

# Towards a Low-Cost, Non-Invasive System for Occupancy Detection using a Thermal Detector Array Ash Tyndall

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Bachelor of Computer
Science (Honours)

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### Introduction

#### Background



- Aging population [ABS2012, CCE09]
  - Need to lower human burden
- Rising energy prices [Swo15]
  - Affects both businesses and the elderly
- Internet of Things
  - Cheaper embedded systems
  - Better sensors
  - Occupancy detection

#### **Occupancy Detection**



- Detecting people
- Good for home/office automation
- Occupancy detection can save up to 25% on these costs [BEC13]
- Climate control accounts for
  - up to 40% of household energy usage [ABS11]
  - 43% of office building usage [CAG12]

#### An ideal system would be...



- Low-Cost
  - Prototype stage < \$300</p>
- Non-Invasive
  - Minimal information gathered by system
- Reliable
  - ->75% occupancy detection accuracy
- Energy Efficient
  - Prototype can last at least a week



# Can we create this system?

#### **Necessary steps**



- 1. Design Choices
- 2. Prototype Design
  - a) Hardware
  - b) Software
- 3. Criteria Evaluation
- 4. Did we meet our goals?



# Design Choices

#### How do we evaluate sensors?



- We want to
  - See individual people
- We don't want to
  - Know who they are
  - Know what they're doing

#### **Thermal Sensors**



- Cost is coming down fast
- Exciting new area for research
- Interesting applications
- "ThermoSense" [BEC13]
  - Can see human "blobs" in thermal data
  - Very low resolution (8x8 pixels)
  - 0.346 Root Mean Squared Error

#### **Research Gap**



- Sensor space is changing fast
- Contribution of system elements
- Does their approach translate
- ThermoSense sensor not in Australia



# Prototype Design



 Direct data collection  Raw data to processed data  Processed data to insights

Sensing

**Pre-Processing** 





#### **Melexis MLX90620**

- Collects thermal data
- Narrower FOV (16°x60° vs 60°x60°)
- Rectangular (16x4 vs 8x8)
- Communicates bi-directionally

Sensing

**Pre-Processing** 







#### **Passive Infrared Sensor (PIR)**

- Collections motion data
- Provides rising signal on motion

Sensing

**Pre-Processing** 



#### **Arduino Uno R3**

 Embedded controller with broad library support

 Converts raw sensing data into degrees Celsius / motion each frame





Sensing

**Pre-Processing** 





#### Raspberry Pi B+

- Cheap and powerful Linux platform
- Performs advanced analysis on processed data
- Generates occupancy predictions



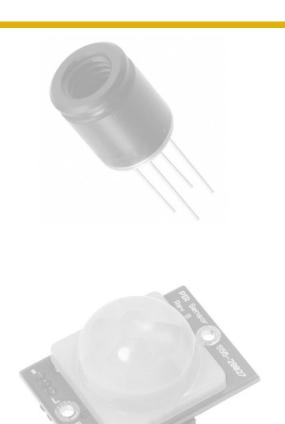


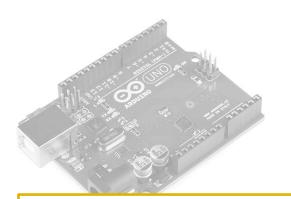


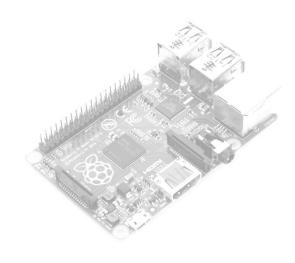
Sensing

**Pre-Processing** 









#### **RPi Camera**

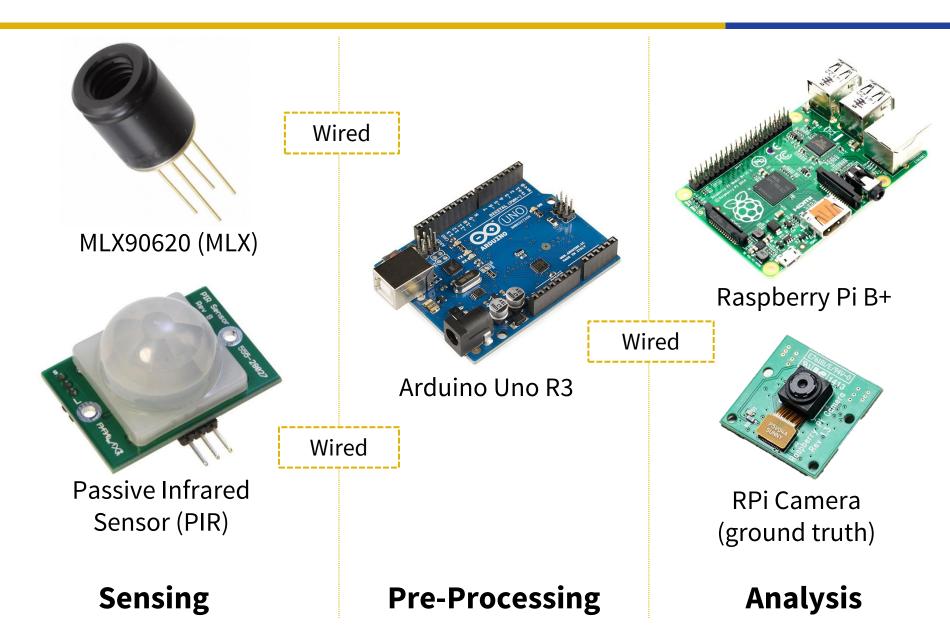
- 1080p resolution
- Ground truth collection in prototype stage



Sensing

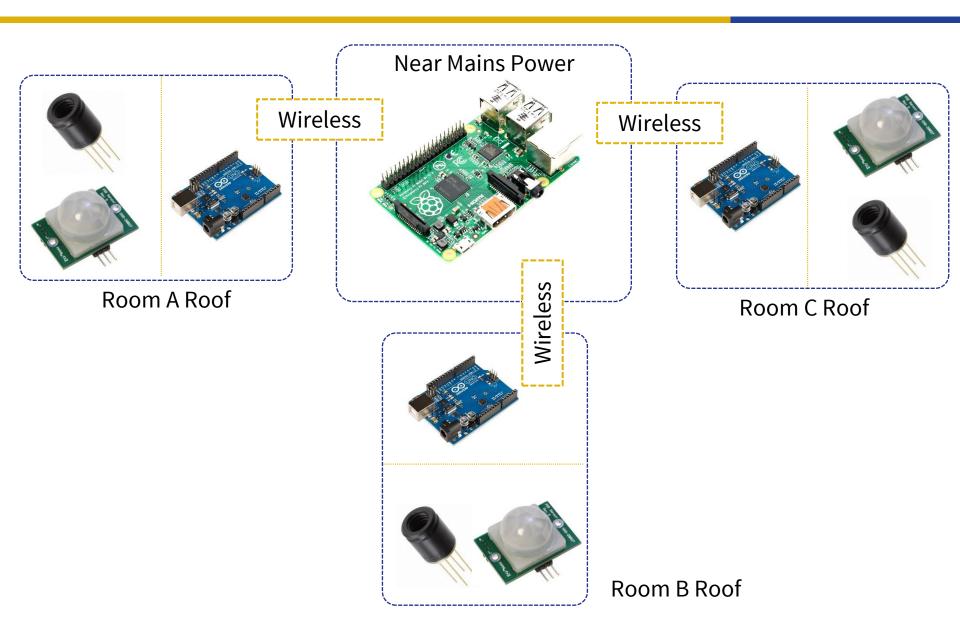
**Pre-Processing** 





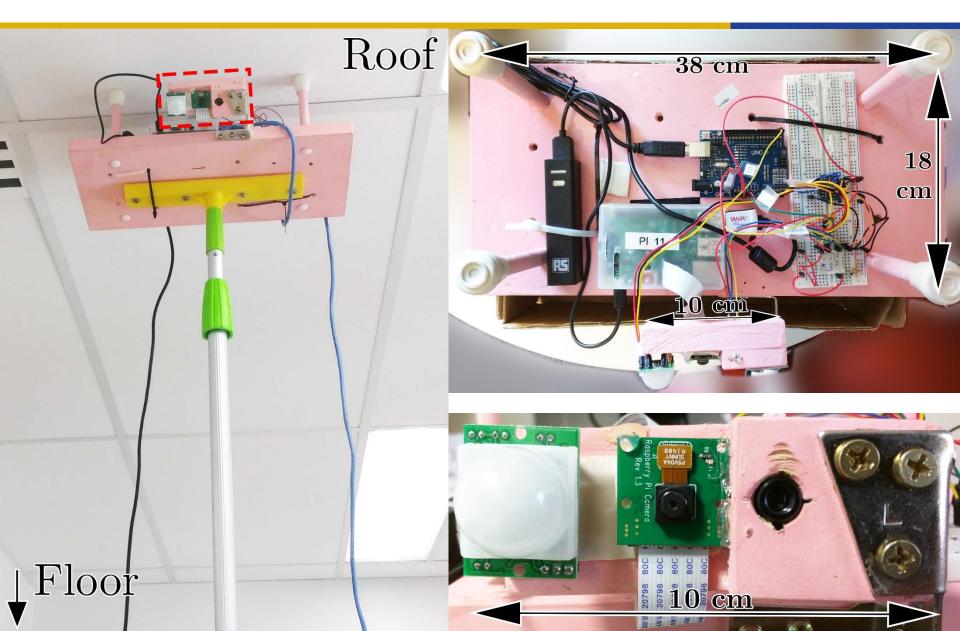
#### **HW Architecture - Ideal M:1**





#### **Physical Prototype**





#### **Software**



- 1,600 SLOC
  - Approx. 500 lines on Arduino (C++)
  - Remaining 1,000 on Raspberry Pi (Python)
- Code allows capture, visualization and analysis of thermal images





#### Overview

- Motion detection
- 2. Image subtraction
- Machine learning
  - Distilling good examples (feature extraction)
  - Providing examples with correct answer (training)
  - Get out a model that can predict attributes



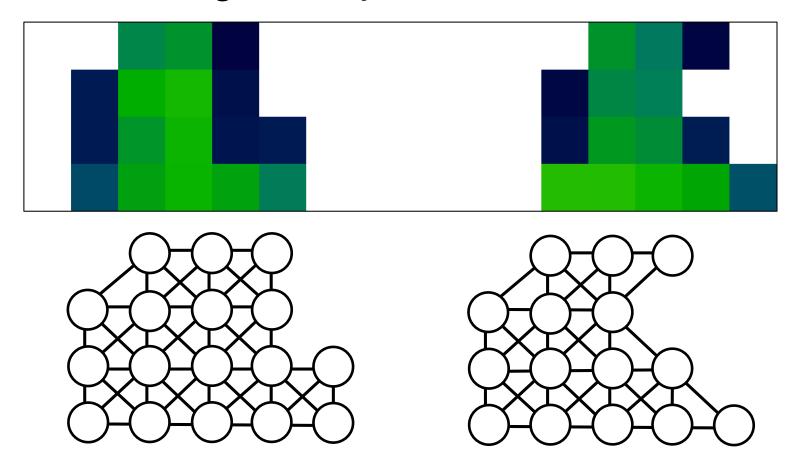
#### 1. Capture thermal image sequence







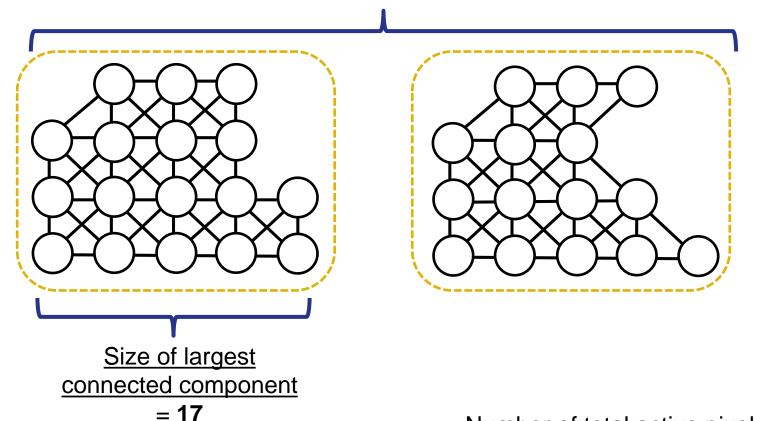
2. Generate graph from "active" pixels, which deviate significantly from mean





3. Extract features from graph for classification purposes

Number of connected components = 2





#### 4. Perform machine learning

- Train on examples with true value (features and ground truth)
- Make predictions with your generated model

#### **Video Demonstration**







## Evaluation

#### **Non-Invasiveness**



- Fulfilled through sensor choice
- Low resolution masks person and action identification

#### Cost



- Prototype < \$300 target</li>
- On par with ThermoSense cost

Part	Cost
MLX90620	\$80
Raspberry Pi B+	\$50
Arduino Uno R3	\$40
Passive Infrared Sensor	\$10
I <sup>2</sup> C level shifter	\$5
TOTAL	\$185

(a) Our project

Part	Cost
TMote Sky	\$110
Grid-EYE	\$50
Passive Infrared Sensor	\$10
TOTAL	\$170

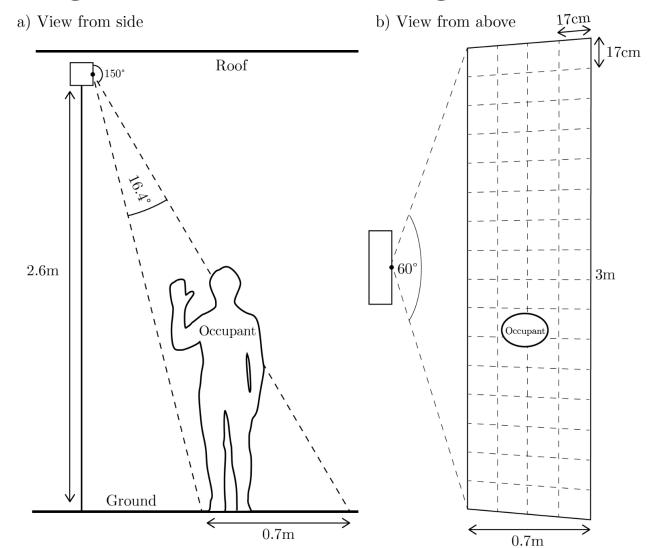
(b) ThermoSense (estimated)

#### **Cost comparison**

#### **Experimental Setup**



Testing reliability and energy efficiency



#### Reliability - Aim

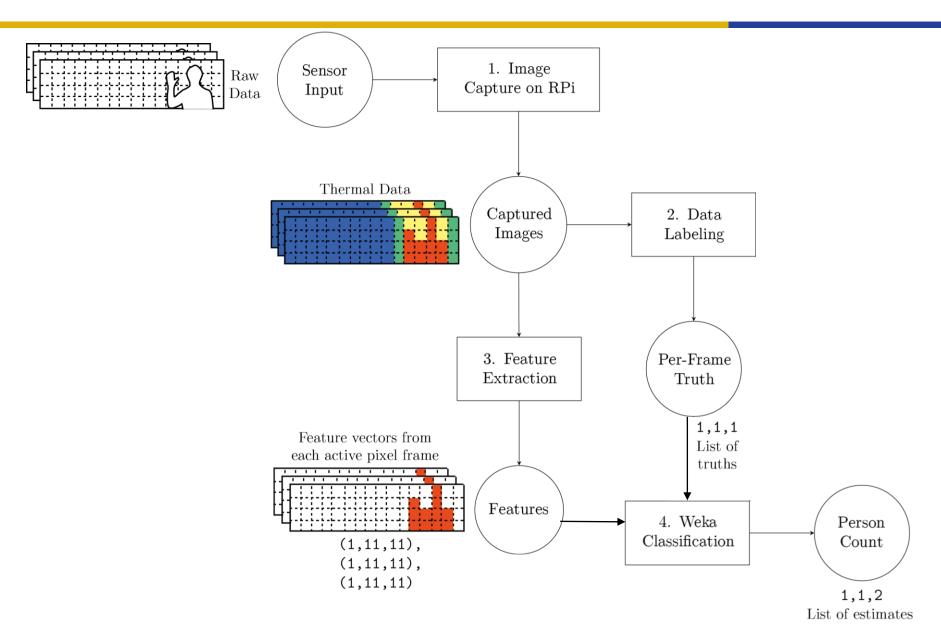


- Replicating
   ThermoSense's
   classification
   algorithms:
  - K Nearest Neighbours (numeric / nominal)
  - Linear Regression (numeric)
  - Multi-Layer Perceptron (numeric)

- Trying our own
  - Multi-LayerPerceptron (nominal)
  - K\*
  - C4.5
  - Support Vector Machine
  - Naïve Bayes
  - -0-R

#### **Reliability - Processing Pipeline**





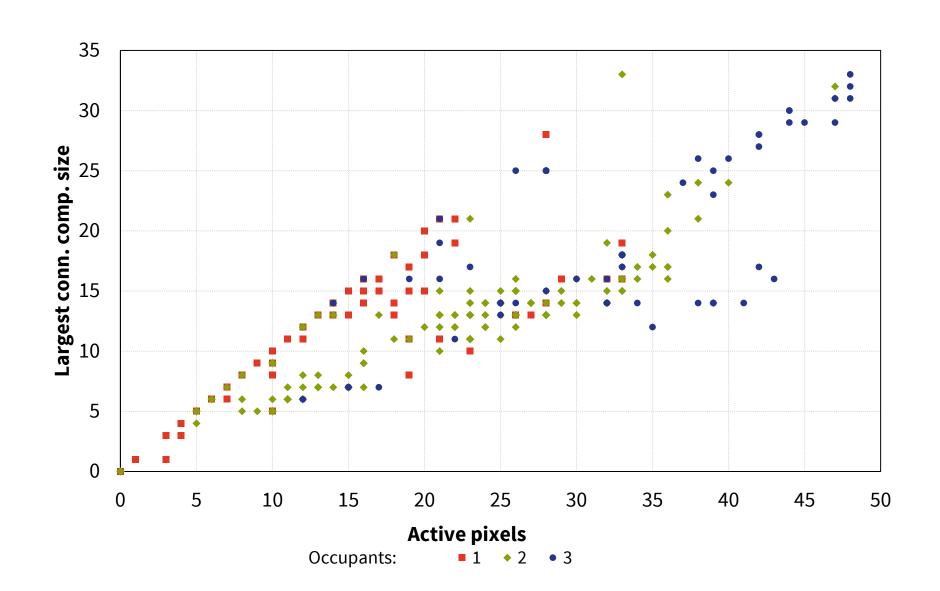
#### **Reliability – Summary**



- Best results
  - K\*, C4.5 (both ~82%)
  - MLP also passable (~77%)
- ThermoSense paper's choices not sufficiently reliable with our dataset
  - Why?
  - So many unknowns
- Why are K\* and C4.5 so much better?
  - Entropy?

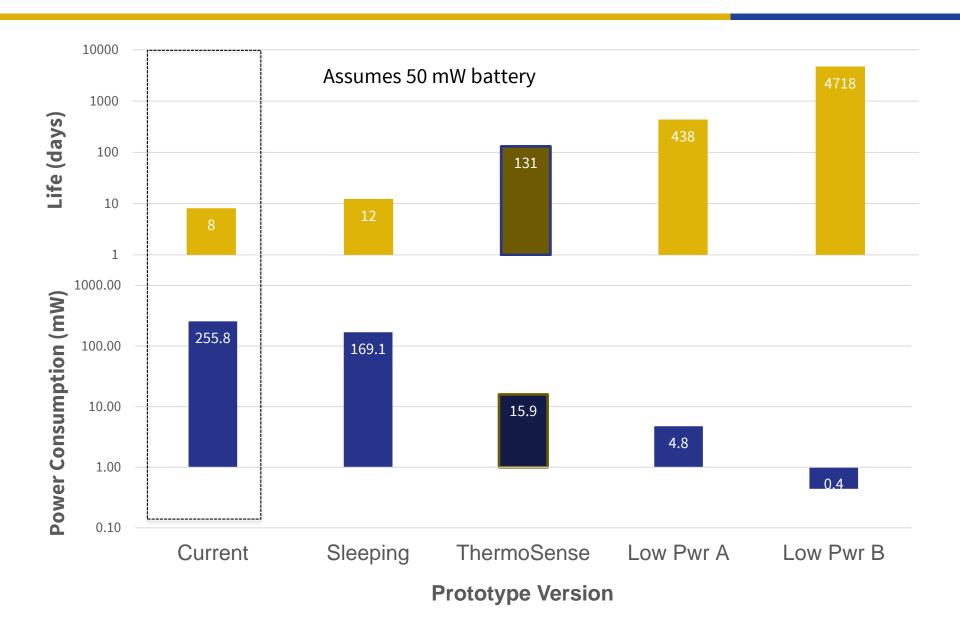
#### Feature Plot - No Clear Cut





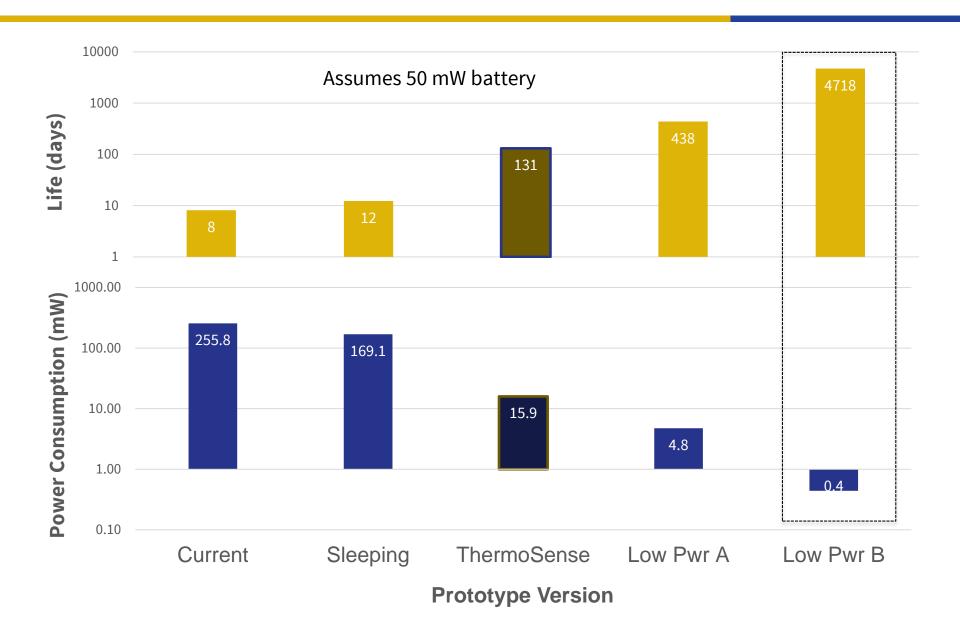
# **Energy Efficiency (log scales)**





# **Energy Efficiency (log scales)**







# Conclusions

#### **Conclusions**



- Low Cost
  - \$185, and will only get cheaper
- Non-Invasive
  - Thermal sensing is a good technique
- Reliable
  - 82% classification accuracy
- Energy Efficient
  - Prototype: 8 days. Minor changes: years

#### **Recommended Future Work**



- IoT integration
  - How would this talk to other systems?
- Field-of-View modifications
  - Undistorting captured images
- New Sensors
  - MLX90621 (wider FOV)
  - FliR Lepton (80x60 pixel)

#### **References & Questions?**



- [ABS12] Australian Bureau of Statistics. Disability, ageing and carers, Australia: Summary of findings: Carers key findings. Tech. Rep. 4430.0, 2012. Retrieved April 10, 2015 from <a href="http://abs.gov.au/ausstats/abs@.nsf/Lookup/D9BD84DBA2528FC9CA257C21000E4FC5">http://abs.gov.au/ausstats/abs@.nsf/Lookup/D9BD84DBA2528FC9CA257C21000E4FC5</a>.
- [ABS11] Australian Bureau of Statistics. Household water and energy use, Victoria: Heating and cooling. Tech. Rep. 4602.2, 2011. Retrieved October 6, 2014 from <a href="http://abs.gov.au/ausstats/abs@.nsf/0/85424ADCCF6E5AE9CA257A670013AF89">http://abs.gov.au/ausstats/abs@.nsf/0/85424ADCCF6E5AE9CA257A670013AF89</a>.
- [BEC13] Beltran, A., Erickson, V. L., and Cerpa, A. E. ThermoSense: Occupancy thermal based sensing for HVAC control. In *Proceedings of the 5th ACM Workshop on Embedded Systems For Energy-Efficient Buildings* (2013), ACM, pp. 1–8.
- [CCE09] Chan, M., Campo, E., Esteve, D., and Fourniols, J.-Y. Smart homes current features and future perspectives. *Maturitas* 64, 2 (2009), 90–97.
- [CAG12] Council of Australian Governments. Baseline Energy Consumption and Greenhouse Gas Emissions: In Commercial Buildings in Australia: Part 1 Report. 2012. Retrieved April 10, 2015 from <a href="http://industry.gov.au/Energy/Energy/Energy/Energy/Non-residentialBuildings/Documents/CBBS-Part-1.pdf">http://industry.gov.au/Energy/Ener
- [Swo15] Swoboda, K. Energy prices–the story behind rising costs. In Parliamentary Library Briefing Book 44th Parliament. Australian Parliament House Parliamentary Library, 2013. Retrieved February 3, 2015 from

http://aph.gov.au/About\_Parliament/Parliamentary\_Departments/Parliamentary\_Library/pubs/BriefingBook44p/EnergyPrices.



# Questions?



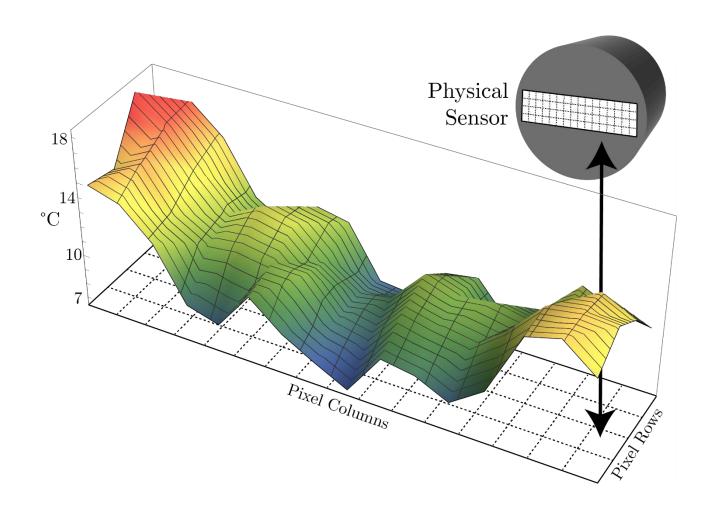
# **Additional Content**



# Sensor Properties

# **Sensor Properties - Bias**

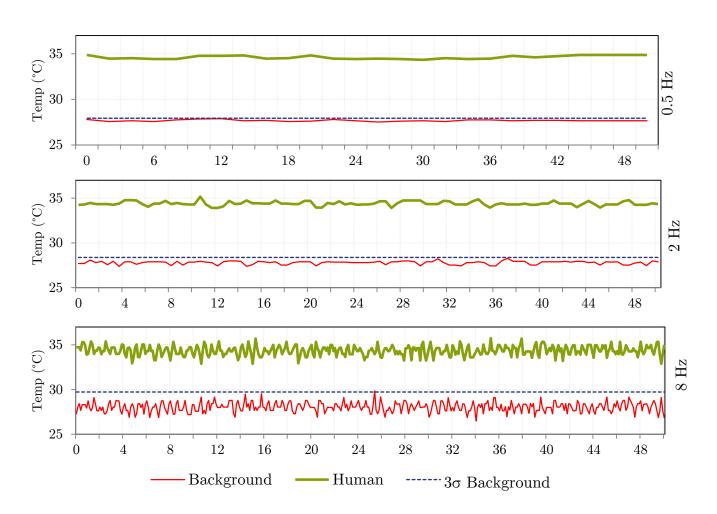




Average mean values over capture window

#### **Sensor Properties – Noise**

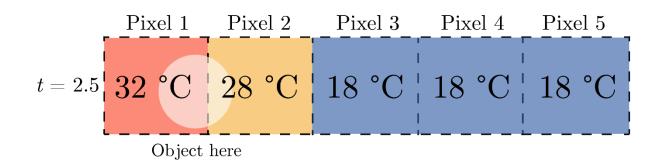




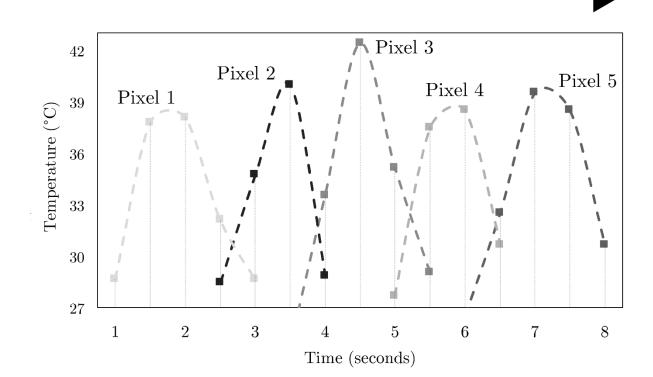
Graphs of noise of human pixel and background pixel

#### **Sensor Properties – Sensitivity**





Hot object moving across pixels at approx. constant velocity



Hot object moving across row of five pixels



# **Evaluating Sensors**



#### 1. Presence

– Is there any occupant present in the sensed area?



#### 2. Count

– How many occupants are there in the sensed area?



#### 3. Location

– Where are the occupants in the sensed area?



#### 4. Track

 Where do the occupants move in the sensed area? (local identification)



# 5. Identity

Who are the occupants in the sensed area?
 (global identification)



	Requires		Excludes	Irrelevant		
	Presence	Count	Identity	Location	Track	
Intrinsic						
Static						
Thermal	✓	$\checkmark$	<b>√</b>	<b>√</b>		
$CO_2$	✓	$\checkmark$	<b>√</b>			
Video	✓	$\checkmark$	×	<b>√</b>	$\checkmark$	
Dynamic						
Ultrasonic	✓	$\checkmark$	×		$\checkmark$	
PIR	✓	X	<b>√</b>			
Extrinsic						
$\frac{1}{Instrumented}$						
RFID	$\checkmark^1$	$\checkmark$	<b> </b>	<b> </b>		
WiFi assoc. <sup>2</sup>	$\checkmark^1$	$\checkmark$	×	<b> </b>		
WiFi triang. <sup>2</sup>	$\checkmark^1$	$\checkmark$	×			
$GPS^2$	$\checkmark^1$	X	✓	<b> </b>		
Correlative						
Electricity	$\checkmark^1$	X	<b>√</b>			

Evaluating sensors against our criteria

<sup>&</sup>lt;sup>1</sup>Doesn't provide data at required level of accuracy for residential use.

<sup>&</sup>lt;sup>2</sup>Uses smartphone as detector.



- We want
  - Presence
  - Count
- We don't want
  - Identity
- We don't care about
  - Location
  - Track

#### References



[TDS14] Teixeira, T., Dublon, G., and Savvides, A. A survey of human-sensing: Methods for detecting presence, count, location, track, and identity. Tech. rep., Embedded Networks and Applications Lab (ENALAB), Yale University, 2010. Retrieved October 6, 2014 from

http://www.eng.yale.edu/enalab/publications/human\_sensing\_enalabWIP.pdf.

# **Thermosense Technique**





Panasonic Grid-EYE 8x8 Thermal Array



Passive Infrared Sensor (PIR)





T-Mote Sky



PC?

**Pre-Processing** 

**Analysis** 



#### Overview

- Motion detection
- 2. Image subtraction
- Machine learning
  - Distilling good examples (feature extraction)
  - Providing examples with correct answer (training)
  - Get out a model that can predict attributes



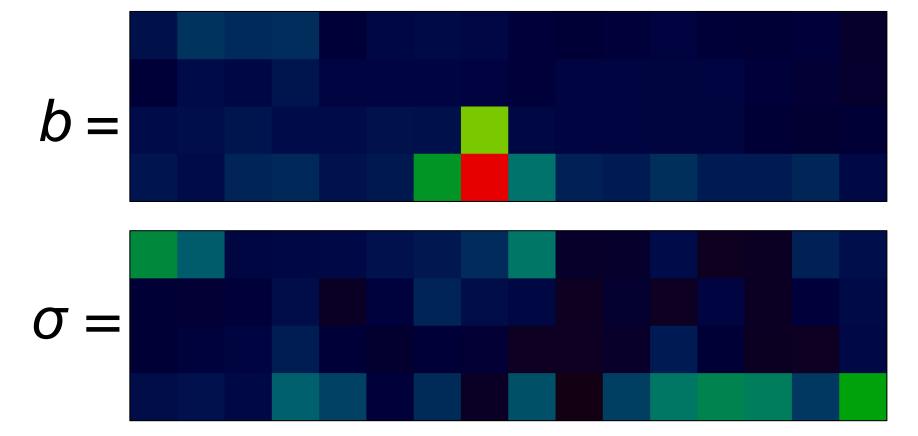
# 1. Capture thermal image sequence







2. When no motion (use PIR), update a background map (b), standard deviation  $(\sigma)$  and means using an Exponential Weighted Moving Average





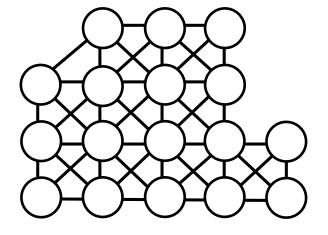
3. When motion, consider pixels  $> 3\sigma$  to be "active"

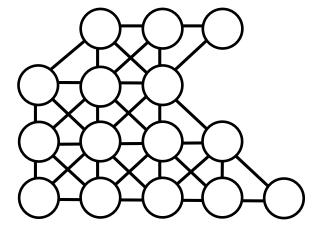




# 4. Generate graph from active pixels



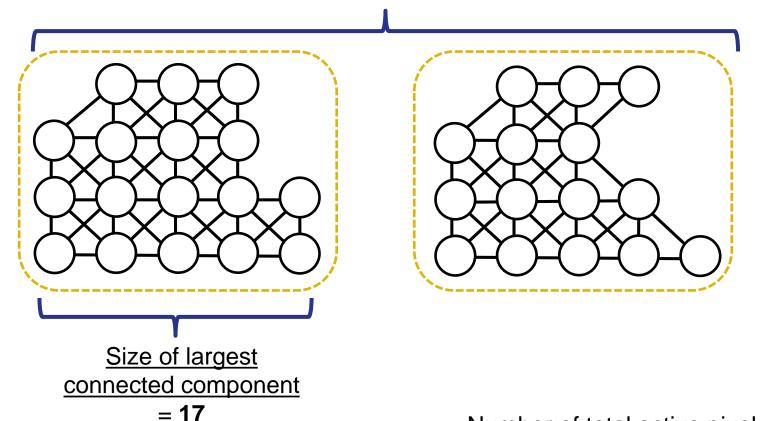






5. Extract features from graph for classification purposes

Number of connected components = 2





# 6. Perform machine learning

- Train on examples with true value (features and ground truth)
- Make predictions with your generated model



Worst - Best

Thermosense

- RMSE: 0.409 - 0.346

– Correlation: 0.926 – 0.946

K\* Numeric

- RMSE: 0.423 (-0.077)

– Correlation: 0.760 (-0.166)



Classifier	RMSE	Precision (%)	Correlation (r)				
ThermoSense Actual							
KNN <sup>1</sup>	0.346						
Lin Reg <sup>2</sup>	0.385		0.926				
MLP	0.409		0.945				
ThermoSense Replication							
KNN (Nom) <sup>1</sup>	0.364	65.65					
MLP	0.592		0.687				
Lin Reg <sup>2</sup>	0.525		0.589				
KNN (Num) <sup>1</sup>	1.123		0.377				
Numeric							
K*	0.423		0.760				
0-R	0.651		-0.118				
Nominal							
K*	0.304	82.56					
C4.5	0.314	82.39					
MLP	0.362	77.14					
SVM	0.398	67.18					
N. Bayes	0.405	63.59					
0-R	0.442	49.74					

- <sup>1</sup>: Includes zero occupant cases in training data
- <sup>2</sup>: Excludes number of connected components feature
- %: Precision, measuring a nominal test result
- r: Correlation coefficient, measuring a numeric test result

#### **Results**



Worst - Best

Thermosense

- RMSE: 0.409 - 0.346

– Correlation: 0.926 – 0.946

- Three Test Suites
  - Replication of their algorithms
  - Our numeric algorithm,  $K^*$  (measured with r)
  - Our nominal algorithms (measured with %)



Worst - Best

Thermosense

- RMSE: 0.409 - 0.346

Correlation: 0.926 – 0.946

# Our Replication

- RMSE: 1.123 - 0.364 (-0.018)

- Correlation: 0.377 - 0.687 (-0.239)

Insufficient accuracy



Worst - Best

Thermosense

- RMSE:

0.409 - 0.346

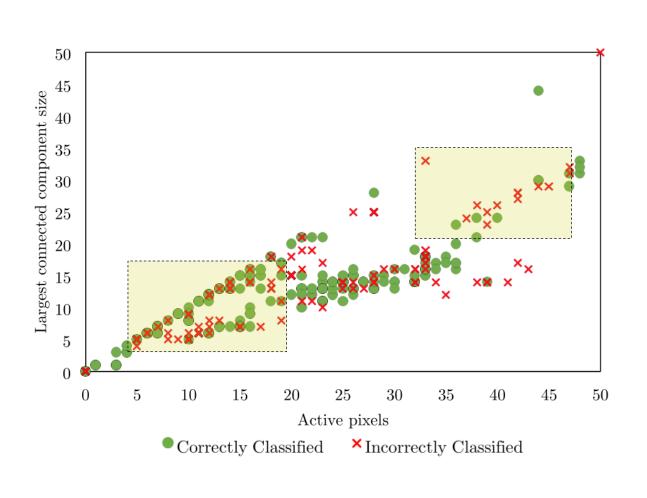
#### Nominal Suite

- RMSE: 0.304 - 0.405 (+0.042)

Accuracy: 63.59 – 82.56

Higher end does have sufficient accuracy





# **SVM Predictions**

67% accuracy

### **Energy Efficiency**



#### **Different Prototype Designs**

	Radio	Sleep	Wake	Volts	Wake	Sample	Avg	Life
Model		(mA)	(mA)	(V)	(ms)	(Hz)	(mW)	(days)
Existing	Х	34	52	4.9	$\infty$	0.20	255.84	8
Sleep	Х	34	52	4.9	100	0.20	169.05	12
ThermoS.	✓	?	?	3.3	?	0.20	15.91	131
LowPwr A	✓	0.065	23	3.3	300	0.20	4.76	438
LowPwr B	✓	0.065	23	3.3	300	0.01	0.44	4718

Radio: Does the model use radio transmission?

Sleep (mA): Milliamp current consumption in sleep state

Wake (mA): Milliamp current consumption in wake state

Volts (V): Voltage requirement of model

Wake (ms): Min. millisecond time model must be awake to sample & transmit once

 $(\infty = \text{never sleeps})$ 

Sample (Hz): Freq. that model wakes and performs sample & transmit

Avg (mW): Avg. milliwatt power given sleep/wake current, voltage, sample and wake time

Life (days): Est. life of model assuming a perfect 50 watt-hour (Wh) battery