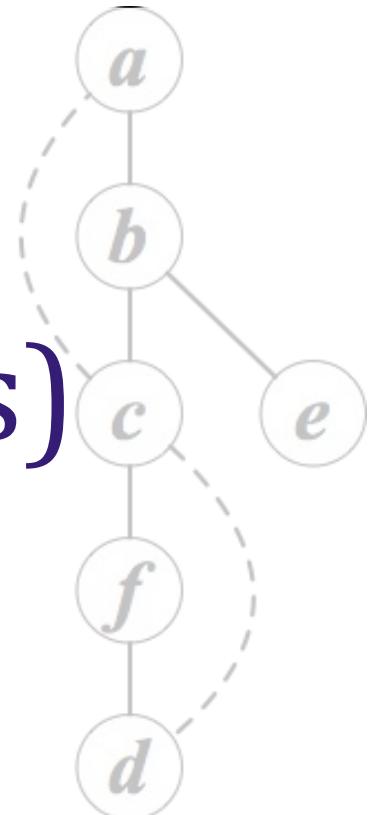


# Graphs (basics & traversals)

Reading: Kleinberg & Tardos

Ch. 3 (graphs)

Additional resource: CLRS Ch. 22



# What do these have in common?

You have embarked on...

**Trebuchet Tales**

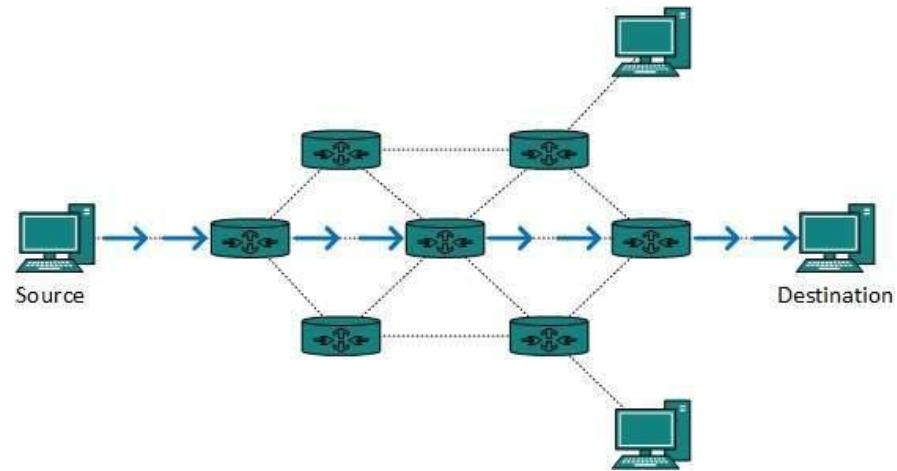
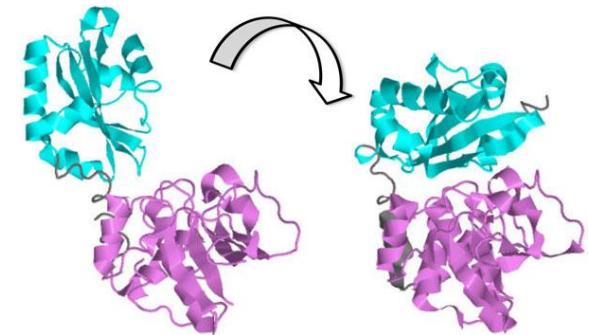
---

**Soldier Encounter**

You see some angry soldiers on a faraway hill.

Launch a 95 kilogram stone projectile over 300 meters. >

Do nothing. >

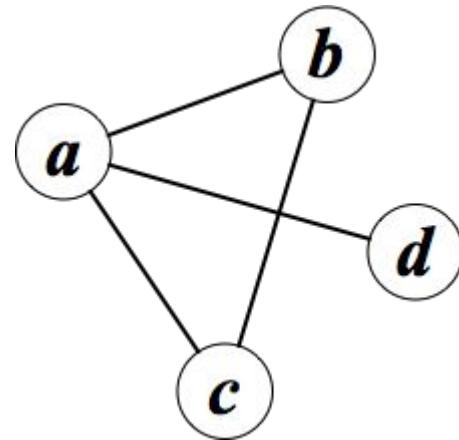


# Undirected graph

- A graph  $G = (V, E)$ 
  - $V$  is a set of *vertices*
  - $E \subseteq V \times V$  is the set of *edges*
- Typically denote  $|V| = n$  and  $|E| = m$
- Exercise:

$V = ?$

$E = ?$

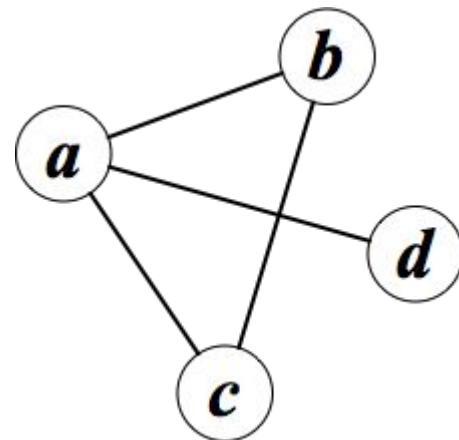


# Undirected graph

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  - $E \subseteq V \times V$  is the set of *edges*
- Typically denote  $|V| = n$  and  $|E| = m$
- Exercise:

$$V = \{a, b, c, d\}$$

$$E = \{ab, ac, ad, bc\}$$



# Graph representation

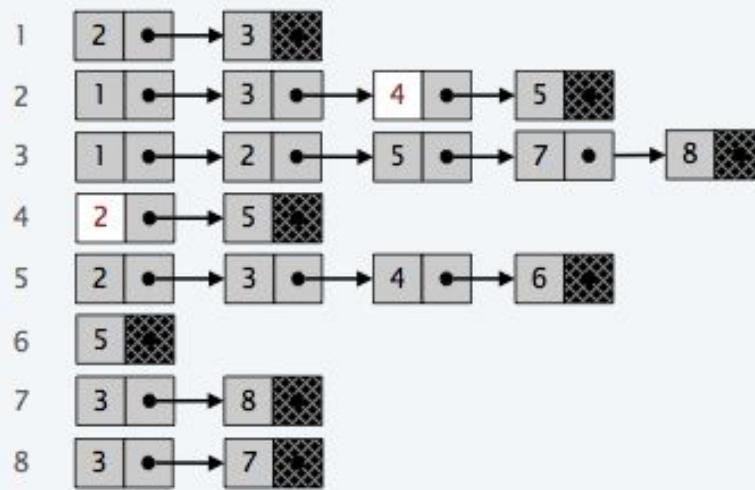
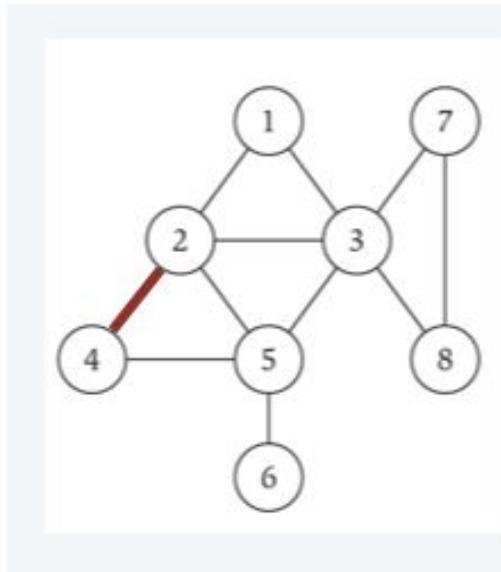
- Adjacency list
- Adjacency matrix
- Incidence matrix
- Edge sets
- ...
- Data structures impact running time!

# Graph representation

- **Adjacency list**
- Adjacency matrix
- Incidence matrix
- Edge sets
- ...
- Data structures impact running time!

# Graph representation

- **Adjacency list**



- Each edge stored twice (undirected)
- Space?  $\Theta(m+n)$
- Checking if  $uv$  is an edge?  $O(\text{degree}(u))$  time

degree: # of neighbors

# Path

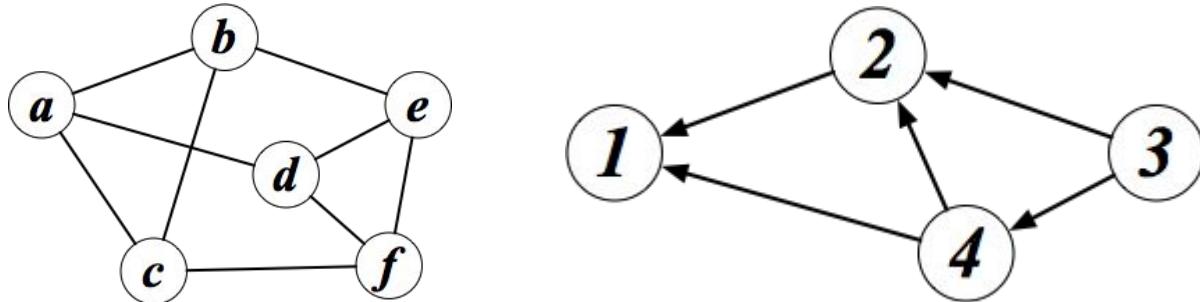
- ***path P***: sequence of vertices

$$v_1, v_2, \dots, v_{k-1}, v_k$$

such that, for each consecutive pair  $v_i, v_{i+1}$  (for all  $i = 1, \dots, k-1$ ),

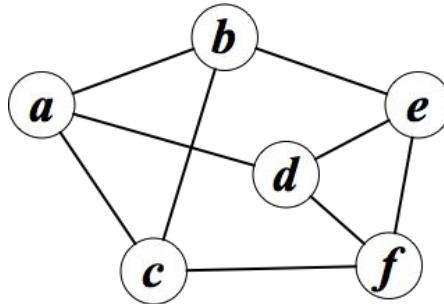
$$v_i v_{i+1} \in E$$

- “path from  $v_1$  to  $v_k$ ” or “ $v_1$ - $v_k$  path”
- ***length***: #edges traversed on path ( $k-1$ )
- ***simple path***: path where all vertices distinct (no repetitions)



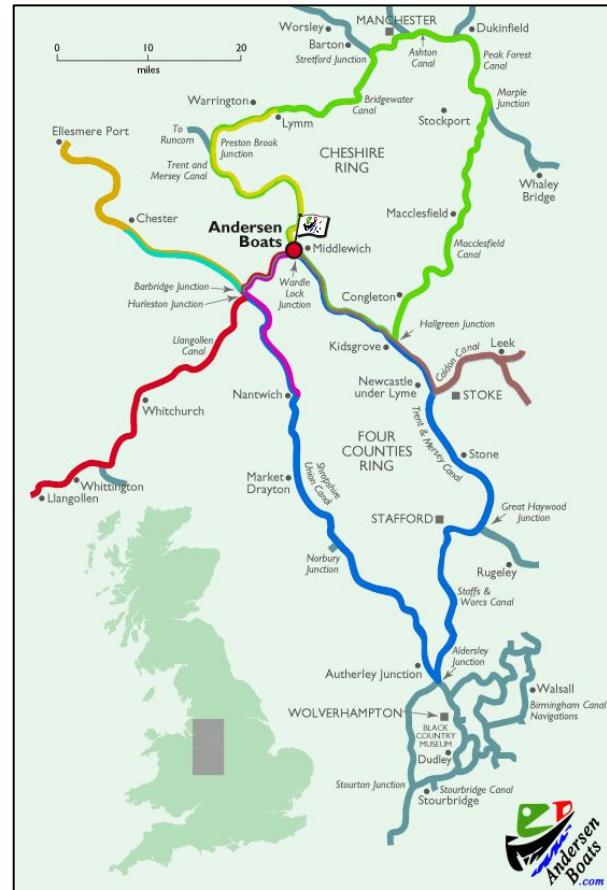
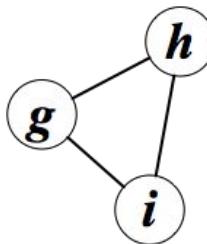
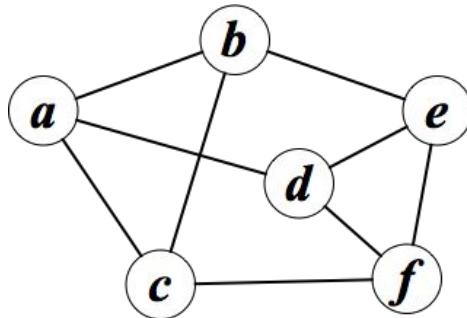
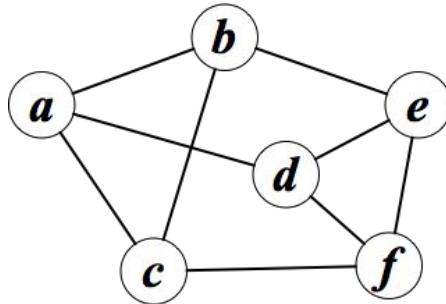
# Distance and cycles

- ***distance*** from  $u$  to  $v$ : minimum length of a  $u$ - $v$  path
- ***cycle***: path  $v_1, v_2, \dots, v_{k-1}, v_k$  where  $v_1 = v_k$ 
  - Start and end at the same vertex
- ***simple cycle***: cycle where all vertices  $v_1, v_2, \dots, v_{k-1}$  are distinct



# Connectivity

- graph is **connected**: exists path for every pair of vertices



# Trees

**tree**: connected (undirected) graph with no cycles

- **Tree properties**

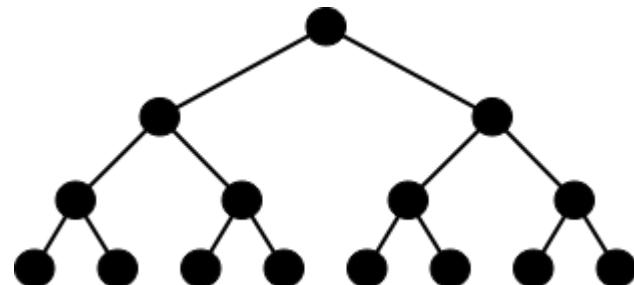
Let  $G$  be an (undirected) graph with  $n$  vertices.

Then any two of the following statements implies the third:

- $G$  is connected
- $G$  has no cycles
- $G$  has  $n-1$  edges

You've probably seen

- **Rooted tree**: tree with (directed) parent-child relationship
- Pick root  $r$  & orient all edges away from root
- Parent of  $v$ : predecessor on path from  $r$  to  $v$



# Trees

**tree**: connected (undirected) graph with no cycles

- **Tree properties**

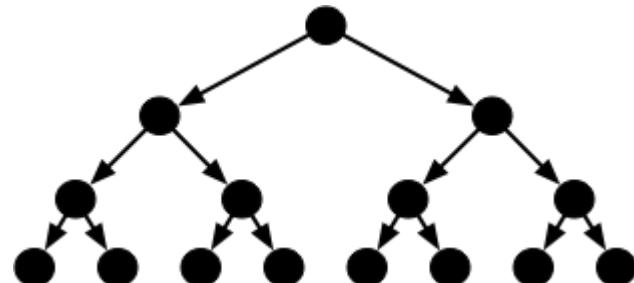
Let  $G$  be an (undirected) graph with  $n$  vertices.

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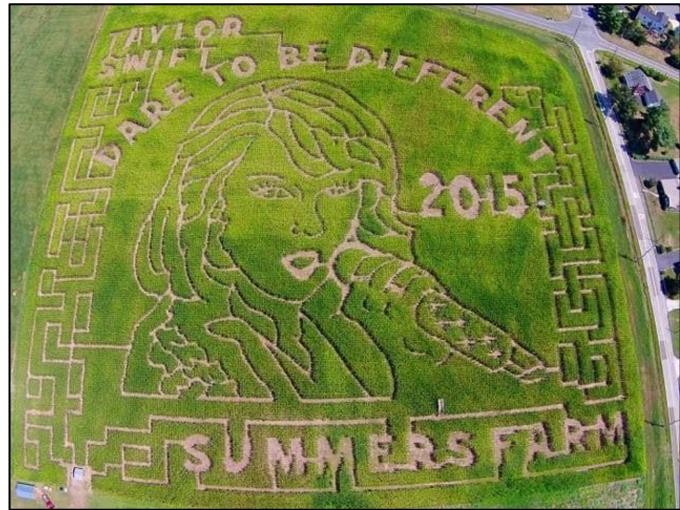
- **Rooted tree**: tree with (directed) parent-child relationship
- Pick root  $r$  & orient all edges away from root
- Parent of  $v$ : predecessor on path from  $r$  to  $v$



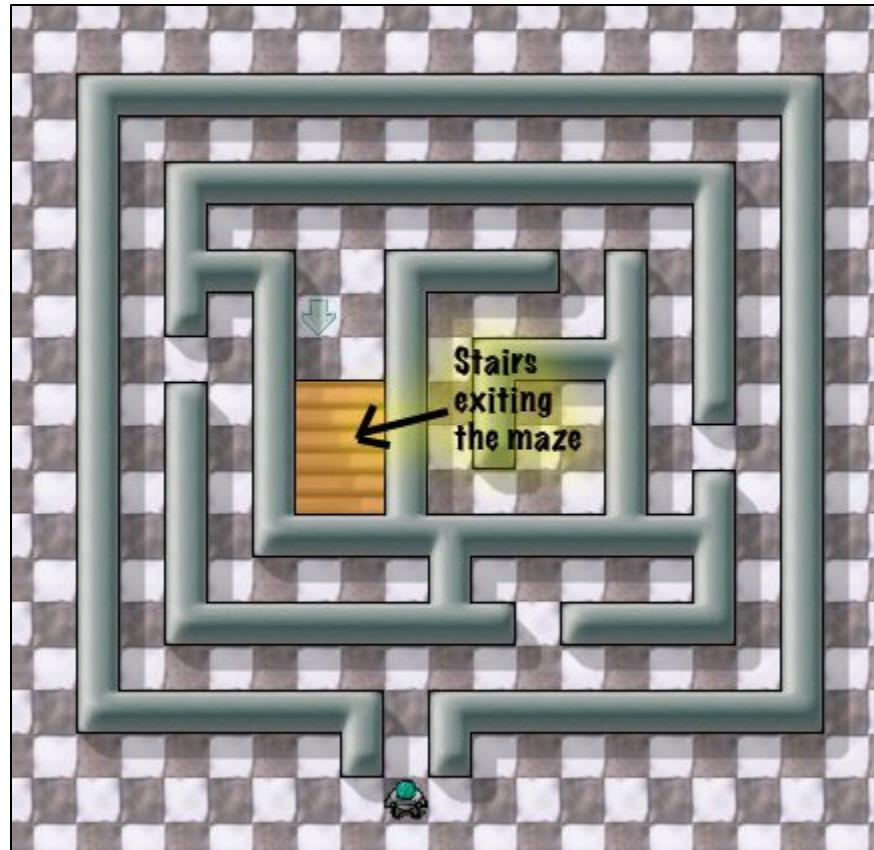
# DFS Traversal

# Don't get lost!

“The “wall follower” rule, as it’s known among maze-solving experts, is simple: If you put your right hand on a corn maze wall and walk, it will, eventually, lead you to the exit... Sounds simple, right? But here’s the catch: The rule only works if the maze is **simply connected**...term to describe a maze that consists of walls that are all *connected to the outside wall...*”



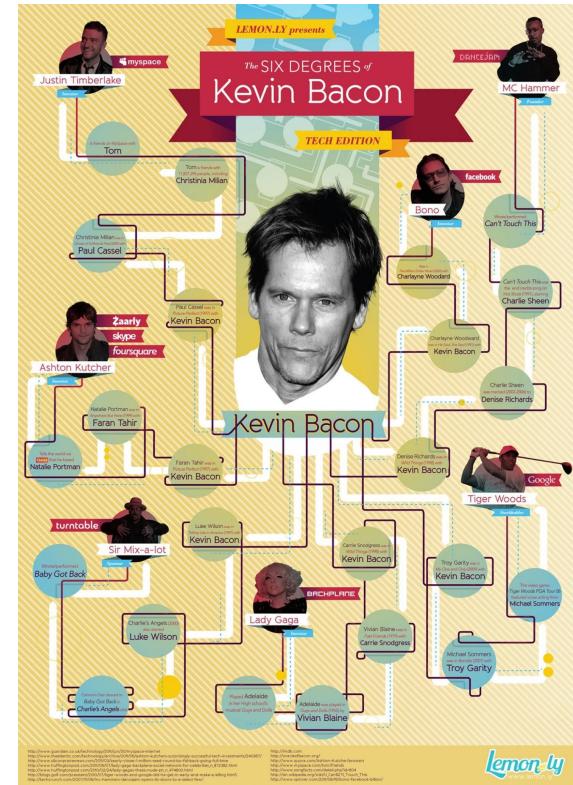
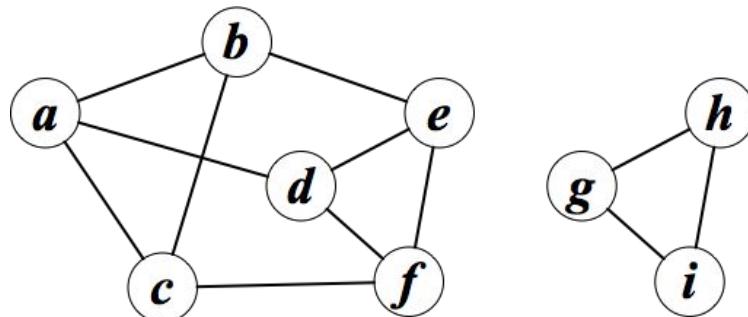
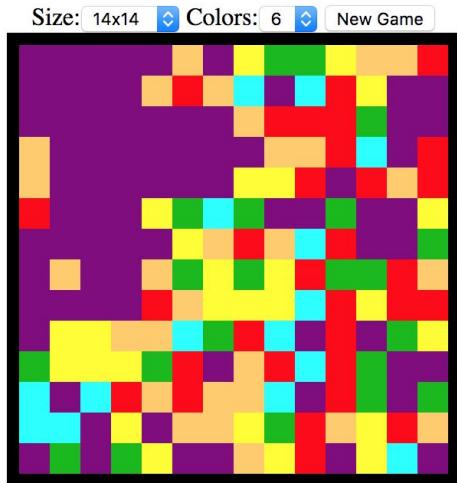
# Connected?



# Connected components - applications

- ***connected component***: maximal set of vertices s.t. exists path for every pair of vertices

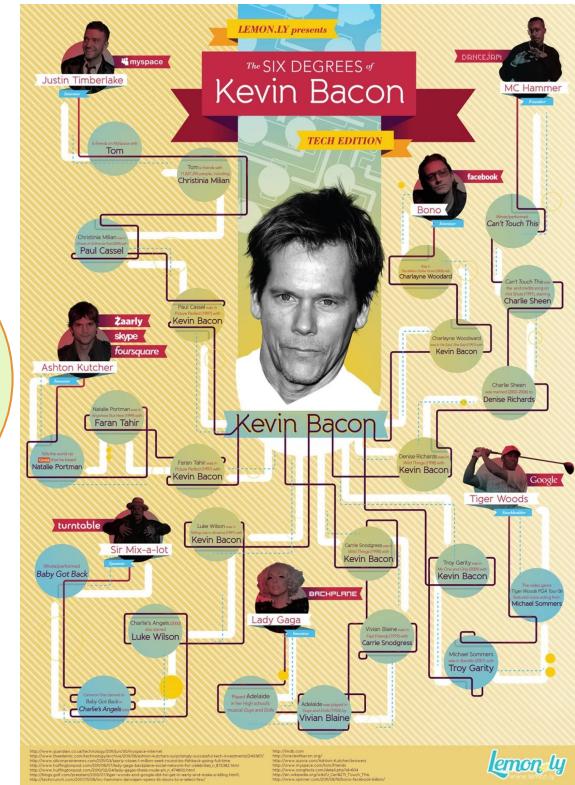
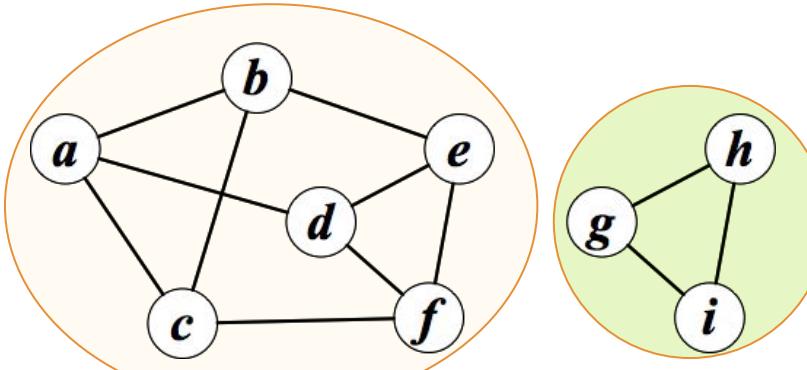
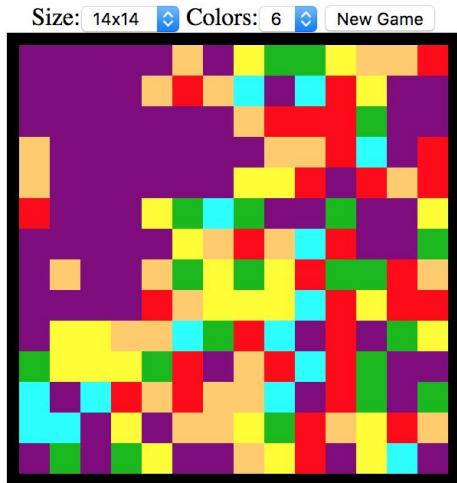
## Flood-It

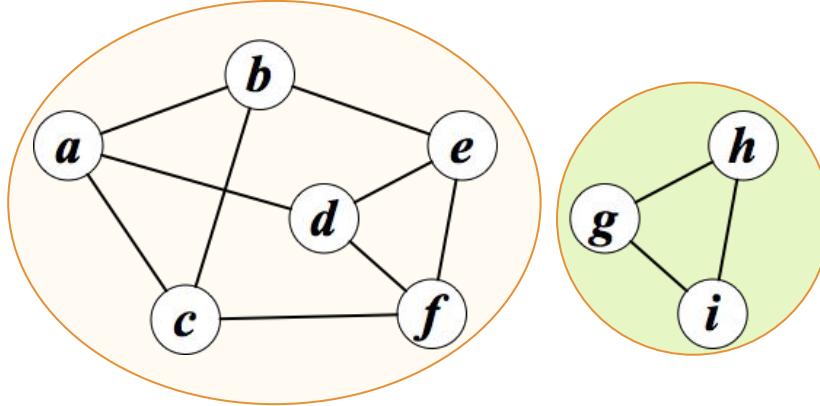


# Connected components - applications

- ***connected component***: maximal set of vertices s.t. exists path for every pair of vertices

## Flood-It

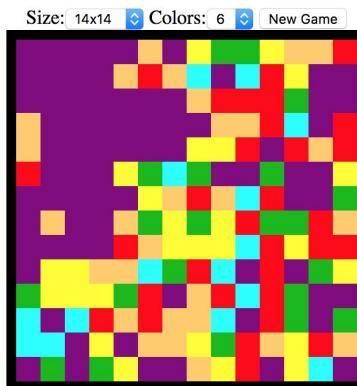




So...

how can we find a connected component?

### Flood-It



# How can we find a connected component?

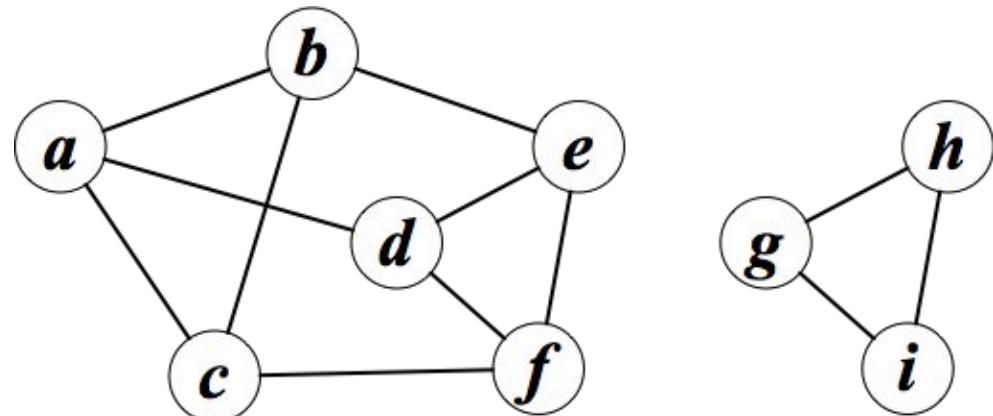
**find-component( $G, v$ ):**

for each vertex  $u$  in  $G.V$

$u.visited = \text{false}$

$C = \{\}$

explore( $G, v, C$ )



**explore( $G, x, C$ ):**

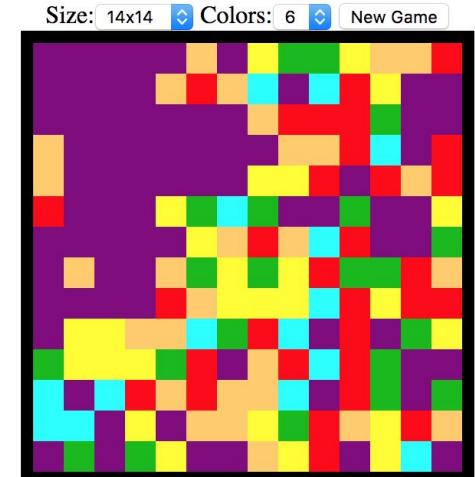
$x.visited = \text{true}$

$C = C \cup \{x\}$

for each neighbor  $y$  of  $x$

    if !( $y.visited$ )

        explore( $G, y, C$ )



# Find a connected component -- running time

**find-component( $G, v$ ):**

for each vertex  $u$  in  $G.V$

$u.visited = \text{false}$

$n$  iterations

$C = \{\}$

$\text{explore}(G, v, C)$

**explore( $G, x, C$ ):**

$x.visited = \text{true}$

$\leq n$  invocations

$C = C \cup \{x\}$

    for each neighbor  $y$  of  $x$

        if  $!(y.visited)$

$2m$  iterations =  
 $O(m)$

$\text{explore}(G, y, C)$

Total:  
 $O(m + n)$

# How can we experience all the storylines?

- Can you describe a process?
- In pseudocode?
- What is the running time?

You have embarked on...

**Trebuchet Tales**

---

**Soldier Encounter**

You see some angry soldiers on a faraway hill.

Launch a 95 kilogram stone projectile over 300 meters.	>
Do nothing.	>

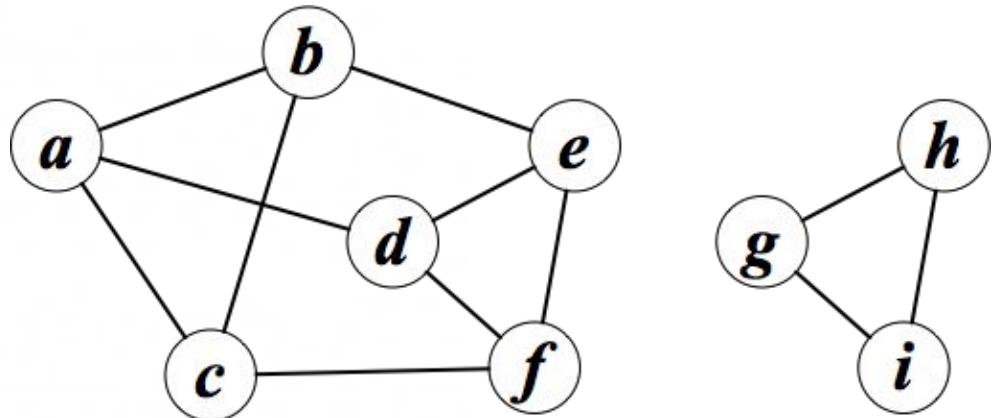
# Depth-first search (backtracking)

DFS( $G$ )

```
1  for each vertex  $u \in G.V$ 
2       $u.color = \text{WHITE}$ 
3       $u.\pi = \text{NIL}$ 
4   $time = 0$ 
5  for each vertex  $u \in G.V$ 
6      if  $u.color == \text{WHITE}$ 
7          DFS-VISIT( $G, u$ )
```

DFS-VISIT( $G, u$ )

```
1   $time = time + 1$            // white vertex  $u$  has just been discovered
2   $u.d = time$ 
3   $u.color = \text{GRAY}$ 
4  for each  $v \in G.Adj[u]$     // explore edge  $(u, v)$ 
5      if  $v.color == \text{WHITE}$ 
6           $v.\pi = u$ 
7          DFS-VISIT( $G, v$ )
8   $u.color = \text{BLACK}$         // blacken  $u$ ; it is finished
9   $time = time + 1$ 
10  $u.f = time$ 
```



Constructs a rooted tree for each connected component

# Depth-first search - running time?

DFS( $G$ )

```
1  for each vertex  $u \in G.V$ 
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4   $time = 0$ 
5  for each vertex  $u \in G.V$ 
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```

$O(n)$

$n$  invocations

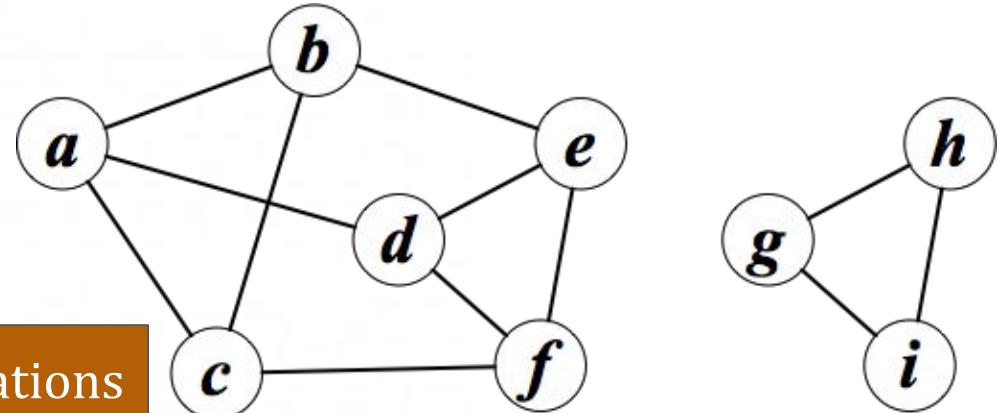
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9   $time = time + 1$ 
10  $u.f = time$ 
```

// explore edge  $(u, v)$

$2m = O(m)$

// blacken  $u$ ; it is finished



Total:  
 $O(m + n)$

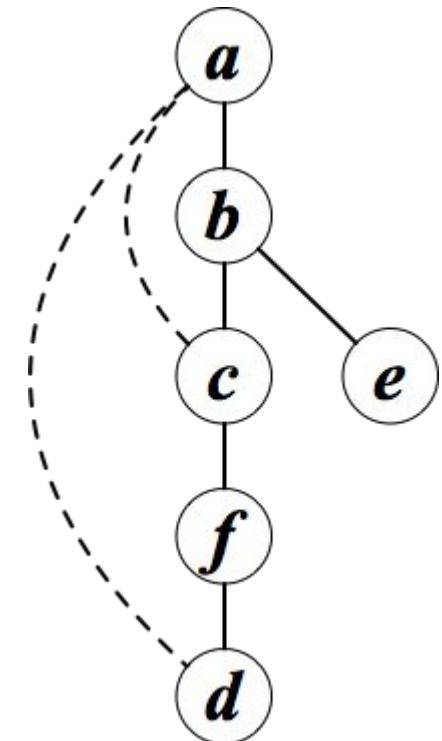
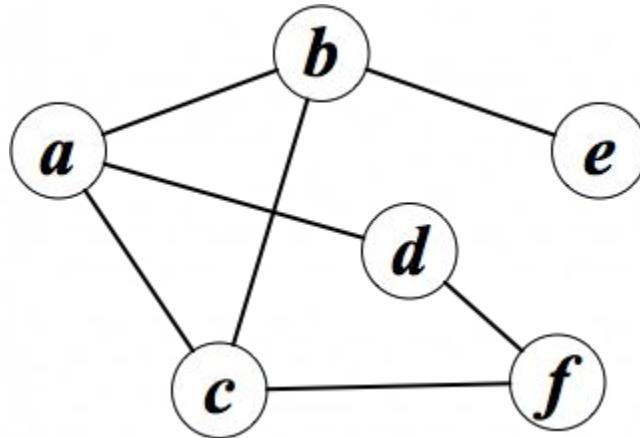
# For example...

DFS( $G$ )

```
1  for each vertex  $u \in G.V$ 
2       $u.color = \text{WHITE}$ 
3       $u.\pi = \text{NIL}$ 
4   $time = 0$ 
5  for each vertex  $u \in G.V$ 
6      if  $u.color == \text{WHITE}$ 
7          DFS-VISIT( $G, u$ )
```

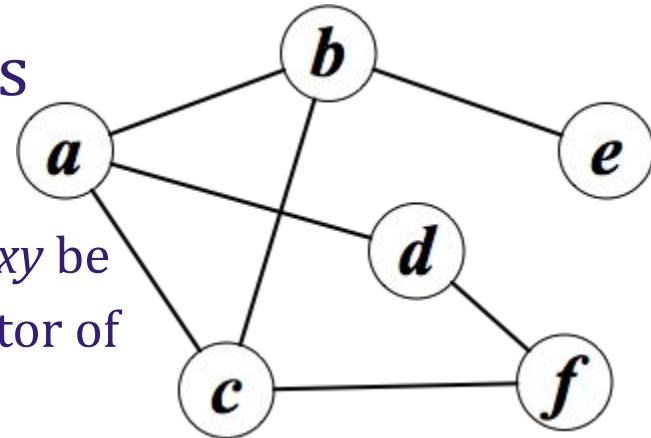
DFS-VISIT( $G, u$ )

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```



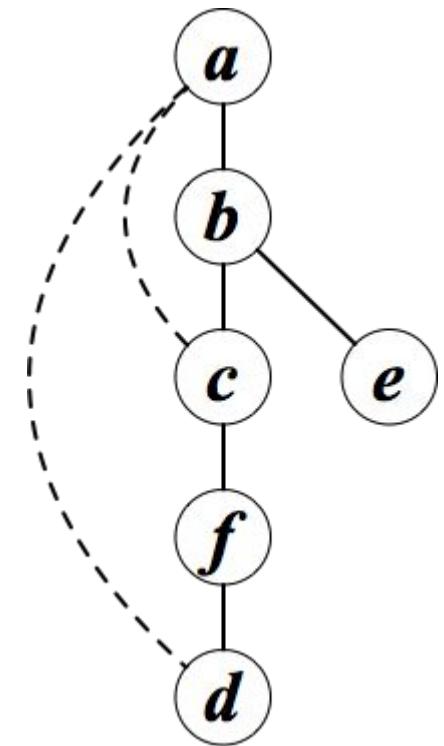
## DFS: a small result on non-tree edges

Claim: Let  $T$  be the tree discovered by DFS, and let  $xy$  be an edge of  $G$  not in  $T$ . Then one of  $x$  or  $y$  is an ancestor of the other.



Proof: Let  $u$  be the first of the two nodes explored and  $v$  the other.

- Is  $v$  explored at beginning of  $\text{DFS}(u)$ ? No.
- At some point during recursive calls from  $\text{DFS}(u)$ , we examine the edge  $uv$ .
- Is  $v$  explored then? Yes; otherwise  $uv$  would be in  $T$
- $\Rightarrow v$  was explored during  $\text{DFS}(u)$
- $\Rightarrow v$  is a descendant of  $u$

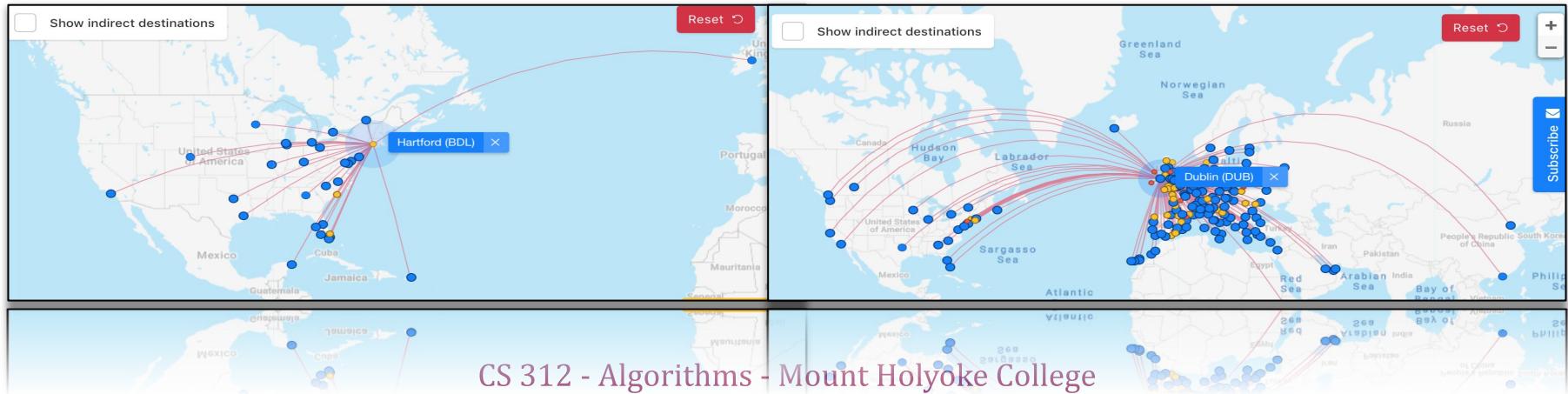


# BFS Traversal

Do you want to travel the world?

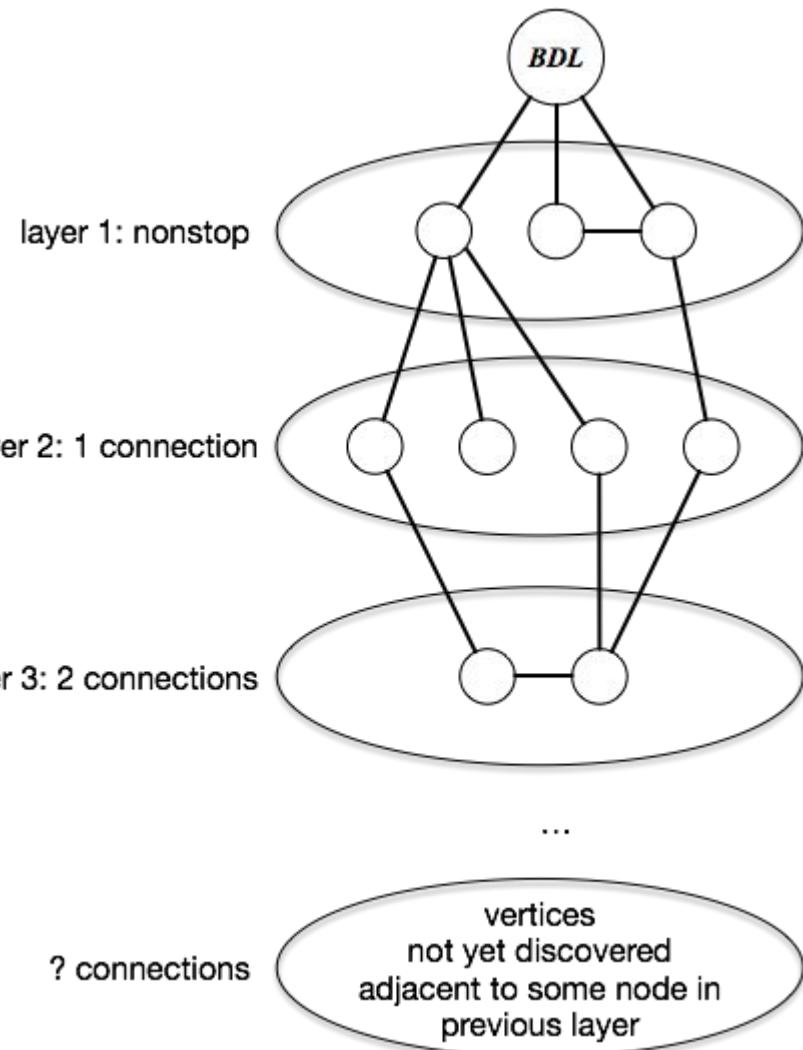
# Flight hopping

- Construct graph  $G = (V, E)$ 
  - $V$ : airports
  - $E$ : edge between two airports if nonstop flight
- Where could we go on a nonstop?
- With 1 connection? 2 connections?
- Can you fly to Boston?



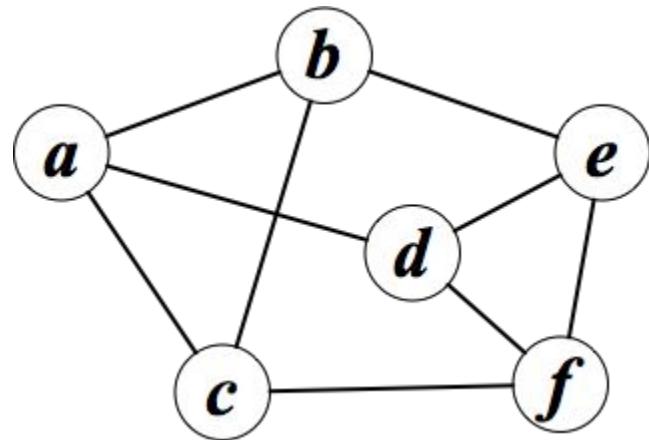
# Breadth-first search

- Explore outward from starting vertex by distance
- “Expanding wave”



# BFS layers

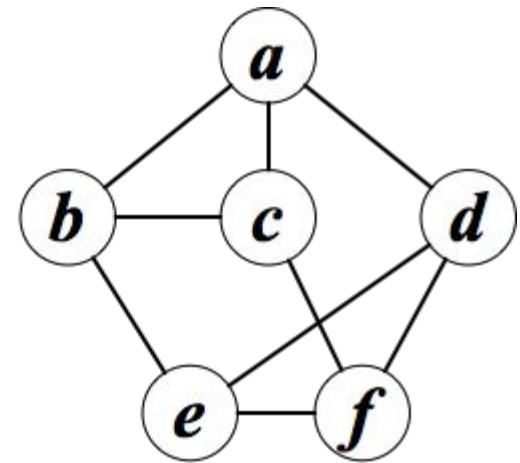
BFS from  $a$



layer 0

layer 1

layer 2



# BFS implementation

**BFS( $G, v$ ):**

for each vertex  $u$  in  $G.V$

$u.visited = \text{false}$

$n$  iterations

$v.layer = 0$

$Q = \text{empty queue}$

$\leq n$  iterations

$Q.enqueue(v)$

$v.visited = \text{true}$

    while  $\neq Q.empty()$

$x = Q.dequeue()$

        for each neighbor  $y$  of  $x$

$\leq 2m$  iterations

            if  $\neq y.visited$

$y.visited = \text{true}$

$y.layer = x.layer + 1$

$Q.enqueue(y)$

Total:  
 $O(m + n)$

# BFS can produce a tree

**BFS( $G, v$ ):**

for each vertex  $u$  in  $G.V$

$u.visited = \text{false}$

$v.layer = 0$

$v.parent = \text{null}$

$Q = \text{empty queue}$

$Q.enqueue(v)$

$v.visited = \text{true}$

while  $\text{!}Q.\text{empty}()$

$x = Q.dequeue()$

for each neighbor  $y$  of  $x$

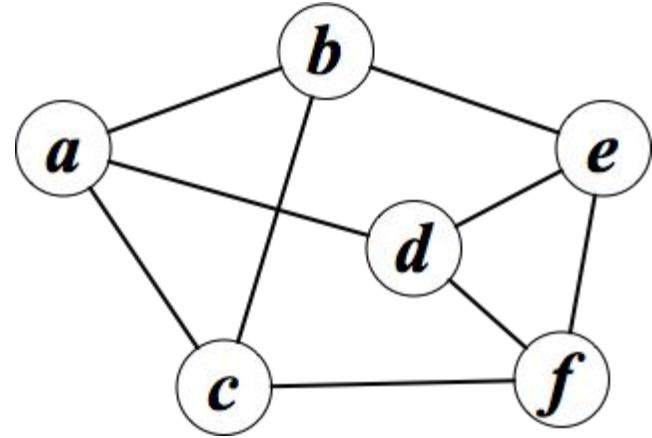
if  $\text{!}(y.\text{visited})$

$y.\text{visited} = \text{true}$

$y.parent = x$

$y.layer = x.layer + 1$

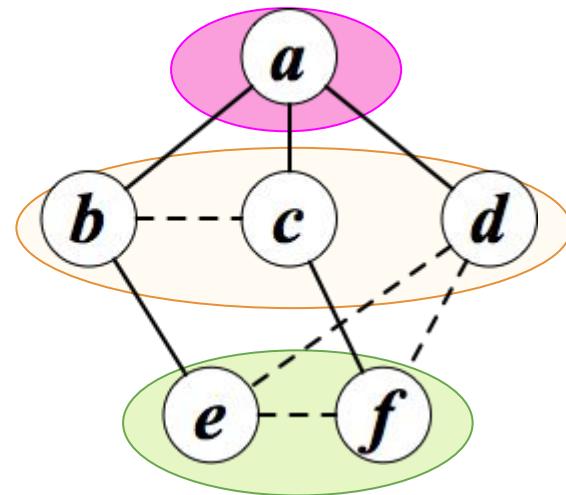
$Q.enqueue(y)$



layer 0

layer 1

layer 2

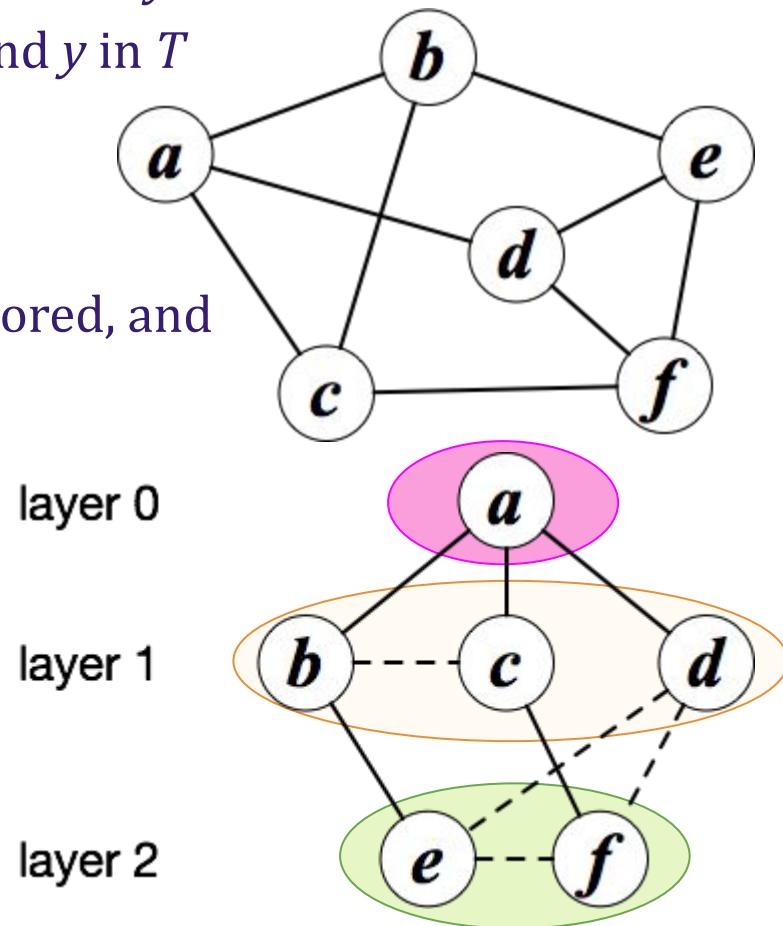


# BFS: a small result on non-tree edges

Claim: Let  $T$  be the tree discovered by BFS, and let  $xy$  be an edge of  $G$  not in  $T$ . Then the layer of  $x$  and  $y$  in  $T$  differ by at most 1.

Proof: Let  $u$  be the first of the two nodes explored, and  $v$  the other. Let  $L_i$  be  $u$ 's layer in  $T$ .

- Then  $v \in L_j$  for  $j \geq i$ .
- When neighbors of  $u$  are explored,  $v$  is either already in  $L_i$  or  $L_{i+1}$ , or is discovered and added to  $L_{i+1}$ .



# Generic graph traversal

Let  $A$  = data structure of discovered vertices

**traverse**( $G, v$ ):

for each vertex  $u$  in  $G.V$

$u.\text{explored} = \text{false}$

$A$  = empty data structure

$A.\text{add}(v)$

while  $\text{!}A.\text{empty}()$

$x = A.\text{remove}()$

    if  $\text{!}x.\text{explored}:$

$x.\text{explored} = \text{true}$

        for each neighbor  $y$  of  $x$

$A.\text{add}(y)$

BFS:  $A$  is a queue (FIFO)

DFS:  $A$  is a stack (LIFO)

- Can a node be discovered (placed in  $A$ ) multiple times? Yes.
- For DFS, node is explored from parent that added it last (LIFO).
- For BFS, can avoid by not adding discovered nodes.

# What about the rest of the graph?

- How to explore entire graph even if it is disconnected?

while (there is some unexplored node  $v$ )

traverse( $v$ ) // Run BFS/DFS starting from  $v$

- Running time -- does it change?
  - naive:  $O(m+n)$  per component  $\Rightarrow O(c(m+n))$  if  $c$  components
  - better: traversal for component  $C$  only works on vertices/edges in  $C$ 
    - Time for component  $C$ :  $O(\# \text{edges in } C + \# \text{vertices in } C)$
    - Total time still  $O(m+n)$
- Usually OK to assume graph is connected.
  - State if you are doing so and why it does not trivialize the problem.

# Traversals

- BFS/DFS: basic algorithmic primitive used in many other algorithms
  - Different versions of general exploration strategy
- Can answer:
  - Is there a path from u to v?
  - Find all connected components
  - Produce trees with different properties
- $\Theta(m+n)$  time