# Microkit User Manual (v1.2-pre)

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# 1 Introduction

The seL4 Microkit is a small and simple operating system (OS) built on the seL4 microkernel. Microkit is designed for building system with a *static architecture*. A static architecture is one where system resources are assigned up-front at system initialisation time.

# 1.1 Purpose

The Microkit is intended to:

- provide a small and simple OS for a wide range of IoT, cyberphysical and other embedded use cases;
- provide a reasonable degree of application portability appropriate for the targeted use cases;
- make seL4-based systems easy to develop and deploy within the target areas;
- leverage seL4's strong isolation properties to support a near-minimal *trusted computing base* (TCB);
- retain seL4's trademark performance for systems built with it;
- be, in principle, amenable to formal analysis of system safety and security properties (although such analysis is beyond the initial scope).

#### 1.2 Overview

A Microkit system is built from a set of individual programs that are isolated from each other, and the system, in *protection domains*. Protection domains can interact by calling *protected procedures* or sending *notifications*.

Microkit is distributed as a software development kit (SDK). The SDK includes the tools, libraries and binaries required to build an Microkit system. The Microkit source is also available which allows you to customize or extend Microkit and produce your own SDK.

To build an Microkit system you will write some programs that use libmicrokit. Microkit programs are a little different to a typical progress on a Linux-like operating system. Rather than a single main entry point, a program has three distinct entry points: init, notified and, optionally, protected.

The individual programs are combined to produce a single bootable *system image*. The format of the image is suitable for loading by the target board's bootloader. The Microkit tool, which is provided as part of the SDK, is used to generate the system image.

The Microkit tool takes a *system description* as input. The system description is an XML file that specifies all the objects that make up the system.

*Note*: Microkit does **not** impose any specific build system; you are free to choose the build system that works best for you.

#### 1.3 Document Structure

The Concepts chapter describes the various concepts that make up Microkit. It is recommended that you familiarise yourself with these concepts before trying to build a system.

The SDK chapter describes the software development kit, including its components and system requirements for use.

The Microkit tool chapter describes the host system tool used for generating a firmware image from the system description.

The libmicrokit chapter describes the interfaces to the Microkit library.

The System Description Format chapter describes the format of the system description XML file.

The Board Support Packages chapter describes each of the board support packages included in the SDK.

The Rationale chapter documents the rationale for some of the key design choices of in Microkit.

# 2 Concepts

This chapter describes the key concepts provided by Microkit.

As with any set of concepts there are words that take on special meanings. This document attempts to clearly describe all of these terms, however as the concepts are inter-related it is sometimes necessary to use a term prior to its formal introduction.

- system
- protection domain (PD)
- channel
- · memory region
- notification
- protected procedure

# 2.1 System

At the most basic level Microkit provides the platform for running a *system* on a specific board. As a *user* of Microkit you use the platform to create a software system that implements your use case. The system is described in a declarative configuration file, and the Microkit tool takes this system description as an input and produces an appropriate system image that can be loaded on the target board.

The key elements that make up a system are protection domains, memory regions and channels.

#### 2.2 Protection Domains

A **protection domain** (PD) is the fundamental runtime abstraction in the seL4 platform. It is analogous, but very different in detail, to a process on a UNIX system.

A PD provides a thread of control that executes within a fixed virtual address space. The isolation provided by the virtual address space is enforced by the underlying hardware MMU.

The virtual address space for a PD has mappings for the PD's *program image* along with any memory regions that the PD can access. The program image is an ELF file containing the code and data which implements the isolated component.

The platform supports a maximum of 63 protection domains.

#### 2.2.1 Entry points

Although a protection domain is somewhat analogous to a process, it has a considerably different program structure and life-cycle. A process on a typical operating system will have a main function which is invoked by the system when the process is created. When the main function returns the process is destroyed. By comparison a protection domain has three entry points: init, notify and, optionally, protected.

When an Microkit system is booted, all PDs in the system execute the init entry point.

The notified entry point will be invoked whenever the protection domain receives a *notification* on a *channel*. The protected entry point is invoked when a PD's *protected procedure* is called by another PD. A PD does not have to provide a protected procedure, therefore the protected entry point is optional. These entry points are described in more detail in subsequent sections.

**Note:** The processing of init entry points is **not** synchronised across protection domains. Specifically, it is possible for a high priority PD's notified or protected entry point to be called prior to the completion of a low priority PD's init entry point.

The overall computational model for a Microkit system is a set of isolated components reacting to incoming events.

#### 2.2.2 Scheduling

The PD has a number of scheduling attributes that are configured in the system description:

- priority (0 254)
- period (microseconds)
- budget (microseconds)

The budget and period bound the fraction of CPU time that a PD can consume. Specifically, the **budget** specifies the amount of time for which the PD is allowed to execute. Once the PD has consumed its budget, it is no longer runnable until the budget is replenished; replenishment happens once every **period** and resets the budget to its initial value. This means that the maximum fraction of CPU time the PD can consume is budget/period.

The budget cannot be larger than the period. A budget that equals the period (aka. a "full" budget) behaves like a traditional time slice: After executing for a full period, the PD is preempted and put at the end of the scheduling queue of its priority. On other words, PDs with full budgets are scheduled round-robin with a time slice defined by the period.

The **priority** determines which of the runnable PDs to schedule. A PD is runnable if one of its entry points have been invoked and it has budget remaining in the current period. Runnable PDs of the same priority are scheduled in a round-robin manner.

# 2.3 Memory Regions

A *memory region* is a contiguous range of physical memory. A memory region may have a *fixed* physical address. For memory regions without a fixed physical address the physical address is allocated as part of the build process. Typically, memory regions with a fixed physical address represents memory-mapped device registers.

The size of a memory region must be a multiple of a supported page size. The supported page sizes are architecture dependent. For example, on AArch64 architectures, Microkit support 4KiB and 2MiB pages. The page size for a memory region may be specified explicitly in the system description. If page size is not specified, the smallest supported page size is used.

*Note:* The page size also restricts the alignment of the memory region's physical address. A fixed physical address must be a multiple of the specified page size.

A memory region can be *mapped* into one or more protection domains. The mapping has a number of attributes, which include:

- the virtual address at which the region is mapped in the PD
- caching attributes (mostly relevant for device memory)
- permissions (read, write and execute)

**Note:** When a memory region is mapped into multiple protection domains, the attributes used for different mapping may vary.

#### 2.4 Channels

A *channel* enables two protection domains to interact using protected procedures or notifications. Each connects connects exactly two PDs; there are no multi-party channels.

When a channel is created between two PDs, a *channel identifier* is configured for each PD. The *channel identifier* is used by the PD to reference the channel. Each PD can refer to the channel with a different identifier. For example if PDs **A** and **B** are connected by a channel, **A** may refer to the channel using an identifier of **37** while **B** may use **42** to refer to the same channel.

**Note:** There is no way for a PD to directly refer to another PD in the system. PDs can only refer to other PDs indirectly if there is a channel between them. In this case the channel identifier is effectively

a proxy identifier for the other PD. So, to extend the prior example, **A** can indirectly refer to **B** via the channel identifier **37**. Similarly, **B** can refer to **A** via the channel identifier **42**.

The system supports a maximum up 64 channels and interrupts per protection domain.

#### 2.4.1 Protected procedure

A protection domain may provide a *protected procedure* (PP) which can be invoked from another protection domain. Up to 64 words of data may be passed as arguments when calling a protected procedure. The protected procedure return value may also be up to 64 words.

When a protection domain calls a protected procedure, the procedure executes within the context of the providing protection domain.

A protected call is only possible if the callee has strictly higher priority than the caller. Transitive calls are possible, and as such a PD may call a *protected procedure* in another PD from a protected entry point. However the overall call graph between PDs forms a directed, acyclic graph. It follows that a PD can not call itself, even indirectly. For example, A calls B calls C is valid (subject to the priority constraint), while A calls B calls A is not valid.

When a protection domain is called, the protected entry point is invoked. The control returns to the caller when the protected entry point returns.

The caller is blocked until the callee returns. Protected procedures must execute in bounded time. It is intended that future version of the platform will enforce this condition through static analysis. In the present version the callee must trust the callee to conform.

In general, PPs are provided by services for use by clients that trust the protection domain to provide that service.

To call a PP, a PD calls microkit\_ppcall passing the channel identifier and a *message* structure. A *message* structure is returned from this function.

When a PD's protected procedure is invoked, the protected entry point is invoked with the channel identifier and message structure passed as arguments. The protected entry point must return a message structure.

#### 2.4.2 Notification

A notification is a (binary) semaphore-like synchronisation mechanism. A PD can *notify* another PD to indicate availability of data in a shared memory region if they share a channel.

To notify another PD, a PD calls microkit\_notify, passing the channel identifier. When a PD receives a notification, the notified entry point is invoked with the appropriate channel identifier passed as an argument.

Unlike protected procedures, notifications can be sent in either direction on a channel regardless of priority.

**Note:** Notifications provide a mechanism for synchronisation between PDs, however this is not a blocking operation. If a PD notifies another PD, that PD will become scheduled to run (if it is not already), but the current PD does **not** block. Of course, if the notified PD has a higher priority than the current PD, then the current PD will be preempted (but not blocked) by the other PD.

#### 2.5 Interrupts

Hardware interrupts can be used to notify a protection domain. The system description specifies if a protection domain receives notifications for any hardware interrupt sources. Each hardware interrupt is assigned a channel identifier. In this way the protection domain can distinguish the hardware

interrupt from other notifications. A specific hardware interrupt can only be associated with at most one protection domain.

Although interrupts are the final concept to be described here, they are in some ways the most important. Without interrupts a system would not do much after system initialisation.

Microkit does not provides timers, nor any *sleep* API. After initialisation, activity in the system is initiated by an interrupt causing a notified entry point to be invoked. That notified function may in turn notify or call other protection domains that cause other system activity, but eventually all activity indirectly initiated from that interrupt will complete, at which point the system is inactive again until another interrupt occurs.

#### 2.6 Virtual Machines

@ivanv: Complete this documentation.

#### 3 SDK

Microkit is distributed as a software development kit (SDK).

The SDK includes support for one or more *boards*. Two *configurations* are supported for each board: *debug* and *release*. The *debug* configuration includes a debug build of the seL4 kernel to allow console debug output using the kernel's UART driver.

The SDK contains:

- Microkit user manual (this document)
- Microkit tool

Additionally, for each supported board configuration the following are provided:

- libmicrokit
- loader.elf
- kernel.elf
- monitor.elf

For some boards there are also examples provided in the examples directory.

The Microkit SDK does **not** provide, nor require, any specific build system. The user is free to build their system using whatever build system is deemed most appropriate for their specific use case.

The Microkit tool should be invoked by the system build process to transform a system description (and any referenced program images) into an image file which can be loaded by the target board's bootloader.

The ELF files provided as program images should be standard ELF files and have been linked against the provided libmicrokit.

#### 3.1 System Requirements

The Microkit tool requires Linux x86\_64. The Microkit tool is statically linked and should run on any Linux distribution. The Microkit tool does not depend on any additional system binaries.

# 4 Microkit tool

The Microkit tool is available in bin/microkit.

The Microkit tool takes as input a system description. The format of the system description is described in a subsequent chapter.

Usage:

```
microkit [-h] [-o OUTPUT] [-r REPORT] system
```

The path to the system description file must be provided.

In the case of errors, a diagnostic message shall be output to stderr and a non-zero code returned.

In the case of success, a loadable image file and a report shall be produced. The output paths for these can be specified by -o and -r respectively. The default output paths are loader.img and report.txt.

The loadable image will be a binary that can be loaded by the board's bootloader.

The report is a plain text file describing important information about the system. The report can be useful when debugging potential system problems. This report does not have a fixed format and may change between versions. It is not intended to be machine readable.

# 5 libmicrokit

All program images should link against libmicrokit.a.

The library provides the C runtime for the protection domain, along with interfaces for the Microkit APIs.

The component must provide the following functions:

```
void init(void);
void notified(microkit_channel ch);
```

Additionally, if the protection domain provides a protected procedure it must also implement:

```
microkit_msginfo protected(microkit_channel ch, microkit_msginfo msginfo);
```

libmicrokit provides the following functions:

```
microkit_msginfo microkit_ppcall(microkit_channel ch, microkit_msginfo msginfo);
void microkit_notify(microkit_channel ch);
microkit_msginfo microkit_msginfo_new(uint64_t label, uint16_t count);
uint64_t microkit_msginfo_get_label(microkit_msginfo msginfo);
void microkit_irq_ack(microkit_channel ch);
void microkit_mr_set(uint8_t mr, uint64_t value);
uint64_t microkit_mr_get(uint8_t mr);
void microkit_arm_vspace_data_clean(uintptr_t start, uintptr_t end);
void microkit_arm_vspace_data_invalidate(uintptr_t start, uintptr_t end)
```

#### 5.1 void init(void)

Every PD must expose an init entry point. This is called by the system at boot time.

**5.2** microkit\_message protected(microkit\_channel channel, microkit\_message message)

The protected entry point is optional. This is called when another PD calls microkit\_ppcall on a channel shared with the PD.

The channel argument identifies the channel on which the PP was invoked. Indirectly this identifies the PD performing the call. Channel identifiers are specified in the system configuration. **Note:** The channel argument is passed by the system and is unforgable.

The message argument is the argument passed to the PP and is provided by the calling PD. The contents of the message is up to a pre-arranged protocol between the PDs. The message contents are opaque to the system. Note: The message is *copied* from the caller.

The returned message is the return value of the protected procedure. As with arguments this is *copied* to the caller.

#### **5.3** void notified(microkit\_channel channel)

The notified entry point is called by the system when a PD has received a notification on a channel. channel identifies the channel which has been notified (and indirectly the PD that performed the notification).

**Note:** channel could identify an interrupt.

Channel identifiers are specified in the system configuration.

5.4 microkit\_message microkit\_ppcall(microkit\_channel channel, microkit\_message message)

Performs a call to a protected procedure in a different PD. The channel argument identifies the protected procedure to be called. message is passed as argument to the protected procedure. Channel identifiers are specified in the system configuration.

The protected procedure's return data is returned in the microkit\_message.

5.5 void microkit\_notify(microkit\_channel channel)

Notify the channel. Channel identifiers are specified in the system configuration.

5.6 void microkit\_irq\_ack(microkit\_channel ch)

Acknowledge the interrupt identified by the specified channel.

5.7 microkit\_message microkit\_msginfo\_new(uint64\_t label, uint16\_t count)

Creates a new message structure.

The message can be passed to microkit\_ppcall or returned from protected.

5.8 uint64\_t microkit\_msginfo\_get\_label(microkit\_message message)

Returns the label from a message.

5.9 uint64\_t microkit\_mr\_get(uint8\_t mr)

Get a message register.

5.10 void microkit\_mr\_set(uint8\_t mr, uint64\_t)

Set a message register.

5.11 void microkit\_arm\_vspace\_data\_clean(uintptr\_t start, uintptr\_t end)

Clean cached data given a range of virtual addresses.

**5.12** void microkit\_arm\_vspace\_data\_invalidate(uintptr\_t start, uintptr\_t end)

Invalidate cached data given a range of virtual addresses.

# **6** System Description Format

This section describes the format of the system description file. This file is provided as the input to the microkit tool.

The system description file is an XML file.

The root element of the XML file is system.

Within the system root element the following child elements are supported:

- protection\_domain
- memory\_region
- channel

#### **6.1** protection\_domain

The protection\_domain element describes a protection domain.

It supports the following attributes:

- name: a unique name for the protection domain
- pp: (optional) indicates that the protection domain has a protected procedure; defaults to false.
- priority: the priority of the protection domain (integer 0 to 254).
- budget: (optional) the PD's budget in microseconds; defaults to 1,000.
- period: (optional) the PD's period in microseconds; must not be smaller than the budget; defaults to the budget.
- cpu: (optional) the CPU that the PD is set to run on; must be greater than or equal to 0 and less than the maximum number of CPUs that seL4 has been configured for. Defaults to CPU 0.

Additionally, it supports the following child elements:

- program\_image: (exactly one) describes the program image for the protection domain.
- map: (zero or more) describes mapping of memory regions into the protection domain.
- irg: (zero or more) describes hardware interrupt associations.
- setvar: (zero or more) describes variable rewriting.

The program\_image element has a single path attribute describing the path to an ELF file.

The map element has the following attributes:

- mr: Identifies the memory region to map.
- vaddr: Identifies the virtual address at which to map the memory region.
- perms: Identifies the permissions with which to map the memory region. Can be a combination of r (read), w (write), and x (eXecute), with the exception of a write-only mapping (just w).
- cached: Determines if mapped with caching enabled or disabled. Defaults to true.
  - Note that this has no effect on RISC-V.
- setvar\_vaddr: Specifies a symbol in the program image. This symbol will be rewritten with the virtual address of the memory region.

The irq element has the following attributes:

- irq: The hardware interrupt number.
- id: The channel identifier.
- trigger: (optional) Whether the IRQ is edge triggered ("edge") or level triggered ("level"). Defualts to "level".

The setvar element has the following attributes:

- symbol: Name of a symbol in the ELF file.
- region\_paddr: Name of an MR. The symbol's value shall be updated to this MRs physical address.

#### **6.2** memory\_region

The memory\_region element describes a memory region.

It supports the following attributes:

- name: a unique name for the memory region
- size: size of the memory region in bytes (must be a multiple of the page size)
- page\_size: (optional) size of the pages used in the memory region; must be a supported page size if provided.
- phys\_addr: (optional) the physical address for the start of the memory region.

The memory\_region element does not support any child elements.

#### 6.3 channel

The channel element has exactly two end children elements for specifying the two PDs associated with the channel.

The end element has the following attributes:

- pd: Name of the protection domain for this end.
- id: Channel identifier in the context of the named protection domain.

The id is passed to the PD in the notified and protected entry points. The id should be passed to the microkit\_notify and microkit\_ppcall functions.

# 7 Board Support Packages

This chapter describes the board support packages that are available in the SDK.

## 7.1 TQMa8XQP 1GB

The TQMa8XQP is a system-on-module designed by TQ-Systems GmbH. The modules incorporates an NXP i.MX8X Quad Plus system-on-chip and 1GiB ECC memory.

TQ-Systems provide the MBa8Xx carrier board for development purposes. The instructions provided assume the use of the MBa8Xx carrier board. If you are using a different carrier board please refer to the appropriate documentation.

The MBa8Xx provides access to the TQMa8XQP UART via UART-USB bridge. To access the UART connect a USB micro cable to port **X13**. The UART-USB bridge supports 4 individual UARTs; the UART is connected to the 2nd port.

By default the SoM will autoboot using U-boot. Hit any key during the boot process to stop the autoboot.

A new board will autoboot to Linux. You will likely want to disable autoboot:

```
=> env set bootdelay -1
=> env save
```

The board can be reset by pressing switch **S4** (located next to the Ethernet port). Alternatively, you can use the reset command from the U-Boot prompt.

During development the most convenient way to boot an Microkit image is via network booting. U-boot support booting via the *tftp* protocol. To support this you'll want to configure the network. U-Boot supports DHCP, however it is often more reliable to explicitly set an IP address. For example:

```
=> env set ipaddr 10.1.1.2
=> env set netmask 255.255.255.0
=> env set serverip 10.1.1.1
=> env save
```

To use tftp you also need to set the file to load and the memory address to load it to:

```
=> env set bootfile loader.img
=> env set loadaddr 0x80280000
=> env save
```

The system image generated by the Microkit tool is a raw binary file.

An example sequence of commands for booting is:

```
=> tftpboot
=> dcache flush
=> icache flush
=> go ${loadaddr}
```

Rather than typing these each time you can create a U-Boot script:

```
=> env set microkit 'tftpboot; dcache flush; icache flush; go ${loadaddr}'
=> env save
=> run microkit
```

When debugging is enabled the kernel will use the same UART as U-Boot.

#### 7.2 ZCU102

Initial support is available for the ZCU102.

**FIXME:** Additional documentation required here.

The ZCU102 can run on a physical board or on an appropriate QEMU based emulator.

An QEMU command line:

```
$ qemu-system-aarch64 \
   -m 4G \
   -M arm-generic-fdt \
   -nographic \
   -hw-dtb [PATH TO zcu102-arm.dtb] \
   -device loader,file=[SYSTEM IMAGE],addr=0x40000000,cpu-num=0 \
   -device loader,addr=0xfd1a0104,data=0x00000000e,data-len=4 \
   -serial mon:stdio
```

## 7.3 QEMU ARM virt

Initial support is available for QEMU's "virt" platform on AArch64.

The QEMU command to run is:

```
$ qemu-system-aarch64 \
   -machine virt,virtualization=on \
   -cpu cortex-a53 \
   -m size=2048M \
   -nographic \
   -serial mon:stdio \
   -device loader,file=[SYSTEM IMAGE],addr=0x70000000,cpu-num=0
```

@ivanv: Add documentation for running other conifgs, e.g a72 or hypervisor

#### 7.4 Raspberry Pi 4B

@ivanv: add documentation

# 7.5 Spike

Initial support is available for the Spike on 64-bit RISC-V.

Note that the SYSTEM IMAGE below refers to an OpenSBI (v1.0) firmware with a payload built into the image (FW\_PAYLOAD). See here for details. This command may need to be changed to work with other SBI implementations or other configurations of OpenSBI.

The QEMU command to run is:

```
$ qemu-system-riscv64 \
   -machine spike \
   -m size=4095M \
   -nographic \
   -serial mon:stdio \
   -bios [SYSTEM IMAGE]
```

You can also use the Spike simulator, the command is:

```
$ spike -m4095 [SYSTEM IMAGE]
```

# 7.6 QEMU RISC-V virt

Initial support is available for QEMU's "virt" platform on 64-bit RISC-V.

Note that the SYSTEM IMAGE below refers to an OpenSBI (v1.0) firmware with a payload built into the image (FW\_PAYLOAD). See here for details. This command may need to be changed to work with other SBI implementations or other configurations of OpenSBI.

The QEMU command to run is:

```
$ qemu-system-riscv64 \
   -machine virt \
   -m size=3072M \
   -nographic \
   -serial mon:stdio \
   -bios [SYSTEM IMAGE]
```

#### 7.7 HiFive Unleashed

Initial support is available for the HiFive Unleashed development board.

TODO @ivanv: Add documentation for getting the HiFive Unleashed up and running.

# 8 Rationale

This section describes the rationales driving the Microkit design choices.

#### 8.1 Overview

The seL4 microkernel provides a set of powerful and flexible mechanisms that can be used for building almost arbitrary systems. While minimising constraints on the nature of system designs and scope of deployments, this flexibility makes it challenging to design the best system for a particular use case, requiring extensive seL4 experience from developers.

The Microkit addresses this challenge by constraining the system architecture to one that provides enough features and power for its target usage class (IoT, cyberphysical and other embedded systems with a static architecture), enabling a much simpler set of developer-visible abstractions.

#### 8.2 Protection Domains

PDs are single-threaded to keep the programming model and implementations simple, and because this serves the needs of most present use cases in the target domains. Extending the model to multithreaded applications (clients) is straightforward and can be done if needed. Extending to multithreaded services is possible but requires additional infrastructure for which we see no need in the near future.

#### 8.3 Protected Procedure Priorities

The restriction of only calling to higher priority prevents deadlocks and reflects the notion that the callee operates on behalf of the caller, and it should not be possible to preempt execution of the callee unless the caller could be preempted as well.

This greatly simplifies reasoning about real-time properties in the system; in particular, it means that PPs can be used to implement *resource servers*, where shared resources are encapsulated in a component that ensures mutual exclusion, while avoiding unbounded priority inversions through the *immediate* priority ceiling protocol.

While it would be possible to achieve the same by allowing PPs between PDs of the same priority, this would be much harder to statically analyse for loop-freedom (and thus deadlock-freedom). The drawback is that we waste a part of the priority space where a logical entity is split into multiple PDs, eg to separate out a particularly critical component to formally verify it, when the complete entity would be too complex for formal verification. For the kinds of systems targeted by the Microkit, this reduction of the usable priority space is unlikely to cause problems.

# 8.4 Protected Procedure Argument Size

The limitation on the size of by-value arguments is forced by the (architecture-dependent) limits on the payload size of the underlying seL4 operations, as well as by efficiency considerations. The protected procedure payload should be considered as analogous to function arguments in the C language; similar limitations exist in the C ABIs (Application Binary Interfaces) of various platforms.

#### 8.5 Limits

The limitation on the number of protection domains in the system is relatively arbitrary. Based on experience with the system and the types of systems being built it is possible for this to be increased in the future.

The limitation on the number of channels for a protection domain is based on the size of the notification object in seL4. Changing this to be larger than 64 would most likely require changes to seL4.