

# 05. Hash Functions and Message Authentication Codes

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# Hash Functions

# Hash Function and Simple Example

- A hash function is any function that takes any data of arbitrary size as an input and returns an output of fixed size.
- Bitwise XOR

	Bit 1	Bit 2	...	Bit $n$
Block 1	$x_{11}$	$x_{12}$	...	$x_{1n}$
Block 2	$x_{21}$	$x_{22}$	...	$x_{2n}$
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$
Block $m$	$x_{m1}$	$x_{m2}$	...	$x_{mn}$
Hash Output	$y_1$	$y_2$	...	$y_n$

# Cryptographic Hash Functions

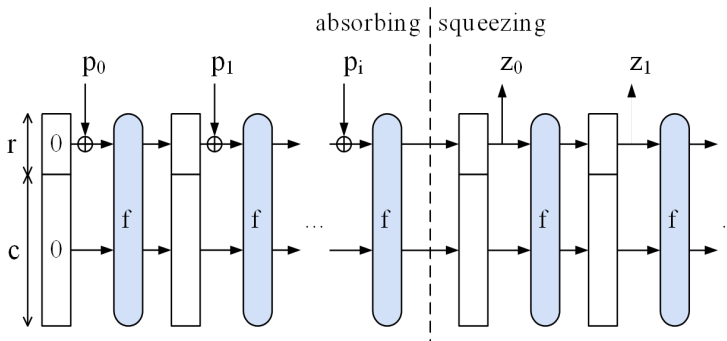
- A cryptographic hash function  $h$  is a function that takes a message of arbitrary length as an input and returns a **message digest** of fixed length. It should satisfy the following conditions:
  - ① **Efficiently computable**: Given an input  $m$ ,  $h(m)$  should be efficiently computed.
  - ② **One-wayness or Pre-image resistant**: Given  $y$ , it is computationally infeasible to find an  $m'$  such that  $h(m') = y$ .
  - ③ **Second pre-image resistant or Weak collision resistant**: Given  $m$ , it is computationally infeasible to find an  $m'$  such that  $h(m') = h(m)$ .
  - ④ **Collision resistant**: It is computationally infeasible to find  $m$  and  $m'$  such that  $h(m) = h(m')$ .
- e.g.) MD5, HAVAL-128, SHA-1, SHA-2, SHA-3

# History: Secure Hash Algorithm (SHA)

- 1993: Originally developed by NIST
- 1995: Revised as SHA-1: 160-bit output (80-bit security)
- 2002: Included 3 additional versions (SHA-2), SHA-256, SHA-384, SHA-512
  - ▶ SHA-2 shares the same structure and mathematical operations as SHA-1
- 2005: Collisions for SHA-1 with  $2^{69}$  operations (Broken!) were found by X. Wang et al.
- 2007: Started SHA-3 competition hosted by NIST
- 2012: Keccak proposed by G. Bertoni, J. Daemen, M. Peeters, and G. V. Assche was finally selected.

# Design Rationale of SHA-3

- Based on sponge constructions: Data is absorbed, then the result is squeezed.



- $f$  is a function for block permutation which consists of XOR, AND, and NOT operations.

<https://i.stack.imgur.com/JsbvV.png>

# Instances

Instance	Output Size	Block Size	Capacity	Security		
				Collision	Preimage	2nd Preimage
SHA3-224	224	1152	448	112	224	224
SHA3-256	256	1088	512	128	256	256
SHA3-384	384	832	768	192	384	384
SHA3-512	512	576	1024	256	512	512

# Message Authentication Codes



# Message Authentication Codes (MAC)

- Motivation: Message integrity and message authentication
  - ▶ Alice and Bob want to be assured that any manipulations of a message  $x$  in transit are detected.
  - ▶ Bob computes the message authentication code (MAC) as a function of the message  $x$  and the shared secret key  $k$ ,

$$y = \text{MAC}_k(x),$$

and sends  $(x, y)$  to Alice.

- ▶ Alice verifies  $y$  using the shared secret key  $k$  and the received message  $x$ .
- Security requirement: It should be hard to generate a valid output of the function MAC without knowing the secret key  $k$  (as digital signatures).

# Message Authentication Codes (MAC)

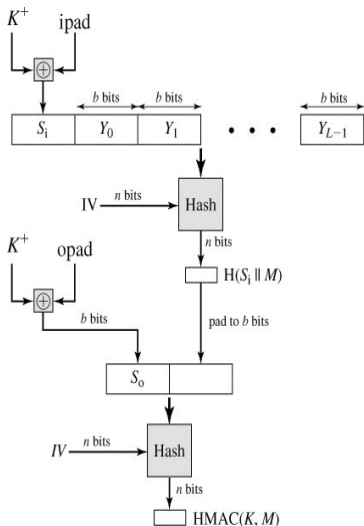
- Properties of MAC
  - ▶ Cryptographic checksum
  - ▶ Symmetric techniques
  - ▶ Arbitrary input length/fixed output length
  - ▶ Message integrity
  - ▶ Message authentication
  - ▶ No nonrepudiation
- Comparison with digital signature
  - ▶ **Pros:** Faster than digital signatures
  - ▶ **Cons:** No nonrepudiation
- Design of MAC
  - ▶ Use hash functions
  - ▶ Use block ciphers

# MACs from Hash Functions: HMAC

- Proposed by M. Bellare, R. Canetti, and H. Krawczyk in 1996
- Exploit cryptographic hash functions as a building block
- Utilized in both IP Security suite, TLS (Transport Layer Security), SET (Secure Electronic Transformation)
- Possible to prove the security of HMAC under certain assumptions

# HMAC Construction

- 1 Append zeros to the left end of  $K$  to create  $b$ -bit string  $K^+$
- 2 Compute  $S_i = K^+ \oplus \text{ipad}$  where  $\text{ipad}$  is  $0x36$  repeated  $b/8$  times
- 3 Append  $M$  to  $S_i$
- 4 Compute  $H(S_i \| M)$
- 5 Compute  $S_o = K^+ \oplus \text{opad}$  where  $\text{opad}$  is  $0x5C$  repeated  $b/8$  times
- 6 Append  $H(S_i \| M)$  to  $S_o$
- 7 Compute and output  $H(S_o \| H(S_i \| M))$



# Security of HMAC

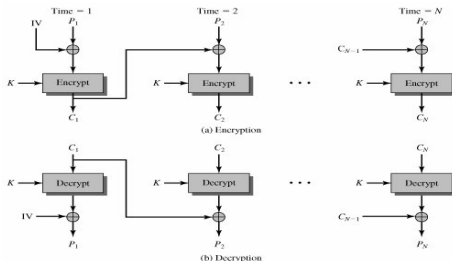
- Can provide provable security (i.e., HMAC is secure under certain assumption)
  - Generating a valid output of MAC is equivalent to one of the following attacks on the exploited hash function:
    - ▶ The attacker can compute an output of the compression function even with an IV that is random, secret, and unknown to the attacker.
    - ▶ The attacker can find collisions in the hash function even when the IV is random and secret.
- ⇒ Related to finding collisions of the exploited hash function

# MACs from Block Ciphers: CBC-MAC

- Combined with a block cipher and modes of operations
- AES with CBC Modes is the most popular in practice

## Recall: Cipher Block Chaining (CBC) Mode

- $\text{Enc}(K, P_i) = \begin{cases} \text{Enc}_{\text{Block}}(K, IV \oplus P_1) & \text{for the first block} \\ \text{Enc}_{\text{Block}}(K, C_{i-1} \oplus P_i) & \text{for other blocks} \end{cases}$
- $\text{Dec}(K, C_i) = \begin{cases} \text{Dec}_{\text{Block}}(K, C_1) \oplus IV & \text{for the first block} \\ \text{Dec}_{\text{Block}}(K, C_i) \oplus C_{i-1} & \text{for other blocks} \end{cases}$



# Description of CBC-MAC

- CBC-MAC Generation: Given a secret key  $k$ , the initial value  $IV$ , and a message  $x$  divided into  $n$  blocks,  $x_1, \dots, x_n$ , it computes

$$y_1 = \text{Enc}_{\text{Block}}(k, IV \oplus x_1)$$

and

$$y_i = \text{Enc}_{\text{Block}}(k, x_i \oplus y_{i-1})$$

for  $2 \leq i \leq n$  and returns  $(x, y_n)$ .

- CBC-MAC Verification: Given  $(x, y_n)$ , check whether

$$y_n \stackrel{?}{=} \text{CBC-MAC}_k(x)$$

using the above CBC-MAC generation algorithm

# References

PP10 C. Paar and J. Pelzl, Understanding Cryptography, Springer, 2010

Sta05 W. Stallings, Cryptography and Network Security: Principles and Practice, 4th edition, Pearson Prentice Hall, 2005