

# Asymptotic Notations

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# Introduction

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- Asymptotic Notations are mathematical tools used to analyze the performance of algorithms by understanding how their efficiency changes as the input size grows.
- There are mainly three asymptotic notations:
  - Big-O Notation ( $O$ -notation) ---- Worst Case
  - Omega Notation ( $\Omega$ -notation) ---- Best Case
  - Theta Notation ( $\Theta$ -notation) ---- Average Case

# Big-O Notation (O-notation)

➤ The upper bound of the running time of an algorithm. There is a worst case complexity of an algorithm.

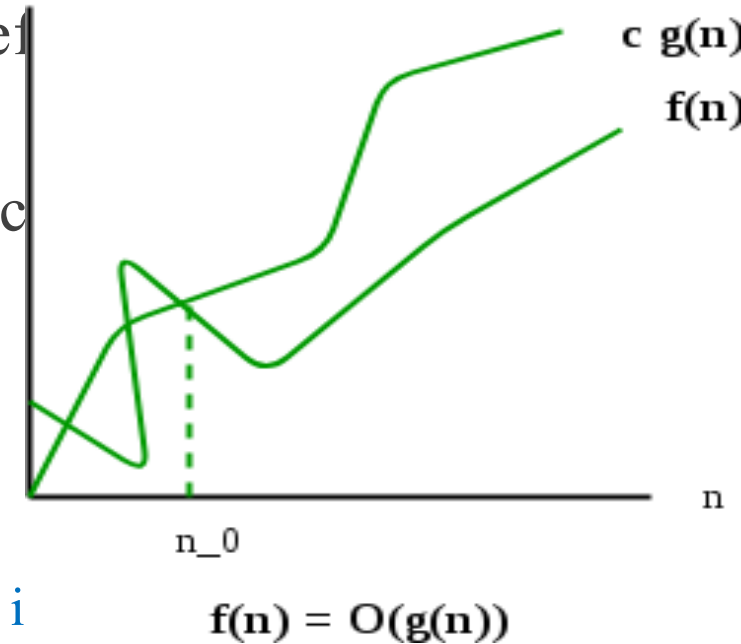
➤  $O(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that for all } n \geq n_0 \}$

➤ Example: Let us consider a given function,  $f(n)=4n^3+10n^2+5n+1$

Considering  $g(n)=n^3$ ,

$f(n) \leq 20g(n)$ , for all the values of  $n > 0$

Hence, the complexity of  $f(n)$  can be represented as  $O(g(n))$ , i



# Omega Notation ( $\Omega$ -Notation)

➤ The lower bound of the running time of an algorithm. This case complexity of an algorithm.

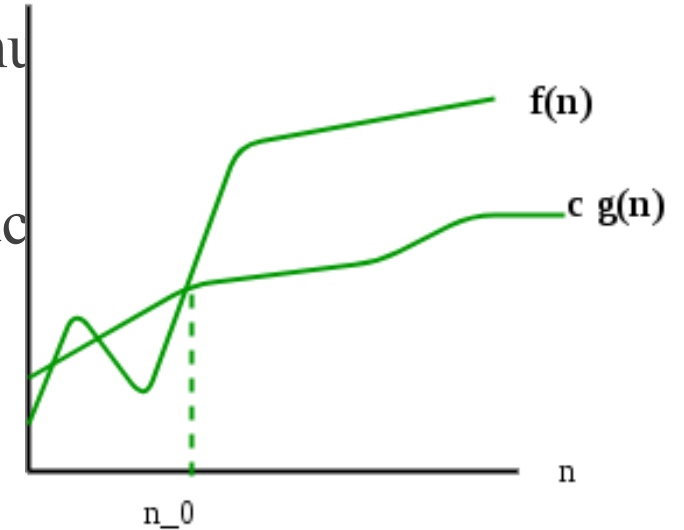
➤  $\Omega(g(n)) = \{ f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such for all } n \geq n_0 \}$

➤ Example: Let us consider a given function,  $f(n) = 4n^3 + 10n^2 + 5n + 1$

Considering  $g(n) = n^3$

$f(n) \geq 4g(n)$ , for all the values of  $n > 0$

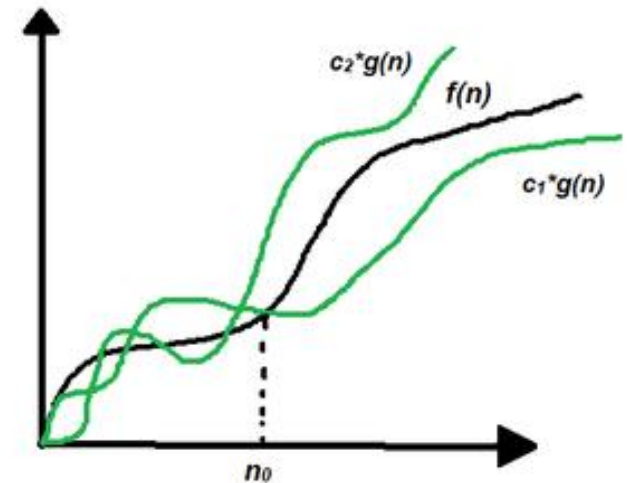
Hence, the complexity of  $f(n)$  can be represented as  $\Omega(g(n))$ , i.e.  $\Omega(n^3)$



$f(n) = \Omega(g(n))$

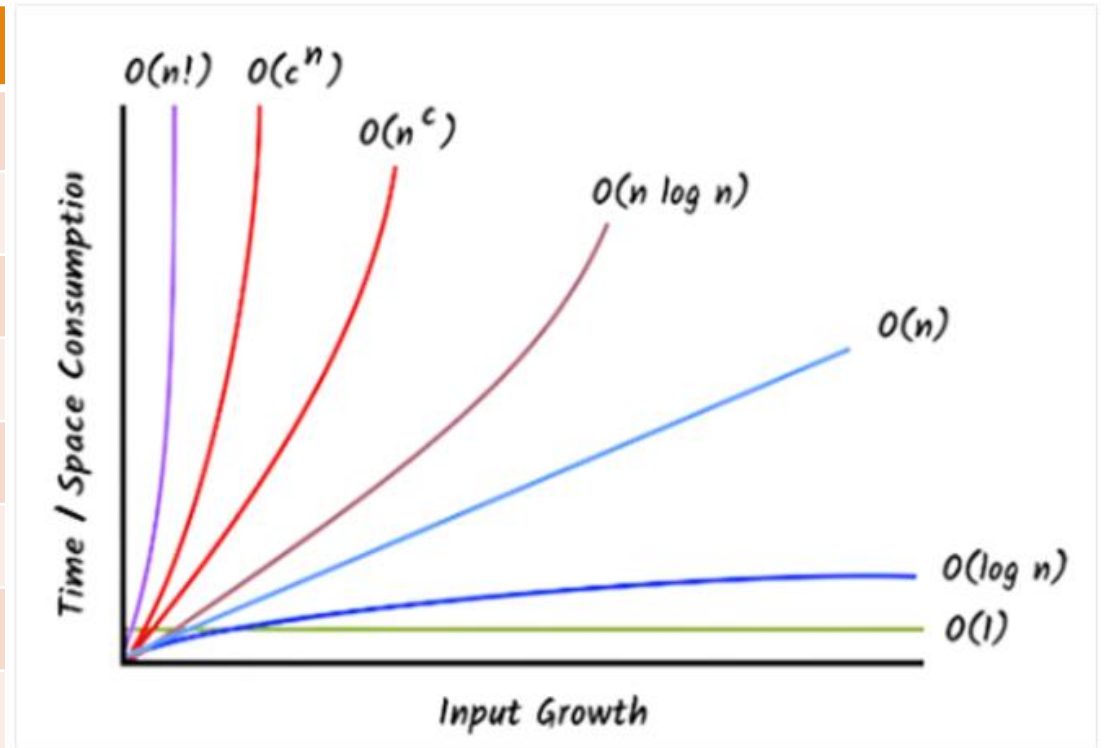
# Theta Notation ( $\Theta$ -Notation)

- The upper and the lower bound of the running time of an algorithm, it is used for analyzing the average-case complexity of an algorithm.
- $\Theta(g(n)) = \{f(n): \text{there exist positive constants } c_1, c_2 \text{ and } n_0 \leq f(n) \leq c_2 * g(n) \text{ for all } n \geq n_0\}$
- Example: Let us consider a given function,  $f(n)=4n^3+10n^2+5n+1$   
Considering  $g(n)=n^3$   
 $4.g(n) \leq f(n) \leq 20.g(n)$ , for all the large values of  $n$ .  
Hence, the complexity of  $f(n)$  can be represented as  $\theta(g(n))$ , i.e.  $\theta(n^3)$



# Common Asymptotic Notations

Name	Notation
Constant	$O(1)$
Logarithmic	$O(\log n)$
Linear	$O(n)$
Linearithmic, Log-linear	$O(n \log n)$
Quadratic	$O(n^2)$
Polynomial	$O(n^c)$
Exponential	$O(c^n)$ ; where $c > 1$
Factorial	$O(n!)$



# Problems for Practice

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1. Consider the following functions representing the runtime of different algorithms:

$$f(n)=8n^2+3n+10; g(n)=5n+100; h(n)=2n^3+7$$

- i. Determine the asymptotic complexity (Big-O) of each function.
- ii. Rank them in terms of efficiency for large  $n$ , explaining your reasoning.

2. Consider the following functions representing the runtime of different algorithms:

$$f(n)=6n^3+2n^2+15; g(n)=4n+\log n; h(n)=3n^2+100$$

- i. Determine the asymptotic lower bound (Big- $\Omega$ ) of each function.
- ii. Rank the functions based on their minimum growth rate and explain.

3. Consider the following functions representing the runtime of different algorithms:

$$f(n)=2n^2+50n+20; g(n)=7n+5; h(n)=10n^3+n^2+2$$

- i. Prove that each function belongs to a specific asymptotic class using Big- $\Theta$  notation.
- ii. Rank them by asymptotic growth rate with explanation.



# Complexity Analysis of Recurrence Relation

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# Definition

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A recurrence relation is a mathematical expression that defines a sequence in terms of its previous terms.

*General form of a **Recurrence Relation**:*

$$a_n = f(a_{n-1}, a_{n-2}, \dots a_{n-k})$$

***Example:***

<b>Fibonacci Sequence</b>	$F(n) = F(n-1) + F(n-2)$
<b>Factorial of a number n</b>	$F(n) = n * F(n-1)$
<b>Merge Sort</b>	$T(n) = 2*T(n/2) + O(n)$
<b>Binary Search</b>	$T(n) = T(n/2) + 1$

# Solving Technique

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Solving recurrences plays a crucial role in the analysis, design, and optimization of algorithms.

There are mainly three ways of solving recurrences:

- Substitution Method
- Recurrence Tree Method (**Study yourself**)
- Master Method

# Substitution Method

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It uses following steps to find Time Complexity using recurrences:

- Take the main recurrence and try to write recurrences of previous terms
- Take just previous recurrence and substitute into main recurrence
- Again take one more previous recurrence and substitute into main recurrence
- Do this process until you reach to the initial condition
- After this substitute the the value from initial condition and get the solution

# Substitution Method Cont'd

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Recurrence Relation:  $T(n) = \begin{cases} 1, & \text{if } n = 1 \\ T\left(\frac{n}{2}\right) + C, & \text{if } n > 1 \end{cases}$

Solution:

$$T(n) = T\left(\frac{n}{2}\right) + C \dots \dots \dots (1)$$

$$T\left(\frac{n}{2}\right) = T\left(\frac{n}{4}\right) + C \dots \dots \dots (2)$$

$$T\left(\frac{n}{4}\right) = T\left(\frac{n}{8}\right) + C \dots \dots \dots (3)$$

After substituting (2) into (1), we get,

$$T(n) = T\left(\frac{n}{4}\right) + 2C$$

$$= T\left(\frac{n}{4}\right) + 2C = T\left(\frac{n}{2^2}\right) + 2C$$

$$= T\left(\frac{n}{8}\right) + 3C$$

$$= T\left(\frac{n}{2^3}\right) + 3C$$

$$\dots \dots \dots$$
$$= T\left(\frac{n}{2^k}\right) + kC$$

$$= T(1) + kC, \text{ [Assume, } \frac{n}{2^k} = 1 \text{ or } n = 2^k]$$
$$= 1 + kC$$

Here,  $n = 2^k$ .

So,  $k = \log n$

Hence,  $T(n) = O(\log n)$

# Substitution Method Cont'd

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Calculate the Big-O notation of the following recurrences using Substitution method:

$$1. \quad T(n) = \begin{cases} 1 & , \text{ if } n = 1 \\ 2T\left(\frac{n}{2}\right) + n, & \text{ if } n > 1 \end{cases}$$

$$2. \quad T(n) = \begin{cases} 1 & , \text{ if } n = 1 \\ n * T(n - 1), & \text{ if } n > 1 \end{cases}$$

# Master Method (Theorem)

Usually used for divide and conquer algorithm.

*Required Form:*

$$T(n) = aT\left(\frac{n}{b}\right) + f(n) \text{ or } T(n) = aT\left(\frac{n}{b}\right) + \theta(n^k \log^p n) \text{ , where } a \geq 1, b > 1, k \geq 0$$

*Solution:*

Here,

$n$  = size of the problem

$a$  = number of sub-problems and  $a \geq 1$

$n/b$  = size of each sub-problem

$b > 1$ ,  $k \geq 0$  and  $p$  is a real number.

Condition		T(n)
$a > b^k$		$\theta(n^{\log_b a})$
$a = b^k$	$p > -1$	$\theta(n^{\log_b a} \log^{p+1} n)$
	$p = -1$	$\theta(n^{\log_b a} \log \log n)$
	$p < -1$	$\theta(n^{\log_b a})$
$a < b^k$	$p \geq 0$	$\theta(n^k \log^p n)$
	$p < 0$	$\theta(n^k)$

# Master Method Cont'd

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$$\text{Recurrence Relation: } T(n) = \begin{cases} 1, & \text{if } n = 1 \\ T\left(\frac{n}{2}\right) + C, & \text{if } n > 1 \end{cases}$$

Solution:

Here,  $a = 1$ ,  $b = 2$ ,  $k = 0$ ,  $p = 0$ .

$a = 1 = 2^0 = b^k$  and  $p > -1$ .

So,

$$\begin{aligned} T(n) &= \theta(n^{\log_2 1} \log^{0+1} n) \\ &= \theta(n^0 \log n) \\ &= \theta(\log n) \end{aligned}$$

$$\text{Recurrence Relation: } T(n) = \begin{cases} 1, & \text{if } n = 1 \\ 3T\left(\frac{n}{2}\right) + \log^2 n, & \text{if } n > 1 \end{cases}$$

Solution:

Here,  $a = 3$ ,  $b = 2$ ,  $k = 0$ ,  $p = 2$ .

$a > 1 = 2^0 = b^k$

So,

$$T(n) = \theta(n^{\log_2 3})$$



# Master Method Cont'd

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Calculate the Big-O notation of the following recurrences using Master method:

$$1. \quad T(n) = \begin{cases} 1 & , \text{ if } n = 1 \\ 2T\left(\frac{n}{2}\right) + n, & \text{ if } n > 1 \end{cases}$$

$$2. \quad T(n) = \begin{cases} 1 & , \text{ if } n = 1 \\ 8T\left(\frac{n}{2}\right) + n^2, & \text{ if } n > 1 \end{cases}$$

$$3. \quad T(n) = \begin{cases} 1 & , \text{ if } n = 1 \\ 3T\left(\frac{n}{2}\right) + n^2, & \text{ if } n > 1 \end{cases}$$

# Homework

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1. Determine when it is suitable to apply Substitution Method and when it is not.
2. Determine when it is suitable to apply Master Method and when it is not.