# Software Architecture Document: U.S. Power Plant Net Generation Visualization

# Introduction

The purpose of this document is to describe the architecture of the U.S. Power Plant Net Generation Visualization solution. It outlines the design decisions, technology choices, and deployment strategy to meet the specified functional and non-functional requirements. This document serves as a guide for development, deployment, and ongoing maintenance of the system. what should I do because the

# Scope

The scope of this document covers the design and implementation of a system that ingests U.S. power plant net generation data from CSV files stored in S3-compatible object storage, transforms and stores this data, exposes it via an API, and visualizes it through a simple web-based user interface. The solution will be containerized using Docker and Docker Compose.

#### Overview

The solution consists of three main components: a data ingestion and processing backend, a RESTful API, and a web-based user interface. Data will be sourced from CSV files, processed, and stored in a persistent data store. The API will provide endpoints for data retrieval, and the UI will enable users to interactively explore the data. All components will be containerized for easy deployment and management.

# **Architectural Representation**

This document will present the architecture using several views:

- Conceptual View: A high-level overview of the system's main components and their interactions.
- Logical View: A more detailed breakdown of the system's modules, their responsibilities, and the relationships between them.
- Physical View: Illustrates the deployment of software components onto hardware or virtual machines.
- Deployment Strategy: Describes how the solution will be deployed and managed.

# **Definitions, Acronyms, and Abbreviations**

- API: Application Programming Interface
- AWS S3: Amazon Web Services Simple Storage Service

- CSV: Comma Separated Values
- Docker: A platform for developing, shipping, and running applications in containers.
- Docker Compose: A tool for defining and running multi-container Docker applications.<sup>1</sup>
- EPA: Environmental Protection Agency
- eGRID: Emissions & Generation Resource Integrated Database
- FastAPI: A modern, fast (high-performance) web framework for building APIs with Python.
- GenAl: Generative Artificial Intelligence
- MinIO: An open-source, S3-compatible object storage server.
- NFRs: Non-Functional Requirements
- Object Storage: A computer data storage architecture that manages data as objects.
- ORM: Object-Relational Mapping
- RESTful API: An API that conforms to the principles of REST (Representational State Transfer).
- S3: Simple Storage Service (referring to the protocol and compatible services).
- UI: User Interface
- U.S.: United States

#### References

- EPA eGRID 2023 dataset:
  - https://www.epa.gov/system/files/documents/2025-01/egrid2023 data rev1.xlsx
- FastAPI Documentation: https://fastapi.tiangolo.com/
- React Documentation: <a href="https://react.dev/">https://react.dev/</a>
- MinIO Documentation: <a href="https://min.io/docs/">https://min.io/docs/</a>
- Docker Documentation: https://docs.docker.com/

# Requirements

# **Strategic Goal**

The strategic goal of this project is to provide an efficient and user-friendly solution for visualizing U.S. power plant net generation data, enabling stakeholders to quickly identify top-performing plants and analyze generation trends by state.

# **Functional Requirements**

The solution shall:

- FR-1: Data Ingestion: Ingest CSV files from an S3-compatible object storage (e.g., MinIO, AWS S3).
  - FR-1.1: Support CSV files conforming to the GEN23 sheet structure of the EPA's eGRID 2023 dataset.
  - FR-1.2: Only support CSV format for ingestion.
- FR-2: Data Transformation and Storage:
  - FR-2.1: Extract relevant fields from the ingested CSV data.
  - FR-2.2: Normalize and clean the data as needed (e.g., handling missing values, standardizing formats).
  - FR-2.3: Store the transformed data persistently.
- FR-3: Data Exposure (API): Provide a RESTful API with the following endpoints:
  - FR-3.1: Retrieve the top N power plants by net generation, ordered by net generation in descending order.
  - o FR-3.2: Filter the top N power plants by U.S. state.
  - FR-3.3 (Bonus): Implement basic token-based authentication for API access.
- FR-4: User Interface: Provide a web-based interface that allows users to:
  - FR-4.1: Select a U.S. state from a dropdown or similar control.
  - FR-4.2: Specify the number of top plants (N) to view via an input field.
  - FR-4.3: Display the results (top N plants for the selected state) in a clear table or chart.
- FR-5: Containerization:
  - FR-5.1: Containerize all solution components using Docker.
  - FR-5.2: Provide a docker-compose.yml file for simplified local setup and orchestration.

#### **Features**

- Data Ingestion: An manually triggered process for reading CSV files from object storage.
- Data Processing: Logic for parsing, validating, transforming, and storing data.
- RESTful API: For programmatic access to cleaned and aggregated power plant data.
- Interactive Web UI: For visual exploration of the data.
- Containerized Environment: Ensures portability and ease of deployment.

#### Persona

 Data Analyst: A user interested in quickly identifying top power plants by net generation within specific states. They will use the UI to explore trends and gather insights.

- Developer: A user who needs to integrate with the power plant data. They will primarily use the API to retrieve information.
- System Administrator: Responsible for deploying, monitoring, and maintaining the solution.

# **Non-Functional Requirements**

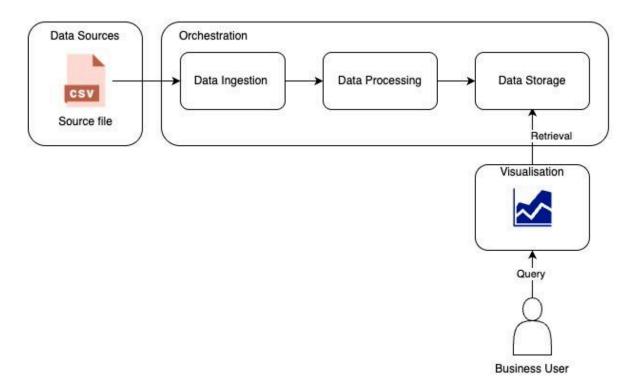
- NFR-1: Scalability: The solution should be designed to handle an increasing number of CSV files and growing data volumes. The API should be able to support multiple concurrent users without significant performance degradation.
- NFR-2: Maintainability: The codebase should be clean, modular, and well-documented to facilitate future enhancements and bug fixes.
- NFR-3: Security (Bonus): API endpoints should be protected by basic token-based authentication.
- NFR-4: Usability: The UI should be intuitive and easy to navigate for users with varying technical backgrounds.
- NFR-5: Observability: The system should provide mechanisms for monitoring its health, performance, and data processing status (e.g., logs, metrics).
- NFR-6: Portability: The containerized solution should be easily deployable across different environments (local, various cloud providers).

# **Architectural Context**

The solution operates within a typical web application context, leveraging cloud-native principles through containerization. It interfaces with external object storage for data input and provides a web-based interface for user interaction.

# **Conceptual View**

The conceptual view provides a high-level overview of the major components and their interactions.



# 1. Data Sources:

Source file (CSV): This represents the origin of the raw data. In the context of a
power plant, this could be various operational data such as sensor readings
(temperature, pressure, flow rates), electricity generation metrics, fuel
consumption, maintenance logs, environmental data, market prices, or even
weather data. The .csv (Comma Separated Values) format suggests that the
initial data is typically structured and often tabular.

#### 2. Orchestration:

This section represents the core data pipeline responsible for transforming raw data into actionable insights.

• Data Ingestion:

- Purpose: This is the first step in the pipeline where raw data is collected and brought into the system from the data sources.
- Process: For a power plant, this would involve connecting to various data feeds (e.g., SCADA systems, historians, enterprise resource planning (ERP) systems, manual logs) and ingesting the data. This step might involve initial validation checks, format conversions, and buffering.
- Output: The ingested raw data, ready for further processing.

# Data Processing:

- Purpose: To clean, transform, enrich, and aggregate the ingested data to make it suitable for analysis and storage.
- Process: In a power plant context, this could involve:
  - Cleaning: Handling missing values, correcting errors, removing duplicates.
  - Transformation: Converting units, calculating derived metrics (e.g., efficiency, heat rate), aggregating data over time (e.g., hourly averages, daily totals).
  - Enrichment: Combining operational data with other relevant information like maintenance schedules, fuel prices, or weather forecasts.
  - Normalization/Standardization: Ensuring data consistency across different sources.
  - Anomaly Detection: Identifying unusual patterns that might indicate equipment malfunctions or operational issues.
- Output: Processed and refined data.

# Data Storage:

- Purpose: To persistently store the processed data in a structured and organized manner, optimized for retrieval and analysis.
- Types: This could be various types of file storage or databases or data warehouses depending on the data volume, velocity, and variety:
  - Object storage : For processed file objects
  - Relational Databases (e.g., SQL Server, PostgreSQL): For structured historical data.
  - NoSQL Databases (e.g., MongoDB, Cassandra): For large volumes of unstructured or semi-structured data, or for high-velocity data.
  - Data Lake: For storing raw data in its native format, often in cloud storage (e.g., AWS S3, Azure Data Lake Storage).
  - Data Warehouse (e.g., Snowflake, BigQuery): Optimized for analytical queries and reporting.

- Process: The processed data is loaded into the chosen storage system, often in a way that supports efficient querying.
- Retrieval: The "Retrieval" arrow indicates that data can be accessed from Data Storage for various purposes, including visualization.

#### 3. Visualization:

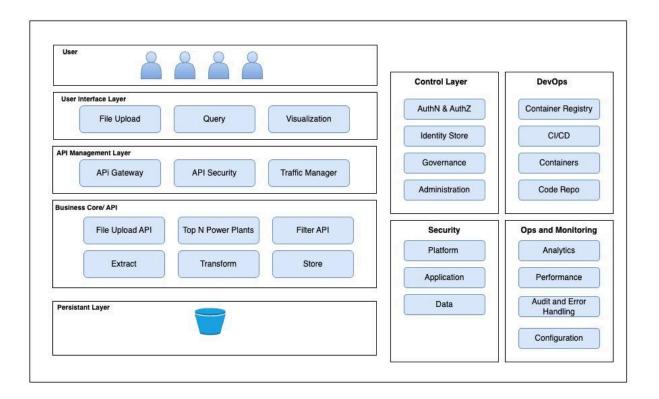
- Purpose: To present complex data in an easily understandable and insightful graphical format.
- Process: A visualization tool (represented by the graph icon) queries the processed data from Data Storage.
- Output: Dashboards, reports, charts (e.g., line graphs for trends, bar charts for comparisons, heat maps for operational performance), and other visual representations that provide insights into power plant operations.

#### 4. Business User:

- Purpose: The end-user who interacts with the system to gain insights and make informed decisions.
- Interaction: The "Query" arrow indicates that the Business User interacts with the Visualization layer to retrieve specific information. They might select different time periods, filter data, or drill down into specific metrics.

# **Logical View**

The logical view breaks down the system into its main modules, showing their responsibilities and interactions.



# 1. User Layer:

 Users: These are the human individuals who interact with the system. They could be power plant operators, business analysts, engineers, administrators, or managers. Their activities range from uploading data to querying information and visualizing results.

#### 2. User Interface Layer:

- Purpose: This layer provides the graphical interface through which users interact with the system.
- Components:
  - File Upload: Allows users to manually upload data files (e.g., CSVs, historical logs) into the system. This is crucial for integrating data that might not be automatically ingested.
  - Query: Enables users to define and execute queries against the stored data to retrieve specific information. This could involve complex data filtering and selection.
  - Visualization: Presents data in graphical formats (charts, dashboards, maps) to help users understand trends, anomalies, and performance

metrics. This is the output of the data processing, made digestible for human users.

# 3. API Management Layer:

 Purpose: This layer manages how external systems and internal components communicate with the core business logic, ensuring security, control, and efficiency.

# Components:

- API Gateway: Acts as a single entry point for all API calls. It handles request routing, composition, and protocol translation. It's essential for microservices architectures.
- API Security: Implements authentication, authorization, encryption, and other security measures for APIs to protect data and resources.
- Traffic Manager: Manages and directs network traffic to various services, optimizing performance, ensuring high availability, and potentially handling load balancing.

# 4. Business Core/API Layer:

 Purpose: This is the heart of the application, containing the core business logic and processing capabilities specific to power plant operations and data. It exposes functionalities as APIs.

# Components:

- File Upload API: An API endpoint specifically designed to receive and process uploaded files, likely feeding them into the data ingestion pipeline.
- Get Plants API: Suggests an API to retrieve or analyze data related to the top 'N' performing power plants based on certain criteria (e.g., efficiency, generation, uptime). This implies comparative analysis capabilities.
- Get States API: An API allows to retrieve the states within United states.
- Extract: A module responsible for extracting data from file sources. This is part of the "ETL" (Extract, Transform, Load) process.
- Transform: A module that cleans, validates, aggregates, and transforms the extracted raw data into a format suitable for analysis and storage.
- Store: A module responsible for writing the processed and transformed data into the persistent storage layer.

# 5. Persistent Layer:

- Purpose: This layer is responsible for the long-term storage of all data processed and managed by the system.
- Component:
  - Object Storage (s3-compatible): A highly scalable, durable, and available storage service for unstructured data, compatible with Amazon S3. This is ideal for storing large volumes of data like raw sensor readings, processed data files, or even backups. Its "s3-compatible" nature suggests cloud-native or cloud-like infrastructure.

# 6. Control Layer:

- Purpose: This layer focuses on managing access, identity, and governance within the system.
- Components:
  - AuthN & AuthZ (Authentication & Authorization):
    - Authentication (AuthN): Verifies the identity of users or systems (e.g., username/password, API keys, tokens).
    - Authorization (AuthZ): Determines what authenticated users or systems are allowed to do (e.g., read-only access, write permissions).
  - Identity Store: A database or directory service that stores user identities, roles, and permissions (e.g., LDAP, Active Directory, a dedicated identity management system).
  - Governance: Establishes policies, rules, and processes to ensure compliance, data quality, security, and ethical use of the system and its data.
  - Administration: Provides tools and interfaces for managing the system itself, including user management, configuration changes, system monitoring setup, etc.

# 7. DevOps:

- Purpose: This section represents the practices, tools, and processes that enable rapid and reliable software delivery and operation.
- Components:
  - Container Registry: A centralized repository for storing and managing Docker images or other container images.
  - CI/CD (Continuous Integration/Continuous Deployment): Automated pipelines for building, testing, and deploying code changes frequently and reliably.

- Containers: Lightweight, portable, and self-sufficient units that encapsulate an application and its dependencies, ensuring consistent execution across different environments(e.g., Docker, Kubernetes).
- Code Repo (Code Repository): A version control system (e.g., Git) where all the source code for the application is stored and managed.

# 8. Security:

- Purpose: This section outlines the different levels at which security measures are applied.
- Components:
  - Platform: Security applied at the infrastructure level (e.g., network security, server hardening, cloud security configurations).
  - Application: Security measures within the application code itself (e.g., secure coding practices, input validation, vulnerability scanning).
  - Data: Security related to the data itself (e.g., encryption at rest and in transit, data masking, access control on data stores).

# 9. Ops and Monitoring:

- Purpose: This section covers the operations and monitoring aspects, ensuring the system runs smoothly and efficiently.
- Components:
  - Analytics: Tools and processes for analyzing operational data (logs, metrics) to gain insights into system performance, usage patterns, and potential issues.
  - Performance: Monitoring and optimizing the system's speed, responsiveness, and resource utilization.
  - Audit and error handling: Mechanisms for logging system events, user actions (auditing), and effectively handling, logging, and notifying about errors and exceptions.
  - Configuration: Management of system settings, parameters, and environment variables across different components and environments.

# Overall System Purpose and Interdependencies:

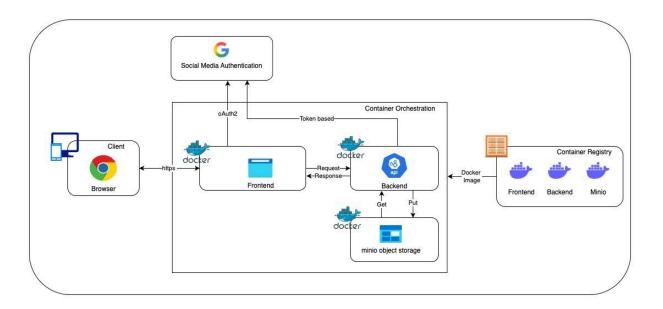
This high-level view depicts a robust, scalable, and secure data platform for a power plant. It starts with user interaction for data input and querying, processes data through a managed API layer and core business logic, stores it persistently, and is supported by

critical functions like security, identity management, DevOps for continuous delivery, and comprehensive operations/monitoring.

The interdependencies are clear:

- Users interact with the UI, which in turn calls APIs in the API Management Layer.
- The API Management Layer routes requests to the Business Core/API Layer.
- The Business Core/API Layer performs data operations (Extract, Transform, Store) on data from sources and stores it in the Persistent Layer.
- Visualization tools query data from the Persistent Layer.
- The Control, Security, DevOps, and Ops & Monitoring layers provide cross-cutting concerns, ensuring the entire system is secure, manageable, deployable, and performant.

# **Deployment View**



Deployment view captures runtime components, illustrates communication paths, highlights deployment technologies, indicates pysical/logical grouping

# 1. Client:

- Browser: Users interact with the application through a web browser on various devices (desktop, mobile, tablet, indicated by the icons).
- HTTPS: Communication between the client and the Frontend is secured using HTTPS.

#### 2. Authentication:

- Social Media Authentication (Google): The application integrates with Google for social media authentication.
- OAuth2: This protocol is used for authorizing the application to access user data from Google after successful authentication.
- Token-based Authentication: After OAuth2 authentication, a token is likely issued to the client, which is then used for subsequent authenticated requests to the backend.

# 3. Application Core (Container Orchestration):

This section represents the heart of the application, deployed within a container orchestration environment (e.g., Kubernetes, Docker Swarm, etc.)

#### Frontend:

- This component serves the user interface to the client's browser.
- Frontend application is developed in react ts
- It's containerized using Docker.
- It communicates with the Backend for data and application logic.

#### Backend:

- This component houses the core application logic and APIs.
- FASTAPI exposes the RESTFul api
- It's containerized using Docker.
- It handles requests from the Frontend and processes them.
- It interacts with Minio Object Storage for data persistence.

# Minio Object Storage:

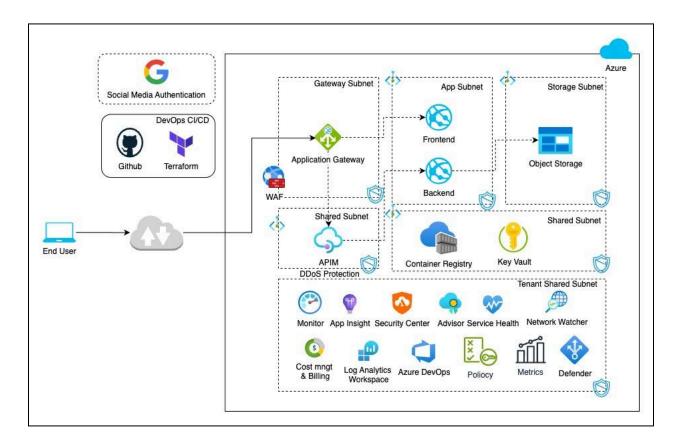
- This component provides object storage capabilities, likely used for storing visualizations, power plan data, or other static assets.
- It's containerized using Docker.
- The Backend uses "Get" and "Put" operations to interact with Minio, indicating data retrieval and storage.

# 4. Container Registry:

- This is a central repository for storing Docker images of the application's components.
- It contains images for the Frontend, Backend, and Minio, making them readily available for deployment and scaling by the container orchestration system.

# Infrstructure View

The Infrastructure view illustrates how the logical components are mapped to physical deployment units (containers in this case).



This diagram details how different Azure services are interconnected to form a robust and scalable application environment.

Let's break down the key components and their roles:

- 1. DevOps & CI/CD (Top Left):
  - GitHub: A popular web-based platform for version control using Git, essential for collaborative software development. This is where the application's source code resides.
  - Terraform: An Infrastructure as Code (IaC) tool that allows you to define and provision infrastructure using a declarative configuration language. This means the Azure resources are provisioned and managed programmatically.

 GitHub Actions: A CI/CD platform integrated with GitHub that automates build, test, and deployment workflows. This indicates an automated pipeline for deploying code changes to Azure.

# 2. End User Access (Left):

- End User: The human user interacting with the application.
- HTTPS: Indicates secure communication over the internet, typically for web applications.
- Cloud Icon: Represents the internet or external network from which end users access the system.

# 3. Azure Active Directory (Top Middle):

 Azure Active Directory (Azure AD): Microsoft's cloud-based identity and access management service. It's used for authenticating users and applications and authorizing access to resources. This is crucial for managing user identities across the entire system.

# 4. Network Infrastructure and Security (Center Left and surrounding):

- Gateway Subnet: A dedicated subnet within a Virtual Network (VNet) where network gateways (like Application Gateway or VPN Gateway) are deployed.
- Application Gateway: A web traffic load balancer that enables you to manage traffic to your web applications. It provides features like SSL termination,<sup>1</sup> cookie-based session affinity, and web application firewall (WAF) capabilities for security.
- WAF (Web Application Firewall): A security service, often integrated with Application Gateway, that protects web applications from common web vulnerabilities and attacks (e.g., SQL injection, cross-site scripting).
- Azure Firewall: A managed, cloud-based network security service that protects your Azure Virtual Network resources. It's ahighly available and scalable service that provides threat intelligence-based filtering.
- Shared Subnet: A subnet within the VNet that might host shared services accessible by multiple application components.
- APIM (Azure API Management): A fully managed service that enables organizations to publish, secure, transform, maintain, and monitor APIs. It acts as a facade for backend services.
- DDoS Protection: Azure DDoS Protection provides enhanced DDoS mitigation capabilities for your Azure resources.

# 5. Application Core (Center):

- App Subnet: A dedicated subnet where the application's core components are deployed.
- Frontend: The user-facing part of the application, responsible for rendering the user interface and handling user interactions. This could be web servers or client-side applications.
- Backend: The server-side logic of the application, responsible for processing requests, interacting with databases, and performing business logic.
- Application Shared Service: This likely refers to shared services or components that the frontend and backend applications utilize. This could include caching services, messaging queues, or microservices.
- Databricks/Registers (Databricks Regression): This icon strongly resembles
   Azure Databricks, which is an Apache Spark-based analytics platform optimized
   for Azure. "Regression" might imply its use for data analysis, machine learning
   (e.g., regression models), or processing data in batches.
- BLOB Storage: Azure Blob Storage is a service for storing large amounts of unstructured object data, such as text or binarydata. This is suitable for storing processed data, backups, or files generated by the application.
- Storage Shared Services: Indicates common storage accounts or services shared across different parts of the application.

# 6. Database/Data Layer (Right):

- Application Tier: This likely represents the application's primary data storage.
- Azure SQL Database (or similar SQL service): The icon represents a relational database service. This would be used for structured data that requires transactional integrity.
- Storage Shared: Again, indicating shared storage resources for data.

# 7. Tenant Shared Services (Bottom):

- Purpose: These are common services and tools that provide cross-cutting functionalities for monitoring, security, management, and billing across the entire Azure subscription or tenant.
- Monitor: Azure Monitor collects, analyzes, and acts on telemetry from your Azure and on-premises environments. It provides monitoring for application performance, infrastructure, and network.
- Policy: Azure Policy helps enforce organizational standards and assess compliance at scale. It defines rules for your Azure resources to ensure they adhere to defined requirements.

- Analytics Workspace: Likely referring to Log Analytics Workspace, a central repository for collecting and analyzing log data from various Azure resources.
   This is a core component of Azure Monitor.
- Network Watcher: A service that provides tools to monitor, diagnose, and gain insights into your Azure network performance.
- Azure Service Health: Informs you about Azure service issues, planned maintenance, and health advisories relevant to your Azure services.
- Security Center: Azure Security Center (now Microsoft Defender for Cloud) provides unified security management and advanced threat protection across your hybrid cloud workloads.
- Cost Management + Billing: Azure Cost Management + Billing helps you understand your Azure bill, manage your cloud spending, and optimize costs.
- App Insights (Azure Application Insights): An Application Performance
  Management (APM) service that monitors web applications. It automatically
  detects performance anomalies and includes powerful analytics tools to help you
  diagnose issues.
- Advisor (Azure Advisor): A personalized cloud consultant that helps you follow best practices to optimize your Azure deployments forcost, performance, high availability, and security.

# **Technology Choices**

The following table shows a set of technologies, infrastructure components, platforms, and frameworks chosen along with their rationale.

Component	Technology	Rational
User Interface	React	A popular, component-based JavaScript library for building user interfaces. It offers a strong ecosystem, good performance, and a declarative way to manage UI state, making it suitable for interactive web applications.

Backend	python™ FastAPI	Python is a versatile language with a rich data science and backend development ecosystem. FastAPI is chosen for its high performance, automatic interactive API documentation (Swagger UI/ReDoc), asynchronous support, and strong type hinting. It's excellent for building robust and fast RESTful APIs.		
Object Storage	MINIO	MinIO provides S3-compatible object storage that can be easily containerized and run locally, making development and testing straightforward without requiring a full cloud setup. It offers a seamless transition to AWS S3 in a cloud environment due to API compatibility. This choice provides cloud neutrality at the storage layer.		
Conterazation	<b>docker</b>	Docker provides a consistent environment for all application components, abstracting away differences in underlying infrastructure.  Docker Compose simplifies the orchestration of multi-container applications, making local setup and development highly efficient.		
Infrastructure As Code Terraform		Terraform is a widely adopted open-source IaC tool that allows defining and provisioning cloud infrastructure using declarative configuration files. It supports multiple cloud providers (AWS, Azure, GCP), enabling consistent and repeatable deployments.		

# **Deployment Strategy**

The deployment strategy focuses on containerization and orchestration for both local development and cloud environments.

# 1. Local Development:

- Docker Compose: The primary tool for local setup. Developers can clone the repository, run docker-compose up --build, and have all services running.
- Hot Reloading: Configure development servers (e.g., React's development server, FastAPI's Uvicorn with reload) within Docker containers to allow for quick iteration.

# 2. Container Image Management:

- Dockerfiles will be created for each service (backend, data ingestion, frontend).
- Images will be built (docker build) and can be pushed to a container registry (e.g., Docker Hub, AWS ECR) for cloud deployment.

# 3. Data Ingestion Trigger:

- Initial Approach (Local): The data\_ingestion service can be configured to poll the MinIO bucket at a set interval for new CSV files. Alternatively, a manual API endpoint on the backend can be exposed to trigger an ingestion run, or a simple command can be executed within the data\_ingestion container.
- Cloud (AWS): An S3 event notification can be configured to trigger an AWS Lambda function, which in turn can invoke the data\_ingestion service (e.g., by sending a message to SQS that the service consumes, or directly triggering a Fargate task).

### 4. Database Migrations:

- Database schema changes will be managed using an ORM migration tool (e.g., Alembic with SQLAlchemy).
- Migration scripts will be run as part of the backend or data\_ingestion service startup (in development) or as a separate step in CI/CD pipelines (in production).

# 5. CI/CD Pipeline (Cloud):

- Automate testing, building Docker images, and pushing them to a container registry upon code commits.
- Automate deployment to cloud environments using Terraform to provision infrastructure and deploy services to ECS/EKS.

#### 6. Monitoring:

- Logging: Centralized logging using tools like ELK Stack (Elasticsearch, Logstash, Kibana) or cloud-native solutions (AWS CloudWatch Logs). All services will log structured output.
- Metrics: Collect application-level metrics (e.g., API response times, number of ingested records, errors) using Prometheus/Grafana or cloud-native monitoring services (AWS CloudWatch Metrics). FastAPI's Prometheus integration can be used.
- Health Checks: Configure health check endpoints for each service (/health) to allow orchestrators (Docker Compose, ECS) to monitor their status.
- Alerting: Set up alerts based on critical metrics or log patterns (e.g., high error rates, service down).

# **How to Handle Changing Non-Functional Requirements**

The modular and containerized architecture provides flexibility to address changing NFRs:

- Scalability:
  - Increased Data Volume/Ingestion Rate:
    - Data Ingestion: For higher ingestion throughput, scale out the data\_ingestion service instances. If polling, reduce the polling interval. If event-driven, ensure the message queue can handle the load and the ingestion service can process messages concurrently. Consider using a dedicated message queue (e.g., RabbitMQ, SQS) for reliable asynchronous processing of new file events.
    - Database: Utilize PostgreSQL scaling options (e.g., vertical scaling to a larger instance, read replicas for the API if reads dominate, sharding if data grows excessively large and query patterns allow).
  - Increased API Traffic:
    - Backend API: Scale out the backend service instances behind a load balancer (e.g., in Docker Compose by increasing service replicas, or in ECS/K8s by configuring auto-scaling). FastAPI's asynchronous nature helps handle concurrent requests efficiently.
    - Frontend: The frontend is mostly static and scales well with content delivery networks (CDNs).

#### Performance:

- API Response Times:
  - Database Indexing: Optimize database queries by adding appropriate indexes to frequently queried columns (e.g., state, net generation).

- Caching: Implement caching for frequently requested data (e.g., top N plants for common states) at the API level (e.g., using Redis) or even at the database level.
- Query Optimization: Refine SQL queries in the ServiceLayer to be more efficient.
- Data Ingestion Speed:
  - Batch Inserts: Optimize DataLoader to perform bulk inserts rather than row-by-row inserts for better database performance.
  - Parallel Processing: If CPU-bound, process multiple CSV files or chunks of a large CSV in parallel (e.g., using Python's multiprocessing or distributed task queues like Celery).
  - Stream Processing: Process CSV data in streams to reduce memory footprint for very large files.

### Reliability/Resilience:

- Fault Tolerance: Docker Compose provides basic restart policies. In a cloud environment, orchestrators like ECS/Kubernetes automatically restart failed containers.
- Data Ingestion Retry Logic: Implement retry mechanisms in the Data Ingestion Service for temporary failures (e.g., database connection issues, object storage read errors).
- Error Handling: Robust error handling and logging at all layers to identify and debug issues quickly.
- Idempotency: Ensure the DataLoader is idempotent to prevent data duplication if ingestion runs are retried or re-triggered.

# • Security (NFR-5):

- Authentication (Token-based): Implement JWT (JSON Web Token) based authentication.
  - A login endpoint will issue a token upon successful credentials validation.
  - API endpoints will require a valid JWT in the Authorization header.
  - python-jose for JWT encoding/decoding and passlib for secure password hashing.
- Authorization: If different user roles are needed, add role-based access control (RBAC).
- Secrets Management: Use environment variables for sensitive information in development. In production, leverage cloud secret managers (e.g., AWS Secrets Manager, HashiCorp Vault) or Kubernetes Secrets.
- Network Security: Configure network security groups/firewalls to restrict traffic between services and only expose necessary ports to the internet.

# Maintainability:

- Code Quality: Adherence to coding standards (PEP 8 for Python), clear comments, and robust unit/integration tests.
- Modular Design: The chosen architecture promotes clear separation of concerns (frontend, backend, data ingestion), simplifying maintenance.
- API Versioning: If the API evolves significantly, implement versioning (e.g., /v1/plants, /v2/plants).

# **Monitoring**

Monitoring is crucial for understanding system health, performance, and identifying issues.

# 1. Logging:

- Centralized Logging: All services (Backend API, Data Ingestion, Frontend Nginx/server) will be configured to send their logs to a centralized logging system.
  - Local: Docker's logging drivers can direct logs to the console or files. A simple local setup could use docker logs -f <container\_name>.
  - Cloud: Utilize cloud-native solutions like AWS CloudWatch Logs, Google Cloud Logging, or Azure Monitor Logs. Alternatively, self-host an ELK Stack (Elasticsearch, Logstash, Kibana) or Loki+Grafana.
- Structured Logging: Emit logs in a structured format (e.g., JSON) to enable easier parsing, filtering, and analysis.
- Key Information: Logs should include timestamps, service name, log level (INFO, WARN, ERROR), request IDs (for tracing), and relevant messages/error details.

#### 2. Metrics:

- Application Metrics:
  - FastAPI Backend: Use a library like fastapi-limiter for rate limiting (can also expose metrics) or custom Prometheus exporters to track:
    - API request counts, latencies (per endpoint).
    - Error rates (e.g., 5xx errors).
    - Database query times.
    - Number of authenticated vs. unauthenticated requests.
  - Data Ingestion Service: Track:
    - Number of CSV files processed.
    - Number of records ingested/skipped.
    - Ingestion duration per file.
    - Errors during parsing, transformation, or loading.

# System Metrics:

- Monitor resource utilization of containers and underlying hosts (CPU, memory, disk I/O, network I/O).
- Database Metrics: PostgreSQL metrics like active connections, query duration, cache hit ratio, disk usage.

#### Tools:

- Prometheus & Grafana: A powerful open-source combination for time-series data collection and visualization. Export metrics from services to Prometheus, and visualize in Grafana dashboards.
- Cloud-Native: AWS CloudWatch Metrics, Azure Monitor, Google Cloud Monitoring for managing metrics in the cloud.

#### Health Checks:

- Each service will expose a /health or /status endpoint that returns a 200 OK if the service is operational and can connect to its dependencies (e.g., database, MinIO).
- These endpoints are used by orchestrators (Docker Compose, ECS, Kubernetes) to determine container health and manage restarts.

#### 4. Alerting:

- Set up alerts based on predefined thresholds for critical metrics or log patterns.
- Examples:
  - High error rate (e.g., 5% of API requests return 5xx errors).
  - API response times exceeding a threshold (e.g., 1 second).
  - Data ingestion failures or prolonged processing times.
  - Container restarts or unhealthy status.
  - Database disk space nearing capacity.
- Notification Channels: Integrate with notification services like Slack, email, PagerDuty, or SMS.

# 5. Distributed Tracing (Bonus):

 For more complex debugging in a microservices environment, implement distributed tracing using tools like OpenTelemetry/Jaeger. This helps visualize the flow of requests across multiple services.

# 6. Dashboarding:

 Create comprehensive dashboards using Grafana or cloud provider's dashboarding tools to visualize key metrics, logs, and health status at a glance.

By combining these monitoring strategies, the solution's operational health and performance can be effectively tracked, allowing for proactive issue resolution and continuous improvement.