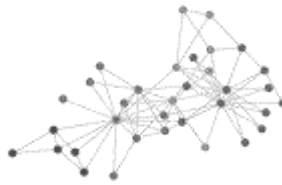


ALGORITHMS AND DATA STRUCTURES

LECTURE 4 — STACK, QUEUE AND HEAP

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CONTENT

1. Preface
2. Stack
3. Queue
4. Heap
5. Heap<T extends Comparable<T>>



PREFACE

Logical Data Structures

- Linear (Stack, Queue, etc.)
- Non-linear (Tree, Hash-Table, Graph, etc.)

A Linear data structure has data elements arranged in a **sequential manner** and each member element is connected to its previous and next element

Data structures where data elements are attached in hierarchical manner are called non-linear data structures. One element could have several paths to another element

Logical Data Structures are implemented using either an array, a linked list, or a combination of both



STACK

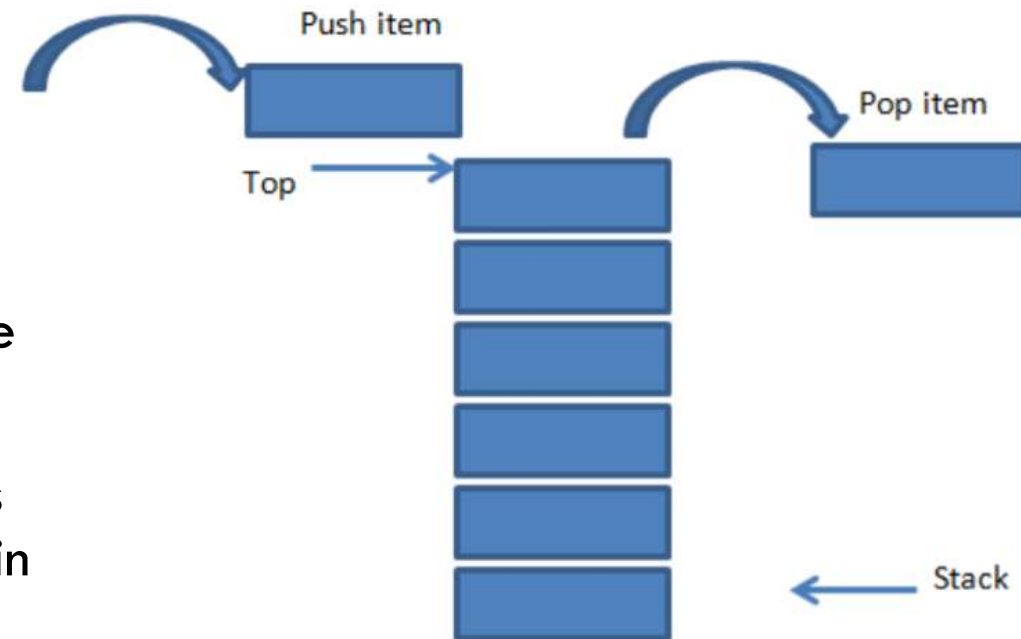
It is a linear data structure that follows the **LIFO** (Last-In-First-Out) principle

Last added item will be served first

It has **only one** end (named as 'top')

Insertion and deletion operations are performed at the top only

A stack can be implemented using linked list as well as an array. However, extra restrictions must be applied in order to follow LIFO



STACK:API

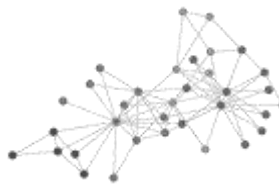
boolean empty() – Returns whether the stack is empty – Time Complexity : $O(1)$

int size() – Returns the size of the stack – Time Complexity : $O(1)$

T peek() – Returns a reference to the topmost element of the stack – Time Complexity : $O(1)$

T push(T) – Adds the element at the top of the stack – Time Complexity : $O(1)$

T pop() – Retrieves and deletes the topmost element of the stack – Time Complexity : $O(1)$



STACK:EXAMPLE

Topmost item at position $n-1$ (Array)

```
public T push(T newItem) {  
    // Add a new item to the end  
    // of the list  
    addLast(newItem);  
  
    // Return just added item  
    return newItem;  
}  
  
public T peek() {  
    // Get last element  
    return get(size - 1);  
}  
  
public T pop() {  
    // Get topmost item  
    T removingItem = peek();  
  
    // Remove topmost item  
    removeLast();  
  
    // Return just removed item  
    return removingItem;  
}
```

Topmost item at position 0 (Linked List)

```
public T push(T newItem) {  
    // Add a new item to the front  
    // of the list  
    addFront(newItem);  
  
    // Return just added item  
    return newItem;  
}  
  
public T peek() {  
    // Get front element  
    return get(0);  
}  
  
public T pop() {  
    // Get topmost item  
    T removingItem = peek();  
  
    // Remove topmost item  
    removeFront();  
  
    // Return just removed item  
    return removingItem;  
}
```



QUEUE

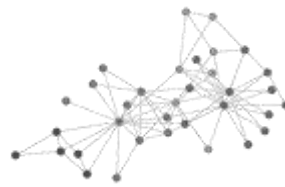
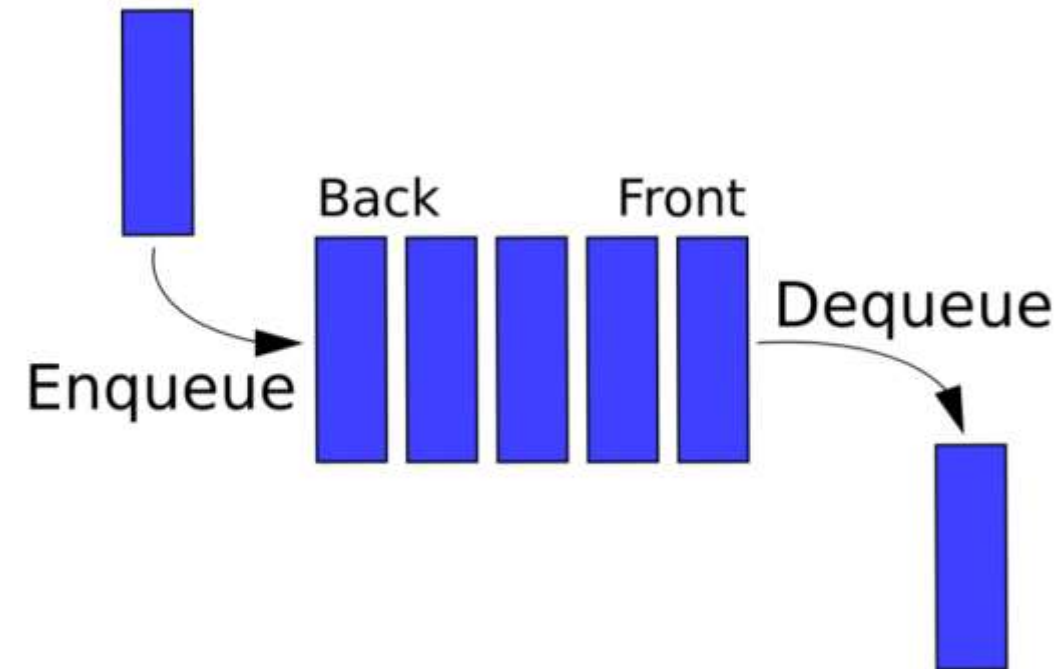
It is a linear data structure that follows the FIFO (First-In-First-Out) principle

First added item will be served first

It has two ends (named as 'Front' and 'Back')

Insertion (enqueue) and deletion (dequeue) operations are performed at different sides

A queue can be implemented using linked list as well as an array. However, it shows better performance with linked list, which has both head and tail references



QUEUE:API

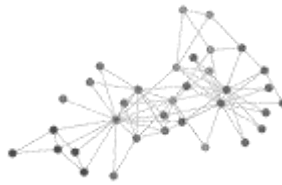
boolean empty() – Returns whether the queue is empty

int size() – Returns the size of the queue

T peek() – Returns a reference to the front element of the queue

T enqueue(T) – Adds the element at the end of the queue

T dequeue() – Retrieves and deletes the front element of the queue



QUEUE:EXAMPLE

It is also possible to provide two methods for each of the followings:

Peek

- `peek()` – returns null when queue is empty
- `element()` – throws an exception when queue is empty

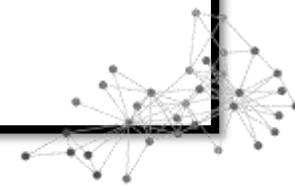
Enqueue

- `boolean offer(T)` – returns false if it fails to insert
- `add(T)` – throws an exception if it fails to insert

Dequeue

- `remove()` – returns null when queue is empty
- `poll()` – throws an exception when queue is empty

```
public T peek() {  
    // Get front element  
    return get(0);  
    // can be get(n-1)  
    // it depends which side is Front  
}  
  
public T enqueue(T newItem) {  
    // Add a new item to the end  
    // of the queue  
    addBack(newItem);  
  
    // Return just added item  
    return newItem;  
}  
  
public T dequeue() {  
    // Get front item  
    T removingItem = peek();  
  
    // Remove topmost item  
    removeFront();  
  
    // Return just removed item  
    return removingItem;  
}
```



HEAP DATA STRUCTURE

It is a complete binary tree

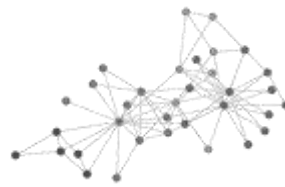
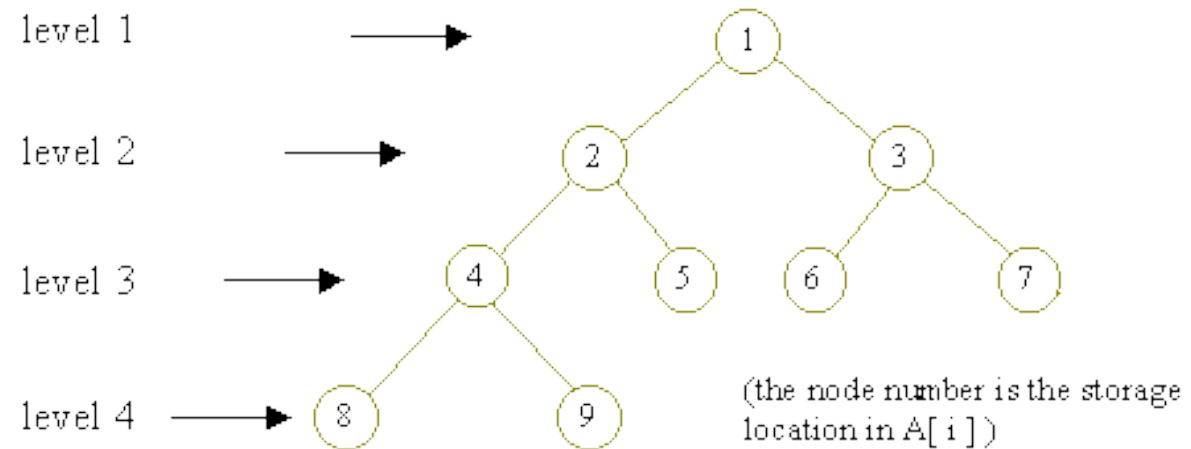
- Each level of the tree is filled, except the last one
- Each level is filled from left to right

Types:

- Min Heap – $A[\text{parent}[i]] \geq A[i]$
- Max Heap – $A[\text{parent}[i]] \leq A[i]$

It satisfies the heap-order property

- The data item stored in each node is **smaller** than or equal to any of the data items stored in its children (**Min Heap**)
- The data item stored in each node is **greater** than or equal to any of the data items stored in its children (**Max Heap**)



HEAP DATA STRUCTURE

It allows you to find the *largest/smallest element in the heap in $O(1)$ time

Extracting the *largest/smallest element from the heap (i.e. finding and removing it) takes $O(\log n)$ time

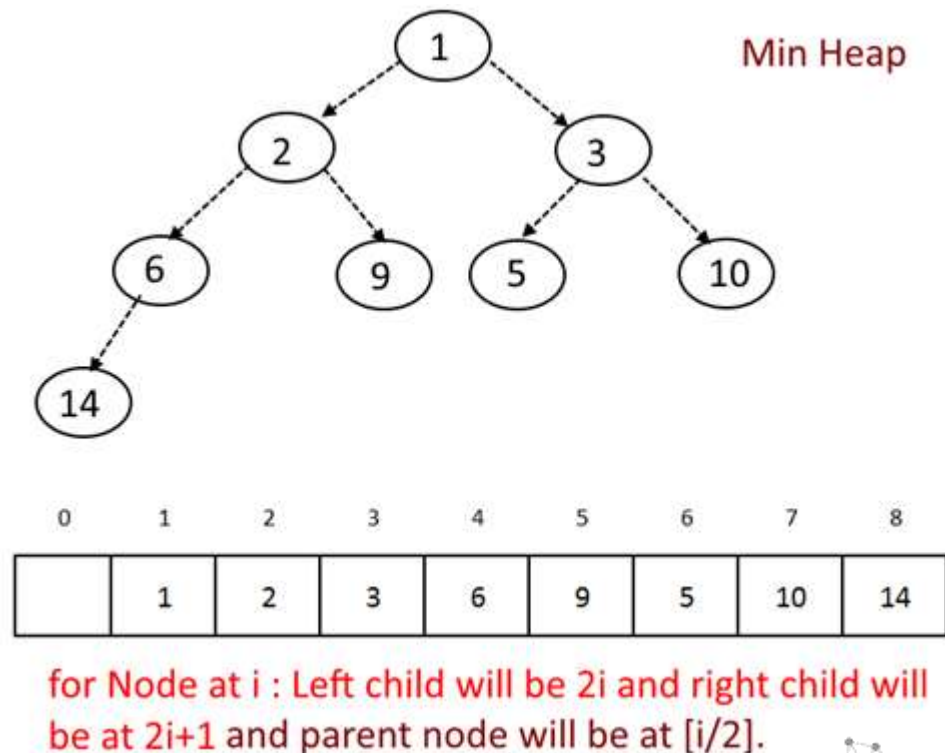
Heap can be implemented using:

- Array (manipulating its indices)
- Nodes with references to their right and left children (not covered)

The root is stored at index 1, and if a node is at index i , then

- Its left child has index $2i$
- Its right child has index $2i+1$
- Its parent has index $i/2$

*largest/smallest – largest for Max Heap and smallest for Min Heap

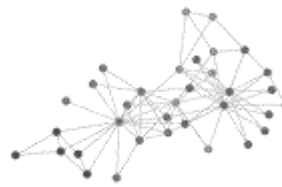
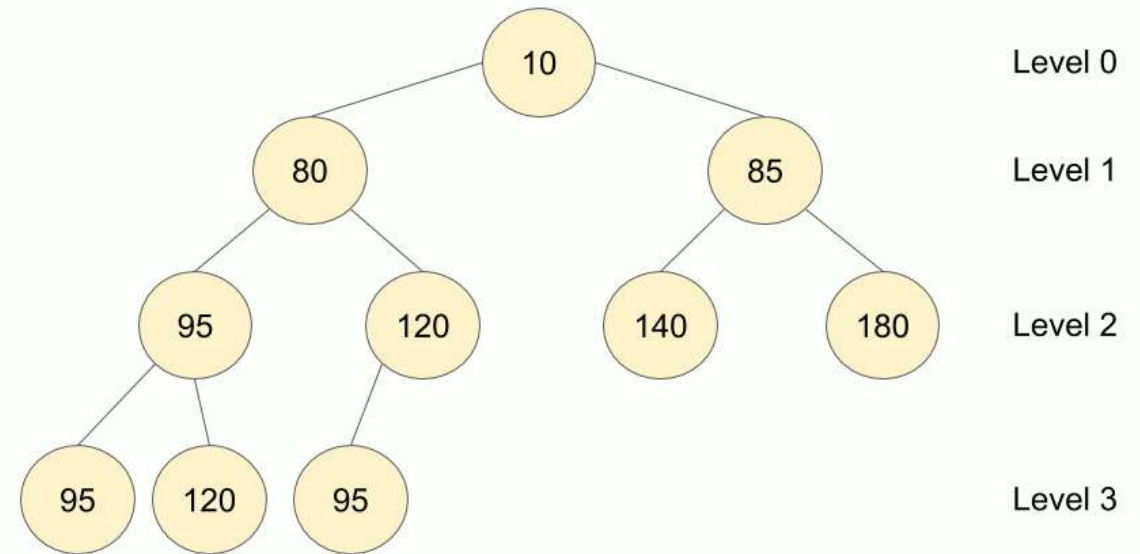


HEAP:INSERTION — $O(\log(N))$

A new item is added as the last element

Recursive actions (**traverse up**):

- Compare with parent
- Exchange if it violates the **property**
- Stops when no other violations or it has reached the root

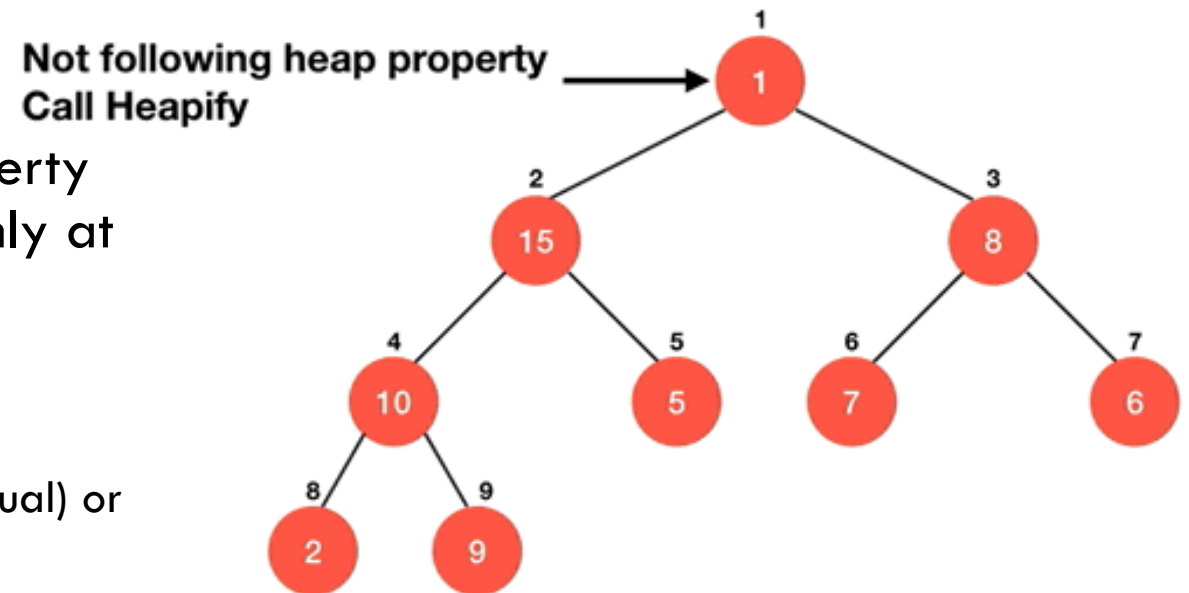


HEAP:HEAPIFY – $O(\log(N))$

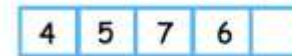
Max Heap example

Heapify(i) – fixes the violation of heap property at any position i (assuming that violation is only at i 'th position)

- Replace an element at i with the largest of children
- Recall Heapify(largestIndex)
- Stops when current item is larger than children (or equal) or there's no other child items



HEAP:EXTRACT_MIN — $O(\log(N))$

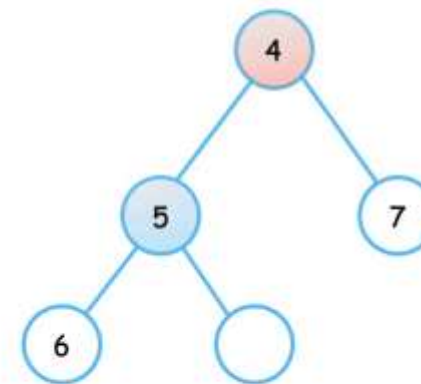


Min Heap example

A root item is replaced with the last element

Recursive actions:

- Heapify(rootIndex)



```
extractMin()  
  root = 1  
  • heapify()
```

Min Heap extract min



HEAP:METHODS

Public:

- `empty()` – Returns whether the heap is empty
- `size()` – Returns the size of the heap
- `T getMax()` or `getMin()` – Returns a reference to the root element of the heap
- `T extractMax()` or `extractMin()` – Retrieves and deletes the root element of the heap
- `insert(T)` – Adds the element to the heap

Private:

- `heapify(index)` – can perform heapify actions starting from position 'index'
- `traverseUp(index)` – can perform traverseUp actions starting from position 'index'
- `leftChildOf(index)` – returns the index of the left child item
- `rightChildOf(index)` – returns the index of the right child item
- `parentOf(index)` - returns the index of the parent item
- `swap(index1, index2)` – exchanges two elements by their positions



HEAP<T EXTENDS COMPARABLE<T>>

There are several comparisons in Heap

It is not possible to use $>$, $<$, $<=$, etc. operators when dealing with objects (not primitives)

Comparable<T> is an interface that provides a method `obj1.compareTo(obj2)`, which returns a number

- More than 0 when obj1 is greater than obj2
- Less than 0 when obj1 is smaller than obj2
- Exactly 0 when obj1 is equal to obj2

That comparison is defined in object itself

- Classes that are already Comparable: Integer, Double, String, etc.
- If heap stores objects of user-defined type, then that type should implement Comparable<T> interface



HEAP<T EXTENDS COMPARABLE<T>>

```
public class Student implements Comparable<Student> {  
    private String name;  
    private int grade;  
  
    // other code  
  
    // example  
    @Override  
    public int compareTo(Student another) {  
        int diff = this.grade - another.grade;  
        if (diff == 0)  
            return this.name.compareTo(another.name);  
  
        return diff;  
    }  
}
```

```
public static void main(String[] args) {  
    // other code  
  
    MyMinHeap<Student> heap = new MyMinHeap<>();  
  
    // another code  
}
```

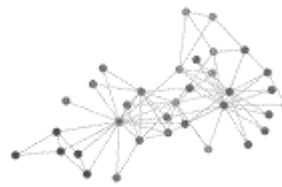
```
public class MyMinHeap<T extends Comparable<T>> {  
    private Object[] array;  
    private int size = 0;  
    private int capacity = 5;  
  
    // other code  
  
    public T getMin() {  
        return get(1); // or get(0)  
        // depends on the index of root  
    }  
  
    private T get(int index) { return (T) array[index]; }  
  
    public void anyMethodWithCompare(int index) {  
        T left = get(leftChildInd(index));  
        T right = get(rightChildInd(index));  
        if (left.compareTo(right) > 0) {  
            // another code  
        }  
    }  
  
    private int leftChildInd(int index) { return 2 * index; }  
    private int rightChildInd(int index) { return 2 * index + 1; }  
}
```



LITERATURE

Algorithms, 4th Edition, by Robert Sedgewick and Kevin Wayne, Addison-Wesley

- Chapter 1.3, 2.4



GOOD LUCK!

