

# The Distribution of Vacua in Random Landscape Potentials

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**Abstract.** Landscape cosmology posits the existence of a convoluted, multidimensional, scalar potential – the eponymous [SH: Not sure eponymous is used correctly here. Suggest changing to “so-called” or just having no adjective] “landscape” – with vast numbers of metastable minima. The huge number of minima supported by landscape potentials motivate arguments that landscape cosmology reduces tuning problems associated with a small but non-zero vacuum energy by providing a framework in which anthropic or “environmental” selection is plausible. Random matrices and random functions in many dimensions can be used to discuss conceptual issues associated with landscape scenarios; here we explore the distribution of minima as a function of vacuum energy in an  $N$ -dimensional Gaussian random potential. We derive a “likelihood” [SH: we used this language amongst ourselves, but do we want to use it in the technical paper?] for the density of minima in  $N$  dimensions, showing that after rescalings its properties are fully defined by  $N$  and a single free parameter,  $\sigma_2$  [SH: Would we prefer  $\gamma$  here?]. We use this likelihood to compute the likelihood of finding minima as a function of the amplitude of the landscape [SH: should this be amplitude of the potential? - also, I’m not sure we do do this. This is what Yamada and Vilenkin did, i.e.  $P(\min|V)$ , but we’ve calculated  $P(V|\min)$ ], and to compute  $P(\Lambda)$ , the distribution function of vacuum energies in these scenarios. [LL: Aren’t  $P(\Lambda)$  and the likelihood of finding minima as a function of the amplitude synonymous?][SH: no, one is  $P(\min|V)$  and the other is  $P(V|\text{scenarios})$  where “scenarios” includes “is a minimum”]

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## 1 Introduction

Over the last two decades cosmology has developed in apparently paradoxical directions. Observationally, the rise of “precision cosmology” makes it possible to measure key parameters to within a few percent, setting stringent tests for the detailed evolutionary narrative given by concordance  $\Lambda$ CDM cosmology [1, 2]. Conversely, theoretical investigations of both slow-roll inflation and string theory along with a non-zero dark energy density motivates theoretical investigations of multiverse-like scenarios. In particular, studies of stochastic inflation [3, 4] suggest that the mechanism that produces astrophysical density perturbations could also support *eternal inflation*, generating infinite numbers of *pocket universes* [5]. Likewise, studies of flux compactified string vacua points to a possible *landscape* [6] or *discretuum* [7] of vacua within the theory. These developments open the door to anthropic explanations of the non-zero vacuum energy density, insofar as a value that was exactly zero could **have been** more plausibly explained by an unknown symmetry.

Stochastic (or eternal) inflation implies the existence of a multiverse composed of many pocket universes, but this does not require that the “low energy” (i.e. LHC scale) physics or vacuum energy differs between pockets: the naive quadratic inflation model is potentially eternal, but has a unique vacuum. By contrast, landscape models have multiple vacua which can, in principle, be populated by stochastic inflation or tunneling. The string landscape, built on the plethora of flux-stabilised vacua that exist inside Calabi-Yau spaces, is well-known but is not necessarily a unique realisation of this scenario. The complexity of the landscape and the vast number of vacua it

supports is the basis of its purported explanatory power: the number of vacua is almost uncountably large (e.g.  $10^{500}$  or greater [42]) and any value of the vacuum energy can thus conceivably be realised within it.

The detailed properties of any possible landscape are almost entirely unknown – it is still unclear whether any specific stringy construction realises the  $SU(3) \times SU(2) \times U(1)$  gauge group of the Standard Model. More recently the Swampland Conjecture suggests that all stable minima of the theory might actually be “underwater” [8], located at negative values of  $\Lambda$ . If true, this would require that the cosmological dark energy was underpinned by dynamical quintessence-like evolution.

An alternative approach to landscape scenarios is to strip them down to their barest essence – by realising multiverse cosmology within a *random* multidimensional ( $N \sim 100$  or more) potential of interacting scalar fields.<sup>1</sup> In this approach the “large  $N$ ” properties of the multidimensional landscape actually provides leverage that can be used to develop an understanding of its properties. The first steps in this direction were taken by Aazami and Easter [12], investigating ensembles of Hessian matrices describing extrema in a random landscape. At a minimum in the landscape the eigenvalues of the Hessian are all positive. For simple random matrix distributions eigenvalues are likely to be evenly distributed between positive and negative values with fluctuations away from this situation being strongly suppressed at even moderate values of  $N$ , suggesting that the number of minima is super-exponentially smaller than the number of saddles. This simple argument is actually incorrect[SH: I think this reads slightly differently to how it is intended. The fix will be simple, but I’m not certain what the intended meaning is.]; the individual entries of the Hessians matrices of random functions are correlated and therefore not drawn from identical, independent distributions, violating a common premise of random matrix theory [32, 35]. That said, this overall approach has been widely pursued and has now been extended in a number of directions [14–21][SH: It isn’t clear from the text whether these directions have accounted for this correlation, nor whether we do in this paper]. This line of enquiry has also motivated studies of the properties of random matrices and random functions at large  $N$ . [13, 29–34] Similar mathematical problems arise in statistical mechanics, string theory, and complex dynamics.[22–28]

In conventional inflationary scenarios, accelerated expansion occurs when the gradient of the potential is small (so-called “slow-roll”), and trajectories typically end in a minimum of the potential. If this is the global minimum, the end-state is stable [SH: Is inflation necessary for the conclusions of this paragraph? Or are the results more general and depend only on there being a minimum?]. However, bubbles of space can tunnel from a local minimum to lower-lying vacua, rendering local minima metastable, although often with lifetimes much greater than the age of the universe. If this local minimum has a positive vacuum energy it will contribute an apparent cosmological constant term to observers within the universe. Accordingly, we are interested in the probability of finding a minimum at potential values  $F > 0$  [SH: This reads as

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<sup>1</sup>Random is used here in the context of random function theory [9–11]. We refer to random functions rather than random fields, the nomenclature often seen in the mathematical literature, to avoid confusion with the individual scalar fields that are coupled by the potential.

$P(\min|F > 0)$ , which is vanishing because most points won't be minima or saddles. I think we want "given we're going to be at a minima, what's the probability  $F > 0$ . This is also the first use of  $F$  instead of  $\Lambda$ , do we prefer  $V$ ?]. In particular, we show that for some region [SH: regions?] of parameter space, a random potential with  $10^M$  minima (where  $M$  is a number of order  $\mathcal{O}(100)$ ) can also have  $P(F > 0|\min) < 10^{-M}$  [SH: If we can write  $\ll$  here the point would be even stronger], so that it is conceivable that these scenarios have no metastable solutions with positive vacuum energy. Further, these considerations may be used to establish a weakly non-uniform measure on the vacuum energy [SH: I think I understand this, but it is a bit confusing]. Finally, the methods we use also provide a likelihood for the eigenvalues at minima, allowing us to infer their shapes [SH: Flagging up the use of "likelihood" again, in case we decide to change it]. [SH: This paragraph is the one we describe our results in, I think we can be clearer... but maybe that clarity can be done right at the end once the whole rest of the paper is also clear]

We proceed [SH: Proceed, or begin] from a generalisation of the Kac-Rice formalism [36, 37] and the machinery developed by Bond, Bardeen, Kaiser and Szalay [38] for studying the statistics of Gaussian random fields [SH: Do we want to say functions here too?]. in cosmological perturbation theory to  $N$  dimensions. We then build a "ladder" of numerical techniques, proceeding from exactly evaluated integrals at small  $N$  to Monte Carlo evaluation [SH: I don't think we do the Monte Carlo anymore do we?], and then to numerical evaluations of Gaussian approximations to the underlying integrals out to  $N = 100$  [SH: Without Monte Carlo it seems less like a ladder, except that we actually have two different "Gaussian approximations", so this could be cleared up somehow]. These techniques can be generalised to other, more complex questions about trajectories in these potentials, and give information about the "shapes" of minima which may inform analyses of tunnelling [SH: and inflation?]. We show that  $P(\Lambda)$ , the probability density function for the vacuum energy, depends on the dimensionality  $N$  and a single free parameter for a Gaussian random landscape, and this parameter can be computed directly from the power spectrum [SH: This was already well known. What we've contributed is the actual calculation of how it depends on  $N$  and  $\gamma$ ]. Finally, we discuss the implications of these results for landscape cosmology and notions of anthropic selection.

## 2 Random Potentials in $N$ Dimensions

We begin by developing an  $N$ -dimensional analogue of the treatment of [SH: the peaks of?] three dimensional random functions due to Bardeen, Bond, Kaiser and Szalay (henceforth BBKS) [38], generalising their derivation and keeping their notation as far as possible [SH: Do we want to follow BBKS' notation as far as possible? At the moment we still use  $\Lambda$ ,  $F$  and  $\nu$  all to mean the same quantity at different parts of the paper. Can we settle on one? Maybe even settle on  $V$  for potential? We could still use  $\Lambda$  sparingly, but make it clear that it is just different notation for the same  $V$  when we do?]. An  $N$ -dimensional scalar-valued function  $F(r)$  is a set of values that fill each point of  $N$ -dimensional space. The function is *random* if its values depend on

a probability distribution function,

$$P[F(r_1), F(r_2), \dots, F(r_m)] dF(r_1) dF(r_2) \dots dF(r_m) \quad (2.1)$$

where  $r_i$  is a position in  $N$  dimensional space. This corresponds to the probability that the function takes value  $F(r_1) \pm dF(r_1)$  at position  $r_1$ ,  $F(r_2) \pm dF(r_2)$  at position  $r_2$ , and so on. The function is *Gaussian random* if the probability distribution function is an  $N$ -dimensional Gaussian function, [SH: we suddenly swap here from  $F$  to  $y$ . I know this is the notation BBKS use, but that paper is notoriously difficult to digest, so probably not the best to be emulating when it is being confusing.]

$$P(y_1, \dots, y_N) dy_1 \dots dy_N = \frac{e^{-Q}}{[(2\pi)^N \det(M)]^{1/2}} dy_1 \dots dy_N, \quad (2.2)$$

$$Q \equiv \frac{1}{2} \sum \Delta y_i (M^{-1})_{ij} \Delta y_{ij}.$$

Here  $M$  is the *covariance matrix*,

$$M_{ij} \equiv \langle \Delta y_i \Delta y_j \rangle \quad (2.3)$$

while  $\Delta y_i$  is the difference between the measured value and the average,  $\Delta y_i \equiv y_i - \langle y_i \rangle$ . For a Gaussian random function, the probability distribution only depends on  $M$ , which in turn only depends on the two-point correlation function  $\langle \Delta y_i \Delta y_j \rangle$  [SH:  $M$  is the two point correlation function]. In other words, the two-point correlation function completely determines the statistical properties of the Gaussian random function [SH: just checking we're all happy that much of this section is a direct translation of BBKS? Adding it does make our own paper more complete on its own, but it also adds length going over theory that can be found in references?].

In our application, the function value we're interested in is the potential of the landscape, so henceforth we will replace  $y$  with  $F$  [SH: We're interested in  $F$  and  $\xi$  so  $y$  gets replaced by  $F$ ,  $\eta$  (which is set to zero) and  $\xi$ ]. The two-point correlation function is, by definition, the Fourier transform of the power spectrum  $P(k)$ , [SH: This seems to me to get the ordering of the definitions wrong... the correlation function is just the average value over all ensembles of  $\Delta y_i \Delta y_j$ . One can then define the power spectrum from the correlation function. It would also be the *inverse* Fourier transform if defined this way.]

$$\langle F(\vec{x}) F(\vec{y}) \rangle = \frac{1}{(2\pi)^N} \int d^N k e^{i\vec{k} \cdot (\vec{x} - \vec{y})} P(k) \quad (2.4)$$

where  $\vec{x}$  and  $\vec{y}$  are directional vectors. We define moments [SH: This sounds like it is something we are doing that is original. "The moments of the power spectrum are" might work better] of the power spectrum by

$$\sigma_n^2 = \frac{1}{(2\pi)^N} \int d^N k (k^2)^n P(k) \quad (2.5)$$

i.e.  $\langle FF \rangle = \sigma_0^2$  [SH: I would reverse the LHS and RHS here]. We can differentiate Eq. 2.5 and set  $\vec{x} = \vec{y}$  to get

$$\begin{aligned}\langle \eta_i(\vec{x}) \eta_j(\vec{x}) \rangle &= \frac{1}{(2\pi)^N} \frac{\partial}{\partial x^i} \frac{\partial}{\partial y^j} \int d^N k e^{i\vec{k} \cdot (\vec{x} - \vec{y})} P(k) \Big|_{\vec{y} = \vec{x}} \\ &= \frac{1}{(2\pi)^N} \int d^N k k^i k^j P(k)\end{aligned}$$

where  $\eta_i$  indicates the derivative of  $F(\vec{x})$  with respect to  $x_i$  [SH: suggest writing  $\eta_i = \partial F / \partial x^i$ ]. Because of isotropy (derivatives must be the same in all directions) [SH: I think this explanation is a little confusing. the field has *statistical* isotropy, it isn't isotropic. so derivatives in different directions can be different in any specific realisation of the Gaussian field/function. I would just argue that this is zero for  $i \neq j$  because the integrand is an odd function of  $k^i$  and  $k^j$ ], the right hand side must be proportional to  $\delta_{ij}$ . Therefore

$$\begin{aligned}\langle \eta_{\alpha,i} \eta_{\beta,j} \rangle &= K \delta_{\alpha,\beta} \delta_{ij} \rightarrow \delta_{ij} \langle \eta_{\alpha,i} \eta_{\beta,j} \rangle = N K \delta_{\alpha,\beta} = \sigma_1^2 \\ &\rightarrow K = \frac{1}{N} \sigma_1^2\end{aligned}$$

[SH: the indices  $\alpha$  and  $\beta$  have appeared out of nowhere here. I think they are relevant when there is more than one underlying field/function which can be indexed via  $\alpha$  but in this paper we only have the potential energy as a function of interest.] [SH: I'm also not sure how clear this derivation will be, I think we can do it slightly better] A similar analysis holds for the second derivatives  $\xi_{ij} = \partial^2 F / \partial x^i \partial x^j$ . The resulting relationships between the various moments of the power spectrum, the function values, and the derivatives are (all evaluated at the same point in space):

$$\begin{aligned}\langle FF \rangle &= \sigma_0^2 \\ \langle \eta_i \eta_j \rangle &= \frac{1}{N} \delta_{ij} \sigma_1^2 \\ \langle F \xi_{ij} \rangle &= -\frac{1}{N} \delta_{ij} \sigma_1^2 \\ \langle \xi_{ij} \xi_{kl} \rangle &= \frac{1}{N(N+2)} \sigma_2^2 (\delta_{ij} \delta_{kl} + \delta_{il} \delta_{jk} + \delta_{ik} \delta_{jl})\end{aligned}\tag{2.6}$$

For  $N = 3$  this reduces to BBKS's equation A1.

We define the following vector [SH: This small passage is the only time the notation  $\alpha$  is used. I think we can get away without introducing it. I think in general the way BBKS goes from  $F$  at different points in space to  $F$ ,  $\eta$  and  $\xi$  is confusing and we can make it a bit clearer here if we want]:

$$\alpha = \{F, \eta_1, \eta_2, \dots, \xi_{11}, \xi_{22}, \dots, \xi_{NN}, \xi_{N-1,N}, \xi_{N-2,N}, \dots, \xi_{1N}, \xi_{N-2,N-1}, \dots, \xi_{1,N-1}, \dots, \xi_{12}\}\tag{2.7}$$

With this choice for  $\alpha$  (equivalent to  $\Delta y$  in Eq. 2.2), the covariance matrix  $M_{ij} \equiv \langle \alpha_i \alpha_j \rangle$  and its inverse  $K \equiv M^{-1}$  takes the following general form[SH: I guess this result comes from Mathematica? There must be a way of simplifying the  $K_{\xi_{ij}\xi_{kl}}$  terms into one expression using  $\delta_{ij}$  etc, like with  $M$ . Actually, this  $K$  seems to never be used so I'm not sure we need to write it down. If we want to write out the inverse in all detail we should do it in terms of the variables we eventually use (i.e.  $x_i$ )]:

$$\begin{aligned}
K_{F,F} &= \frac{\sigma_2^2}{\sigma_0^2 \sigma_2^2 - \sigma_1^4} \\
K_{F,\xi_{ij}} &= \frac{\sigma_1^2}{\sigma_0^2 \sigma_2^2 - \sigma_1^4} \\
K_{\eta_i, \eta_j} &= \frac{N}{\sigma_1^2} \\
K_{\xi_{ii}, \xi_{ii}} &= \frac{N(N+2)}{\sigma_2^2} \\
K_{\xi_{ij}, \xi_{ij}} &= \frac{N\sigma_0^2 \sigma_2^2 - (N+2)\sigma_1^4}{2(\sigma_1^4 \sigma_2^2 - \sigma_0^2 \sigma_2^4)}, (i \neq j) \\
K_{\xi_{ii}, \xi_{jj}} &= \frac{(N(N+1) - 2)\sigma_1^4 - N(N+1)\sigma_0^2 \sigma_2^2}{2(\sigma_1^4 \sigma_2^2 - \sigma_0^2 \sigma_2^4)}, (i \neq j)
\end{aligned}$$

and all other terms are zero[SH: Are there some  $\delta_{ij}$  terms missing in the 2nd and 3rd lines above?]. The probability distribution of  $\alpha_i$  is, from Eq. 2.2[SH: This is just eq. 2.2 repeated with  $\alpha$  instead of  $y$ , I think there is a way of expressing all of this without so many introduced variables like  $y$   $\alpha$  that are used only once each. I don't we've ever explicitly said we're considering functions/fields where  $\langle F \rangle = 0$  either, which we've assumed in order to write this equation.].

$$p(\alpha_i) = \frac{1}{(2\pi)^{N/2} \sqrt{\det M}} e^{-\frac{1}{2} \alpha K \alpha} \quad (2.8)$$

We can usually ignore the constant prefactor since we will primarily be concerned with ratios of probabilities. We adopt the basis [SH: I think this can be written more concisely using a  $\Sigma$ . Also, with better understanding than when we started using this notation, given that  $x_2, x_3$ , etc are very different to  $x_1$  might it be better to have  $x_1$  just labelled  $x$  and all the others as  $y_i$  here and in all following expressions?]

$$\begin{aligned}
\sigma_2 x_1 &= -\nabla^2 F = -(\xi_{11} + \xi_{22} + \dots + \xi_{NN}) \\
\sigma_2 x_2 &= -(\xi_{11} - \xi_{22}) \\
\sigma_2 x_3 &= -(\xi_{11} + \xi_{22} - 2\xi_{33}) \\
\sigma_2 x_4 &= -(\xi_{11} + \xi_{22} + \xi_{33} - 3\xi_{44}) \\
&\dots
\end{aligned} \quad (2.9)$$



$x_1, x_2, x_3, \dots$  are analogous to BBKS's  $x, y, z$ . Following BBKS, we introduce  $\nu = F/\sigma_0$ . With this choice of basis, the nonzero correlations are (from Eq. 2.6) [SH: and  $\langle \xi_{ij}^2 \rangle$  with  $i \neq j$ ]:

$$\langle \nu^2 \rangle = 1, \langle x_1^2 \rangle = 1, \langle x_2^2 \rangle = \langle \xi_{11}^2 - 2\xi_{11}\xi_{22} + \xi_{11}^2 \rangle = \frac{4}{N(N+2)} \quad (2.10)$$

$$\langle x_3^2 \rangle = \frac{12}{N(N+2)}, \dots, \langle x_n^2 \rangle = \frac{2n(n-1)}{N(N+2)}. \quad (2.11)$$

The factor  $\alpha K \alpha$  (equivalent to  $Q$  in BBKS) in Eq. 2.8 takes the form [SH: I expect this needs to be quadratic in  $\xi_{ij}$ . Is the prefactor to that term definitely correct?]

$$2Q = \nu^2 + \frac{(x_1 - x_*)^2}{1 - \gamma^2} + \frac{N(N+2)}{4}x_2^2 + \frac{N(N+2)}{12}x_3^2 + \dots + \frac{N(N+2)}{2n(n-1)}x_n^2 + \frac{N\boldsymbol{\eta} \cdot \boldsymbol{\eta}}{\sigma_1^2} + \sum_{i,j;i>j}^N \frac{N(N+2)\xi_{ij}}{\sigma_2^2} \quad (2.12)$$

where  $x_* \equiv \gamma\nu$  [SH: I would just leave it as  $\gamma\nu$ ] and  $\gamma = \frac{\sigma_1^2}{\sigma_2\sigma_0}$ . This is the equivalent of BBKS Eq. (A4) for  $N$ -dimensions. Note the first two terms remain constant for all  $N$ , but the remaining terms vary. The  $\eta$  terms are zero at minima by definition, while the off-diagonal  $\xi_{ij}$  terms can be Euler-rotated away by choosing an appropriate set of axes [SH: I don't know what this means.]. The  $\xi$  matrix is already symmetric (by rotational symmetry), and Euler rotation turns it diagonal.[39] The upshot is that the symmetric  $\xi$  matrix becomes a diagonal matrix where the individual elements are the eigenvalues  $\lambda_i = -\xi_{ii}$  of the Hessian. We are interested in minima, hence we demand all second derivatives to be positive, i.e. all eigenvalues to [SH: demanding  $\lambda$  be positive isolates maxima, doesn't it? -  $\xi$  is the actual second derivative and at a minimum the actual second derivative should be positive.] be positive.<sup>2</sup> From here, the analysis is exactly the same as BBKS, except that when we define the ordering of the eigenvalues  $\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0$ , our boundary conditions become  $x_1 \geq x_N \geq x_{N-1} \dots \geq x_2 \geq 0$  because of the different choice of basis. This leads to significantly simpler boundary conditions, for example in 3D our conditions are  $x_2 \geq 0, x_2 \leq x_3$ , and  $x_1 \geq x_3$  [SH: This can be written even more simply] (compare BBKS's equation between Eq. (A14) and Eq. (A15)).

This yields the following expression for the density of peaks [SH: peaks are maxima]:

$$N_{peak} = A \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} G \times e^{-Q} d\nu dx dy dz \dots \quad (2.13)$$

[SH: we have no  $y$  and  $z$ ] where  $G$  has the form<sup>3</sup>

<sup>2</sup>Note there is an alternative convention where all eigenvalues being *negative* corresponds to maxima [SH: I don't think this is true]. Although it can be confusing, it is a simple matter to convert results from one convention to the other;  $p(min)$  is simply  $1 - p(max)$ . [SH: This isn't true because some saddles can be neither minimum nor maximum.]

<sup>3</sup> $G$  can also be expressed in terms of  $x_i$  using the inverse transform of Eq. 2.9



$$G = \left( \prod_i^N \lambda_i \right) \left( \prod_{i < j} |\lambda_i - \lambda_j| \right), \quad (2.14)$$

$Q$  is given by Eq. 2.12,  $A$  is some constant factor [SH: We should perhaps mention here that we are only ever interested in proportions of minima satisfying certain properties, so we never need to know  $A$  because we always divide by the total number of minima and hence  $A$  cancels (note  $A$  does depend on  $N$  and  $\gamma$  and even  $\sigma_0$  and  $\sigma_1$ ), and the integration limits are only over the region where the conditions are satisfied. This is a key integral, and evaluating it will be the focus of much of the remainder of this paper.

[RE: this above is can be checked for self-consistency and trimmed]

### 3 Peak Probabilities: $F$ and $N$

Our goal is to evaluate  $P(F|min)$  for Gaussian random landscape potentials, the probability distribution that defines the distribution of vacuum energies at minima in the landscape. We are interested in the probability of finding a minimum above  $\nu = 0$  (equivalently, the probability of finding a maximum below  $\nu = 0$ ), i.e. we want to calculate

$$P(F|min) = \frac{\int_0^\infty d\nu \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} G \times e^{-Q} dx_1 \dots dx_N}{\int_{-\infty}^\infty d\nu \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} G \times e^{-Q} dx_1 \dots dx_N} \quad (3.1)$$

This integral depends on the value of  $Q$  (Eq. 2.12) and therefore on the moments of the power spectrum  $\sigma_0, \sigma_1$ , and  $\sigma_2$ . We now argue that of these three parameters, only one is physically significant. Given a purely Gaussian landscape we can  $\sigma_0 = 1$  by rescaling  $F$  multiplicatively, see Eq. 2.6, and we can thus take  $F = \nu$ . Likewise,  $\sigma_1$  responds to the average magnitude of the first derivatives and can be set to unity by rescaling the units of length. This leaves  $\sigma_2$  as the only nontrivial parameter. It can be shown that if  $\sigma_0 = \sigma_1 = 1$ ,  $\sigma_2 > 1$ .<sup>4</sup> In much of what follows we follow BBKS and parameterise the landscape by  $\gamma = \frac{\sigma_1^2}{\sigma_2 \sigma_0} = 1/\sigma_2$  and the dimensionality  $N$ , in the knowledge that  $0 < \gamma < 1$ .

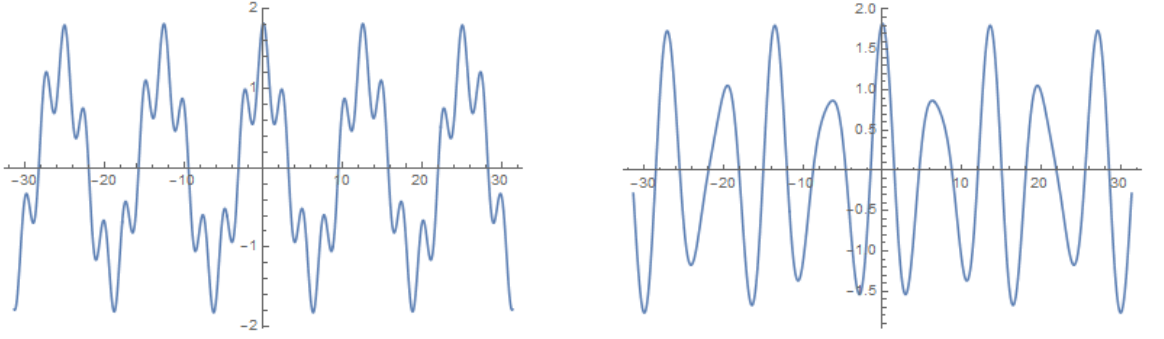
#### 3.1 Landscape Heuristics

We begin by making a qualitative exploration of the overall “likelihood”. Firstly, we note that the integrand vanishes when any two “adjacent”  $\lambda_i$  become equal, enforcing an “eigenvalue repulsion” in the Hessian matrices for the maxima and minima. This implies that a generic minima will be asymmetric, as their Hessians will have well separated eigenvalues.

Secondly, looking at Eq. 2.12 we see that if  $\gamma$  is close to unity, the second term in  $Q$  becomes very large, making the integrand a steeper function of the  $x_i$ . Conversely, if  $\gamma$  is small,  $\nu$  can take larger values without dominating  $Q$ . At larger values of  $\gamma$  the power spectrum is effectively “red” and the random function is dominated by

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<sup>4</sup>Per Ref. [21], if  $\sigma_0 \sigma_2 - \sigma_1^2 < 0$ , then the probability distribution Eq. 2.8 cannot be normalized.



**Figure 1.** We show illustrative realisations of 1D functions. The left figure has a smaller  $\gamma$  (larger  $\sigma_2$ ) and more short scale power, allowing minima (maxima) to appear in significant numbers above (below) zero. When  $\gamma$  is large (right figure) the spectrum is dominated by longer wavelength modes, and most minima are low-lying.

longer wavelength modes. By contrast, when  $\gamma$  is small the spectrum is “blue” and dominated by shorter modes. In this case, it is more likely that extrema will be found at positive values of  $\nu$ . This behaviour is sketched in Fig 1 for illustrative one dimensional examples.

Thirdly, as  $N$  increases  $Q$  will always grow, making it less likely to find minima and maxima on the “wrong” side of zero.

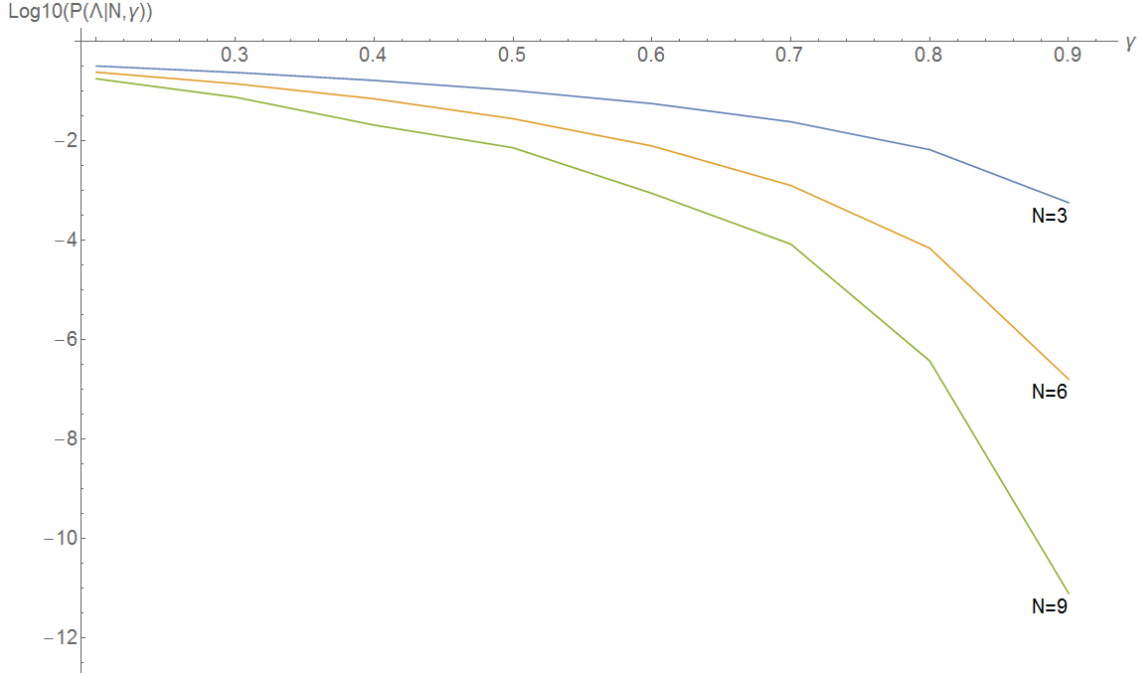
Finally, because we are interested in the regimes  $\nu = 0 \dots \infty$  and  $\nu = -\infty \dots \infty$ , we can simplify the integral Eq. 3.1 by performing the  $\nu$  integral analytically. The only dependence in the integrand on  $\nu$  is in the first two terms of  $Q$ , which further remains the same for all  $N$ :

$$\begin{aligned}
& \int_{-\infty}^{\infty} d\nu \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} G \times e^{-Q} dx_1 \dots dx_N \\
&= \int_{-\infty}^{\infty} d\nu e^{-\frac{\nu^2}{2} - \frac{(x-\gamma\nu)^2}{2(1-\gamma^2)}} \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} f(x_1, x_2 \dots) dx_1 \dots dx_N \\
&= \int_{-\infty}^{\infty} d\nu e^{-\frac{x^2}{2} - \frac{(\nu-\gamma x)^2}{2(1-\gamma^2)}} \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} f(x_1, x_2 \dots) dx_1 \dots dx_N \\
&= e^{-\frac{x^2}{2}} \sqrt{2\pi} \sqrt{1-\gamma^2} \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} f(x_1, x_2 \dots) dx_1 \dots dx_N
\end{aligned} \tag{3.2}$$

Similarly,

$$\begin{aligned}
& \int_0^{\infty} d\nu \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} G \times e^{-Q} dx_1 \dots dx_N \\
&= e^{-\frac{x^2}{2}} \sqrt{\frac{\pi}{2}} \sqrt{1-\gamma^2} (1 + \text{Erf}(\frac{\gamma x}{\sqrt{2-2\gamma^2}})) \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} f(x_1, x_2 \dots) dx_1 \dots dx_N
\end{aligned} \tag{3.3}$$

Although these analytic integrals are not always usable in what follows, when they are, they lighten the computational cost significantly.



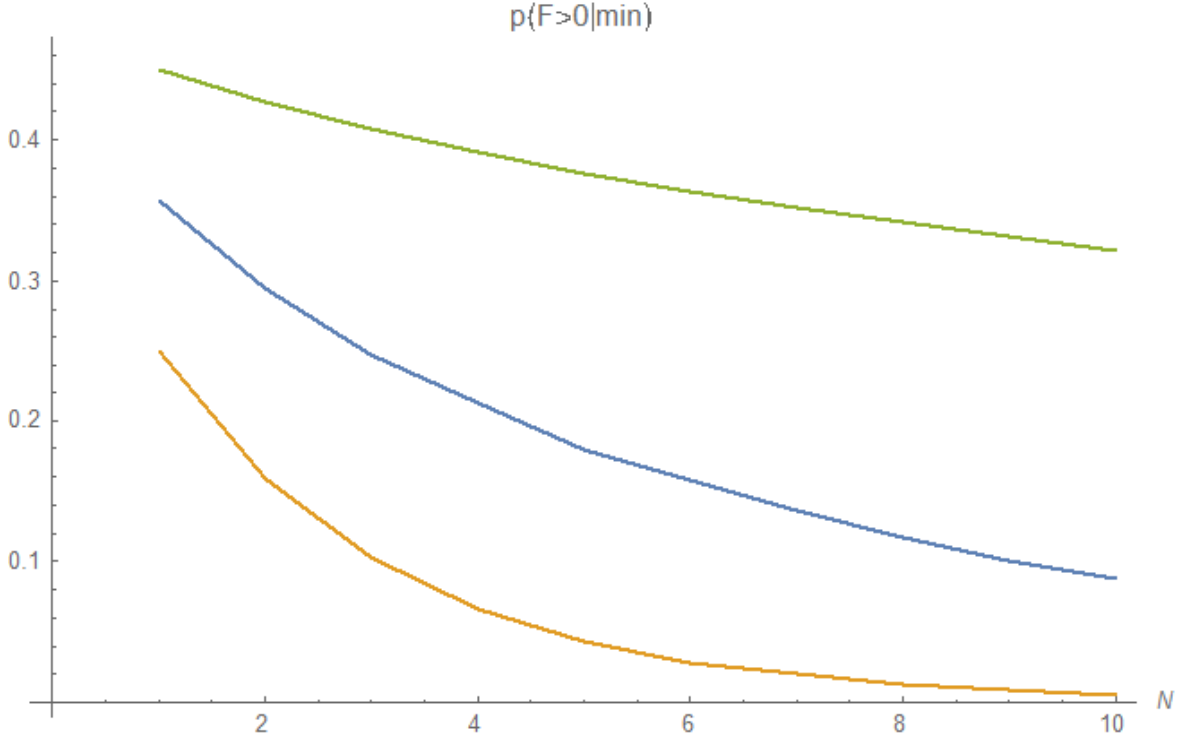
**Figure 2.** The probability that a given minimum has  $F > 0$  as a function of  $\gamma$ , for  $N = 3, 6, 9$ . We can see that all the heuristics are obeyed: the probability decreases with  $N$ , and increases with  $\sigma_2$ .

### 3.2 $N < 10$ : Direct Evaluation

For relatively small values of  $N$  we can compute the integrals without any further approximations. In Figs. 2 and 3, we present  $P(F > 0|min)$  as a function of  $N$  and  $\gamma$ . As expected, if the potential is highly oscillatory ( $\sigma_2$  large, or  $\gamma$  small),  $P(F > 0|min)$  tends towards 0.5 – an equal likelihood of any given extremum being a maximum or a minimum. Conversely, if the potential is very smooth ( $\sigma_2$  small;  $\gamma$  very large),  $P(F > 0|min)$  tends towards 0. Moreover, for any given  $\gamma$ ,  $P(F > 0|min)$  decreases with increasing  $N$ .

### 3.3 $10 < N < \sim 30$ : Gaussian Approximations to the Integral

For  $N > 10$ , direct calculation becomes very resource-intensive. To proceed, we first select a value of the field  $\nu$ , then find the values of  $x_1, x_2 \dots$  that maximizes the integral in in Eq. 2.13. We then approximate the integral as a Gaussian integral about this maximum likelihood point:



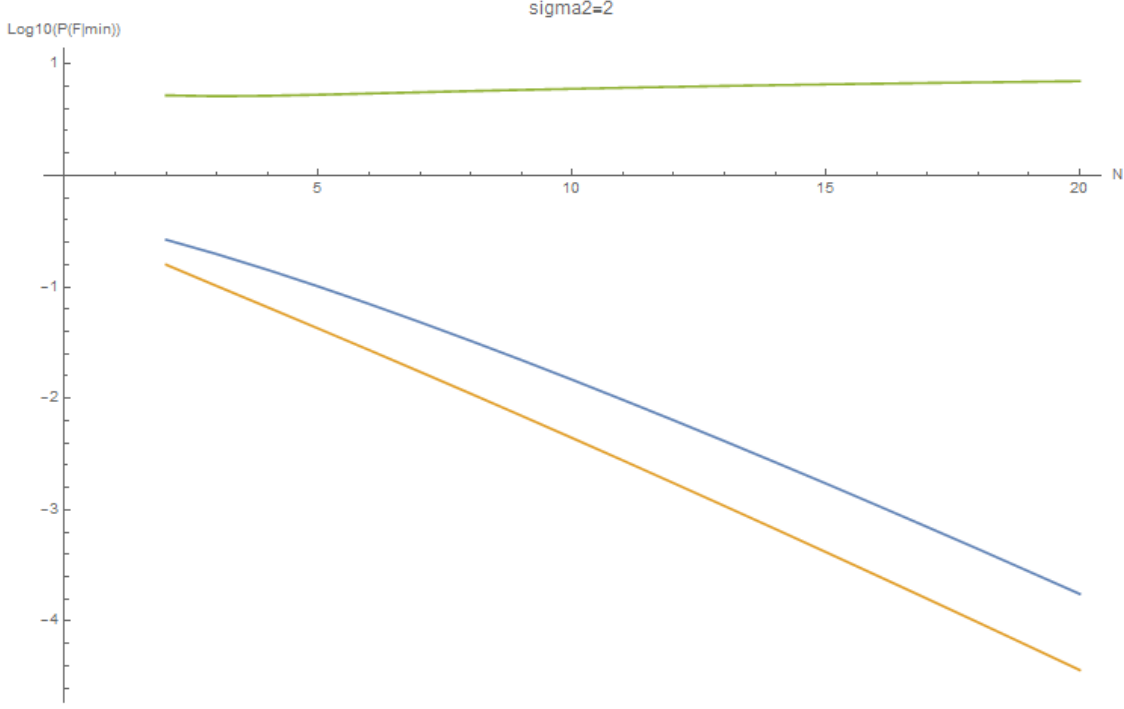
**Figure 3.** A plot showing the value of  $P(F > 0|min)$  as a function of  $N$  with  $N \leq 10$ , for  $\gamma$  values  $\frac{1}{5}$ ,  $\frac{1}{3.5}$  and  $\frac{1}{2}$  from top to bottom.

$$\begin{aligned}
N &= A \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} F \times e^{-Q} dx dy dz \dots \\
&= A \int_{\lambda_1 \geq \lambda_2 \geq \lambda_3 \dots \geq 0} e^{\log F - Q} dx dy dz \dots \\
&\approx \int_{-\infty}^{\infty} e^{-\frac{1}{2} x H x} d^n x = \sqrt{\frac{(2\pi)^n}{\det H}}
\end{aligned}$$

where  $H$  is the Hessian at the point being considered, and we no longer have an integral over  $\nu$ . This produces a list of points for each value of  $\nu$ . Fitting these points to a function and integrating that for the region with  $\nu > 0$ , we get an approximate result of the integral. **[LL: I feel like there's a more technical term for this, don't know what though.]** This approximate value can then be compared against the exact value. The Gaussian approximation turns out to be very good (see Fig. 5). The Gaussian integral can be more efficiently computed than Eq. 2.13, which lets us evaluate it up to  $N \approx 30$ .

The plot of the logarithms of these results against the number of dimensions is surprisingly straight (Fig. 6). Although it is not conclusive,<sup>5</sup> it is suggestive.

<sup>5</sup>Per Figure 4 of [21], it is possible the behavior of the function changes at  $N > 100$ .



**Figure 4.** A comparison of the Gaussian approximation evaluated at the  $\nu = 0$  point to the full integral. The blue line corresponds to the  $\nu = 0$  results, while the orange line corresponds to the Gaussian results (Section 3.3). Horizontal axis is  $N$  while the vertical axis is the  $\text{Log}_{10}$  of the probability. The  $\nu = 0$  results are always larger than the actual results, but the ratio of their logarithms is approximately constant.

### 3.4 $\sim 30 < N < \sim 100$ : Approximations using the $\nu = 0$ point

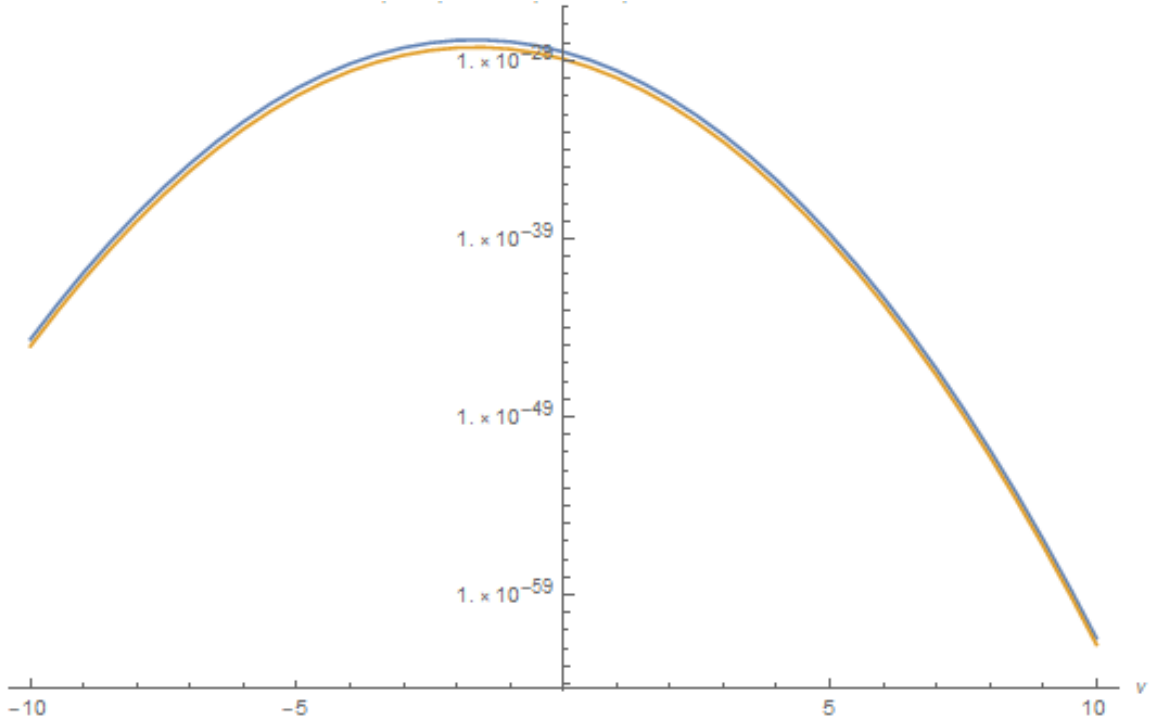
The Gaussian approximation described in the previous subsection requires the integral to be computed for several different values of  $\nu$ . If we use only the result at the  $\nu = 0$  point, we get a result that is much larger than the exact results, but it remains at a nearly-constant ratio to it (see Fig. 4). Using this, we confirm that the straight line behavior holds up to  $N = 100$ .

Based on these calculations, we conclude that the value of  $\sigma_2$  for which  $P(F > 0|_{\min})$  drops to  $\sim 10^{-500}$  at  $N = 100$  is about 1.08. In other words, there will be plenty of minima in the landscape that could correspond to our universe if the landscape has  $\sigma_2 \gtrsim 1.08$  (with  $\sigma_0$  and  $\sigma_1$  normalized to 1).

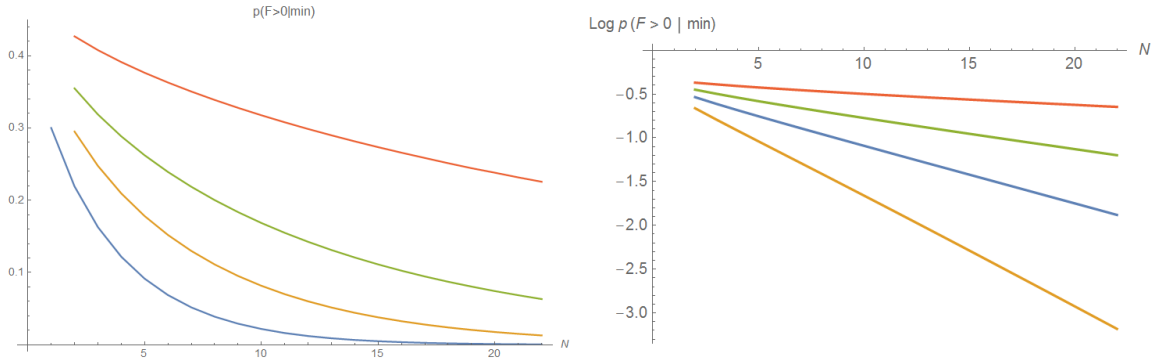
## 4 Implications for Multiverse Cosmology

[RE: I would move from looking at the abstract problem in the previous section to the specific implications for cosmology in this section; and how  $P(\Lambda)$  depends on  $\sigma_2$ ]

[LL: Dunno what to put here anymore]



**Figure 5.** A comparison of  $P(F)$  with the Gaussian approximation, at specified values of  $\nu$  ( $N = 8$ ). Blue line corresponds to the Gaussian results, while the orange line corresponds to the exact integral. Horizontal axis is  $\nu$  while the vertical axis is (unnormalized) likelihood. As expected, because the Gaussian integral integrates to infinity while the exact integral does not, it yields a larger likelihood.



**Figure 6.** (Left) The probability of a minimum above  $\nu = 0$ , calculated using the Gaussian approximation. The lines correspond to  $\gamma = \frac{1}{10}, \frac{1}{5}, \frac{1}{3.5}, \frac{1}{2.5}$  respectively from top to bottom. Compare Fig. 3. (Right) The same data, plotted using a different y-axis ( $\text{Log}$  here is to base 10). All four lines yield a logarithm well above  $-500$  at  $N = 100$ . The cutoff at which  $p(F > 0 | \min) \sim 10^{-500}$ , with  $\sigma_0$  and  $\sigma_1$  normalized to 1, is  $\sigma_2 \approx 1.08$ .

## 5 Conclusion

We have presented results for the statistics of stationary points at a given function value as a function of  $N$  and  $\sigma_2$ . The numbers confirm the intuitive expectation that the probability of a given extremum with  $F > 0$  being a minimum decreases as  $N$  increases or as  $\sigma_2$  decreases. We are able to calculate precise values for the probability up to  $N = 10$ . Above this dimension, evaluating Eq. 2.13 is computationally prohibitive, but we find that Eq. 2.13 is well-approximated by a Gaussian integral. Using this approximation, we are able to estimate the probability up to  $N \approx 30$ . For  $N > 30$ , we use only the  $\nu = 0$  point, which although much smaller than the actual results, remains in roughly constant ratio with it. The final probability as a function of  $N$  is well-approximated by a straight line when plotted on a logarithmic scale for various values of  $\sigma_2$  (Fig. 6). If this trend holds to larger values of  $N$ , we estimate that the value of  $\sigma_2$  for which  $P(F > 0|_{min})$  drops to  $\sim 10^{-500}$  is approximately 1.08.

The results of this paper establish the number of vacua that could correspond to our universe in string theory, but does not treat the distribution of eigenvalues – and accordingly the duration of slow-roll inflation – that could arise from these vacua. An analysis of this will be the focus of future work.

## 6 Appendix

### 6.1 Density of peaks for $N = 4$

For  $N = 4$ , the full result of the integral Eq. 2.13 is: [LL: This needs to be re-checked, there shouldn't be  $x$  in the result. Computer is busy with the  $N = 100$  calculations for  $\sigma_2 = 1.08$ , will do it later.]

$$N = \frac{1}{214990848} \text{Exp} \frac{9x^2\sigma_1^4 + 2\nu x\sigma_0\sigma_1^2\sigma_2 - (v^2 + 10x^2)\sigma_0^2\sigma_2^2}{-2\sigma_1^4 + 2\sigma_0^2\sigma_2^2} \left( 80x - 1610e^{3x^2} + 128x^3 + 418e^{3x^2}x^3 + 4e^{4x^2}\sqrt{\pi}(3 + 48x^2 + 64x^4)\text{Erf}\left[\sqrt{\frac{3}{2}}x\right] \right. \\ \left. - 486e^{\frac{9x^2}{2}}\sqrt{6\pi}x^2\text{Erf}\left[\sqrt{\frac{3}{2}}x\right] + 81e^{\frac{9x^2}{2}}\sqrt{6\pi}x^4\text{Erf}\left[\sqrt{\frac{3}{2}}x\right] \right) \quad (6.1)$$

The higher-dimensional results take the same form: an overall exponential multiplied by a product of polynomials and error functions; however they are massive (for example, in 5D there are some eight hundred terms).

### 6.2 $p(F|_{s.p.})$ for $N = 2$

[LL: As long as the reference above to the difference with Yamada & Vilenkin is commented out, this appendix isn't needed either] The full expression for  $p(F|_{s.p.})$  in 2D is:



$$p(F|s.p.) = \frac{\sqrt{\pi}}{4\sqrt{4\gamma^2 - 6}} \left[ \text{Exp} \frac{F^2}{2(\gamma^2 - 1)} \left( 2\text{Exp} \left( \frac{F^2\gamma^2}{e^6 - 10\gamma^2 + 4\gamma^4} \right) \sqrt{\gamma^2 - 1} \right. \right. \\ \left. \left. - \text{Exp} \left( \frac{F^2\gamma^2}{2 - 2\gamma^2} \right) (F^2 - 1)(\gamma^2 - 1)\gamma^2 \sqrt{\frac{2\gamma^2 - 3}{1 - \gamma^2}} \right) \right] \quad (6.2)$$

where  $\gamma = \sigma_1^2/\sigma_0\sigma_2$ . This result can be derived using a similar method as to derive Eq. 2.13, but by relaxing the requirement that the smallest eigenvalue is greater than zero.

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