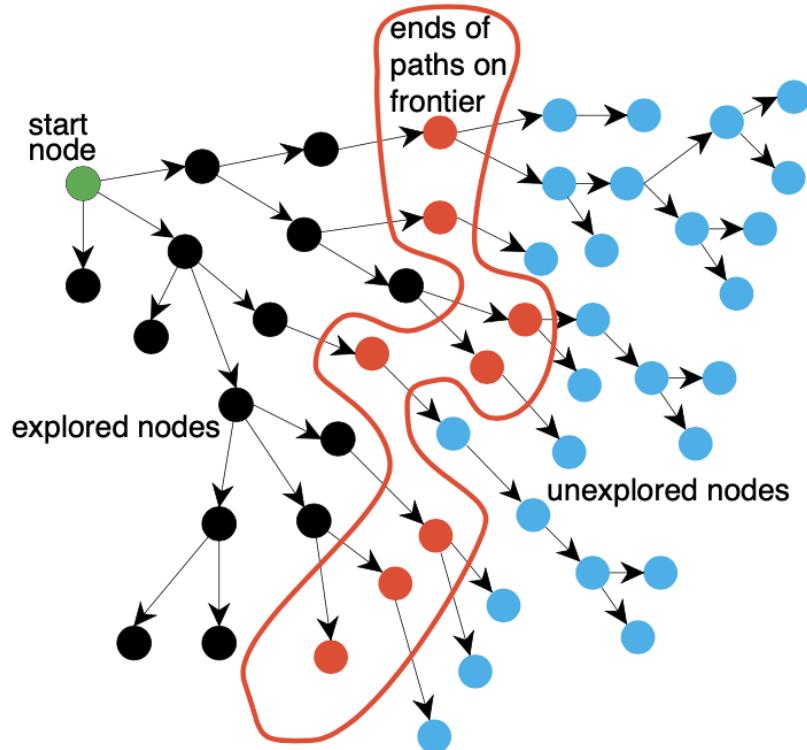
### Explicit graphs in quizzes

- In some exercises we use small explicit graphs to study the behaviour of various frontiers
- Nodes are specified in a set

- Edges are specified in a list
  - pairs of nodes, or triples of nodes (in a tuple)

## Searching graphs

- We will use generic search algorithms: given a graph, start nodes, and goal nodes, incrementally explore paths from the start nodes.
- Maintain a **frontier** of paths that have been explored
  - frontier: paths that we have already explored
- As search proceeds, the frontier is updated and the graph is explored until a goal node is found.
- The order in which paths are removed and added to the frontier defines the search strategy
- A **search tree** is a tree drawn out of all the possible actions in terms of a tree.
  - How do we handle loops? *Covered in next lecture*
  - In the search tree outlined below, you can see that the *end of paths on frontier* represents a BFS relationship note this is not always the case.



**Figure 3:** search tree

---

## Generic graph search algorithm

**Input:** a graph,  
a set of start nodes,  
Boolean procedure  $goal(n)$  that tests if  $n$  is a goal node  
 $frontier := \{\langle s \rangle : s \text{ is a start node}\};$   
**while**  $frontier$  is not empty:  
    **select** and **remove** path  $\langle n_0, \dots, n_k \rangle$  from  $frontier$ ;  
    **if**  $goal(n_k)$   
        **return**  $\langle n_0, \dots, n_k \rangle$ ;  
    **for every** neighbor  $n$  of  $n_k$   
        **add**  $\langle n_0, \dots, n_k, n \rangle$  to  $frontier$ ;  
**end while**

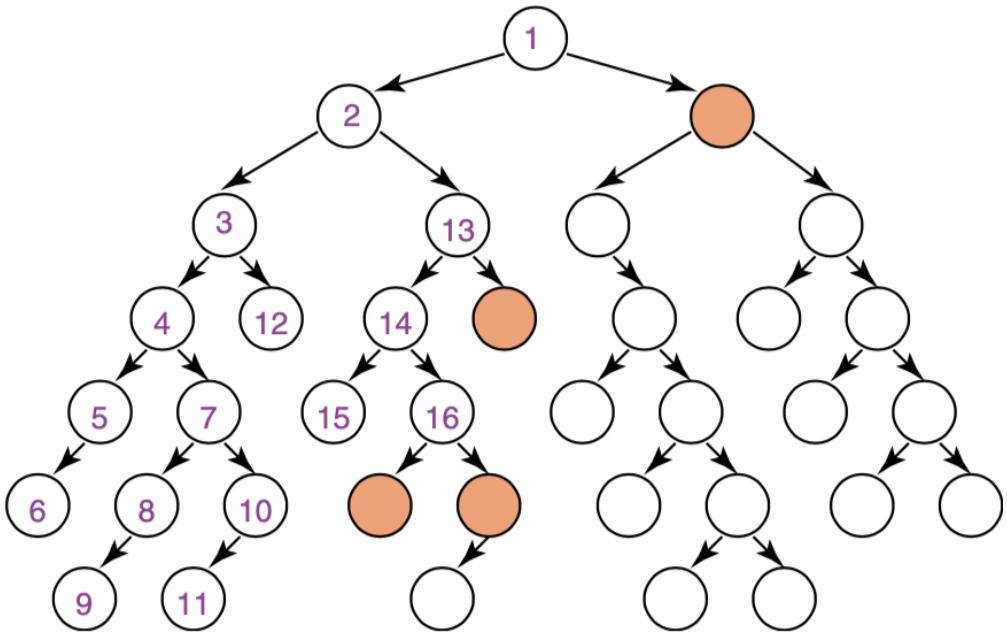
**Figure 4:** Generic Search

NOTE: you will have to use whatever data structure for the search you are using (BFS use a queue), (DFS use a stack).

In the generic algorithm, neighbours are going to use the method `outgoing_arcs`, we are given this algorithm in the form of a python module.

### Depth-first search

- In order to perform DFS, the generic graph search must be used with a stack frontier *LIFO*
- If the stack is a python list, where each element is a path, and has the form  $[\dots, p, q]$ 
  - $q$  is selected and popped
  - if the algorithm continues then paths that extend  $q$  are pushed (appended) to the stack
  - $p$  is only selected when all paths from  $q$  have been explored.
- As a result, at each stage the algorithm expands the deepest path
- The orange nodes in the graph below are considered the frontier nodes



**Figure 5:** DFS

- DFS does not guarantee a solution without pruning, due to the fact that we can have infinite loops
- It is not guaranteed to complete if it does not use pruning

#### A note on complexity

Assume a finite search tree of depth  $d$  and branching factor of  $b$ :

- What is the time complexity?
  - It will be exponential:  $O(b^d)$
- What is the space complexity?
  - It will be linear:  $O(bd)$

#### How do we trace the frontier

- starting with an empty frontier we record all the calls to the frontier: to add or get a path we dedicate one line per call
- When we ask the frontier to add a path, we start the line with a + followed by the path that has been added
- When we ask for a path from the frontier we start the line with a - followed by the path being removed

- 
- When using a priority queue, the path is followed by a comma and then the key e.g, *cost*, *heuristic*, *f-value*, ...
  - The lines of the trace should match the following regular expression  $^ [+-] [a-z]+ (, \backslash d+)? ! ? \$$
  - We stop when we **remove** a path from the trace

Given the following graph

```
nodes={a, b, c, d},
edge_list=[(a,b), (a,d), (a, c), (c, d)],
starting_nodes = [a],
goal_nodes = {d}
```

trace the frontier in depth-first search (DFS).

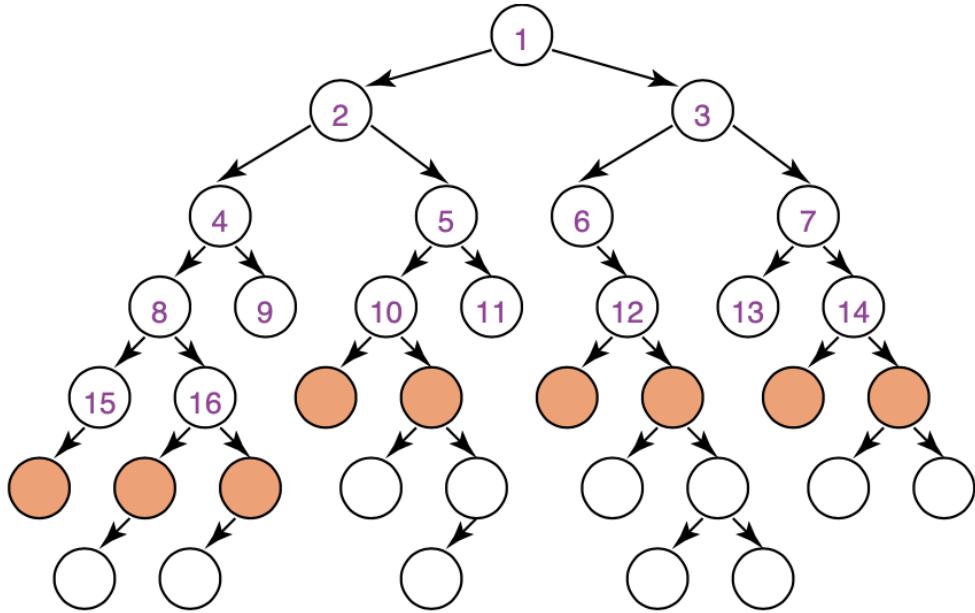
Answer:

```
+ a
- a
+ ab
+ ad
+ ac
- ac
+ acd
- acd
```

**Figure 6:** DFS trace using generic algorithm

### Breath-first search

- In order to perform BFS, the generic graph search must be used with a queue frontier *FIFO*.
- If the queue is a python deque of the form  $[p, q, \dots, r]$ , then
  - $p$  is selected (dequeued)
  - if the algorithm continues then paths that extend  $p$  are enqueued *appended* to the queue after  $r$
- As a result, at each state the algorithm expands the shallowest path.



**Figure 7:** BFS Illustration of search tree

- BFS **does** guarantee to find a solution with the fewest arcs if there is a solution
- It will complete
- It will not halt due to some graphs having *cycles*, *with no pruning*

#### A note on complexity

BFS has higher complexity than DFS

- What is the time complexity?
  - It will be exponential:  $O(b^d)$
- What is the space complexity?
  - It will be linear:  $O(b^d)$

---

Given the following graph

```
nodes={a, b, c, d},  
edge_list=[(a,b), (a,d), (a, c), (c, d)],  
starting_nodes = [a],  
goal_nodes = {d}
```

trace the frontier in breadth-first search (BFS).

Answer:

```
+ a  
- a  
+ ab  
+ ad  
+ ac  
- ab  
- ad
```

**Figure 8:** BFS trace using generic algorithm

### Lowest-cost-first search

- The cost of a path is the sum of the costs of its arcs
- This algorithm is very similar to Dijkstra's except modified for larger graphs
- LCFS selects a path on the frontier with the lowest cost
- The frontier is a priority queue ordered by path cost
  - A priority queue is a container in which each element has a priority *cost*
  - An element with a higher priority is always selected/removed before an element with a lower priority
  - In python we can use the [heapq](#) you will need to store objects in a way that these properties hold
- LCFS finds an optimal solution: a least-cost path to a goal node.
- Another name for this algorithm is *uniform-cost search*.

NOTE: For an example of this queue, see Lecture One: 1:45 time stamp

---

Given the following graph

```
nodes={a, b, c, d, g},  
edge_lists=[(a,b,4), (a,c,2), (a,d,1),  
           (b,g,4), (c,g,2), (d,g,4)],  
starting_nodes = [a],  
goal_nodes = {g}
```

trace the frontier in lowest-cost-first search (LCFS).

Answer:

```
+ a, 0  
- a, 0  
+ ab, 4  
+ ac, 2  
+ ad, 1  
- ad, 1  
+ adg, 5  
- ac, 2  
+ acg, 4  
- ab, 4  
+ abg, 8  
- acg, 4
```

**Figure 9:** LCFS trace generic

## Lecture Two: Searching the State Space (part two)

### Pruning

- This is our method to deal with cycles and multiple paths.
- this means we can have wasted computation and cycles in our graph

Principle: Do not expand paths to nodes that have already been expanded

### Pruning Implementation

- The frontier keeps track of expanded or *closed* nodes
- When adding a new path to the frontier, it is only added if another path to the same end-node has not already been expanded, otherwise the new path is discarded (*pruned*)
- When asking for the **next path** to be returned by the frontier, a path is selected and removed but it is returned only if the end-node has not been expanded before, otherwise the path is discarded (*pruned*) and not returned. The selection and removal is repeated until a path is returned (or the frontier becomes empty). If a path is returned, its end-node will be remembered as an expanded node.

---

In frontier traces every time a path is pruned, we add an explanation mark ! at the end of the line

## Example: LCFS with pruning

Trace LCFS with pruning on the following graph:

```
nodes = {S, A, B, G},  
edge_list=[(S,A,3), (S,B,1), (B,A,1), (A,B,1), (A,G,5)],  
starting_nodes = [S],  
goal_nodes = {G}.  
Answer:  
# expanded={}  
+ S,0  
- S,0      # expanded={S}  
+ SA,3  
+ SB,1  
- SB,1      # expanded={S,B}  
+ SBA,2  
- SBA,2      # expanded={S,B,A}  
+ SBAB,3!    # not added!  
+ SBAG,7  
- SA,3!      # not returned!  
- SBAG,7      # expanded={S,B,A,G}
```

4

**Figure 10:** Example: LCFS with pruning

### How does LCFS behave?

- LCFS explores increasing cost contours
  - Finds an optimal solution always
  - Explores options in every direction
  - No information about goal location

We are going to use a search heuristic, function  $h()$  is an estimate of the cost for the shortest path from node  $n$  to a goal node.

- $h$  needs to be efficient to compute
- $h$  can be extended to paths:  $h(< n_0, \dots, n_k) = h(n_k)$
- $h$  is said to be admissible if and only if:

- $\forall n h(n) \geq 0$ ,  $h$  is non-negative and  $h(n) \leq C$  where  $C$  is the optimal cost of getting from  $n$  to a goal node

NOTE: We will have to come up with our own heuristic for the assignment as it depends on context.

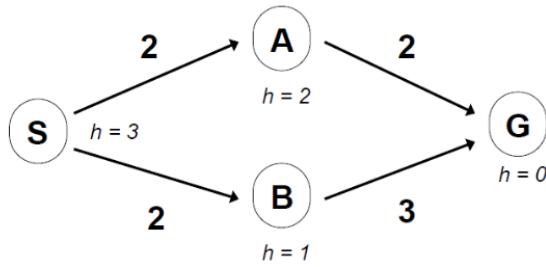
### Best-first Search

- Idea: select the path whose end is closest to a goal node according to the heuristic function.
- Best-first search is a greedy strategy that selects a path on the frontier with minimal  $h$ -value
- Main drawback: this does not guarantee finding an optimal solution.

## Example: tracing best-first search

- Trace the frontier when using the best-first (greedy) search strategy for the following graph.
- The starting node is  $S$  and the goal node is  $G$ .
- Heuristic values are given next to each node.
- $SA$  comes before  $SB$ .

heuristic function  
 $h(S) = 3$   
 $h(A) = 2$   
 $h(B) = 1$   
 $h(G) = 0$



Answer:

+  $S, 3$   
-  $S, 3$   
+  $SA, 2$   
+  $SB, 1$   
-  $SB, 1$   
+  $SBG, 0$   
-  $SBG, 0$

11

**Figure 11:** Tracing best-first search

### A search strategy

Properties:

- Always finds an optimal solution as long as:

- there is a solution
- there is no pruning
- the heuristic function is admissible
- Does it halt on every graph?

Idea:

- Don't be as wasteful as LCFS
- Don't be as greedy as best-first search
- Estimate the cost of paths as if they could be extended to reach a goal in the best possible way.

Evaluation function:  $f(p) = \text{cost}(p) + h(n)$

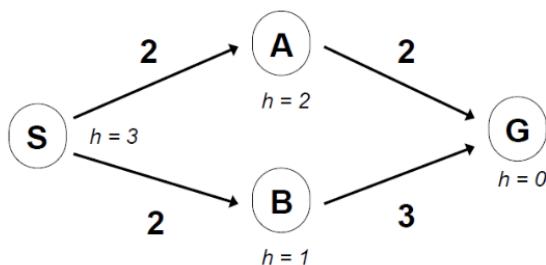
- $p$  is a path,  $n$  is the last node on  $p$
- $\text{cost}(p)$  = cost of path  $p$  *this is the actual cost from the starting node to node  $n$*
- $h(n)$  = an estimate of the cost from  $n$  to goal node
- $f(p)$  = estimated total cost of path through  $p$  to goal node

The frontier is a priority queue ordered by  $f(p)$

## Example: tracing A\* search

- Trace the frontier when using the A\* search strategy for the following graph.
- The starting node is S and the goal node is G.
- Heuristic values are given next to each node.
- SA comes before SB.

heuristic function  
 $h(S) = 3$   
 $h(A) = 2$   
 $h(B) = 1$   
 $h(G) = 0$



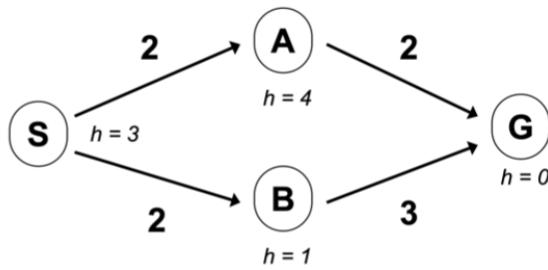
**Note:** This small example only show the inner working of A\*. It does not demonstrate its advantage over LCFS.

Answer:

```

+ S, 3      # 0 + 3 = 3
- S, 3
+ SA, 4     # 2 + 2 = 4
+ SB, 3     # 2 + 1 = 3
- SB, 3
+ SBG, 5    # 5 + 0 = 5
- SA, 4
+ SAG, 4    # 4 + 0 = 4
- SAG, 4
  
```

- Same example as the one before just assume  $h(A) = 4$  instead.



Answer:

```

+ S, 3      # 0 + 3 = 3
- S, 3
+ SA, 6     # 2 + 4 = 6
+ SB, 3     # 2 + 1 = 3
- SB, 3
+ SBG, 5    # 5 + 0 = 5
- SBG, 5

```

Non-optimal solution! Why?

---

# A\*: proof of optimality

When using A\* (without pruning) the first path  $p$  from a starting node to a goal node that is selected and removed from the frontier has the lowest cost.

Sketch of proof:

- Suppose to the contrary that there is another path from one of the starting nodes to a goal node with a lower cost.
- There must be a path  $p'$  on the frontier such that one of its continuations leads to the goal with a lower overall cost than  $p$ .
- Since  $p$  was removed before  $p'$ :

$$f(p) \leq f(p') \implies cost(p) + h(p) \leq cost(p') + h(p') \implies cost(p) \leq cost(p') + h(p')$$

- Let  $c$  be any continuation of  $p'$  that goes to a goal node; that is, we have a path  $p'c$  from a start node to a goal node. Since  $h$  is admissible, we have:

$$cost(p'c) = cost(p') + cost(c) \geq cost(p') + h(p')$$

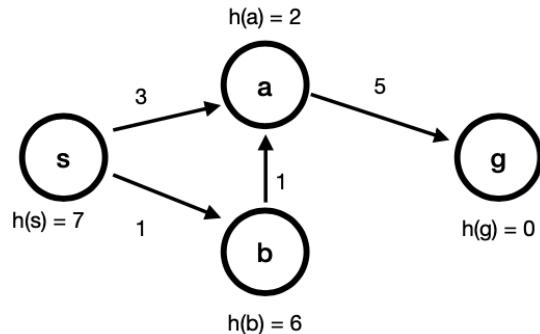
- Thus:

$$cost(p) \leq cost(p') + h(p') \leq cost(p') + cost(c) = cost(p'c)$$

# Effect of pruning on A\*

Trace the frontier in A\* search for the following graph, with and without pruning.

```
nodes={s, a, b, g},
estimates = {s:7, a:2, b:6, g:0},
edge_list=[(s,a,3), (s,b,1),
           (b,a,1), (a,g,5)],
starting_nodes = [s],
goal_nodes = {g}.
```



**Answer without pruning**

```
+ S, 7
- S, 7
+ SA, 5
+ SB, 7
- SA, 5
+ SAG, 8
- SB, 7
+ SBA, 4
- SBA, 4
+ SBAG, 7
- SBAG, 7
```

**Answer with pruning**

```
# expanded={}
+ S, 7
- S, 7      # expanded={S}
+ SA, 5
+ SB, 7
- SA, 5      # expanded={S,A}
+ SAG, 8
- SB, 7      # expanded={S,A,B}
+ SBA, 4!
- SAG, 8      Non-optimal solution!
```

17

## What went wrong when pruning A Search

- An expensive path,  $sa$  was expanded before a cheaper path  $sba$  could be discovered, because  $f(sa) < f(sb)$
- Is the heuristic function  $h$  admissible?
  - Yes
- So what can we do?
  - We need a stronger condition than admissibility to stop this from happening

Principle: When we are removing nodes, we are essentially saying we have found a cheaper solution, in this case, this was not true and hence why the algorithm fails, we need to use a stronger condition as outlined below

## Monotonicity

A heuristic function is monotone or consistent if for every two nodes  $n$  and  $n'$  which is reachable from  $n$ :

---

$$h(n) \leq cost(n, n') + h(n')$$

With the monotone restriction, we have:

$$\begin{aligned} f(n') &= cost(s, n') + h(n') \\ &= cost(s, n) + cost(n, n') + h(n') \\ &\geq cost(s, n) + h(n) \\ &\geq f(n) \end{aligned}$$

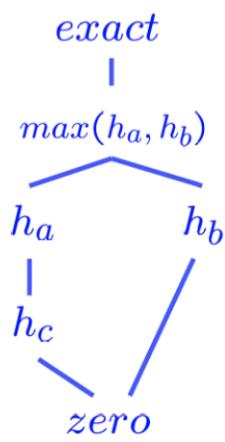
How about using the actual cost as a heuristic?

- Would it be a valid heuristic?
- Would we save on nodes expanded?
- What's wrong with it?
  - It becomes as computationally expensive as it is to just do the problem

Choosing a heuristic: a trade-off between quality of estimate and work per node!

# Dominance relation

- Dominance:  $h_a \geq h_c$  if  
 $\forall n : h_a(n) \geq h_c(n)$
- Heuristics form a semi-lattice:
  - Max of admissible heuristics is admissible
$$h(n) = \max(h_a(n), h_b(n))$$
- Trivial heuristics
  - Bottom of lattice is the zero heuristic (what does this give us?)
  - Top of lattice is the exact heuristic



24

**Figure 12:** Dominance Relation

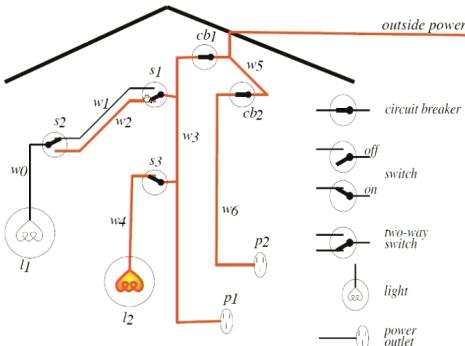
Further algorithms are discussed in this segment of the and lecture *boards onto lecture three* however this content will not be assessed in the duration of this course.

## Lecture Three: Knowledge Base and Information

### How to represent information in a knowledge base

## Information

## Knowledge Base



### Representing the Electrical Environment

```

light.l1.           live.w0 & ok.l1
light.l2.           live.w0 & live.v1 & up.s2.
live.w0.            live.w0 & live.v2 & down.s2.
live.w1.            live.w1 & live.v3 & up.s1.
up.s2.              live.w2 & live.w3 & down.s1.
up.s3.              live.w2 & live.v4 & up.s3.
ok.l1.              live.l1 & live.v4 & ok.l2.
ok.l2.              live.w4 & live.v5 & up.s3.
ok.cb1.             live.p1 & live.v5.
ok.cb2.             live.w5 & ok.cb1.
live.outside.       live.p2 & live.w6.
live.w6.             live.w6 & ok.cb2.
live.w5.             live.w5 & live.outside.

```

Chapter 5 Propositions and Inference 3 / 22

- The computer doesn't know the meaning of the symbols (logical and etc...)
- The user can interpret the symbol using their meaning
- There is no specific syntax for this, it is just what ever is readable for the user/writer

## Simple language and definitions

- An **atom** is a symbol starting with a lower case letter
- A **body** is an atom or is of the form  $b_1 \wedge b_2$  where  $b_1$  and  $b_2$  are bodies
- A **definite clause** is an atom or a rule of the form  $h \leftarrow b$  where  $h$  is an atom and  $b$  is a body
- A **knowledge base** is a set of definite clauses
- An **interpretation**  $i$  assigns a truth value to each atom
- A **body**  $b_1 \wedge b_2$  is true in  $i$  if  $b_1$  is true in  $i$  and  $b_2$  is true in  $i$
- A **rule**  $h \leftarrow b$  is false in  $i$  if  $b$  is true in  $i$  and  $h$  is false in  $i$ , the rule is true otherwise
- A **knowledge base**  $KB$  is true in  $i$  if and only if every clause in  $KB$  is true in  $i$
- A **model** of a set of clauses is an interpretation in which all the clauses are *true*
- If  $KB$  is a set of clauses and  $g$  is a conjunction of atoms,  $g$  is a **logical consequence** of  $KB$ , this is denoted as  $KB \models g$ , if  $g$  is *true* in every model of  $KB$ 
  - That is,  $KB \models g$  if there is no interpretation in which  $KB$  is *true* and  $g$  is *false*.
- A **Proof procedure** is a -possibly non-deterministic - algorithm for deriving consequences of a knowledge
- Given a proof procedure,  $KB \vdash g$  means  $g$  can be derived from knowledge base  $KB$
- Recall  $KB \models g$  means  $g$  is *true* in all models of  $KB$
- A proof procedure is **sound** if  $KB \vdash g \implies KB \models g$
- A proof procedure is **complete** if  $KB \models g \implies KB \vdash g$

$$KB = \left\{ \begin{array}{l} p \leftarrow q. \\ q. \\ r \leftarrow s. \end{array} \right.$$

How many interpretations?

	$p$	$q$	$r$	$s$	model of $KB$ ?
$I_1$	true	true	true	true	
$I_2$	false	false	false	false	
$I_3$	true	true	false	false	
$I_4$	true	true	true	false	
$I_5$	true	true	false	true	

Which of  $p, q, r, s$  logically follow from KB?

**Figure 13:** simple example question

Answers to the questions:

We have four atoms  $\{p, q, r, s\}$ , because we have 4 atoms, there are 16 permutations in our truth table ( $2^4$ ), therefore we have 16 interpretations

### Bottom-up proof procedure

Rule of derivation:

if  $h \leftarrow b_1 \wedge \dots \wedge b_m$  is a clause in the knowledge base, and each  $b_i$  has been derived, then  $h$  can be derived

- This is **Forward chaining** on this clause (this rule also covers the case when  $m = 0$ )
- $KB \vdash g$  if  $g \in C$  at the end of the below algorithmic procedure

- 
- Tracing tutorial: 1:13:30

```

 $C := \{\};$ 
repeat
    select clause " $h \leftarrow b_1 \wedge \dots \wedge b_m$ " in  $KB$  such that
         $b_i \in C$  for all  $i$ , and
         $h \notin C$ ;
     $C := C \cup \{h\}$  ⊕
until no more clauses can be selected.

```

**Figure 14:** Bottom-up proof procedure algorithm pseudo code

### Top-down proof procedure

Idea: search backward from a query to determine if it is a logical consequence of  $KB$

An **answer clause** is of the form:

- $yes \leftarrow a_i \wedge \dots \wedge a_m$

The SLD Resolution of this answer clause on atom  $a_i$  with the clause:

- $a_i \leftarrow b_1 \wedge \dots \wedge b_p$
- Tracing tutorial: 1:31:00

An **answer** is an answer clause with  $m = 0$ . That is the answer clause  $yes \leftarrow$ .

A **Derivation** of query  $?q_1 \wedge \dots \wedge q_k$  from  $KB$  is a sequence of answer clauses  $\lambda_0, \lambda_1, \dots, \lambda_n$

- $\lambda_0$  is the answer clause  $yes \leftarrow q_1 \wedge \dots \wedge q_k$
- $\lambda_1$  is obtained by resolving  $\lambda_{i-1}$  with a clause in  $KB$
- $\lambda_n$  is the answer

---

To solve the query  $?q_1 \wedge \dots \wedge q_k$ :

*ac* := "yes  $\leftarrow q_1 \wedge \dots \wedge q_k$ "  
**repeat**  
    **select** atom  $a_i$  from the body of *ac*  
    **choose** clause *C* from *KB* with  $a_i$  as head  
    replace  $a_i$  in the body of *ac* by the body of *C*  
**until** *ac* is an answer.

**Figure 15:** Top-down proof procedure algorithm pseudo code

There is more information on SLD Resolution at the end of this lecture, this will be needed in the assignment

## Lecture Four: Declarative Programming (Part One)

### What is declarative programming?

Declarative programming is the use of mathematical logic to describe the logic of computation without describing its control flow

- Knowledge bases and queries in propositional logic are made up of propositions and connectives
- Predicate logic adds the notion of *predicates* and *variables*
- We take a non-theoretical approach to predicate logic by introducing *declarative programming*
- useful for: expert systems, diagnostics, machine learning, parsing text, theorem proving, ...

### Datalog

- Prolog is a declarative programming language and stand for PROGramming in LOGic
- we only look at a subset of the language which is equal to Datalog
- Think declaratively, not procedurally
- High level, interpreted language
- We will have a file that contains a knowledge base, and we will have an interpreter where we can ask queries

Here is an example of a knowledge base in Datalog:

---

```
1 woman(mia)
2 woman(jody)
3 woman(yolanda)
4 playesAirGuitar(yolanda)
```

Here is how we may query data using the interpreter:

```
1 $ woman(mia)
2 yes
```

Further examples of this are in the slides of lecture four

## Operators

- Implication :-
- Conjunction: , (AND)
- Disjunction ; (OR)
- We will later talk about how to simulate the (NOT) operator

## Interpreter Operands and rules:

- Variables: X, Y, Z, Cam, AnythingThatStartswithUppercase
  - Acts as a **wildcard** to match with when querying
- Order of arguments matters
- **Arity** is important
- Unification/matching:
  - Two terms unify or match if they are the same term or if the contain variables that can be uniformly instanciated with terms in such a way that the resulting terms are equal (this is how we query)
  - Example:  $l(s(g), Z) = k(X, t(Y))$

With only Unification we can do some programming

```
1 vertical(line(point(X,Y), point(X,Z)))
2 horizontal(line(point(X,Y), point(Z,Y)))
```

## Proof Search

- Prolog has a specific way of answering queries
  - Search knowledge base from top to bottom
  - Processes clauses from left to right

- 
- Backtracking to recover from bad choices
  - Further examples using prolog: 1:10:00

## Recursive Programming

```

1 child(anna, bridget)
2 child(brIDGET, caroline)
3 child(caroline, donna)
4 child(donna, emily)
5 decendent(X,Y):-child(X,Y)
6 decendent(X,Y):-child(X,Z), decendent(Z,Y)

```

If we make the following query with the above knowledge base, we get a positive response

```

1 $- decendent(anna, donna)
2 yes

```

## Lecture Five: Declarative Programming (Part Two)

### Lists in Prolog

- A list is a finite sequence of elements
- List elements are enclosed in square brackets
- we can think of non-empty lists as a head and tail
  - Head is first item
  - Tail is the rest of the list
- Empty list has no head or tail
- Here are some examples of lists in prolog

```

1 [mia, vincent, jules, yolanda]
2 [mia, robber(honeybunny), X, 2, mia]
3 []

```

### Pipe Operand

- Can be used for creating a list
- Example:

```

1 [head|tail] = [mia, vincent, jules, yolanda].
2 Head = mia
3 tail = [vincent, jules, yolanda].

```

- We can have anonymous variables denoted with the \_

- 
- These do not get recorded and assigned to variables

```

1  [_,X2,_,X4|_] = [mia, vincent, jules, yolanda].
2  X2 = vincent
3  X4 = Jody

```

### Defining Members of a list

- One of the most basic things we would like to know is whether something is an element of a list or not
- So let's write a predicate that when given a term  $X$  and a list  $L$ , tells us whether  $X \in L$
- We can define member as the following:

```

1  member(X,[X,_]).
2  member(X,_),T):-member(X,T).

```

### Defining Append

- We can define an important predixate, append whose arguements are all lists
- Declaratively,  $\text{append}(L_1, L_2, L_3)$  is true if list  $L_3$  is the result of concat  $L_1, L_2$
- Recursive definition,
  - Base case: appending the empty list to any list produces the same list
  - The recursive step says that when concatenating non-empty list  $[H|T]$  with list  $L$ , the result is a list with head  $H$  and the result of concatenating  $T$  and  $L$

Definition:

```

1  append([],L,L).
2  append([H|L1],L2,[H|L3]):-append(L1,L2,L3).

```

Expected Output:

```

1  $- append([a,b,c],[d,e,f], Z).
2  $- Z = [a,b,c,d,e,f].
3  yes

```

### Sublist

- Now it is very easy to write a predicate that finds sub-lists of lists
- The sub-lists of a list  $L$  are simply the prefixes of suffixes of  $L$
- Checks if a list is a subset of another list

```

1  sublist(Sub,List):-suffix(Suffix,List),prefix(Sub,Suffix).

```

### Reversing a list

- Recursive definition
  1. If we reverse the empty list, we obtain the empty list
  2. If we reverse the list  $[H|T]$ , we end up with the list obtained by reversing  $T$
  3. This solution works, but is extremely inefficient, *Quadratic time*

```
1 reverse([], []).
2 reverse([H|T], R) :- reverse(T, RT), append(RT, [H], R).
```

- Here is a much more efficient solution:
- We can use an accumulator (list to append the reverse to) in order to make this faster

```
1 accReverse([], L, L).
2 accReverse([H, T], Acc, Rev) :- accReverse(T, [H | Acc], Rev).
3
4 reverse(L1, L2) :- accReverse(L1, [], L2). # Wrapper for accReverse function
```

The above is a more efficient solution

## Negation as Failure

- We need to use the cut operator (!) to suppress backtracking
- The fail predicate always fails
- They can be combined to get a negation as failure

```
1 neg(Goal) :- !, Goal, !, fail.
2 neg(Goal).
```

## Lecture Six: Local and Global Search (Optimisation)

### Optimisation Problems

Given:

- A set of variables and their domains; and
- An objective function (aka a cost function),

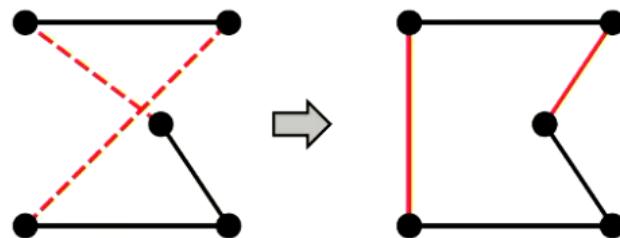
Find an assignment (of each value to each variable) that optimises (max or min) the value of the objective function.

- Optimisation usually involves searching
- CSP's and optimisation problems can be converted (reduced) to each other
- There are special algorithms for certain kind of optimisation problems (linear programming, convex optimisation)

- In this lecture we will look at two families of algorithms (local and global)

### Local Search for Optimisation

- A **Local search** algorithm is an iterative algorithm that keeps a single current state and in each iteration tries to improve it by moving to one of its neighbouring states.
- Two key aspects to decide:
  - Neighbourhood: which states are the neighbours of a given state
  - Movement: which neighbouring state should the algorithm go to
- A search algorithm is considered to be greedy if it always moves to the best neighbour. Two variants happen to have special names:
  - *Hill climbing*: for maximisation
  - *Greedy descent*: for minimisation
- Traveling Salesperson Problem (TSP): Given a list of cities and the distances between each pair of cities, what is the shortest possible route that visits each city exactly once and returns to the origin city?
- Start with any complete tour, in each iteration perform pairwise exchanges if it improves the total cost.
- Variants of this approach can get close to optimal solution quickly (even with a large number of cities).



4

**Figure 16:** Example of local search

Local search for CSP's:

- A constrained satisfaction problem can be reduced to an optimisation problem

- 
- Given an assignment, a conflict is an unsatisfied constraint
  - Heuristic function: the number of conflicts produced by an assignment
  - Optimisation problem: find an assignment that minimises this heuristic function

Local search for CSP's in neighbourhood:

- Neighbours of a given state can be defined in many ways
  - All possible assignments except the current one
  - Select a variable that appears in any conflict, neighbours are assignment in which that variable takes a different value from its domain
  - Select a variables in the current assignment that participates in the most number of conflicts. Neighbours are assignments in which that variable takes a different value from its domain
  - n-queens example (35:00)

## Global Search

Parallel search:

- A total assignment is called an **individual**
- Idea: maintain a population of  $k$  individuals instead of one
- At every stage, update each individual in the population
- Like  $k$  restarts, but uses  $k$  times the minimum number of steps
- A basic form of global search

Simulating Annealing:

- Pick a variable at random and a new value at random
- If it is an improvement, adopt it
- If it isn't an improvement adopt it probabilistically depending on a temperature parameter,  $T$
- Temperature can be reduced

Temperature	1-worse	2-worse	3-worse
10	0.91	0.81	0.74
1	0.37	0.14	0.05
0.25	0.02	0.003	0.00005
0.1	0.00005	0	0

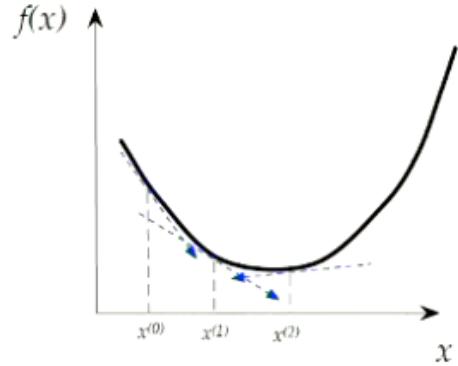
## Gradient Descent

- A widely used local search algorithm in numeric optimisation (machine learning)
- Used when the variables are numeric and continuous
- The objective function must be differentiable (mostly)

```

1: Guess  $\mathbf{x}^{(0)}$ , set  $k \leftarrow 0$ 
2: while  $\|\nabla f(\mathbf{x}^{(k)})\| \geq \epsilon$  do
3:    $\mathbf{x}^{(k+1)} = \mathbf{x}^{(k)} - t_k \nabla f(\mathbf{x}^{(k)})$ 
4:    $k \leftarrow k + 1$ 
5: end while
6: return  $\mathbf{x}^{(k)}$ 

```



**Figure 17:** Gradient descent algorithm

### Genetic Algorithms

- Genetic algorithms and the whole family of evolutionary algorithms are inspired by natural selection
- They are in the global search family
- The algorithm maintains a population of individuals which evolves over time
- We can make an individual to represent anything we want
- A fitness function is needed. The function takes an individual as input and returns a numeric number indicating how good/bad the individual is
- A mechanism is needed to create the initial population
- A mechanism is needed to evolve the current population to the next one. This involves the following mechanisms:
  - Selection: decide which individuals survive or can reproduce
  - Crossover: given a number of parent individuals, create a number of children
  - Mutation: make some random changes to individuals
  - How this works (1:20:00)

### Roulette Wheel selection: Example

- Sum the fitness of all individuals, call it  $T$
- Generate a random number  $N$  between 1 and  $T$
- Return individual whose fitness added to the running total is equal to or larger than  $N$

- Chance to be selected is exactly proportional to fitness
- Individual: [1,2,3,4,5,6]
- Fitness: [8,2,17,7,4,11]
- Running total: [8,10,27,34,38,49]
- $N$  : 23
- selected: 3

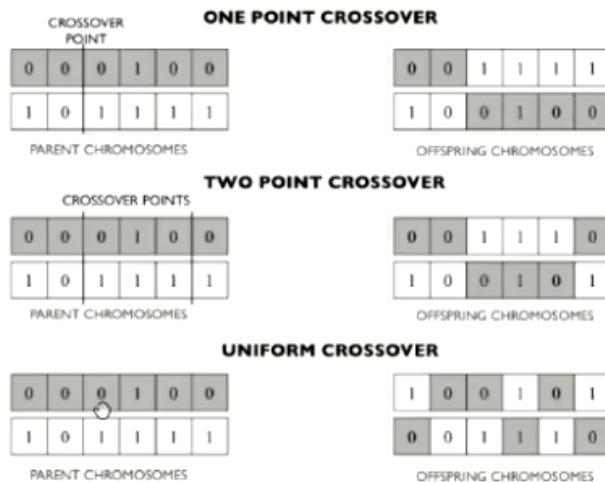
## Tournament Selection

- Choose  $n$  individuals randomly; the fittest one is selected as a parent
- $n$  is the [size](#) of the tournament
- By changing the size, selection pressure can be adjusted

## Crossover

Often individuals are represented as a sequence (tuple) of values. With this representation, cross over can be performed very easily.

- Generate 1,2, or a number of random *crossover points*
- Split the parents at these points
- Create offspring's by exchanging alternative segments



**Figure 18:** Crossover Example

## Mutation

With sequential representation (tuples), mutation is performed by selecting one or more random locations (indices) and changing the values at those locations to some random values (from the domain).

---

## Mutation vs Crossover

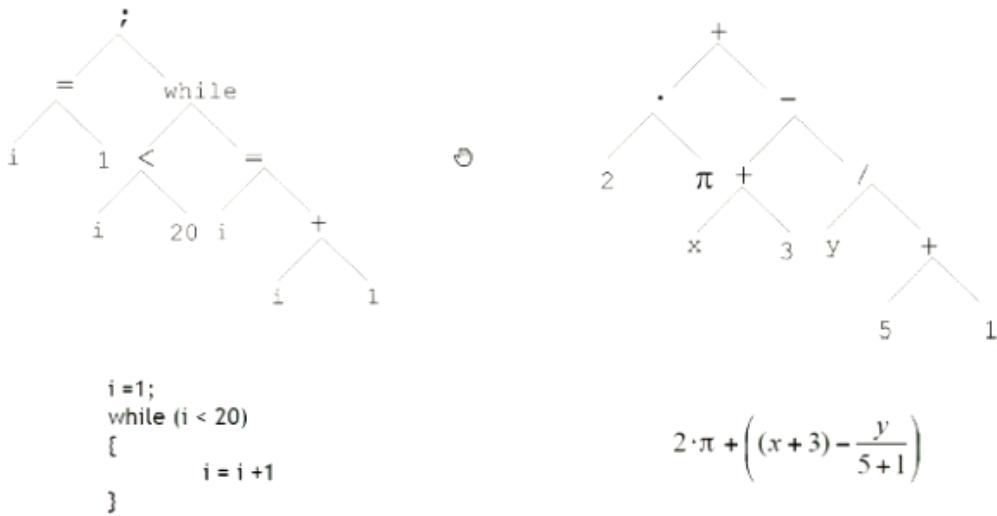
- Purpose of crossover: combining somewhat good candidates in the hope of producing better children
- Purpose of mutation: bringing diversity
- Its good to have both
- Mutation-only-EA is possible, crossover-only-EA would not work

## Fitness landscapes

- EA's are known to be able to handle relatively challenging fitness landscapes
- see lecture: sep 14 for more information, note to self: go over this again before final

## Tree representation

- Individuals can have more sophisticated structure
- The following shows two example trees representing statements and expressions



**Figure 19:** Tree representation example

## Lecture Seven: Belief Networks

What are belief networks about?

- Long answer short **Probabilities**

- 
- Reasons for uncertainty and randomness

## Random Variables

- A random variable is some aspect of the world which we have uncertainty
  - R = Is it raining?
  - D = How long will it take to drive to work?
  - L = Where am I?
- We denote random variables with capital letters
- Each random variable has a domain
  - $R \in \{True, False\}$  as an example

## Probability distributions

- Unobserved random variables have distributions
- A distribution is a TABLE of probabilities of values
- A probability is a single number

## Joint distributions

A *joint distribution* over a set of random variables is a map of assignments to real values

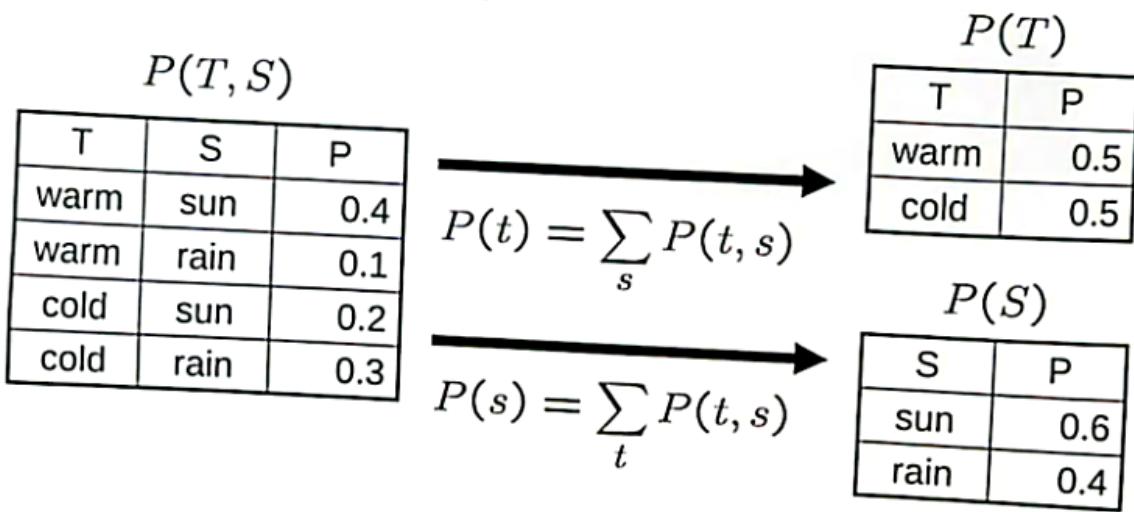
## Events

- A set of assignments
- From a joint distribution we can calculate the probability of any event

## Marginalization

- Marginalization (or summing out) is *projecting* a joint distribution to a sub-distribution over subset of variables.

$$P(X_1 = x_1) = \sum_{x_2} P(X_1 = x_1, X_2 = x_2)$$



### Conditional Probabilities

- A conditional probability is the probability of an event given another event, *center of a venn diagram*

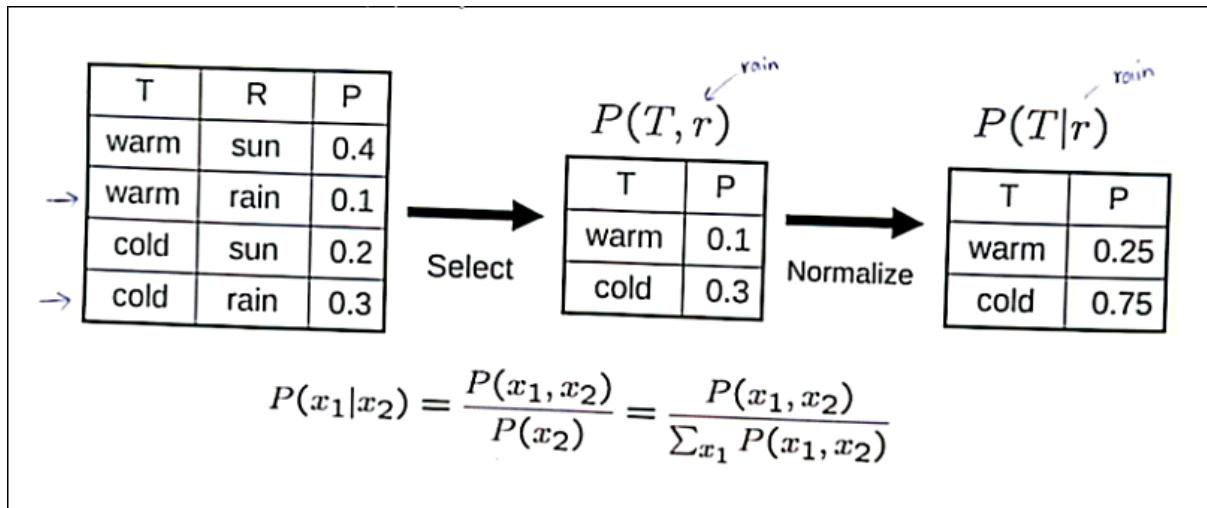
$$P(a|b) = \frac{P(a,b)}{P(b)}$$

### Conditional Distributions

- Conditional distributions are probability distributions over some variables given fixed values of others.

### Normalization Trick

- A trick to get the whole conditional distribution at once
  - Select the joint probabilities matching the evidence
  - Normalize the selection *divide by total sum so they sum to one*



**Figure 20:** Normalization trick example

### The product rule

- Sometimes joint  $P(X, Y)$  is easy to get
- Sometimes easier to get conditional  $P(X|Y)$

Defined by the following:

$$P(x|y) = \frac{P(x, y)}{P(y)} \equiv P(x, y) = P(x|y) \times P(y)$$

More generally we can write any joint distribution as incremental product of conditional distributions.

$$P(x_1, x_2, x_3) = P(x_1) \times P(x_2|X_1) \times P(x_3|x_1, x_2)$$

$$P(x_1, x_2, \dots, x_n) = \prod_i P(x_i|X_1 \dots x_{i-1})$$

### Probabilistic Inference

- Probabilistic inference: compute a desired probability from other known probabilities *conditional from joint*
- We generally compute conditional probabilities
  - $P(\text{on time} | \text{no report accidents}) = 0.9$
  - These represent the agent's *beliefs* given the evidence

- 
- Probabilities change with new evidence:
    - $P(\text{on time} \mid \text{no accidents}, 5 \text{ am}) = 0.95$
    - $P(\text{on time} \mid \text{no accidents}, 5 \text{ am, raining}) = 0.80$
    - Observing new evidence causes beliefs to be updated
  - We want  $P(Y_1 \dots Y_m \mid e_1 \dots e_k)$ 
    - Evidence variables ( $E_1 \dots E_k$ ) =  $(e_1 \dots e_k)$
    - Query variables:  $Y_1 \dots Y_m$
    - Hidden variables:  $H_1 \dots H_r$
  - First, select the entries consistent with the evidence
  - Second, sum out  $H$ :

$$P(Y_1 \dots Y_m, e_1 \dots e_k) = \sum_{h_1 \dots h_r} P(Y_1 \dots Y_m, h_1 \dots h_r, e_1 \dots e_k) = \{X_1, X_2, \dots, X_n\}$$

- Finally normalize the remaining entries
- Obvious problems
  - Worst-case time complexity  $O(d^n)$
  - Space complexity  $O(d^n)$  to store the joint distribution

$$P(R) \quad Y_S: R \quad E_S := H_S: S, T$$

R	$P(R)$
sun	$\sum_{S,T} P(S,t, sun) = 0.3 + 0.1 + 0.1 + 0.15 = 0.65$
rain	$\sum_{S,T} P(S,t, rain) = 0.05 + 0.05 + 0.05 + 0.2 = 0.35$

normalize  $\frac{0.65}{1} = 0.65$   
 $\frac{0.35}{1} = 0.35$

$$P(R | \text{winter}) \quad Y_S: R \quad E_S: S \quad H_S: T$$

R	$P(R   S=\text{winter})$
sun	$\sum_t P(\text{winter}, t, sun) = 0.1 + 0.15 = 0.25$
rain	$\sum_t P(\text{winter}, t, rain) = 0.05 + 0.2 = 0.25$

normalize  $\frac{0.25}{0.5} = 0.5$   
 $\frac{0.25}{0.5} = 0.5$

$$P(R | \text{winter, warm}) \quad Y_S: R \quad H_S := E_S: S, T$$

R	$P(R   \text{winter, warm})$
sun	$P(\text{winter, warm}, sun) = 0.1$
rain	$P(\text{winter, warm}, rain) = 0.05$

normalize  $\frac{0.1}{0.15} = \frac{2}{3}$   
 $\frac{0.05}{0.15} = \frac{1}{3}$

**Figure 21:** Example

### Complexity of Models

- Engineers and designers are interested in simple and compact models
  - Simple models are easier to build
  - Simple models are easier to explain
  - Compact models take less space
  - Usually implies more efficient computational time

If a probabilistic model has multiple distributions, the number of its free parameters is the sum of the number of free parameters of the tables/distributions.

### Independence

- Two variables are independent if  $P(x, y) = P(x)P(y)$ 
  - This says that their joint distribution factors into a product of two simpler distributions

- 
- We can use independence as a modelling assumption
    - Independence can be simplify assumptions

### **Conditional Independence**

- Absolute/Unconditional independence is very rare
- Conditional independence:
  - $\forall x, y, z : P(x, y|z) = P(x|z)P(y|z)$
  - $\forall x, y, z : P(x|y, z) = P(x|z)$

- 
- If I have a cavity, the probability that the probe catches it doesn't depend on whether I have a toothache:
    - $P(\text{catch} | \text{toothache, cavity}) = P(\text{catch} | \text{cavity})$
  - The same independence holds if I don't have a cavity:
    - $P(\text{catch} | \text{toothache, } -\text{cavity}) = P(\text{catch} | -\text{cavity})$
  - Catch is *conditionally independent* of Toothache given Cavity:
    - $P(\text{Catch} | \text{Toothache, Cavity}) = P(\text{Catch} | \text{Cavity})$
  - Equivalent statements:
    - $P(\text{Toothache} | \text{Catch, Cavity}) = P(\text{Toothache} | \text{Cavity})$
    - $P(\text{Toothache, Catch} | \text{Cavity}) = P(\text{Toothache} | \text{Cavity}) P(\text{Catch} | \text{Cavity})$
    - One can be derived from the other easily

- How many entries/parameters do we need for the joint distribution  $P(\text{Toothache}, \text{Cavity}, \text{Catch})$ ?
  - 7 independent entries ( $2^3 - 1$ )
  
- Write out full joint distribution using chain rule:
  - $P(\text{Toothache}, \text{Catch}, \text{Cavity})$ 
    - $= P(\text{Toothache} | \text{Catch}, \text{Cavity}) P(\text{Catch}, \text{Cavity})$
    - $= P(\text{Toothache} | \text{Catch}, \text{Cavity}) P(\text{Catch} | \text{Cavity}) P(\text{Cavity})$
    - $= P(\text{Toothache} | \text{Cavity}) P(\text{Catch} | \text{Cavity}) P(\text{Cavity})$
  
- How many (free) parameters does it need now?
  - $2 + 2 + 1 = 5$
  - (i.e. by assuming conditional independence, the complexity is reduced.)

#### **Graphical model notation:**

- Nodes: variables with domains
  - Can be assigned (observed) or unassigned (unobserved)
- Arcs: Influences
  - Allow dependence between variables
  - For now: imagine that arrows mean causation *NOTE in general they don't have to*

Example: Lecture (1:27:30)

#### **Can we have reverse causality in a network?**

- Can we express the same joint distribution by a network where arrows no longer mean causality?
  - Yes, but the network is now harder for humans to read and understand

#### **Non-causal arrows?**

- when belief nets reflect the true causal patterns:
  - Often simpler
  - Often easier to think about
  - Often easier to elicit from experts

- 
- BN's need not actually be causal
    - Sometimes no causal net exists over the domain
  - What do the arrows really mean?
    - They define/allow dependence
    - This means that we can construct a table between the two nodes

## **Lecture Eight: Introduction to machine learning**

### **what is learning?**

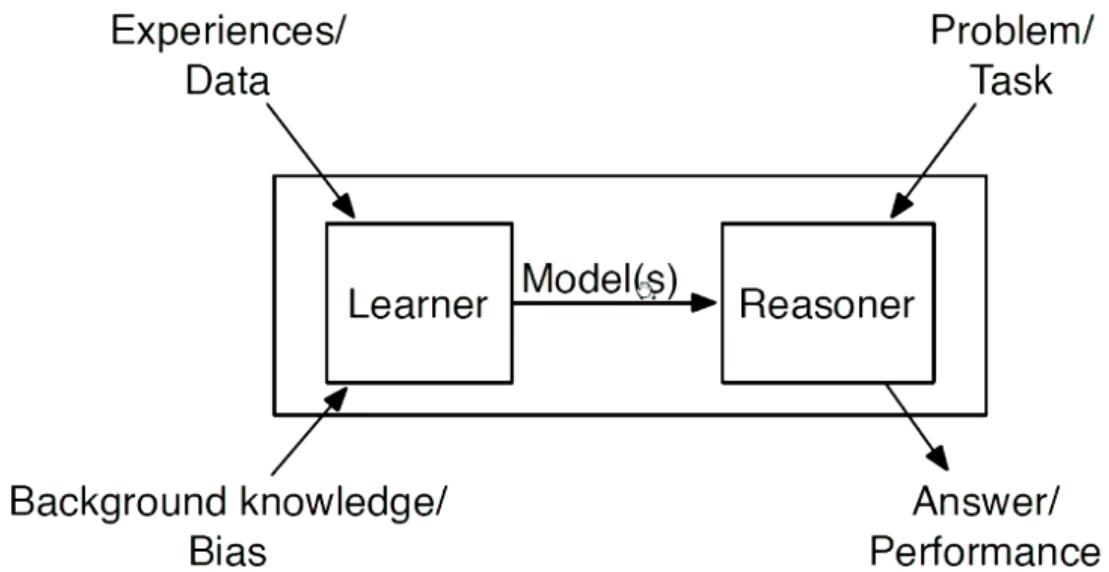
Learning is the ability to improve one's behaviour based on experience

- The range of behaviours is expanded: the agent can do more
- The accuracy on tasks is improved: the agent can do things better
- The speed is improved: the agent can do things faster

### **Components of a learning problem**

The following components are part of any learning problem:

- Task: the behaviour or task that's being improved, for example classification, acting in an environment
- Data: the experiences that are being used to improve performance in the task
- Measure of improvement: how can the improvement be measured?



**Figure 22:** Learning Architecture (high level overview)

### Supervised Learning

Given:

- a set of input attributes (features)
- A target attribute (feature)
- A set of training examples (instances) where the value of input and the target variables are given;

Automatically build a predictive model that takes a new instance and returns a prediction of the value for the target feature for the given instance

Note: The terms feature, attribute and random variable are used with the same meaning in this context

## Supervised Learning: Classification

In classification the target feature is discrete and represents a *class label*.

For instance in the following problem:

- **Input attributes:** Author, Thread, Length, Where
- **Target attribute (class label):** Action

### Training Examples:

	Action	Author	Thread	Length	Where
e1	skips	known	new	long	home
e2	reads	unknown	new	short	work
e3	skips	unknown	old	long	work
e4	skips	known	old	long	home
e5	reads	known	new	short	home
e6	skips	known	old	long	work

### New Examples:

e7	???	known	new	short	work
e8	???	unknown	new	short	work

We want to classify new examples on feature *Action* based on the examples' *Author*, *Thread*, *Length*, and *Where*.

**Figure 23:** Example of supervised learning: classification

Another example of supervised learning is a Regression, (see lecture for more information)

- We measure how good or bad a model is with respect to a data set
- Common performance measures in classification:

$$\text{error} = \frac{\text{number of incorrectly classified (predicted) instances}}{\text{total number of instances}}$$

$$\text{accuracy} = \frac{\text{number of correctly classified (predicted) instances}}{\text{total number of instances}}$$

$$\text{accuracy} + \text{error} = 1$$

- Common performance measures in regression:
  - Mean Squared Error (MSE)
  - Mean Absolute Error (MAE)

$$\text{MSE} = \frac{1}{n} \sum_{i=1}^n (Y_i - \hat{Y}_i)^2.$$

$$\text{MAE} = \frac{1}{n} \sum_{i=1}^n |Y_i - \hat{Y}_i|.$$

8

**Figure 24:** Measure of performance in supervised learning

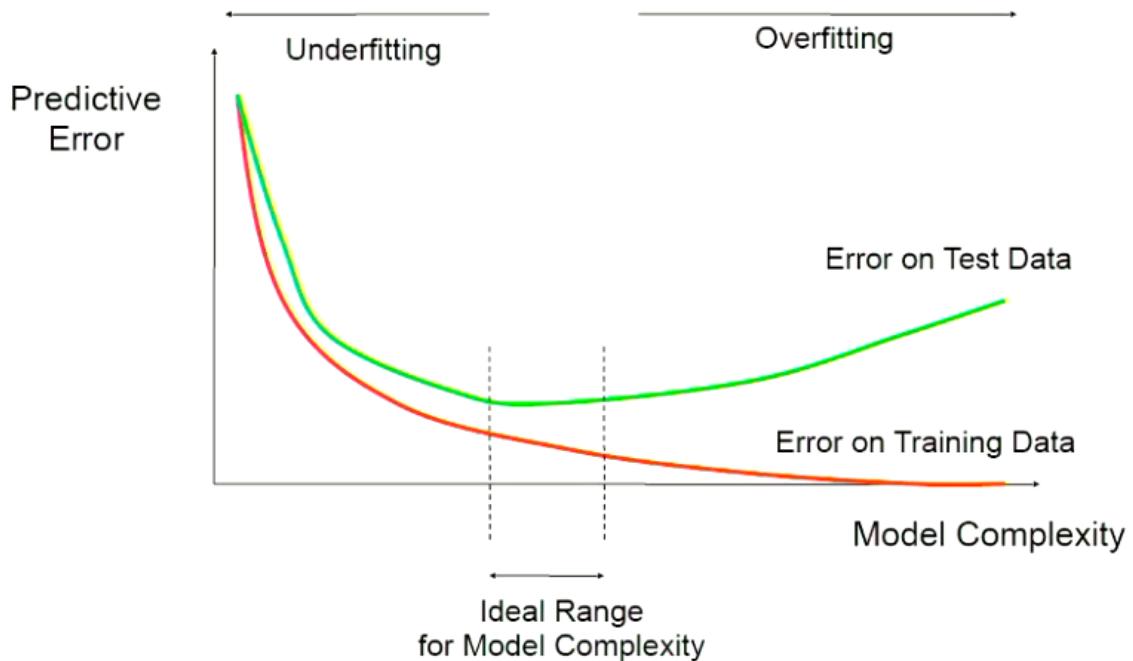
### Training and testing sets

A given set of examples is divided into:

- Training examples: that are used to train a model
- Test examples that are used to evaluate the model
  - THESE MUST BE KEPT SEPARATE

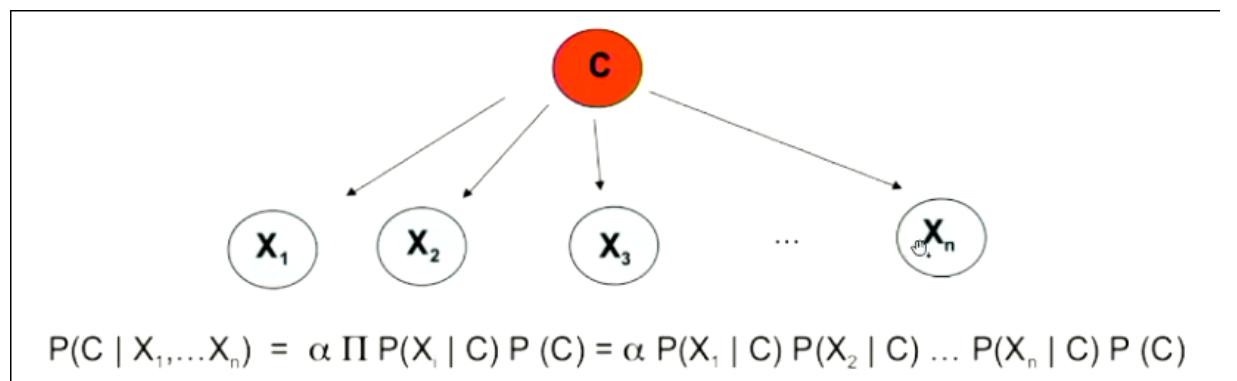
### How overfitting affects prediction

It allows the model to take more turns, if the model is too complex, the dispersion on the test data from the training data will be much larger, this can be seen by the below model



**Figure 25:** How overfitting affects prediction

### Naive Bayes Models



**Figure 26:** Model

**Features**  $X$  are conditionally independent given the **class** variable  $C$

- $P(C)$ : Prior distributions of  $C$ , the random variable
- $P(X_i|C)$ : Likelihood conditional distributions
- $P(C|X_1, \dots, X_n)$ : Posterior distribution

---

Widely used in machine learning as conditional probabilities  $P(X_i|C)$  can easily be estimated from labeled data

### Building a classifier

Determine whether a patient is susceptible to heart disease, given the following information:

- Whether they have a family history (T/F)
- Fasting blood sugar level (low/high)
- BMI (low, normal, high)

Classification (Heart disease): Yes or no

Given a set of data about past patients classification by experts, construct a classifier that will output the likely prediction (class) when given a new (unseen) patient (instance).

History	BG	BMI	Heart Disease
true	low	high	Yes
true	low	normal	Yes
true	low	high	Yes
true	high	high	Yes
false	high	normal	Yes
true	low	normal	No
false	low	normal	No
true	low	low	No
false	low	high	No
false	high	low	No

**Figure 27:** Sample dataset (far to small to realistically use)

### Naive Bayes Classification

How to classify?

1. Find  $P(\text{Class} | \text{an input vector})$  for different classes
2. Pick the class with the highest probability as the result of the prediction

Problem: Hard to learn  $P(\text{Class} | \text{Evidence})$

- A lot of data is required; enough for each possible assignment of evidence
- For example  $P(\text{Class}=\text{No} | \text{Hist} = \text{true}, \text{BG} = \text{high}, \text{BMI} = \text{low})$  needs lots of examples of ( $\text{Hist} = \text{true}$ ,  $\text{BG} = \text{high}$ ,  $\text{BMI} = \text{low}$ ). Then count a fraction that are “no”. This is often infeasible, specifically when there are many attributes.

---

A solution (compromise): assume input features are conditionally independent (Naive Bayes)

- Often the assumption is not entirely true, but nevertheless yields reasonable performance.

Classify a new case where:

- Hist = true
- BG = high
- BMI = low

$$P(\text{Class} \mid \text{Hist=true}, \text{BG=high}, \text{BMI=low}) = ?$$

$$\begin{aligned} P(\text{Class} = \text{No} \mid \text{Hist=true}, \text{BG=high}, \text{BMI=low}) &= \\ \alpha \times P(\text{Class}=\text{No}) \times P(\text{Hist=true} \mid \text{Class}=\text{No}) \times P(\text{BG=high} \mid \text{Class}=\text{No}) \times P(\text{BMI=low} \mid \text{Class}=\text{No}) &= \\ \alpha \times 0.5 \times 0.4 \times 0.2 \times 0.4 &= \alpha \times 0.016 \end{aligned}$$

$$\begin{aligned} P(\text{Class} = \text{Yes} \mid \text{Hist=true}, \text{BG=high}, \text{BMI=low}) &= \\ \alpha \times P(\text{Class}=\text{Yes}) \times P(\text{Hist=true} \mid \text{Class}=\text{Yes}) \times P(\text{BG=high} \mid \text{Class}=\text{Yes}) \times P(\text{BMI=low} \mid \text{Class}=\text{Yes}) &= \\ \alpha \times 0.5 \times 0.8 \times 0.4 \times 0 &= \alpha \times 0 \end{aligned}$$

After normalisation, the probabilities become 1 and 0.

Prediction: Reject

But the probabilities don't seem realistic! Why?

**Figure 28:** Using the classifier

### Laplace Smoothing

Problem: zero count (in small data sets) lead to zero probabilities (i.e. impossible) which is too strong a claim based on only a small sample.

Solution: add a non-negative *pseudo-count* to the counts

Let  $\text{count}(\text{constraints})$  be the number of examples in the data set that satisfy the given constraints. For example:

- $\text{count}(A=a, B=b)$  is the number of examples in the data set for which  $A=a$  and  $B=b$
- $\text{count}(B=b)$  is the number of examples in the data set for which  $B=b$
- $\text{count}()$  is the number of examples in the data set

Also let  $\text{domain}(A)$  be the set of different values  $A$  can take and let  $|\text{domain}(A)|$  be the number of these different values. Then

$$\begin{aligned} P(A = a | B = b) &\approx \frac{\text{count}(A = a, B = b) + \text{pseudocount}}{\sum_{a' \in \text{domain}(A)} (\text{count}(A = a', B = b) + \text{pseudocount})} \\ &= \frac{\text{count}(A = a, B = b) + \text{pseudocount}}{\text{count}(B = b) + \text{pseudocount} \times |\text{domain}(A)|} \end{aligned}$$

**Figure 29:** Laplace Smoothing

Classify a new case where:

- Hist = true
- BG = high
- BMI = low

$P(\text{Class} | \text{Hist=true, BG=high, BMI=low}) = ?$

$$\begin{aligned} P(\text{Class} = \text{No} | \text{Hist=true, BG=high, BMI=low}) &= \\ \alpha \times P(\text{Class}=\text{No}) \times P(\text{Hist=true} | \text{Class}=\text{No}) \times P(\text{BG=high} | \text{Class}=\text{No}) \times P(\text{BMI=low} | \text{Class}=\text{No}) &= \\ \alpha \times 1/2 \times 3/7 \times 2/7 \times 3/8 = \alpha \times 18/784 &= \end{aligned}$$

$$\begin{aligned} P(\text{Class} = \text{Yes} | \text{Hist=true, BG=high, BMI=low}) &= \\ \alpha \times P(\text{Class}=\text{Yes}) \times P(\text{Hist=true} | \text{Class}=\text{Yes}) \times P(\text{BG=high} | \text{Class}=\text{Yes}) \times P(\text{BMI=low} | \text{Class}=\text{Yes}) &= \\ \alpha \times 1/2 \times 5/7 \times 3/7 \times 1/8 = \alpha \times 15/784 &= \end{aligned}$$

Prediction: Reject

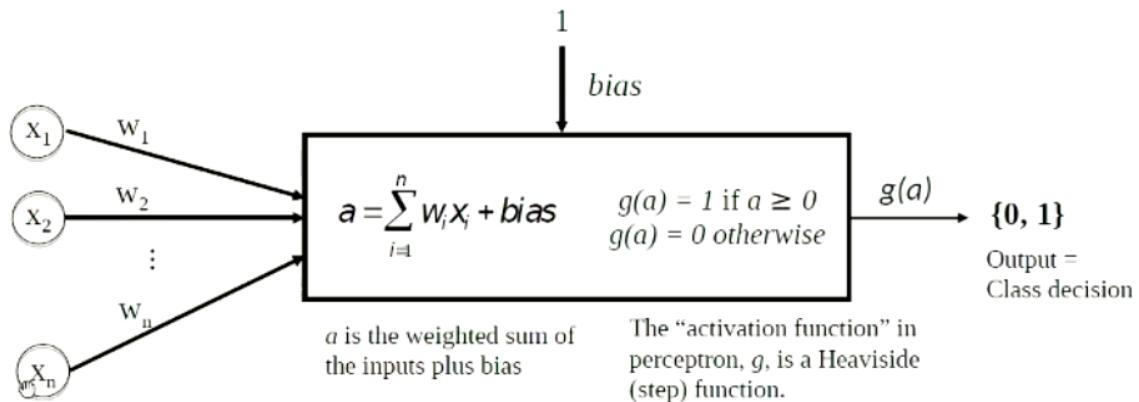
*Same prediction but different confidence.*

**Figure 30:** Classification after Smoothing

## Lecture Eight: Artificial Neural Networks

### Source of inspiration (Biological Neural Networks)

- Brain as a network of “neurons”
- The interaction between them produces something interesting (mimicking intelligence)
- The building blocks of neurons
- Neuron:
  - Receives a signal from multiple inputs
  - Strength of the signal is affected by synaptic weights
  - If the overall signal is above a threshold, the neuron fires (sends a signal to its outputs) (Could be a neuron or an output)



**Figure 31:** Modeling a single neuron: Perceptron

In the above model,  $X_n$  represents previous nodes,  $w_n$  represents the weights given from the previous nodes, the current node computes  $a$  and then outputs  $g(a)$  being the weight given from our particular node/neuron.

---

## Application: Decision Making and Classification

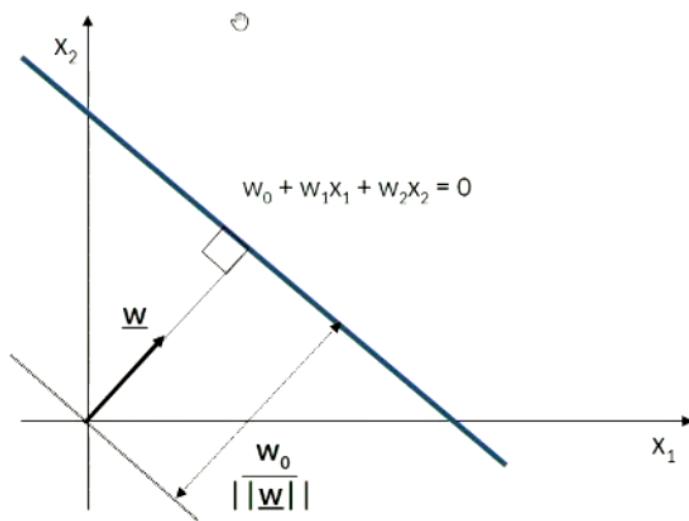
- Perceptron can be seen as a predicate
  - given a vector  $x$
  - $f(x) = 1$  if that predicate over  $x$  is **true**
  - $f(x) = 0$  if that predicate is **false**
- Therefore it can be used for decision making and binary classification problems
  - spam vs non-spam
  - diseased vs healthy
- In binary classification
  - given input vector  $x$
  - $f(x) = 1$  if  $x$  is in **positive class**
  - $f(x) = 0$  if  $x$  is in **negative class**

### Geometric interpretation of weights and bias

Since the transition in output happens at  $a = 0$ , the equation of the decision boundary is:

$$a = w_0 + w_1x_1 + w_2x_2 + \dots + w_nx_n = 0$$

This will give a linear decision boundary shown with **two dimensions** in the figure below:



- How do we plot the decision boundary?
- What does a decision boundary look like in a problem with 3 input features (attributes)?

5

**Figure 32:** linear decision boundary

---

NOTE: a decision boundary with three values will give a plane (three dimensions)

### Notes on visualising the decision boundary

- An easy way of finding a linear decision boundary in 2D is to find two points that lie on it
  - *worked example in the lecture: (24:00)*
- The direction of a vector  $w$  (without a bias) shows on which side of the hyper-plane patterns will be classified as positive (output will be 1).
- If  $w_a$  (bias) is positive then the origin is on the positive side of the discriminator (line), otherwise on the negative side.

### Perceptron learning (for one neuron)

This is the process of finding the best weights to match our data

- Given: a data set of training data
- We want: a [perceptron](#) (line that fits curve) that models the data
- Iterate through training examples, classify each example with current values of weights and bias.  
If the example is classified correctly then don't do anything. if the example is misclassified then change the weights and bias

Where  $x_j$  is the value feature of the input pattern  $x$ ,  $w_j$  is the weight  $\eta$  is the learning rate (note this must be positive, and is usually small),  $t$  is the target/desired value and  $y$  is the perceptron output the following equations are used:

$$w_j \leftarrow w_j + \eta x_j(t - y)$$

The output of this will return  $-1$  or  $1$  to determine which direction the error happened.

$$bias \leftarrow bias + \eta(t - y)$$

This will tell us whether to increase the bias or to decrease the bias, we have to repeat these a number of iterations in order to get valid results.

Below is a trace of this algorithm:

```
1 weights = [1.0, 1.0]
2 bias = -2.0
3 eta = 0.5 # learning rate (usually far smaller)
4 max_epochs = 500
5
```

---

```

6 # this is in two space (size of input tuple)
7 examples = [
8   ((0,4), 0),
9   ((-2,1), 1),
10  ((3,5), 1),
11  ((1,1), 1),
12 ]

```

```

-----
epoch: 1
weights: [1.0, 1.0]
bias: -2.0
pattern, output, target: (0, 4), 1, 0
updating the weights and bias to: [1.0, -1.0] -2.5
pattern, output, target: (-2, 1), 0, 1
updating the weights and bias to: [0.0, -0.5] -2.0
pattern, output, target: (3, 5), 0, 0
pattern, output, target: (1, 1), 0, 1
updating the weights and bias to: [0.5, 0.0] -1.5

-----
epoch: 2
weights: [0.5, 0.0]
bias: -1.5
pattern, output, target: (0, 4), 0, 0
pattern, output, target: (-2, 1), 0, 1
updating the weights and bias to: [-0.5, 0.5] -1.0
pattern, output, target: (3, 5), 1, 0
updating the weights and bias to: [-2.0, -2.0] -1.5
pattern, output, target: (1, 1), 0, 1
updating the weights and bias to: [-1.5, -1.5] -1.0

```

**Figure 33:** Example trace of this algorithm, given epoch, weights and initial bias

We stop the algorithm when we get a correct output (or a close approximation to what we are looking for depending on dataset)

### Weight Updates

If we have a misclassified value, (wrong side of the normal of the perceptron), we calculate a new vector by taking the sum of the current vector +  $\eta \underline{x}(t - y)$

### Limitations of single perceptron

The XOR problem:

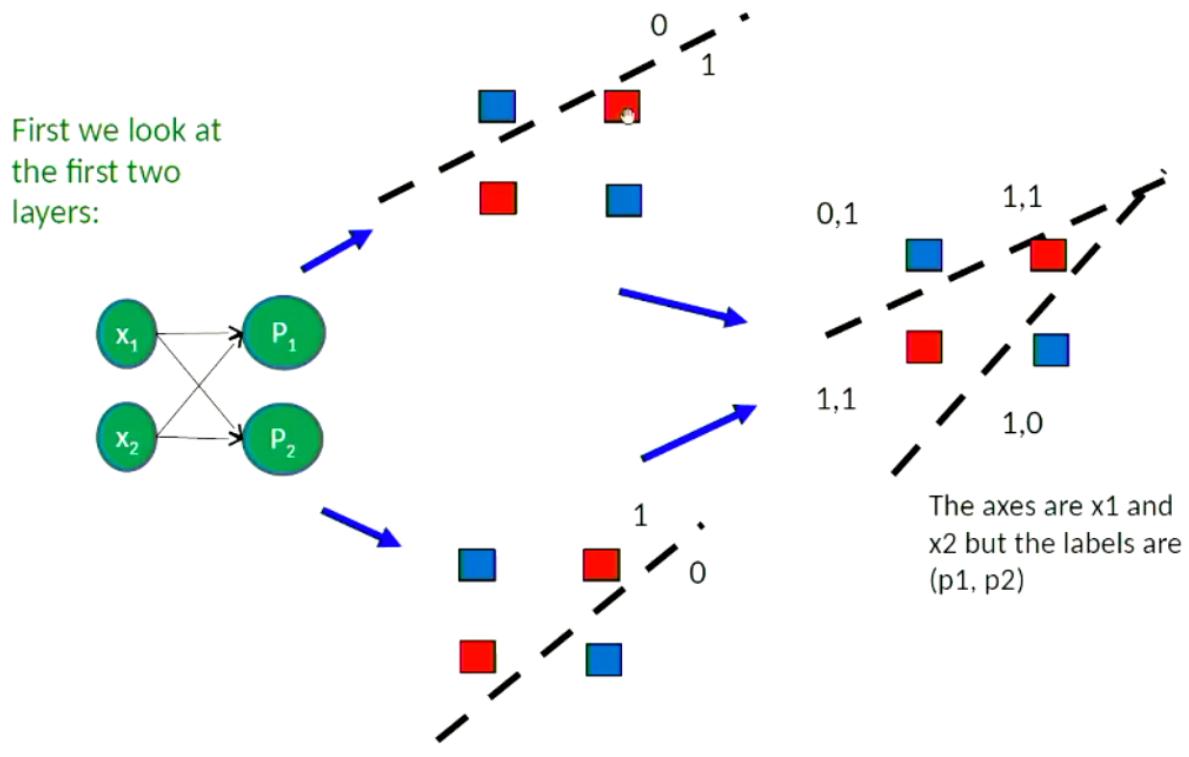
- Having both a single perceptron cannot solve cases where there are four nodes and two of these nodes are miss-classified, (you cannot draw a single perceptron that satisfies all the nodes).
- The solution is to use Multilayer Perceptrons (MLP's)

---

## Multi Layer Perceptrons (MLP's)

- Instead of using a single perceptron, use a whole network of them
- The output of a node becomes the input for another node
- The network is usually arranged in layers, the data flows through layers, one layer at a time.
- As a whole the network acts like a function taking a vector of input data and outputting a scalar (or vector).
- Can be used for regression or classification
- The network function is computed layer by layer
- The first layer of the network is called the input layer
- The number of units in the input layer is equal to the number of features in the problem domain.  
For each input vectorm the  $i$ -th input unit returns the value of the  $i$ -th feature (no weight or function is applied).
- The last layer is called the output layer
- The number of output nodes depends on how we have encoded the output called **hidden layers**
- All nodes (units) in all layers except the input layer are Perceptrons
- A network with only one layer (acting as input and output is an **identity function**)
- Adjacent layers are fully connected
- There is no backward connection
- THere is not shortcut to the right layers (layers cannot skip)
- Each perceptron has many weight parameters as the number of its inputs
- In designing neural networks. The number of hidden layers and the number of nodes in the hidden layers depends on the complexity of the problem>

### How MLP's solve the XOR problem



21

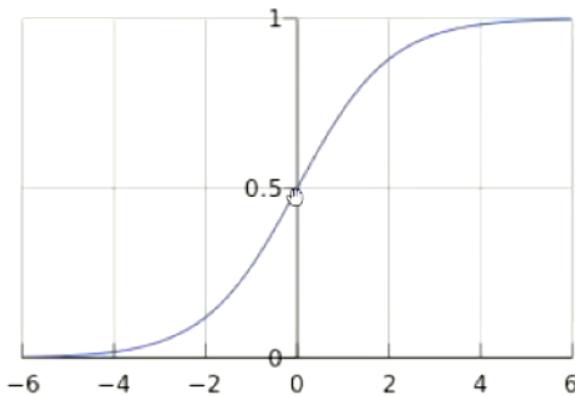
**Figure 34:** Using a three layer MLP for the XOR problem

Written explanation of the solution (reference the xor problem above):

Note in the first perceptron ( $P_1$ ), the blue node to the left of the perceptron is labelled as 0, the other three nodes are labelled as 1, in the second perceptron ( $P_2$ ), vice versa is applied. This results in the given perceptron where we have two distinctions between  $(1, 1)$  which is inside the two perceptron's and  $(0, 1)$  on the outside of the perceptrons.

NOTE: We want to have an algorithm to define this for us, this is not in the scope of this course (unfortunately :(), however this would be a sick thing to go over in my own time, below is a little explanation of this idea

### Using a Sigmoid Function (don't think this is assessed, but its cool as fuck)



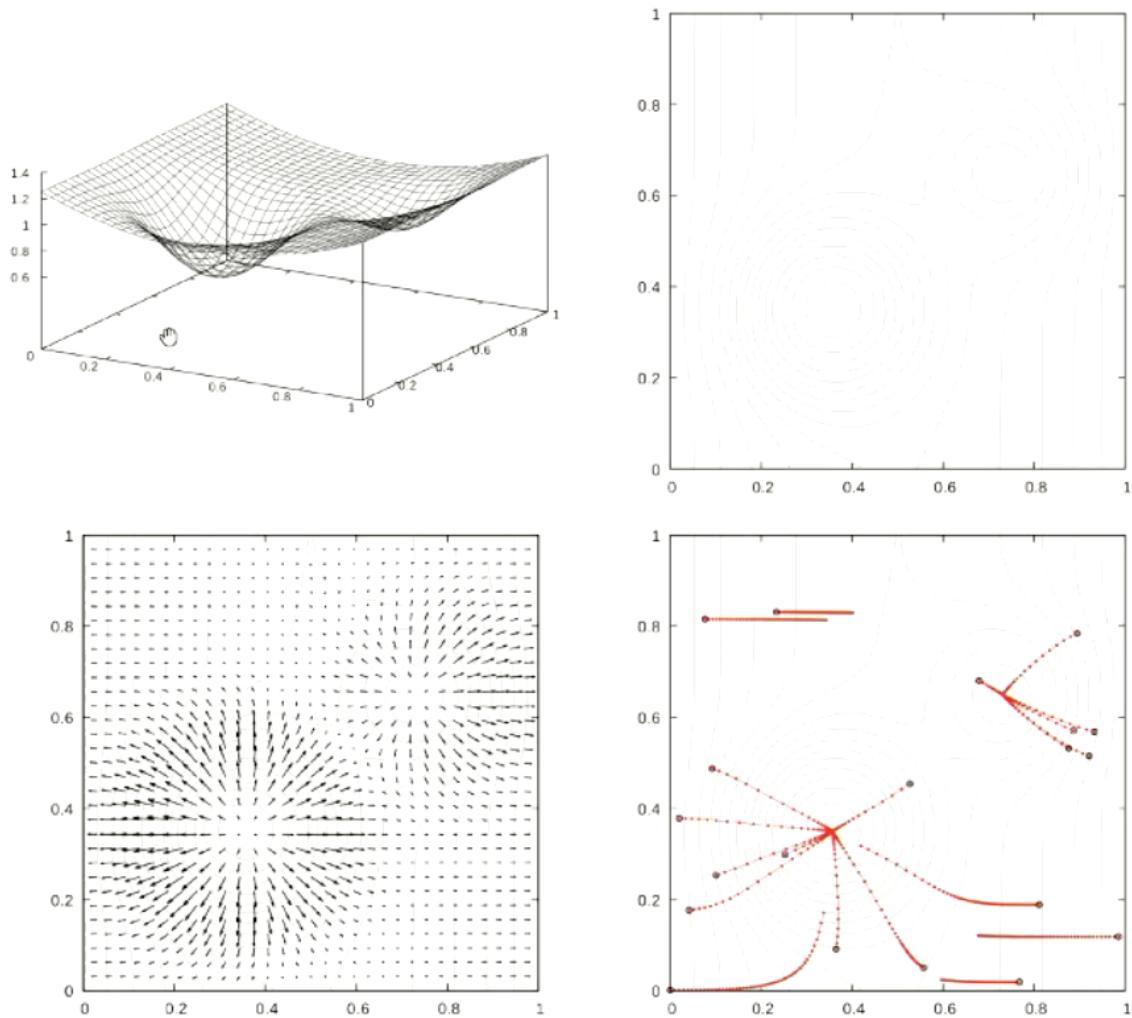
$$g(a) = \frac{1}{1+e^{-a}}$$

- It is differentiable at all points
- It handles uncertainty (e.g. an input example could be positive with different degrees of certainty from 0.5 to 1).
- Have to define an error function (generally called a loss function)
  - $E = \sum_{i=1}^n (t_i - y_i)^2$
- Then we have to compute the gradient and evaluate the following:
  - $W \leftarrow W - \eta \delta E(W)$
  - The gradient is a vector of partial derivatives
  - The learning rate (step size) determines how much is made in each iteration

Here is a visual representation of this process:

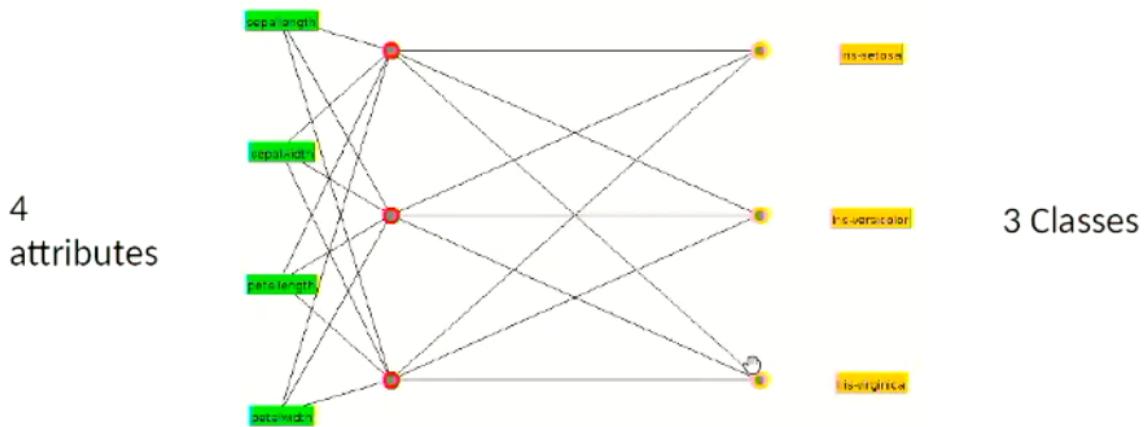
The bottom left represents the error function dispersion, (the further away from the epicenter, the more errors it has, the closer to the epicenter, the more optimised the result will be):

The bottom right, shows some training data assessment, as you can see it gets stuck on some cases, as the gradient approaches 0 (top left of the graph).



### Network architecture for a typical classification task

- The number of inputs and outputs is determined by the problem
- One hidden layer is enough for many classification tasks. Therefore, there will be a layer of input nodes, a hidden later of neurons and an output layer, given the below state:



**Figure 35:** Single hidden layer network

### A rough guideline for network size

- Best is to have as few hidden layers as possible
  - Focuses better generalization
  - Fewer weights to be found
  - Less flexibility and less likely to overfit
- Number of nodes in the hidden layer:
  - Make the best guess you can
  - If training is unsuccessful try more hidden nodes
  - If training is successful try fewer hidden nodes

### Problems in this algorithm

- Game tree size, as size of the game tree gets larger, the search across the decision tree becomes too large an example of this is chess, the decision tree is too large for straight minimax to be run on it.

### How to reduce the search space?

- Pruning the game tree
  - Generic algorithm, will work with all games
- Heuristic evaluations of states
- Tables lookup instead of search (*for opening and closing situations*), chess openings, checkmate patterns

---

## Pruning: The Idea

If an option is bad compare to other options, there is no point in expending search time to find out how bad that option is. If the minimising agent finds a node that can only be more than the previous iteration, the min agent will discard this node (saving us computation time in the search space).

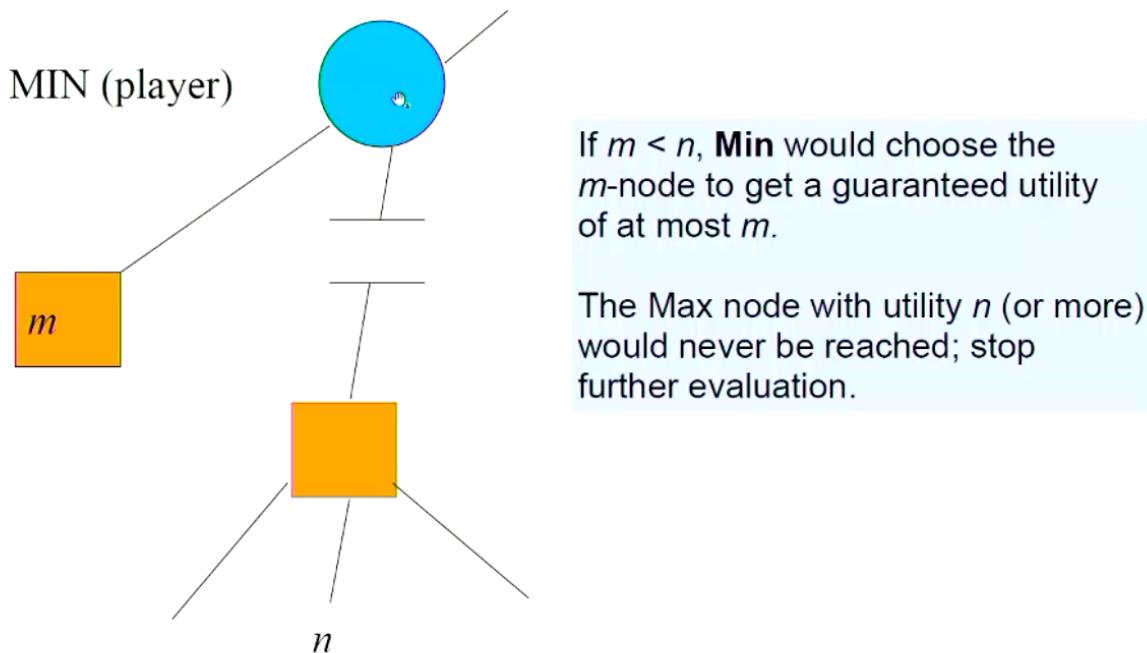
To do this, we need a mechanism to know events higher up in the tree.

It is important to note that this decision tree does not map to the decisions made in the game, these are some iterations that are being *considered*, the goal node is to find the best option to take at the depth we are considering (at the top of the tree that has not been evaluated).

## Alpha-Beta Pruning: The Concept

If  $m > n$  Max would chose the  $m$ -node to get a guaranteed utility of at least  $m$

The min node with utility  $n$  (or less) would never be reached; stop further evaluation



**Figure 36:** Alpha-Beta Pruning

## Alpha-Beta Pruning: The Algorithm

Similar to MinMax algorithm, but Max-Value and Min-Value keep two local variables  $\alpha$  and  $\beta$ .

- $\alpha$  = highest-value choice found for MAX higher up in the tree (initially  $\alpha = -\text{INF}$ )

- 
- $\beta$  = lowest-value choice found for `NIN` higher up in the tree (initially  $\beta = \text{INF}$ )

Pass current values of  $\alpha$  and  $\beta$  down to child calls (nodes) during the search.

Update values of  $\alpha$  and  $\beta$  during search:

- Max-Value updates  $\alpha$  (at Max nodes)
- Min-Value updates  $\beta$  (at Max nodes)

Prune remaining branches at a node when  $\alpha \geq \beta$

```

1 function Alpha-Beta-Search(state) return an action
2   v <- Max-Value(state, -x, +x)
3   return the action in ACTIONS(state) with value v
4
5 function Max-Value(state, a, b) return a utility value
6   if Terminal-Test(state) then return Utility(state)
7   v <- Max(v, Min-Value(Result(s, a), a, b))
8   a <- Max(a, v)
9   if a >= b then return v
10  return v
11
12 function Min-Value(state, a, b) return a utility value
13   if Terminal-Test(state) then return Utility(state)
14   v <- Min(v, Max-Value(Result(s, a), a, b))
15   a <- Min(a, v)
16   if a <= b then return v
17  return v

```

## Lecture Nine: Concluding Remarks

This lecture is the opportunity to explore a high-level overview of AI and machine learning algorithms.  
(Basically stuff that most likely won't be assessed.)

### So what is artificial intelligence?

- No universal definition as intelligence takes many forms
- A broad definition for AI would be `the synthesis and analysis of computational agents that act intelligently`

### Agent architecture

An agent is made up of a body and a controller:  
\* The `Body` is made up of `sensors` that interpret stimuli and `actuators` that carry out actions.  
\* The controller receives `percepts` from the body.  
\* The controller sends `commands` to the body.  
\* The body can also have reactions that are not controlled.

- The controllers are basically the brains within an agent.

- 
- Agents are situated in time, they receive sensory data in time and do actions in time.

### **Major Concerns in AI**

- Reliability
- Fairness
- Transparency and interpretability
- Job opportunities
- Distribution of wealth
- Singularity: concern for some people

### **What fields to go to next?**

- Advanced algorithms
- Logic
- Probability theory, Bayesian Interface
- Linear algebra
- Optimisation, functional analysis

### **Books to read to further from this course**

- Artificial Intelligence: A modern approach
- Artificial Intelligence: Foundations of computational agents