

# Search and Retrieval Algorithms

Audrey Weaver

January 31, 2025

## 1 Introduction

Searching for a value in a list is a fundamental operation that many algorithms can solve, each with unique performance characteristics. These distinct features determine the suitability of each algorithm for specific problem types. Here, we explore the empirical time and memory tradeoffs of three popular options: binary search, merge search, and hash table search. Binary search sorts the database and then uses binary search to find each query in the database ( $O(D \log D) + O(Q \log D)$ ). Merge search sorts both lists and then uses the merge operation to step through the lists in order to find matches ( $O(D \log D) + O(Q \log Q) + O(Q + D)$ ). The hash table search for each query ( $O(D) + O(Q)$ , amortized). As expected, hash tables used the most memory and were fastest, making them the most efficient when database size is not a restriction. Notably, merge search outperformed binary search in handling large query sets below approximately 60% percent of the database size, and should be used when the query set constitutes less than 60% of the database size.

## 2 Results

As expected, the hash table search was between 2X and 5X faster than the other methods but required over 4X more memory (Figure 1). Binary and merge search had identical memory footprints and similar runtime across the query set percentage range, with binary search slightly faster than merge search for query percentages below 60 and merge search slightly faster for larger query sets above 60% of a database of 20,000 strings (Figure 2).

Interestingly, in experiment 1 which considered time and memory usage of search algorithms with a consistent percentage query size of an increasing database size, merge search was consistently faster than binary search with hash table search significantly faster than both. Memory on the other hand, was more comparable between all three algorithms. According to this experiment when using a query size of 10% of the database, the size of the database does not make as impactful of a difference in memory. Depending on whether speed or memory is more restrictive, either hash table or binary search will be more efficient, respectively.

## 3 Methods

### 3.1 Empirical comparison

We measured the time and memory usage of binary, merge, and hash table searches considering a database of 20,000 strings and query sets ranging from 10-90% of the query size. Strings for the database and query sets were drawn randomly from a word list without replacement. We created a new database and query set for each query set size and ran each search method, recording the run time and memory usage separately. We repeated this step thirty times and retained the mean time and memory metrics.

We then measured the same attributes of the same algorithms using a set query percentage of 10% of the database size which increased by 2000 from 100 to 20,000 strings.

### 3.2 Reproducibility

To replicate these experiments, clone the repository and then run experiments 1 and 2 as follows:

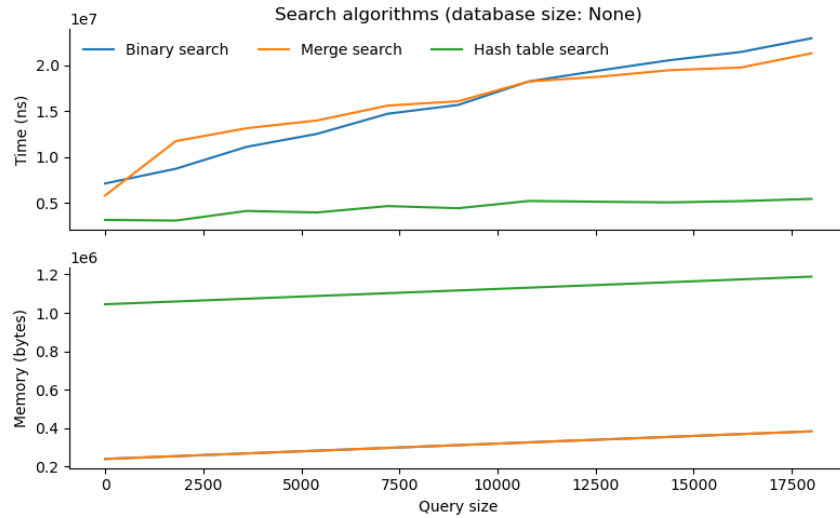


Figure 1: The empirical runtime and memory usage of search algorithm considering a database with 20,000 strings and a varying percentage of string queries( Experiment 2).

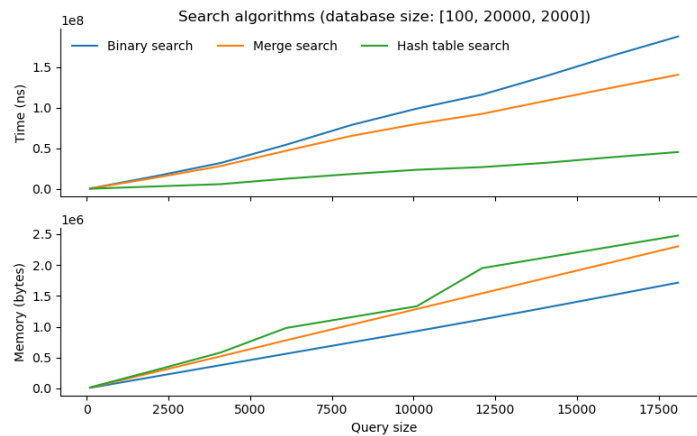


Figure 2: The empirical runtime and memory usage of search algorithm considering a consistent query size of 10% of an increasingly sized database (Experiment 1).

```
$ clone https://github.com/audreyw04/searching.git
$ cd search
$ python src/search.py \
  --experiment_number 1 \
  --query_percent 10 \
  --database_range 100 20000 2000 \
  --values_file ./data/words.txt.gz \
  --rounds 30 \
  --out_file ../doc/db100-20000-200qp10.png
$ python ./src/search.py \
  --experiment_number 2 \
  --query_percent 90 \
  --values_file ./data/words.txt.gz \
  --rounds 30 \
  --out_file ../doc/db20000_qp90_r30.png
```