

NFAs

Sipser 1.2 (pages 47–54)

Recall...

- We showed that the class of regular languages is closed under:
 - Complement
 - Union
 - Intersection

Concatenation operation

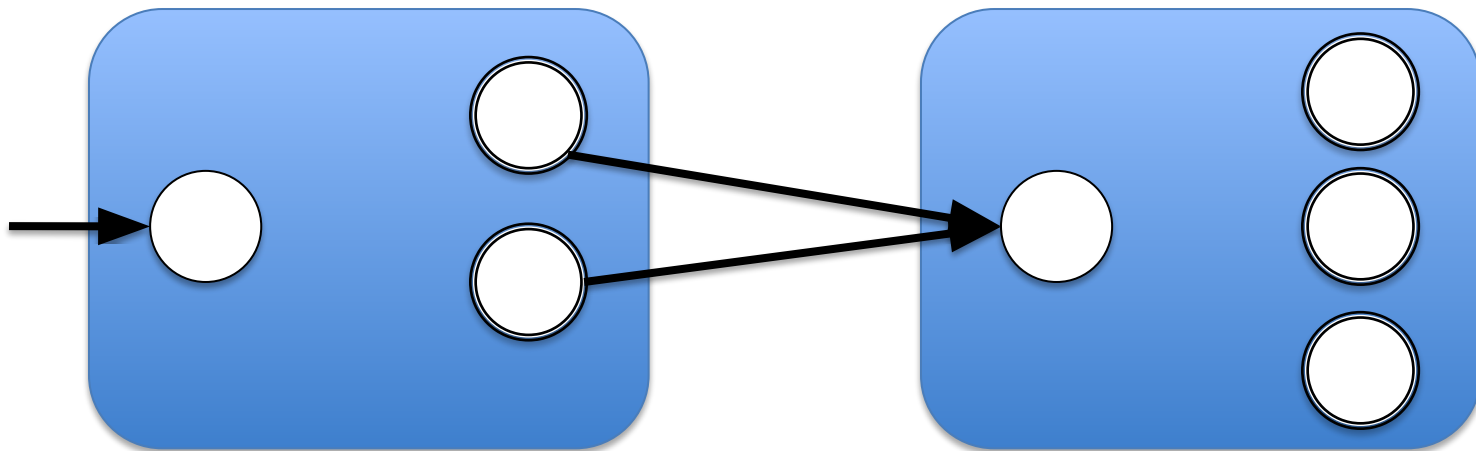
- Let A and B be languages.
- The **concatenation of A and B is**
 $A \circ B = \{xy \mid x \in A \textbf{ and } y \in B\}.$

Example

- Let $A = \{w \mid w \text{ is a string of } 0\text{s and } 1\text{s} \text{ containing an odd number of } 1\text{s}\}$
- Let $B = \{w \mid w \text{ is a string of } 0\text{s and } 1\text{s} \text{ containing an even number of } 1\text{s}\}$
- What are $A \circ B$; $B \circ A$; $A \circ A$; $B \circ B$?
 - Are any of these languages regular?

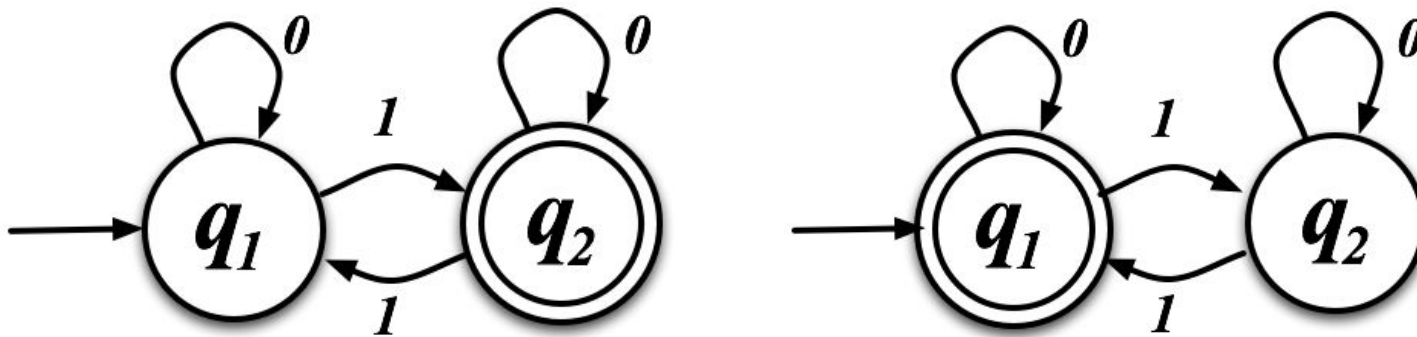
Concatenation

- Conjecture: The class of regular languages is *closed* under the *concatenation* operation.
- Proof idea:

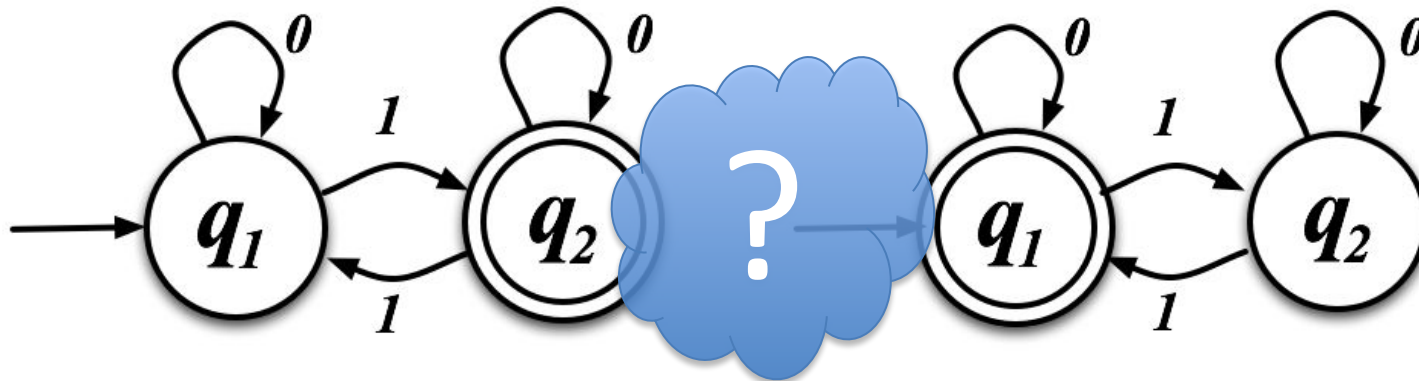


Hmm...

- $A = \{w \mid w \text{ is a string of } 0\text{s and } 1\text{s} \text{ containing an odd number of } 1\text{s}\}$
- $B = \{w \mid w \text{ is a string of } 0\text{s and } 1\text{s} \text{ containing an even number of } 1\text{s}\}$
- Create a machine by gluing... how?

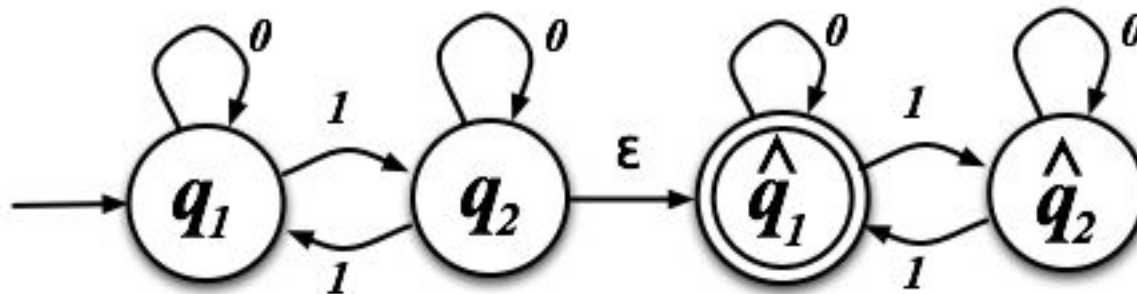


We need another approach



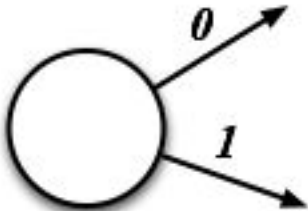
The empty symbol ϵ

- Seems like it might work
- Let's try running it...

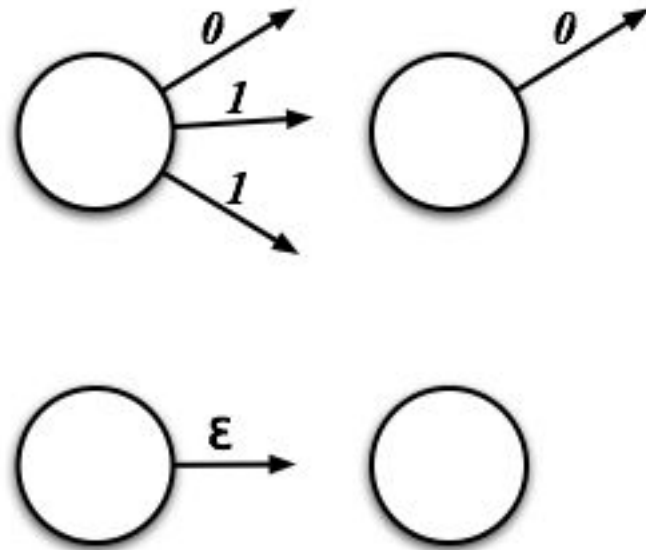


Relaxing the rules

**Deterministic
(DFA)**



**Nondeterministic
(NFA)**

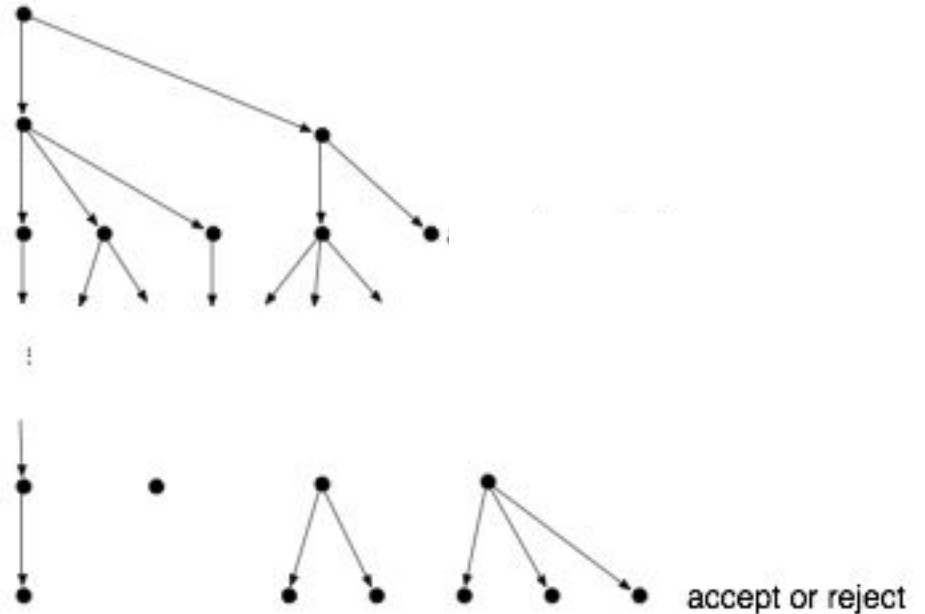


Running DFA vs NFA

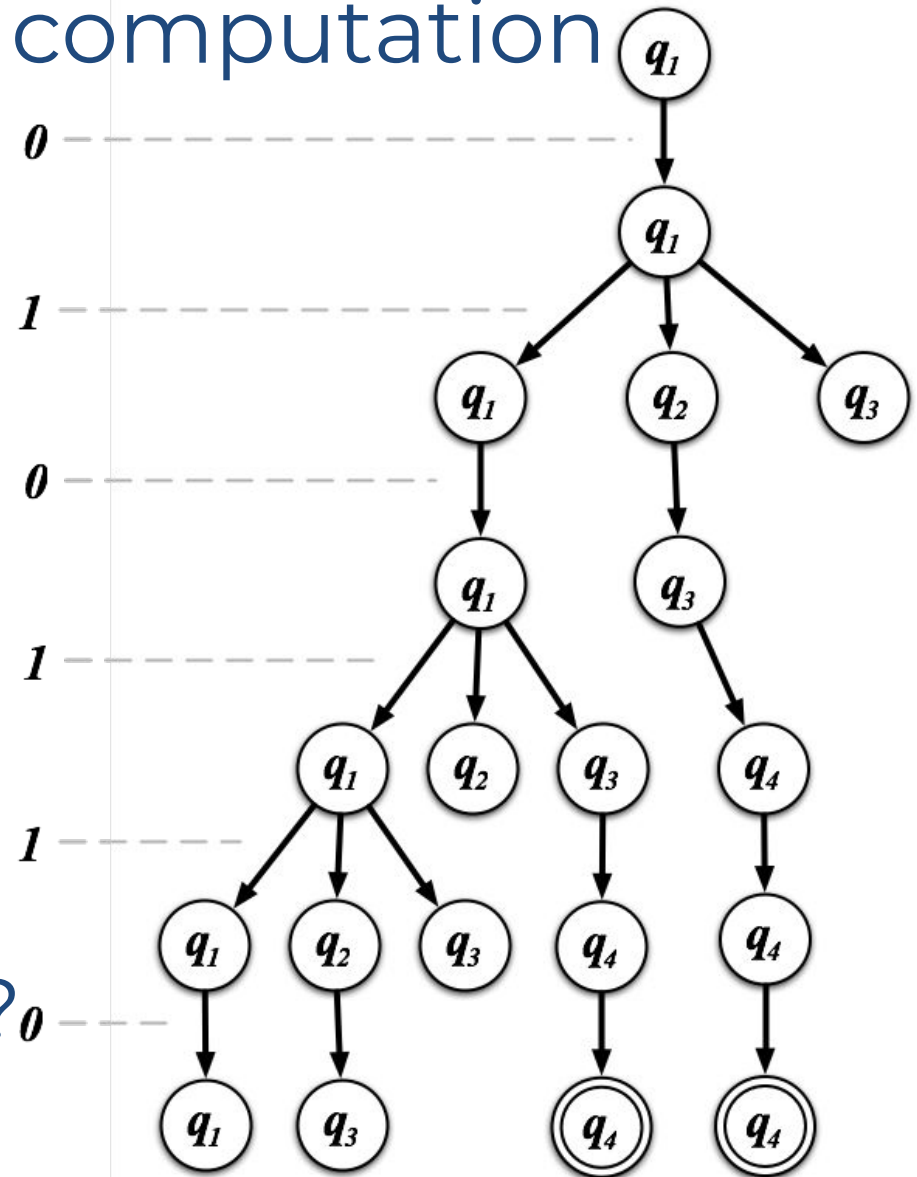
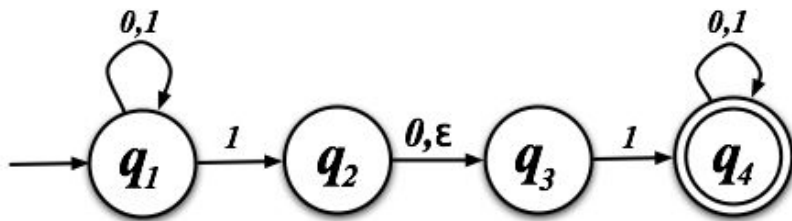
DFA computation (path)



NFA computation (tree)



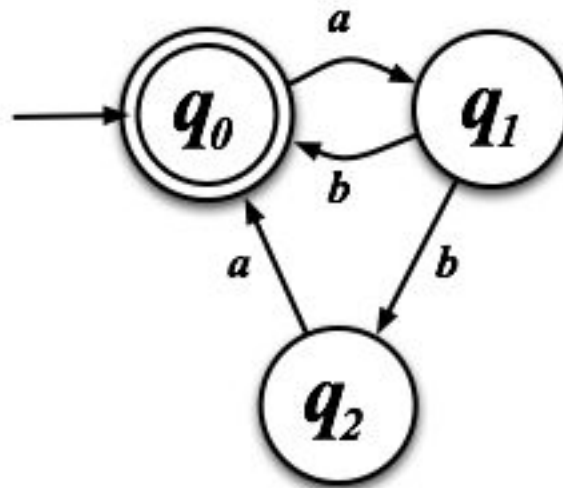
An example computation



- What about 1011?

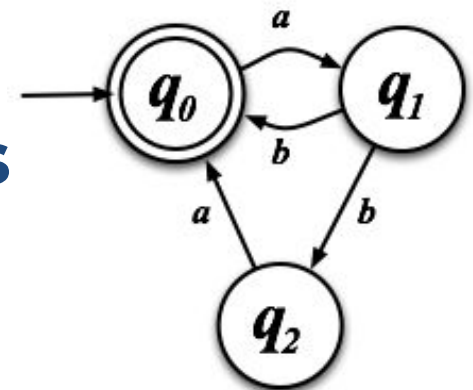
Another example

- What language is being recognized?
- *Hint:* can you start listing strings accepted?



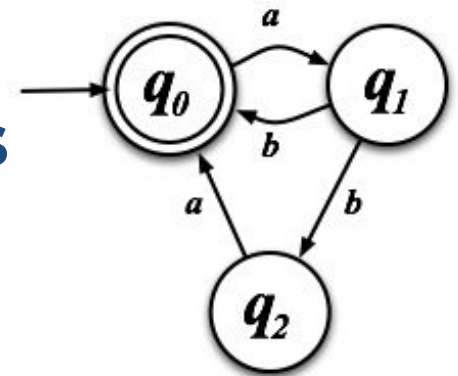
Formally...

- A **nondeterministic finite automaton (NFA)** is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where
 - Q is a finite set called the **states**
 - Σ is a finite set called the **alphabet**
 - $\delta: Q \times \Sigma^* \rightarrow P(Q)$ is the **transition function**
 - $q_0 \in Q$ is the **start state**
 - $F \subseteq Q$ is a set of **accept states**
- In-class exercise:



NFA

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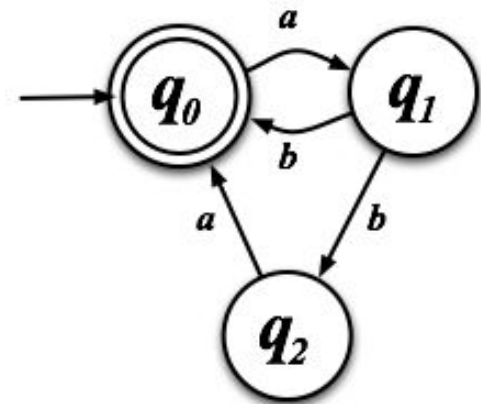


NFA computation

- Let $N=(Q, \Sigma, \delta, q_0, F)$ be a NFA and let w be a string over the alphabet Σ
- Then N **accepts** w if
 - w can be written as $w_1w_2w_3...w_m$ with each $w_i \in \Sigma$ and
 - There exists a sequence of states

$s_0, s_1, s_2, \dots, s_m$ exists in Q with the following conditions:

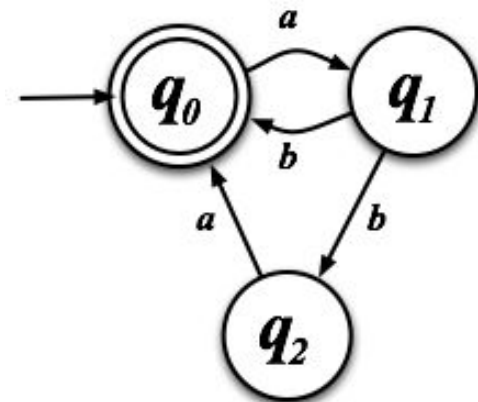
1. $s_0 = q_0$
2. $s_{i+1} \in \delta(s_i, w_{i+1})$ for $i = 0, \dots, m-1$
3. $s_m \in F$



NFA computation

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- Then N **accepts** w if
 - w can be written as $w_1w_2w_3...w_m$ with each $w_i \in \Sigma$ and
 - There exists a sequence of states $s_0, s_1, s_2, \dots, s_m$ exists in Q with the following conditions:

1. $s_0 = q_0$
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3. $s_m \in F$



Nondeterminism makes life easier

- Let's build an NFA that recognizes $B = \{w \mid w \text{ is a string over } \{a,b\} \text{ that starts and ends with the same symbol}\}$

$C = \{w \mid w \text{ is a string over } \{0,1\} \text{ that contains at least three } 1\text{'s}\}$

$D = \{w \mid w \text{ is a string over } \{0,1\} \text{ that contains at least three consecutive } 1\text{'s}\}$

If at first you don't succeed...

... adjust your goal!

We wanted to prove:

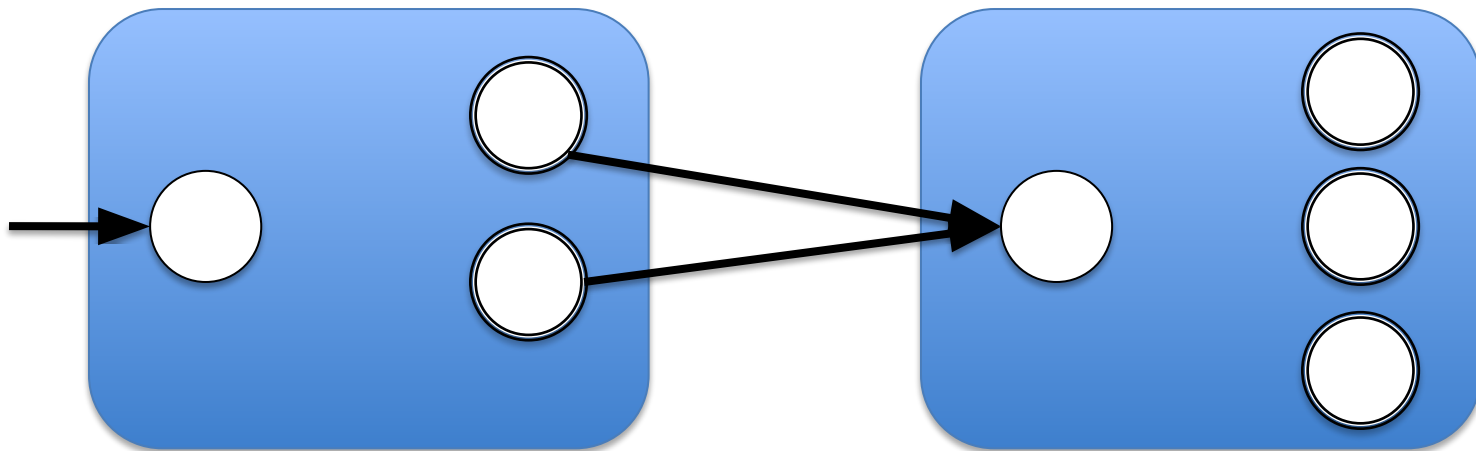
The class of regular languages is *closed* under the *concatenation* operation.

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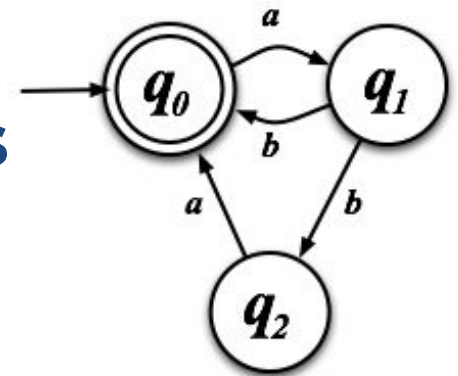
Instead, let's prove the theorem:

The class of languages recognized by NFAs is *closed* under the *concatenation* operation.



NFA

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 - Q is a finite set called the **states**
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 - $\delta: Q \times \Sigma^* \rightarrow P(Q)$ is the **transition function**
 - $q_0 \in Q$ is the **start state**
 - $F \subseteq Q$ is a set of **accept states**
- In-class exercise:



Proof Let $A+B$ be languages recognized by NFAs $N_A + N_B$;
 $L(N_A) = A + L(N_B) = B$, where $N_A = (Q_A, \Sigma, \delta_A, q_A, F_A)$
 and $N_B = (Q_B, \Sigma, \delta_B, q_B, F_B)$.

We construct the NFA $N = (Q, \Sigma, \delta, \cancel{q_0}, \cancel{F})$,
 where $Q = Q_A \cup Q_B$

$$\delta(q, \sigma) = \begin{cases} \delta_B(q, \sigma) & \text{if } q \in Q_B \\ \delta_A(q, \sigma) & \text{if } \underline{q \in Q_A \setminus F_A} \\ \{q_B\} \cup \delta_A(q, \sigma) & \text{if } q \in F_A \text{ and } \sigma = \epsilon \\ \delta_A(q, \sigma) & \text{otherwise} \end{cases}$$

not accept in first machine

otherwise (q ∈ F_A and σ ≠ ε)

$$\cancel{q_0 = q_A}$$

$$\cancel{F = F_B}$$

Since $L(N) = A \circ B$, the class of lang. recog. by NFAs is closed under \circ .