UML-Driven Software Performance Engineering:

A systematic mapping and a review of several selected techniques

Doç. Dr. Vahid Garousi **Associate Professor of Software Engineering Senior Software Consultant**

Sistem ve Yazılım Kalite Mühendisliği Araştırma Grubu (SySoQual) Yazılım Mühendisliği Bölümü Atılım Üniversitesi

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1st International Workshop on Advanced **Topics on Software Engineering ATSEN 2014** İKÜ

7 November 2014

Yazılım Danışmanı Maral Yazılım Mühendislik Hizmetleri **Ankara**



Outline



- Background of the speaker and his research expertise
- A systematic mapping of UML-Driven Software Performance Engineering (UML-SPE)
- Review of one UML-SPE technique

Background of the speaker and his research background

Education:

- Professional Engineer designation (P.Eng.), Province of Alberta, Canada, 2008
- PhD (Carleton University, Canada), 2006
- MSc (University of Waterloo, Canada), 2003
- BSc (Sharif University of Technology, Iran), 2000

Work Experience:

- Atilim University, Ankara, Türkiye, since Jan. 2014
- Middle East Technical University, Ankara, Türkiye, Jan.-Dec. 2013
 - Visiting Associate Professor, Graduate School of Informatics
- University of Calgary, Canada
 - Associate Professor of Software Engineering, April 2010-2014 (tenured)
 - Assistant Professor of Software Engineering, 2006-2011

Research Expertise:

- Software Engineering
- Software Testing and Quality Assurance (QA)
- Software Requirements and Software Maintenance
- "Action research", i.e., industry-academia collaborations

• Personal:

Canadian citizen, of Azerbaijani-Iranian origin











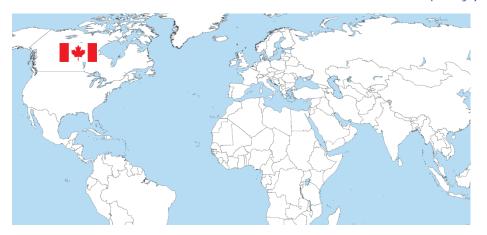




Software Quality Engineering Research Group (SoftQual), 2006-2014



- www.softqual.ucalgary.ca
- Alumni
 - 1 Post-doctoral fellow
 - 3 PhD students
 - 9 MSc
 - 30+ undergraduate students



- Almost all our projects were applied R&D projects in collaboration with the industry.
 More than 10 industrial partners such as IBM
- More than \$1.5 Million CND in funding in 7 years
- Output: More than 29 journal papers and 35+ conference papers





Software Quality Engineering Research Group (SoftQual) - Research Projects



Research Projects and Indus	trial Partn	ers		
Project Title	Duration	Funded by	In collaboration with	
Improving the cost effectiveness of software testing activities and processes	2012- 2013	NSERC ENGAGE grant #EGP 444884-12	Pason Systems Corp.	pason
Automated software testing of communication frameworks	2012- 2013	NSERC ENGAGE grant #EGP 437020-12	Telvent	TELVENT
Tuning of Artifact and Process Parameters towards Optimized Maintenance	2012- 2013	NSERC CRD grant #CRDPJ 414157-11 and industry	A joint project with the Software Engineering Decision Support Research Laboratory	Nov/Ate
Mining Repositories for Optimized Embedded Software Life-cycle Effort Allocation	2011 (May- October)	NSERC ENGAGE grant #EGP 413039-11	NovAtel Inc. A joint project with the Software Engineering Decision Support Research Laboratory	Nov/Ate
Design and Testing of defect-intolerant Embedded Systems. A multi-disciplinary team. Our team's focus area in this group project: • Papers: 2010, 2011 • Open-source tools developed for this	2008- 2011	NSERC CRD grant #CRDPJ 365295-08 and industry	Analog Devices Inc. CDL Systems Ltd. Ecole d'ingénieurs et d'arch Fribourg DirectVoxx	ANALOG DEVICES DEVICES SYSTEMS nitectes de DirectVoxx•)

System and Software Quality Engineering Research Group (SySoQual), since 2014

- se.atilim.edu.tr/sysoqual
- Students:
 - 3 MSc students
 - 10+ undergraduate students
- Almost all our projects have been applied R&D projects in collaboration with the industry.
- Has provided several consulting R&D projects
- Already involved in one ITEA2 and several TUBITAK grants
- In process of applying for more H2020, ITEA3 and TUBITAK grants
- Some of industry partners:









T.C. BASBAKANLIK











Outline



- Background of the speaker and his research expertise
- A systematic mapping of UML-Driven Software Performance Engineering (UML-SPE)
- Review of one UML-SPE technique

A recent book chapter...

Chapter 2

UML-Driven Software Performance Engineering: A Systematic Mapping and Trend Analysis

Vahid Garousi

University of Calgary, Canada

Shawn Shahnewaz

University of Calgary, Canada

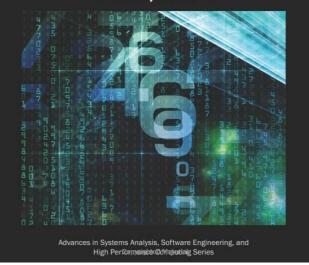
Diwakar Krishnamurthy

University of Calgary, Canada

Progressions and Innovations in Model-Driven Software Engineering

PREMIER REFERENCE SOURCE

Vicente García Díaz, Juan Manuel Cueva Lovelle, Begoña Cristina Pelayo García-Bustelo & Oscar Sanjuán Martinez



Progressions and Innovations in Model-Driven Software Engineering

Vicente García Díaz (University of Oviedo, Spain), Juan Manuel Cueva Lovelle (University of Oviedo, Spain), B. Cristina Pelayo García-Bustelo (University of Oviedo, Spain) and Oscar Sanjuán Martínez (University of Oviedo, Spain)

Release Date: June, 2013. Copyright © 2013. 388 pages.

A systematic mapping on UML-SPE

- An overview of UML-SPE
- An overview of systematic mapping studies in software engineering
- Research method
- Article selection
- Development of the systematic map (classification scheme)
- Results of systematic mapping
- Trends, bibliometrics and demographics
- Summary of findings, trends, and implications

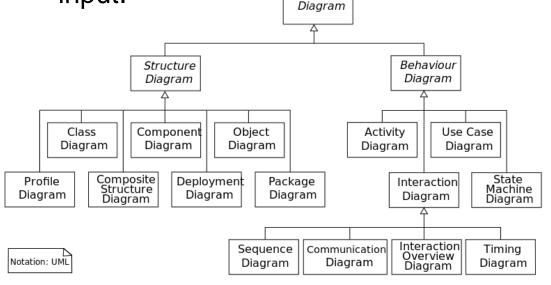
An overview of UML-SPE

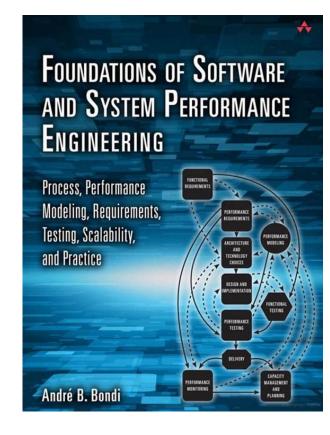
Software Performance Engineering (SPE)

 is a systematic and quantitative discipline to construct software systems that meet performance objectives.

UML-SPE

 is a family of SPE approaches that use UML models of a software system as input.

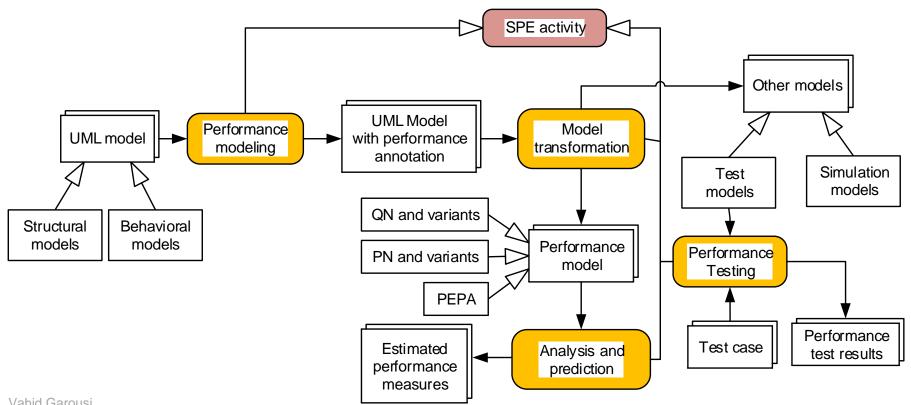






An overview of UML-SPE

- There are in general four types of SPE activities:
 - (1) modeling (specifying) the performance properties, e.g., workload, inter-arrival time distribution on UML models
 - (2) model transformation, e.g., from UML to performance models, e.g., Queuing Networks (QN), Petri Nets (PN) and performance evaluation process algebra (PEPA)
 - (3) performance testing, e.g., load testing, and stress testing
 - (4) analysis and prediction of performance



Vahid Garousi

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Systematic mapping studies in software engineering

- A software engineering systematic map is a defined method to build a classification scheme and structure a software engineering field of interest
- The analysis of results focuses on frequencies of publications for categories within the scheme
- Such summarized and categorized results provide many benefits to the broader community
- For example, they are valuable resources for new researchers (e.g., PhD students) aiming to conduct additional secondary studies
- Usually, a SM has less depth than a systematic literature review (SLR)

The Educational Value of Mapping Studies of Software Engineering Literature

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Using Mapping Studies in Software Engineering

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Systematic Mapping Studies in Software Engineering

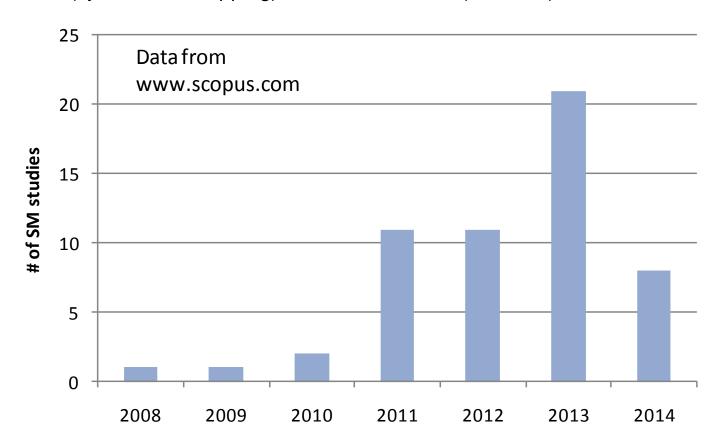
Kai Petersen^{1,2}, Robert Feldt¹, Shahid Mujtaba^{1,2}, Michael Mattsson¹ ¹School of Engineering, Blekinge Institute of Technology, Box 520 SE-372 25 Ronneby

(kai.petersen | robert.feldt | shahid.mujtaba | michael.mattsson)@bth.se

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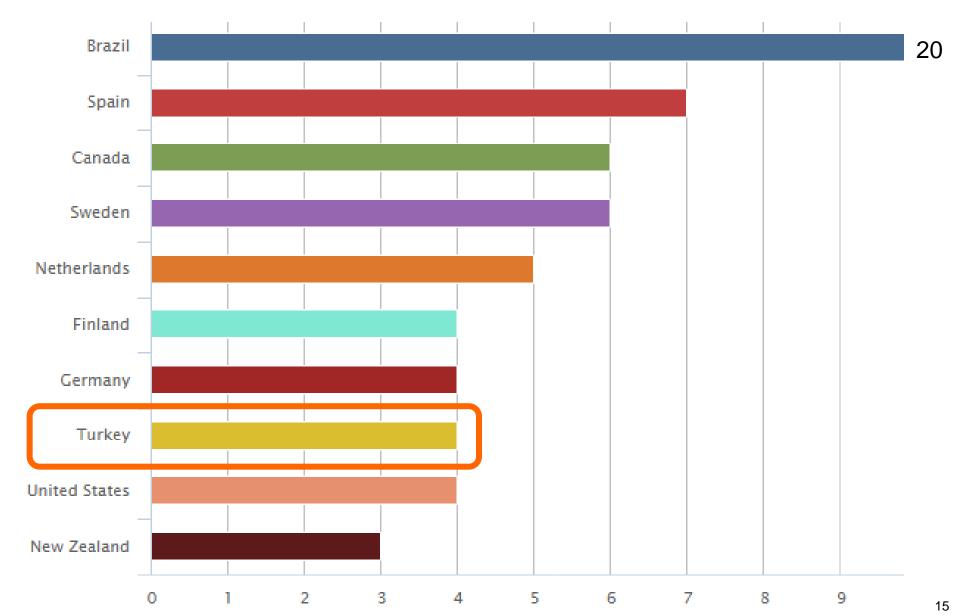
Systematic mapping studies in software engineering

- More and more systematic mapping studies are appearing...
- There are about 55 SE systematic mapping studies in Scopus as of Oct. 2014
- Query:
 - Title (systematic mapping) AND SourceTitle (software)



Systematic mapping studies in software engineering

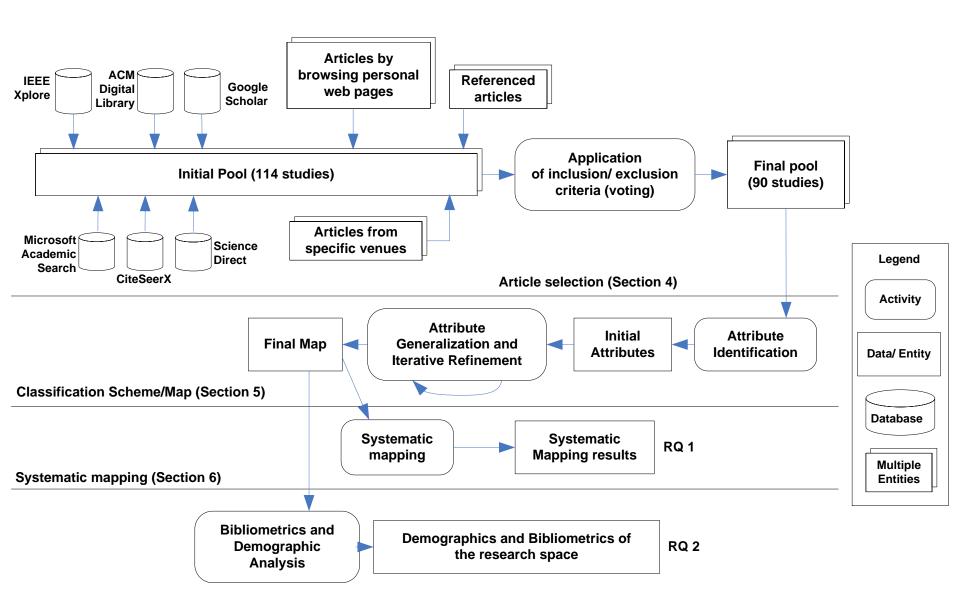
Active countries...



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Research method for our SM



Trends, Bibliometrics and Demographics (Section 7)

Research method for our SM

Goal:

- The goal of was to systematically map (classify) the state-of-the-art in the area of UML-SPE,
- to identify opportunities for future research,
- to explore the bibliometrics trends in this area,
- and to find out the recent trends and directions in this field,
- from the point of view researchers and practitioners in this area.

Research Questions:

- RQ 1 Systematic mapping: What is the research space of UML-SPE? This question aims at conducting a systematic mapping (classification) in the area.
- RQ 2 What are the bibliometrics and demographics in this area?

Each RQ was divided into sub-questions

- RQ 1 What is the research space of UML-SPE? This question aims at conducting a systematic mapping (classification) in the area.
 - RQ 1.1-Mapping of studies by contribution facet: How many studies present UML-SPE methods, techniques, tools, models, metrics, or processes? Petersen et al. [95] proposed the above types of contributions to enable systematic mapping of studies in software engineering.
 - RQ 1.2- Mapping of studies by research facet: What type of research methods are used in the studies in this area? Some studies only propose solutions without extensive validations, while some other studies present in-depth evaluation of their approach. Petersen et al. [95] has also proposed guidelines to classify the research approach of papers, which we will use to answer this RQ.
 - RQ 1.3- Types of SPE approach: What types of SPE activities have been presented in the literature (e.g., performance modeling, model transformation, and testing), and which types are more popular than others?
 - ...
 - RQ 1.10 Attributes of the software systems under analysis: What are the attributes of the software systems under analysis in the studies? What ratios of studies have used open-source, commercial, or academic experimental systems for evaluation?
 - RQ 1.11 Tools presented in papers: How many SPE tools have been proposed in the studies? Are they available for download and/or purchase?

Each RQ was divided into sub-questions

- RQ 2 What are the bibliometrics and demographics in this area?
 - RQ 2.1 Publication count by year: What is the annual publication count in this area?
 - RQ 2.2 Publication count by venue type: What is the annual publication count in different types of venues (conference, journals, etc.)?
 - RQ 2.3- Citation count by year: What is the citation count for studies from different years? Do older papers necessarily receive more citations?
 - RQ 2.4–Top-cited studies: What are the top-cited studies in this area?
 - RQ 2.5- Top venues: Which venues have published most of the studies in this area?
 - RQ 2.6– Citation count by venue type: What is the average citation count for different publication venue types? Do journal papers in this area necessarily receive more citations than workshop and conference papers?
 - RQ 2.7- Top authors: Which authors have been most active in terms of number of papers?
 - RQ 2.8- Author affiliation What ratios of the authors are from academia or industry? How
 many papers have been jointly authored by people from academia and industry? This RQ
 will show the extent of academia- industry collaborations in this field.
 - RQ 2.9- Top countries: Which countries have been more active in terms of number of papers?

11+9=20 RQs in total

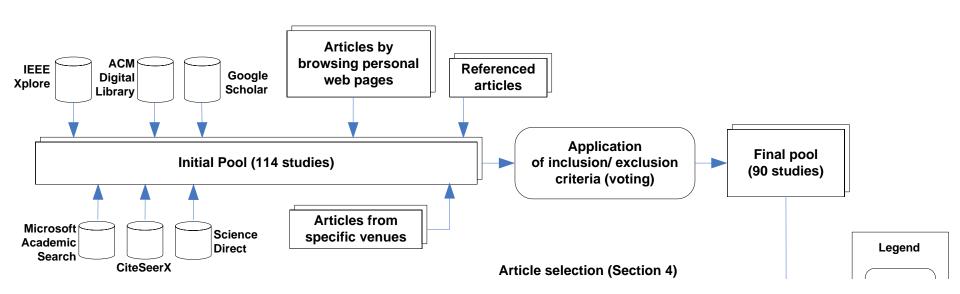
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Article selection for our SM

Search keywords:

- UML performance engineering
- UML performance modeling
- UML performance testing
- UML load testing
- UML performance prediction
- UML stress testing



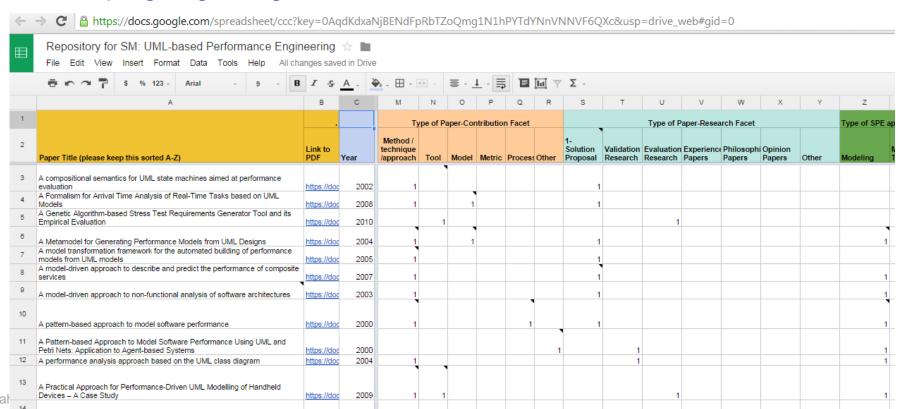
Article selection for our SM

Final pool of articles:

 After the initial search and the follow-up analysis for exclusion of unrelated and inclusion of additional studies, the pool of selected studies was finalized with 90 studies.

Online repository:

http://goo.gl/V8ltgV

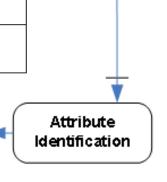


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Development of the systematic map (classification scheme)

RQ	Attribute/Aspect	Categories	(M)ultiple/ (S)ingle
1.1	Contribution type	{Method (technique), Tool, Metric, Process, Other }	M
1.2	Research type	{Solution Proposal, Validation Research, Evaluation Research, Experience Papers, Philosophical Papers, Opinion Papers, Other}	S
1.3	Type of SPE approach	{Modeling, Model Transformation, Testing, Analysis and prediction, Other}	M
1.4	Type of performance metrics used and evaluated	{Execution (response) Time, Utilization, Throughput, Other}	M
1.5	Input UML diagrams	{Activity diagram, Class diagram, Collaboration Diagram, Deployment Diagram, Sequence Diagram, States Machine, Use-case diagram, Other}	M
1.6	(Performance model, test cases, other) Outputs Performance model ∈ {queuing network (QN) and variants, Petri net (PN) and variants, Performance Evaluation Process Algebra, other}		M
1.7	Annotation languages	{ UML Profile for Schedulability, Performance and Time (SPT), UML Profile for Modeling and Analysis of Real-Time and Embedded Systems (MARTE), other/custom}	M
1.8	Application domains	{ Real-time systems, Embedded Systems, Mobile/handheld, Other, Generic}	M
1.9	Methods of evaluation	{Feasibility, Comparing with tests from operational profile, Comparing predicted measures with actual measures, Performance prediction, Other}	M
1.10	Attributes of the software systems under analysis	# of systems/ examples: integer SUT/example names: array of strings Type of system(s) ∈{Open-source, Commercial, Government, Academic experimental} LOC of system(s): integer	M
1.11	Attributes of the tool(s) presented in the paper (if any)	Name: array of strings Available for download: Boolean URL to download: string	



Final pool (90 studies)

Final Map

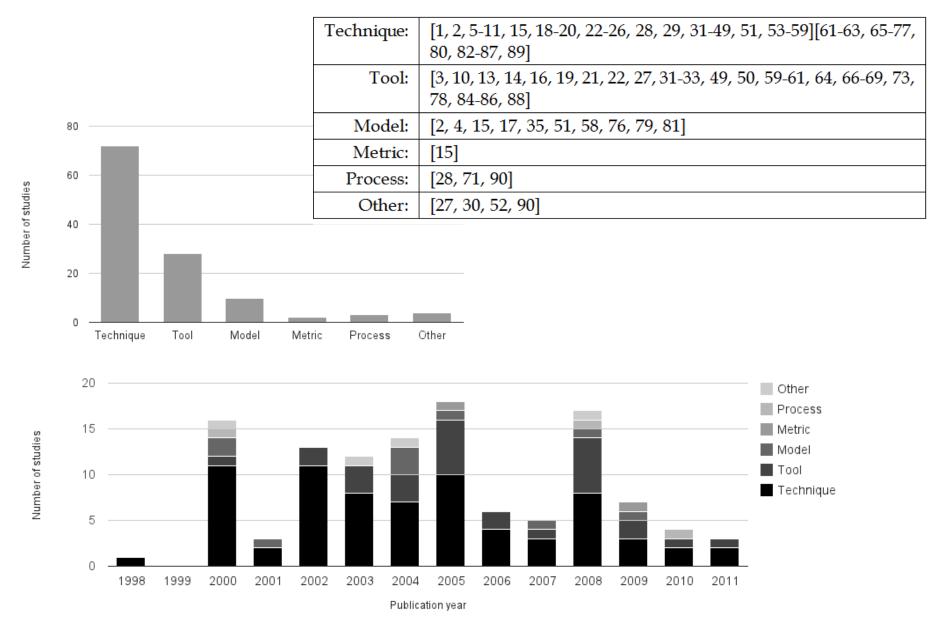
Attribute Generalization and Iterative Refinement

Initial Attributes

A systematic mapping on UML-SPE

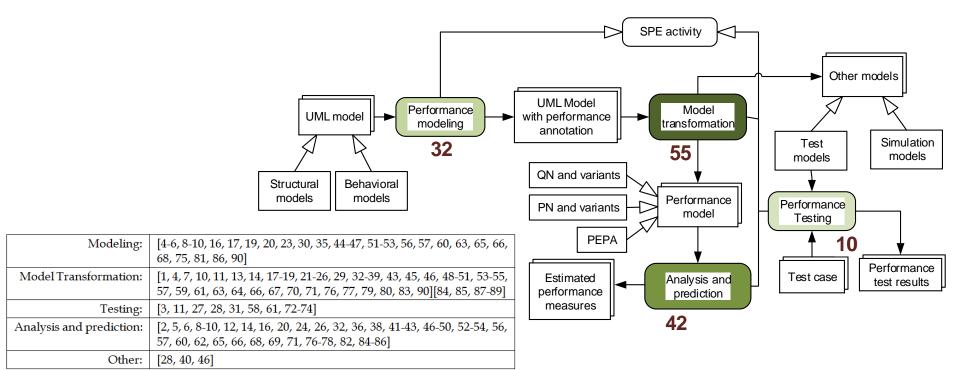
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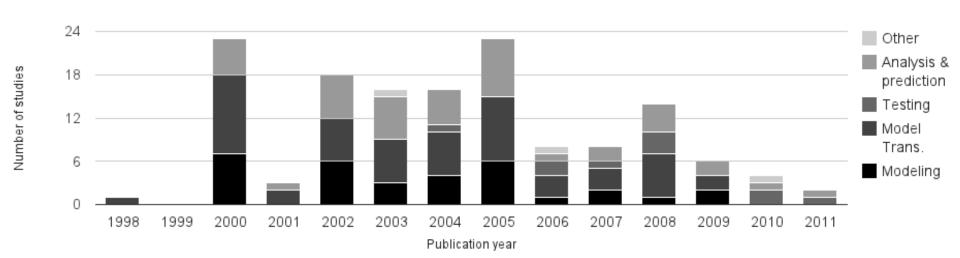
Mapping of Studies by Contribution Facet (RQ 1.1)



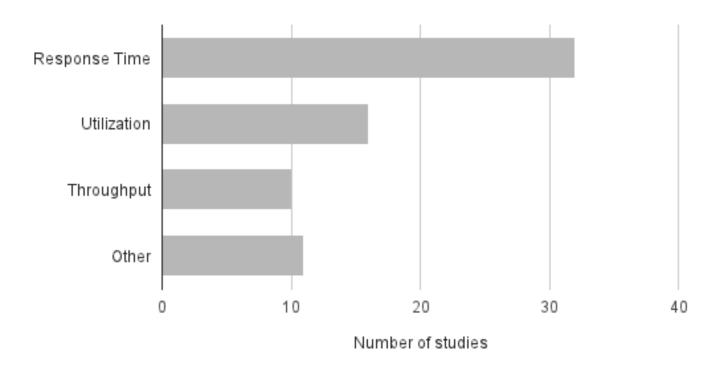
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Type of SPE activities (RQ 1.3)





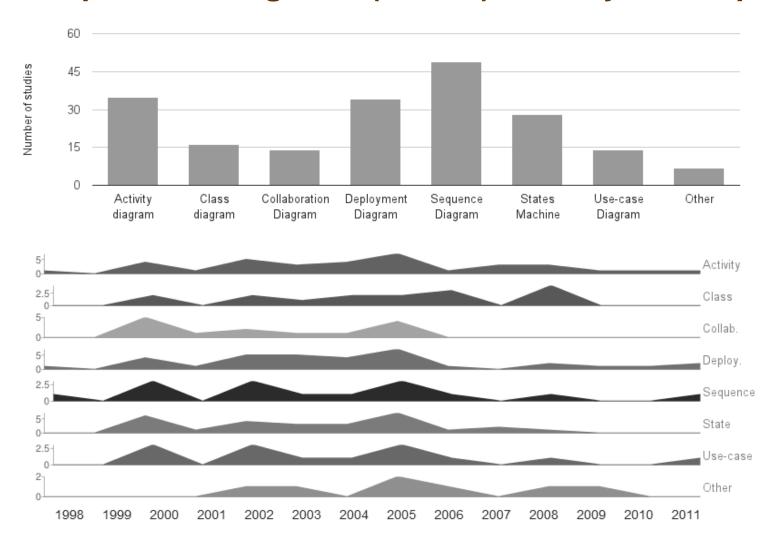
Type of Performance Metrics Used and Evaluated (RQ 1.4)



Other types of performance metrics, e.g., queue length [52, 86], sojourn time [54] (amount of time it takes for an object to leave the system), probability of missing a deadline [46], and network transfer rate [50]

Response time:	[2, 3, 5, 10, 11, 26-28, 31, 32, 38, 41, 42, 46, 48-54, 56-58, 60, 62, 65, 68, 72-74, 84, 90]
Utilization:	[12, 15, 26, 29, 40, 46-49, 52, 56, 63, 65, 66, 69, 85]
Throughput:	[12, 23, 26, 51, 54, 63, 66, 69, 76, 77]
Other:	[13, 15, 16, 21, 36, 46, 50, 52, 54, 65, 86]

Type of input UML diagrams (RQ 1.5) used by techniques



 6 studies used other types of UML diagrams, e.g., component diagrams [32, 48, 68], and composite-structure diagrams [10]; or extended UML diagrams such as: usecase maps [67].

Output Models and Artifacts (RQ 1.6)

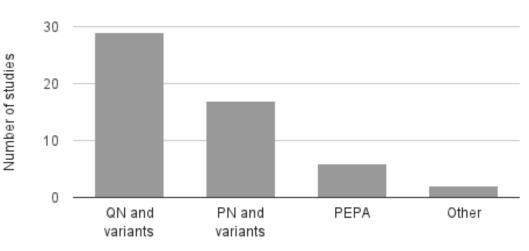
The top three performance models are:

- (1) Queuing Network (QN) and variants
- (2) Petri Net (PN) and variants
- (3) Performance Evaluation Process
 Algebra (PEPA)

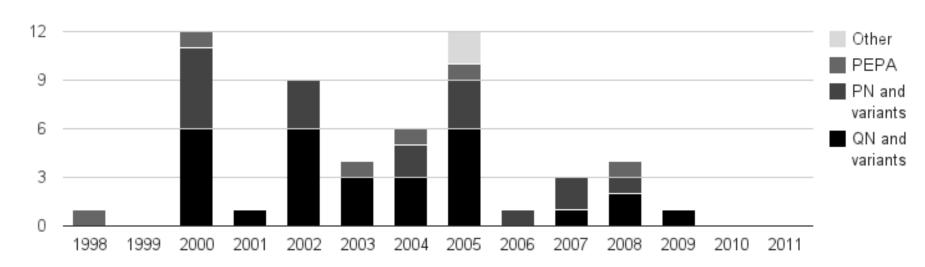


- Layered QN (LQN) (e.g., [7])
- Multi-class QN (MCQN) [10, 55]
- Extended QN model (EQNM) [24, 62, 63]
- Augmented QN (AQN) [76]

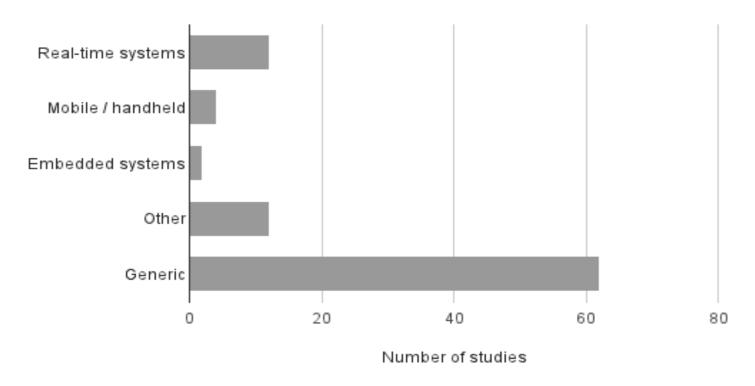
Publication count



31



Application Domains (RQ 1.8)



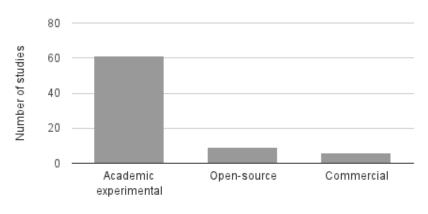
The "other" domains:

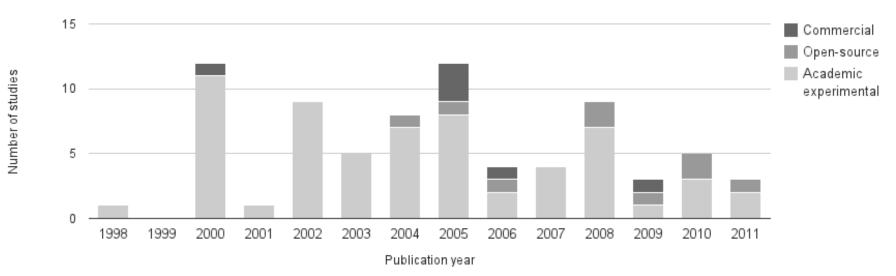
- parallel and distributed applications [44, 60, 75-77]
- agent-based systems [53]
- enterprise information systems [42]

Type/Scale of the Software Systems Under Analysis (RQ 1.10)

- The following commercial software systems or protocols have been used in the studies:
 - Digital Broadcasting Video (DVB) protocol (used in [10])
 - Siemens medical solutions (used in [11])
 - A hierarchical cellular network [16]
 - Alternating bit protocol [23]
 - NASA's Earth Observing System (EOS) [41]

• ...



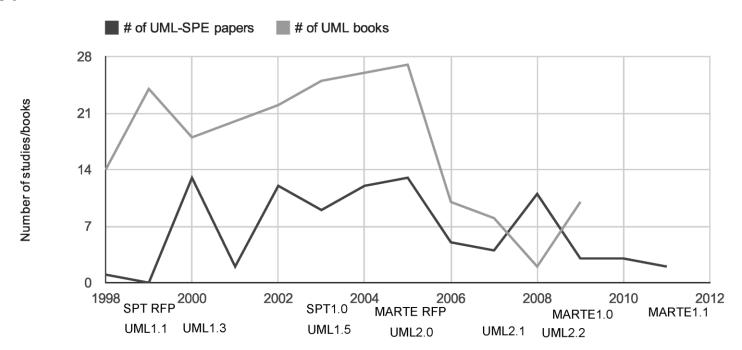


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Publication Count by Year (RQ 2.1)

- The annual trend of number of papers has had a decline in recent years.
- This is raising the question that whether there is very little left in the field to be "solved".



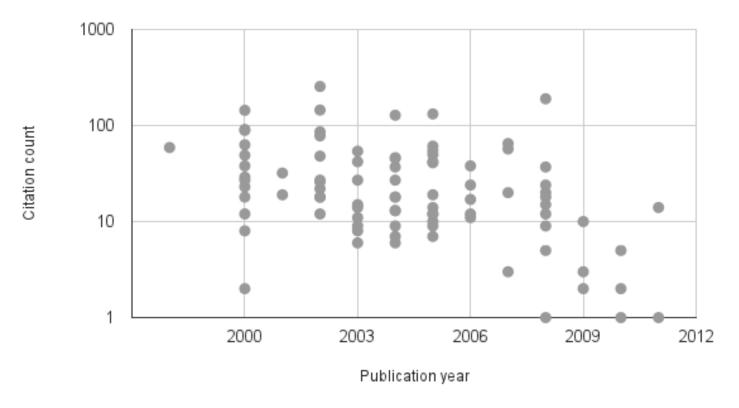
Also, compared with the trend of UML books from another SM study

Softw Syst Model DOI 10.1007/s10270-011-0189-9

OVERVIEW PAPER

Classification and trend analysis of UML books (1997–2009)
Vahid Garousi

Citation Count versus Publication Year (RQ 2.3)

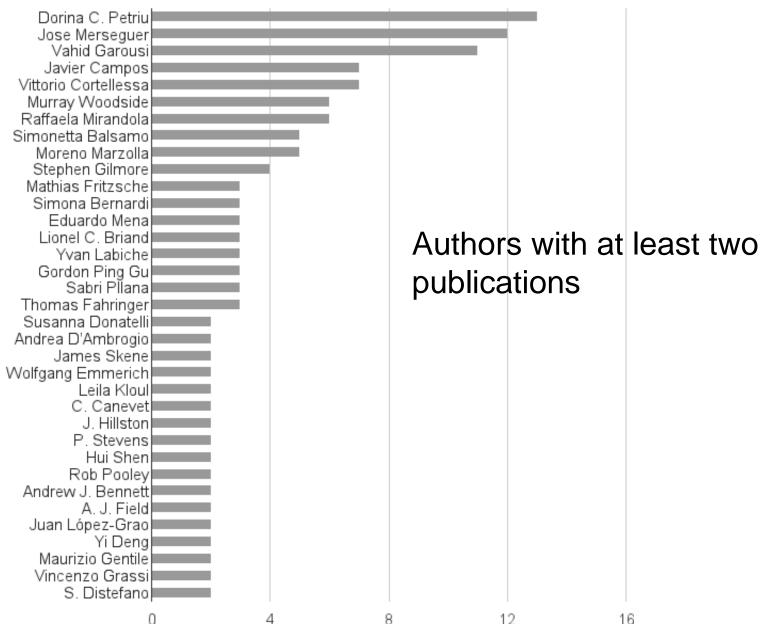


- To analytically assess the hypothesis that whether older papers necessarily receive more citations, we calculated the Pearson correlation coefficient.
- The correlation coefficient=-0.25
- which denote that there is a weak support for the above hypothesis

Top-Cited Studies (RQ 2.4)

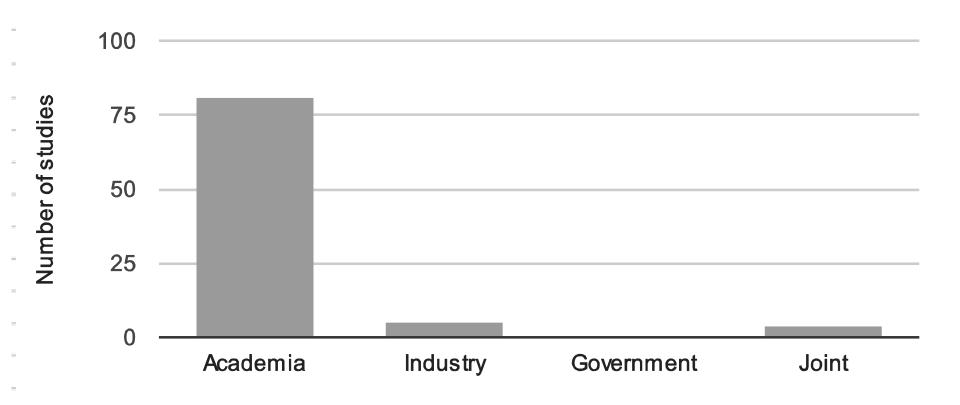
Title	Number of citations	Publica tion year	Type of SPE approach
From UML sequence diagrams and state- charts to analyzable Petri net	255	2002	Model Transformati on
The Palladio component model for model- driven performance prediction	190	2008	Model Transformati on
Applying the UML Performance Profile: Graph Grammar-Based Derivation of LQN Models from UML Specifications	145	2002	Modeling, Analysis and prediction

Active Authors (RQ 2.7)

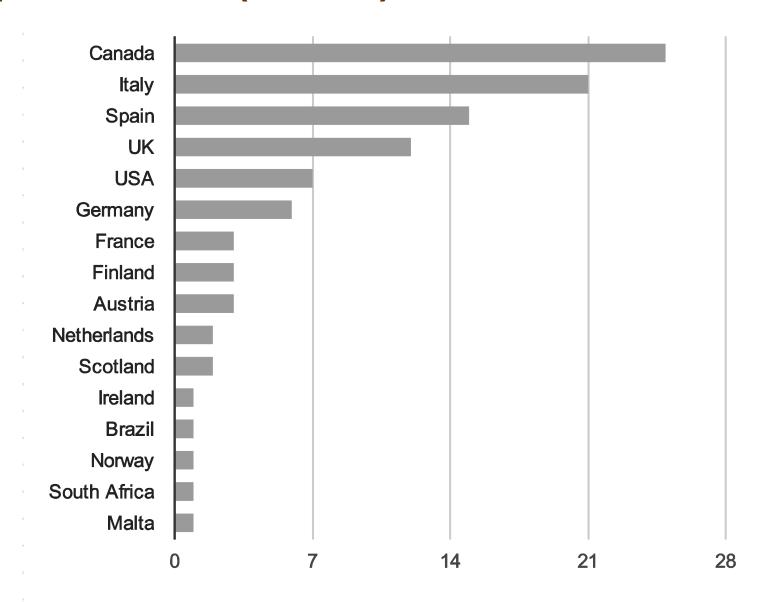


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Authors Affiliation (RQ 2.8)



Top Countries (RQ 2.9)



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Summary of findings, trends, and implications

RQ 1 (mapping of studies by contribution facet):

- In terms of contribution facet, most of the primary studies (81%) proposed new techniques or improved an existing one.
- Only 1 and 3 studies presented new metrics and new processes, respectively.
- This denotes the need for more work in these areas.

RQ 1.3 (types of SPE approach):

- The ranking of proposed SPE activities in order were: (1) model transformation (61% of studies), (2) analysis and prediction (47%), (3) modeling (36%), (4) testing (10%), and (5) other (3%), e.g., performance tuning.
- While UML-based performance testing is an important and promising field of study [28, 72, 73], this particular area has not received the deserved attention and focus in the community, and thus needs more work by the research community and practitioners.

Summary of findings, trends, and implications

RQ 1.7 (model annotation languages):

- 43 studies have used the UML SPT profile [116, 117] as their annotation languages
- while 5 studies have used the UML MARTE profile [118]
- The annual usage trend analysis reveals that usage of SPT continues through the years.

RQ 1.10 (type/scale of the software systems under analysis):

- Our results showed that prototype or experimental systems developed in the academia are the majority (used in 62 studies).
- 9 and 8 studies used open-source or commercial software for evaluation of their methods.
- We feel this opens up a fertile opportunity for future work to evaluate the UML-SPE techniques proposed on large-scale commercial software systems.

Summary of findings, trends, and implications

RQ 1.11 (tools presented in papers):

- Tool support is an important issue in all sub-fields of the software engineering.
- A "healthy" ratio of the primary studies (31%) presented 28 (new) tools.
- Only 20 of those 28 tools were available for download (either free or commercial license).
- Certainly, the authors encourage more efforts on developing additional industry-scale UML-SPE tools and also technology transfer of those tools to the industry.

Outline



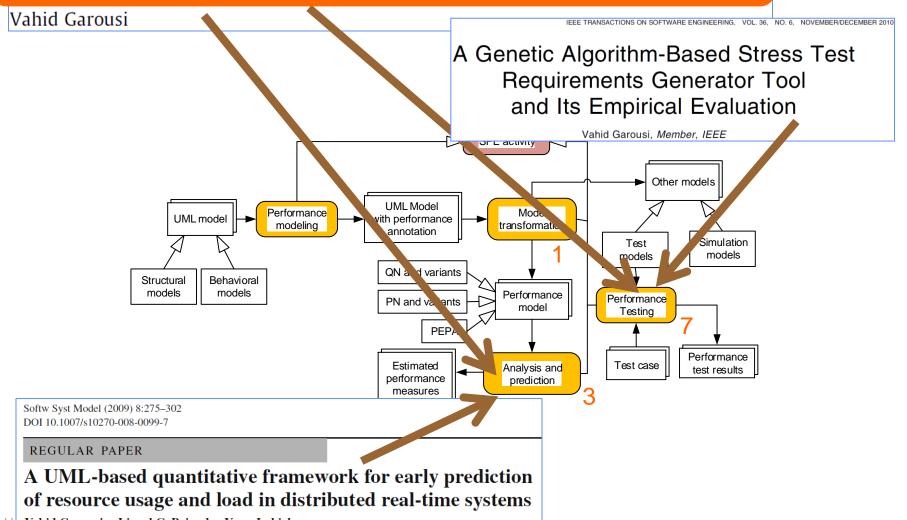
- Background of the speaker and his research expertise
- A systematic mapping of UML-Driven Software Performance Engineering (UML-SPE)

Review of one UML-SPE technique

Review of several selected techniques

The speaker has had 11 papers in this area.

Experience and challenges with UML-driven performance engineering of a Distributed Real-Time System



Vahid Garousi · Lionel C. Briand · Yvan Labiche

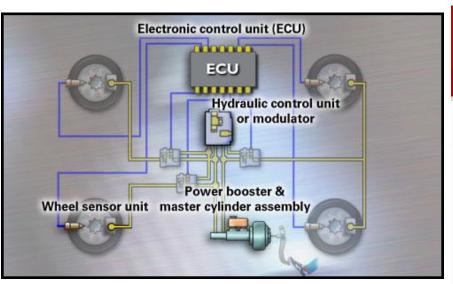
Experience and challenges with UML-driven performance engineering of a Distributed Real-Time System



Vahid Garousi

Definitions:

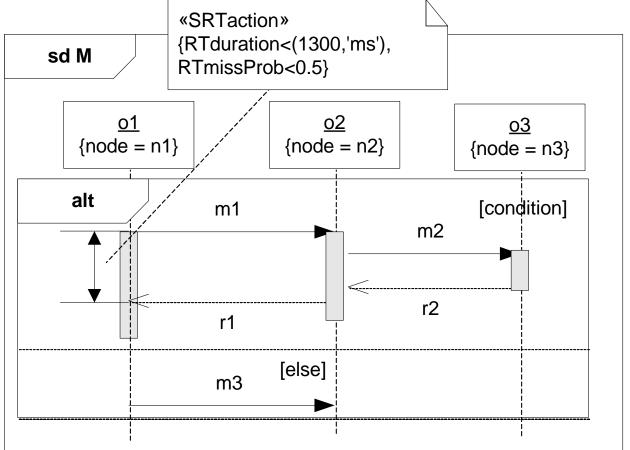
- Real-time (RT) fault: The execution time of a RT task has passed its RT constraint (RT deadline, e.g., 1 second)
- Example: The anti-lock brakes on a car are a simple example of a RT computing system.
- The RT constraint in this system is the time in which the brakes must be released to prevent the wheel from locking.
- A RT deadline must be met, regardless of system load.



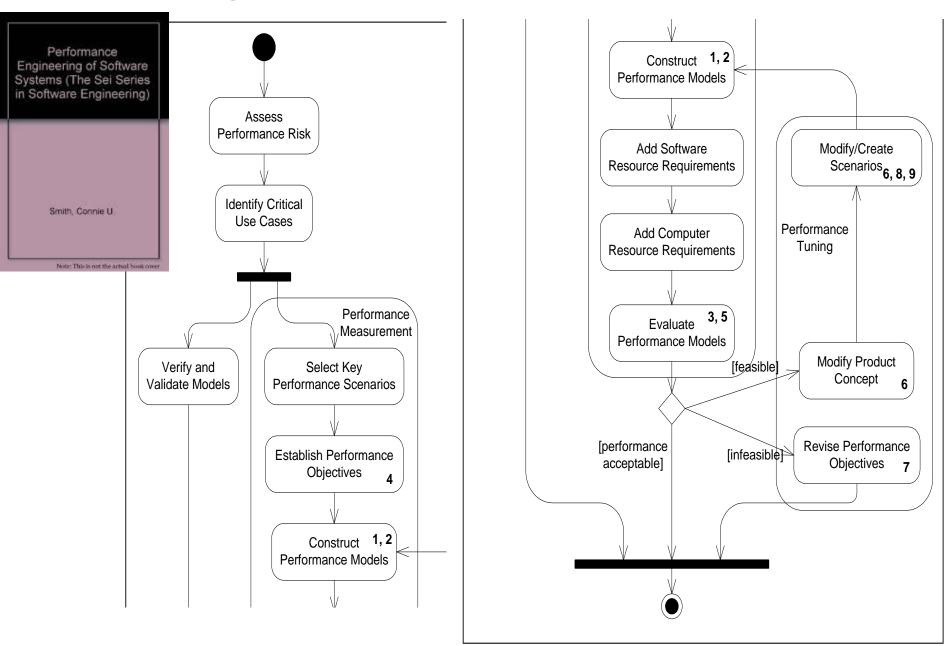


Modeling RT constraints in UML diagrams

- Usage of the «SRTaction» stereotype in a UML sequence diagram (soft versus hard RT actions)
- The UML Profile for MARTE: Modeling and Analysis of Real-Time and Embedded Systems
 - www.omgmarte.org



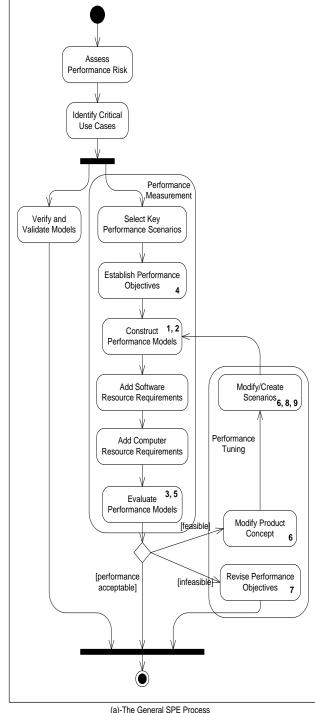
An overview of the general SPE Process

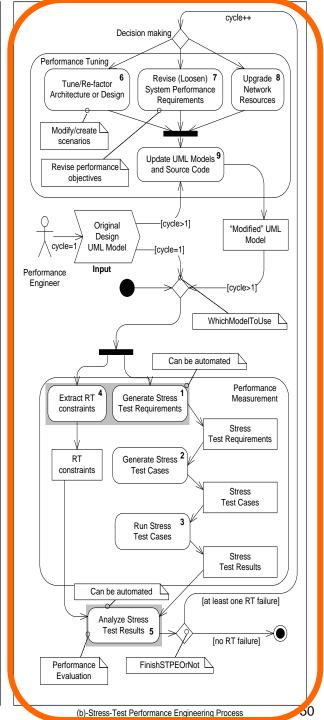


Vahid Garousi (a)-The General SPE Process 49

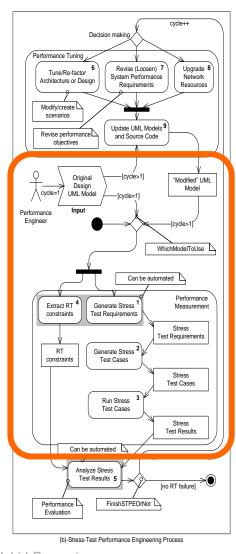
Our Stress-Test Performance Engineering (STPE) process versus the general SPE Process

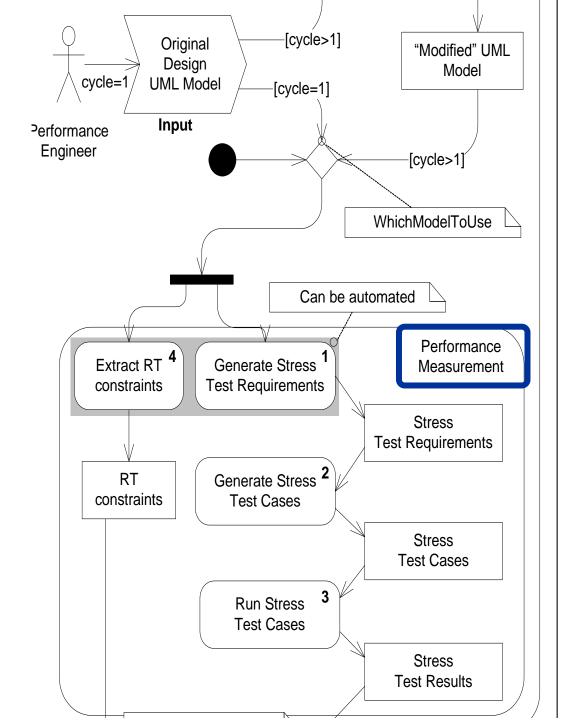
Zoomed review next...



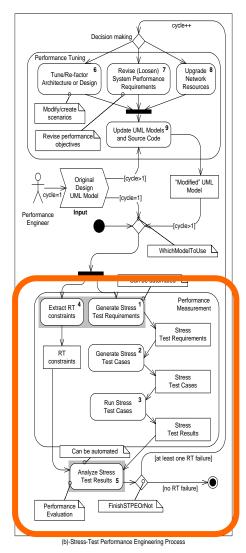


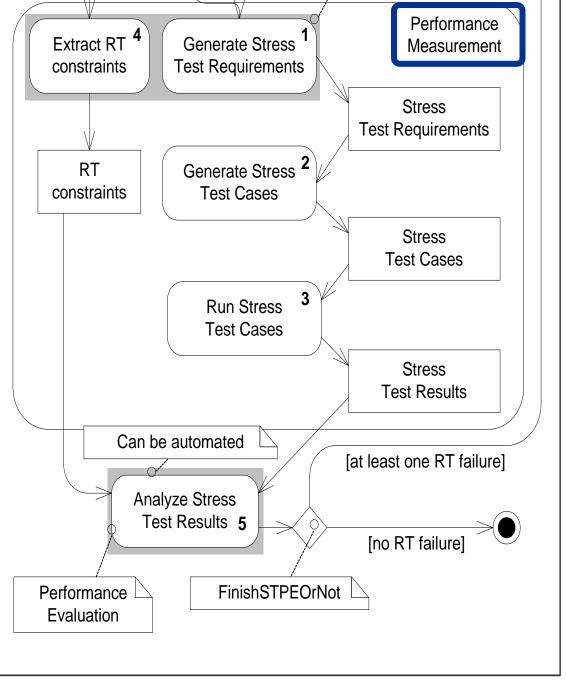
Our Stress-Test Performance Engineering (STPE) process





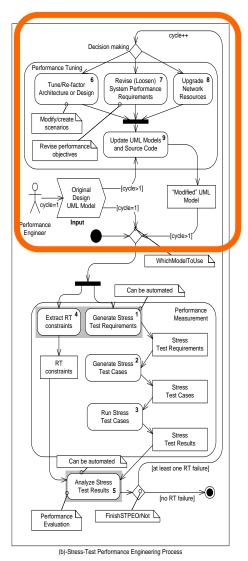
Our Stress-Test Performance Engineering (STPE) process

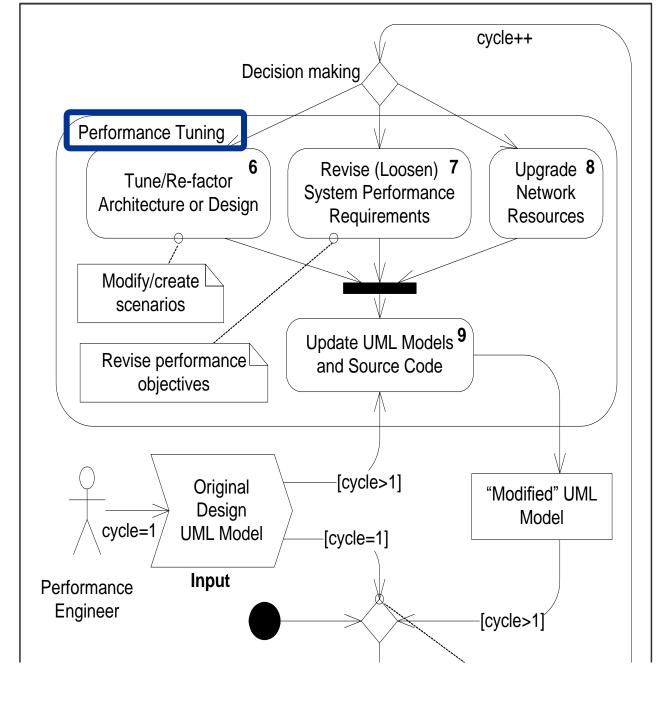




(b)-Stress-Test Performance Engineering Process

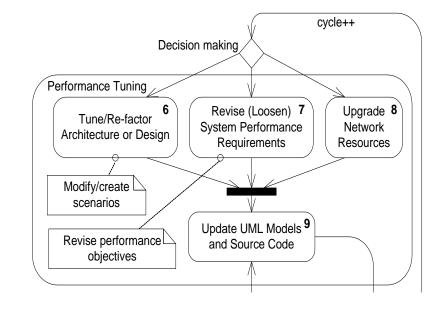
Our Stress-Test Performance Engineering (STPE) process

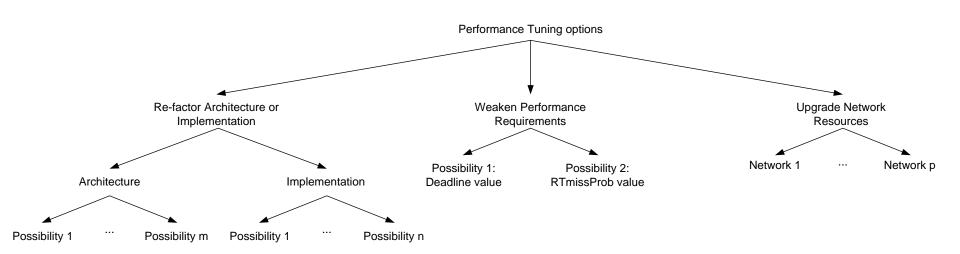




Performance tuning

- The performance tuning stage of STPE is undertaken if the performance evaluation in Step 5 reports that at least one RT failure (HRT or SRT) has occurred.
- A decision tree for Value-Based Performance Engineering (VBPE)...
- Just like Value-Based Software Engineering (VBSE)





A case-study Experiment

System under analysis:

- A prototype SCADA-based power distribution system
- SCADA: Supervisory Control And Data Acquisition
- A system to control the power distribution grid across Canada consisting of several provinces.
- Each province has several cities and regions.
- There is one central server in each province which gathers the SCADA data from Tele-Control units (TCs) from all over the province and sends them to the national server.

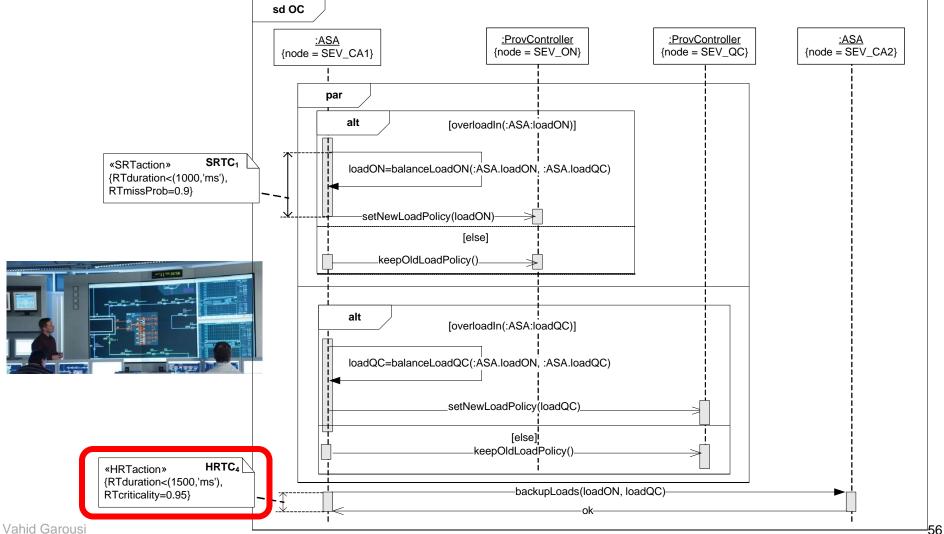




A case-study Experiment

- RT constraints of the system under analysis
- Overload Control (OC) use-case of two Canadian provinces: Ontario and Quebec

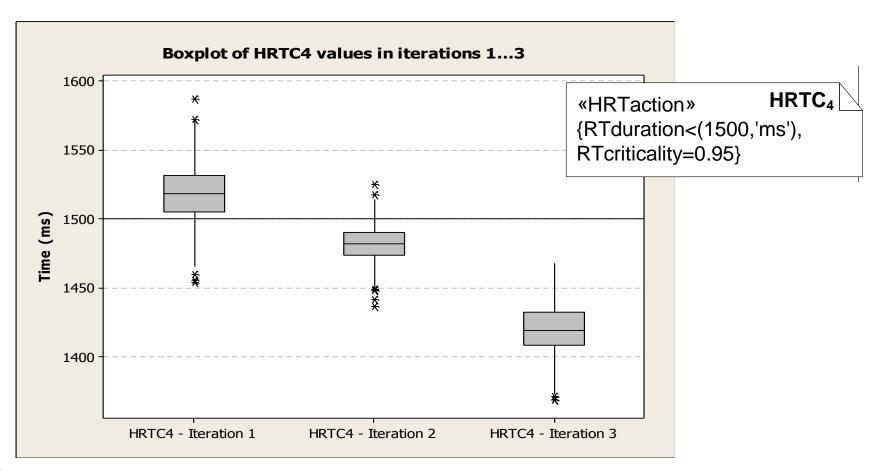




A case-study Experiment

Applying the Stress-Test Performance Engineering (STPE)

- Iteration 1: we conducted architecture/design refactoring
- Iteration 2: we replaced the wireless network card (speed: 19 Mbps) of SEV_CA1 with a faster wired network cards (speed: 100 Mbps).



Outline



- Background of the speaker and his research expertise
- A systematic mapping of UML-Driven Software Performance Engineering (UML-SPE)
- Review of one UML-SPE technique
- My team and I would be glad to collaborate with other researchers and practitioners... ©

Q/A

BACK UP

Our Stress-Test Performance Engineering (STPE) process versus the general SPE Process

