

# What are we trying to do?

Attilio Castano

## Relation to Langlands

Ultimately, the goal of this seminar is to gain a better understanding of the geometry of

$$\mathcal{M}_0(N) \rightarrow \operatorname{Spec} \mathbb{Z} \quad (1)$$

called the moduli stack parametrizing generalized elliptic curves with level structures.

Why do we care? The space  $\mathcal{M}_0(N) \rightarrow \operatorname{Spec} \mathbb{Z}$  has a lot of interesting symmetries, which have important consequences. Here is an example of the kind of symmetries it has.

For each prime number  $p$  we have a correspondence, i.e., a diagram of the form

$$\begin{array}{ccc} & \mathcal{M}_0(pN) & \\ \swarrow & & \searrow \\ \mathcal{M}_0(N) & & \mathcal{M}_0(N) \end{array} \quad (2)$$

which induces a morphism at the level of derived categories, given by pull-push

$$T_p : \operatorname{Coh}(\mathcal{M}_0(pN)) \longrightarrow \operatorname{Coh}(\mathcal{M}_0(N)) \quad (3)$$

called a Hecke operator.

Hecke operators are important because they provide a bridge between the galois and automorphic side of the langlands program. In what follows one should keep in mind the following dictionary

- Vector space -  $\operatorname{Coh}(\mathcal{M}_0(N))$
- Linear transformation -  $T_p$ .
- Eigenvector - Hecke Eigensheaf

Let us say that,  $\mathcal{F} \in \operatorname{Coh}(\mathcal{M}_0(N))$  is a Hecke eigensheaf if there exists a local system  $L_\rho$  associated to a galois representation, such that

$$T_p(\mathcal{F}) \simeq L_\rho \boxtimes \mathcal{F} \quad (4)$$

In the langlands program, when one tries to go from the galois to automorphic side, given a galois representation  $\rho$  one tries to construct a Hecke eigensheaf with eigenvalues given by the galois representation.

Ok, so now we know why we care about  $\mathcal{M}_0(N) \rightarrow \operatorname{Spec} \mathbb{Z}$ , it has interesting symmetries, and moreover, we can study this symmetries by studying the cohomology of  $\mathcal{M}_0(N)$ .

## Geometry of $\mathcal{M}_0(N)$

You may ask, what makes the geometry of  $\mathcal{M}_0(N)$  so interesting? Where are the symmetries coming from?

Recall that we said that  $\mathcal{M}_0(N)$  is the moduli stack parametrizing generalized elliptic curves with level structure. We begin by providing rough definitions of what kind of object this is.

**Definition 1.** An elliptic curve  $X \rightarrow \text{Spec } \mathbb{Z}$  is a smooth proper map, of relative dimension one, together with a group structure on  $X$ .

- Draw a picture of  $X \rightarrow \text{Spec } \mathbb{Z}$ , and explain what does smooth proper conditions imply.

So with this definition, one can quickly define a moduli problem, which will parametrize elliptic curves over  $\text{Spec } \mathbb{Z}$ . But there is a problem

**Theorem 2.** There are no elliptic curves over the integers.

This is exactly why we need to add the adjective "generalized" elliptic curves, in order to have elliptic curves over  $\text{Spec } \mathbb{Z}$  to which we can add level structures. The problem above is that for every candidate elliptic curve  $E \rightarrow \text{Spec } \mathbb{Z}$ , there will be finitely many points  $\text{Spec } \mathbb{F}_p \hookrightarrow \text{Spec } \mathbb{Z}$  where  $E_{\mathbb{F}_p} \rightarrow \text{Spec } \mathbb{F}_p$  will not be smooth. The adjective generalized comes from the fact that we are allowing mild singular curves at finitely many  $\text{Spec } \mathbb{F}_p \hookrightarrow \text{Spec } \mathbb{Z}$ .

**Definition 3.** We now provide a definition of our main object of study:

$$\mathcal{M}_0(N) : \text{Sch}_{/\text{Spec } \mathbb{Z}}^{\text{op}} \longrightarrow \text{Sets} \quad S \mapsto \{ \text{generalized elliptic curves with } \Gamma_0(N) \text{ level structure } /S \} \quad (5)$$

and a  $\Gamma_0(N)$  level structure is a diagram of the form

$$\begin{array}{ccc} G & \xhookrightarrow{\quad} & E \\ & \searrow & \swarrow \\ & S & \end{array} \quad (6)$$

where  $G$  is a finite flat group scheme, of degree  $n$ , which is also a cyclic subgroup of  $E$ .

**Example 4.** We specialize to the case when  $S = \text{Spec } \mathbb{Z}$ . Then there are two main examples of finite flat group schemes

- Explain what does finite flat means from a geometric point of view.
- The constant group scheme  $\mathbb{Z}/N\mathbb{Z}$ . This group scheme is etale over  $\text{Spec } \mathbb{Z}$ , i.e. it has no ramifications. Draw picture.
- The group scheme  $\mu_N$ . This group ramifies exactly at the points where  $p|N$ . Draw picture.

So one could think that  $\mathcal{M}_0(N)$  is parametrizing the quotients  $E/G \rightarrow S$ , but it quickly becomes confusing when  $G = \mu_N$ , what does it mean to take a quotient by something like  $\mu_N$ ? This is a difficult question, and it will require us to talk about cohomological deformations of schemes, we postpone this discussion for now.

Let's try to understand where the symmetries of  $\mathcal{M}_0(N)$  come from. We can consider the functor, associated to a generalized elliptic curve  $\mathcal{E} \rightarrow \text{Spec } \mathbb{Z}$ , defined by

$$\mathcal{E}_0(N) : \text{Sch}_{/\text{Spec } \mathbb{Z}}^{\text{op}} \longrightarrow \text{Sets} \quad S \mapsto \{ \Gamma_0(N) \text{ level structure on } \mathcal{E} \times_{\mathbb{Z}} S \rightarrow S \} \quad (7)$$

There is clearly an embedding  $\mathcal{E}_0(N) \hookrightarrow \mathcal{M}_0(N)$ , so if we would like to understand the symmetries in the geometry of  $\mathcal{M}_0(N)$ , its reasonable to first try to understand the symmetries in the geometry of  $\mathcal{E}_0(N)$ .

One of the main theorems of Katz-Mazur says that

**Theorem 5.** The functor  $\mathcal{E}_0(N)$  is represented by a finite flat scheme  $\mathcal{E}_0(N) \rightarrow \text{Spec } \mathbb{Z}$ , and its etale over  $\text{Spec } \mathbb{Z}[1/N]$ .

The etaleness of  $\mathcal{E}_0(N)$  over  $\text{Spec } \mathbb{Z}[1/N]$  is related to the following phenomenon

**Proposition 6.** Any finite flat group scheme  $G \rightarrow \text{Spec } \mathbb{Z}$ , of order  $N$ , is etale over  $\text{Spec } \mathbb{Z}[1/N]$ .

Putting this together, this is saying that over  $\text{Spec } \mathbb{Z}[1/N]$  there are only finitely many embeddings

$$\begin{array}{ccc} G & \xhookrightarrow{\quad} & \mathcal{E} \\ & \searrow & \swarrow \\ & \mathbb{Z}[1/N] & \end{array} \quad (8)$$

and it is also saying that this embeddings are "discrete", i.e., they do not deform from one to the other. However, something subtle happens at the primes where  $p|N$ . This is because over this primes we can have non-etale finite flat group schemes. For example we can consider

- $\mathbb{Z}/p\mathbb{Z} \times \mu_p$
- $\mu_{p^2}$

and the scheme  $\mathcal{E}_0(N)$  not being etale over  $\mathbb{F}_p$  where  $p|N$  is saying that the different embeddings  $G \hookrightarrow \mathcal{E}$  can deform from one to the other, or in other words that we can deform from  $E/G_1$  to  $E/G_2$ . It is in this points, where  $\mathcal{E}_0(N) \rightarrow \text{Spec } \mathbb{Z}$  is not etale is where the symmetries from the Hecke operators  $T_p$  come from.

## Cohomological Deformations

Recall that we would like to understand the cohomology of  $\mathcal{M}_0(N)$ , or more precisely, we would like to understand the derived category  $\text{Coh}(\mathcal{M}_0(N))$ . In particular, there should exists an object  $\omega \in \text{Coh}(\mathcal{M}_0(N))$  such that the fiber at each point in  $\mathcal{M}_0(N)$ , which corresponds to an elliptic curve with level structure  $(E, G)$ , should give us the de Rham cohomology of  $(E, G)$ .

What is the de Rahm cohomology of  $(E, G)$ ? de Rahm cohomology is a cohomological invariant assign to an object of algebraic geometry, and  $(E, G)$  is so far not an object of algebraic geometry. A naive guess could be that we are looking for the de Rahm cohomology of  $E/G$ , but this gives us the wrong answer. The problem relies in that one characterizes  $E/G$  by a certain universal property of the map  $G \hookrightarrow E$ , but this universal property depends on the ambient category we are working on, in this case  $\text{Sch}$ . So one could ask, is there a god given category where  $E/G$  characterized by the universal property would give us the right object?

**Theorem 7.** There exists a fully faithfull embedding, of symmetric monoidal categories

$$\text{Coh}^! : \text{Sch}_{/\text{Spec } \mathbb{Z}} \longrightarrow \mathbb{Z}\text{-linear Categories} \quad (9)$$

which maps  $f : X \rightarrow Y$  to  $f^! : \text{Coh}(Y) \rightarrow \text{Coh}(X)$ .

Recall that we have that  $G \curvearrowright E$ , i.e., we have an action of  $G$  on  $E$  via the embedding  $G \hookrightarrow E$ . Now, we do not want to take the quotient by this action in this category, rather we want apply the functor  $\mathrm{Coh}^!$  and then apply the universal property. After applying the functor  $\mathrm{Coh}^!$  we get the following action

$$\mathrm{Coh}(G) \curvearrowright \mathrm{Coh}(E) \tag{10}$$

So now we have a group acting on a category. Now we would like to apply the universal property, and since the functor  $\mathrm{Coh}^!$  is contravariant, instead of getting the orbits of the action, we need to take the fixed points so the desired category now is

$$\mathrm{Coh}(E)^G \tag{11}$$

the category derived category of coherent sheaves over  $E$ , which are equivariant with the action of  $G$ . One can then extract the de Rham cohomology coming from this category, giving us the desired de Rham cohomology of  $(E, G)$ .

## References

1. Katz, Mazur - Arithmetic Moduli of Elliptic Curves
2. Snowden - Course on Mazur's Theorem
3. Lurie - Elliptic Cohomology
4. Gaitsgory, Rozenblyum - A study in derived algebraic geometry