

Grant Proposal: USDA Forest Service FY2020

# **Control of Little Fire Ant (LFA) and Coconut Rhinoceros Beetle (CRB) on Guam**

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May 5, 2020

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# 1. Combined Budget

Item	Cost(UOG)	Cost(GDOA)	Total
Personnel	\$66,200	\$72,987	\$139,187
Benefits	\$14,720	\$5,584	\$20,810
Travel	\$4,000	\$0	\$4,000
Supplies	\$0	\$2,545	\$2,545
<b>SUBTOTAL</b>	<b>\$84,920</b>	<b>\$81,116</b>	<b>\$166,542</b>
Administrative fee	\$12,738	\$12,167	\$24,905
<b>TOTAL</b>	<b>\$97,658</b>	<b>\$93,283</b>	<b>\$191,941</b>

**Personnel (UOG)** includes salary for an insect pathologist (Dr. James Grasela, 1 FTE, \$64,000). Also includes PI's salary compensation calculated at (0.02FTE\*\$110,000) = \$2,200. **Total=\$66,200.**

**Benefits (UOG)** benefits calculated at 23%\*\$64,000=\$15,226.

**Personnel (GDOA)** Research Associate I, (fulltime, \$20.34/hour, 2080hrs)=\$42,307; Research Assistant III (fulltime or 2x halftime, \$14.75/hour, 2080hrs)=\$30,680. Total=\$72,987.

**Benefits (GDOA)** Social Security and Medicare (7.65%\*\$72,987)=\$5,584.

**Travel (UOG)** includes airfare and other relocation expenses for Dr. Grasela who resides in Missouri.

**Supplies (GDOA)** Fuel (7,488miles, work truck, 20MPG, \$4.50/gallon)=\$1,685; Survey Supplies (peanut butter, chopsticks, fluorescent tape/flags, ziplock bags)=\$500; Wrist Garmin GPS units (2x \$180)=\$360. **Total=\$2,545.**

**Administrative fee (UOG and GDOA)** 15% of direct costs is charged by the Research Corporation of the University of Guam for services provided.

## **2. Little Fire Ant Management**

Please see next page.

## GRANT NARRATIVE FORMAT

1. S&PF PROGRAM – Forest Health Protection
2. STATE AGENCY NAME – University of Guam, College of Applied and Natural Science
3. PROJECT COORDINATOR(S) –

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4. STATEMENT OF NEED/PURPOSE –

Little fire ant (LFA), *Wasmannia auropunctata*, was detected in November 2011 in Yigo, a northern village of Guam, at the side of a green waste repository. Subsequent LFA surveys throughout Guam found it to be established at over 35 widely dispersed sites. Previous LFA infestations in the Pacific Basin include those of the five major islands of Hawaii, New Caledonia, French Polynesia and Northern Queensland, Australia. The devastating effects of LFA on agriculture and forest ecosystems observed in these other Pacific jurisdictions are being repeated on Guam and may potentially occur on other Micronesian islands that are LFA-free. LFA's spread into and throughout Guam is due to human transport of plant related material.

The Guam Invasive Species Management Plan identifies LFA as a Priority Invasive Species (p.15) and recommendations “to seek grants and other mechanisms to provide the LFA working group with the needed tools and resources to continue control efforts and subsequently the eventual eradication of the LFA from Guam” (p.35). Additionally, the Regional Biosecurity Plan for Micronesia and Hawaii (Attachment L, Guam, p.L-12) recommends to “Improve Post Border Biosecurity” through the high priority action item to “Determine the extent of Little Fire Ant infestation and then to manage and/or eradicate this species”.

The Forestry Division of Guam Department of Agriculture (GDOAG) maintains a 70-acre conservation acacia forest in Santa Rita, a southern village of Guam. The Cotal Conservation

Forest is a long-term reforestation project to return nutrients to the soil, replace acacia trees with native flora such as the endangered *Serianthes nelsonii* and ultimately reintroduce native fauna such as the endangered Guam Rail. The forest is directly bordered on the west by residential homes. Further southwest is Department of Defense (DoD) land on which the US Naval Base Guam Munitions site is situated. LFA was identified in the residential neighborhood in 2015 and subsequent surveys by the University of Guam (UOG) showed rampant spread throughout the area. The Center for Environmental Management of Military Lands also conducted surveys in 2017 to delineate LFA infestation at the Naval Base Guam Munitions site. LFA were found at 22 sites along the northeast border of the munitions site. A preliminary survey by the DOAG-Biosecurity division in 2017 identified LFA at 33 points along walking trails throughout the forest and along the residential border. Although unconfirmed, LFA infestation is believed to have originated in the residential area from contaminated backfill soil. UOG personnel, lead Dr. Ross Miller, began survey and treatment in 6/2018 and ended in 2/2019 after depletion of funds, Figure 1. DOAG resumed treatment of ~25-acre infestation in 6/2019 as funds and personnel were made available. Survey results from 2/2020 (Figure 2) show significant progress towards eradication, yet a substantial amount of area still infested despite approximately two years of treatment.

This project proposes to continue LFA eradication efforts in the conservation forest and establish and maintain a perimeter/buffer zone to prevent further infestation from surrounding areas. Currently, an ongoing joint effort by DOAG (funded by Department of the Interior) and UOG (funded by DoD) is working to eradicate LFA in the forest. Techniques developed by the University of Hawaii-Hilo Ant Lab, that have proven effective on Hawaii, are transferrable to Guam. We utilize these methods and adapted them for aerial drone use. Application drones allow for faster and safer treatment of technically challenging areas such the forest canopy or dense impassable jungle. Significant resources have been invested in reclamation of this forest and LFA infestation compromises future restoration work. If successful, the eradication will also reduce the threat of DoD land infestation posed by LFA in the neighboring forest.

This project addresses Guam State-Wide Assessment and Resource Strategy (SWARS), Strategy 2: Protect, Conserve and Restore Forests On State, Private, And Other Nonmilitary Lands (p.103). The eradication of LFA will increase forest resiliency and conservation can be achieved by “reduce(ing) stressors to existing forest through enhancement of current stands” (p.108)



Figure 1. Initial delineation of LFA in the Cotal Conservation Forest section south of Cross Island Rd. on 6/2018. Red dots denote presence of LFA and green dots denote no LFA. Broken line represents buffer area proposed in objective 2. Data collected by UOG, edited by G.Dulla.

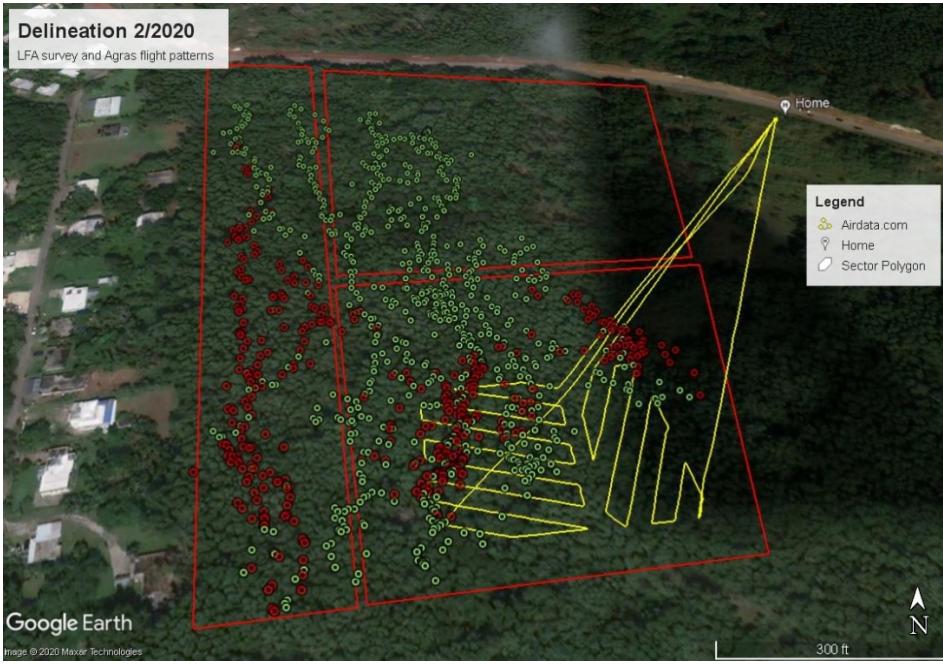


Figure 1. Delineation of LFA in the Cotal Conservation Forest section south of Cross Island Rd. on 2/2020. Red dots denote presence of LFA and green dots denote no LFA. Yellow lines are examples of aerial treatment patterns utilizing drone dispersal. Data collected by DOAG.

## 5. GOALS –

The project's overall goal is to eradicate LFA from the 70-acre conservation forest in Santa Rita, Guam and develop the capacity within DOAG to treat LFA on a large scale with limited

personnel. This is an ongoing project to eradicate LFA from the Cotal conservation forest with working objectives to clear all LFA in the forest and prevent re-infestation through a monitored buffer and/or eradicate LFA from the adjoining residences. It is anticipated that the Biosecurity and Forestry Divisions will maintain these after the end of the funding period.

## 6. OBJECTIVES –

The following objectives address Guam SWARS, Strategy 2: Protect, Conserve and Restore Forests On State, Private, And Other Nonmilitary Lands (p.103). The eradication of LFA will increase forest resiliency (p.108) and conservation can be achieved by “reduce(ing) stressors to existing forest through enhancement of current stands.”

1. Eradication of LFA from forest
2. Establish buffer zone around forest to prevent re-infestation
3. Provide training workshops and direct assistance for neighboring residents on LFA recognition, prevention of infestations, and treatment of LFA.  
-This objective addresses the “Next Step and action: Identify a short list of likely landowners that would be willing to participate in a forest protection program”. (Guam SWARS, p.111)

## 7. SPECIFIC ACTIVITIES –

1. Eradication of LFA from forest

Eradication of LFA from the forest requires a combination of surveying and pesticide treatment. Current routines will be maintained for the extension of this management project which includes monthly surveillance of targeted infested areas and quarterly surveillance of the ~25-acre portion of forest initially delineated and infested with LFA. Manual treatment with Tango (liquid pesticide-bait mixture@ up to 4-gallons/acre) and Siesta/Probait (granular pesticide@ 1.5lb/acre) is focused on the 6.5-acre section bordering the residential homes. Drone pesticide dispersal (granules @1.5lbs/acre: Amdro, MaxForce, Advion, Extinguish/ liquid: Tango) is utilized on the southeastern 7.2-acre sector where terrain make treatment difficult and dangerous. Treatment of the 7.9-acre northeast sector will cease on 7/2020 but continued surveying will occur quarterly.

- Progress will be measured in the reduction of LFA infested survey points or area throughout the project period.

2. Establish buffer zone around forest to prevent re-infestation

The border between the forest and the residential neighborhood is currently heavily infested with LFA. Treatment is ongoing with slow but significant progress. Once this 6.5-acre area is cleared

of LFA, regular monthly surveying will continue along a 380m long by 5m wide path along the border. Treatment will be done as needed.

- Progress will be measured in the reduction of LFA infested survey points or area throughout the project period and regularity of monitoring along buffer.

3. Provide training workshops and direct assistance for neighboring residents on LFA recognition, prevention of infestations, and treatment of LFA.

To treat the root cause of the LFA infestation and potentially eliminate the perpetual need to survey and treat the buffer zone, this project proposes to teach the residents how to treat LFA on their property. Previous work has developed a residential workshop for LFA detection and treatment. There are 16 homes along the forest border and other willing residents will be offered the workshop. DOAG will provide treatment supplies, manage treatment schedules and regularly follow-up with resident households to ensure completion. Surveys will be completed by DOAG personnel.

- Success will be measured in the reduction of positive LFA survey points or area throughout the project period and regularity of resident treatment.

All activities above will be led by Ashley Toves, the current Research Associate I/field supervisor. Treatment, surveys and outreach are performed by the project coordinator, field supervisor and one or two field technicians. Grant administration and funds management will be managed by the Research Corporation of the University of Guam (RCUOG). RCUOG policies align with CRF 200 procurement services regarding micro purchase, small purchase, and competitive bidding thresholds.

#### 8. KEY PERSONNEL –

Ashley Toves, Research Associate I, hired 6/2019.

- B.S. in Biology, Guam EPA Core pesticide certification and FAA small UAS Remote Pilot Certificate

- Current duties include fieldwork (treatment and surveys) supervision, data management, supplies procurement, human resources, website editing and drone operation.

Trevor Boykin, Research Assistant III, hired 11/2019.

- College coursework in Life Sciences, Guam EPA Core pesticide certification

- Current duties include fieldwork (treatment and surveys), outreach and education, and drone operation under supervision of licensed pilots.

#### 9. RESPONSIBILITIES – No special responsibilities are required of the Forest Service or others.

#### 10. MONITORING & EVALUATION –

The project coordinator is responsible for monitoring and reporting project activity. Daily activity progress will be tracked in weekly activity reports by paid employees and reviewed by project coordinator. Quarterly survey results will be mapped and the reduction of LFA positive points and infested area will be quantified. Bi-annual reports, including objective progress narratives and standard federal financial forms, will be provided to USDA-FS 30 days after reporting period.

Consultation and project review by DOAG-Forestry and USDA-FS will determine if goals and Guam SWARS #2 is adequately addressed.

## 11. BUDGET –

### PROGRAM:

Budget Items by <b>SF 424A Object Class Categories</b>	<b>Federal</b> \$	<b>State Match</b> \$	<b>Other Match</b> \$	<b>Source of “Other Match”</b>
<b>a. Personnel</b>				
<i>Research Associate I, fulltime @\$20.34/hour, 2080hrs</i>	\$42,307	\$0		
<i>Research Assistant III, fulltime or 2x halftime @ \$14.75/hour, 2080hrs</i>	\$30,680	\$0		
<b>b. Fringe Benefits</b>				
<i>Social Security and Medicare (7.65% of Salaries)</i>	\$5,584	\$0		
<b>c. Travel</b>				
<i>none</i>	\$0	\$0		
<b>d. Equipment</b>				
<i>none</i>	\$0	\$0		
<b>e. Supplies</b>				
<i>Fuel, ~7,488miles, work truck 20MPG, \$4.50/gallon</i>	\$1,685	\$0		
<i>Survey Supplies; peanut butter, chopsticks, fluorescent tape/flags, ziplock bags</i>	\$500	\$0		
<i>Wrist Garmin GPS units (2x \$180)</i>	\$360	\$0		
<b>f. Contractual</b>				
<i>none</i>	\$0	\$0		
<b>g. Construction</b>				
<i>none</i>	\$0	\$0		
<b>h. Other</b>				
<i>RCUOG Admin fee(15% of total, \$81,116)</i>	\$12,168	\$0		

Budget Items by <b>SF 424A Object Class Categories</b>	<b>Federal</b> \$	<b>State Match</b> \$	<b>Other Match</b> \$	<b>Source of “Other Match”</b>
<b>i. Total Direct Charges</b> (sum of a-h)	\$93,284	\$0		
<b>j. Indirect Charges</b>	\$0	\$0		
<b>k. Totals (i + j)</b>	\$93,284	\$0		
<b>l. Program Income</b>	\$0	\$0		

### **3. Coconut Rhinoceros Beetle Biological Control**

Please see next page.

If you want to use active hyperlinks in the attached document, please download from

<https://github.com/aubreymoore/2020-FS-CRB-biocontrol-project/blob/master/combined-proposal.pdf>

Grant Proposal: USDA Forest Service FY2020

# **Establishment of Self-sustaining Biological Control of Coconut Rhinoceros Beetle Biotype G**

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May 5, 2020

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# 1. Project Description

This grant proposal is a request for funds to extend employment of an insect pathologist, Dr. James Grasela, for one year to work on the Guam CRB Biological Control Project, a multiyear project partially funded by USDA-APHIS and Department of the Interior Office of Insular Affairs.

The specialized knowledge and skills of an insect pathologist are essential to success of this project. Dr. Grasela has identified two biological control agent candidates for CRB-G (both are isolated of *Oryctes* nudivirus (OrNV)). If funded, the proposed grant will support the insect pathologist's participation in the following activities.

**Objective 1: Survey to Determine Background OrNV Incidence** A pre-release survey will be performed to measure the incidence of OrNV in the Guam CRB-G population using PCR.

**Objective 2: Establish Sustainable CRB-G Biocontrol by Autodissemination of OrNV** OrNV isolates which have been identified as biological control agents will be propagated *in vivo* and introduced into the Guam CRB-G population via auto-dissemination under conditions specified by an existing USDA-APHIS permit.

**Objective 3: Establish Island-wide Monitoring Systems for CRB and Coconut Palm Health** Incidence of OrNV infection in beetles caught by pheromone traps will be monitored using PCR.

## 1.1. Background

**The coconut rhinoceros beetle, *Oryctes rhinoceros*, is a major pest of coconut palm, oil palm and other palm species.** Palms are damaged when adult beetles bore into the crowns of palms to feed on sap. Tree mortality occurs when beetles destroy the growing tip (meristem). Immature beetles (grubs) do no damage. They feed on dead, decaying vegetation in breeding sites. Preferred breeding sites are dead, standing coconut stems, and piles of decaying vegetation such those left behind by typhoons or after replanting of oil palm plantations. If a CRB population outbreak is not suppressed, it is possible for initiation of a positive feed-back cycle where adult beetles kill massive numbers of palms, thereby generating more food for even more grubs which turn into adults which kill even more palms. An outbreak following this scenario occurred in the Palau Islands during the late 1940s resulting in about 50% coconut palms being killed by CRB throughout the archipelago and 100% mortality on some of the smaller islands (Gressitt 1953). A similar outbreak, initiated by Typhoon Dolphin (2015), is currently impacting Guam.

**Following 40 years of no geographical range expansion, CRB is again “on the move” in the Pacific.** CRB was recently detected for the first time at several Pacific Island locations including Saipan (2006), Guam (2007), Port Moresby, Papua New Guinea (2010), Oahu, Hawaii (2013), Honiara, Solomon Islands (2015), Rota, CNMI (2017), and Aguiguan, CNMI (2019).

Eradication of CRB has been attempted many times but is extremely difficult, having been achieved only once, on Niuatoputapu (formerly known as Keppel Island), a tiny island belonging to Tonga, with an area of only 16 km<sup>2</sup> (3% the area of Guam) (Catley 1969).

Failing eradication, the usual response to CRB infestations during the second half of the 20th century was introduction of *Oryctes* nudivirus (OrNV), the biological control agent of choice for this pest Jackson 2009 . OrNV attacks only CRB, typically reducing damage by up to 90% with population suppression lasting indefinitely (Bedford 2013). OrNV is auto-disseminated, meaning the pathogen is carried between feeding and breeding sites by CRB adults. Like many biocontrol agents, OrNV is density-dependent, working best at high population densities. After release, OrNV sustains itself within the CRB population, limiting damage to very low levels (See Appendix A.1: Self-sustaining biological control of CRB in Fiji using OrNV).

**Current invasions of Pacific Islands by CRB involve a new invasive biotype that has escaped from biological control by OrNV.** Discovery of OrNV nudivirus in the 1960s enabled the successful management of CRB populations in Pacific Island Countries (Huger 2005). Augmentative release of OrNV continues to be an important mechanism for CRB management in both coconut and oil palm growing regions. For about 40 years after adoption of this biocontrol strategy, no new outbreaks of CRB were reported from uninfested palm growing islands in the Pacific ensuring continuity of palm based village economies. However, the situation has recently changed. For the first time in 40 years, CRB invasion into completely new areas has been reported. Additionally, Pacific areas with established CRB populations (e.g. Palau) have reported increased severity and frequency of CRB damage. Common to all these areas is the high incidence of severe palm damage by beetles not seen since the introduction of OrNV.

Initial attempts to introduce OrNV into the Guam CRB population were unexpectedly unsuccessful, raising the possibility that the population that invaded Guam is tolerant or resistant to the commonly applied OrNV isolates. Subsequent DNA analysis showed that the Guam population is genetically different from other populations in the region. On the basis of distinct genetics and tolerance to currently available OrNV isolates, the Guam population has been designated a new biotype, CRB-Guam (CRB-G) (Sean David Goldie Marshall et al. 2015; Sean D. G. Marshall et al. 2017).

DNA analysis from an ongoing survey has detected the CRB-G biotype in Guam, Rota, Hawaii, Palau, Port Moresby (PNG) and Honiara (Solomon Islands). Thus, current invasions in the Pacific involve the CRB-Guam biotype and it is expected that these populations are tolerant isolates of OrNV previously used as biocontrol agents. However, Recent work has identified OrNV isolates which are new biocontrol candidates for CRB-G. (See the **Recent progress** section below [1.1].)

**Uncontrolled CRB-G outbreaks on islands may kill most palms within a few years and risk of accidental spread to other islands is high.** A worse case scenario for a CRB infestation may be triggered by a massive outbreak of adult CRB emerging from abundant breeding sites made by large amounts of decaying vegetation left in the wake of a typhoon, from large scale land clearing or large environmental destruction during a war. The current uncontrolled outbreak on Guam was initiated by Typhoon Dolphin which visited Guam in May, 2015. Massive amounts of decaying vegetation left in the wake of this storm provided abundant CRB breeding sites. Very high feeding activity by adults emerging from these breeding sites killed mature coconut palms, leaving standing dead coconut trunks which became ideal breeding sites for subsequent generations of beetles.

During a severe CRB outbreak, there will be an increased risk of further spread to uninfested islands throughout the Pacific. Palms are important on Pacific Islands for various reasons: as a cash crop for nuts, oil and lumber, as an ornamental tree appreciated by residents and tourists. On some of the smaller, more traditional islands, coconut palm is referred to as *the tree of life*. On these islands, this species is an essential natural resource providing income, housing, food, oil, soap, clothing, mats, baskets, and other containers. The smaller, poorer Pacific islands will suffer the most if spread of CRB-Guam cannot be controlled. If CRB-G infests islands and atolls where the coconut palm as the *tree of life*, islanders may have to migrate to larger population centers.

**Recommended response to CRB-G invasions.** Entomologists working on the CRB-G problem agree that the most feasible way to prevent massive palm mortality during outbreaks is establishment of biological control using an isolate of OrNV which is highly pathogenic to CRB-G (Jackson 2015; Vaqalo et al. 2015; Secretariat of the Pacific Community 2017).

The concensus among Pacific-based entomologists is that the most feasable way to stop massive palm mortality during CRB-G outbreaks is to find a find and release a have met several times to plan a response to CRB-G invasions. In a special meeting on CRB-G at the XXVth International Congress of Entomology , the following strategic plan was suggested:

A coordinated regional project should be organized and adequately staffed and funded to accomplish 3 objectives:

1. Survey CRB populations throughout the Asian/Pacific region to delimit the geographical distribution of CRB-G and identify its centre of origin.

2. Survey CRB-G populations from the centre of origin to find isolate(s) of OrNV (or other pathogens) that are highly pathogenic for the CRB-G biotype.
3. Implement *in vivo* or *in vitro* propagation of selected OrNV isolates for auto-dissemination on islands infested with CRB-G.

The CRB-G problem is not limited to American-affiliated islands. Attempts to find financial support for a well-coordinated Pacific-wide response to this problem have failed. However, there is an *ad hoc* international community of entomologists, the *CRB-G Action Group*, which meets annually (Table 1.1).

Table 1: Meetings of the CRB-G Action Group

2015	Pacific Entomology Conference, Honolulu, HI, USA
2016	International Congress of Entomology, Orlando, USA
2017	Japanese Society for Insect Pathology, Tokyo, Japan
2018	Society for Invertebrate Pathology, Gold Coast, Australia
2019	XIX International Plant Protection Congress, Hyderabad, India
2020	(tentative): Pacific Plant Protection Organization, Guam

**Recent progress.** Recent work at the University of Guam, supported by grants from DOI-OIA and USDA-APHIS, has produced encouraging results (See Appendix B: *DOI-OIA Grant D17AP00107 Progress Report 4* for details):

- Laboratory tests indicate that OrNV from two sources can be considered as potential biocontrol agents CRB-G: OrNV isolate V23B maintained in insect tissue culture by AgResearch New Zealand and OrNV isolate UOGTW from bodies of CRB collected in Taiwan by the University of Guam CRB-G Biocontrol Project. Further laboratory testing of these virus samples is underway.
- PCR tests of recently collected CRB-G adults on Guam indicate presence of OrNV in this population. This virus could be from OrNV autodissemination earlier in the Guam CRB project or from fortuitous introduction.

## 1.2. Statement of Need

This proposal is, in part, a response to an urgent need expressed by Micronesian Island leaders in the 22nd Micronesian Islands Forum Communique (Appendix C):

In recognition of the urgent need for a Pacific-wide project to find an effective biological control agent for the CRB, the Leaders instructed RISC to seek financial support for such a project, to be conducted with partners at the University of Guam, the Secretariat of the Pacific Community, New Zealand, the USDA and others, as appropriate.

In addition to loss of coconut as ornamental plants and an emergency food supply, the uncontrolled CRB-G outbreak on Guam is a major environmental disaster rivaling that caused by the brown treesnake (BTS). BTS killed the birds in Guam's forests. CRB-G is now killing the trees. A 2002 US Forest Survey reported that the three most populous trees in Guam's forests were *Cycas micronesica*, *Cocos nucifera* and *Heterospathe elata* accounting for 16%, 12% and 11% of total trees with a stem diameter of five inches or greater (Moore 2018). These three species, 39% of the trees in Guam's forests, are being attacked by CRB-G<sup>1</sup>. If the Guam CRB-G outbreak is not brought under control, the island's forest health will continue to decline, accidental export of CRB-G to other islands in the American Pacific (in addition to Oahu and Rota) will be inevitable and cascading impacts from loss of forests will cause damage to other systems (erosion leading to reef fouling for example).

Despite the severity of the Guam CRB-G problem, the US federal government has provided relatively little support for response efforts. USDA-APHIS granted Plant Protection Act funding for CRB-G work in Hawaii and Guam for several years (Moore 2020). However, a grant proposal requesting \$331,4904 for Guam from FY2020 PPA funding was unexpectedly rejected. A proposal requesting support (\$3.5M) for a cooperative CRB biocontrol project to be performed by North Carolina State University, Colorado State University and the University of Guam has been submitted to DOD's Strategic Environmental Research and Development Program (SERDP). If granted, this SERDP project will begin in the middle of 2021. Thus, **work towards mitigating the CRB-G problem on Guam during FY2020 is currently unfunded**.

The immediate challenge is to procure bridge funding to retain the Guam Biological Control Project's insect pathologist, Dr. James Grasela, for an additional year so that we can begin propagation and field release of the two OrNV biological control candidates he has identified. Specialized skills of an insect pathologist are essential to implementing successful biological control of CRB-G on Guam and elsewhere.

### 1.3. Goals and Objectives

Recent laboratory bioassays indicate that two recently tested OrNV isolates, V23B and UOGT are potential biocontrol candidates. There is also recent evidence indicating that there is already OrNV actively spreading within the Guam CRB-G population.

#### 1.3.1. Objective 1: Survey to Determine Background OrNV Incidence

CRB adults collected from breeding sites and pheromone traps throughout Guam will be tested for presence of OrNV using PCR. Laboratory bioassays will be performed on OrNV isolated from these beetles to evaluate potential for biological control.

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<sup>1</sup>Attack of *Cycas micronesica* by CRB-G adults is a recent discovery.

### **1.3.2. Objective 2: Establish Sustainable CRB-G Biocontrol by Autodissemination of OrNV**

OrNV biocontrol candidates will be propagated *in vivo* using established methods (Huger 2005) and released into the Guam CRB-G population by autodissemination. Autodissemination involves infecting healthy CRB adults with OrNV. These infected beetles are then released at points dispersed throughout the island where they vector disease to conspecifics. A permit for field release of OrNV on Guam has already been obtained from USDA-APHIS. Field releases on CEMML on DOD land and by UOG on the rest of Guam. All released beetles will be marked by etching unique numbers on their elytra using a computer-controlled laser engraving system already in use for this application at UOG.

Beetles for *in vivo* propagation and autodissemination will be field-collected from breeding sites and pheromone traps because this is far more efficient than rearing beetles in the lab at the current time. Impact of virus releases will be monitored using pheromone traps and a novel roadside video analysis system (see Subsection 1.3.3). A subset of beetles captured in traps will be used to estimate the virus infection rate. Concurrent with virus releases, we will continue to screen OrNV isolates to find candidate biocontrol agents.

### **1.3.3. Objective 3: Establish Island-wide Monitoring Systems for CRB and Coconut Palm Health**

The CRB-G outbreak on Guam is currently unmonitored on an island-wide basis. An island-wide pheromone trapping system, using about 1500 traps, was operated by the University of Guam from 2008 to 2014. This monitoring system was transferred to the Guam Department of Agriculture which abandoned the effort at the end of February, 2016. Currently, many coconut palms are being killed by CRB-G. But, in the absence of a monitoring system, we do not have an estimate of tree mortality or whether or not the damage is increasing or decreasing. Clearly, establishment of a monitoring system is necessary to evaluate success of the proposed biocontrol project, or any other mitigation efforts. We intend to re-establish island-wide trapping and to establish a sustainable roadside video survey which uses artificial intelligence to detect CRB damage in dash-cam videos.

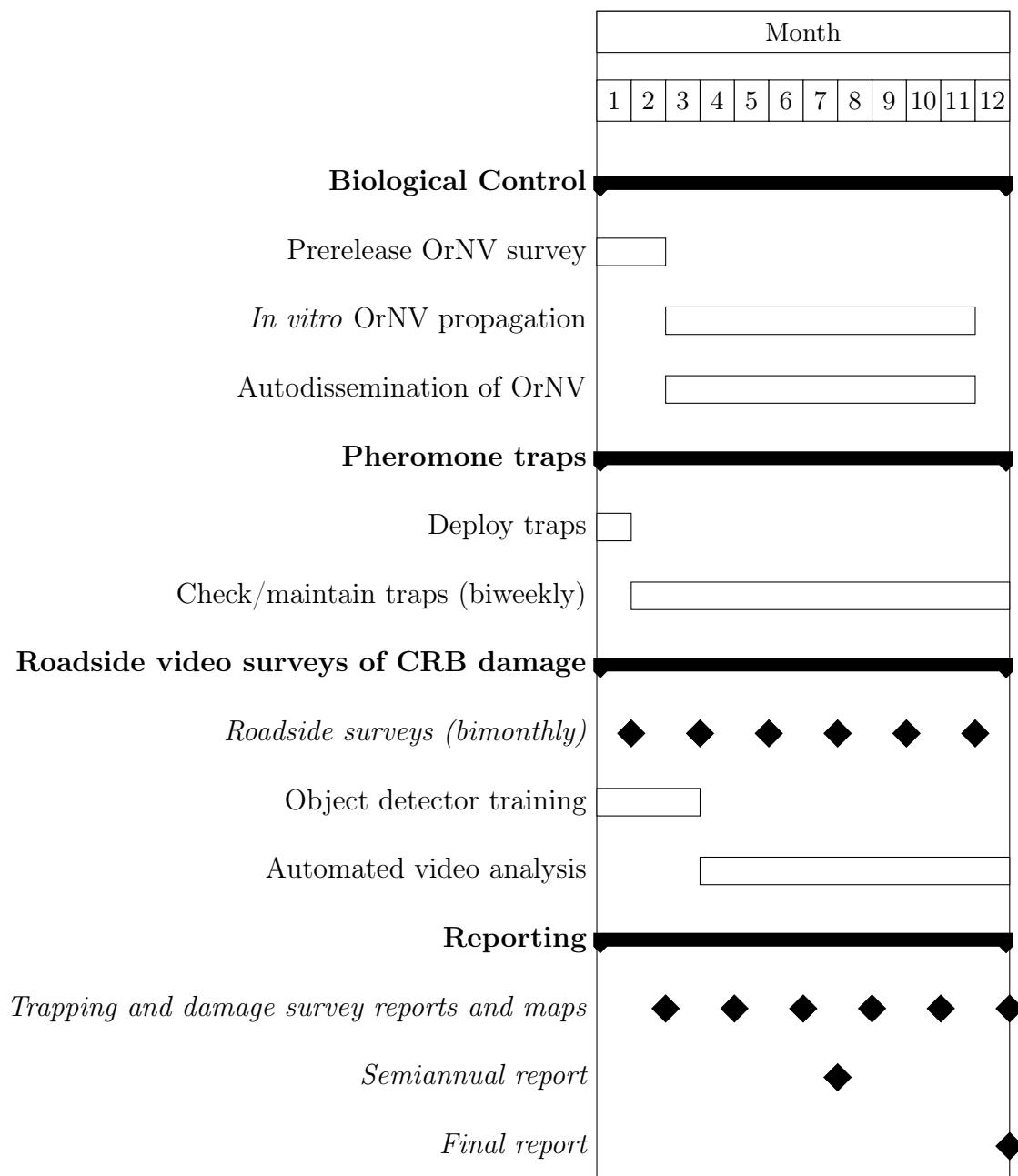
**Pheromone Traps** We plan to installed 150 CRB pheromone monitoring traps. These will be baited with oryzcalure and serviced semimonthly. These traps catch approximately equal numbers of males and females which remain alive in the traps for several weeks. Collected beetles will be used for autodissemination of virus and a subsample will be used for virus detection. Traps will be deployed at least 3 months prior to initiation of autodissemination.

A web database already exists for Guam CRB trap data and it is available for use by this project (URL: [mysql.guaminsects.net](http://mysql.guaminsects.net); database: **oryctes**; user: **readonlyguest**; password: **readonlypassword**; main tables: **trap** (2,265 records) and **trap\_visit** (89,114 records)).

**Roadside Surveys** Damage symptoms such as v-shaped cuts to fronds, bore holes, and dead standing coconut palm stems are readily observed during roadside surveys. Survey data will be collected on a smart-phone dash-cam app which georeferences each image. Initially, images of coconut palm damage by CRB-G will be detected, classified and tagged by a technician. When a large number of images have been tagged, these will be used to train an object detector. This work will result in a fully automated CRB damage detection and monitoring system which generates detection alerts and damage maps. This automated system will be useful as an early detection device for CRB. Roadside surveys on Guam will be performed bimonthly and the system will also be tested on Tinian, an island just north of Guam on which CRB has never been detected.

The envisioned system has already been successfully prototyped (See Supporting Technical Data [2](#)). A custom object detector for CRB damage has been trained using the TensorFlow implementation of the Faster R-CNN Deep Learning model (Moore, unpublished).

## 1.4. Timeline



## 1.5. Potential Benefits

- This project will directly benefit Guam. Without implementation of effective biological control, it is likely that 50% or more coconut palms will be killed by CRB-G. In addition to loss of coconut as ornamental plants and an emergency food supply, the uncontrolled CRB-G outbreak on Guam is a major environmental disaster with 39% the trees in Guam's forests are at risk of attack by CRB-G. If the outbreak is not brought under control, the island's forest health will continue to decline, accidental export of CRB-G to other islands in the American Pacific (in addition to Oahu and Rota) will be inevitable and cascading impacts from loss of forests will cause damage to other systems (erosion leading to reef fouling for example).
- This project will indirectly benefit all other islands in Micronesia and other areas of the Pacific. With very high populations of CRB-G in Gaum risk of accidental introduction to other islands is extremely high. CRB-G has already been intercepted twice on Saipan, it is established on Rota and it has been detected on Aguiguan. If CRB-G infests smaller islands and atolls in the FSM and RMI where the coconut palm as the *tree of life*, islanders may have to migrate to larger population centers. If funded, the proposed project will be run under the *Open Science* concept. All data and analyses will be publicly shared on the internet in near-real time and samples of biocontrol candidates will be also be shared other Pacific islands battling CRB-G.
- It is expected that establishment of OrNV as an effective biological control agent will provide permanent self-sustaining population suppression of CRB-G. This is in contrast to temporary results from invasive species control programs which rely on population suppression using pesticide application, trapping or physical removal.
- Technology developed for automated roadside video surveys may be used for early detection of CRB on islands on which this pest has not yet been detected. Roadside videos may be of use for monitoring spread of invasive weeds such as Mexican creeper, *Antigonon leptopus*.

## 2. Budget

Item	Cost
Personnel	\$66,200
Benefits	\$14,720
Travel	\$4,000
<b>SUBTOTAL</b>	<b>\$84,920</b>
Administrative fee	\$12,738
<b>TOTAL</b>	<b>\$97,658</b>

**Personnel** includes salary for an insect pathologist (Dr. James Grasela, 1 FTE, **\$64,000**).

Also includes PI's salary compensation calculated at  $(0.02\text{FTE} * \$110,000) = \$2,200$ .

**Total=\$66,200.**

**Benefits** benefits calculated at  $23\% * \$64,000 = \$15,226$ .

**Travel** includes airfare and other relocation expenses for Dr. Grasela who resides in Missouri.

**Administrative fee** 15% of direct costs is charged by the Research Corporation of the University of Guam for services provided.

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## A. Supporting Technical Data

### A.1. Self-sustaining Biological Control of CRB in Fiji Using OrNV

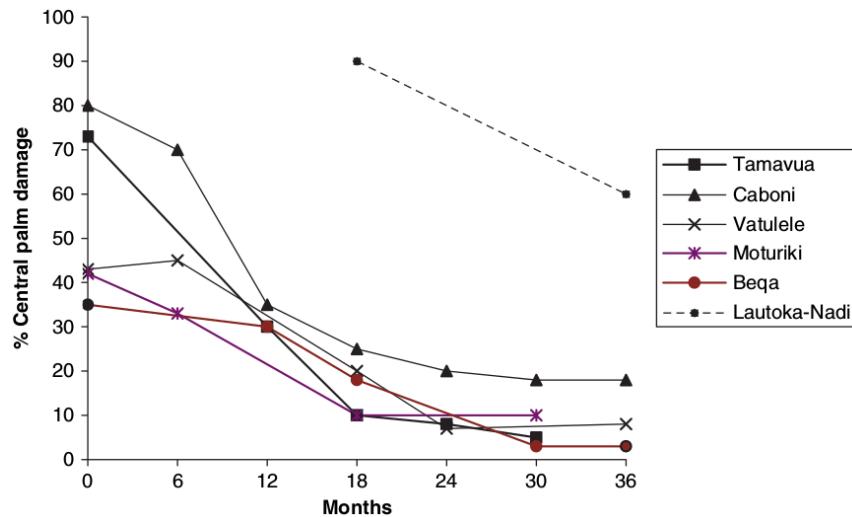


Figure 1: Reduction in coconut palm damage following release of *Oryctes rhinoceros* nudi-virus in Fiji. (Jackson 2009)

Reduction in palm damage recorded over 36 months after release of *Oryctes virus* on five sites in the Fiji Islands from 1970 to 1972. No virus was released in the Lautoka area where damage remained high 18 months after the start of the program but natural incidence of disease was recorded in the area after 36 months coinciding with a decline in visible damage. Population suppression of CRB by OrNV in Fiji was still in effect 35 years after virus introduction (Bedford 2013).

## A.2. Automated Monitoring of CRB Damage Using Roadside Video Surveys



Figure 2: Training an Object Detector to Locate Coconut Palms Damaged or Killed by Coconut Rhinoceros Beetle. <https://youtu.be/zzSorqcmt9U>.

Result of a first attempt to train an object detector (Faster R-CNN) to locate coconut trees killed or damaged by coconut rhinoceros beetle in a video. Dead palms are in red boxes, damaged palms are in green boxes. Not perfect, but it does serve as a proof of concept.

## **B. DOI-OIA Grant D17AP00107 Progress Report 4**

Please see next page.

DOI-OIA Grant D17AP00107

Progress Report 4

Performance Period: March 1, 2019 - February 29, 2020

# Coconut Rhinoceros Beetle Biological Control

Aubrey Moore, University of Guam

March 23, 2020

<https://github.com/aubreymoore/doi-CRB-biocontrol-project/blob/master/report4/report4.pdf>

## Abstract

**CRB Biological Control.** The primary objective of this project is to find an isolate of *Oryctes rhinoceros* nudivirus (OrNV) which can be used as an effective biological control agent for the CRB-G biotype of coconut rhinoceros beetle (CRB).

Laboratory tests indicate that OrNV from two sources can be considered as potential biocontrol agents CRB-G: OrNV isolate V23B maintained in insect tissue culture by AgResearch New Zealand and OrNV in bodies of CRB collected in Taiwan for the current project. Further laboratory testing of these virus samples is underway.

PCR tests of recently collected CRB-G adults on Guam indicate presence of OrNV in this population. This virus could be from OrNV autodissemination early in the current project or from fortuitous introduction.

**CRB Damage Survey.** A secondary objective of this project is to develop a CRB damage monitoring system.

A digital image analysis system has been developed to detect and quantify V-shaped cuts to fronds and coconut palm mortality caused by CRB. The heart of this system is an object detector, trained by deep learning technology, which locates CRB damage symptoms on frames from georeferenced roadside video surveys. This object detector can be used to automate detection, quantification and to map changes in CRB damage over time and space and can also be used for early detection of CRB invasion.

A working prototype of the system has been built.

**Regional Collaboration.** Uncontrolled outbreaks of CRB-G is a major problem for Pacific islands. Outbreaks of this highly invasive biotype are damaging and killing palms in Guam, Rota, Hawaii, Palau, Papua New Guinea, and the Solomon Islands. Without effective control of these outbreaks, the problem will spread to other Pacific islands, resulting in a human tragedy when it reaches atolls where islanders still rely on coconut palm as the *tree of life*.

Project resources, time and effort were used to facilitate communication among an *ad hoc* collaboration of entomologists working on the CRB-G problem throughout the Pacific. Project staff participated in a symposium and planning meeting of the CRB-G Action Group at the XIX International Plant Protection Congress in November 2019.

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# 1 Background

The major goal of this project was to find an effective biological control agent for coconut rhinoceros beetle biotype G (CRB-G).

Prior to arrival of CRB-G on Guam during 2007, coconut rhinoceros beetle infestations of Pacific islands were readily dealt with by classical biological control using *Oryctes* nudivirus (OrNV), a pathogen specific to rhinoceros beetles. Following a lack of response to release of OrNV on Guam, research showed that the Guam CRB population is a genetically distinct virus-resistant biotype which has become known as CRB-G (Marshall et al. 2017). This biotype is highly invasive and is causing massive damage to coconut and oil palms after recent invasion of Papua New Guinea and the Solomon Islands. CRB-G has also invaded Oahu and Rota. Eradication attempts have been launched on these two islands.

Additional goals for this project are to establish a CRB damage survey to evaluate efficacy of biocontrol and other tactics, and to maintain and facilitate collaboration with other Pacific island entomologists working to find solutions for CRB-G management.

## 2 Staffing

Staff for this project currently comprises of the PI, a post-doc and a technician.

- Funding from the Department of Interior, Office of Island Affairs was used to hire an insect pathologist for a 2 year term. Dr. James Grasela was recruited and started work at UOG on June 24, 2018.
- Funding from this USDA-APHIS project was used to hire Mr. Chris Cayanan as a technician. Mr. Cayanan was hired during December, 2019, as a replacement for Mr. Ian Iriarte who resigned to accept another job.

## 3 Biological Control

### 3.1 Laboratory Bioassays of OrNV Isolates

Four isolates of OrNV were evaluated as candidate biological control agents for CRB-G in a series of laboratory bioassays. Virus sample preparations came from Dr. Sean Marshall's lab at AgResearch New Zealand where they are maintained in insect cell culture.

**DUG42** Collected from Dumaguete, Negros Island, Philippines in 2017

**MALB** Collected from Malaysia, details not available.

**PNG** Collected from Rabaul, Papua New Guinea in 1988

**V23B** Collected from southern Luzon, Philippines in 1980

During laboratory bioassays, we dosed CRB-G adults with samples of the OrNV isolates, and observed mortality and changes in mass for one month. Each beetle was kept in isolation and individual records were stored in a laboratory information system developed for this application (Section 3.4.2).

Bioassay results, displayed in Table 1, indicate that one of the isolates, V23B, is pathogenic for CRB-G when doses are applied by placing droplets of virus suspension on mouthparts of adult beetles.

Table 1: *Oryctes rhinoceros* nudivirus (OrNV) bioassay results summary.

OrNV isolate	bioassay		method <sup>1</sup>	beetles	replicates	virus mortality ( <i>p</i> ) <sup>2</sup>	inactivated virus mortality ( <i>p</i> ) <sup>3</sup>
DUG42	DUG42 (Moore and J. J. Grasela 2019a)		injection	30	2	40% (0.65)	40% (0.65)
MALB	MALB (Moore and J. J. Grasela 2019b)		injection	30	2	50% (0.37)	0% (1.00)
	MALBperOS (Moore and J. J. Grasela 2019c)		per os	13	1	-60% (1.00)	20% (1.00)
PNG	PNG (Moore and J. J. Grasela 2019d)		injection	81	4	90% (0.00)	5% (1.00)
	PNGperOS (Moore and J. J. Grasela 2019e)		per os	21	1	0% (1.00)	0% (1.00)
V23B	V23B (Moore and J. J. Grasela 2019f)		injection	66	4	88% (0.00)	0% (1.00)
	V23BperOS (Moore and J. J. Grasela 2019h)		per os	32	2	80% (0.07)	20% (0.69)
	V23-large_bioassay (Moore and J. J. Grasela 2019g)		per os	53	1	42% (0.00)	-
	V23_perOSIN (Moore and J. J. Grasela 2019i)		per os	16	1	60% (0.06)	-

<sup>1</sup> Adult beetles were dosed either by direct injection of virus suspension into the haemocoel or by applying a droplet containing virus to mouthparts.

<sup>2</sup> Percent mortality in beetles treated with virus, adjusted for untreated control mortality; number in parentheses is the *p*-value resulting from a Fisher's exact test of significant difference between mortality of treated and untreated beetles.

<sup>3</sup> Percent mortality in beetles treated with heat inactivated virus, adjusted for untreated control mortality; number in parentheses is the *p*-value resulting from a Fisher's exact test of significant difference between mortality of treated and untreated beetles.

In a separate experiment, macerated guts from OrNV infected beetles from field collections of CRB in Taiwan were fed to CRB-G field-collected on Guam. PCR results indicated that the Taiwanese OrNV propagated in the Guam beetles (See Subsection 3.3).

We now have two isolates of OrNV which can be considered as candidate biocontrol agents for further testing: V23B and Taiwan.

## 3.2 Virus Transmission Experiment

This experiment was performed to determine if OrNV isolate V23B can be transmitted from a dosed CRB adult to an undosed CRB adult. If a virus is not contagious in the lab, it will probably have no potential as a biocontrol agent.

Unfortunately, the experiment failed due to very high mortality in the experimental control group and results are inconclusive. For details, see J. Grasela and Moore 2020. This experiment needs to be repeated using pathogen-free, laboratory-reared adults (See Section 3.4).

### **3.3 PCR Tests for OrNV Detection**

Previously, our laboratory relied on outside collaboration for molecular testing to determine presence of OrNV in CRB tissues. We recently acquired access to equipment and supplies which allow us to use PCR (polymerase chain reaction) techniques for OrNV detection. We tested five different primer pairs for detection of OrNV in reference samples from AgResearch New Zealand and were successful with all five. Identity of DNA fragments was confirmed as OrNV using a commercial DNA sequencing service.

Details of our PCR technique and initial series of tests can be found in J. J. Grasela and Moore [2020a](#), J. J. Grasela and Moore [2020b](#) and J. J. Grasela and Moore [2020c](#).

Results from PCR tests indicate:

- OrNV is present in the Guam adult CRB population: 18% (10 of 47) gut samples dissected from field collected CRB tested positive for OrNV.
- OrNV is present in the adult Taiwanese CRB population: 7% (5 of 67) gut samples dissected from field collected CRB tested positive for OrNV.
- OrNV from Taiwanese adult CRB propagates in Guam adult CRB: 12% (5 of 41) Guam CRB adults dosed with Taiwanese gut preparations tested positive.

## **3.4 CRB Rearing**

Experimental beetles were field-collected on Guam as prepupae, pupae or adults from breeding sites or as adults from pheromone traps. Each beetle was given a serial number and was reared individually in a Mason jar stored in one of three environmental chambers set for 30 degrees Celsius, 80% relative humidity and 12 hour photoperiod. Each adult beetle was fed weekly with a slice of banana. Detailed information on the CRB rearing facility can be found in a document prepared in support of a USDA-APHIS permit to allow importation of live CRB for laboratory bioassays (Moore 2019a).

### **3.4.1 Urgent Need for a Pathogen-free CRB Laboratory Colony**

To date, CRB used in bioassays and other laboratory experiments were field collected on Guam, rather than from reared in a laboratory colony. This was done because a very high population density of rhino beetles on Guam made field collection far more efficient in terms of time and resources than laboratory rearing. However, field collected beetles are often infected with pathogens, especially the fungus, *Anisopliae majus*, which was introduced on Guam as a classical biological control agent. Presence of these pathogens in experimental animals resulted in unpredictable and often high mortality rates in experimental control groups, leading to inconclusive results such as experienced with the recent virus transmission experiment (3.2).

Recent discovery of OrNV within the wild Guam rhino beetle population (3.3) now makes it mandatory to establish a sterile CRB-G laboratory colony to supply experimental animals.

### **3.4.2 Laboratory Information Management System**

We developed an online database which we use as a laboratory information management system for maintaining individual records for beetles in our rearing program (Moore 2019b). This system was developed using the [web2py python web framework](#) and it is available on the web at <http://aubreymoore.pythonanywhere.com/rearing3>.

### **3.4.3 Acquisition of a Virus-Susceptible CRB Biotype for Comparative Bioassays**

Since discovery of the CRB-G biotype on Guam (Marshall et al. 2017), we have been operating under the hypothesis that this biotype is significantly more tolerant (resistant) to pathogenic effects of OrNV isolates previously used as effective biocontrol agents for CRB invading Pacific Islands. It has also been hypothesized that CRB-G has different behavioral characteristics, such as a significantly reduced attraction to oryctalure. However, comparative laboratory bioassays have not been performed to test these hypotheses.

We applied for and have been granted a USDA-APHIS import permit for live CRB which will allow us to establish a laboratory colony of CRB from presumed non-virus-resistant populations (See ([Moore 2019a](#)) and ([USDA-APHIS 2019](#))). We have designed custom shipping containers to facilitate secure transport of live CRB ([Moore and Quitugua 2017](#)).

We plan to import CRB from American Samoa with assistance from our collaborator, Dr. Mark Schmaedick, American Samoa Community College. Plans for project staff to visit American Samoa in December 2019 failed because of travel restrictions prompted by a measles outbreak and in March 2020 because of travel restrictions prompted by the corona virus pandemic.

## 4 CRB Damage Survey

The objective of this component of the project was to develop an automated system to evaluate CRB damage by image analysis of roadside video surveys. We completed a *proof of concept* trial in which deep learning algorithms were used to train an object detector which locates and counts dead and CRB-damaged coconut palms in video streams. Visual results are presented in a YouTube post ([Moore 2019d](#)) and technical details are available in an Open Science Framework Project ([Moore 2019c](#)).

## 5 Regional Collaboration

An informal collaboration, the *CRB-G Action Group*, has been formed among Pacific-based entomologists working on the CRB-G problem. Participants from Guam, Hawaii, Palau, Papua New Guinea, Solomon Islands, Fiji, Malaysia, Japan and New Zealand have met several times and future meetings are planned ([Table 2](#)). This is an *ad hoc* group which has been organized by Dr. Trevor Jackson and Sean Marshall of AgResearch New Zealand. AgResearch is recognized as a global center for expertise on biological control of CRB. AgResearch scientists have worked on CRB in the south Pacific for several decades and they maintain a library of OrNV isolates in cell culture. The New Zealand government has recently committed several million dollars to aid response to CRB-G in the south Pacific islands. Although individual institutions working to find a solution to the CRB-G problem on American-affiliated islands in the northern Pacific have secured funding from multiple, short-term grants, attempts to secure funding to support a sustainable well-coordinated regional project have been unsuccessful.

Table 2: Meetings of the CRB-G Action Group

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2015 Pacific Entomology Conference, Honolulu, HI, USA
2016 International Congress of Entomology, Orlando, USA
2017 Japanese Society for Insect Pathology, Tokyo, Japan
2018 Society for Invertebrate Pathology, Gold Coast, Australia
2019 XIX International Plant Protection Congress, Hyderabad, India
2020 (tentative): Pacific Plant Protection Organization, Guam

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## 5.1 Participation in Scientific Meetings

Moore and Grasela participated at the XIX International Plant Protection Congress in a symposium entitled *The challenge of coconut rhinoceros beetle, Oryctes rhinoceros, to palm production and prospects for control in a changing world*. Moore made an oral presentation at this meeting (Moore, J. J. Grasela, and Marshall 2019). They also participated in a CRB-G Action Group meeting with colleagues from throughout the Pacific and Asia.

## 5.2 Development of Online Resources

Project resources were used to build and maintain the following:

- CRB Bibliography <https://github.com/aubreymoore/CRB-Bibliography>
- Interactive CRB Invasion History Map <https://aubreymoore.github.io/crbdist/mymap.html>
- CRB Wiki Site <https://guaminsects.net/CRBG>
- CRB-G Facebook Site <https://www.facebook.com/groups/crbg07>

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- Grasela, James J. and Aubrey Moore (2020b). *Technical Report: Polymerase Chain Reaction (PCR) Analysis of the Coconut Rhinoceros Beetle (CRB), Oryctes Rhinoceros. Part 2.* URL: <https://github.com/aubreymoore/FY19-PPA-Report-1/blob/master/pcrdata/PCR-Summary-Part-2.pdf>.
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## **C. Extracts from the 22nd Micronesian Islands Forum Joint Communique**

EDDIE BAZA CALVO  
GOVERNOR OF GUAM  
Chairman/Host

PETER CHRISTIAN  
PRESIDENT OF THE  
FEDERATED STATES OF MICRONESIA  
Member

TOMMY REMENGESAU, JR.  
PRESIDENT OF THE REPUBLIC OF PALAU  
Member

HILDA HEINE  
PRESIDENT OF THE REPUBLIC  
OF THE MARSHALL ISLANDS  
Member

RALPH D.L.G. TORRES  
GOVERNOR OF  
THE COMMONWEALTH OF THE  
NORTHERN MARIANA ISLANDS  
Member

JOHNSON ELIMO  
GOVERNOR OF CHUUK  
Member

LYNDON H. JACKSON  
GOVERNOR OF KOSRAE  
Member

MARCELO PETERSON  
GOVERNOR OF POHNPEI  
Member

JAMES YANGETMAI  
LT. GOVERNOR OF YAP  
Member

# 22nd Micronesian Islands Forum

MAY 01, 2017 ~ MAY 03, 2017 | GUAM

The Leaders called for the support of Micronesia Challenge Plus (MC+), which would fully integrate global and regional challenges, including Climate Change, Disaster Risk Management, and Invasive Species toward enhanced and holistic conservation measures and to move the 2020 commitments beyond 2020 and into the future. This will keep the MC in line with the revised and expanded UN Sustainable Development Goals.

## 2. Regional Invasive Species Council (RISC)

The Regional Invasive Species Council (RISC) reported on progress since the 21<sup>st</sup> MIF. RISC identified and discussed two major issues needing supporting action from the Leaders, and an additional two developments in the United States which needed to be brought to the attention of the Leaders. RISC also met with the MC SC to discuss ways that RISC can support the MC.

The first and most urgent issue is the need for regional coordination of invasive species activities in Micronesia, including implementation of the Regional Biosecurity Plan (RBP). RISC recommended the creation of a Regional Invasive Species Coordination Office to be staffed by a Regional Coordinator and to be housed in the Micronesia Center for a Sustainable Future (MCSF). The Regional Coordinator would be responsible to coordinate implementation of the RBP across the region, as well as to coordinate other activities and initiatives.

The second very urgent issue is the growing threat of the coconut rhinoceros beetle (CRB) to the region. CRB is devastating coconut trees in Guam, Palau, and other Pacific Islands. There is a high risk of their spread to other islands in Micronesia, and there is currently no effective control. A regional project is therefore urgently needed to develop an effective biological control for CRB.

RISC met with the MC SC to discuss ways that RISC can support the MC in their efforts to minimize the impacts of invasive species in terrestrial and marine conservation sites throughout the region as an integral component of effective conservation. Together, they identified the critical need to work together to establish baselines of invasive species in conservation sites. RISC will continue to assist the MC as they work to effectively conserve natural resources by protecting conservation sites from invasive species.

# 22nd Micronesian Islands Forum

MAY 01, 2017 ~ MAY 03, 2017 | GUAM

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GOVERNOR OF POHNPEI  
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JAMES YANGETMAI  
LT. GOVERNOR OF YAP  
Member

## ➤ Regional Coordination for Implementation of the Regional Biosecurity Plan (RBP)

The Leaders supported the establishment of a Regional Coordinator position in the to be established Regional Invasive Species Coordination Office housed in the MCSF. The Leaders committed themselves, through the MCSF, to provide initial funding support for the first two years to help ensure the effective implementation of the RBP.

## ➤ US Presidential Executive Order on Promoting Agriculture and Rural Prosperity in America

The Leaders signed a joint letter to the Secretary of the US Department of Agriculture (USDA) welcoming the Executive Order signed by President Donald Trump on April 25, 2017, listing accomplishments of the Micronesian Region in the battle against invasive species and outlining needs for further progress. The Leaders look forward to working closely with the USDA and the Interagency Task Force to promote rural agricultural opportunity, food security and rural prosperity in the islands of Micronesia.

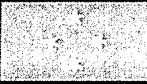
## ➤ Regional biocontrol project for Coconut Rhinoceros Beetle (CRB)

In recognition of the urgent need for a Pacific-wide project to find an effective biological control agent for the CRB, the Leaders instructed RISC to seek financial support for such a project, to be conducted with partners at the University of Guam, the Secretariat of the Pacific Community, New Zealand, the USDA and others, as appropriate.

## ➤ RISC/MC SC cooperation – capacity building for baseline surveys

The Leaders recognized the value of the collaboration between RISC and the MC SC and the progress they have made toward integrating invasive species prevention and management into effective conservation. The Leaders support the plan for RISC to work with the MC Measures Working Group to develop a capacity-building project to enable all jurisdictions to conduct baseline surveys for invasive plants, including development of a regional MC invasive species database for use in planning and decision-making. The Leaders expect that this will

  
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GOVERNOR OF KOSRAE  
Member

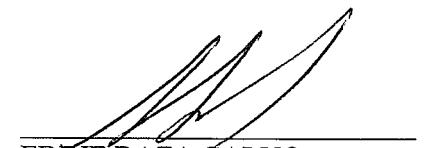
  
MARCELO PETERSON  
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LT. GOVERNOR OF YAP  
Member

# 22nd Micronesian Islands Forum

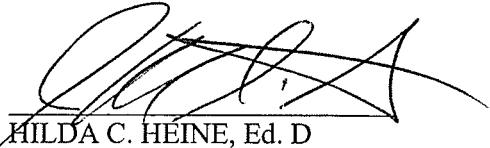
MAY 01, 2017 ~ MAY 03, 2017 | GUAM

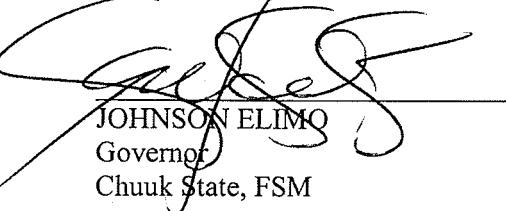
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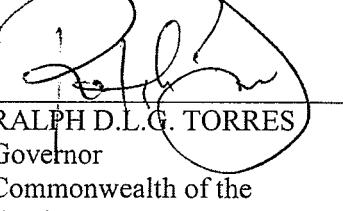
  
EDDIE BAZA CALVO  
Governor  
U. S. Territory of Guam

  
TOMMY E. REMENGESAU, JR.  
President  
Republic of Palau

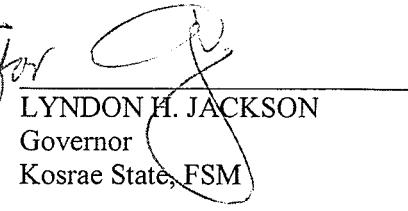
  
PETER M. CHRISTIAN  
President  
Federated States of Micronesia

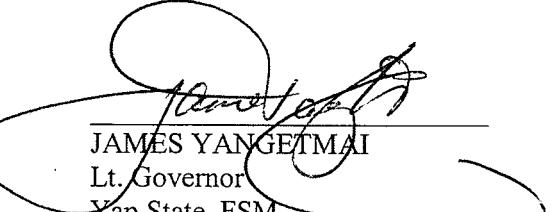
  
HILDA C. HEINE, Ed. D  
President  
By Minister John M. Silk  
Republic of the Marshall Islands

  
JOHNSON ELIMO  
Governor  
Chuuk State, FSM

  
RALPH D.L.G. TORRES  
Governor  
Commonwealth of the  
Northern Mariana Islands

  
MARCELO K. PETERSON  
Governor  
Pohnpei State, FSM

  
LYNDON H. JACKSON  
Governor  
Kosrae State, FSM

  
JAMES YANGETMAI  
Lt. Governor  
Yap State, FSM

## **A. Form SF-424**

Please see next page.

### Application for Federal Assistance SF-424

*1. Type of Submission:	*2. Type of Application:	* If Revision, select appropriate letter(s):  
<input type="checkbox"/> Preapplication	<input checked="" type="checkbox"/> New	
<input checked="" type="checkbox"/> Application	<input type="checkbox"/> Continuation	*Other (Specify): _____
<input type="checkbox"/> Changed/Corrected Application	<input type="checkbox"/> Revision	
* 3. Date Received:		4. Applicant Identifier:
5a. Federal Entity Identifier:		*5b. Federal Award Identifier:
<b>State Use Only:</b>		
6. Date Received by State:	7. State Application Identifier:	
<b>8. APPLICANT INFORMATION:</b>		
*a. Legal Name: <b>University of Guam College of Natural and Applied Sciences</b>		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 98-0032933		*c. Organizational DUNS: 7799081510000
<b>d. Address:</b>		
*Street 1: Agriculture and Life Sciences Building		
Street 2: 303 University Drive		
*City: Mangilao		
County/Parish: Guam		
*State: GU: Guam		
Province: _____		
*Country: USA: United States		
*Zip / Postal Code: 96923		
<b>e. Organizational Unit:</b>		
Department Name: College of Natural and Applied Sciences		Division Name:
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: Dr. *First Name: Aubrey		
Middle Name: _____		
*Last Name: Moore		
Suffix: _____		
Title: entomologist		
Organizational Affiliation: University f Guam		
*Telephone Number: 1-671-686-5664		Fax Number:
*Email: aubreymoore@guam.net		

**Application for Federal Assistance SF-424****9. Type of Applicant 1: Select Applicant Type:**

H: Public/State Controlled Institution of Higher Learning

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\*Other (Specify)

**\*10 Name of Federal Agency:**

USDA Forest Service

**11. Catalog of Federal Domestic Assistance Number:**

98-0032933

CFDA Title:

Forest Health Protection

**\*12 Funding Opportunity Number:**

\_\_\_\_\_

\*Title:

\_\_\_\_\_

**13. Competition Identification Number:**

Title:

\_\_\_\_\_

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Guam

**\*15. Descriptive Title of Applicant's Project:**

Control of Little Fire Ant (LFA) and Coconut Rhinoceros Beetle (CRB) on Guam

<b>Application for Federal Assistance SF-424</b>		
<b>16. Congressional Districts Of:</b>		
*a. Applicant:	*b. Program/Project:	
Attach an additional list of Program/Project Congressional Districts if needed.		
<b>17. Proposed Project:</b>		
*a. Start Date: <b>June 1, 2020</b>	*b. End Date: <b>May 30, 2021</b>	
<b>18. Estimated Funding (\$):</b>		
*a. Federal	\$191,523	
*b. Applicant	\$	
*c. State	\$	
*d. Local	\$	
*e. Other	\$	
*f. Program Income	\$	
*g. TOTAL	\$191,523	
<b>*19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>		
<input type="checkbox"/>	a. This application was made available to the State under the Executive Order 12372 Process for review on _____	
<input type="checkbox"/>	b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/>	c. Program is not covered by E.O. 12372.	
<b>*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>		
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach.		
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)</b>		
<input checked="" type="checkbox"/> ** I AGREE		
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.		
<b>Authorized Representative:</b>		
Prefix:	Dr. _____	*First Name: Lee _____
Middle Name:	Stanton_____	
*Last Name:	Yudin_____	
Suffix:		
*Title: Dean / Director		
*Telephone Number: 1-671-735-2000		Fax Number: 1-671-734-4600
* Email: lyudin@triton.uog.edu		

\*Signature of Authorized Representative:

\*Date Signed:

## **B. Form SF-424A**

Please see next page.

**BUDGET INFORMATION - Non-Construction Programs**

OMB Approval No. 0348-0044

**SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. Forest Health Protection	10-680	\$	\$	\$ 191,523	\$	\$
2.						
3.						
4.						
5. Totals		\$	\$	\$ 191,523	\$	\$

**SECTION B - BUDGET CATEGORIES**

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	\$	\$	\$ 139,187	\$	\$
b. Fringe Benefits			20,810		
c. Travel			4,000		
d. Equipment					
e. Supplies			2,545		
f. Contractual					
g. Construction					
h. Other			24,981		
i. Total Direct Charges (sum of 6a-6h)			191,523		
j. Indirect Charges			0		
k. TOTALS (sum of 6i-6j)	\$	\$	\$ 191,523	\$	\$
7. Program Income	\$	\$	\$	\$	\$

Previous Edition Usable

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Standard Form 424A (Rev. 7-97)  
Prescribed by OMB Circular A-102
**SECTION C - NON-FEDERAL RESOURCES**

(a) Grant Program		(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.		\$	\$	\$	\$
9.					
10.					
11.					
12. TOTAL (sum of lines 8-11)		\$	\$	\$	\$
<b>SECTION D - FORECASTED CASH NEEDS</b>					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	13. Federal	\$ 194,038	\$ 50,000	\$ 50,000	\$ 50,000
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$	\$	\$
<b>SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT</b>					
(a) Grant Program	FUTURE FUNDING PERIODS (Years)				
	(b) First	(c) Second	(d) Third	(e) Fourth	
16.	\$	\$	\$	\$	
17.					
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$	\$	\$	\$	
<b>SECTION F - OTHER BUDGET INFORMATION</b>					
21. Direct Charges: 191,523	22. Indirect Charges: 0				
23. Remarks:					

#### **INSTRUCTIONS FOR THE SF-424A**

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

#### **General Instructions**

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

#### **Section A. Budget Summary Lines 1-4 Columns (a) and (b)**

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not requiring* a functional or activity breakdown, enter on Line 1 under Column (a) the Catalog program title and the Catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the Catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the Catalog program title on each line in Column (a) and the respective Catalog number on each line in Column (b).

For applications pertaining to *multiple* programs where one or more programs *require* a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

#### **Lines 1-4, Columns (c) through (g)**

*For new applications*, leave Column (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

*For continuing grant program applications*, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

*For supplemental grants and changes* to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

**Line 5** - Show the totals for all columns used.

#### **Section B. Budget Categories**

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

**Line 6a-i** - Show the totals of Lines 6a to 6h in each column.

**Line 6j** - Show the amount of indirect cost.

**Line 6k** - Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same

as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

**Line 7 -** Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project

amount. Show under the program narrative statement the nature and source of income. The estimated amount of program income may be considered by the Federal grantor agency in determining the total amount of the grant.

## INSTRUCTIONS FOR THE SF-424A (continued)

### **Section C. Non-Federal Resources**

**Lines 8-11** - Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

**Column (a)** - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

**Column (b)** - Enter the contribution to be made by the applicant.

**Column (c)** - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

**Column (d)** - Enter the amount of cash and in-kind contributions to be made from all other sources.

**Column (e)** - Enter totals of Columns (b), (c), and (d).

**Line 12** - Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f), Section A.

### **Section D. Forecasted Cash Needs**

**Line 13** - Enter the amount of cash needed by quarter from the grantor agency during the first year.

**Line 14** - Enter the amount of cash from all other sources needed by quarter during the first year.

**Line 15** - Enter the totals of amounts on Lines 13 and 14.

### **Section E. Budget Estimates of Federal Funds Needed for Balance of the Project**

**Lines 16-19** - Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

**Line 20** - Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

### **Section F. Other Budget Information**

**Line 21** - Use this space to explain amounts for individual direct object class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

**Line 22** - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

**Line 23** - Provide any other explanations or comments deemed necessary.



## **C. Form AD-1047**

Please see next page.

## U.S. DEPARTMENT OF AGRICULTURE

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### **Certification Regarding Debarment, Suspension, and Other Responsibility Matters - Primary Covered Transactions**

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This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 7 CFR Part 3017, Section 3017.510, Participants' responsibilities. The regulations were published as Part IV of the January 30, 1989 Federal Register (pages 4722-4733). Copies of the regulations may be obtained by contacting the Department of Agriculture agency offering the proposed covered transaction.

#### **(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
  - (a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) have not within a three-year period preceding this proposal been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

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Organization Name

PR/Award Number or Project Name

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Name(s) and Title(s) of Authorized Representative(s)

---

Signature(s)

Date

### **Instructions for Certification**

1. By signing and submitting this form, the prospective primary participant is providing the certification set out on the reverse side in accordance with these instructions.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out on this form. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to whom this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this form that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this form that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

## **D. Form AD-1049**

Please see next page.

## U.S. DEPARTMENT OF AGRICULTURE

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### CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS (GRANTS) ALTERNATIVE I - FOR GRANTEES OTHER THAN INDIVIDUALS

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This certification is required by the regulations implementing Section 5151-5160 of the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D: 41 U.S.C. 701 et seq.), 7 CFR Part 3017. Subpart F, Section 3017.600, Purpose. The January 13, 1989, regulations were amended and published as Part II of the May 25, 1990 Federal Register (pages 21681-21691). Copies of the regulations may be obtained by contacting the Department of Agriculture agency offering the grant.

**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON PAGE 3)**

#### **Alternative I**

- A. The grantee certifies that it will or will continue to provide a drug-free workplace by:
- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
  - (b) Establishing an ongoing drug-free awareness program to inform employees about -
    - (1) The dangers of drug abuse in the workplace;
    - (2) The grantee's policy of maintaining a drug-free workplace;
    - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
    - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
  - (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
  - (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -
    - (1) Abide by the terms of the statement; and
    - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
  - (e) Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position

title, to every grant officer on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or, local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, State, zip code)

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Check  If there are workplaces on file that are not identified here.

---

Organization Name

Award Number or Project Name

---

Name and Title of Authorized Representative

---

Signature

Date

## **INSTRUCTIONS FOR CERTIFICATION**

1. By signing and submitting this form, the grantee is providing the certification set out on pages 1 and 2.
2. The certification set out on pages 1 and 2 is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s). If it previously identified the workplaces in question (see paragraph three).
6. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

**"Controlled substance"** means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

**"Conviction"** means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or States criminal drug statutes;

**"Criminal drug statute"** means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

**"Employee"** means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) all "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g. volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces)

## **E. Form SF-LLL**

Please see next page.

# DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
 (See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  <b>Congressional District, if known:</b>	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  <b>Congressional District, if known:</b>	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$ _____	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## **INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

## **F. Form FS-1500-22A**

Please see next page.

**FINANCIAL CAPABILITY CHECKLIST**

Fiscal Year: 2020

Adequate accounting systems and internal policies should meet the following criteria as outlined in the Office of Management and Budget's (OMB) Circular which can be found in 2 CFR Part 200, as implemented by USDA regulations 2 CFR Part 400.

- (A) Accounting records should provide information needed to adequately identify the receipt of funds under each grant awarded and the expenditure of funds for each grant.
- (B) Entries in accounting records should refer to subsidiary records and/or documentation which support the entry and which can be readily located.
- (C) The accounting system should provide accurate and current financial reporting information.
- (D) The accounting system should be integrated with an adequate system of internal controls to safeguard the funds and assets covered, validate the accuracy and reliability of accounting data, promote operational efficiency, and ensure adherence to prescribed management policies.

**PURPOSE:** This Checklist is to assist your organization in understanding the minimum requirements necessary to accept, manage, and spend Federal funds. If you have questions regarding the content presented in this checklist, please bring this to the attention of your Forest Service contact.

**INSTRUCTIONS**

A knowledgeable representative from your organization should review and complete the form, certifying in the last section that they have read and understand items listed in this document. The completed form will be returned to the designated U.S. Forest Service Grants & Agreements Specialist or Program Manager.

**ACCOUNTING SYSTEM**

- 1. My organization's accounting system provides for the recording of expenditures for each Federal award by the component project and budget cost categories.
- 2. My organization's accounting system provides for the recording of cost sharing or match for each project, and ensures that documentation is available to support recorded cost sharing or match.
- 3. My organization's time distribution records are maintained for each employee and effort can be specifically identified to a particular grant or cost objective.
- 4. My organization's accounting/financial system includes budgetary controls to preclude incurring obligations in excess of total funds available for a grant.
- 5. My organization's accounting/financial system includes budgetary controls to preclude incurring obligations in excess of total funds available for a budget cost category (e.g. Personnel, Travel, etc.).
- 6. My organization is familiar with the applicable OMB Uniform Administrative Requirements and Cost Principles, existing regulations and guidelines containing the procedures for the determination and allowance of costs in connection with Federal awards.

**MANAGING FEDERAL FUNDS**

- 7. My organization is aware that it is required to maintain accounting systems and financial records to accurately account for funds awarded. These records shall include both Federal funds and all matching funds of State, local, and private organizations, when applicable. Where a recipient's or sub-recipient's accounting system cannot comply with this requirement, the recipient or sub-recipient shall establish a system to provide adequate fund accountability for each project it has been awarded.
- 8. My organization is aware that funds specifically budgeted and/or received for one project may not be used to support another without prior written approval of the awarding agency.
- 9. My organization is aware that it must EITHER have a Federally approved negotiated indirect cost agreement (NICRA) or cost allocation plan in effect for indirect costs to be allowable for reimbursement or match OR may elect to charge a de minimis rate of 10% of modified total direct costs (MTDC), which may be used indefinitely.



USDA Forest Service

OMB 0596-0217  
FS-1500-22A

**RECIPIENT AND SUB-RECIPIENT ACCOUNTING RESPONSIBILITIES**

10. When applicable, my organization is aware that it must monitor its sub-recipients' financial operations, records, systems, and procedures. Particular attention should be directed to the maintenance of current financial data.



11. When applicable, my organization is aware that each sub-recipient prepares an adequate budget on which its award commitment is based. The detail of each project budget should be maintained on file by my organization.



12. My organization is aware that sub-recipients must not award or permit any award to any party that is debarred or suspended from participation in Federal assistance programs. The "Excluded Parties List" system for suspended or debarred sub-grantees and contractors may be search at [www.sam.gov](http://www.sam.gov).

**INTERNAL POLICIES:  
PROPERTY, PROCUREMENT STANDARDS, PERSONNEL,  
AND TRAVEL POLICIES AND PROCEDURES**

13. My organization's property management system(s) provides for maintaining: (1) a description of the equipment; (2) an identification number; (3) source of the property, including the award number; (4) where title vests; (5) acquisition date; (6) federal share of property cost; (7) location and condition of the property; (8) acquisition cost; & (9) ultimate disposition information.



14. My organization's property management system(s) provides for a physical inventory and reconciliation of property at least every two years.



15. My organization's management system(s) provides controls to insure safeguards against loss, damage or theft of the property and that the property is used solely for authorized purposes.



16. My organization maintains written procurement procedures which (1) avoid unnecessary purchases; (2) provide an analysis of lease and purchase alternatives; and (3) provide a process for soliciting goods and services?



17. My organization's procurement system provides for the conduct to ensure selection on a competitive basis and documentation of cost or price analysis for each procurement action?



18. My organization's has internal policy to check the "Excluded Parties List" system for suspended or debarred sub-grantees and contractors, prior to award at [www.sam.gov](http://www.sam.gov).



19. My organization maintains written personnel policies and procedures that provide for reasonable and consistent treatment of personnel costs, such as fringe benefits and pension plans.



20. My organization has written travel policy which is consistent for both internal travel and approved travel costs under the grant or cooperative agreement. If no policy exists, my organization adheres to rates and amounts established under 5 U.S.C. 5701–11, ("Travel and Subsistence Expenses; Mileage Allowances"), or by the Administrator of General Services, or by the President (or his or her designee) pursuant to any provisions of such subchapter must apply to travel under Federal awards (48 CFR 31.205– 46(a)).





21. My organization is aware of 2 CFR Part 400, Single Audit, which requires audit of any entity that expends more than \$750,000 in federal funds in a fiscal year.

#### ACKNOWLEDGEMENT

I acknowledge that my organization has received this document and understands the financial management standards necessary to manage Federal awards and subawards. At any time in reviewing this document, in pre-award negotiations, during the post-award meeting, or at any time subsequent, if my agency has questions regarding the content presented in this checklist or related to the award, I understand that I may bring this to the attention of the Forest Service for clarification and that the Forest Service is available to assist my organization.

Completed by:

Title: Dean / Director

Print Name: Dr. Lee S. Yudin

Date:

Organization Name: University of Guam College of Natural and Applied Sciences

#### Burden Statement

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0596-0217. The time required to complete this information collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The U.S. Department of Agriculture (USDA) prohibits discrimination in all its programs and activities on the basis of race, color, national origin, age, disability, and where applicable, sex, marital status, familial status, parental status, religion, sexual orientation, genetic information, political beliefs, reprisal, or because all or part of an individual's income is derived from any public assistance. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact USDA's TARGET Center at 202-720-2600 (voice and TDD).

To file a complaint of discrimination, write USDA, Director, Office of Civil Rights, 1400 Independence Avenue, SW, Washington, DC 20250-9410 or call toll free (866) 632-9992 (voice). TDD users can contact USDA through local relay or the Federal relay at (800) 877-8339 (TDD) or (866) 377-8642 (relay voice). USDA is an equal opportunity provider and employer.