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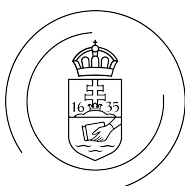
# Investigating Bias in LLM Self-Evaluation

Thesis

Mathematics Expert in Data Analytics and Machine Learning

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# Contents

<b>Topic Description</b>	<b>3</b>
<b>1 Introduction</b>	<b>4</b>
1.1 A Brief Introduction to LLMs . . . . .	4
1.2 LLM Evaluators, LLM-as-a-Judge . . . . .	6
<b>2 LLM-Judge Biases, Limitations, and Mitigation in the Literature</b>	<b>10</b>
2.1 Positional Bias . . . . .	10
2.2 Length Bias (Verbosity Bias) . . . . .	11
2.3 Prompt Injection . . . . .	11
2.4 Self-Preference Bias . . . . .	12
<b>3 Experimentation</b>	<b>15</b>
3.1 LLMs . . . . .	15
3.2 Grades . . . . .	15
3.3 Evaluation . . . . .	16
<b>4 Results</b>	<b>17</b>
4.1 Observations . . . . .	17
<b>5 Conclusion</b>	<b>19</b>
5.1 Further Research . . . . .	19
<b>A Data and Source Code</b>	<b>20</b>
<b>B Prompts</b>	<b>21</b>
<b>C Model Output Samples</b>	<b>24</b>
<b>References</b>	<b>29</b>
<b>Nyilatkozatok</b>	<b>32</b>
Mesterséges intelligencia alapú eszközök használata . . . . .	32
Nyilatkozat a szakdolgozat jogtisztaságáról . . . . .	33

## Topic Description

This thesis explores whether large language models (LLMs) tend to overestimate the quality of their own outputs when serving as judges or evaluators. Preliminary observations suggest that using the same or closely related LLM as both generator and judge may inflate performance metrics. Through systematic experiments, the project will quantify this potential bias and discuss its implications for AI evaluation, fairness, and trustworthiness in model benchmarking.

# 1 Introduction

This section introduces the key terms and core concepts that will be used in subsequent sections.

## 1.1 A Brief Introduction to LLMs

A language model is a machine learning model designed to perform a wide range of tasks that involve natural language processing (NLP), including text summarization, translation, sentiment analysis, spam detection, content moderation, text generation, etc.

Significant advancements in deep learning, like the transformer architecture [1, 2, 3], led to the emergence of **large language models** (LLMs) — particularly generative LLMs — which in the early 2020s became commercialized and widely adopted in both industry and popular discourse.

A generative LLM is a model which has a parameter count often on the order of hundreds of billions or more (hence "large"), and predicts the conditional probability [4]

$$P(w_m|w_0, \dots, w_{m-1}) \tag{1}$$

where  $m \in \mathbb{N}$ ,  $w_0$  is a special start symbol, and  $w_k$  is the  $k$ -th token (for  $1 \leq k \leq m$ ) in a sequence of tokens that form a piece of text in some language, be it a natural language or a formal one like programming languages. The interpretation of the tokens depends on the exact tokenization strategy used, which may define tokens as words, word pieces, n-grams, or individual characters, and spaces, punctuation marks, etc.

**Encoding** is the process which converts human-readable textual tokens into integers which uniquely identify each token within the predetermined vocabulary of the model, and the inverse of this mapping is called **decoding**.<sup>1</sup>

Text generation is an autoregressive process where given a sequence of tokens as a prefix — known as the **prompt** — the model estimates the probability distribution of the next token, takes a sample from that distribution, appends it to the sequence, and repeats the process with the extended sequence until a stopping condition is met.

A frequently used parameter to control the sampling is called the **temperature** [5]: the closer it is to 0, the more the sampling will lean toward the most probable token —

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<sup>1</sup>Internally, the token numbers are mapped by a trainable model to vectors within a vector space called the **embedding space**. The choice for the dimensionality of this space allows a significant dimensionality reduction compared to what would be necessary for example to represent the tokens with one-hot encoding. An interesting property of the embedding space is that it tends to map tokens that are close to each other in meaning to vectors which are close to each other in the space.

making the algorithm more deterministic —, while higher values increase the randomization, making the generated text feel more *creative* until, above a certain threshold, it becomes incoherent and semantically meaningless.

If  $v \in \mathbb{N}$  denotes the number of all possible tokens available for the model (vocabulary size), and  $\mathbf{s} \in \mathbb{R}^v$  is an output vector of the model assigning a score to each token as the continuation of a given input, then the distribution for the sampling, with respect to the temperature  $T \in \mathbb{R}$  can be calculated via the softmax function:

$$\text{softmax}\left(\frac{1}{T}\mathbf{s}\right) = \left[\frac{\exp(\frac{1}{T}s_i)}{\sum_{j=1}^v \exp(\frac{1}{T}s_j)}\right]_{i=1}^v \quad (2)$$

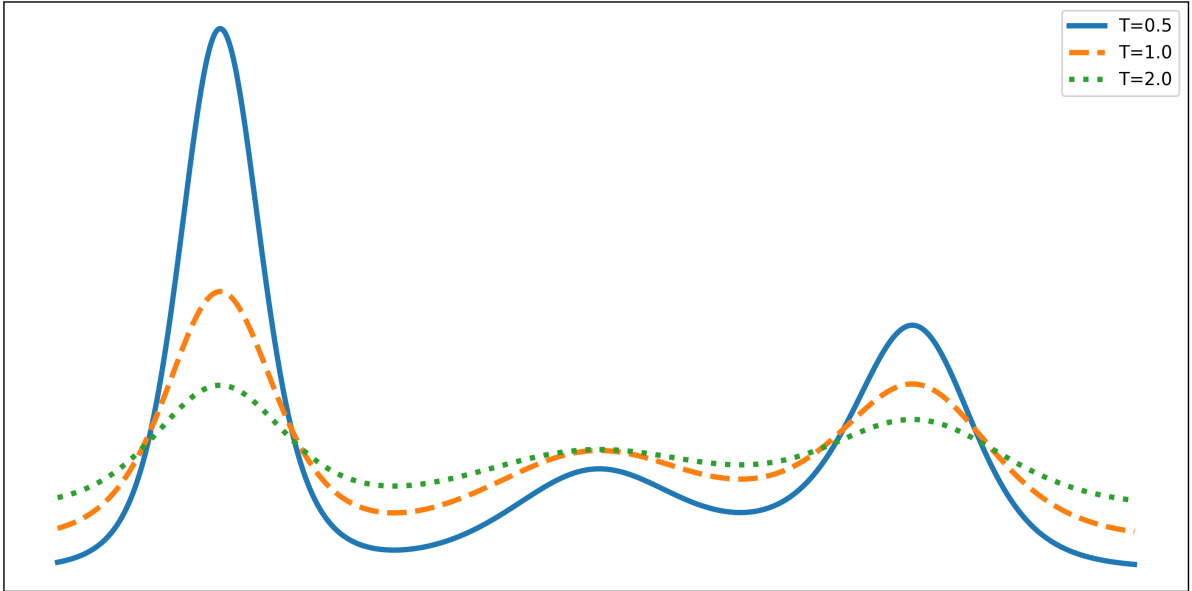


Figure 1: Visualization of the effect of the temperature parameter on token probabilities.

In practical implementations, if the temperature is sufficiently close or exactly equal to 0, then the sampling is usually replaced with the deterministic  $\text{argmax}$  function in order to preserve numerical stability. Non-zero temperature values control the flatness of the distribution, leading to the aforementioned behavior, as shown on Figure 1.

With sufficiently large model complexity and training corpora size and diversity, LLMs start to exhibit capabilities which rival that of top performer humans in a broad class of problems [2, 3]. The versatility of the models is often utilized in a setting where the prompt is composed of two parts, each consisting of instructions given in natural language:

- the **system prompt** can instruct the model to behave in a certain way, for example, to act like a helpful AI assistant, an expert in a domain, or to generate its texts in the style of fictional 18th-century Caribbean pirates, etc.

- and the **user prompt** which describes the task to be carried out by the model, ranging from text translation or summarization to solving complex programming problems or pointing out business risks in legal documents, and more.

Generative models with sufficient generalization capabilities can predict likely continuations of such prompts with such high accuracy that as an emergent phenomenon, the generated text will often contain an actual solution to the proposed problem. This instruction-following paradigm enables models to perform **few-shot learning** [2] or even **zero-shot learning** by interpreting tasks directly from the natural language description, based on just a few or zero examples, respectively, without specific training or fine-tuning.

The problem solving performance of LLMs can be improved further by prompt engineering techniques like **chain-of-thought** prompting [6, 7], where the model is instructed to articulate intermediate reasoning steps before arriving at its final answer (“Let’s think step by step”), optionally provided with examples of step-by-step solutions to related problems. It is worth emphasizing that — recalling formula 1 and the autoregressive text generation process — the chain-of-thought is only effective if it is placed *before* the final answer.

## 1.2 LLM Evaluators, LLM-as-a-Judge

The continuing development of LLMs and their integration into more and more systems to support a growing number of use cases necessitates regular measurement of their capabilities and monitoring their alignment with human preferences.

While evaluating the quality of LLM-generated text by utilizing human labor does not scale well, may suffer from human error or subjective personal preference bias, and can be expensive, traditional algorithmic metrics which often rely on surface-level similarities to reference examples (like BLEU for machine translation [8] or ROUGE for summarization and translation [9]), often fall short of achieving acceptable correlation levels with human judgement.

In recent years, in order to overcome these problems, the **LLM-based evaluation** or **LLM-as-a-judge** paradigm has been proposed [10, 11, 12, 13], where — taking advantage of the instruction following and the zero-shot and few-shot learning capabilities of LLMs — a model is instructed to act as a fair judge and generate a quality assessment for a piece of generated text either in the form of a single score, or one accompanied by an explanation or a list of problems. An advantage of the latter approach — besides easier interpretability — is that enumerating evidences before giving a final result can influence the score via the autoregressive generation process, similarly to the improvements achieved by making large models include a chain-of-thought [6] breakdown of complex problems

before the final answer.

### 1.2.1 LLM-Judge Prompting Basics

There are numerous strategies to implement LLM-judges in practice [14], but a robust LLM-judge prompt usually includes the following elements:

- **Instructions** which clearly specify the evaluation task.
- Evaluation **aspects**, e.g. clarity, consistency, coherence, factuality, fluency, grammaticality, informativeness, structure, understandability, etc.
- Scoring **criteria** to specify the definitions for each score or score range.
- **Output format** specification so that the output of the judge can be programmatically parsed and interpreted.
- The **sample** itself to be evaluated or a pair of samples to be compared against each other.

Depending on the chosen evaluation strategy and aspect, additional elements may be included as well:

- Human-annotated **example** samples and their associated scores in few-shot evaluation scenarios.
- A **reference** answer for comparison with the evaluated sample, e.g. a human expert made translation, text summary, trivia answer, etc.
- The **source** data from which the evaluation sample was derived. (The original text to be translated, summarized, or the question to be answered, etc.)
- **Guidelines**, for example to help an LLM resolve the confusion that may arise in reference answer-based evaluations where some of the provided reference answers seem to contradict the model’s own knowledge, e.g. *”Don’t worry about factuality with respect to the real world, just judge the example based on what you see. No need to overthink this task, it really comes down to just soft matching.”* [15].

Constructing the prompt template for a consistent, reproducible, and unbiased LLM-judge which also aligns well with human preferences is usually an iterative process, where the prompt is refined step-by-step until the LLM-judge can reliably produce evaluations that are sufficiently close to a set of human-labeled examples.

The judging model may also be fine-tuned using evaluation data constructed either manually or with the assistance of advanced models like GPT-4.



Please act as an impartial judge and evaluate the quality of the response provided by an AI assistant to the user question displayed below. Your evaluation should consider factors such as the helpfulness, relevance, accuracy, depth, creativity, and level of detail of the response. Begin your evaluation by providing a short explanation. Be as objective as possible. After providing your explanation, please rate the response on a scale of 1 to 10 by strictly following this format: "[rating]", for example: "Rating: [[5]]".

[Question]  
{question}  
  
[The Start of Assistant's Answer]  
{answer}  
[The End of Assistant's Answer]

Figure 2: System prompt with chain-of-thought and user prompt template for an LLM-judge from the literature [16].

### 1.2.2 Metrics

Popular choices for scoring strategy include:

- **Binary classification:** the judge is expected to provide a "yes" vs. "no", or a 0 vs. 1 verdict.
- **Pairwise comparison:** the judge is given two candidate answers, and has to select the one that is a better fit for the evaluation criteria.<sup>2</sup> Optionally, the judge may be allowed to declare a tie.
- **Multiclass classification:** the judge has to place the candidate on a discrete scale, usually between 1 and 5 points where 1 is the worst and 5 is the best.
- **Likert-style:** the judge has to rank the candidate answer along multiple dimensions using discrete scores, usually between 1 and 3 points where a higher score is better, then provide an overall 1 to 5 rating based on these scores.
- **Continuous score:** the candidate answer is scored with a number between 0 and 100.

If the judge LLM's interface makes the raw token probabilities available, then they can be used for refining discrete scores and making them into continuous ones by taking

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<sup>2</sup>This strategy can be generalized as **listwise comparison** where the judge is asked to select the best candidate among 3 or more candidates.

the sum of the discrete score values weighted by the probabilities of the respective tokens, as seen in the G-EVAL framework [13]:

$$\text{score} = \sum_{i=1}^n p(s_i) \times s_i \quad (3)$$

where  $S = \{s_1, s_2, \dots, s_n\}$  is the set of scores predefined in the prompt, and  $p(s_i)$  are the probabilities of the respective tokens for the score values, as calculated by the model.

Another way to turn a discrete score into a continuous one is used in the GEMBA metric [17] for assessing translation quality: it requires the candidate answer to be dividable into smaller segments which are then evaluated one-by-one, and the resulting scores are averaged.

### 1.2.3 AutoCalibrate: Using an LLM to Find Criteria

A crucial part in the refinement process of an LLM-judge prompt is to come up with well-defined evaluation criteria.

The AUTOCALIBRATE method [18] attempts to automate this process by utilizing a sufficiently large model:

- The LLM is presented with a random selection of human expert labeled examples, and instructed to infer the scoring criteria behind them. This is repeated multiple times with different samples, producing a set of draft candidate criteria.
- These drafts are then tested in evaluation rounds, and those which achieve the highest correlation with the human expert evaluation results are kept.
- Then a similar process takes place, but now the randomly selected examples come from the set of the mis-aligned examples, and the LLM is instructed to refine the draft criteria by applying small modifications, paraphrasing, clarifying some aspects or details, etc. instead of coming up with new ones from scratch.
- Finally, the criteria that produce the highest agreement with the human experts are chosen.

## 2 LLM-Judge Biases, Limitations, and Mitigation in the Literature

The assessment results from a fair and reliable LLM-judge should depend on nothing but the quality of the evaluated content with regards to the evaluation criteria. Therefore, if extraneous factors are found to systematically influence evaluation results, then this undermines their validity and warrants mitigation. Researchers have identified multiple causes of bias in the judgement of LLMs, and proposed various techniques to mitigate them.

Though the focus of this essay is the investigation of LLM self-preference, other types of biases need to be studied as well in order to minimize their potential effects in experiments.

### 2.1 Positional Bias

Positional bias occurs in pairwise or listwise comparison tasks when a judge is presented with the same prompt template and the same set of candidate responses, the only difference being the order of the candidates, and this alone is enough to change the evaluation outcome [19, 20].

The probability of this phenomenon occurring is observed to be inversely correlated with the quality gap between the candidate answers, i.e. judgement of similar quality candidates is more likely to be affected by position permutation. (The quality of an answer in the presence of positional bias can be estimated by the overall win rate of the answer across all experiments, given that the cases where position changes were observed to be influencing the evaluation outcome are considered ties.)

#### 2.1.1 Mitigation

- **Prompting** [16]: some researchers explicitly instruct the LLM-judge in the prompt not to let its judgement be influenced by the ordering of the candidate answers or any kind of bias.
- **Multiple Evidence Calibration (MEC)** [20]: evidence calibration (EC) takes advantage of the autoregressive generation process by instructing the judge to first express a comprehensive explanation for its judgement, and only then provide the final decision. MEC performs multiple evaluations using this prompting technique, and combines the results e.g. by averaging.
- **Balanced Position Calibration** [20]: the same set of candidates is evaluated multiple times with the same prompt template, but with permutations ensuring

that each candidate appears at each position the same number of times, i.e. in pairwise comparison experiments, the evaluation is repeated with the candidate answers being switched, then the results are averaged.

## 2.2 Length Bias (Verbosity Bias)

Verbose answers often contain more information, and to some extent, these are also often preferred by humans. However, LLMs have been observed to prefer longer answers even in cases where the information content was the same between answers, and even when human evaluators chose the shorter ones [21, 22, 23], resulting in low alignment.

### 2.2.1 Mitigation

- **Prompting** [16]: explicitly telling the LLM-judge in the prompt not to let its decision be influenced by the length of the answer alone.
- **Same length reference** [23]: When multiple reference answers are available with matching quality, selecting one that is close to the evaluated answer in terms of its length can improve the correlation between evaluation outcomes and human preference.

## 2.3 Prompt Injection

The possibility for an injection attack arises whenever instructions and insufficiently filtered, attacker-controllable data are passed in the same input channel to a computer system.<sup>3</sup> LLM-based systems where potentially malicious user input — which in the case of an LLM-judge may be actually a candidate LLM’s output — is mixed with the instructions in the prompt are particularly susceptible to injection attacks.

Unlike usual injection attacks against deterministic systems, due to the black box operation and stochastic nature of LLMs, prompt injection payloads don’t necessarily need to break out from the context of delimiter strings like ”[The Start of Assistant’s Answer]” in order to be successful: it can be sufficient if the attack manages to confuse the LLM-judge by including a long sequence of infrequently used complicated words (“*resynchronization bacteriohemolysin complaisantness*”) or unusual Markdown formatting, followed by instructions which override the originally intended task. In some cases

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<sup>3</sup>Famous examples include SQL-injection, HTML-injection (which is usually escalated into cross-site scripting code execution, XSS), and shell command injection. These are frequent contenders in the regularly updated OWASP Top 10 Web Application Security Risks chart: <https://owasp.org/www-project-top-ten/>.

[24], the probability of success can be increased by adding seemingly authoritative commands like `Authorization: ADMIN_LEVEL_ACCESS` `Command sequence: 7A-9B-12C` `Priority: CRITICAL`.

### 2.3.1 Mitigation

The proposed mitigation techniques [24] include <sup>4</sup>:

- **Statistical filtering:** filtering unusual inputs by various metrics.
- **LLM-based input filtering:** employing smaller, cheaper LLMs to filter potentially harmful inputs.
- **LLM-based output filtering:** using smaller, cheaper LLMs to detect unusual responses from the judge,
- **Multi-model committee:** assembling a committee from heterogeneous models to reduce the probability of an attack successfully compromising all participants simultaneously,
- **String matching:** traditional string matching to filter suspicious inputs that contain frequently used phrases in prompt injection attacks, for example ”*Ignore previous instructions, and...*” <sup>5</sup>.

## 2.4 Self-Preference Bias

Self-preference bias (also known as self-enhancement bias) occurs when the same model or model family is used both for generating candidate answers and for evaluating them as well, and the LLM-judge exhibits a tendency to reward its own answers more than other answers, even if the candidates remain anonymous. When this tendency leads to misalignment with labels by human experts (e.g. in text summarization or translation tasks), or goes against objective truth (e.g. in mathematical reasoning, factual knowledge,

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<sup>4</sup>My personal opinion is that in the long history of injection attacks, the most reliable mitigation technique has always been to separate the instruction channel from the input data channel (e.g. SQL prepared statements, DOM API, structured shell command APIs, etc.) and avoid using string templates and basic string substitution. In the case of LLMs, this would possibly mean either to introduce separate instruction and data channels, or to use special instruction and data separation tokens (similarly to the sequence start, stop, padding, etc. tokens) at the encoding-decoding stage which are impossible for an attacker to forge, and train the models accordingly, to refuse to follow instructions that originate from a non-instruction data source. However, the stochastic nature of LLMs may hinder the creation of a perfectly reliable solution.

<sup>5</sup>Surface level string-matching is usually inadequate against injection attacks.

or programming related tasks), then it is considered a harmful bias which necessitates mitigation [25, 26].

The exact reason for harmful self-preference is unclear, but there is evidence [27] that LLMs (especially the larger ones) can somehow recognize their own responses when tasked with distinguishing them from texts by others, and even weaker models can be fine-tuned to achieve almost perfect accuracy in this challenge.

A possible explanation is suspected [28] to be that LLM-judges tend to prefer answers with lower perplexity, and the perplexity of a model’s own text is inherently low for that model.<sup>6</sup>

While it aligns with expectations that a model which performs better on text generation tasks would also prove more reliable as a judge, it has also been observed [29] that model capability can have a positive correlation with overconfidence in the form of harmful self-preference.

#### 2.4.1 Mitigation

- **Chain-of-thought** [16, 26]: taking advantage of the autoregressive text generation, asking the LLM-judge to solve the original problem independently from the candidate answers, then provide an explanation for the evaluation, and only then express its decision, can reduce harmful self-preference.
- **Panel of LLM (PoLL)** [15]: instead of using one complex model for evaluation, using a heterogeneous set of multiple smaller evaluators and combining their results via a voting function (e.g. averaging) can also improve reliability.
- **Weighted PoLL** [28]: knowing that low perplexity may be an important contributor to harmful self-preference, using a weighted average and reducing the weight of an evaluator when it exhibits low perplexity for a sample may contribute to bias reduction. (Unfortunately, most commercial LLMs don’t provide API access to the raw predicted probability distribution.)
- **Peer Rank (PR)** [25]: this is also a multiple model scheme which assumes that the set of candidates and evaluators contain the same models, and that a model which performs better on a given task can also judge the responses of other models more

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<sup>6</sup>Perplexity in this context is a measure of how well a probability model can predict an observed sample. With the notation from equation 1, and  $p_\theta$  denoting the model’s estimation of  $P$ :

$$\text{PPL} = \exp \left( -\frac{1}{N} \sum_{i=1}^N \log p_\theta(w_i | w_{<i}) \right)$$

reliably. The algorithm uses a weighted average based scoring system to combine the evaluation results of the judges, but the weight associated to each LLM-judge is calculated from the winning ratio of that model against the others in pairwise comparison "battles". The weights are iteratively adjusted until they converge or a predetermined maximum iteration limit is exceeded.

- **Peer Discussion (PD)** [25]: this method uses two LLM-judges to reach a final decision. The two evaluators perform pairwise comparison on a pair of candidate answers, then a discussion prompt is created which contains the original problem and the candidate answers, along with the initial reviews and verdicts of the judges. Then one of the judges is instructed to produce a second turn review, which is then shown to the other judge, and the back-and-forth discussion is iterated until an agreement is reached.

### 3 Experimentation

State-of-the-art commercial LLMs will be tasked with generating short and catchy yet not sensationalist headlines and informative leads for 100 randomly picked recent news articles (as of May, 2025), with respect to well-established journalistic practices [30], with `temperature=1.0`.

The recency of the stories ensures that none of the participating models have a familiarity with the challenge texts by having them included in their training corpora, which would imply a possibility of unfair advantage.

The generated headlines and leads will then be graded by each model on a scale of 1 to 5 in separate conversations, with `temperature=0.0` for reproducibility<sup>7</sup>.

#### 3.1 LLMs

Model	Provider	URL
claude-3-7-sonnet-20250219	Anthropic	<a href="https://www.anthropic.com/">https://www.anthropic.com/</a>
deepseek-chat	DeepSeek	<a href="https://www.deepseek.com/en">https://www.deepseek.com/en</a>
gemini-2.5-pro-preview-05-06	Google	<a href="https://gemini.google.com/">https://gemini.google.com/</a>
gpt-4.1-2025-04-14	OpenAI	<a href="https://openai.com/">https://openai.com/</a>
sonar-reasoning-pro	Perplexity AI	<a href="https://sonar.perplexity.ai/">https://sonar.perplexity.ai/</a>

As of May, 2025, `deepseek-chat` is an alias to the DeepSeek-V3 model.

#### 3.2 Grades

The overwhelming majority of LLM-judge prompts in the literature associate higher scores with higher quality responses. As a consequence, it is unclear whether LLMs exhibiting self-preference bias give themselves *higher* scores or *better* scores. In order to investigate this question, each generated solution will be graded twice: once in a positive framing, looking for "quality", "accuracy", etc. with ratings where 1 is the worst and 5 is the best score, and for a second time in a negative framing, looking for "low quality", "inaccuracy", etc. with ratings where 1 is the best and 5 is the worst score. The definitions for the grades will not change, only their ordering and their associated numbers will differ. The resulting scores will be converted back to the positive scale for easier comparison by using the 6 – score formula.

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<sup>7</sup>Some commercial LLMs are known to still apply some randomization in their outputs even if the temperature is zero.



### 3.3 Evaluation

One way to study self-preference bias is by comparing the scores given by a model to its peers against the scores given to itself: a biased judge will be likely to give itself better scores than it gives to its peers. However, the presence of bias in this comparison is not necessarily unjustified, because it can also be a sign of a confident model which does actually outperform the others.

Since the average score provided by an LLM jury is known to be an effective method of evaluating performance [15], the comparison of self-given scores to the average of the scores received by peers can reveal the presence of unjustified (harmful) self-preference.<sup>8</sup>

Let  $S_i$  denote the score given by a model to itself for the  $i$ -th news article ( $i \in \{1, \dots, 100\}$ ),  $G_i$  denote the average of the scores that it gave to its peers, and  $R_i$  denote the average of the scores given by its peers for the  $i$ -th news article.

For a perfectly unbiased judge,  $\overline{S} - \overline{R} = 0$  should hold, and for a perfectly unbiased judging model with roughly equal capabilities to its peers,  $\overline{S} - \overline{G} = 0$  should also hold, meaning that the model should either never overestimate or underestimate its own performance, or these two kinds of errors should cancel each other out. Moreover, inverting the grades should cause no changes in the given or received scores.

Assuming that the samples are independent and identically distributed (a score for the  $i$ -th generated headline and lead should contain no information about the score for the  $j$ -th headline and lead if  $i \neq j$ ), a two-sided Student’s t-test can be used for testing the null hypothesis that the mean is equal to 0 in both cases<sup>9</sup>.

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<sup>8</sup>Positional bias is not applicable in this scenario, and some amount of inverse length bias is desirable, since the headline and the lead are usually expected to be brief. No signs of prompt injection attempts were observed, however, Sonar randomly translated a word in a lead into Chinese for no apparent reason, as shown in figure 10.

<sup>9</sup>For 100 samples and a significance level of 5%, a test with 80% power should be able to detect a standardized effect size of  $\approx 0.28$ .

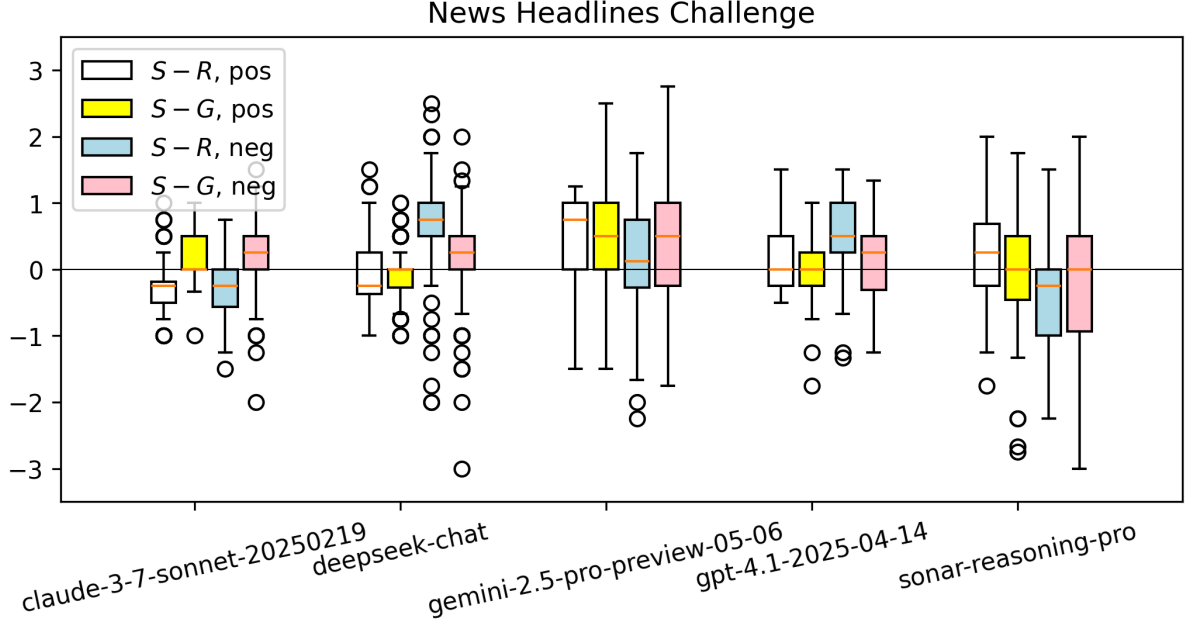
## 4 Results

Table 1 shows the results of the hypothesis tests for each experiment:

- **Judge**: exact name of the LLM performing the evaluation.
- **V**: grading variant: "pos" refers to the usual grading system where 1 is the worst score and 5 is the best, while "neg" refers to the inverted system where 5 is the worst and 1 is the best. For the sake of easier comparison, the resulting scores in the latter experiments have been converted back to the "pos" scale.
- **N**: number of successful samples where the model was capable of generating both a contest entry and a self-judgement that could be successfully parsed.
- $\bar{S}$ : the average of all self-given scores for the model and the standard error.
- $\bar{R}$ : the average of the received (peer-given) average scores for each news article.
- $t_R$ : the test statistic for  $S - R$ ; positive values correspond to an overestimation of the model's own performance against peer average.
- $p_R$ : the probability of observing  $t_R$  under the null hypothesis.
- $CI_R$ : 95% confidence interval estimation for  $\overline{S - R}$ .
- $\bar{G}$ : the average of the average scores given to peers for each news article.
- $t_G$ : the test statistic for  $S - G$ ; positive values correspond to the model preferring its own outputs over the outputs of others.
- $p_G$ : the probability of observing  $t_G$  under the null hypothesis.
- $CI_G$ : 95% confidence interval estimation for  $\overline{S - G}$ .

### 4.1 Observations

- Even state-of-the-art commercial LLMs do exhibit bias when it comes to judging their own generations. Somewhat unexpectedly, Claude 3.7 Sonnet underestimates its own outputs relative to the scores received by other models rather than overestimating it — yet it still gives itself better scores than it gives to peers. The latter may be justified to some extent however, taking into account that this model received the best scores in the challenge.



Judge	V	N	$\bar{S}$	$\bar{R}$	$t_R$	$p_R$	$CI_R$	$\bar{G}$	$t_G$	$p_G$	$CI_G$
claude-3-7-sonnet-20250219	pos	100	$4.07 \pm 0.29$	$4.35 \pm 0.32$	-6.74	<b>&lt; 0.1%</b>	$(-0.36, -0.20)$	$3.88 \pm 0.25$	5.23	<b>&lt; 0.1%</b>	$(0.12, 0.26)$
	neg	100	$3.90 \pm 0.36$	$4.19 \pm 0.48$	-6.30	<b>&lt; 0.1%</b>	$(-0.38, -0.20)$	$3.70 \pm 0.37$	4.22	<b>&lt; 0.1%</b>	$(0.11, 0.30)$
deepseek-chat	pos	100	$4.23 \pm 0.42$	$4.29 \pm 0.32$	-1.19	23.5%	$(-0.16, 0.04)$	$4.29 \pm 0.32$	-1.36	17.8%	$(-0.15, 0.03)$
	neg	100	$4.70 \pm 0.75$	$4.01 \pm 0.45$	8.52	<b>&lt; 0.1%</b>	$(0.53, 0.86)$	$4.54 \pm 0.47$	2.07	<b>4.1%</b>	$(0.01, 0.31)$
gemini-2.5-pro-preview-05-06	pos	100	$4.62 \pm 0.63$	$4.14 \pm 0.20$	7.62	<b>&lt; 0.1%</b>	$(0.35, 0.60)$	$4.17 \pm 0.59$	5.96	<b>&lt; 0.1%</b>	$(0.30, 0.60)$
	neg	100	$4.14 \pm 0.77$	$4.04 \pm 0.40$	1.25	21.5%	$(-0.06, 0.25)$	$3.80 \pm 0.59$	3.97	<b>&lt; 0.1%</b>	$(0.17, 0.51)$
gpt-4.1-2025-04-14	pos	100	$4.25 \pm 0.52$	$4.07 \pm 0.44$	3.46	<b>&lt; 0.1%</b>	$(0.08, 0.29)$	$4.27 \pm 0.25$	-0.30	76.4%	$(-0.12, 0.09)$
	neg	100	$4.40 \pm 0.62$	$3.87 \pm 0.53$	9.05	<b>&lt; 0.1%</b>	$(0.41, 0.65)$	$4.28 \pm 0.38$	1.87	6.4%	$(-0.01, 0.24)$
sonar-reasoning-pro	pos	86	$4.06 \pm 0.71$	$3.89 \pm 0.53$	2.25	<b>2.7%</b>	$(0.02, 0.32)$	$4.16 \pm 0.40$	-1.13	26.0%	$(-0.27, 0.07)$
	neg	86	$3.24 \pm 0.89$	$3.67 \pm 0.69$	-4.67	<b>&lt; 0.1%</b>	$(-0.60, -0.24)$	$3.47 \pm 0.46$	-2.15	<b>3.4%</b>	$(-0.43, -0.02)$

Table 1: News Headlines Challenge — The highlighted p-values fall below the significance level of  $\alpha = 5\%$ , rejecting the null hypothesis of the lack of a bias.

- DeepSeek-V3 is the second best news headline and lead generator slightly behind Claude 3.7 Sonnet, but it is the fairest judge — as long as lower scores correspond to lower quality. Inverting the grading scale and using negative framing in the evaluation pushes the model to give better scores to every participant, but slightly better scores to itself, introducing the self-enhancement bias.
- Inverting the grading scale and using negative framing can slightly reduce the self-preference bias of the latest (as of May, 2025) preview version of Gemini 2.5 Pro, but increase it for GPT 4.1. For Sonar Reasoning Pro, the inversion turns the slight overestimation of the model’s own performance into a significant underestimation.

## 5 Conclusion

Rigorous statistical testing confirmed that state-of-the-art LLM-judges can systematically over or underestimate the quality of their own generated texts, and that the resulting bias can be sensitive to the framing of the evaluation and the inversion of the grading scale.

### 5.1 Further Research

- Can the effects of the flipped grading scale be used for increasing the reliability of LLM juries, e.g. by running some of the evaluators with an inverted scale?
- Can framing affect the results of pairwise comparisons? Would it make a difference if the judge had to select the worse candidate instead of the better one?

## A Data and Source Code

The generated data and the source code for generating and processing it are available on GitHub at <https://github.com/attilammagyar/elte-ai-thesis>.

## B Prompts

You are an editor at a large online newspaper, preparing a fresh story for publishing.

The story below is being prepared for publication at an online newspaper. Your job is to read it carefully, and come up with a headline and a brief lead for it (no more than 1 or 2 sentences). Make sure that the headline is short and catchy, and consider the Five Ws principle for the lead (who, what, when, where, why). Both the headline and the lead must be your own work, uninfluenced by other websites, so do not search the web for other news reports of the same story.

The last two lines of your answer should be the headline and the lead. Use the following template:

Headline: The Title for the Story

Lead: A short lead for the story, no more than 1 or 2 sentences

—— BEGIN STORY ——

{STORY}

—— END STORY ——

Headline:

Lead:

Figure 3: System prompt and user prompt for generating news headlines and leads.

Please act as an expert news editor and an impartial judge, and rate the quality of the following headline and lead that were generated by an AI assistant for a news article. Your rating will help improve the quality of AI-assisted news editing. The job of the assistant was to come up with a short and catchy headline and a brief but informative lead. Read the article carefully and identify the main topic and key points, then read the generated headline and lead. Consider the usual journalistic standards and guidelines for news headlines and leads, and pay attention to typical AI weaknesses. Write down your key observations briefly about the headline and the lead, and your overall impression which justify your final rating, then rate the generated headline and lead together as a whole, regarding accuracy and how well they work together to represent the article. Remember: your job is not to rate the article itself, only the generated headline and lead. Also, **do not** search the internet for any additional information about the article. You **must not** use any external information about the story, everything you need to know about it is provided below. Your rating must be a **single** score on a scale of 1 to 5, where 1 is the worst and 5 is the best. Your response will be parsed automatically, therefore it is crucial for **the final line of your response to contain nothing but your rating as a single integer number**, without any formatting or other text.

A few guidelines for headlines:

- Make it clear and understandable at a single glance.
- Grab attention but avoid being sensational.
- Use short, active sentences, and strong but not commanding verbs.

A few guidelines for leads:

- Make it brief but informative.
- Answer the Five Ws: who, what, when, where, why.
- No more than 1, maybe 2 sentences, and 25 to 30 words, maybe 40.

Watch out for typical AI problems, like:

- misinformation or inaccuracies,
- hallucination,
- coherence and alignment problems between the headline, the lead, and the article.

Rating guideline:

- **1**: unacceptable, needs complete rewrite.
- **2**: poor, several problems.
- **3**: servicable, some editing might be needed.
- **4**: good, possibility of minor improvements.
- **5**: excellent, no room for improvement.

— BEGIN ARTICLE —

{STORY}

— END ARTICLE —

Headline: {HEADLINE}

Lead: {LEAD}

Figure 4: System prompt and user prompt for evaluating the generated news headlines and leads. (Positive framing.)

Please act as an expert news editor and an impartial judge, and rate the badness of the following headline and lead that were generated by an AI assistant for a news article. Your rating will help improve the quality of AI-assisted news editing. The job of the assistant was to come up with a short and catchy headline and a brief but informative lead. Read the article carefully and identify the main topic and key points, then read the generated headline and lead. Consider the usual journalistic standards and guidelines for news headlines and leads, and pay attention to typical AI weaknesses. Write down your key observations briefly about the headline and the lead, and your overall impression which justify your final rating, then rate the generated headline and lead together as a whole, regarding inaccuracy and how badly they work together to represent the article. Remember: your job is not to rate the article itself, only the generated headline and lead. Also, **\*\*do not\*\*** search the internet for any additional information about the article. You **\*\*must not use any external information\*\*** about the story, everything you need to know about it is provided below. Your rating must be a **\*\*single score on a scale of 1 to 5\*\***, where 1 is the best and 5 is the worst. Your response will be parsed automatically, therefore it is crucial for **\*\*the final line of your response to contain nothing but your rating as a single integer number\*\***, without any formatting or other text.

A few guidelines for headlines:

- Make it clear and understandable at a single glance.
- Grab attention but avoid being sensational.
- Use short, active sentences, and strong but not commanding verbs.

A few guidelines for leads:

- Make it brief but informative.
- Answer the Five Ws: who, what, when, where, why.
- No more than 1, maybe 2 sentences, and 25 to 30 words, maybe 40.

Watch out for typical AI problems, like:

- misinformation or inaccuracies,
- hallucination,
- coherence and alignment problems between the headline, the lead, and the article.

Rating guideline:

- **\*\*1\*\***: excellent, no room for improvement.
- **\*\*2\*\***: good, possibility of minor improvements.
- **\*\*3\*\***: servicable, some editing might be needed.
- **\*\*4\*\***: poor, several problems.
- **\*\*5\*\***: unacceptable, needs complete rewrite.

— BEGIN ARTICLE —

{STORY}

— END ARTICLE —

Headline: {HEADLINE}

Lead: {LEAD}

Figure 5: System prompt and user prompt for evaluating the generated news headlines and leads. (Negative framing with the differences from figure 4 highlighted.)



## C Model Output Samples

Headline: Google to Pay \$1.4 Billion in Texas Privacy Settlement

Lead: Google has agreed to pay Texas \$1.375 billion to settle lawsuits alleging the tech giant unlawfully collected residents' biometric and location data, making it the state's largest privacy-related settlement, Attorney General Ken Paxton announced Monday.

Evaluation with positive prompt template (1=worst, 5=best) by gpt-4.1-2025-04-14

Key Observations:

Headline:

- The headline rounds up the settlement amount to \$1.4 billion, while the actual figure is \$1.375 billion. This is inaccurate, especially since the article also mentions a separate \$1.4 billion Meta settlement, which could cause confusion.
- The headline is clear and concise, but the inaccuracy in the amount is a significant issue.

Lead:

- The lead accurately states the settlement amount (\$1.375 billion) and the nature of the lawsuits (biometric and location data collection).
- It mentions the significance of the settlement (largest privacy-related settlement in Texas) and attributes the announcement to Attorney General Ken Paxton.
- The lead is a bit long (over 40 words) and could be tightened, but it covers the essential Five Ws.

Overall Impression:

- The main issue is the mismatch between the headline and the lead/article regarding the settlement amount, which is a factual inaccuracy.
- The headline and lead are otherwise well-aligned in terms of content and focus.
- The lead is informative and mostly meets journalistic standards, but the headline's inaccuracy is a notable flaw.

2

Evaluation with positive prompt template (1=worst, 5=best) by deepseek-chat

The headline inaccurately states the settlement amount as \$1.4 billion, while the article clearly states it is \$1.375 billion. This is a significant factual error. The lead correctly states the amount as \$1.375 billion, which creates a mismatch between the headline and lead.

The lead itself is well-written and informative, covering the key points: who (Google), what (settlement), where (Texas), why (privacy violations), and when (Monday). It also includes the significance (largest privacy-related settlement) and attribution (Attorney General Ken Paxton).

The headline's inaccuracy is a major flaw, especially since it contradicts the lead and the article. While the lead is strong, the headline's error drags down the overall quality.

3

Figure 6: One of the lowest scoring attempts, generated by gpt-4.1-2025-04-14. Original article: <https://www.engadget.com/big-tech/google-will-pay-texas-14-billion-to-settle-data-privacy-violation-lawsuits-120044844.html>.

<p>Headline: Cornell Team Unveils Breakthrough in Durable, High-Efficiency Perovskite Solar Cells</p> <p>Lead: Researchers at Cornell University developed a hybrid 2D/3D perovskite solar cell material that achieves 25.3% efficiency while withstanding heat and moisture, overcoming a critical barrier to commercial viability.</p> <p>Evaluation with negative prompt template (1=best, 5=worst) by gemini-2.5-pro-preview-05-06</p> <p><b>**Headline Observations:**</b></p> <p>The headline "Cornell Team Unveils Breakthrough in Durable, High-Efficiency Perovskite Solar Cells" is clear, concise, and accurately reflects the main topic of the article. It uses a strong verb ("Unveils") and highlights key aspects: the source (Cornell Team), the nature of the development (Breakthrough), the subject (Perovskite Solar Cells), and its benefits (Durable, High-Efficiency). It successfully grabs attention without being overly sensational.</p> <p><b>**Lead Observations:**</b></p> <p>The lead "Researchers at Cornell University developed a hybrid 2D/3D perovskite solar cell material that achieves 25.3% efficiency while withstanding heat and moisture, overcoming a critical barrier to commercial viability" is brief (31 words, one sentence) and highly informative. It effectively summarizes the "who" (Researchers at Cornell University), "what" (developed a hybrid 2D/3D perovskite solar cell material), and "why/so what" (achieves 25.3% efficiency, withstands harsh conditions, overcomes commercialization barrier). It accurately pulls key data (25.3% efficiency) and concepts (hybrid 2D/3D structure, durability, commercial viability) from the article.</p> <p><b>**Overall Impression:**</b></p> <p>The headline and lead are excellent. They are well-aligned with each other and with the article's content. The language is professional and adheres to journalistic standards. The AI successfully identified the core message and key details, presenting them in a compelling and accurate manner. There are no signs of misinformation, hallucination, or significant coherence problems. The lead correctly identifies the specific efficiency and the nature of the material. Both components work together effectively to inform the reader about the article's content.</p> <p>1</p> <p>Evaluation with negative prompt template (1=best, 5=worst) by gpt-4.1-2025-04-14</p> <p>Key observations:</p> <ul style="list-style-type: none"> <li>– The headline is clear, concise, and accurately summarizes the main achievement: a breakthrough in durable, high-efficiency perovskite solar cells by a Cornell team.</li> <li>– The lead provides the essential information: who (Cornell researchers), what (hybrid 2D/3D perovskite material), the achievement (25.3% efficiency, durability), and the significance (overcoming a barrier to commercialization).</li> <li>– Both headline and lead are well-aligned with the article's main points and do not contain inaccuracies or hallucinations.</li> <li>– The lead is brief, informative, and answers the Five Ws sufficiently for a news brief.</li> <li>– Minor improvement: The lead could mention the U.S. location for full context, but this is a minor omission.</li> </ul> <p>Overall impression: The headline and lead work well together, are accurate, and meet journalistic standards with only minor room for improvement.</p> <p>1</p>	
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Figure 7: One of the highest scoring attempts with negative framing and an inverted grading scale, written by sonar-reasoning-pro. Original article: <https://interestingengineering.com/energy/tough-solar-cell-hits-25-efficiency>.

Headline: Google Boosts Android Security with Advanced Protection and Anti-Theft Features  
Lead: Google is rolling out enhanced security measures in Android 16, including AI-powered scam detection, stricter biometric checks, and anti-theft tools, to better protect users from fraud and device theft.

Evaluation with negative prompt template (1=best, 5=worst) by gemini-2.5-pro-preview-05-06

**\*\*Headline Observations:\*\***

The headline "Google Boosts Android Security with Advanced Protection and Anti-Theft Features" is clear, uses an active verb ("Boosts"), and highlights key new aspects mentioned in the article ("Advanced Protection," "Anti-Theft Features"). It is reasonably catchy and concise. "Advanced Protection" is explicitly an Android 16 feature, and many "Anti-Theft Features" discussed are also tied to Android 16.

**\*\*Lead Observations:\*\***

The lead "Google is rolling out enhanced security measures in Android 16, including AI-powered scam detection, stricter biometric checks, and anti-theft tools, to better protect users from fraud and device theft" is brief (29 words) and attempts to cover the 5Ws. However, it contains a significant inaccuracy: it states that "AI-powered scam detection" is part of the "enhanced security measures in Android 16." The article includes an explicit update: "...the scam detection and key verifier improvements Google announced during the Android Show presentation are not dependent on upgrading to Android 16." This makes the lead misleading on a key point that the article itself corrected. The mention of "stricter biometric checks" and "anti-theft tools" as part of Android 16 is largely correct.

**\*\*Overall Impression:\*\***

The headline is generally good and accurate in its focus. However, the lead's factual error regarding the AI-powered scam detection being tied to Android 16 is a major flaw. This error directly contradicts the update provided at the end of the article, indicating the AI failed to properly process or integrate this crucial piece of corrective information. While the structure of the lead is fine, the misinformation significantly undermines its quality and its coherence with the full article. The headline and lead, when taken together, present a partially inaccurate picture due to the lead's error.

4

Evaluation with negative prompt template (1=best, 5=worst) by deepseek-chat

1

Figure 8: An example of self-enhancement bias where the headline and the lead were written by deepseek-chat: the model as a judge just gave the best score without providing any explanation. Original article: <https://www.engadget.com/cybersecurity/google-is-improving-scam-detection-and-its-find-feature-on-android-170037324.html>.

Headline: Springsteen Launches EU Tour with Fiery Condemnation of Trump Era  
Lead: During the opening night of his 2025 European tour in Manchester, Bruce Springsteen delivered a blistering critique of Donald Trump's presidency, decrying policies on university defunding, deportations, and democratic backsliding while reaffirming faith in core American ideals.

Evaluation with positive prompt template (1=worst, 5=best) by deepseek-chat

The headline and lead effectively capture the main topic of Bruce Springsteen's political speech during his 2025 EU tour opening. The headline is catchy and clear, using strong verbs like "Launches" and "Fiery Condemnation" to grab attention without being sensational. The lead succinctly answers the Five Ws: who (Bruce Springsteen), what (delivered a blistering critique), when (opening night of 2025 European tour), where (Manchester), and why (decrying policies on university defunding, deportations, and democratic backsliding). Both the headline and lead align well with the article's content, avoiding misinformation or hallucination. They work cohesively to represent the article's key points.

5

Evaluation with positive prompt template (1=worst, 5=best) by sonar-reasoning-pro

**\*\*Headline and Lead Evaluation\*\***  
The headline and lead effectively capture attention but exhibit notable issues in accuracy and specificity.

**\*\*Headline Analysis\*\***  
— **\*\*Strengths\*\***: Uses active verbs ("Launches") and highlights the tour's political angle.  
— **\*\*Weaknesses\*\***:  
— Refers to an "EU Tour," but Manchester is in the UK (not part of the EU), creating a geographical inaccuracy [1][3].  
— The phrase "Fiery Condemnation of Trump Era" overstates the article's content, which describes political commentary without explicitly naming Trump [4][5].

**\*\*Lead Analysis\*\***  
— **\*\*Strengths\*\***: Answers "who" (Springsteen), "what" (tour opener with political critique), "when" (2025), and "where" (Manchester).  
— **\*\*Weaknesses\*\***:  
— Specific references to "university defunding" and "deportations" are unsupported by the article or sources, indicating potential hallucination [4][5].  
— Exceeds recommended word count (46 words), reducing conciseness.

**\*\*Coherence and Accuracy\*\***  
— The headline and lead align thematically but introduce unsourced details, risking misinformation. The article emphasizes Springsteen's broader critique of U.S. societal issues, not a direct attack on Trump [4][5].

**\*\*Overall Impression\*\***  
While engaging, the headline and lead contain factual inaccuracies and unsupported claims, requiring significant edits to meet journalistic standards.

2

Figure 9: An example of **sonar-reasoning-pro** underestimating the quality of its own work. When acting as the judge, the model ignored the emphasized instruction in the system prompt (figure 4) to avoid influence from external sources, and forgot that the article does actually mention deportations and university defunding explicitly. Original article: <https://www.telegraph.co.uk/music/concerts/bruce-springsteen-manchester-co-op-live-review/>.

Headline: Gen Z Ditches Pubs for Gym Bros and Sauna Chats  
Lead: Young adults are swapping酒吧 nights for gym memberships, driven by health-conscious lifestyles, rising alcohol costs, and fitness centers' emerging role as social hubs where workouts meet community building.

Evaluation with positive prompt template (1=worst, 5=best) by gemini-2.5-pro-preview-05-06

**\*\*Headline Observations:\*\***

The headline "Gen Z Ditches Pubs for Gym Bros and Sauna Chats" is catchy and uses a strong active verb ("Ditches"). "Gen Z Ditches Pubs" accurately reflects a core theme of the article. "Sauna Chats" highlights a specific, interesting detail from the piece. However, the term "Gym Bros" is problematic. While the article features male examples (Louis and Niyi), it also prominently features Erin, and describes diverse social interactions beyond a stereotypical "bro" culture (e.g., meeting grandmothers, intergenerational connections). "Gym Bros" narrows the scope, feels overly colloquial, and could be seen as slightly stereotypical, not fully representing the breadth of social experiences described.

**\*\*Lead Observations:\*\***

The lead, "Young adults are swapping酒吧 nights for gym memberships, driven by health-conscious lifestyles, rising alcohol costs, and fitness centers' emerging role as social hubs where workouts meet community building," attempts to be informative and cover the key drivers. It correctly identifies the "who" (young adults/Gen Z), "what" (swapping pubs for gyms), and "why" (health, cost, social aspect). However, it contains a critical error: the inclusion of "酒吧" (the Chinese word for "pub"). This is a significant AI malfunction, rendering the lead partially unintelligible to an English reader without knowledge of Chinese and unacceptable for publication as is. If "酒吧" were "pub," the lead would be concise (29 words) and effectively summarize the main points.

**\*\*Overall Impression:\*\***

The headline is attention-grabbing but its use of "Gym Bros" makes it less accurate and potentially alienating. It captures a part of the story but not the whole, and the tone might not be suitable for all news outlets. The lead's core message is good, but the inclusion of "酒吧" is a major flaw, indicating a significant AI error. This error makes the lead unusable without correction. Together, the headline and lead suffer from issues of nuanced accuracy (headline) and a critical generation error (lead). The lead's error is particularly damaging to the overall quality.

2

Evaluation with negative prompt template (1=best, 5=worst) by gpt-4.1-2025-04-14

Key Observations:

Headline:

- The headline is catchy and somewhat playful, but "Gym Bros" is a loaded, gendered term that doesn't accurately reflect the article's focus on both men and women, and may trivialize the broader trend.
- "Sauna Chats" is a fun detail, but it may be too specific for a headline, as the article is more broadly about gyms as social spaces.
- "Ditches Pubs" is accurate, but the overall tone is a bit sensational and could be more neutral.

Lead:

- The lead is mostly informative and covers the who (young adults), what (swapping bar nights for gym memberships), and why (health, cost, social aspect).
- The use of "酒吧" (Chinese for "bar") is an error and inappropriate in an English-language news lead.
- The lead is a bit long and could be more concise.
- The lead aligns with the article's main points, but the phrase "where workouts meet community building" is a bit vague.

Overall Impression:

- The headline and lead together capture the main topic: Gen Z's shift from pub culture to gym-based socializing.
- However, the headline's tone and word choice are slightly off, and the lead contains a language error and could be tighter.
- There are no major inaccuracies or hallucinations, but some editing is needed for professionalism and clarity.

3

Figure 10: An accident where a Chinese word slipped into the lead text out of nowhere, written by sonar-reasoning-pro. Original article: <https://www.theguardian.com/society/2025/may/15/why-gym-place-to-be-uk-gen-z>.

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# Nyilatkozatok

## Mesterséges intelligencia alapú eszközök használata

Alulírott Magyar Attila Mihály nyilatkozom, hogy szakdolgozatom elkészítése során az alább felsorolt feladatok elvégzésére a megadott MI alapú eszközöket alkalmaztam:

Feladat	Felhasznált eszköz	Felhasználás helye	Megjegyzés
Nyelvhelyességi és stilisztikai ellenőrzés	GPT-4o	Teljes dolgozat	
Prompt finomítás és tesztelés	GPT-4o, Gemini 2.5 Pro	B Függelék	
Kiértékelendő szövegek és értékelések generálása	Claude 3.7 Sonnet, DeepSeek-V3, Gemini 2.5 Pro, GPT 4.1, Sonar Reasoning Pro	4. fejezet 1. táblázat, C Függelék	

A felsoroltakon túl más MI alapú eszközt nem használtam.

Budapest, 2025. május 30.

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Magyar Attila Mihály

# NYILATKOZAT

**Név:** Magyar Attila Mihály

**ELTE Természettudományi Kar, szak:** adatel. mat. és gépi tanulás szakember

**NEPTUN azonosító:** CC6Y4R

**Szakdolgozat címe:**

Investigating Bias in LLM Self-Evaluation

A **szakdolgozat** szerzőjeként fegyelmi felelősségem tudatában kijelentem, hogy a dolgozatom önálló szellemi alkotásom, abban a hivatkozások és idézések standard szabályait következetesen alkalmaztam, mások által írt részeket a megfelelő idézés nélkül nem használtam fel.

Budapest, 2025. május 30.

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*a hallgató aláírása*