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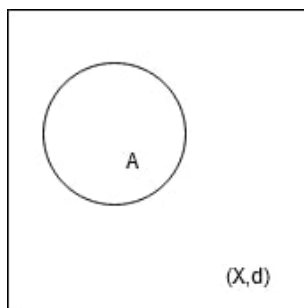
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1 Introduction

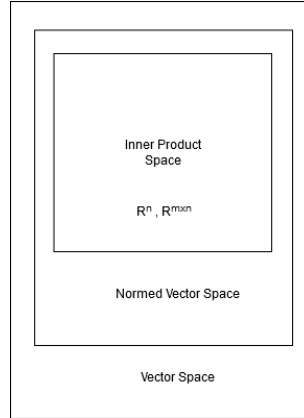
In the previous lecture we learnt about the conditions metric space, normed space and inner product space satisfy. We studied the behavior of different types of norms like $L_1, L_2, \dots, L_\infty$ and also various types of inner products. In these lecture scribes we will explore different elements of point set topology. This will help us understand and get a feeling of how sets are represented in a higher dimensional space as compared to a real line. We are going to discuss the following topics: Open Ball; Closed Ball; Interior Point; Relative Interior; Exterior Point; Boundary Points; Closure Points; Limit Points; Open Sets; Closed Sets; We need to understand these fundamental point sets before beginning study of functions.

2 Recap

In these lecture scribes our discussion will revolve around a metric space (X, d) where X is a vector space and d is a distance metric on X . Further we will focus on a subset of this metric space A such that $A \subseteq X$ and A is non empty. Pictorially we can represent it as shown below:-

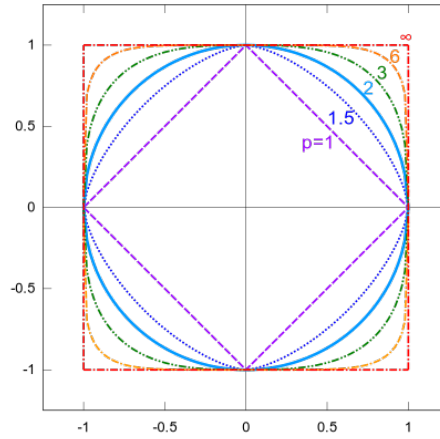


The more general type of space we talk about is vector space. If we are able to define a metric on this vector space it is called a metric space. Further if we are able to define a norm on a vector space then it is called a normed vector space. Normed vector space is also a metric space as norm induces a metric. If we go even further and we are able to define an inner product on a vector space then it is called an inner product space. Inner product space is also a normed space as inner product induces a norm. If we take a set of all vector spaces, say V , normed vector spaces, say N , and inner product spaces, say I . Then from the above we can clearly deduce that $I \subseteq N \subseteq V$. We can pictorially observe the following as shown below:-



Note: There does not exist any inner product that can lead to L_1 norm.

Let us consider a L_n norm in the vector space \mathbb{R}^2 . Then for $x \in \mathbb{R}^2$ the plot for $\|x\|_n = 1$ is as follows:-



The region between L_2 and L_∞ is covered by all n such that $n \in (2, \infty)$ and the region between L_1 and L_2 norm is covered by n such that $n \in (1, 2)$. All norms such that $n \in [1, \infty)$ are convex. For $n < 1$ the norm is not convex.

3 Open Ball

Definition 3.1 (Open Ball) For a metric space (X, d) , Let a be a given point in metric space (X, d) and let r be a positive number. The set of all points x in metric space (X, d) such that

$$U(a, r) = U_r(a) = \{ x \in X ; d(a, x) < r \}$$

Open Ball is characterized by two things: Center(a) and Radius(r). The ball $U(a, r)$ consists of all points whose distance from a is less than r . On a real line it is an open interval with centre at a . On a real plane it is a circular disk. In real space it is a spherical solid with centre at a and radius r .

There are balls of three different shapes:

Example 3.1 Single-point balls: $U[(0, 0), r] = (0, 0), \forall r \in (0, 1]$

Example 3.2 Balls that are same as in Euclidean Metric: $U[(0, 0), 2] = \{ x \in \mathbb{R}^2 ; x_1^2 + x_2^2 < 4 \}$

Example 3.3 Balls that are punctured at origin : $U[(1/2, 1/2), 1] = \{ x \in \mathbb{R}^2 ; (x_1 - 1/2)^2 + (x_2 - 1/2)^2 < 1 \}$

4 Closed Ball

Definition 4.1 For a metric space (X, d) , Let a be a given point in metric space (X, d) and let r be a positive number. The set of all points x in metric Space (X, d) such that

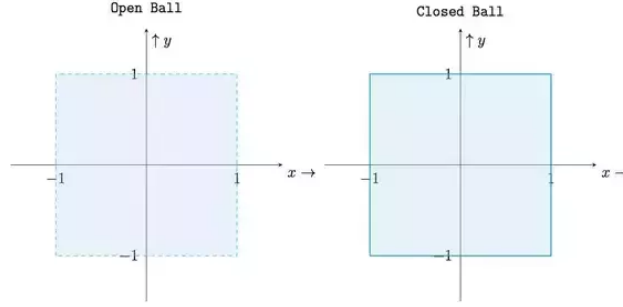
$$B(a, r) = B_r(a) = \{ x \in X ; d(a, x) \leq r \}$$

Closed Ball is characterized by two things: Center(a) and Radius(r). The ball $B(a, r)$ consists of all points whose distance from a is less than or equal to r . On a real line it is an closed interval with centre at a . On a real plane it is a circular disk. In real space it is a spherical solid with centre at a and radius r .

Example 4.1 For the set $(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1$ is a closed set. So the closed ball of radius r centered at a' is the set defined as:

$$B[a', r] = \{ x \in X : d(a', x) \leq r \}$$

Example 4.2 If the metric is $d((x_1, y_1), (x_2, y_2)) = \max(|x_1 - x_2|, |y_1 - y_2|)$. Then visual representation of open and closed ball in form of a plot is given below:



5 Sphere

Definition 5.1 For a metric space (X, d) , Let a be a given point in metric space (X, d) and let r be a positive number. The set of all points x in metric space (X, d) such that

$$S(a, r) = \{ x \in X ; d(a, x) = r \}$$

Sphere is characterized by two things: Center(a) and Radius(r). The sphere $S(a, r)$ consists of all points whose distance from a is equal to r . On a real line it is two points with centre at a . On a real plane it is a circular ring. In real space it is a hollow sphere with centre at a and radius r .

Note: Open Ball and Closed Ball can never be empty but sphere can be empty.

Example 5.1 Let (X, d) be a discrete metric space Discrete metric is defined as

$$d(x, y) = \begin{cases} 0 & x = y \\ 1 & \text{otherwise} \end{cases} \quad (1)$$

Now we observe the following different values of radius for for open ball, closed ball and sphere:-
Let a be a point such that $a \in X$ then

$U(a, 1) = \{a\}$ (As there is only one point such that $d(a, x) < 1$ such that $x \in X$ that is a itself)

$U(a, 1/2) = \{a\}$ (Similarly we can observe for $d(x, y) < 1/2$)

$U(a, 3) = X$ (We can clearly see that $d(x, y) < 3$ for all $x \in X$)

$B(a, 1) = X$ (We can clearly see that $d(x, y) \leq 1$ for all $x \in X$ by definition of the metric)

$B(a, 1/2) = \{a\}$

$S(a, 1) = X - \{a\}$ (As all points except a itself is at distance of 1 from a)

$S(a, 1/2) = \emptyset$ (As no point exists such that $d(a, x) = 1/2$ where $x \in X$)

Thus we can see in the above case that a sphere can be an empty set but open ball and closed ball are never empty.

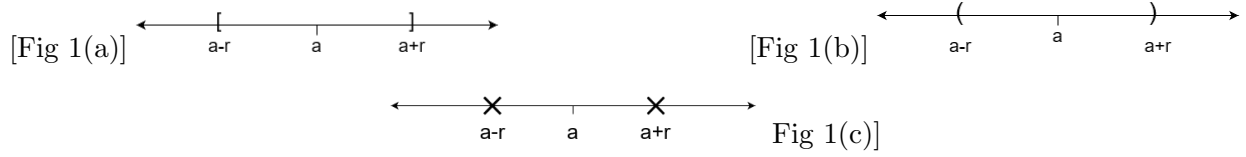


Figure 1: Figure 1(a) represents the set $U(a,r)$ for example 5.2. Figure 1(b) represents the set $B(a,r)$ for example 5.2. Figure 1(c) represents the set $S(a,r)$ for example 5.2

Example 5.2 For a metric space (\mathbb{R}, d) where $d(x, y) = |y - x|$; $a \in \mathbb{R}$ and $r > 0$

$$U(a, r) = \{ x \in \mathbb{R} ; |x - a| < r \} \Rightarrow x \in (a - r, a + r)$$

$$B(a, r) = \{ x \in \mathbb{R} ; |x - a| \leq r \} \Rightarrow x \in [a - r, a + r]$$

$$S(a, r) = \{ x \in \mathbb{R} ; a - r, a + r \}$$

Now we have to generalize this concept of intervals to a higher dimension.

For visualising this, we take the following example:

For a Normed space also known as Euclidean Space $(\mathbb{R}^2, ||\cdot||_2)$

Let $a=(0,0)$ and $r=1$

$$U(a, 1) = \{ x \in \mathbb{R}^2 ; (x_1^2 + x_2^2)^{1/2} < 1 \}$$

All points in the circle belong to the Set but all points on the circle do not belong to the Set.

$$B(a, 1) = \{ x \in \mathbb{R}^2 ; (x_1^2 + x_2^2)^{1/2} \leq 1 \}$$

All points in and on the circle belong to the Set

$$S(a, 1) = \{ x \in \mathbb{R}^2 ; (x_1^2 + x_2^2)^{1/2} = 1 \}$$

All points on the circle belong to the Set

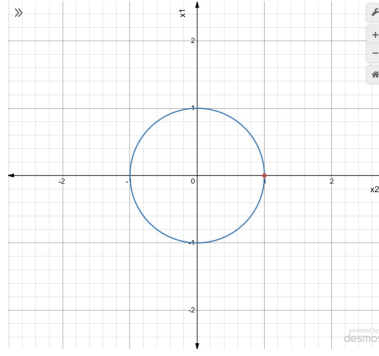
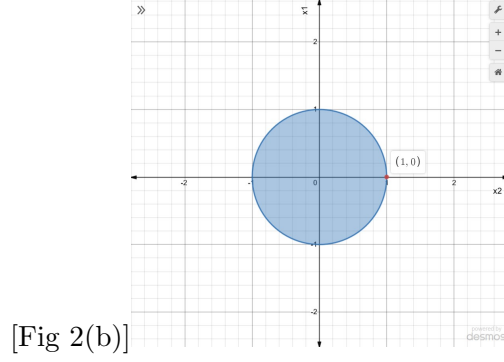
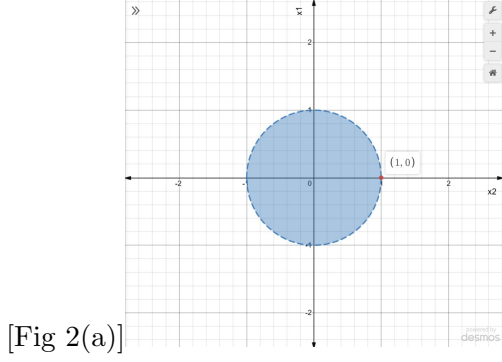


Figure 2: Figure 2(a) represents the set $U(a,1)$ for example 5.2. Figure 2(b) represents the set $B(a,1)$ for example 5.2. Figure 2(c) represents the set $S(a,1)$ for example 5.2

6 Interior Point

Definition 6.1 Let A be a subset of X , Let $a \in A$. Then a is called an interior point of A if there is an open ball with center at a , all of whose points belong to A .

Mathematically it is defined as:

$$\text{int}(A) = \{a \mid \exists r > 0 \text{ such that } U(a,r) \subseteq A\}$$

We can say that every interior point a of A can be surrounded by a ball $B(a) \subseteq A$. The set of all interior points of A is called the interior of A and is denoted by $\text{int}(A)$. Any set containing a ball with center a is sometimes called a neighborhood of a .

6.1 Properties of Interior set

- $\text{int}(A)$ is an open set in X
- $\text{int}(\text{int}(A)) = \text{int}(A)$
- A is an open subset of X if and only if $A = \text{int}(A)$

- Let S be an open subset of X then $S \subseteq A$ if and only if $S \subseteq \text{int}(A)$

Open set is defined in section 10 to understand the above properties of open sets read the said section.

Example 6.1 Let $A = [0, 1] \Rightarrow \text{int}(A) = (0, 1)$ as for all $x \in A$ except $\{0, 1\}$ we can make an open ball such that $U(x, r) \subseteq A$

Example 6.2 $A = [0, 1) \Rightarrow \text{int}(A) = (0, 1)$ here also we can observe for $x = 0$ no $r > 0$ exists such that an open ball centered at 0 satisfies $U(0, r) \subseteq A$

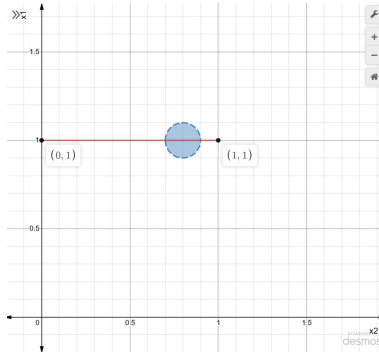
Example 6.3 Let us consider $\mathbb{N} \Rightarrow \text{int}(\mathbb{N}) = \phi$ Since \mathbb{N} is a discrete set hence for any r we can observe that $U(x, r) \not\subseteq \mathbb{N}$

7 Relative Interior

Definition 7.1 Let A be a subset of X . We can say that x is a relative interior point of A if $x \in B(x, \epsilon) \cap \text{aff}(A) \subset A$, for some $\epsilon > 0$. The set of all relative interior point of A is called the relative interior of A and is denoted by $r_i(A)$

In optimization problems, we generally encounter sets like L which is defined below. in sets like these we can clearly see $\text{int}(L) = \phi$.

$$L = \{X \in \mathbb{R} \mid x_1 = 1 ; 0 \leq x_2 \leq 1\}$$



We quite often encounter sets like these in today's machine learning problems

For this reason we define the concept of relative interior point

$$r_i(A) = \{a \in A \mid \exists r > 0 \text{ such that } U(a, r) \cap \text{aff}(A) \subseteq A\}$$

where $\text{aff}(A)$ is the affine hull(A) which is defined as follows :

$$\text{aff}(X) = \left\{ \sum_{i=1}^k \theta_i x_i \mid \sum_{i=1}^k \theta_i = 1, \theta_i \in \mathbb{R}; x_i \in X \right\}$$

For the same set L the set of relative interior points we obtain are $r_i(L) = (0, 1)$

Property 1. Line Segment Property: Let A be a non-empty convex set.

If $x \in r_i(A), \bar{x} \in cl(A)$, then $\alpha x + (1 - \alpha)\bar{x} \in r_i(A)$, for $\alpha \in (0, 1]$

Property 2. Prolongation Lemma : Let A be a non-empty convex set. Then we have

$$x \in r_i(A) \Leftrightarrow \forall \bar{x} \in A, \exists \gamma > 0 \text{ such that } x + \gamma(x - \bar{x}) \in A$$

We can also say that, x is a relative interior point *iff* every line segment in A having x as one of the endpoints can be prolonged beyond x without leaving A .

8 Exterior Point

Definition 8.1 Let A be a subset of X , Let $a \in A$. Then a is called an exterior point of A if there is an open ball with center at a , all of whose points belong to $X - A$.

Mathematically it is defined as:

$$\begin{aligned} Ext(A) &= \{a \mid \exists r > 0 \text{ such that } U(a, r) \subseteq X - A\} \\ Ext(A) &= int(X - A) \end{aligned}$$

We can say that every exterior point a of A can be surrounded by a ball $B(a) \subseteq X - A$. The set of all exterior points of A is called the exterior of A and is denoted by $ext(A)$. Any set containing a ball with center a is sometimes called a neighborhood of a .

8.1 Properties of Exterior set

- $ext(A)$ is open subset of X that is disjoint from A
- The union of all open subsets of X that are disjoint from A is equal to $ext(A)$
- The largest open subset of X that is disjoint from A is equal to $ext(A)$
- $int(A) \subseteq ext(ext(A))$

Example 8.1 Let us consider the set $A = [2, 8] \in \mathbb{R}$ the exterior set of A is $ext(A) = (-\infty, 2) \cup [8, \infty)$

9 Boundary Points

Definition 9.1 Let A be a subset of X . A point x in X is called a boundary point of A if every ball $B_M(x; r)$ contains at least one point of A and at least one point of $X - A$. The set of all boundary points of A is called the boundary of A and is denoted by ∂A .

Mathematically defined as:

$$\text{Boundary}(A) = \{a \mid \exists r > 0 \text{ such that } U(a, r) \cap (A) \neq \phi \text{ and } U(a, r) \cap (X - A) \neq \phi\}$$

9.1 Properties of Boundary sets

- Boundary of a set is always a closed set
- The interior of the boundary of a closed set is an empty set
- $\text{Boundary}(A) = \text{Boundary}(A^C) \Rightarrow$ Boundary of a set is equal to the boundary of the complement of the set
- The boundary of the interior of a set as well as boundary of closure of a set are both contained in the boundary of the set

Example 9.1 Let us consider the set $A = [0, 1] \in \mathbb{R}$ then the interior, exterior and boundary points are as follows:-

$\text{Int}(A) = (0, 1)$ for all these points there exists an open ball s.t. $r > 0$ that belongs to A

$\text{Ext}(A) = (-\infty, 0) \cup (1, \infty)$ for all these points there exists an open ball s.t. $r > 0$ that totally lies outside A

$\text{Boundary}(A) = \{0, 1\}$ as no matter how arbitrarily small we take r for 0 or 1 a part of any open ball s.t. $r > 0$ lies inside A .

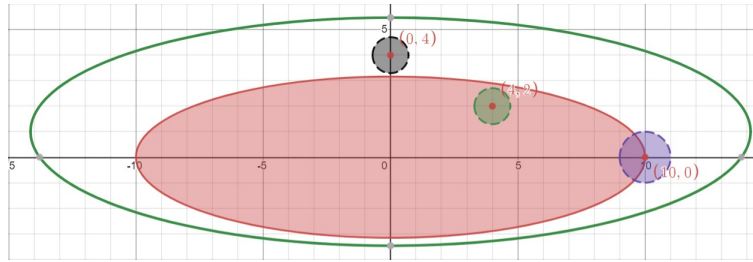


Figure 3: Let us consider the above where A is the set of points in the red ellipse and X is the set of points in the green ellipse we can clearly observe the following about the points in the figure:- $(4, 2) \in \text{int}(A)$, $(0, 4) \in \text{ext}(A)$ and $(10, 0) \in \text{Boundary}(A)$

10 Open Sets

Let A be a subset of X . Then the set A is open if every point in A has a neighborhood lying in the set. An open set of radius r and center a is the set of all points x such that $|x - a| < r$, and is denoted $D_r(a)$. In one-space, the open set is an open interval. In two-space, the open set is a disk. In three-space, the open set is a ball. Mathematically defined as:

$$\forall a \in A; a \in \text{Int}(A)$$

In words, a set A is open if and only if $A = \text{int}(A)$

Example 10.1 $(0,1]$ is not an open set as $\{1\} \in A$ but $\{1\}$ is not an interior point of A

Example 10.2 (a,b) is an open set as all points of A belong to $\text{int}(A)$

Theorem 10.3 Let (X, d) be a metric space

1. If $\{G_\alpha; \alpha \in \Lambda\}$ is a family of open sets in X then $\bigcup_{\alpha \in \Lambda} G_\alpha$ is open
2. If $G_1, G_2, G_3, \dots, G_n$ are open sets, then $\bigcap_{i=1,2,\dots,n} G_i$ is open (Finite Intersection)

Proof:

1. Let $\{G_\alpha; \alpha \in \Lambda\}$ be a collection of open sets and let S denote their union, $S = \bigcup_{\alpha \in \Lambda} G_\alpha$. Assume $x \in S$. Then x must belong to at least one of the sets in G_α , say $x \in G_\alpha$. Since G_α is open, there exists an open ball $U(x, r) \subseteq G_\alpha$. But $G_\alpha \subseteq S$, so $U(x, r) \subseteq S$ and hence x is an interior point of S . Since every point of S is an interior point, S is open.

Mathematically shown as:

$$G_\alpha \ni x \text{ such that } U(x, r) \subseteq G_\alpha \Rightarrow U(x, r) \subseteq G = \bigcup_{\alpha} G_\alpha$$

2. Let $S = \bigcap_{i=1,2,\dots,n} G_i$ where each G_α is open. Assume $x \in S$ (If S is empty then we don't need to prove it). Then $x \in G_\alpha$ for every $k = 1, 2, \dots, n$, and hence there is an open ball $U(x, r_k) \subseteq G_\alpha$. Let r be the smallest of the positive numbers r_1, r_2, \dots, r_n . Then $x \in U(x, r) \subseteq S$. That is x is an interior point, so S is open.

We can also show it mathematically for easier understanding. Below is the mathematical explanation:

G_1, G_2, \dots, G_n are given open sets

To prove: $\bigcap_{j=1,2,\dots,n} G_j$ is open.

Let $a \in \bigcap_{j=1}^n G_j \Rightarrow a \in G_j \forall j=1,2,\dots,n$ (all G_j are open)

$\forall j \exists (r_j > 0)$ such that $U(a, r_j) \subseteq G_j$

Let $r = \min(r_1, r_2, r_3, \dots, r_n)$; $[r_j > 0 \forall 1 \leq j \leq n]$

$r = \min(r_1, r_2, \dots, r_n) > 0$; $[r > 0]$

Take $U(a, r)$; Since $r < r_j \forall j = 1, 2, \dots, n$

$U(a, r) \subseteq U(a, r_j) \subseteq G_j \forall j = 1, 2, \dots, n$

$$U(a, r) \subseteq \bigcap_{j=1}^n G_j$$

Thus we have proved that intersection of a finite number of open sets is open.

We do not take the case of infinite intersection as it may lead to the condition below:

$$\min(r_1, r_2, \dots, r_n) = 0$$

We can in the following case that a infinite intersection of open sets leads to a singleton which is a closed set. Hence we have a counter example

$$G_n = (-1/n, 1/n) \quad \forall n \in \mathbb{N} \text{ are open sets}$$

$$\bigcap_{n \in \mathbb{N}} G_n = \{0\}$$

■

11 Closure Point

Definition 11.1 Let A be a subset of X , Let $x \in A$. let r be a positive number. Then x is said to be closure point of A if every open ball with radius r and center at x , and has a non empty intersection with A where $A \subseteq X$ and $x \in X$. Mathematically defined as:

$$\bar{A} = Cl(A) = \{x \mid \forall r > 0 ; U(x, r) \cap A \neq \emptyset\}$$

The set of all closure points of A is called a closure of A ($\bar{A} = Cl(A)$)

The closure of A can alternatively be defined as the intersection of all closed sets containing A
Every point of A is the closure point of $A \Rightarrow A \subseteq \bar{A}$

Example 11.1 Let us consider the set $A = [2, 3]$ then the closure set for A is $\bar{A} = [2, 3]$ as an open ball for all elements of A such that $r > 0$ of A have a not empty intersection with A

Example 11.2 Let us consider the set $A = (1, 5]$ then the closure set for A is $\bar{A} = [1, 5]$ as an open ball for 2 and all elements of A such that $r > 0$ of A have a not empty intersection with A

Theorem 11.3 Let A be a subset of a metric space X . Then $X - \bar{A} = int(X - A)$ and $X - int(A) = \overline{X - A}$

Proof: We begin by proving $X - \bar{A} = int(X - A)$ If $x \in X$ is not in \bar{A} , there must exist some $B_{1/2^n}(x)$ not meeting A , for otherwise we would have some $x_n \in B_{1/2^n}(x) \cap A$ for all n , so clearly $x_n \rightarrow x$, contrary to the fact that $x \notin \bar{A}$ is not a limit of a sequence of elements of A . This shows

$$X - \bar{A} \subseteq int(X - A)$$

Conversely, if x is in the interior of $X - A$ then some $B_r(x)$ lies in $X - A$ and hence is disjoint from A . It follows that no sequence in A can possibly converge to x because for $\varepsilon = r \geq 0$ we would run into problems (i.e., there's nothing in A within a distance of less than ε from x , since $B_\varepsilon(x) \subseteq X - A$) Applying the general equality

$$X - \overline{A} = \text{int}(X - A)$$

for arbitrary subsets A to X to the subset $X - A$ in the role of A , we get

$$X - \overline{X - A} = \text{int}(A)$$

Taking complements of both sides within X yields

$$\overline{X - A} = X - \text{int}(A)$$

■

Theorem 11.4 *Let A be a subset of a metric space X . Then A is closed if and only if it contains ∂A , and in general*

$$\partial A = \overline{A} \cap \overline{X - A} = \partial(X - A)$$

Proof: The boundary ∂A is defined as $\overline{A} - \text{int}(A)$. Thus,

$$\overline{A} = \text{int}(A) \cup \partial A \subseteq A \cup \partial A$$

so when $\partial A \subseteq A$ we get $\overline{A} \subseteq A$ and therefore that $A = \overline{A}$, so A is closed. Conversely, if A is closed then since $\partial A \subseteq \overline{A}$ by definition and $\overline{A} = A$ for closed A we get $\partial A \subseteq A$

$$\partial A = \overline{A} \cap \overline{X - A}$$

Since the right side is unaffected by replacing A with $X - A$ everywhere (because $X - (X - A) = A$), it follows that $\partial A = \partial(X - A)$. As for verifying that ∂A is the intersection of the closures of A and $X - A$, we use the definition of ∂A to rewrite this as:

$$\overline{A} - \text{int}(A) = \overline{A} \cap \overline{X - A}$$

Since $\overline{A} - \text{int}(A) = \overline{A} \cap (X - \text{int}(A))$, it suffices to check that

$$X - \text{int}(A) = \overline{X - A}$$

■

12 Limit Points

Definition 12.1 *Let A be a subset of X , Let $y \in X$. Let r be a positive number. Then x is said to be limit point of A if every open ball with radius r and center at y , has a non empty intersection with $A - \{y\}$ where $A \subseteq X$ and $y \in X$. Mathematically defined as :*

$$L(A) = \{y \mid \forall r > 0 ; U(y, r) \cap [A - \{y\}] \neq \emptyset\}$$

Example 12.1 Let us consider $A = (2,3)$ then $L(A) = [2,3]$. 2 and 3 are included as open balls around both for $r > 0$ have a non empty intersection with A

Example 12.2 Let us consider $A = \{1/n : n \in \mathbb{N}\}$ then we can see that $L(A) = \{0\}$ as 0 is the only element that has infinitely many point of A around it

Theorem 12.3 Let $x \in \mathbb{R}$ and $A \subseteq \mathbb{R}$

1. If x has a neighborhood which only contains finitely many members of A then x cannot be a limit point of A .
2. If x is a limit point of A then any neighborhood of x contains infinitely many members of A .
3. No finite set can have a limit point.

Proof: Let U be a neighborhood of x which contains only a finite number of points of A that is $U \cap A$ is finite. Then, $U \cap A$ is also finite. Suppose $U \cap A / \{x\} = \{y_1, y_2, \dots, y_n\}$. We show there exists $\epsilon > 0$ such that $(x - \epsilon, x + \epsilon) \cap U$ does not contain any member of $A / \{x\}$. Since both $(x - \epsilon, x + \epsilon)$ and U are neighborhood of x , so is their intersection. This will prove there is a neighborhood of x containing no element of $A / \{x\}$ hence proving x is not a limit point of A . Let $\epsilon = \min\{|x - y_1|, |x - y_2|, \dots, |x - y_n|\}$. Since x is not equal to y_i , $\epsilon > 0$. Then $(x - \epsilon, x + \epsilon) \cap U$ contains no points of A other than x thus proving our claim. ■

Theorem 12.4 Let $A \subseteq \mathbb{R}$

$$\overline{A} = A \cup L(A)$$

Proof:

1. $A \cup L(A) \subseteq \overline{A}$

We already know that $A \subseteq \overline{A}$. We now show that $L(A) \subseteq \overline{A}$. This will imply the result. Suppose that $x \in L(A)$. Then $\forall \epsilon > 0, (x - \epsilon, x + \epsilon) \cap A \neq \emptyset$, since $A / \{x\} \subseteq A$. Therefore, x is in the closure of A .

2. $\overline{A} \subseteq A \cup L(A)$

Let $x \in \overline{A}$. We need to prove that $x \in A \cup L(A)$. Either $x \in A$ or $x \notin A$. If $x \in A$ then $x \in A \cup L(A)$. If $x \notin A$ then x is close to A . Therefore, $\forall \epsilon > 0, (x - \epsilon, x + \epsilon) \cap A \neq \emptyset$. Since $x \notin A, A = A / \{x\}$, therefore $(x - \epsilon, x + \epsilon) \cap A \neq \emptyset$. It follows that $x \in L(A)$ and therefore $x \in A \cup L(A)$. So we see that in all cases, if we assume that $x \in \overline{A}$ then we must have $x \in A \cup L(A)$ ■

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