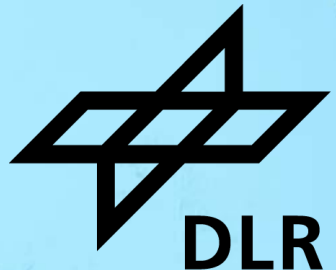


# **INTRODUCTION TO DEEP LEARNING**

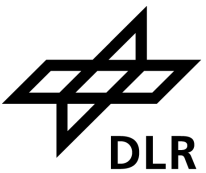
## **PART II – ADVANCED CONCEPT AND CNN**

**Auliya Fitri, Sai Vemuri, Sreerag Naveenachandran**

**Machine Learning Group  
Institute of Data Science**



# Schedule



Date	Time	Activity
13.11.2025  Day 1	09:00 - 10:00	Introduction and basics
	10:00 - 10:30	Hands-on I
	10:30 - 10:45	Coffee break
	10:45 - 11:45	Advanced concept and Convolutional Neural Network
	11:45 - 12:15	Hands-on II
	12:15 - 12:30	Recap Day 1
14.11.2025  Day 2	09:00 - 10:00	Deep Generative Model
	10:00 - 10:30	Hands-on III
	10:30 - 10:45	Coffee break
	10:45 - 11:45	Transformer
	11:45 - 12:15	Hands-on IV
	12:15 - 12:30	Code and knowledge sources + closing

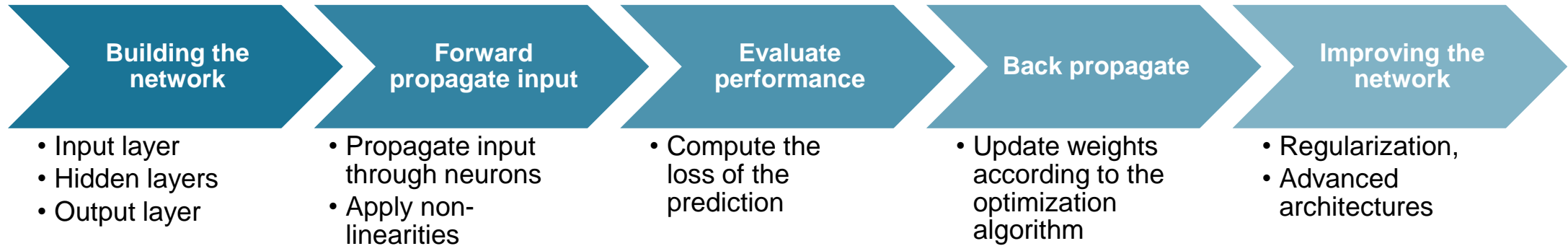


## II. Advanced Concept and Convolutional Neural Network

- Regularization
- Variants of Neural Networks
- Convolutional Neural Networks (CNN)

*Inspired by lectures from Paris Saclay and MIT; images taken from these, if not noted otherwise*

# Neural network concepts



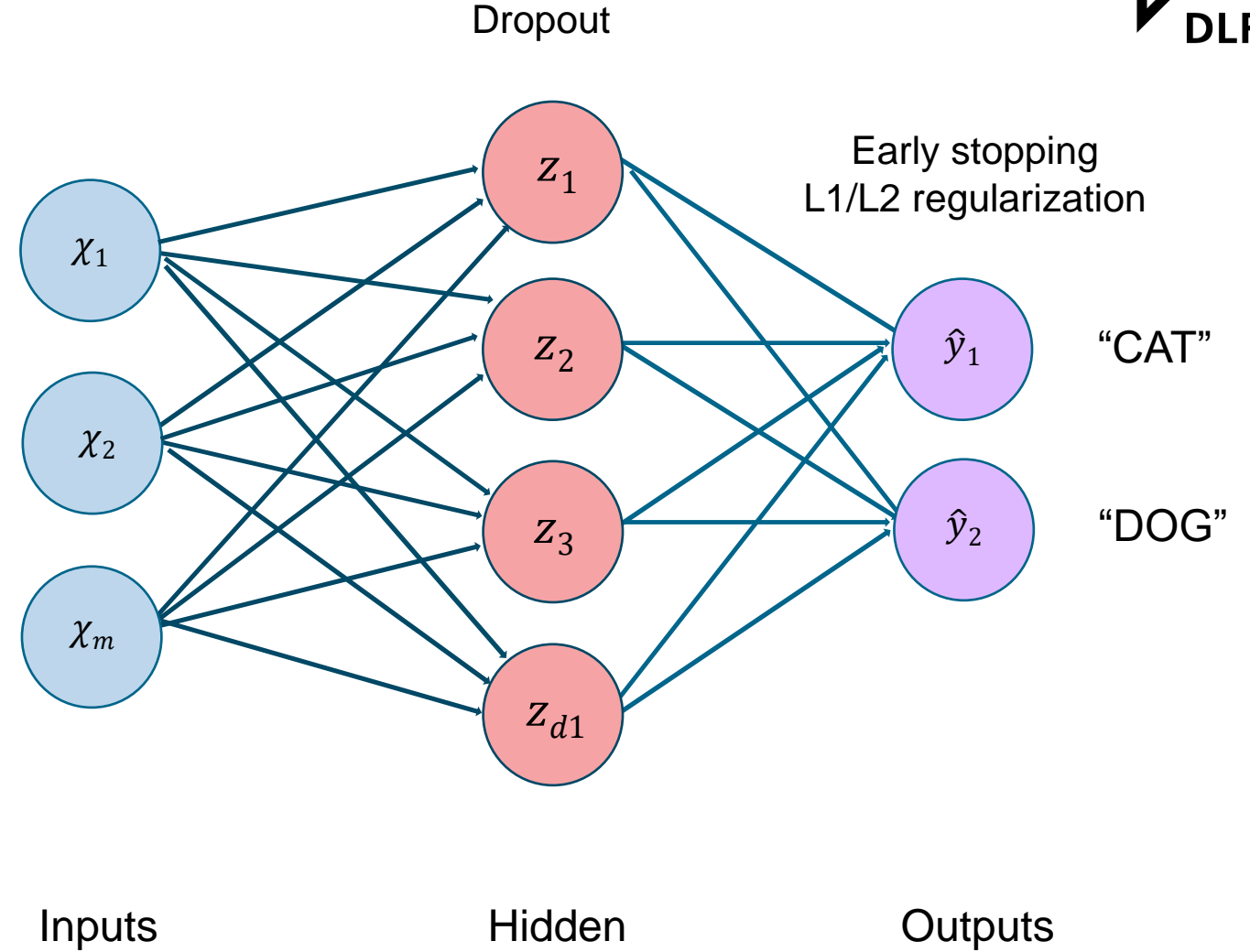
# Improve the Network: Regularization

Data Augmentation



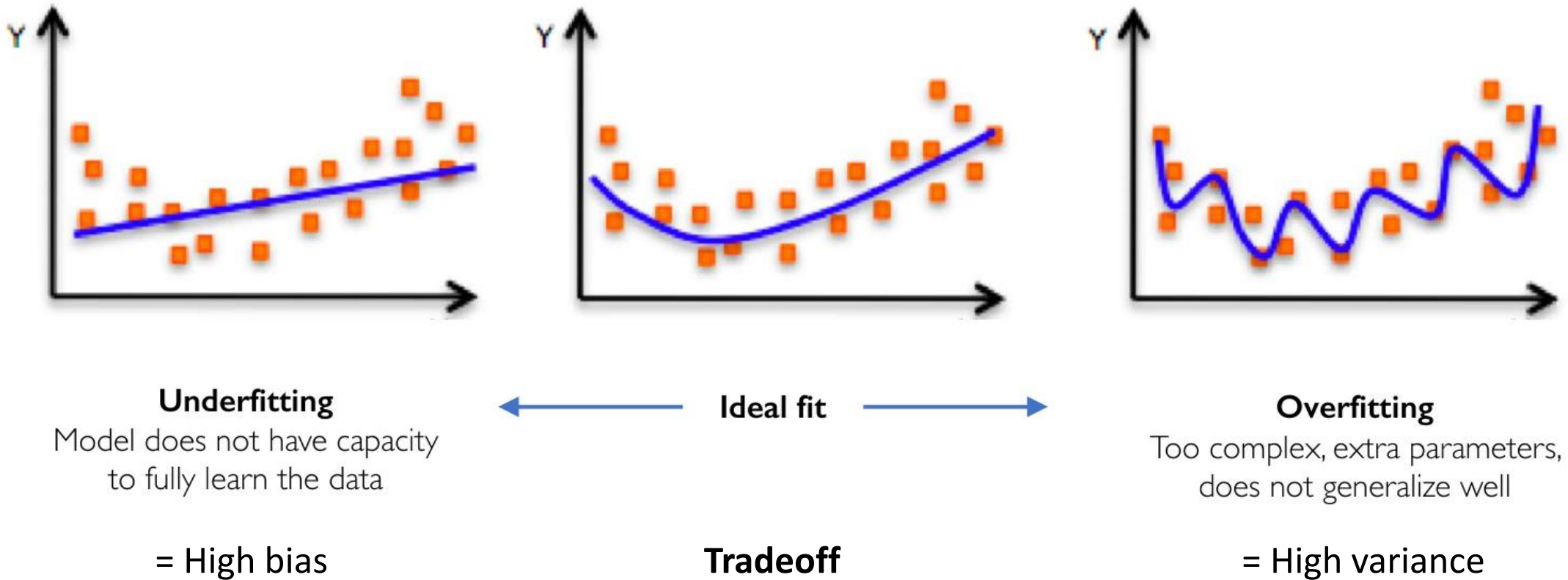
CAT

$$\begin{bmatrix} \chi_1 \\ \chi_2 \\ \chi_m \end{bmatrix}$$



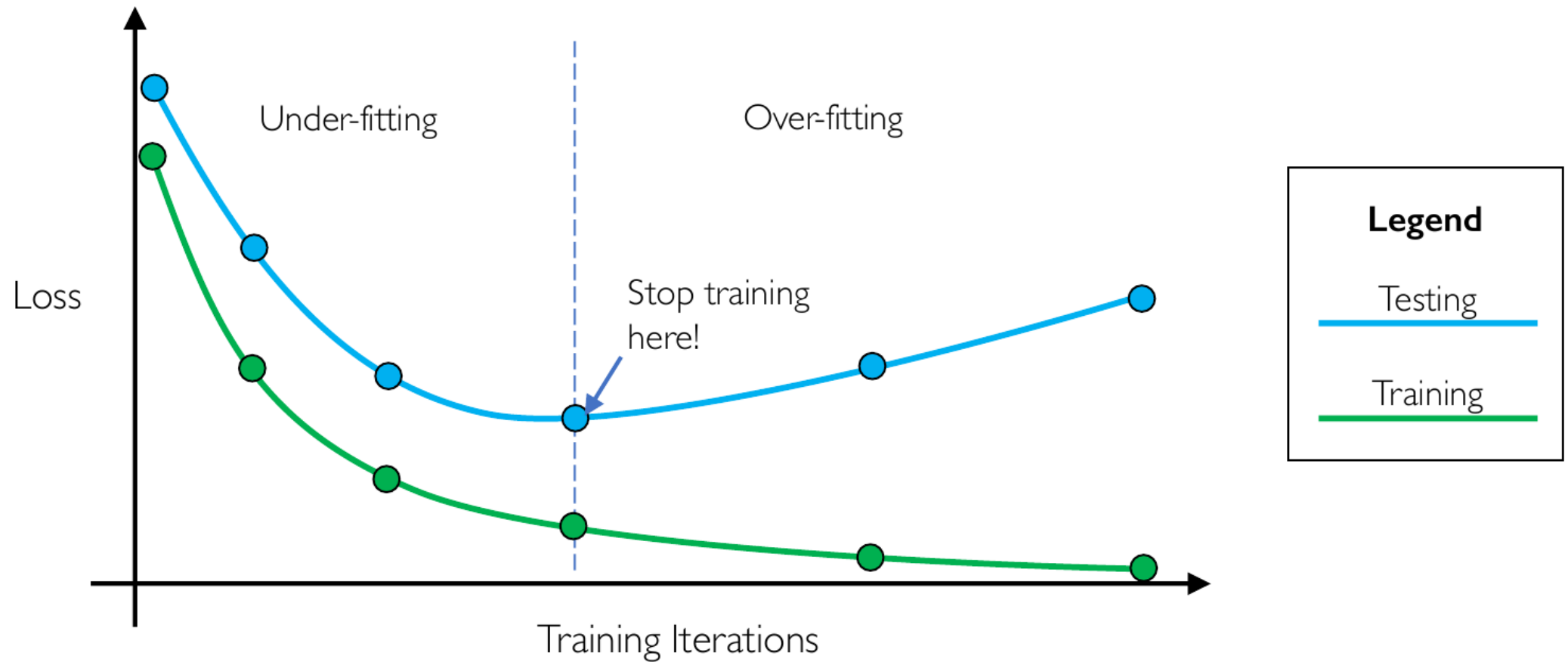
# Regularization

## The overfitting problem



# Regularization

## Preventing overfitting: Early stopping



# Regularization

## Preventing overfitting: $l_1$ regularization



We want to find the network weights that **achieve the lowest loss**

$$\mathbf{W}^* = \underset{\mathbf{W}}{\operatorname{argmin}} \frac{1}{n} \sum_{i=1}^n \mathcal{L}(f(x^{(i)}; \mathbf{W}), y^{(i)}) + \frac{\lambda}{2} \sum_l |w_l|$$

→ Leads to sparser weights (more zeroes in weights) that are not too adapted to the data at hand



# Regularization

Preventing overfitting: Data augmentation

Original



Flip



Random crop



Contrast



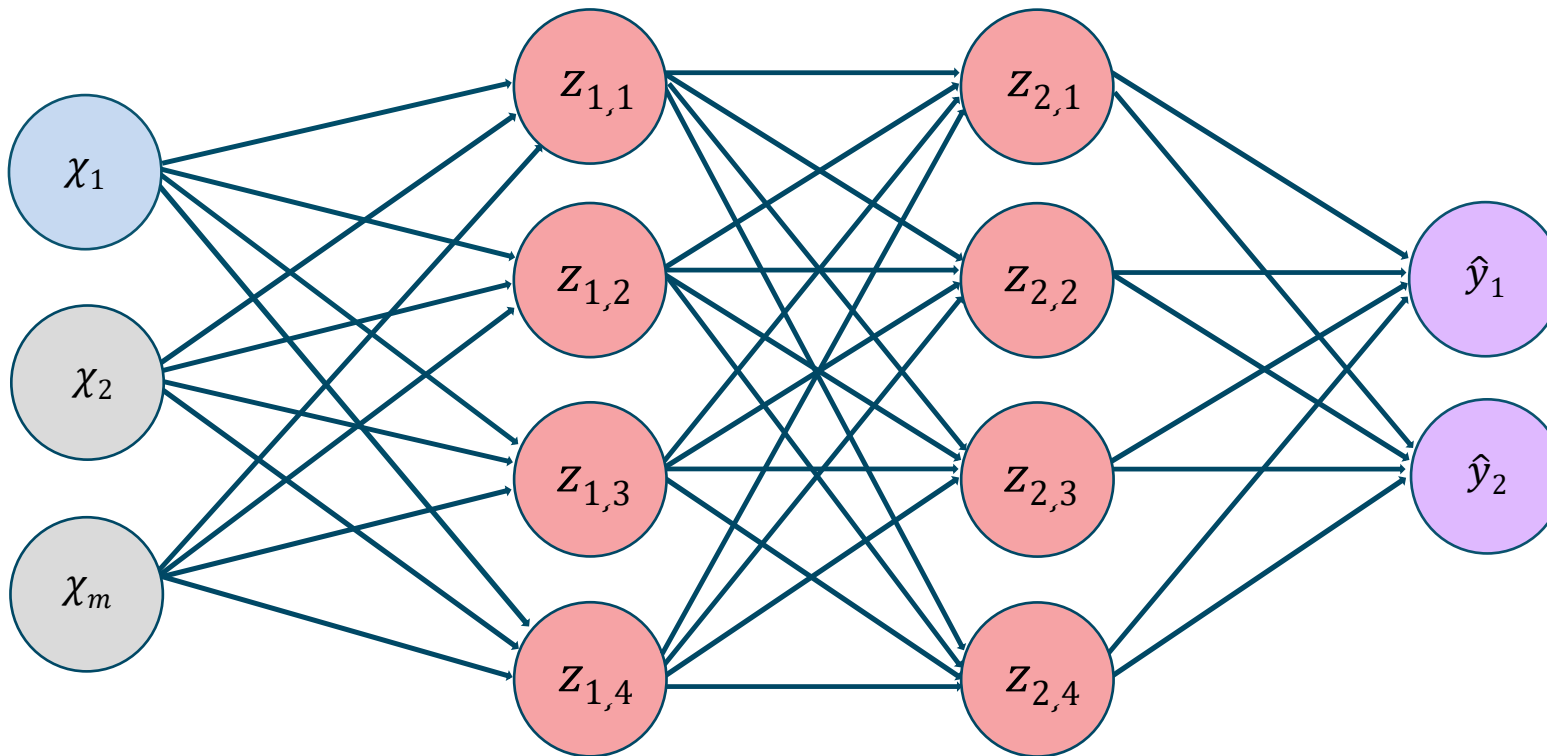
Tint



# Regularization

## Preventing overfitting: Dropout

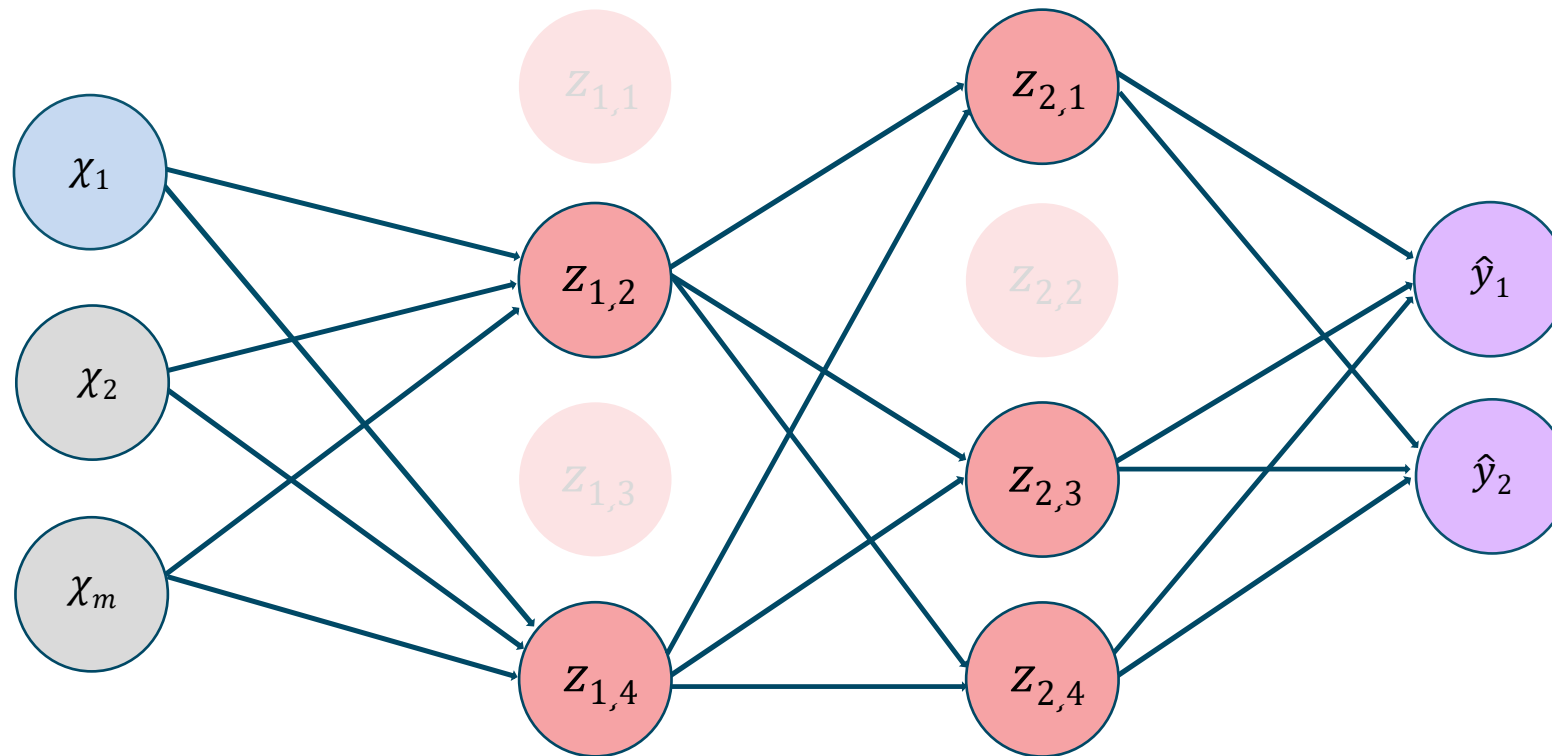
During training, randomly set some activations to 0



# Regularization

## Preventing overfitting: Dropout

During training, randomly set some activations to 0



# Other ways to improve the network

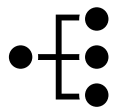


## Data preprocessing

*Data Cleaning (lack/noise)*

*Data Transformation (normalization, ...)*

*Data Reduction (aggregation, ...)*



## Network initialization

*Random Initializations (e.g. Glorot)*



## Batch normalization

*standardizes layer inputs to stabilize learning process & reducing training epochs*



## Optimizers

*(Stochastic methods like Adam)*



## Training Schedules



## Hyperparameters tuning



## Minibatch composition

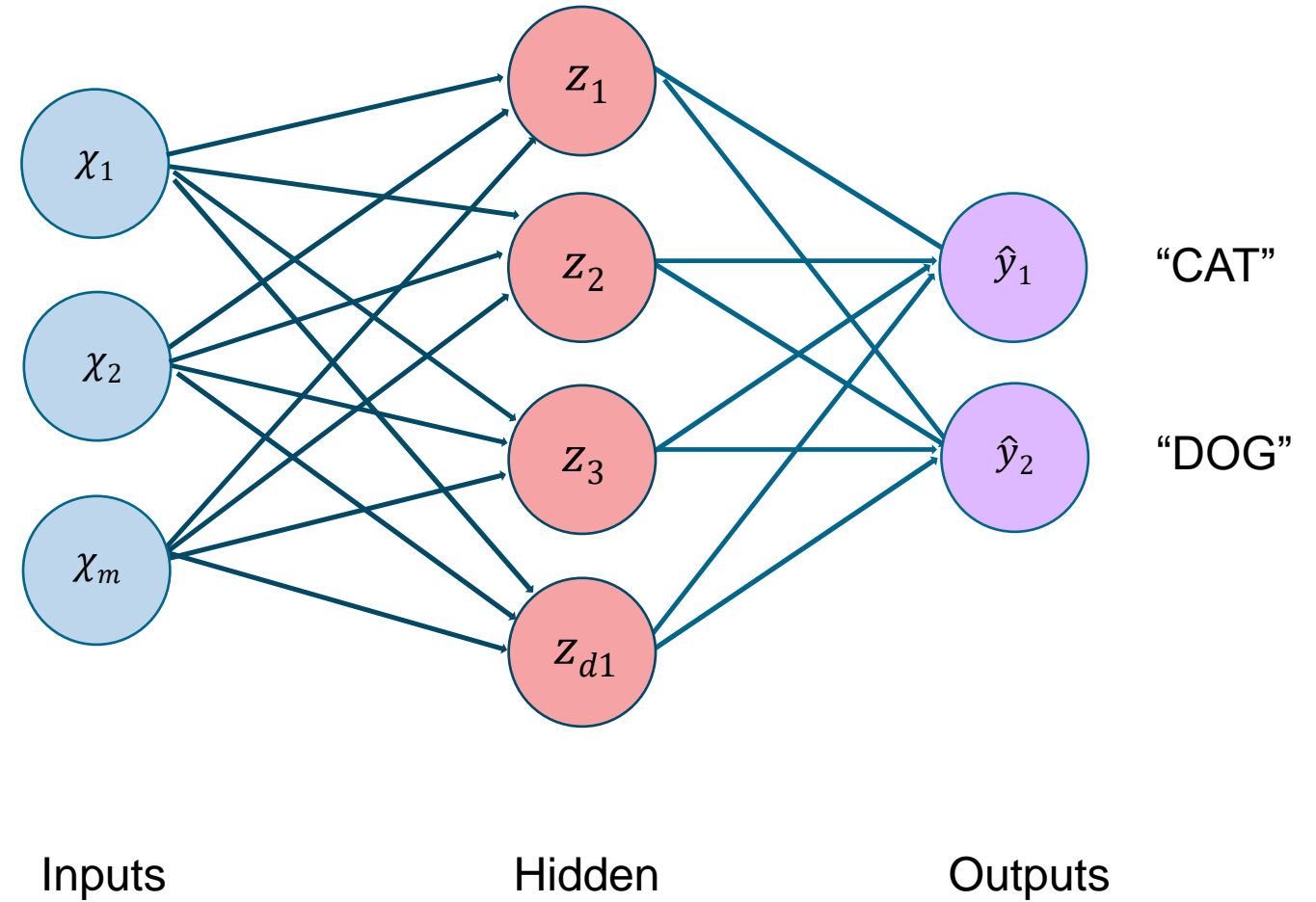
*(shuffle data, take only a subset, ...)*

# Variants of Neural Networks

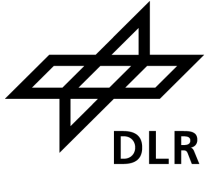


CAT

$$\begin{bmatrix} \chi_1 \\ \chi_2 \\ \chi_m \end{bmatrix}$$



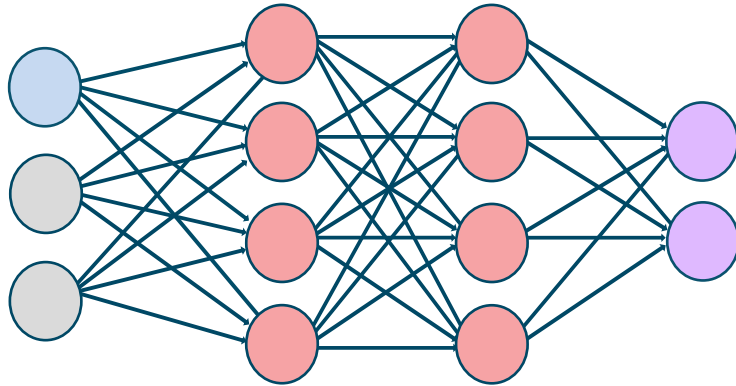
# Types of Neural Networks Layer



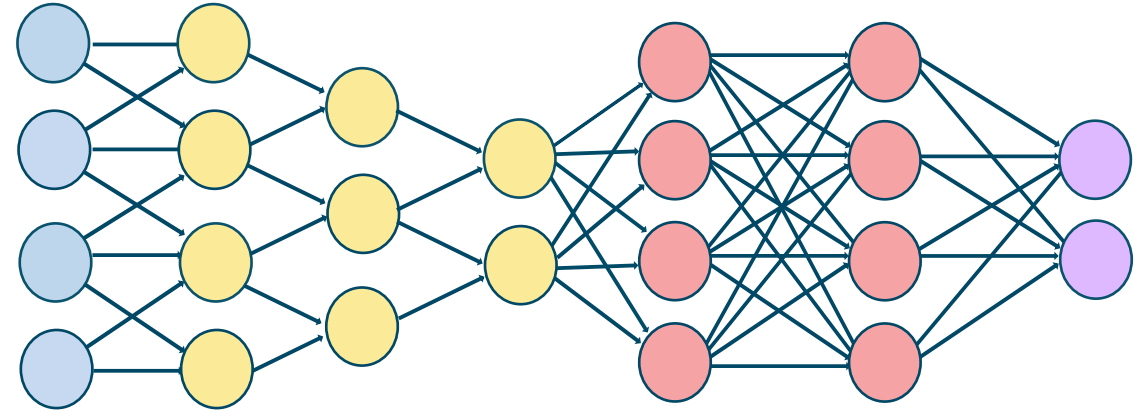
Application	Layer Type			
	Fully Connected (dense)	Convolution	Deconvolution	Recurrent
Image Classification	✓	✓		
Image segmentation		✓	✓	
Text processing	✓			✓
Speech recognition	✓			✓
Time series	✓			✓
Image-to-image translation (GAN)	✓	✓	✓	
Autoencoders	✓	✓		
Deepfake	✓	✓		✓

# Variants of Neural Network - Examples

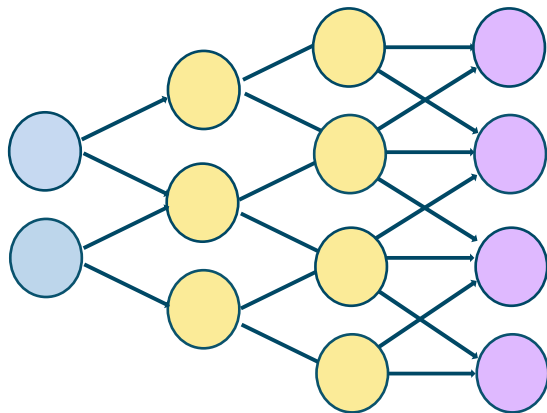
Deep Feed Forward (DFF) (fully connected)



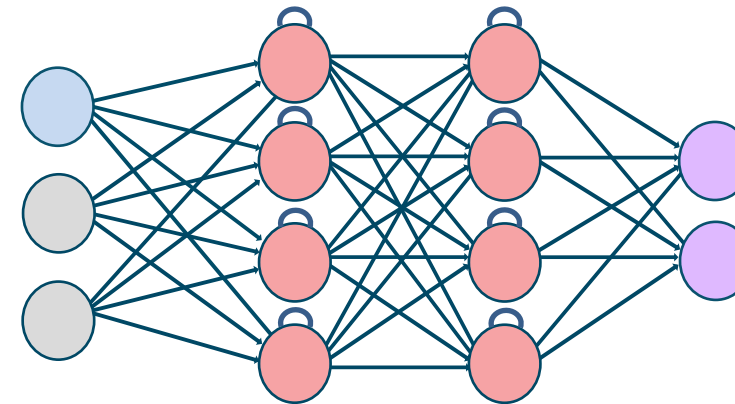
Deep convolution network (DCN)



Deconvolution network (DN)

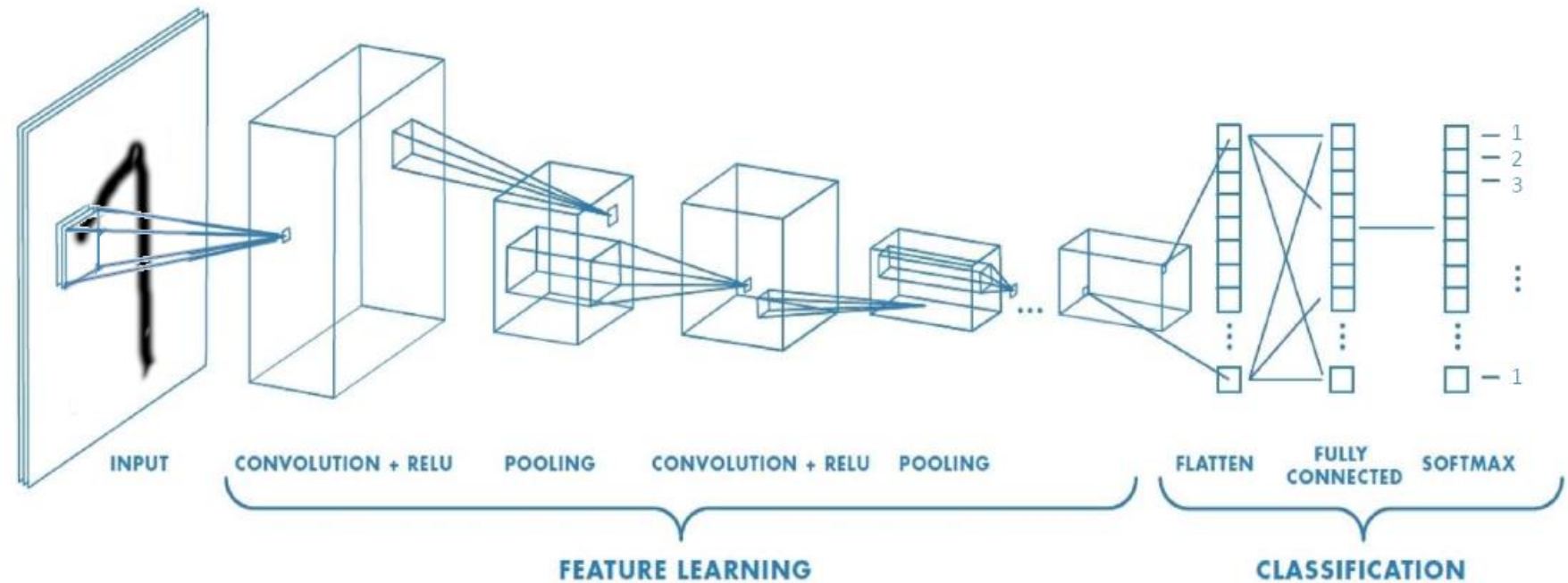


Recurrent neural network (RNN)



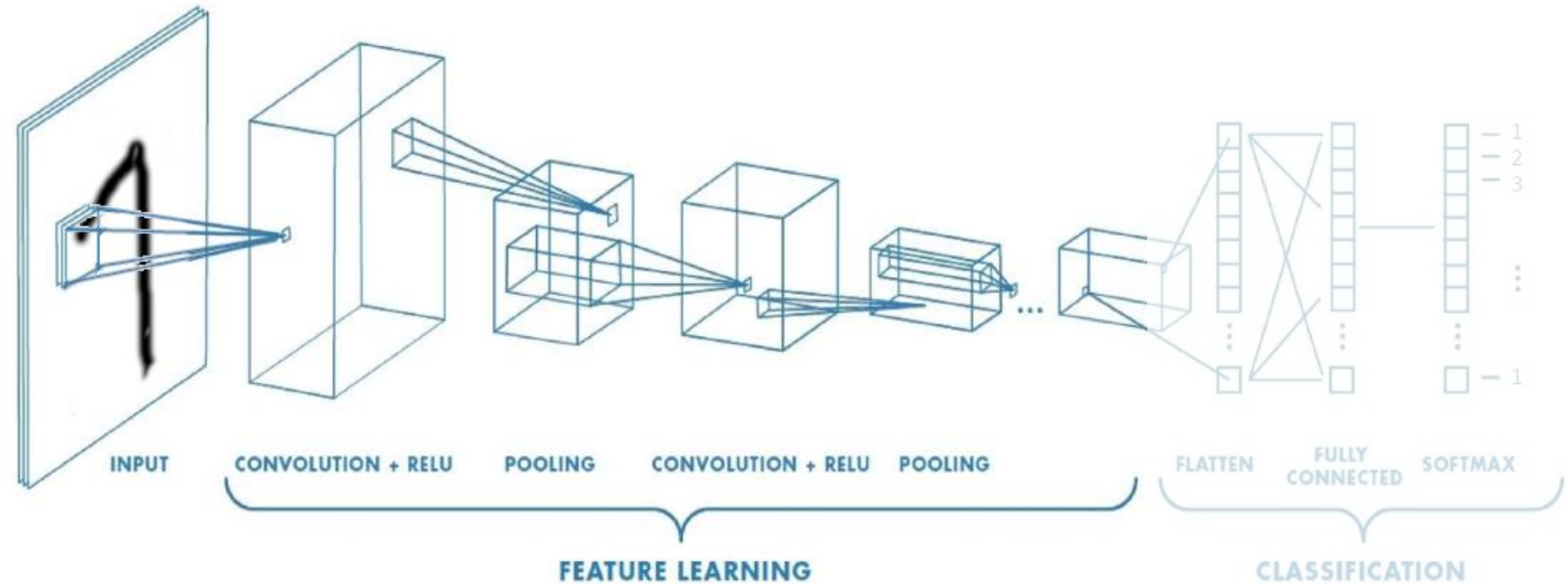
● = Input  
● = Output

# Convolutional Neural Networks





# Feature Learning



1. Learn features in input image through **convolution**
2. Introduce **non-linearity** through activation function (real-world data is non-linear!)
3. Reduce dimensionality and preserve spatial invariance with **pooling**

# Convolutional Neural Networks

## Feature extraction with convolutions



Original



Sharpen



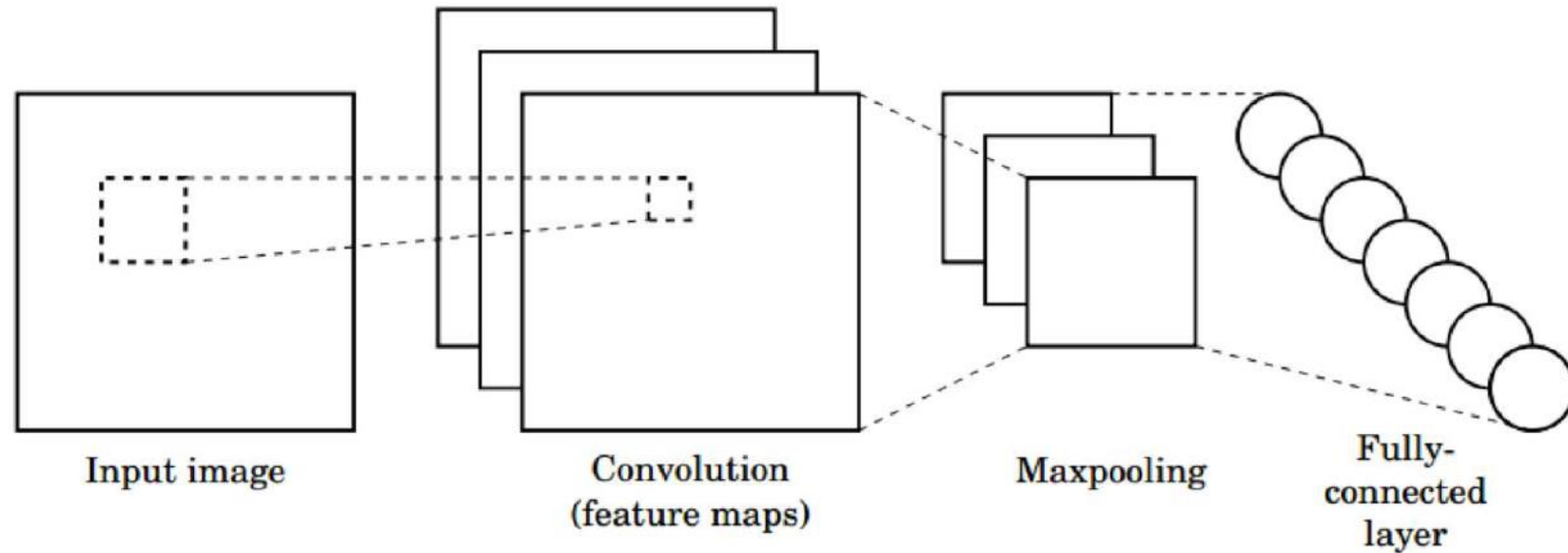
Edge Detect



“Strong” Edge  
Detect

# Convolutional Neural Networks

## Building a CNN



1. **Convolution:** Apply filters with learned weights to generate feature maps.
2. **Non-linearity:** Often ReLU.
3. **Pooling:** Downsampling operation on each feature map.

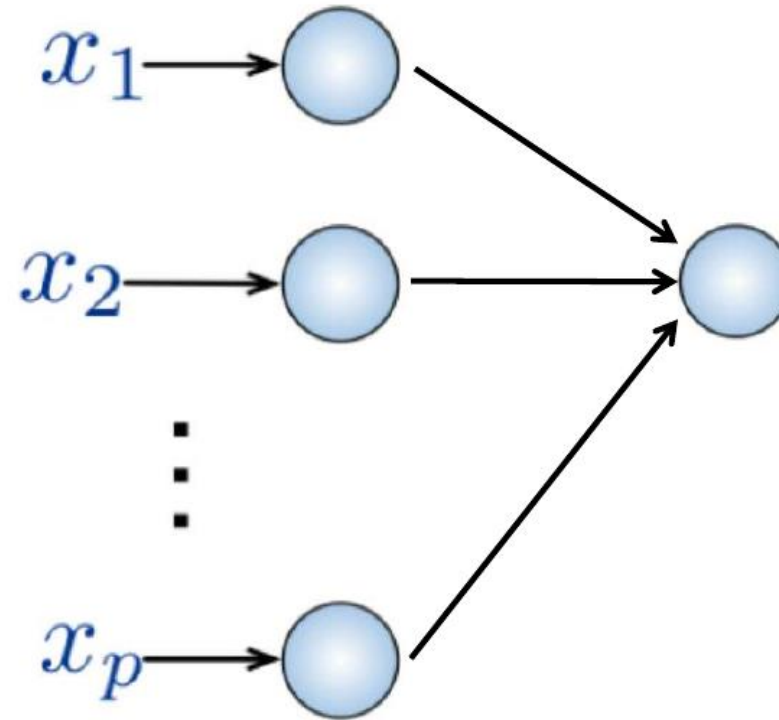
**Train model with image data.**  
**Learn weights of filters in convolutional layers.**

# Convolutional Neural Networks

## Learning on image data

### Input:

- 2D image
- Vector of pixel values



### Fully Connected:

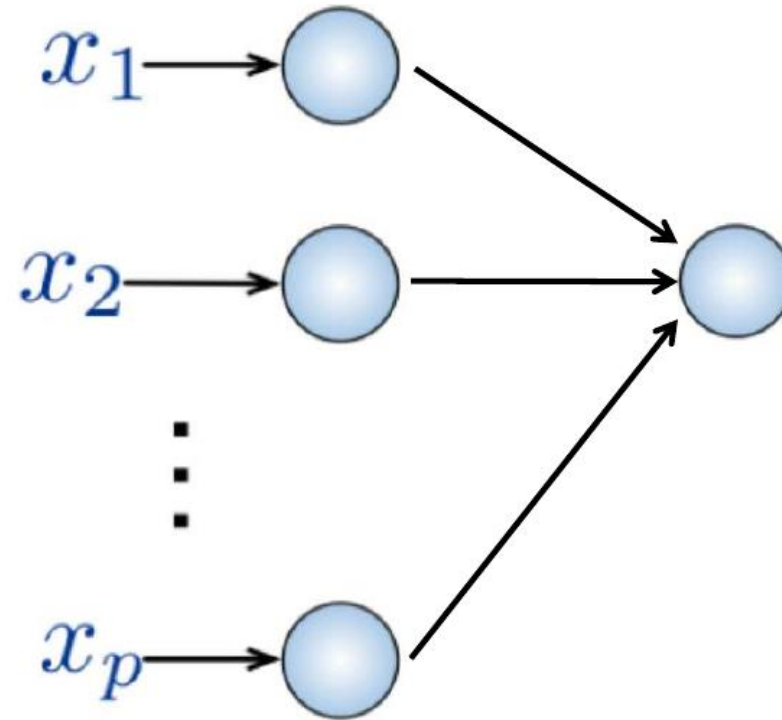
- Connect neuron in hidden layer to all neurons in input layer
- No spatial information!
- And many, many parameters!

# Convolutional Neural Networks

## Learning on image data

### Input:

- 2D image
- Vector of pixel values



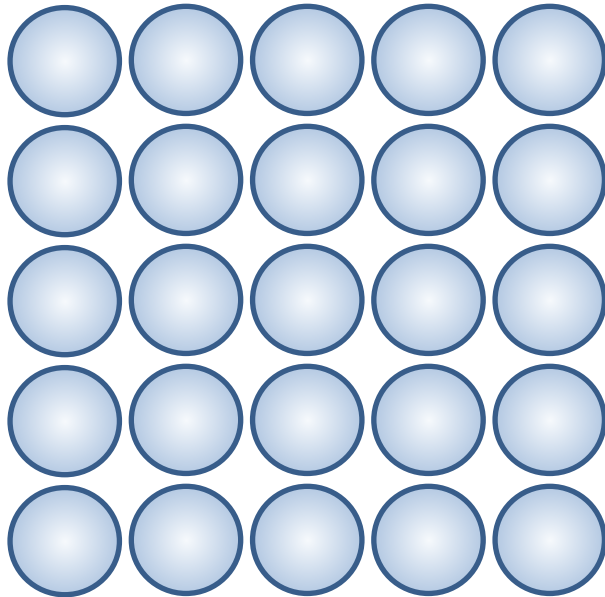
### Fully Connected:

- Connect neuron in hidden layer to all neurons in input layer
- No spatial information!
- And many, many parameters!

How can we use **spatial structure** in the input to inform the architecture of the network?

# Convolutional Neural Networks

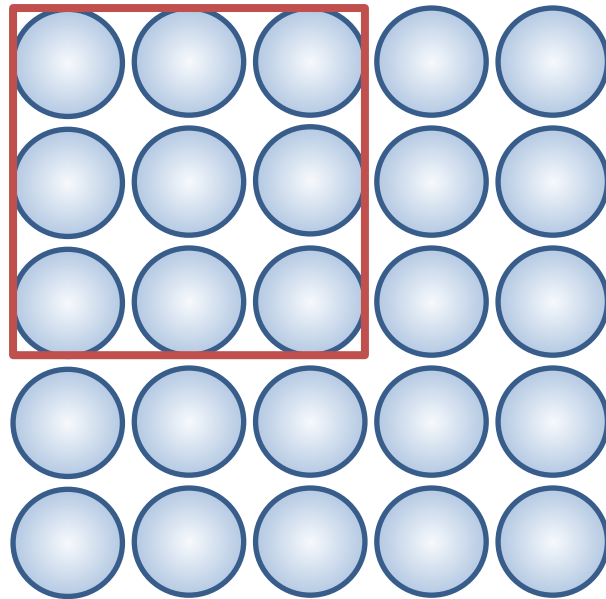
## Learning on image data



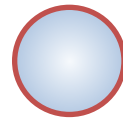
**Input:** 2D image (5x5 or 256x256 or ...)  
Array of pixel values

# Convolutional Neural Networks

## Learning on image data



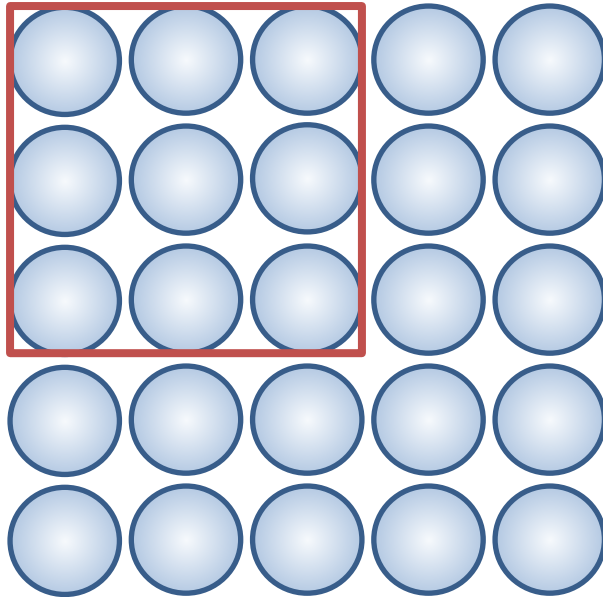
**Idea:** connect patches of input to neurons in hidden layer  
*Neuron connected to the region of input only „sees“ these values.*





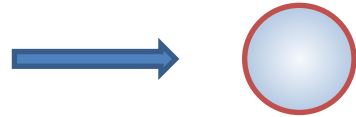
# Convolutional Neural Networks

Learning on image data



**For a neuron in hidden layer:**

- Take inputs from patch
- Compute weighted sum
- Apply bias



$$\sum_{i=1}^3 \sum_{j=1}^3 W_{ij} X_{i+p,j+q} + b$$

For neuron (p,q) in hidden layer

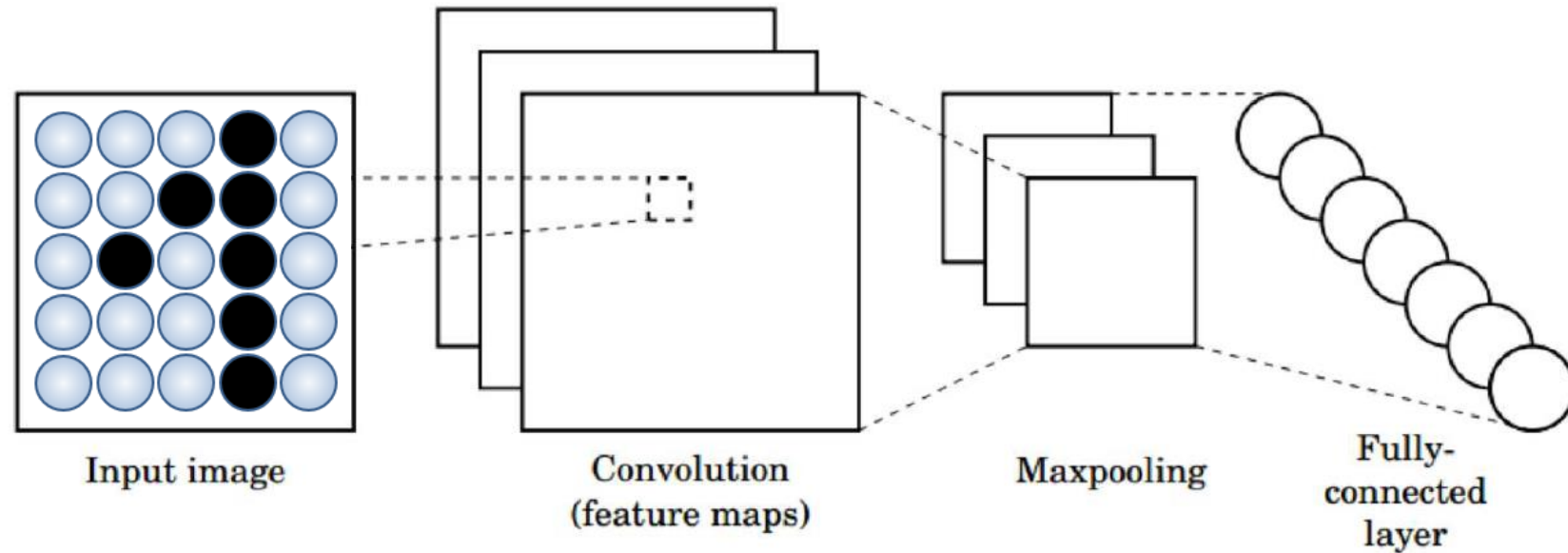
**3x3 filter:** matrix  
of weights  $W_{ij}$

- 1) Applying a window of weights
- 2) Computing linear combinations
- 3) Activating with non-linear function



# Convolutional Neural Networks

## Building a CNN - Example

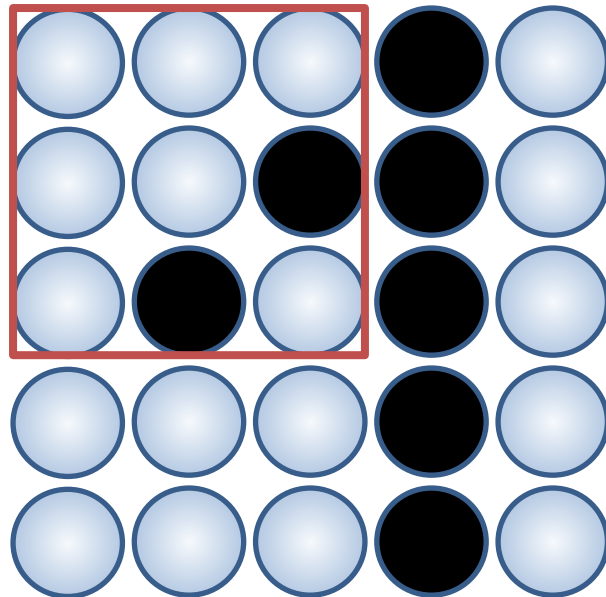
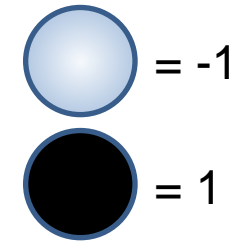


1. **Convolution:** Apply filters with learned weights to generate feature maps.
2. **Non-linearity:** Often ReLU.
3. **Pooling:** Downsampling operation on each feature map.

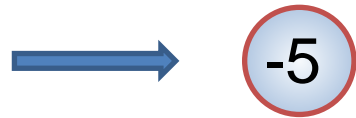
**Train model with image data.**  
**Learn weights of filters in convolutional layers.**

# Convolutional Neural Networks

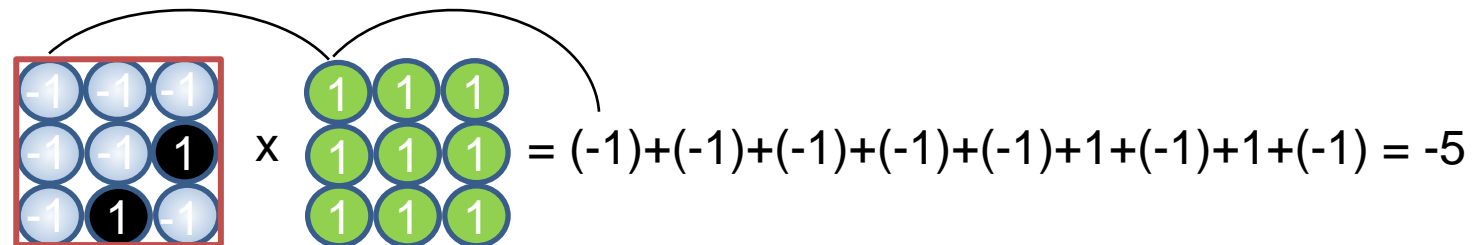
## Learning on image data - Example



- Connect patch in input layer to a single neuron in subsequent layer
- Use a **sliding window** to define connections
- Ex: extracting feature with filter  $fi_0$

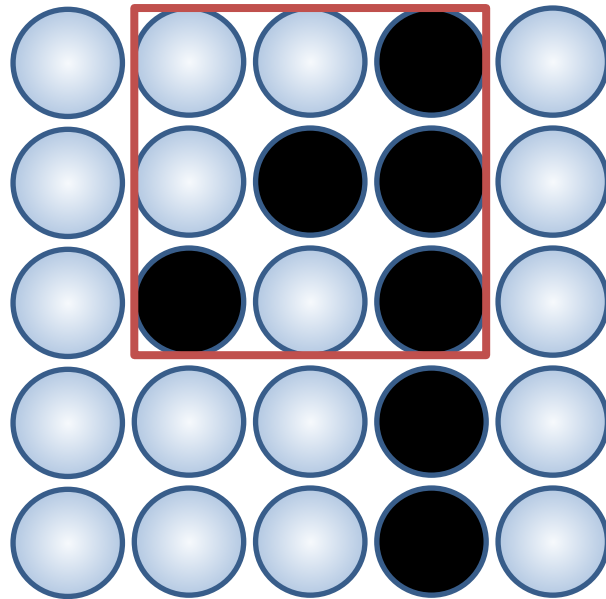
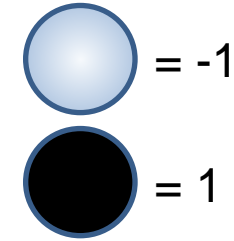
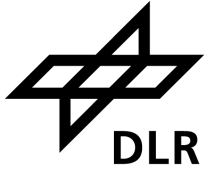


Multiply same indices of clipping and filter and sum it up.

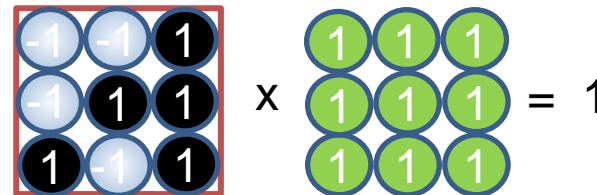
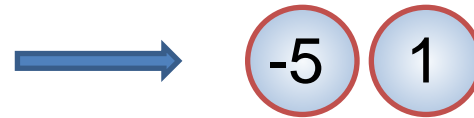

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & -1 & 1 \\ -1 & 1 & -1 \end{bmatrix} \times \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} = (-1)+(-1)+(-1)+(-1)+(-1)+1+(-1)+1+(-1) = -5$$

# Convolutional Neural Networks

## Learning on image data - Example

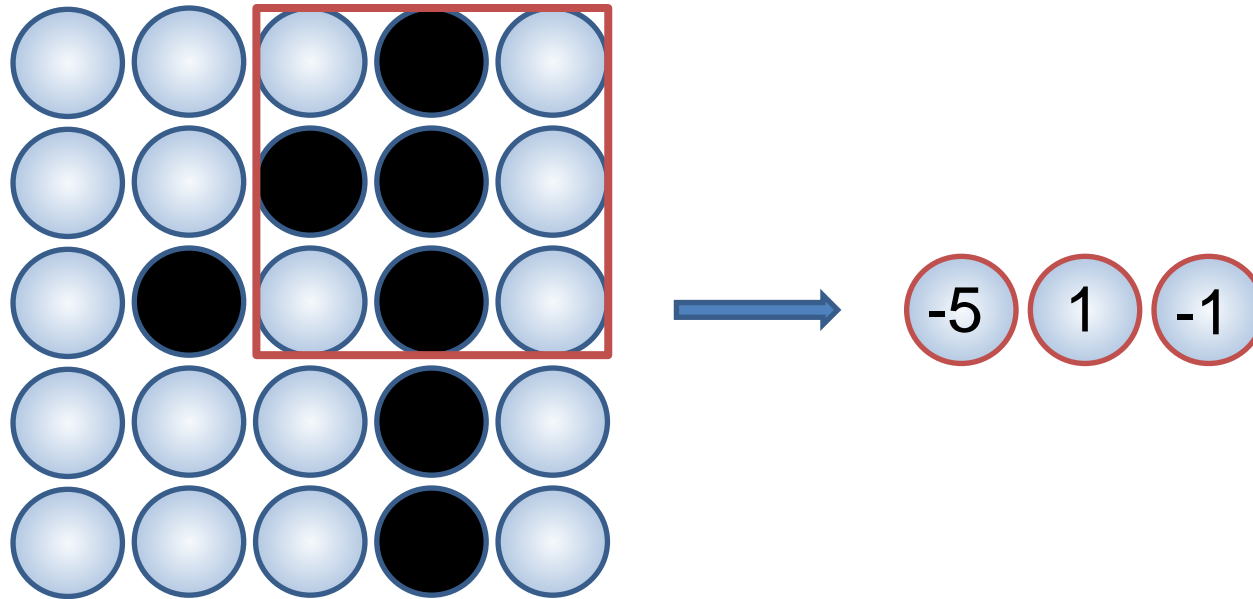
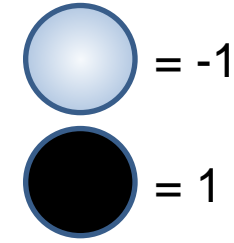


- **Step size** here is one and the clipping is 3x3



# Convolutional Neural Networks

## Learning on image data - Example

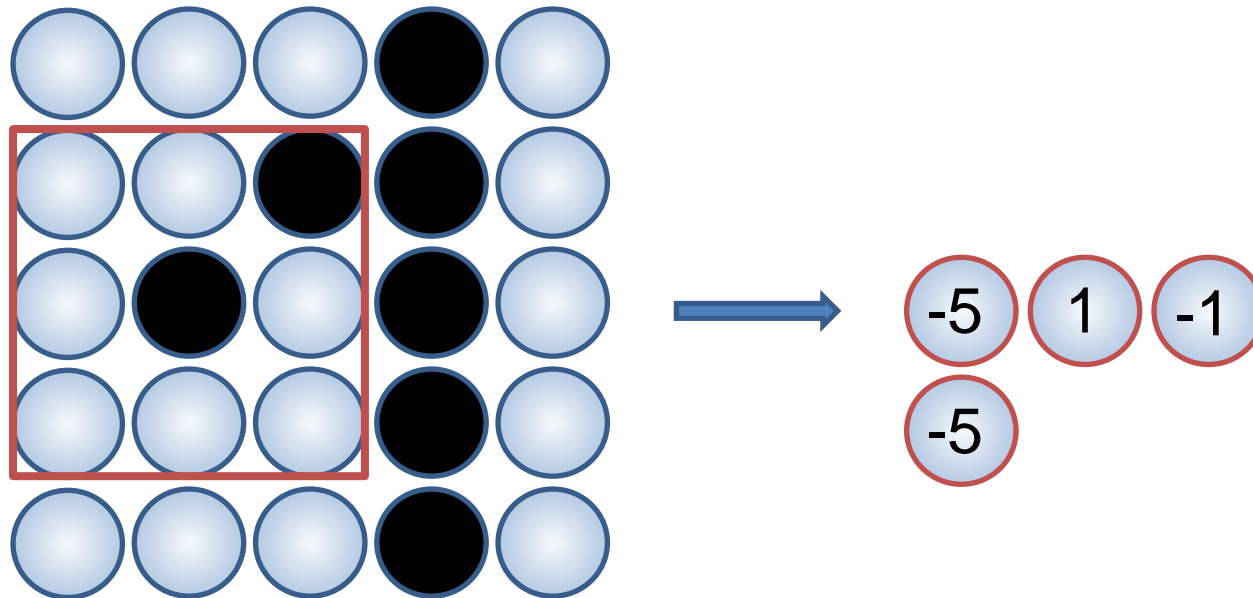
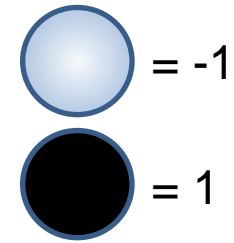


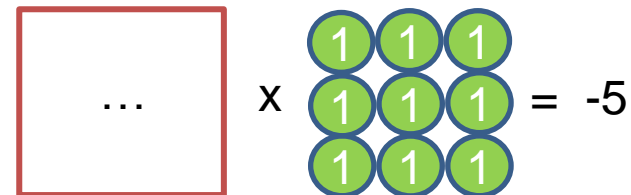
Calculation of the first output value:

$$\begin{bmatrix} -1 & 1 & -1 \\ 1 & 1 & -1 \\ -1 & 1 & -1 \end{bmatrix} \times \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} = -1$$

# Convolutional Neural Networks

## Learning on image data - Example

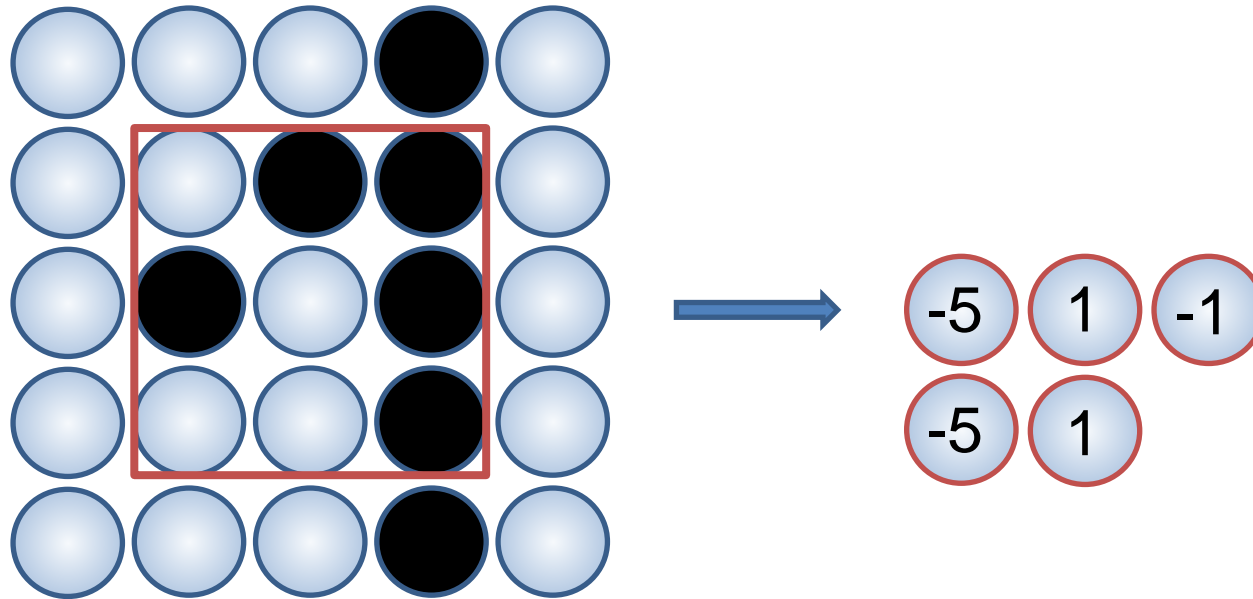
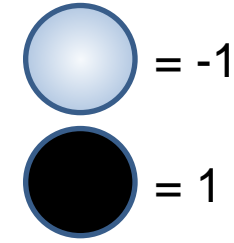




$\dots \times \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} = -5$

# Convolutional Neural Networks

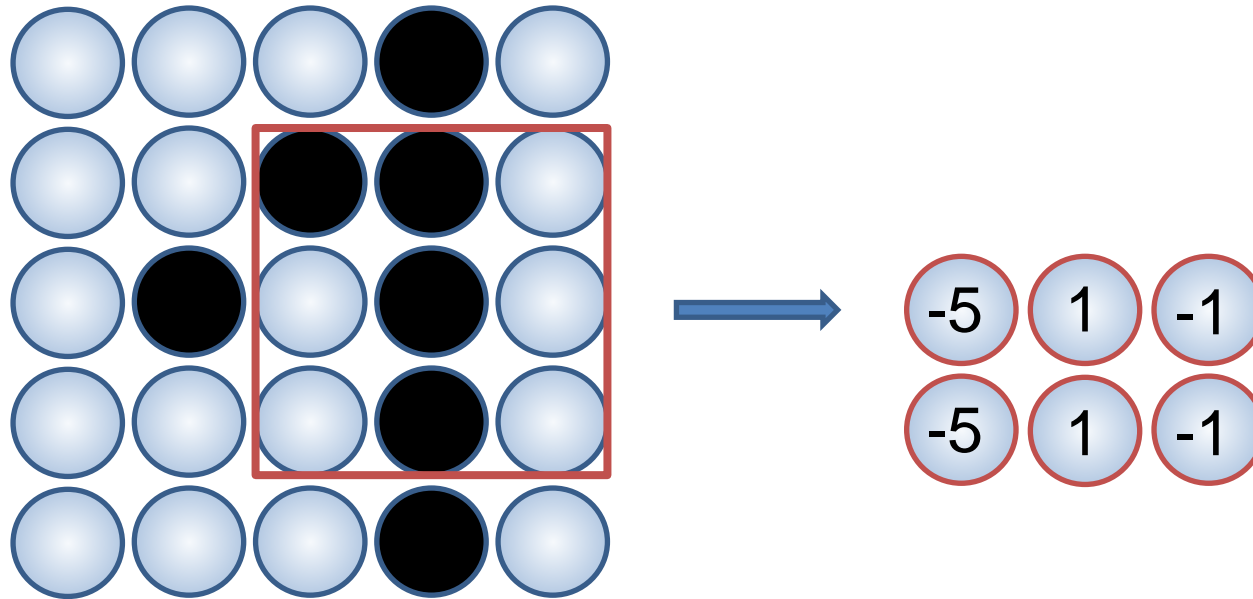
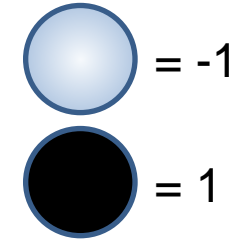
## Learning on image data - Example



$$\boxed{\dots} \times \begin{matrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{matrix} = 1$$

# Convolutional Neural Networks

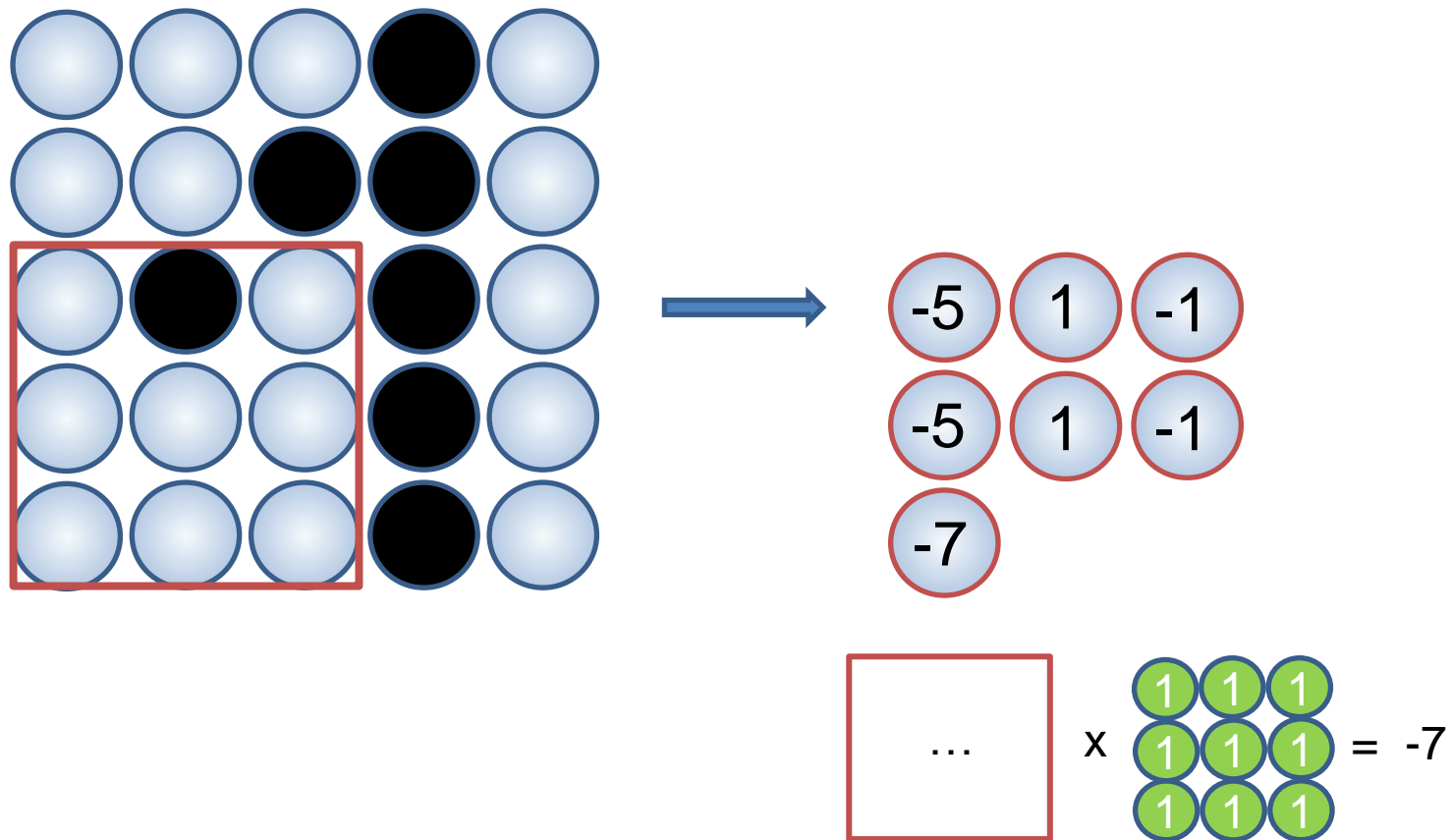
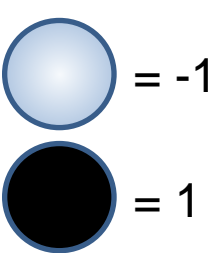
## Learning on image data - Example



$$\boxed{\dots} \times \begin{matrix} \textcircled{1} & \textcircled{1} & \textcircled{1} \\ \textcircled{1} & \textcircled{1} & \textcircled{1} \\ \textcircled{1} & \textcircled{1} & \textcircled{1} \end{matrix} = -1$$

# Convolutional Neural Networks

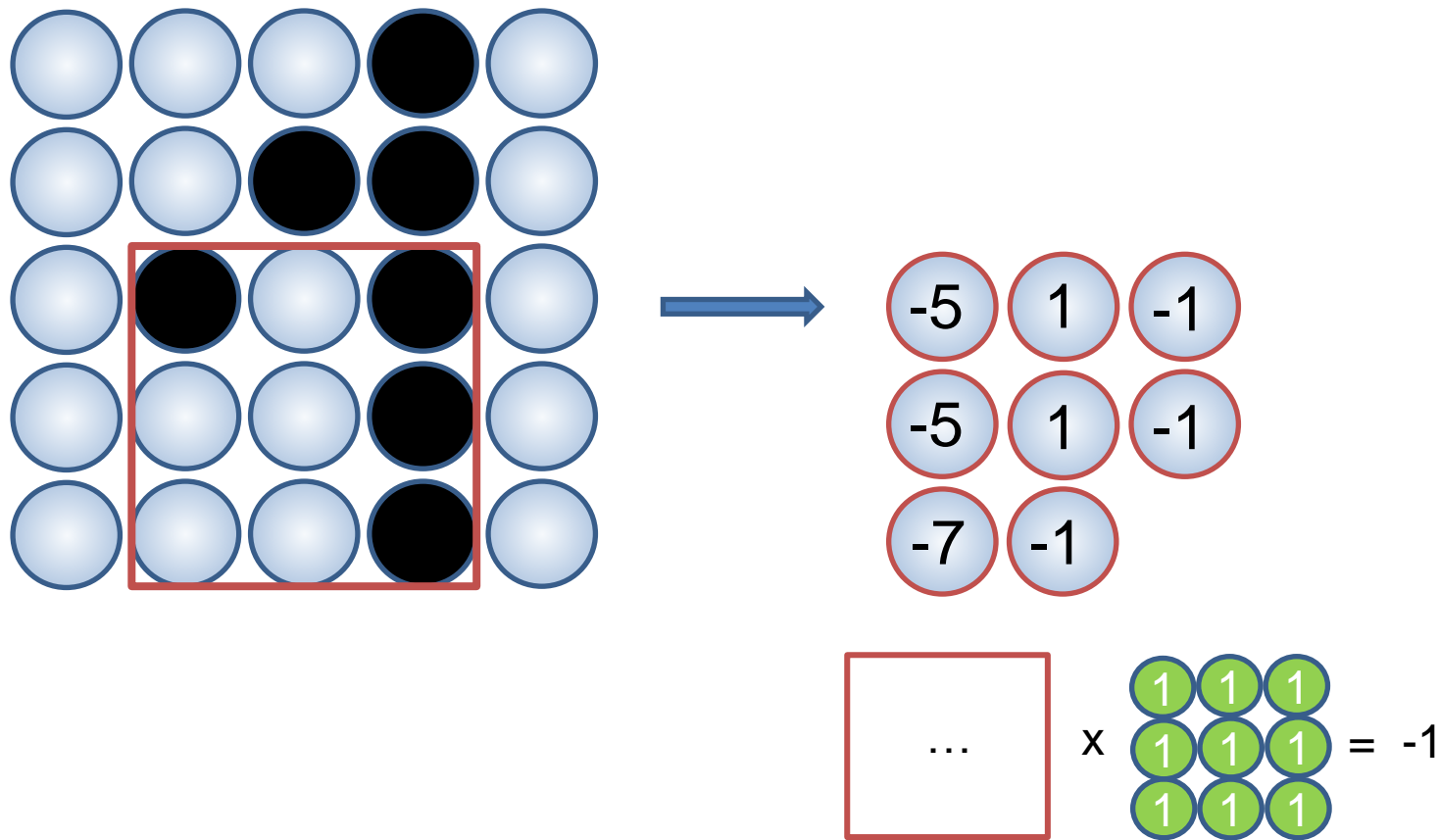
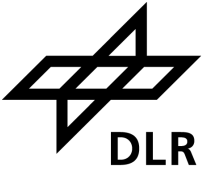
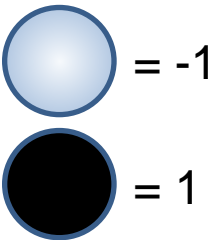
## Learning on image data - Example





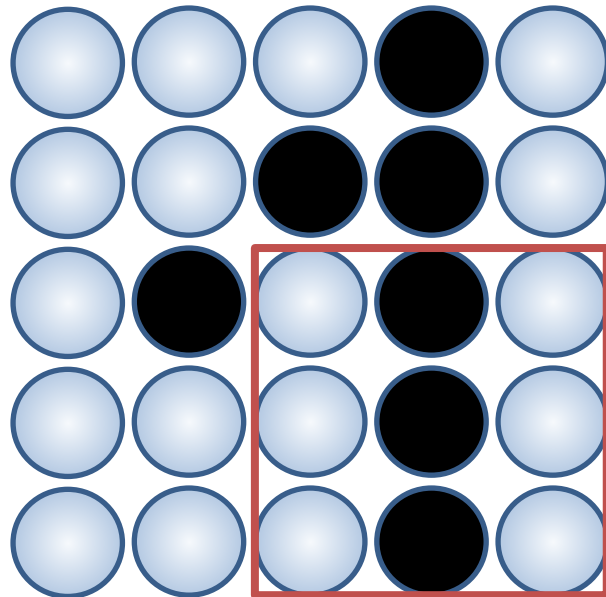
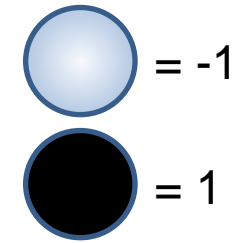
# Convolutional Neural Networks

## Learning on image data - Example

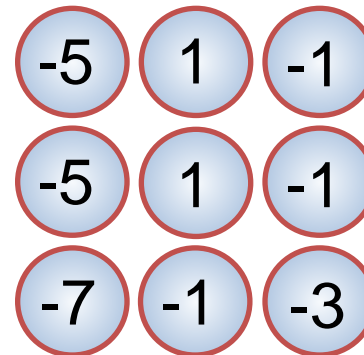


# Convolutional Neural Networks

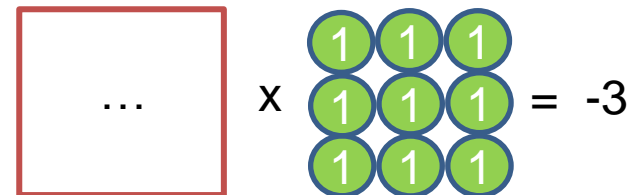
## Learning on image data - Example



- This results in a “feature map” → here : 9 neurons for the 5x5 image

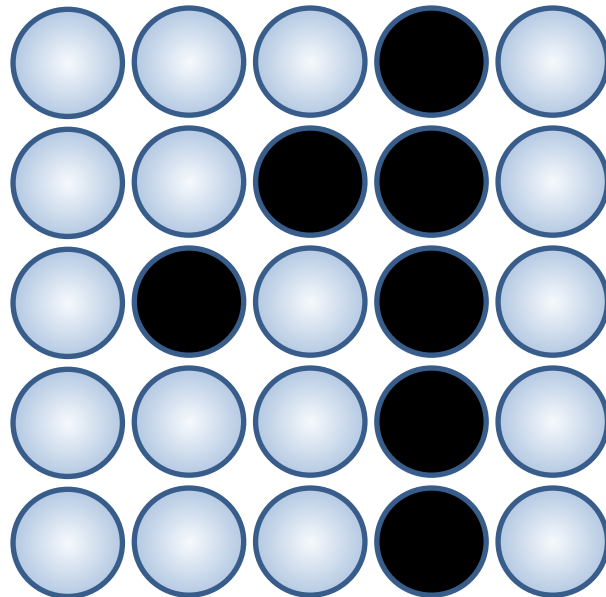
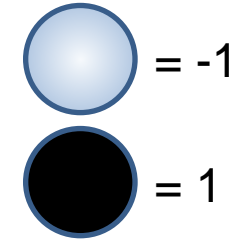


- Filter of size 3x3 → 9 separate weights
- Scan step wise the image with the filter
- That's **Convolution**


$$\boxed{\dots} \times \begin{matrix} \textcircled{1} & \textcircled{1} & \textcircled{1} \\ \textcircled{1} & \textcircled{1} & \textcircled{1} \\ \textcircled{1} & \textcircled{1} & \textcircled{1} \end{matrix} = -3$$

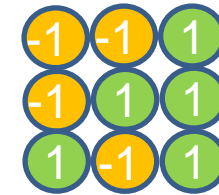
# Convolutional Neural Networks

## Learning – Feature Extraction



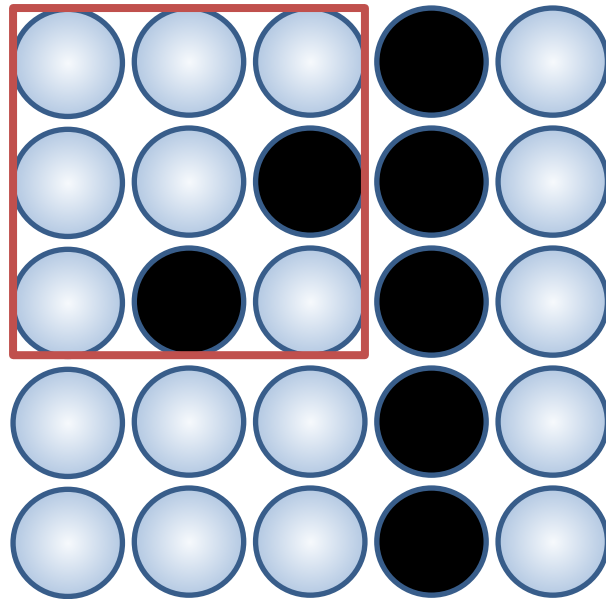
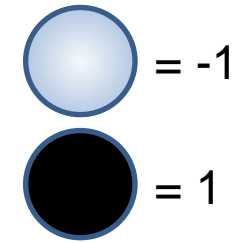
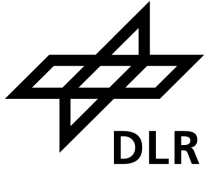
- Repeat the scan process with **multiple filters**

Next: extracting feature with filter  $f_{i_1} =$

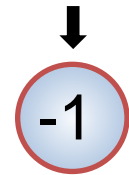


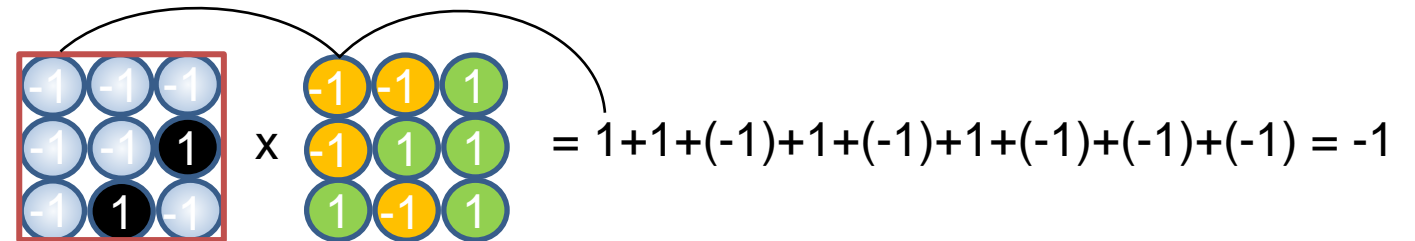
# Convolutional Neural Networks

## Learning – Feature Extraction



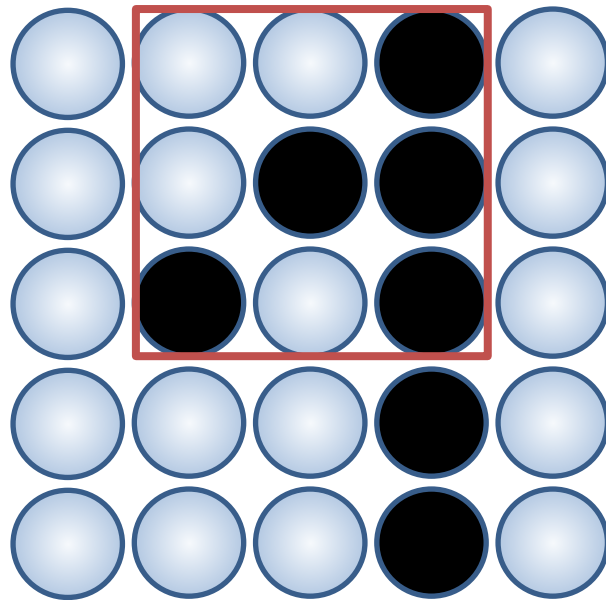
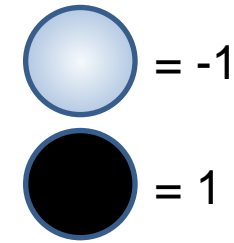
Low score !  
barely matches



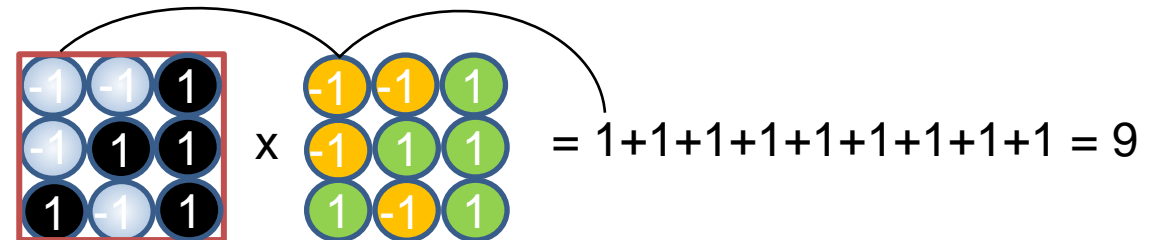
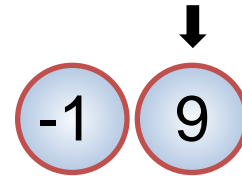

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & -1 & 1 \\ -1 & 1 & -1 \end{bmatrix} \times \begin{bmatrix} -1 & -1 & 1 \\ -1 & 1 & 1 \\ 1 & -1 & 1 \end{bmatrix} = 1 + 1 + (-1) + 1 + (-1) + 1 + (-1) + (-1) + (-1) = -1$$

# Convolutional Neural Networks

## Learning – Feature Extraction

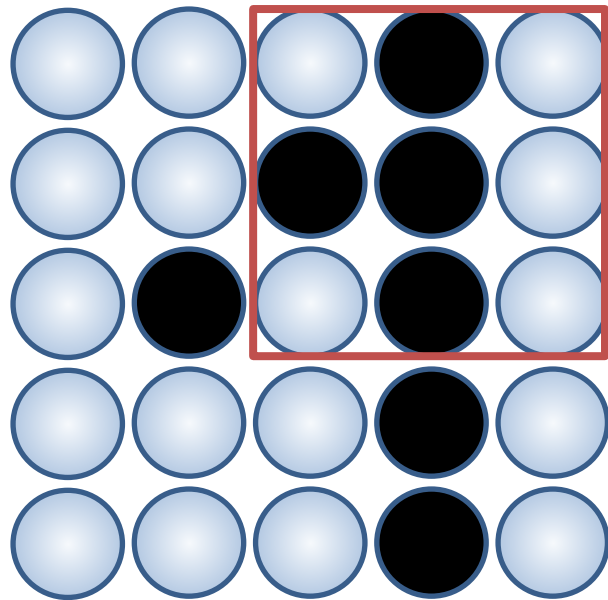
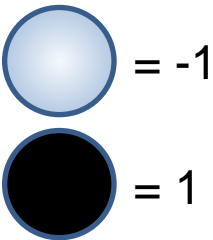


Maximum score !  
Filter matches full



# Convolutional Neural Networks

## Learning – Feature Extraction



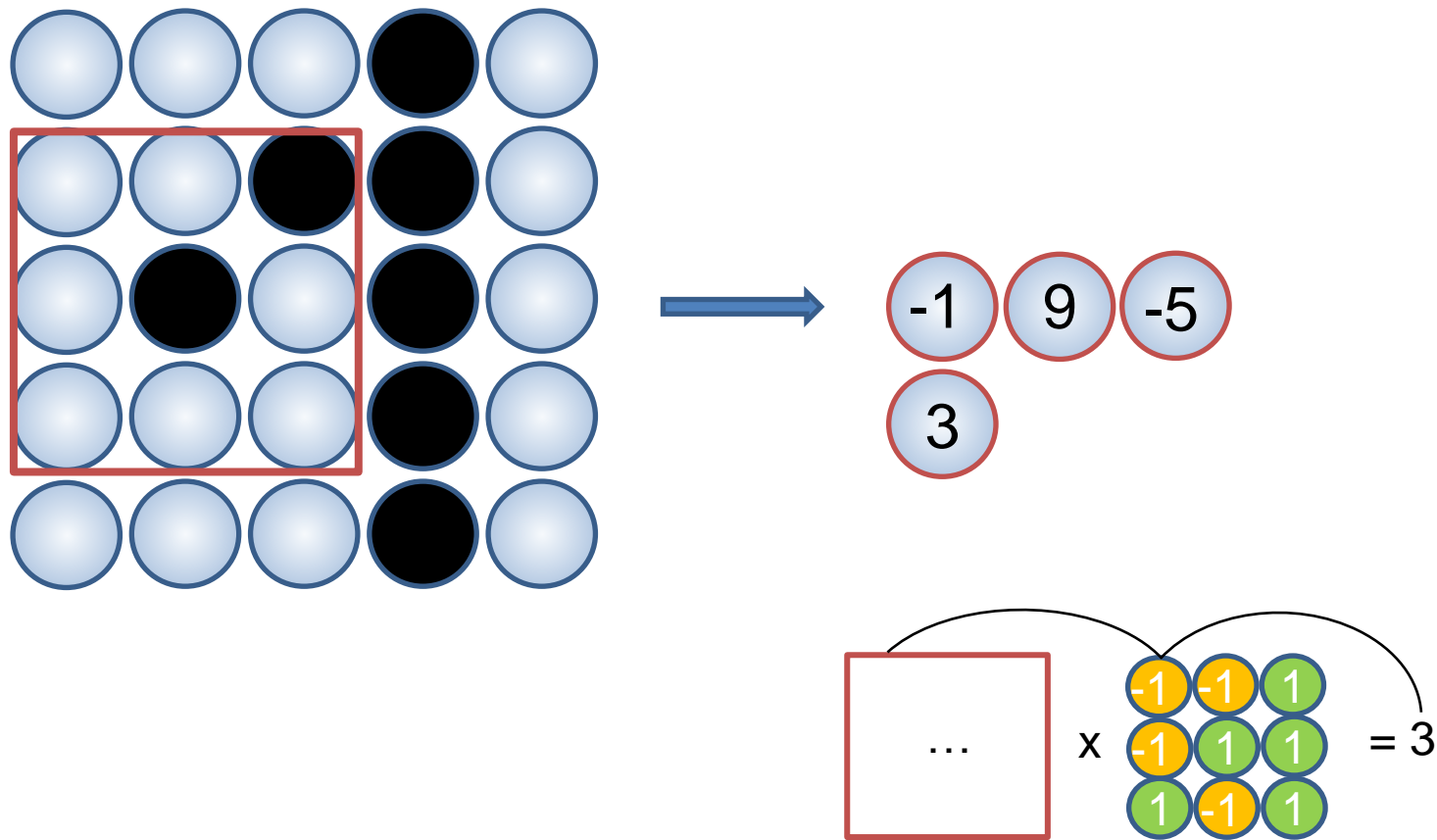
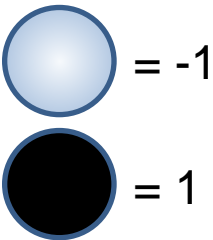
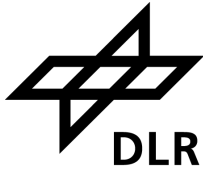
Very low score !  
Many mismatches



$$\begin{bmatrix} -1 & 1 & -1 \\ 1 & 1 & -1 \\ -1 & 1 & -1 \end{bmatrix} \times \begin{bmatrix} -1 & -1 & 1 \\ -1 & 1 & 1 \\ 1 & -1 & 1 \end{bmatrix} = 1 + (-1) + (-1) + (-1) + 1 + (-1) + (-1) + (-1) + (-1) = -5$$

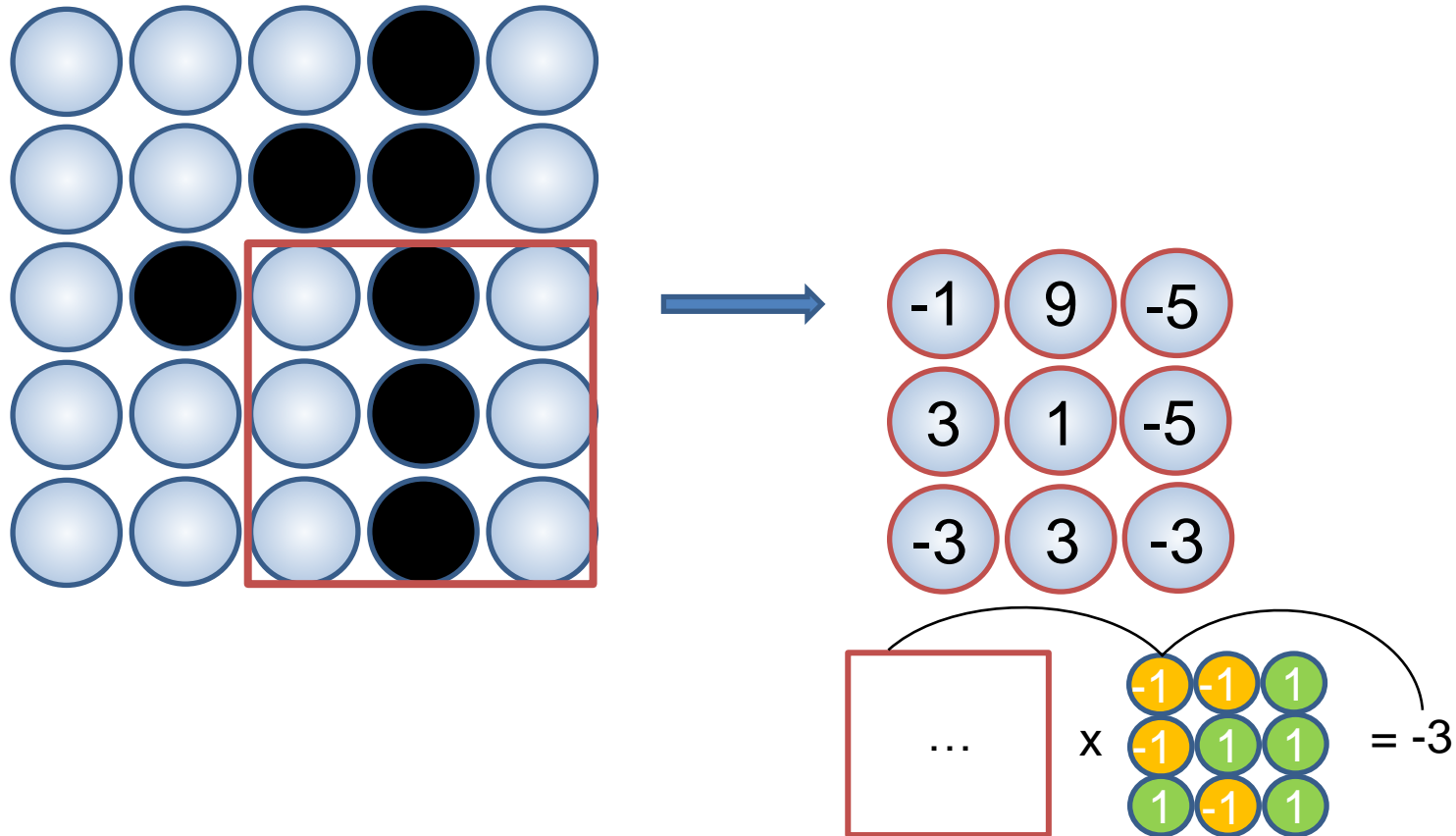
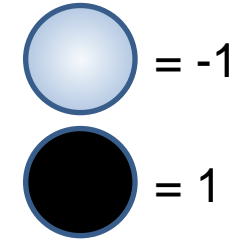
# Convolutional Neural Networks

## Learning – Feature Extraction



# Convolutional Neural Networks

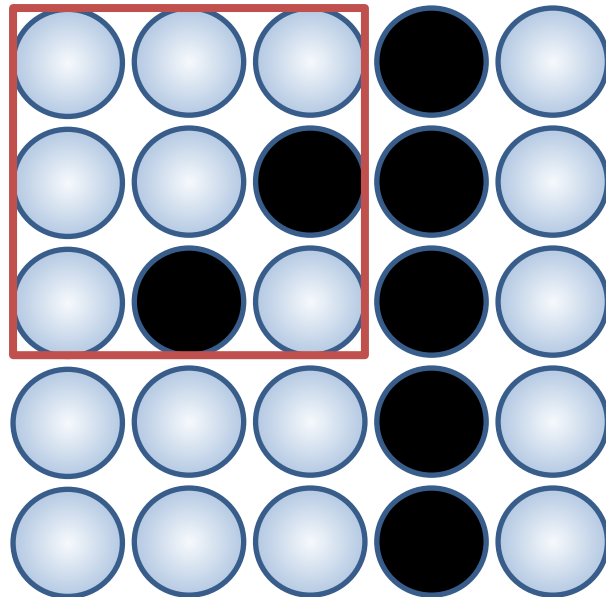
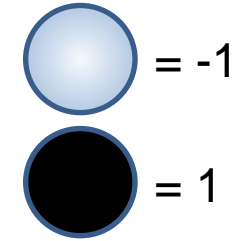
## Learning – Feature Extraction





# Convolutional Neural Networks

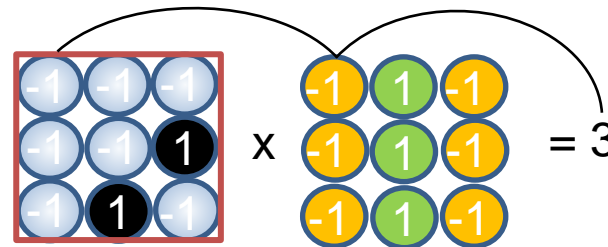
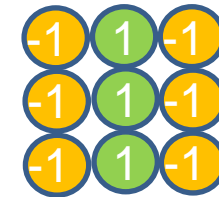
## Learning – Feature Extraction



- Different feature will extract different features
- **Spatially share** parameters of each filter

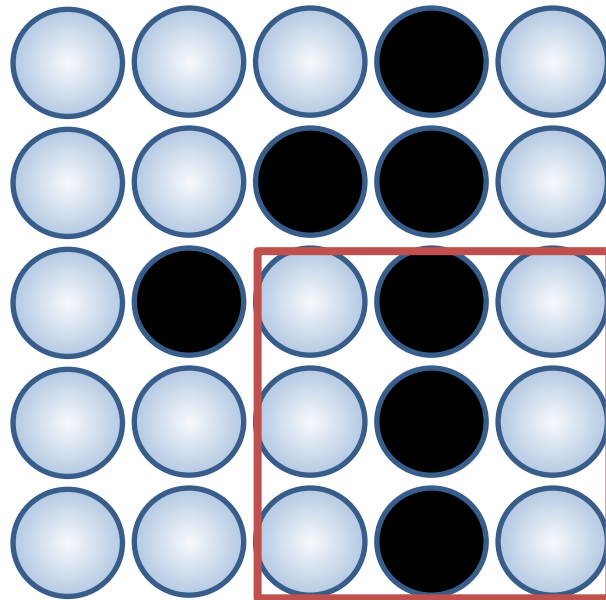
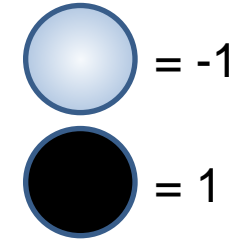


Next filter  $f_i_2 =$

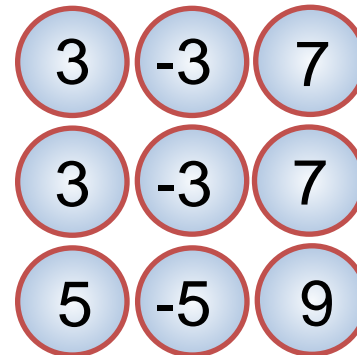


# Convolutional Neural Networks

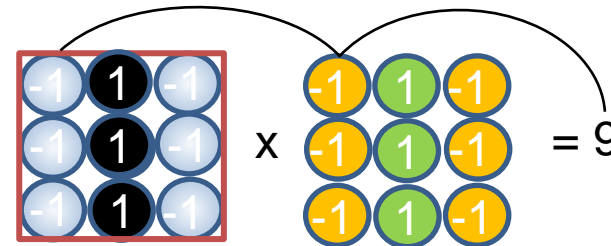
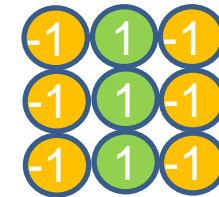
## Learning – Feature Extraction



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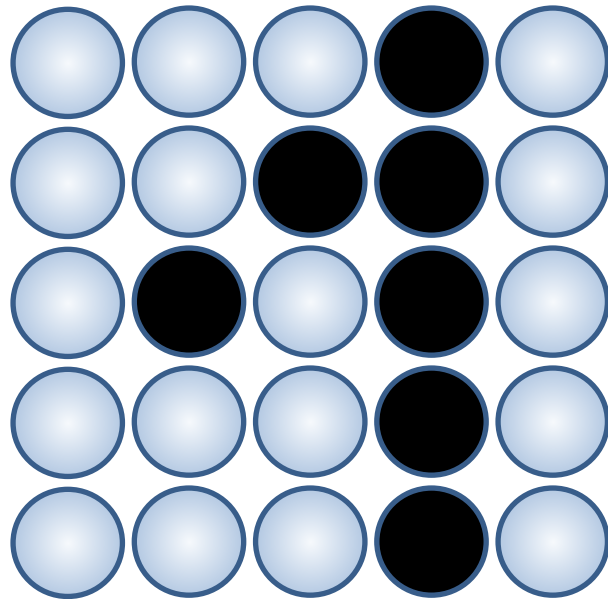


Next filter  $\mathbf{f}i_2 =$



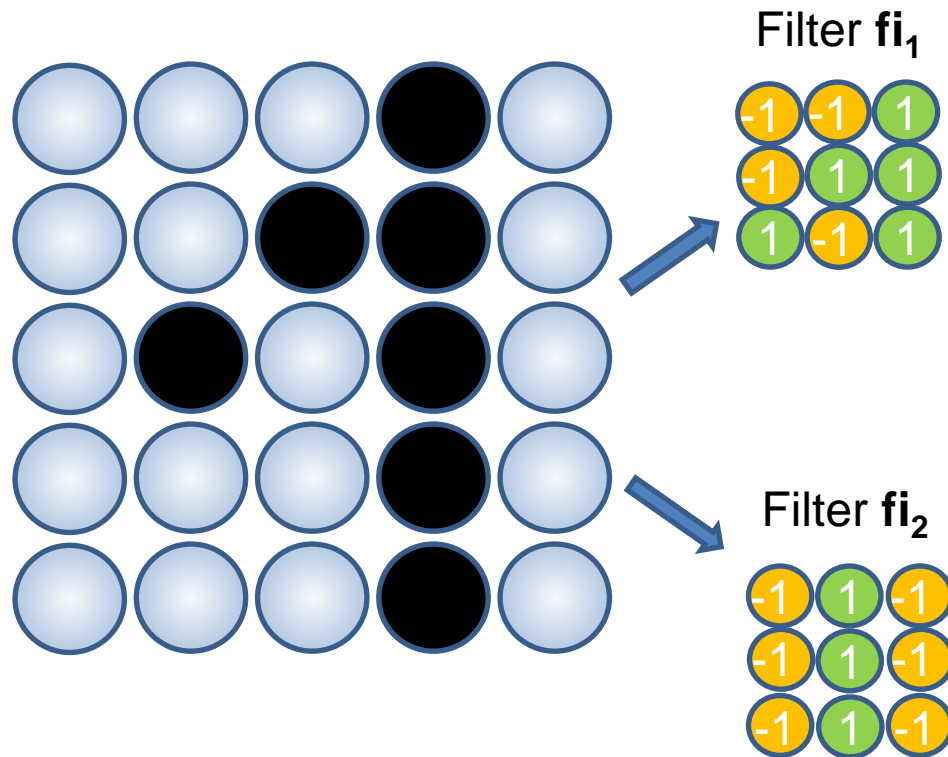
# Convolutional Neural Networks

Learning – Feature Extraction: filter comparison



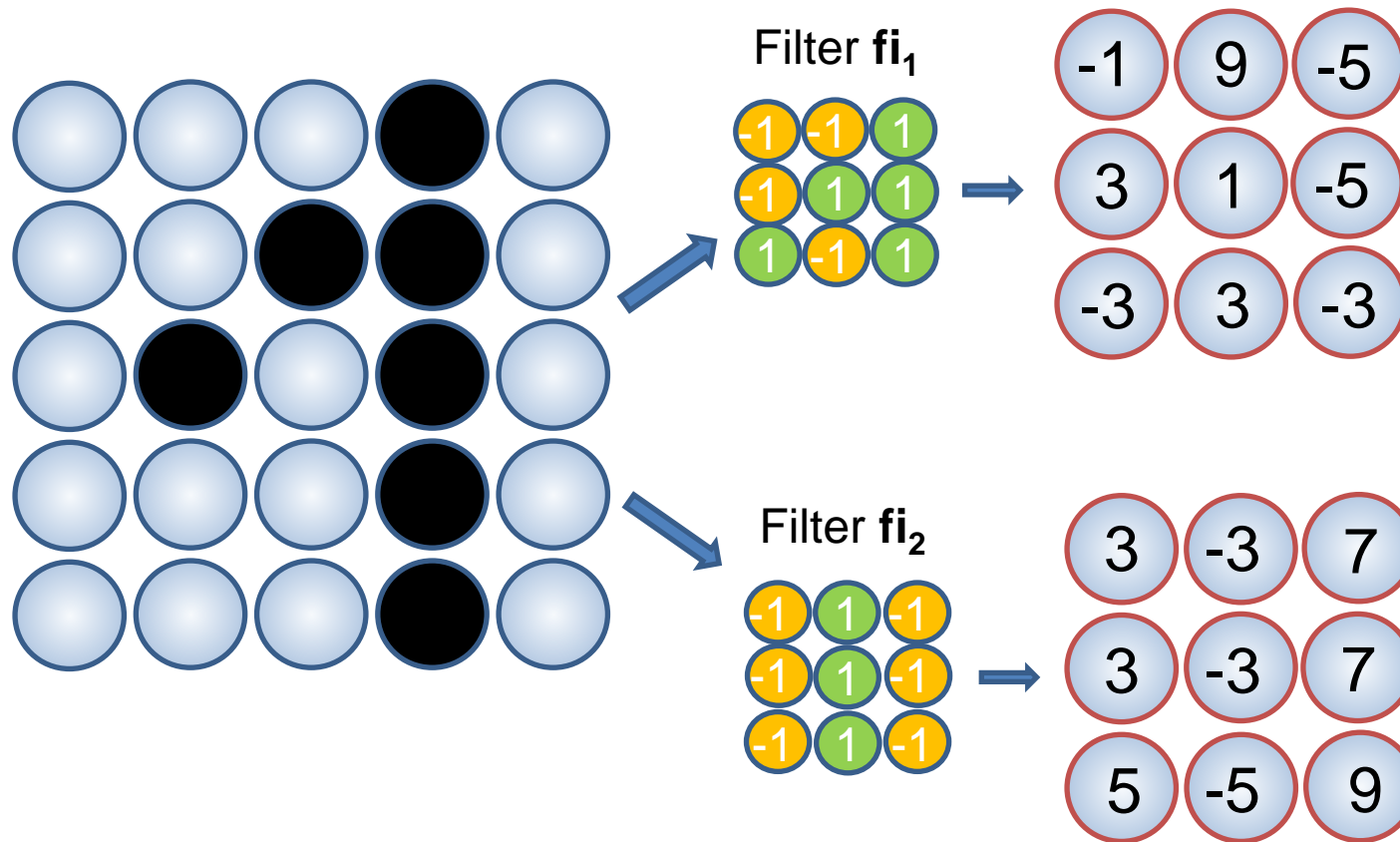
# Convolutional Neural Networks

Learning – Feature Extraction: filter comparison



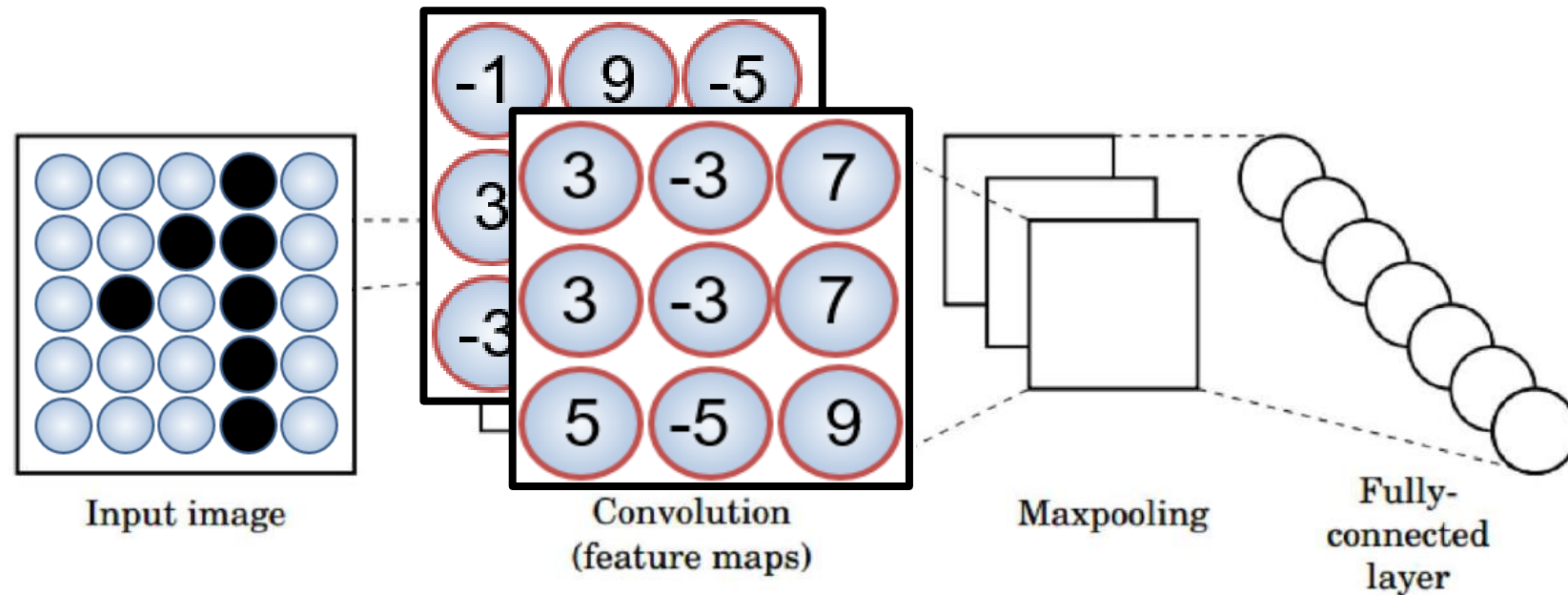
# Convolutional Neural Networks

Learning – Feature Extraction: filter comparison



# Convolutional Neural Networks

## Building a CNN - Example



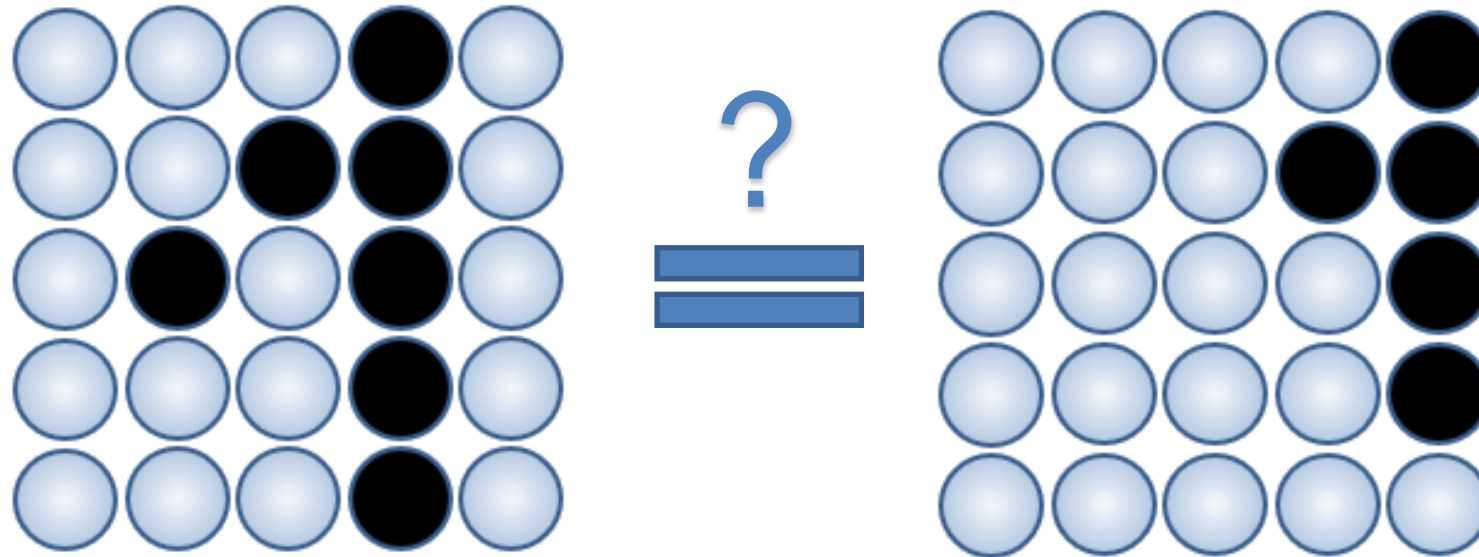
1. **Convolution:** Apply filters with learned weights to generate feature maps.
2. **Non-linearity:** Often ReLU.
3. **Pooling:** Downsampling operation on each feature map.

**Train model with image data.**

**Learn weights of filters in convolutional layers.**

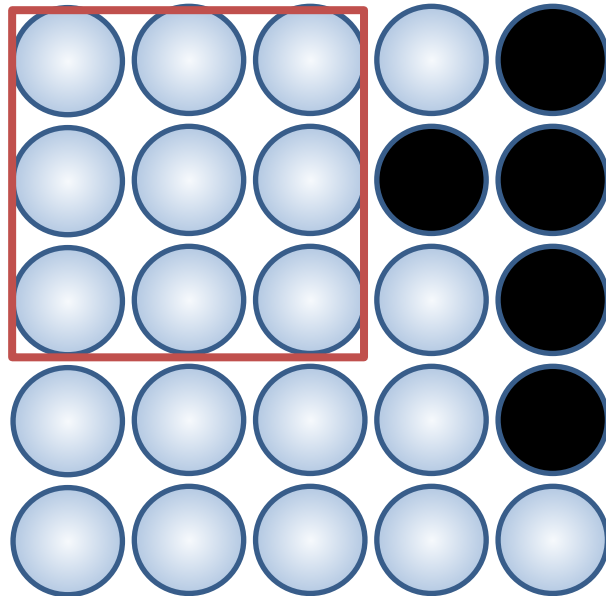
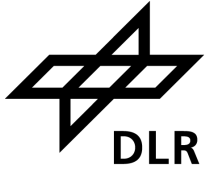
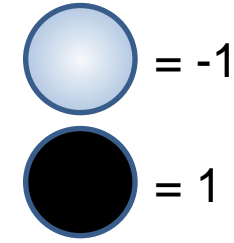
# Convolutional Neural Networks

## Filters to detect features



# Convolutional Neural Networks

## Filters to detect features

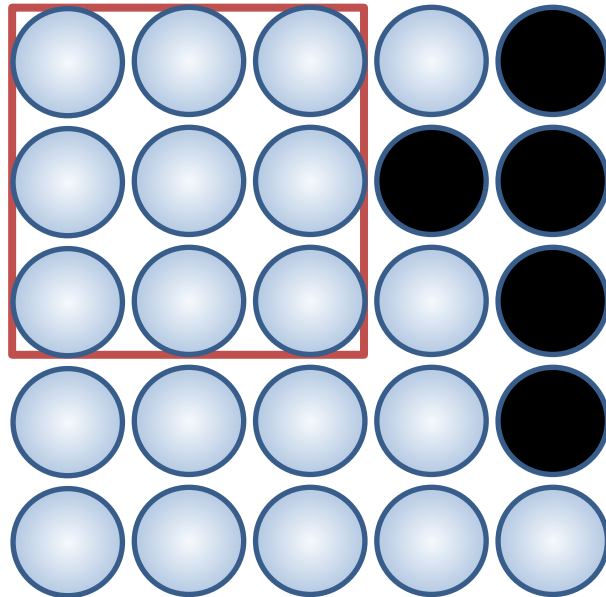
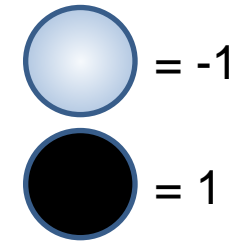


The usage of filters in detecting features allow good identification even in the event of **displacement, shrinkage, rotation or deformation**.



# Convolutional Neural Networks

## Filters to detect features



The usage of filters in detecting features allow good identification even in the event of **displacement, shrinkage, rotation or deformation**.

e.g. Filter  $\mathbf{f}_1 =$

-1	-1	1
-1	1	1
1	-1	1

-1	-1	-1
-1	-1	-1
-1	-1	-1

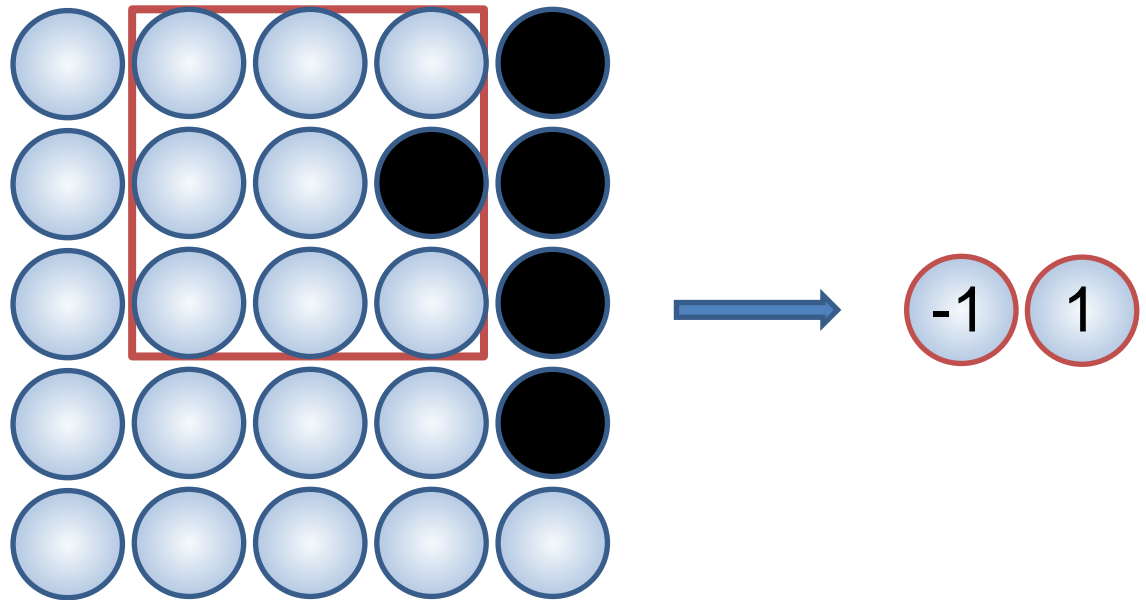
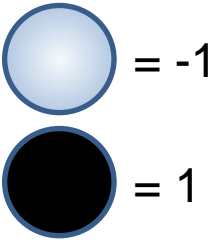
 $\times$ 

-1	-1	1
-1	1	1
1	-1	1

 $= \dots$

# Convolutional Neural Networks

Filters to detect features



e.g. Filter  $\mathbf{f}_1 =$

-1	-1	1
-1	1	1
1	-1	1

Feature/filter  $\mathbf{f}_1$  still matches the deformed image well

-1	-1	-1
-1	-1	1
-1	-1	-1

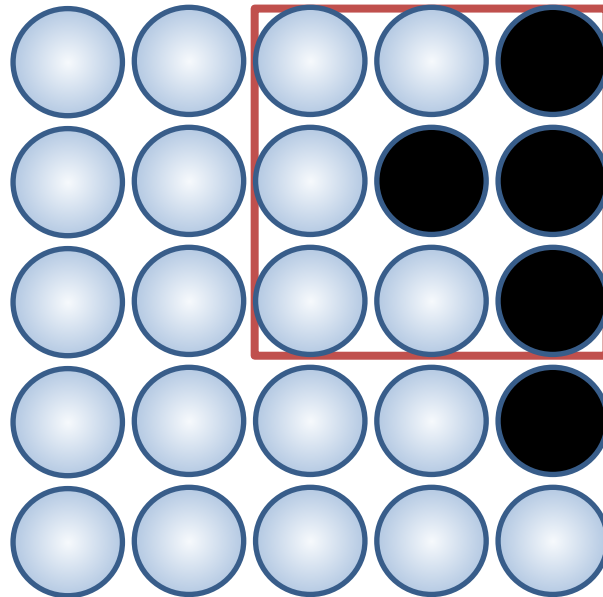
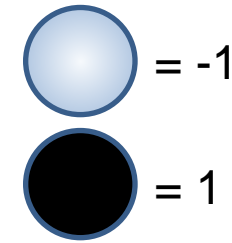
 $\times$ 

-1	-1	1
-1	1	1
1	-1	1

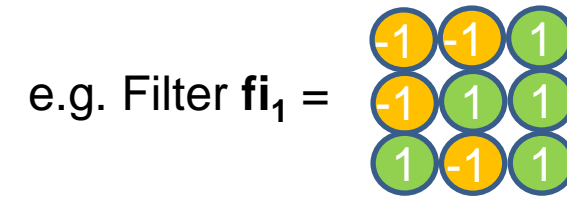
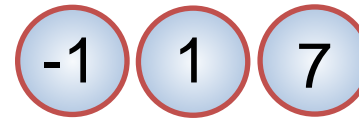
 $= \dots$

# Convolutional Neural Networks

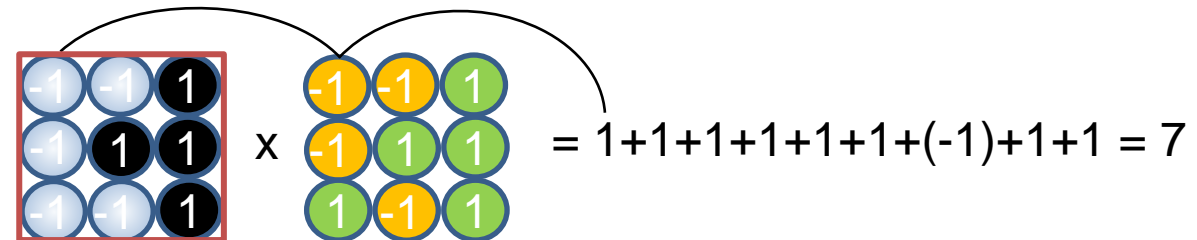
## Filters to detect features



Not a full match  
but still a high score

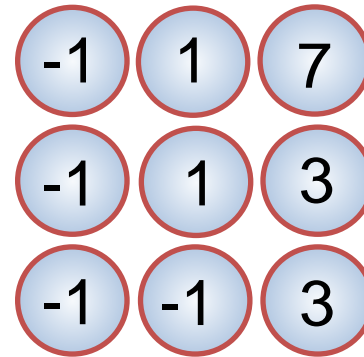
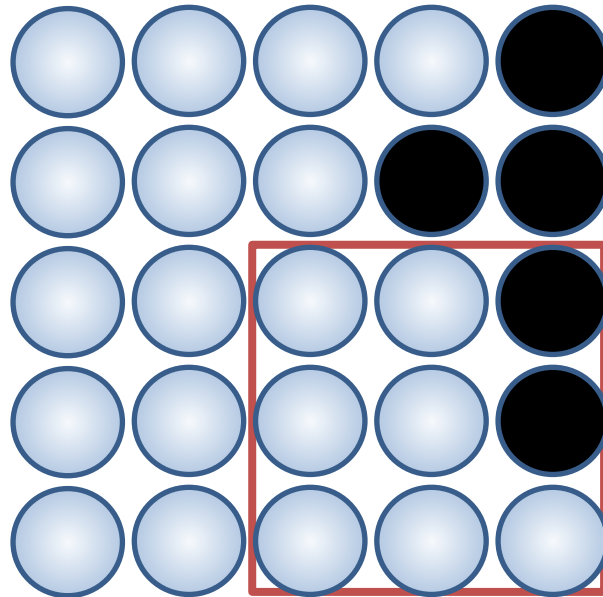
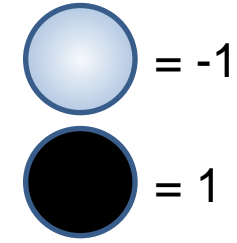


Feature/filter  $fi_1$  still matches the deformed image well  
Remember! **A full match would be 9**



# Convolutional Neural Networks

## Filters to detect features



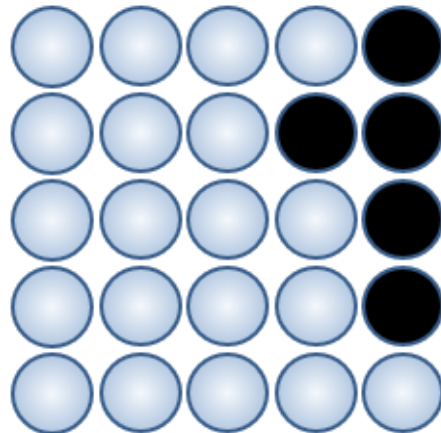
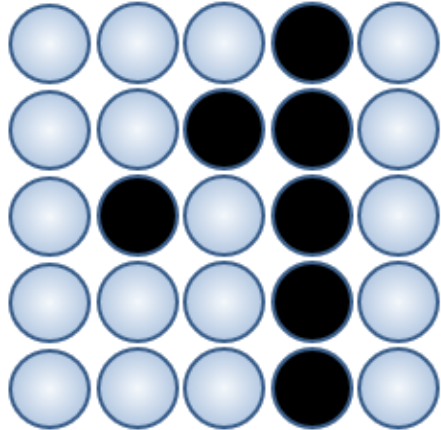
Finished feature map  
using filter  $\mathbf{f}_1$

e.g. Filter  $\mathbf{f}_1 =$

A 3x3 grid of circles with numerical values. The top row contains -1, -1, and 1. The middle row contains -1, 1, and 1. The bottom row contains 1, -1, and 1. The circles are colored: yellow for -1 and green for 1.

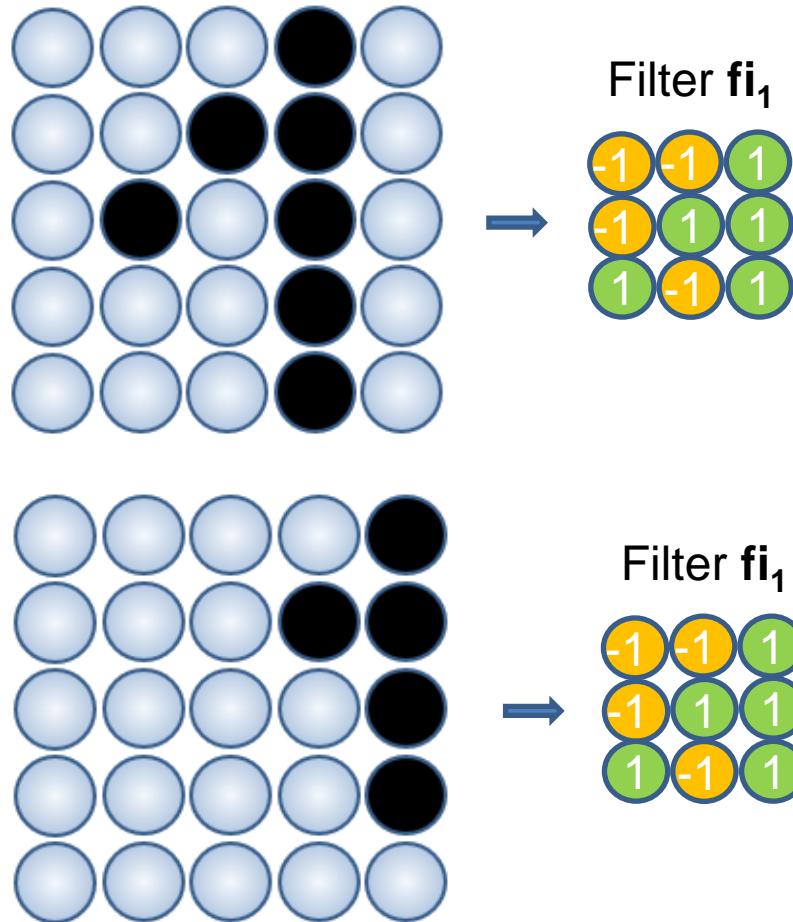
# Convolutional Neural Networks

## Filters to detect features: comparison



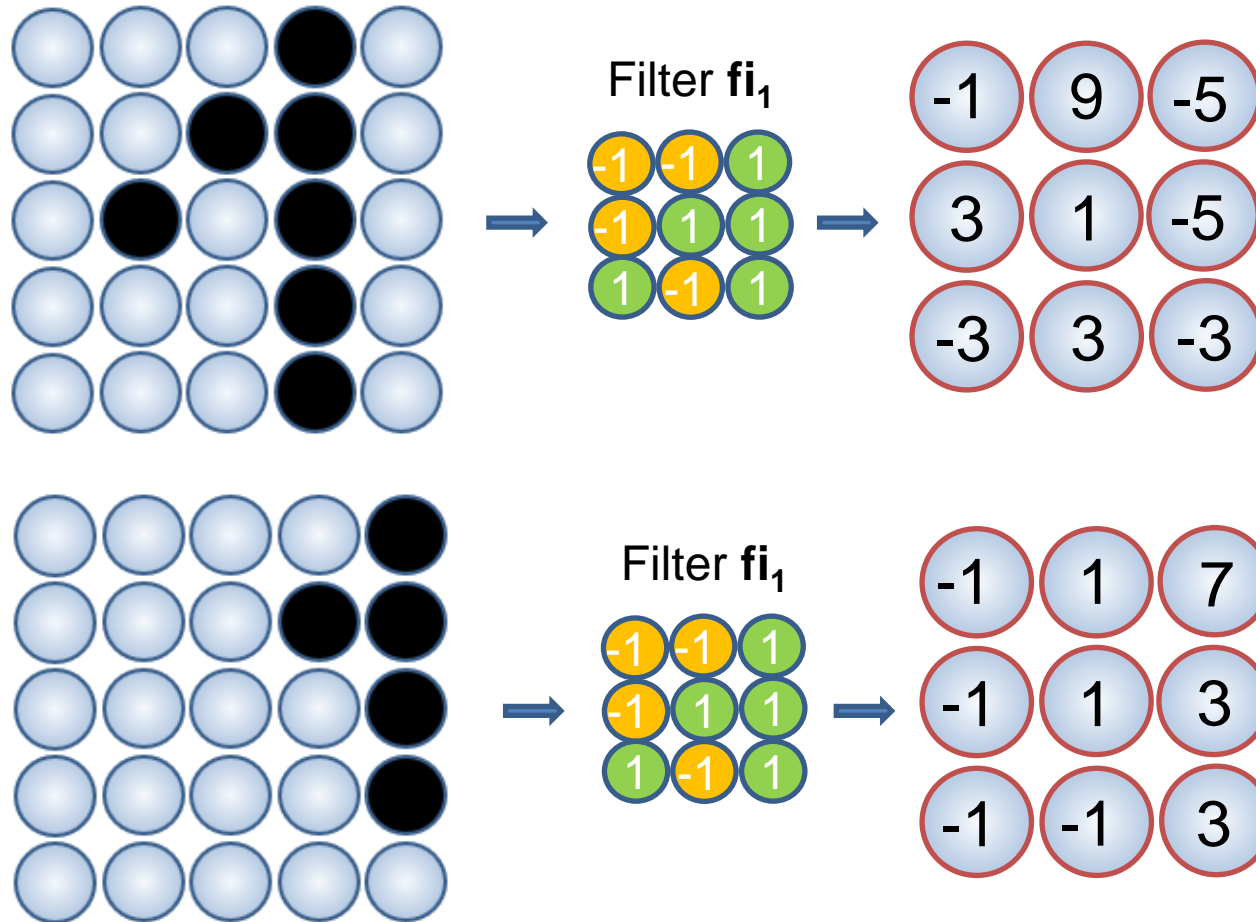
# Convolutional Neural Networks

## Filters to detect features: comparison



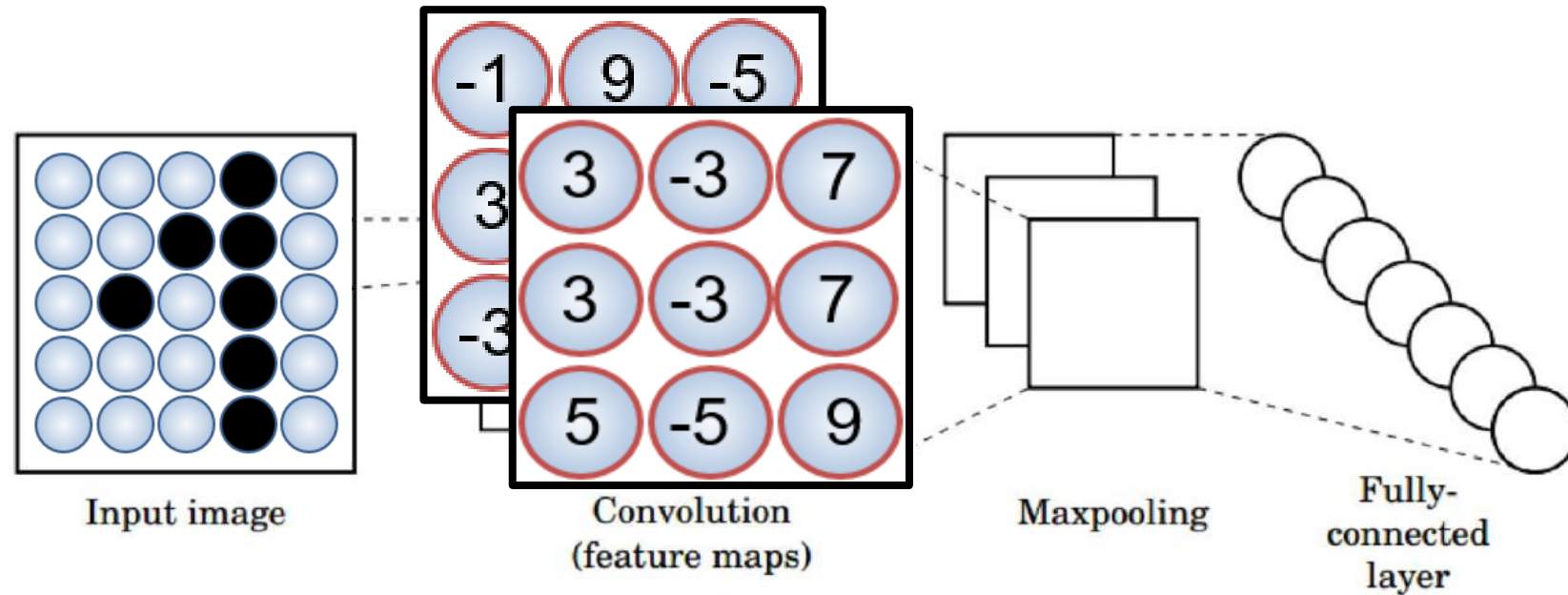
# Convolutional Neural Networks

## Filters to detect features: comparison



# Convolutional Neural Networks

## Pooling



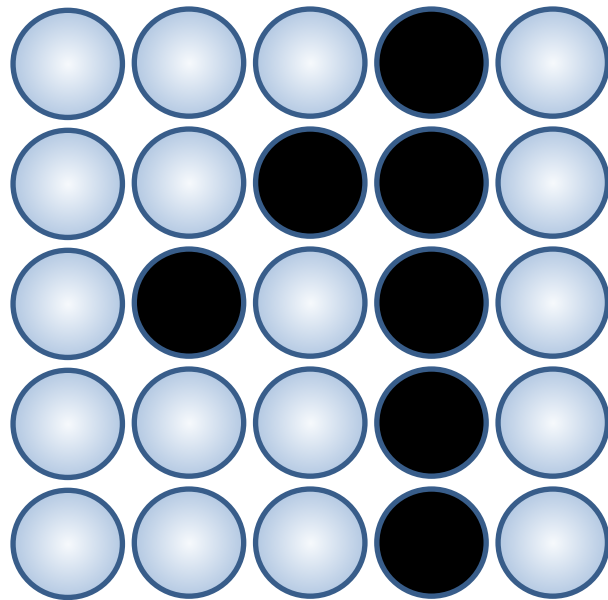
1. **Convolution:** Apply filters with learned weights to generate feature maps.
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**Train model with image data.**  
**Learn weights of filters in convolutional layers.**

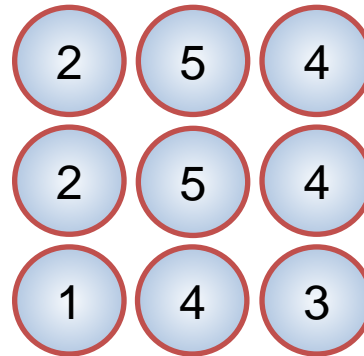


# Convolutional Neural Networks

## Max Pool – Example

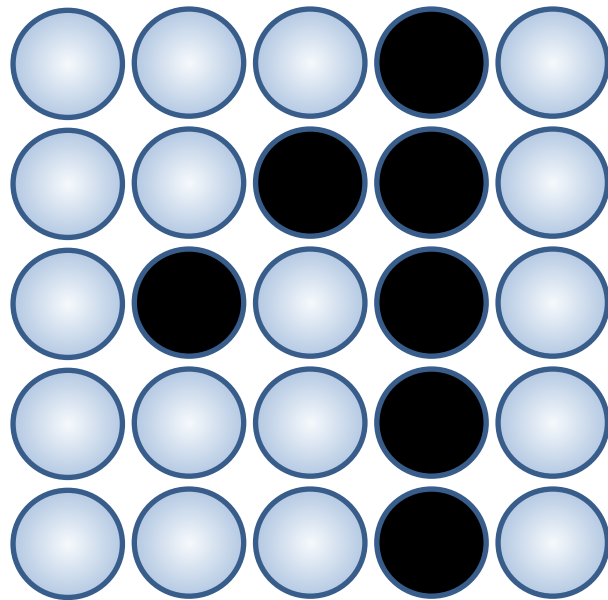


- We already have the layer with the 9 neurons for the 5x5 image

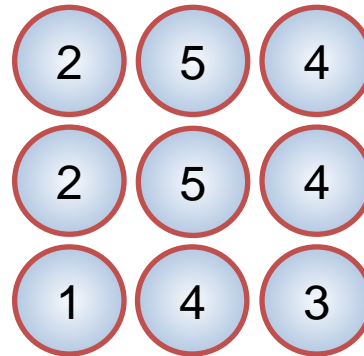


# Convolutional Neural Networks

## Max Pool – Example

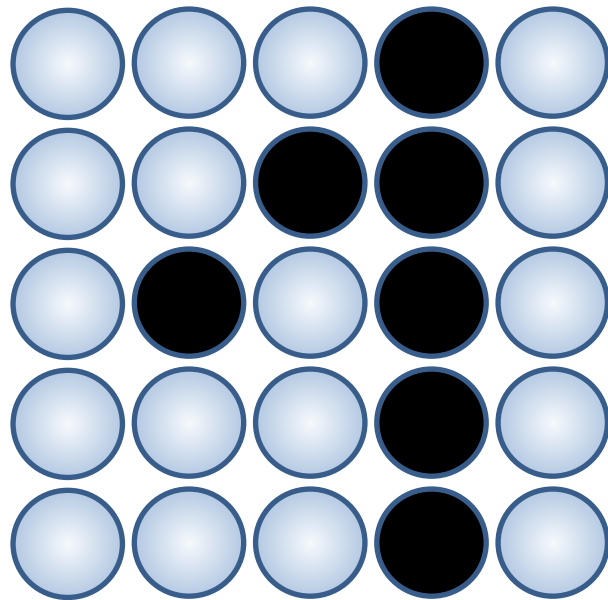


- We already have the layer with the 9 neurons for the 5x5 image
- Now we want to reduce dimensionality and spatial invariance

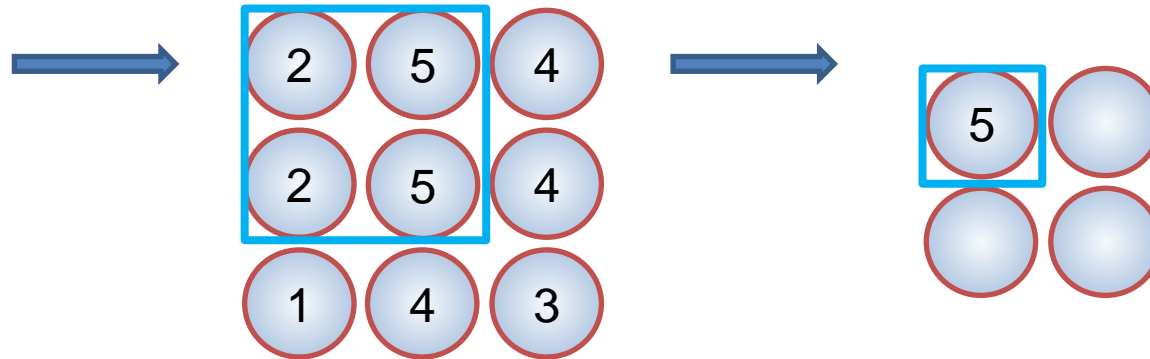


# Convolutional Neural Networks

## Max Pool – Example

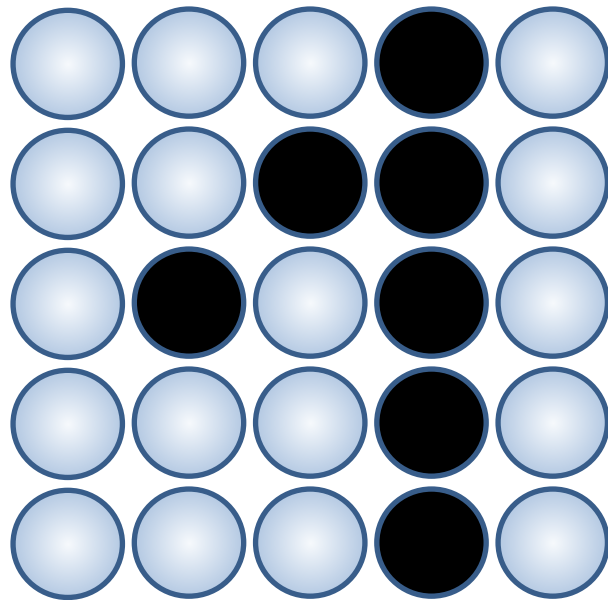


- We already have the layer with the 9 neurons for the 5x5 image
- Now we want to reduce dimensionality and spatial invariance
- Using max pool (find max with 2x2 filters and stride 1)

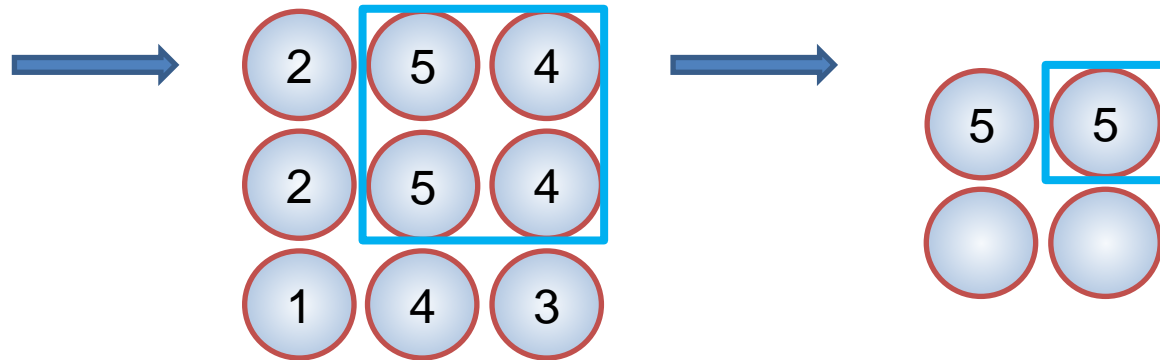


# Convolutional Neural Networks

## Max Pool – Example

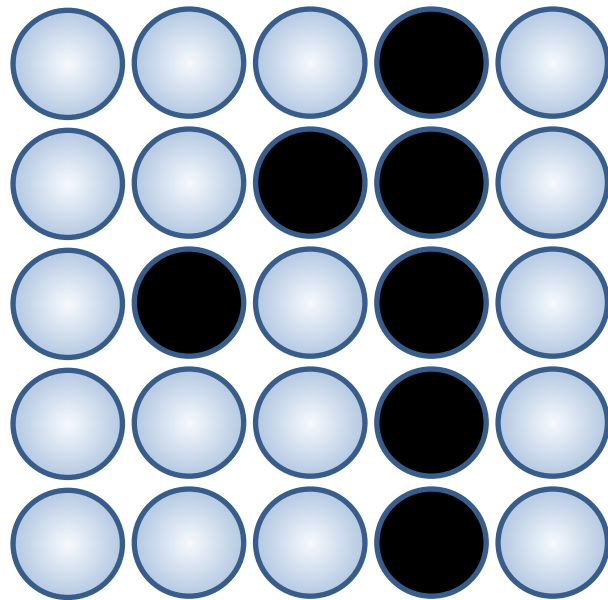


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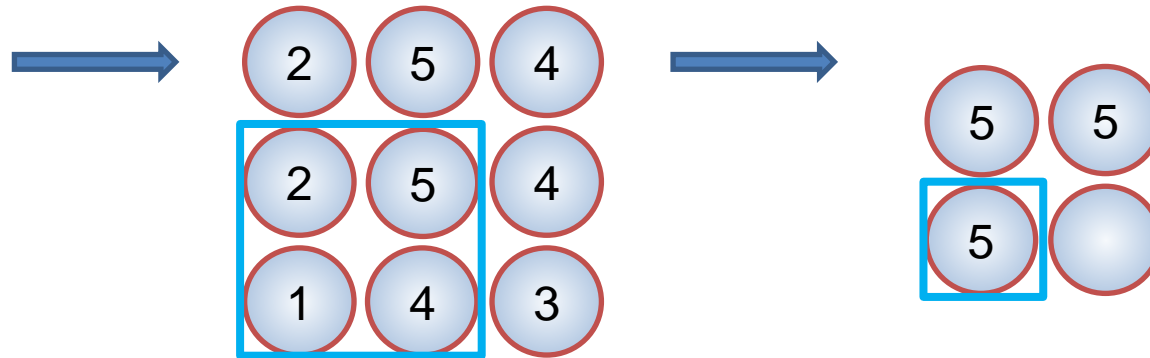


# Convolutional Neural Networks

## Max Pool – Example

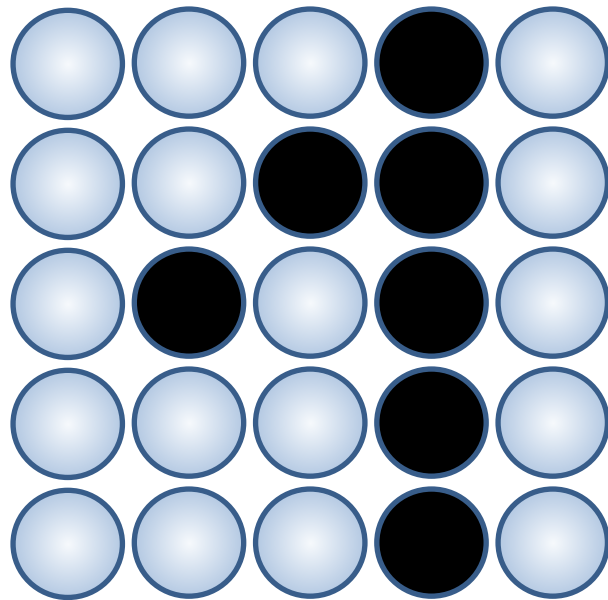


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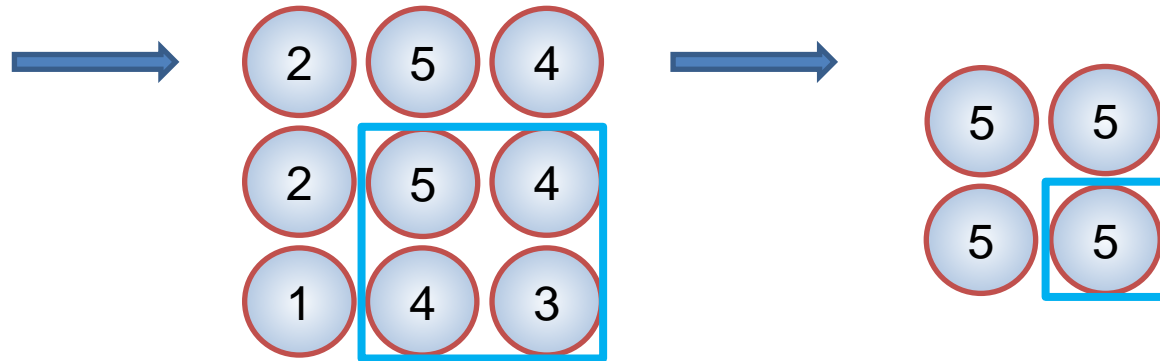


# Convolutional Neural Networks

## Max Pool – Example

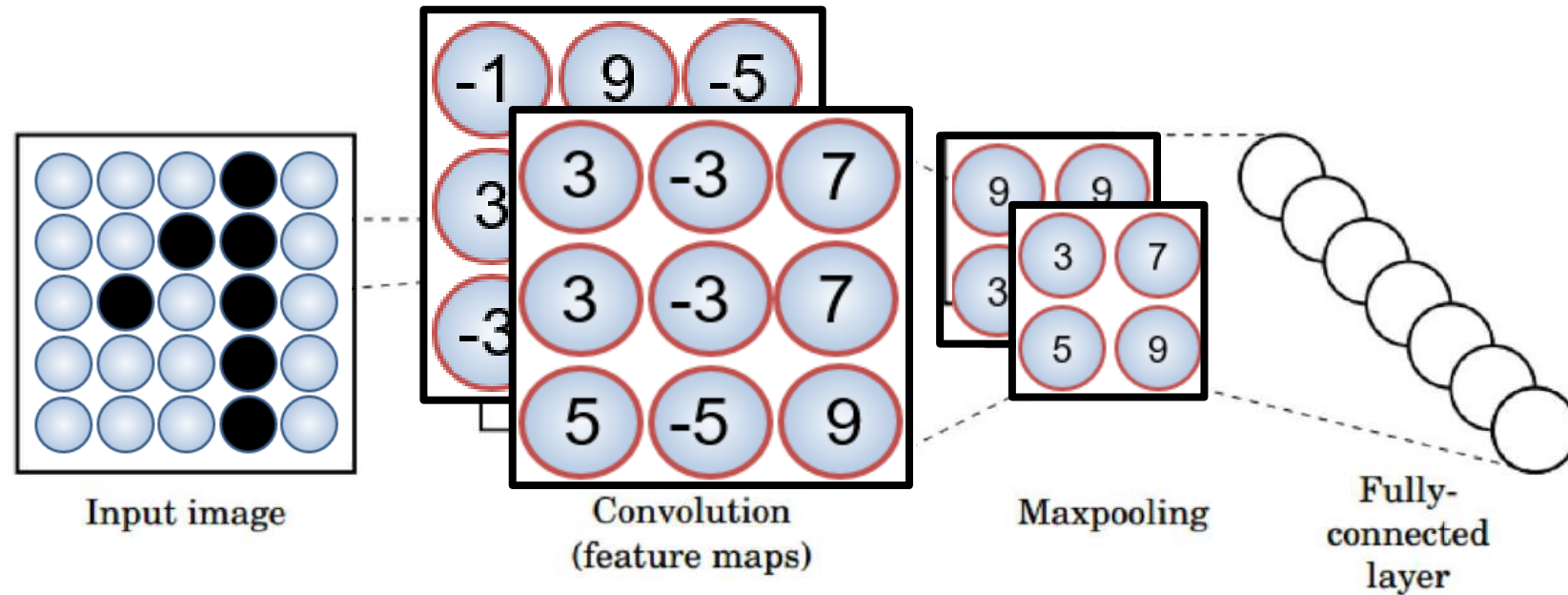


- We already have the layer with the 9 neurons for the 5x5 image
- Now we want to reduce dimensionality and spatial invariance
- Using max pool (find max with 2x2 filters and stride 1)



# Convolutional Neural Networks

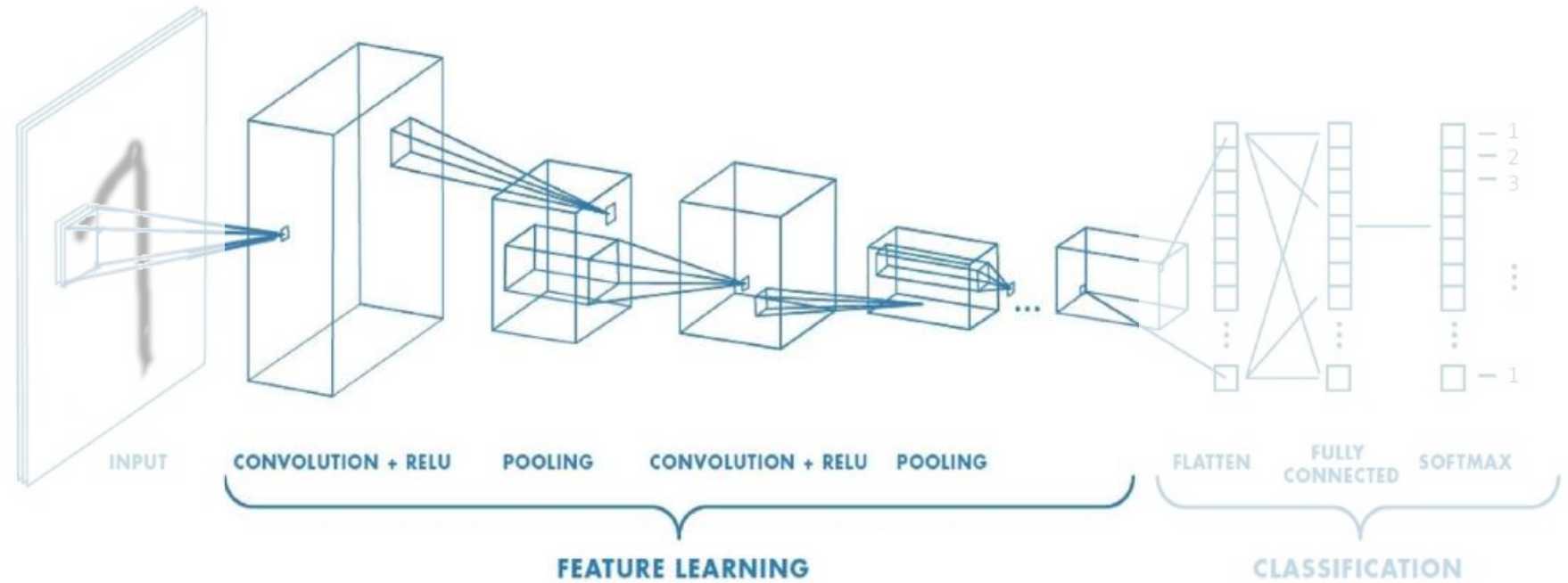
## Building a CNN – Example



1. **Convolution:** Apply filters with learned weights to generate feature maps.
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**Train model with image data.**  
**Learn weights of filters in convolutional layers.**

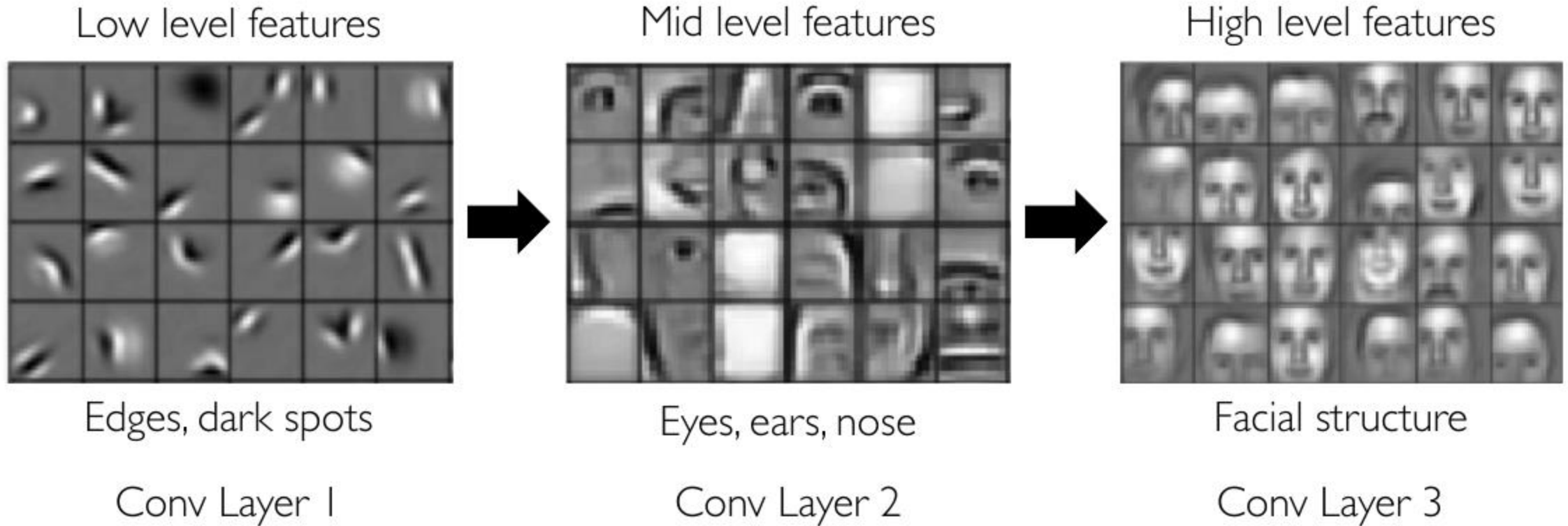
# Representation Learning



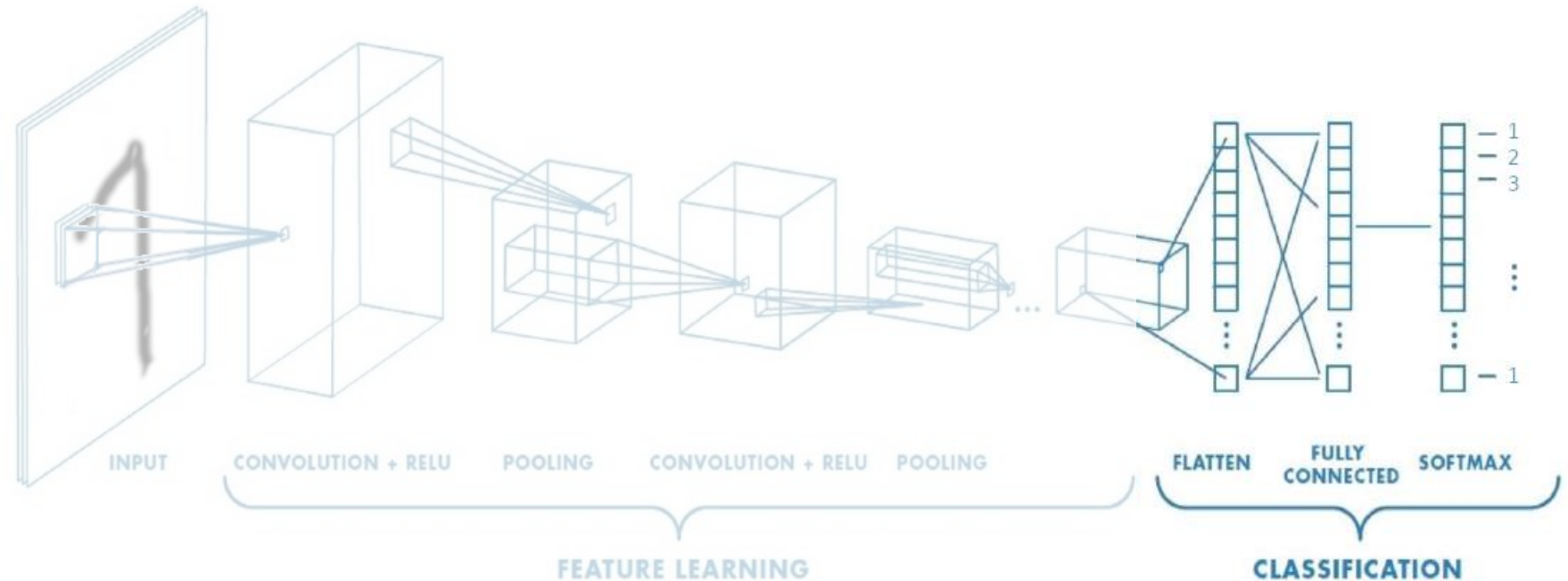


# Convolutional Neural Networks

## Representation Learning



# Classification

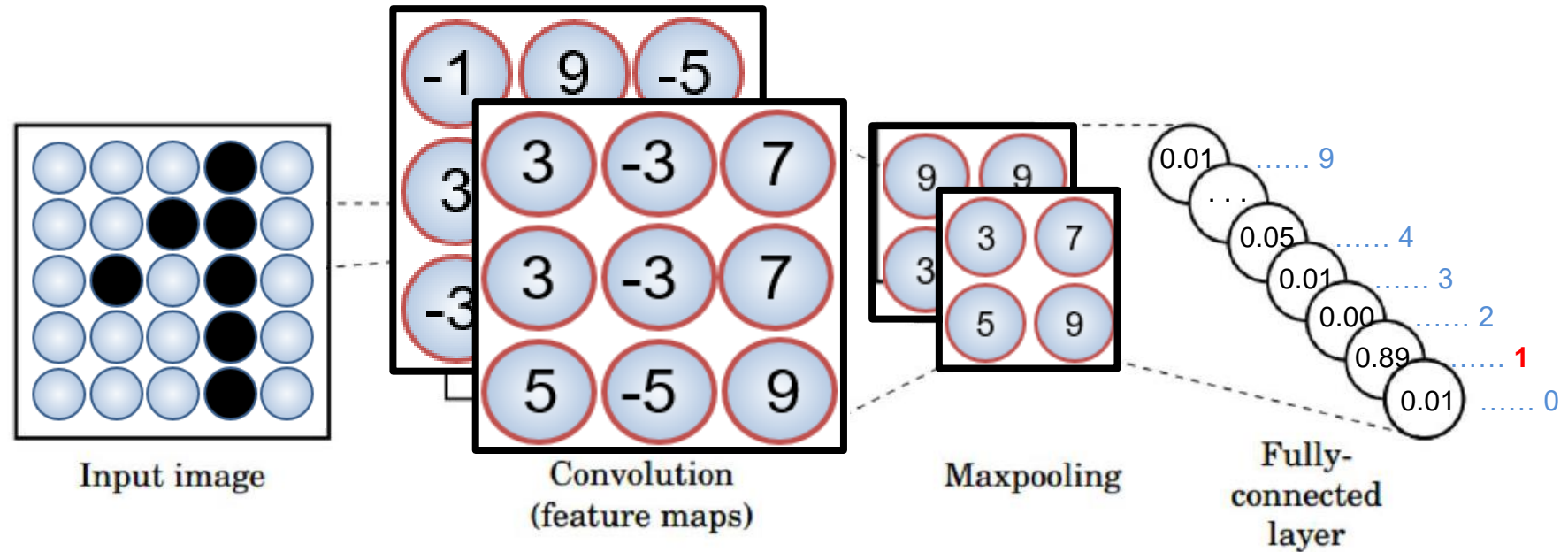


- CONV and POOL layers output high-level features of input
- Fully connected layer uses these features for classifying input image
- Express output as **probability** of image belonging to a particular class

$$\text{softmax}(y_i) = \frac{e^{y_i}}{\sum_j e^{y_j}}$$

# Convolutional Neural Networks

## Building a CNN – Example

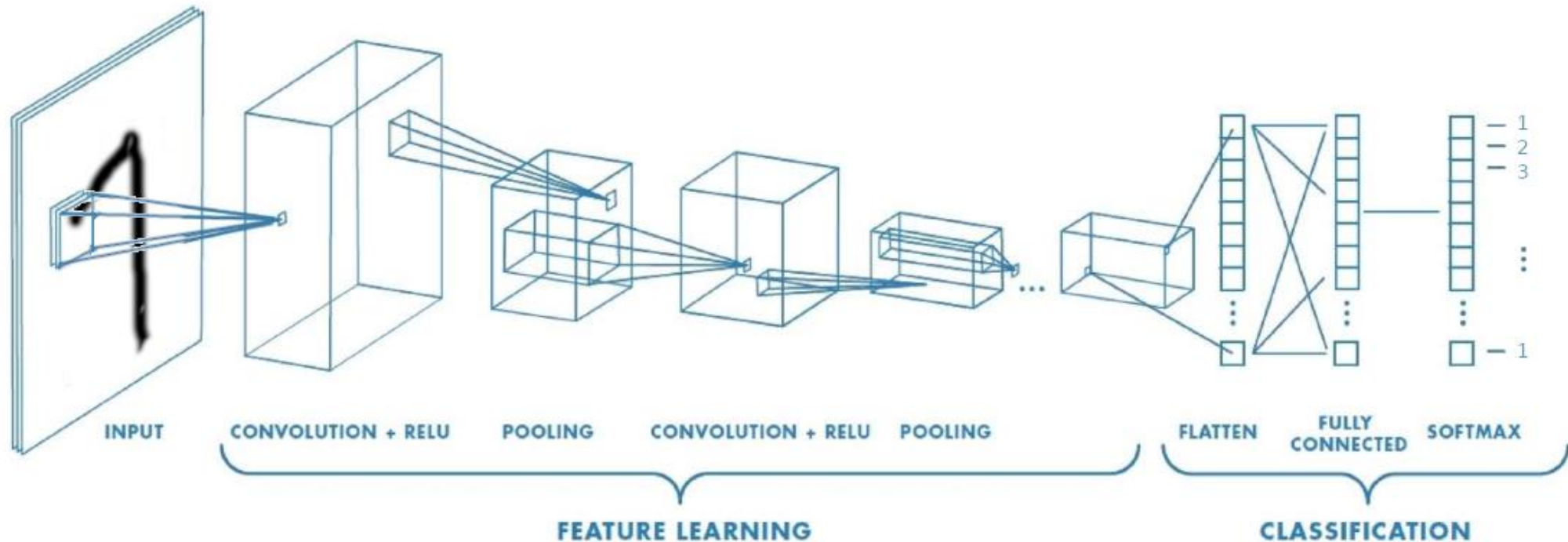


- 1. Convolution:** Apply filters with learned weights to generate feature maps.
- 2. Non-linearity:** Often ReLU.
- 3. Pooling:** Downsampling operation on each feature map.

**Train model with image data.**  
**Learn weights of filters in convolutional layers.**

# Convolutional Neural Networks

## Training with backpropagation



Learn weights for convolutional filters and fully connected layers

Backpropagation: cross-entropy loss

$$J(\theta) = \sum_i y^{(i)} \log(\hat{y}^{(i)})$$

# Curriculum



## Next:

- Hands-on II

Topic: **Introduction to Deep Learning**  
Part II – Advanced Concept and Convolutional Neural Network

Date: 2025-11-13

Author: Auliya Fitri, Sai Vemuri, Sreerag Naveenachandran

Institute: Data Science

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