

Possible Revision for the Monoallelic Expression Study

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1 Rationale

In my understanding, the aims of the project¹ may be summarized as

1. classify (addressable) genes as mono or biallelically expressed in human DLPFC
2. investigate dependence on explanatory variables like age (regression)
3. estimate the fraction of monoallelically expressed genes

Some shortcomings (listed below) of the used approaches appear to hinder interpretation of results or weaken the conclusions already drawn from those.

- implicit specification of statistical models and underlying assumptions
- no model checking/comparison of alternative models
- no error rates were calculated for the detection of monoallelic expression
- consequently, only a semi-quantitative statement could be made on the small fraction of monoallelically expressed genes

2 Preliminaries

Genome-wide observations on m genes are based on post mortem tissue samples from the DLPFC (dorsolateral prefrontal cortex) of n individuals. For each individual i and gene g a statistic s_{ig} was derived from the observed SNP-array and RNA-seq data. The $n \times p$ matrix X contains observations on all individuals and p variables including age of death and psychological condition (e.g. schizophrenia).

In this note I follow the previous analysis in that I assume that given X the random variables $\{S_{ig}\}_{ig}$ are sufficient statistics for the parameters θ (including

¹working title: Novel monoallelically-expressed genes and relaxation of imprinting with advanced age in the dorsolateral prefrontal cortex.

the level of allelic exclusion) of all model structures under consideration. This admittedly false but attractively simple assumption means that the complete data (from the SNP-array and RNA-seq measurements) carry no more information on θ than $\{S_{ig}\}_{ig}$ do, so it is sufficient to draw inferences solely from the latter (in combination with X , if X is informative). I will not discuss sufficiency of $\{S_{ig}\}_{ig}$ further in this document.

3 Towards explicit model specification

To make the implicit model specification of the manuscript explicit, I will briefly expose here a few plausible model families. I will start from the simplest one, progressing towards generalized linear models. Along the way I will list mechanistic/biological assumptions behind that model, and sketch various modeling directions to relax some of those assumptions.

3.1 The simplest model

For all the model families considered here the following assumptions are made on the mechanism of allelic exclusion

- $\{S_{ig}\}$ are sufficient statistics (see above) for θ
- individuals are independent of each other

In case of the simplest model family, $\{S_{ig}\}$ are independently distributed according to two likelihood functions $f(\cdot|a)$ and $f(\cdot|b)$, corresponding to mono and biallelic expression, respectively:

$$\{S_{ig}\}_{ig} \stackrel{i.i.d.}{\sim} f(s|\theta) \quad (1)$$

$$\theta = \begin{cases} a & \text{when } g \text{ is monoallelically expressed} \\ b & \text{when } g \text{ is biallelically expressed.} \end{cases} \quad (2)$$

For this model framework the following additional assumptions must be made on allelic exclusion:

1. it takes only two levels (resulting in fully biallelic or monoallelic expression) of the same alleles in all cells on which the data are based on
2. all genes g are independent
3. X has no impact (i.e. age independence)

3.2 Directions for generalization

When we relax the assumption 1 above to allow *multiple levels of allelic exclusion* within single cells and/or variation among cells, we have a separate θ_G parameter for each level, expressing the strength of allelic exclusion:

$$\{S_{ig}\}_{ig} \stackrel{i.i.d.}{\sim} f(s|\theta_G) \quad \forall g \in G.$$

The difficulty with this model family is twofold. First, the biological significance of different levels of θ_G seems vague. Second, the extent of monoallelic expression (genome-wide or restricted to addressable genes) cannot be expressed by a single number such as the expected frequency $\hat{\pi}_m$ of monoallelically expressed genes that is applicable to the two level case. Here I will not pursue this direction further and continue by assuming two levels as before.

Dependence among genes (see assumption 2.) is known to exist because of extensive epigenetic marks for imprinting spanning multiple neighboring genes. The simplest model family for such dependence is a HMM framework. Emission probabilities for $\theta_{ig} \rightarrow S_{ig}$ are specified by $f(s|\theta_{ig})$ (cf. Eq. 1), and the hidden Markov chain is $\theta_{i1} \rightarrow \theta_{i2} \rightarrow \dots$, where each θ_{ig} may only take the two values as in Eq. 2. Neither this direction is followed further here, so we return to the independent genes scenario.

Until this point $\{S_{1g}, \dots, S_{ng}\}$ were distributed identically and independently across individuals for any given gene g . That allows aggregating $\{S_{1g}, \dots, S_{ng}\}$ by taking the average $\bar{S}_g = n^{-1} \sum_i S_{ig}$, which results an estimator whose standard error is diminished by $n^{-1/2}$ relative to S_{ig} . Given $n = 579$ this is a great improvement, yet the previous analysis did not take advantage of this.

3.3 Regression models

The following regression framework achieves a similar effect to averaging. Importantly, it also allows X to *impact allelic exclusion* (assumption 3.). The most simple model family in this case is normal linear regression. Let $f(\cdot|\mu, \sigma^2)$ denote the p.d.f. of the normal distribution with mean μ and variance σ^2 . Then for a given individual i

$$\{S_{ig}\} \stackrel{i.i.d.}{\sim} f(s|x_i\beta_g, \sigma_g^2) \quad (3)$$

$$\beta_g, \sigma_g^2 = \begin{cases} a_1, a_2 & \text{when } g \text{ is monoallelically expressed} \\ b_1, b_2 & \text{when } g \text{ is biallelically expressed,} \end{cases} \quad (4)$$

where x_i is the i -th row of X and β_g is a p -length vector of regression coefficients.

In this model family the multi level explanatory variables x_i enter the model specification as scaling factors of the regression parameters β_g . Therefore S_{jg} may be distributed according to (many) more distributions than just two because f in Eq. 3 incorporates x_i . Yet, this model family does support binary classification since X is known and, as in Eq. 2, the unknown parameter(s) may only take two values.

Linearity and normal distribution may not hold² for S_{jg} and X . Generalized linear model families (among which normal linear models comprise just one family) may offer solutions then. In this more general model family Eq. 3 modifies to $\{S_{ig'}\} \stackrel{i.i.d.}{\sim} f(s|\theta_{g'}(x_i), \phi_{g'})$, such that $\theta_{g'}$ is a function of the explanatory variables x_i , and $g(E[S_{ig'}]) = x_i\beta_{g'}$, where g is a link function.

²In fact they cannot exactly hold given theoretical considerations such as the boundedness or discrete nature of S_{jg} . Despite this, they may hold approximately well in some sense.

3.4 The model family used in the project

The manuscript describes the used model in two halves. The first half-model is given in the classification context (calling monoallelic expression) and the description is not only implicit but also seems incomplete. The second half is specified at the analysis of age-dependent relaxation of imprinting, and the description is both clearer and more complete than for the first half. Some details, however, only turn out from the scrutiny of related R code³.

Taken together, the following model framework emerged for me:

- two levels of allelic exclusion: monoallelic and biallelic expression (well suited for binary classification)
- for biallelic expression it is not clear (for me) that a model was specified at all; a confidence interval is mentioned on p6 of the manuscript but that might refer to distributions of read counts instead of those of $\{S_{ig}\}_{ig}$
- for monoallelic expression a normal linear model was used⁴, not on $\{S_{ig}\}_{ig}$, but instead on a “loss of imprinting” statistic LOI_R, which I rename here to T_i , which aggregates $\{S_{ig}\}_{ig}$ for a few (8) genes classified as monoallelically expressing
- the definition of T_i is essentially $\left(\sum_{g=1}^8 \hat{F}(s_{ig}) + 1\right)/2$, that is the average empirical cumulative distribution function across the 8 selected genes, scaled to match S_{ig}

4 Model selection

Scatter plots from the previous analysis indicate that the normal linear model would not fit well. This qualitative result explains why that work transformed, for each g , $\{S_{1g}, \dots, S_{ng}\}$ into percentiles before fitting the normal linear model. Still before fitting, that analysis averaged each percentile across 8 preselected monoallelically expressed genes, which has a similar effect as constraining all monoallelically expressed to share parameters (Eq. 4)

5 Calling monoallelic expression

6 Extent of monoallelic expression

³I received Ifat’s code from Andy via email on 2/4/16

⁴implemented in the `glm` R function, which was called in Ifat’s code with the default `family = gaussian` argument