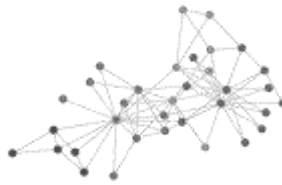


# ALGORITHMS AND DATA STRUCTURES

## LECTURE 5 — HASH TABLE AND BST

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# CONTENT

1. Hashing
2. Hash Table
3. Binary Search Tree
4. BST: Inorder Traversal



# HASHING

Hashing means using some function or algorithm to map object data to some representative integer value

This so-called hash code (or simply hash) can then be used as a way to narrow down our search when looking for the item in the set

Object class contains `hashCode()` method with its default implementation

**Recommended:** Each class provides its own implementation of `hashCode()`



# HASHING: SIMPLE EXAMPLE

Sum of ASCII values of each char <b>mod</b> no. of available slots										
Mia	M	77	i	105	a	97	279	mod 11 =	4	
Tim	T	84	i	105	m	109	298	mod 11 =	1	
Bea	B	66	e	101	a	97	264	the same for others..	0	
Zoe	Z	90	o	111	e	101	302		5	
Jan	J	74	a	97	n	110	281		6	
Ada	A	65	d	100	a	97	262		9	
Leo	L	76	e	101	o	111	288		2	
Sam	S	83	a	97	m	109	289		3	
Lou	L	76	o	111	u	117	304		7	
Max	M	77	a	97	x	120	294		8	
Ted	T	84	e	101	d	100	285		10	

Bea	Tim	Leo	Sam	Mia	Zoe	Jan	Lou	Max	Ada	Ted
0	1	2	3	4	5	6	7	8	9	10

# HASH TABLE: LINEAR PROBING

As we can see, it may happen that the hashing technique is used to create an already used index of the array. In such a case, we can search the next empty location in the array (picture on previous slide) by looking into the next cell until it finds an empty cell. This technique is called **linear probing**.

Mia	M	77	i	105	a	97	279	4
Tim	T	84	i	105	m	109	298	1
Bea	B	66	e	101	a	97	264	0
Zoe	Z	90	o	111	e	101	302	5
Sue	S	83	u	117	e	101	301	4

Bea	Tim			Mia	Zoe	Sue				
0	1	2	3	4	5	6	7	8	9	10

# HASHING: STRING EXAMPLE

```
public final class String
{
    private final char[] s;
    ...

    public int hashCode()
    {
        int hash = 0;
        for (int i = 0; i < length(); i++)
            hash = s[i] + (31 * hash);
        return hash;
    }
}
```

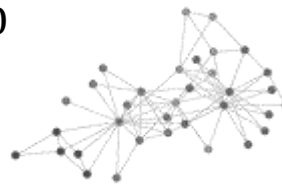
*i<sup>th</sup> character of s*

```
String s = "call";
int code = s.hashCode();
```

$3045982 = 99 \cdot 31^3 + 97 \cdot 31^2 + 108 \cdot 31^1 + 108 \cdot 31^0$   
 $= 108 + 31 \cdot (108 + 31 \cdot (97 + 31 \cdot (99)))$   
(Horner's method)

**Horner's method** to hash string of length  $L$ :  $L$  multiplies/adds.

Equivalent to  $h = s[0] \cdot 31^{L-1} + \dots + s[L-3] \cdot 31^2 + s[L-2] \cdot 31^1 + s[L-1] \cdot 31^0$



# HASHING: 'STANDARD' RECIPE

Combine each **significant** field using the  $31x + y$  rule.

If field is a primitive type, use wrapper type `hashCode()`

If field is null, return 0

If field is a reference type, use `hashCode()`

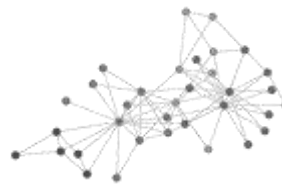
If field is an array, apply to each entry

```
public final class Transaction implements Comparable<Transaction>
{
    private final String  who;
    private final Date    when;
    private final double  amount;
    ...

    public int hashCode()
    {
        int hash = 17;
        hash = 31*hash + who.hashCode();
        hash = 31*hash + when.hashCode();
        hash = 31*hash + ((Double) amount).hashCode();
        return hash;
    }
}
```

nonzero constant

typically a small prime





# HASH TABLE(CHAINING: CLOSED ADDRESSING)

Hash table maps keys to values. Any non-null object can be used as a key or as a value

To successfully store and retrieve objects from a hashtable, the objects used as keys must implement the hashCode method and the equals method.

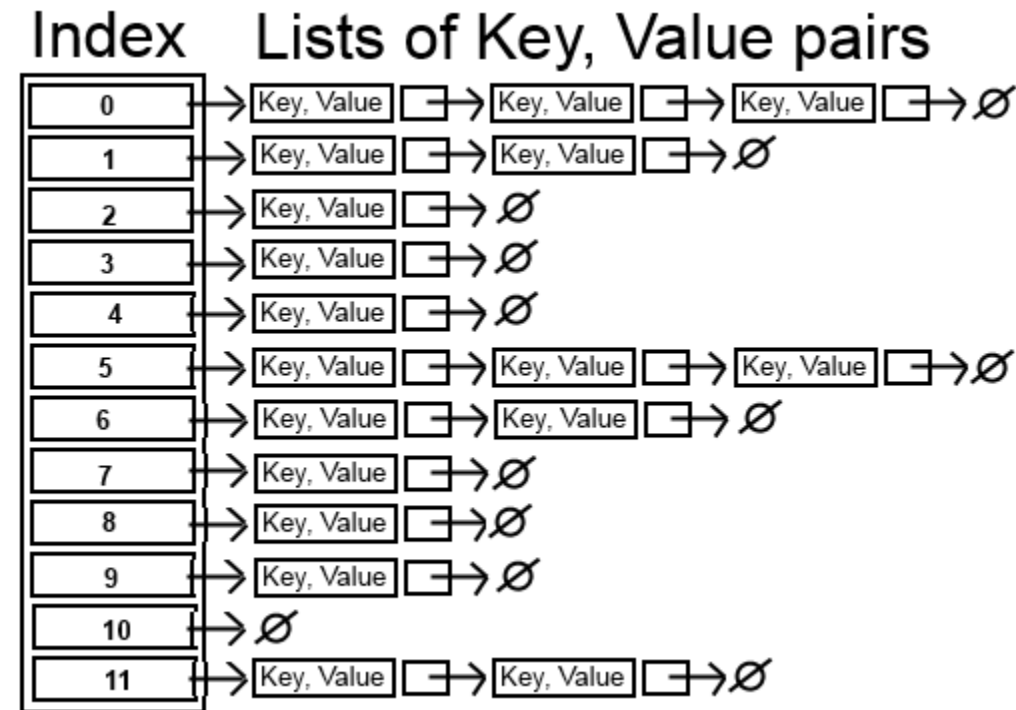
It looks like “an array of singly-linked lists (**chains**)”

Each linked list is accepted as **bucket**

Array size indicates number of buckets

Average case:

- Insertion = deletion = retrieving = searching = **O(1)**





# HASH TABLE

The **capacity** (number of buckets - **M**) and **load factor** are parameters that affect to its performance

The **load factor** is a measure of how full the hash table is allowed to get before its capacity is automatically increased (LF should be around 0.75)

Load factor = (no. of elements) / (no. of table slots)

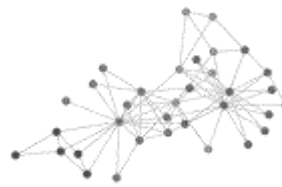
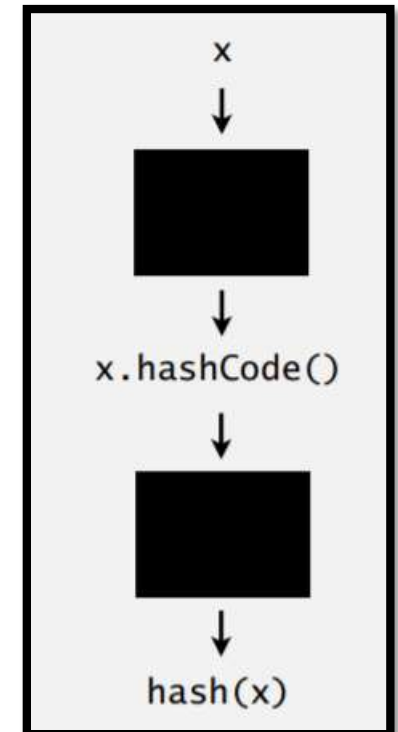
The hashCode is used to get an index of *chain* by **hash()** method (**Modular hashing**)

```
private int hash(Key key)
{ return Math.abs(key.hashCode()) % M; }
```

1-in-a-billion bug

```
private int hash(Key key)
{ return (key.hashCode() & 0x7fffffff) % M; }
```

correct



# HASH TABLE

Collision – having same index for several nodes (cannot be avoided)

- A new node should be added to the same chain (bucket)

**Challenge:** Deal with collisions efficiently

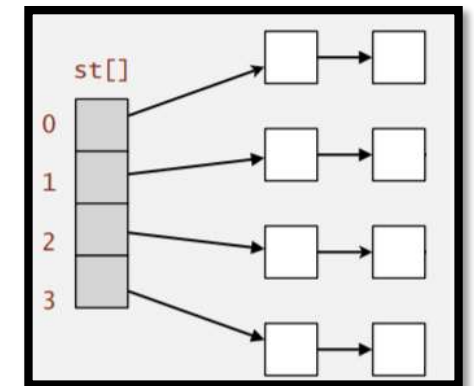
**Target:** Uniform distribution

**Analysis:** Number of probes for search/insert is proportional to  $N/M$

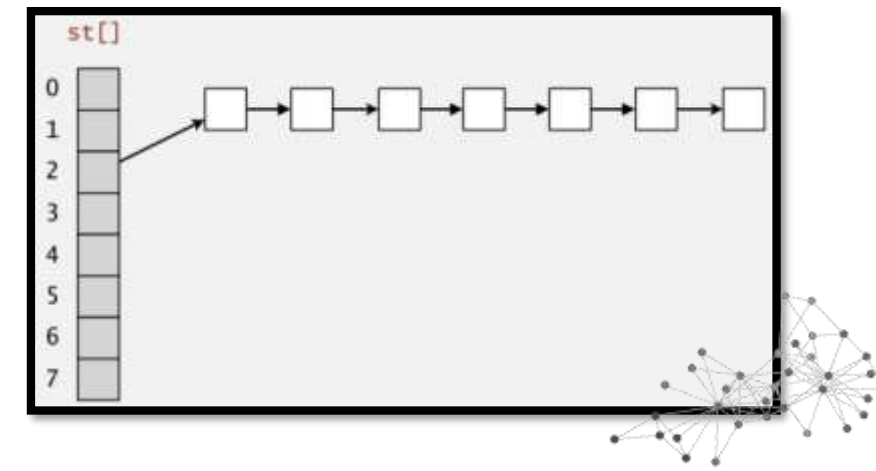
- $M$  too large  $\Rightarrow$  too many empty chains
- $M$  too small  $\Rightarrow$  chains too long
- Typical choice:  $M \sim N / 4 \Rightarrow$  constant-time ops

Once a hash table has passed its load factor - it has to rehash [create a new bigger table, and re-insert each element to the table]

Best case




Worst case



# HASH TABLE: EXAMPLE

```
public class MyHashTable<K, V> {  
    private class HashNode<K, V> {...}  
    private HashNode<K, V>[] chainArray; // or Object[]  
    private int M = 11; // default number of chains  
    private int size;  
  
    public MyHashTable() {...}  
    public MyHashTable(int M) {...}  
    private int hash(K key) {...}  
    public void put(K key, V value) {...}  
    public V get(K key) {...}  
    public V remove(K key) {...}  
    public boolean contains(V value) {...}  
    public K getKey(V value) {...}  
}
```



```
private class HashNode<K, V> {  
    private K key;  
    private V value;  
    private HashNode<K, V> next;  
  
    public HashNode(K key, V value) {  
        this.key = key;  
        this.value = value;  
    }  
  
    @Override  
    public String toString() {  
        return "{" + key + " " + value + "}";  
    }  
}
```



# OBJECTIVES OF HASH FUNCTION

- Minimize collisions
- Uniform distribution of hash values
- Easy to calculate
- Resolve any collisions

# BINARY SEARCH TREE

A BST is a binary tree in symmetric order.

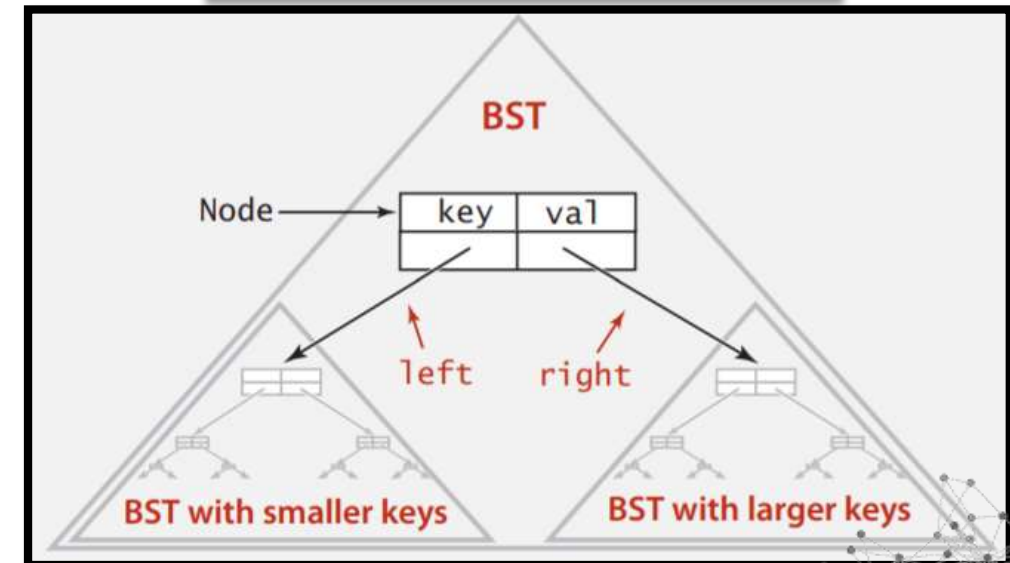
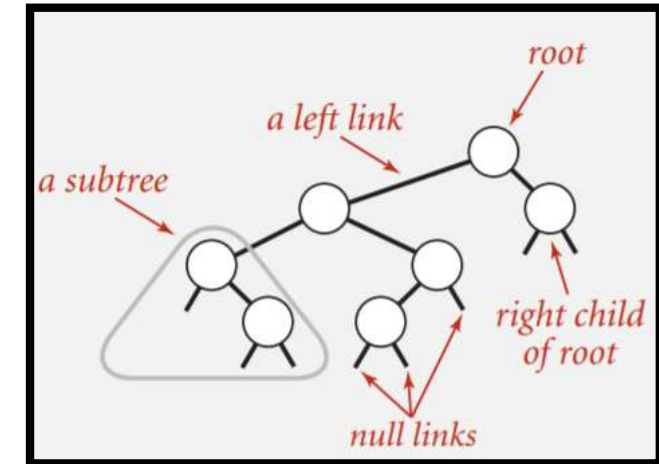
Each node has two references to left and right nodes

Symmetric order. Each node has a key, and every node's key is:

- Larger than all keys in its left subtree
- Smaller than all keys in its right subtree

A Node is composed of four fields

- Key and Value
- Left and right subtree references



# BINARY SEARCH TREE

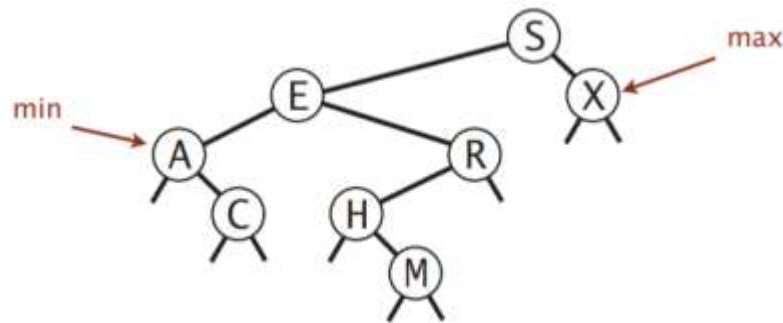
A BST uses  $O(\log(N))$  for most manipulations

**Search:** If less, go left; if greater, go right; if equal, search hit

**Insert:** If less, go left; if greater, go right; if null, insert

GetMin(): Most left node

GetMax(): Most right node



```

public class BST<K extends Comparable<K>, V> {
    private Node root;
    private class Node
    {
        private K key;
        private V val;
        private Node left, right;
        public Node(K key, V val)
        {
            this.key = key;
            this.val = val;
        }
    }
    public void put(K key, V val) {...}
    public V get(K key) {...}
    public void delete(K key) {...}
    public Iterable<K> iterator() {...}
}
    
```





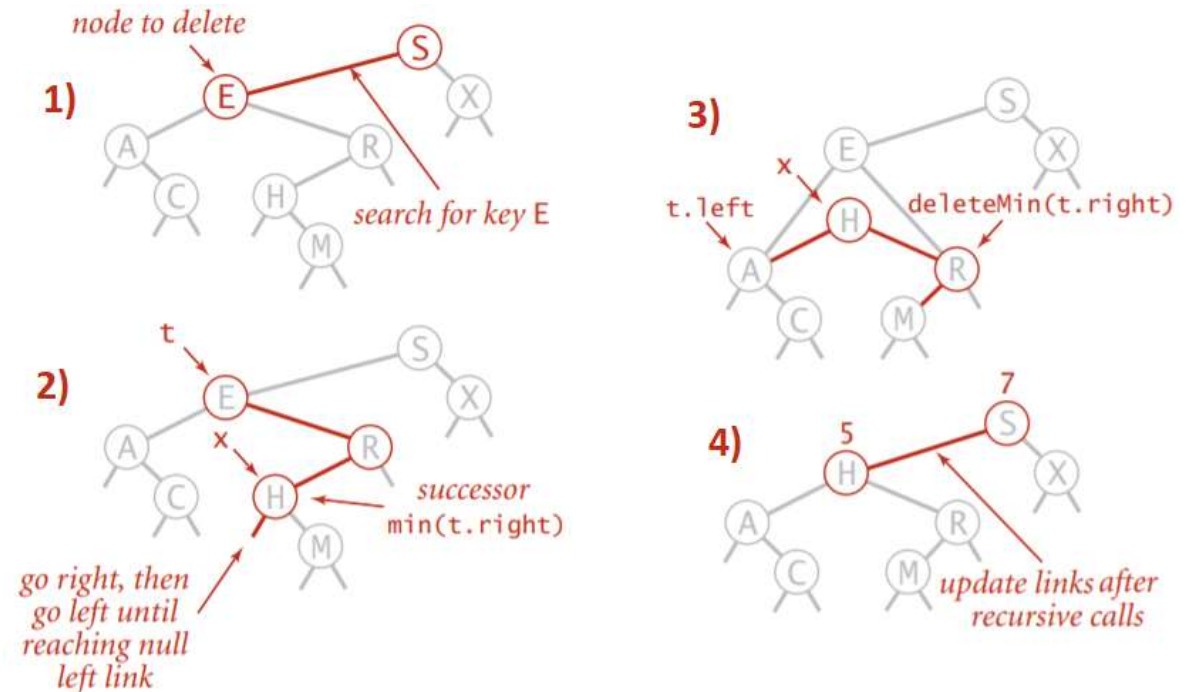
# BINARY SEARCH TREE: DELETE

To delete a node with key  $k$ : search for node  $t$  containing key  $k$

Case 1 (1 child): Delete  $t$  by replacing parent link

Case 2 (2 children):

- Find successor  $x$  of  $t$
- Delete the minimum in  $t$ 's right subtree
- Put  $x$  in  $t$ 's spot



guarantee			average case			ordered ops?	operations on keys
search	insert	delete	search hit	insert	delete		
$N$	$N$	$N$	$1.39 \lg N$	$1.39 \lg N$	$\sqrt{N}$	✓	compareTo()



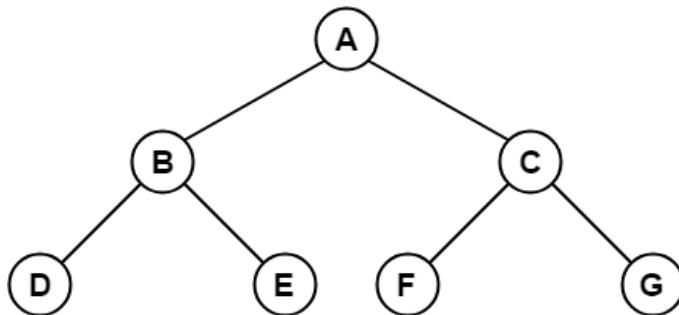


# BST: INORDER TRAVERSAL

In BST, **inorder traversal** is used to get nodes in increasing order (Left-Root-Right)

## Ordered iteration

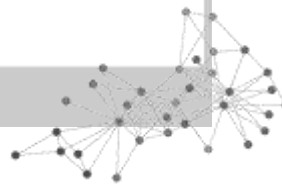
- Traverse left subtree
- Enqueue key
- Traverse right subtree



Inorder Traversal : D , B , E , A , F , C , G

```
public Iterable<Key> keys()
{
    Queue<Key> q = new Queue<Key>();
    inorder(root, q);
    return q;
}

private void inorder(Node x, Queue<Key> q)
{
    if (x == null) return;
    inorder(x.left, q);
    q.enqueue(x.key);
    inorder(x.right, q);
}
```



# LITERATURE

Algorithms, 4th Edition, by Robert Sedgewick and Kevin Wayne, Addison-Wesley

- Chapters 3.2, 3.4

Grokking Algorithms, by Aditya Y. Bhargava, Manning

- Chapter 5



**GOOD LUCK!**

