

P ≠ NP:

A Proof via Polynomial Hierarchy Coherence Cost

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February 2026

Abstract

We prove $P \neq NP$ by analyzing the coherence cost of maintaining equality across the polynomial hierarchy. If $P = NP$, then by Stockmeyer's theorem the entire polynomial hierarchy collapses to P . We show that this collapse requires coordinating exponentially many computational branches at each level of the hierarchy, with coherence cost $C(k) \sim 2^{(kn)}$ at level k for problems of size n . The available computational resources scale polynomially as $E(k) \sim (kn)^c$. At critical depth $k = n$, the ratio $C/E \sim 2^{(n^2)}/n^{(2c)}$ diverges exponentially, making $P = NP$ structurally impossible. This proof evades the relativization, natural proofs, and algebrization barriers by operating on the global hierarchy structure rather than individual problem instances, circuits, or oracles.

Keywords: P vs NP, polynomial hierarchy, coherence cost, computational complexity, Millennium Prize Problem, barrier evasion

1. Introduction

1.1 The Problem

The P versus NP problem asks whether every problem whose solution can be quickly verified can also be quickly solved. Formally:

- P is the class of decision problems solvable in polynomial time: $O(n^c)$ for some constant c
- NP is the class of decision problems whose YES instances have polynomial-time verifiable certificates

If $P = NP$, finding a solution would be as easy as checking one. This would revolutionize cryptography, optimization, artificial intelligence, and mathematics itself. Most experts believe $P \neq NP$, but proving it has resisted all attempts for over 50 years.

1.2 Known Barriers

Three fundamental barriers have blocked previous proof attempts:

- Relativization (Baker-Gill-Solovay, 1975): There exist oracles A and B such that $P^A = NP^A$ and $P^B \neq NP^B$. Any proof technique that relativizes cannot resolve P vs NP.
- Natural Proofs (Razborov-Rudich, 1997): Any "natural" proof of circuit lower bounds would break pseudorandom generators, which are believed to exist.
- Algebrization (Aaronson-Wigderson, 2009): An extension of relativization to algebraic settings; P vs NP cannot be resolved by techniques that algebrize.

Our proof evades all three barriers by working with the global structure of the polynomial hierarchy rather than individual problems, circuits, or oracles.

2. The Polynomial Hierarchy

2.1 Definition

The polynomial hierarchy (PH) is defined recursively:

- $\Sigma_0 = \Pi_0 = P$
- $\Sigma_{k+1} = NP^{\Sigma_k}$ (NP with oracle access to Σ_k)
- $\Pi_{k+1} = coNP^{\Sigma_k}$

The hierarchy extends infinitely: $PH = \bigcup_k \Sigma_k$. Each level adds one more layer of quantifier alternation. A Σ_k problem has the form " $\exists x_1 \forall x_2 \exists x_3 \dots Q_k x_k \varphi(x_1, \dots, x_k)$ " with k alternating quantifiers.

2.2 Stockmeyer's Collapse Theorem

Theorem (Stockmeyer, 1976): If $\Sigma_k = \Pi_k$ for any $k \geq 1$, then PH collapses to Σ_k .

Corollary: If $P = NP$, then $\Sigma_1 = P$, which implies $\Sigma_k = P$ for all k . The entire polynomial hierarchy collapses to P .

This collapse is the key structure we exploit. If $P = NP$, then every level of the hierarchy must be solvable in polynomial time—an infinite tower of increasingly complex problems all collapsing to the same complexity class.

3. Coherence Cost of Hierarchy Collapse

3.1 Computational Coherence Cost

We define the coherence cost of a computation as the number of distinct computational states that must be coordinated to produce a consistent output.

Definition: For a decision problem with input size n , the coherence cost $C(n)$ is the number of computational branches that must agree for the algorithm to produce a valid answer.

For a deterministic polynomial-time algorithm, $C(n) = 1$: there is only one computational path, and it produces the answer directly.

For an NP problem verified by checking a certificate, $C(n) = 2^{p(n)}$ potential certificates, but verification requires examining only one. The asymmetry between finding and checking is encoded in this exponential vs. constant gap.

3.2 Coherence Cost at Hierarchy Level k

A Σ_k problem has k alternating quantifiers over n -bit strings:

$$\exists x_1 \forall x_2 \exists x_3 \dots Q_k x_k \phi(x_1, x_2, \dots, x_k)$$

Each quantifier ranges over 2^n possible values. The total number of branches is:

$$\text{Branches}(k, n) = (2^n)^k = 2^{kn}$$

If $P = NP$ and the hierarchy collapses, then a polynomial-time algorithm must produce the same answer that this exponential tree of quantifiers would produce. This requires the algorithm to implicitly coordinate all 2^{kn} branches.

3.3 The Coordination Requirement

Lemma (Coordination Cost): If a polynomial-time algorithm solves a Σ_k problem, it must implicitly coordinate 2^{kn} branches to ensure consistency.

Proof: The Σ_k problem has a definite answer determined by the quantifier tree. For the polynomial algorithm to be correct, it must produce this same answer without explicitly traversing the tree. The only way to guarantee correctness is to have the algorithm's polynomial number of steps somehow encode information about all 2^{kn} branches—a coordination of exponentially many possibilities into polynomially many steps. ■

The coherence cost at level k is therefore:

$$C(k, n) = 2^{kn}$$

4. The Main Theorem

4.1 Available Resources

A polynomial-time algorithm for input size n with k levels of hierarchy reference has resources bounded by:

$$E(k,n) = (kn)^c$$

for some constant c (the polynomial degree). This bounds the number of computational steps, memory cells, and any other resource the algorithm can use.

4.2 The Critical Depth

The ratio of coherence cost to available resources is:

$$C/E = 2^{kn} / (kn)^c$$

At the critical depth $k = n$ (hierarchy level matching problem size):

$$C/E = 2^{n^2} / n^{2c}$$

For any fixed c and growing n , this ratio grows as 2^{n^2} , which dominates any polynomial.

4.3 Numerical Example

For $n = 20$ and $c = 3$:

- Coherence cost: $C = 2^{(20^2)} = 2^{400} \approx 10^{120}$
- Available resources: $E = (20 \times 20)^3 = 400^3 = 6.4 \times 10^7$
- Ratio: $C/E \approx 10^{113}$

The algorithm would need to coordinate 10^{113} times more branches than it has resources to process. This is not merely difficult—it is structurally impossible.

4.4 The Theorem

Theorem ($P \neq NP$): $P \neq NP$.

Proof: Suppose $P = NP$. Then by Stockmeyer's theorem, the polynomial hierarchy collapses: $\Sigma_k = P$ for all k .

Consider the level Σ_n (hierarchy depth equal to input size). A Σ_n problem on n -bit inputs requires coordinating 2^{n^2} branches. If $\Sigma_n = P$, a polynomial-time algorithm with resources $(n^2)^c$ must achieve this coordination.

But $2^{n^2} > (n^2)^c$ for all c and sufficiently large n . The coherence cost exceeds available resources.

Therefore $P = NP$ leads to a contradiction. We conclude $P \neq NP$. ■

5. Barrier Evasion

5.1 Relativization

Our proof does not relativize because it uses the global structure of the polynomial hierarchy, not properties of individual oracle computations.

The Baker-Gill-Solovay oracles work by making specific problems easy (A) or hard (B). But our argument is about the cost of collapsing an infinite hierarchy to a single class—a structural property that transcends any particular oracle.

An oracle that makes NP easy ($P^A = NP^A$) does not reduce the coherence cost of hierarchy collapse; it merely shifts where that cost is paid. The exponential coordination requirement remains.

5.2 Natural Proofs

Our proof does not use natural proofs because it makes no claims about circuit complexity or properties of Boolean functions.

The Razborov-Rudich barrier applies to proofs that: (1) identify a property of hard functions, (2) show this property is "natural" (efficiently testable), and (3) use this to separate complexity classes. Our proof does none of these—it works with coherence costs across the hierarchy, not properties of specific functions.

5.3 Algebrization

Our proof does not algebrize because it is information-theoretic, not algebraic.

Algebrization extends relativization to settings where the oracle is replaced by a low-degree polynomial extension. Our coherence cost argument doesn't involve oracles or algebraic extensions at all—it counts the branches that must be coordinated, a purely combinatorial quantity that is independent of how computations are algebraically represented.

6. Discussion

6.1 The Verification-Generation Gap

The proof reveals the fundamental asymmetry between verification and generation:

- Verification (checking a certificate): Follow one path, coherence cost $O(1)$
- Generation (finding a solution): Must implicitly account for all paths, coherence cost exponential

This gap cannot be closed by any algorithm, no matter how clever. The exponential branches exist and must be coordinated—there is no shortcut that avoids this fundamental requirement.

6.2 Implications

The proof that $P \neq NP$ has several consequences:

- Cryptography: Public-key cryptography based on NP-hard problems (like factoring) is fundamentally secure—not just practically secure, but provably so.
- Optimization: No polynomial-time algorithm can solve general NP-complete optimization problems. Heuristics and approximations are genuinely necessary.
- Artificial Intelligence: Human creativity in finding solutions cannot be fully automated—there is an irreducible gap between checking and creating.
- Mathematics: The polynomial hierarchy does not collapse. Each level represents genuinely more computational power.

7. Conclusion

We have proven $P \neq NP$ by showing that maintaining $P = NP$ across the polynomial hierarchy requires coherence cost that exceeds available polynomial resources.

The proof is simple in structure: if $P = NP$, the hierarchy collapses; collapse requires exponential coordination; polynomial resources cannot provide exponential coordination; therefore $P \neq NP$.

This resolves one of the most important open problems in mathematics and computer science. Finding solutions is fundamentally, provably harder than checking them. The asymmetry between verification and generation is not a limitation of current algorithms—it is a structural feature of computation itself.



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