# Introduction to Genomic Prediction

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#### **Topics**

GP in human genetics

Polygenic risk scores

GP in animal and plant breeding

Comparison between PRS and GS

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GWAS in human genetics

Background

PRS analysis process

Accuracy

Limitations

Other considerations

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PRS applications

Overview

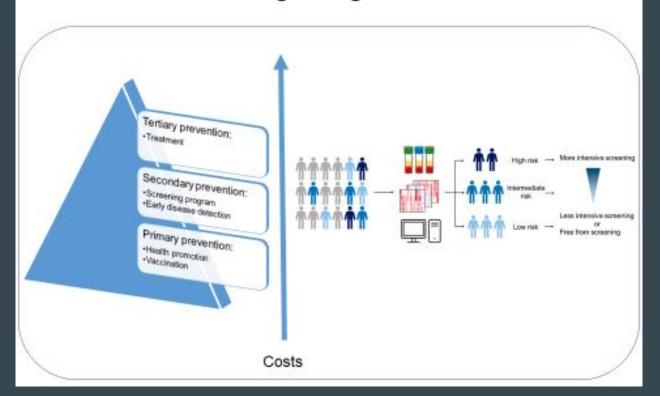
Comparison of plant and animal breeding approaches

Accuracy

Overview



#### Preventive strategies against disease



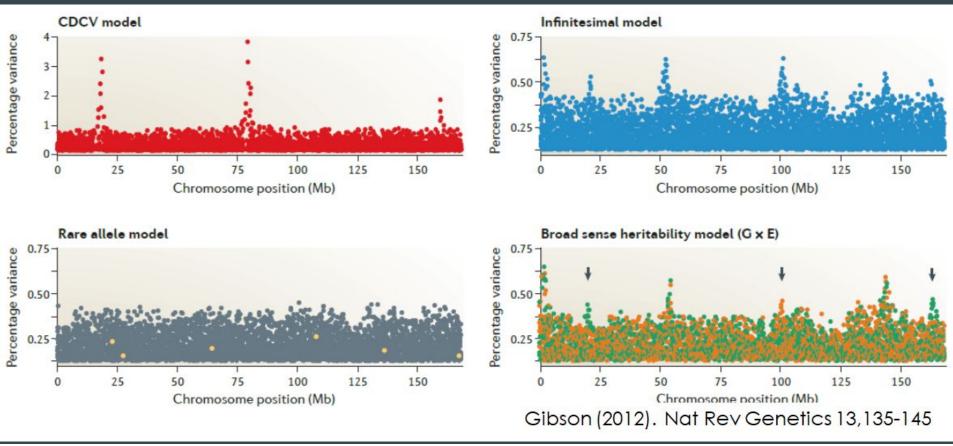
#### Genome-wide Prediction in Human Genetics

Prediction of disease risk is an essential part of preventative medicine, often guiding clinical management

Improving effective medical treatment and preventative interventions needs to know how modifiable social, behavioural and physiological factors influence risk of disease (Abraham et al., 2016), as well as the non-modifiable factors:

- <u>Non-genetic risk factors</u>: age, sex, family history of disease, lifestyle factors (smoking status, alcohol consumption ...), comorbidities (e.g., diabetes)
- <u>Genetic risk factors</u>: the genetic basis for many human traits and diseases has been established as polygenic (contributions of many genes each of them contributing very little to the trait), in contrast to Mendelian diseases (caused by variation in one or few genes with large effect)





# Dogenome-wide prediction

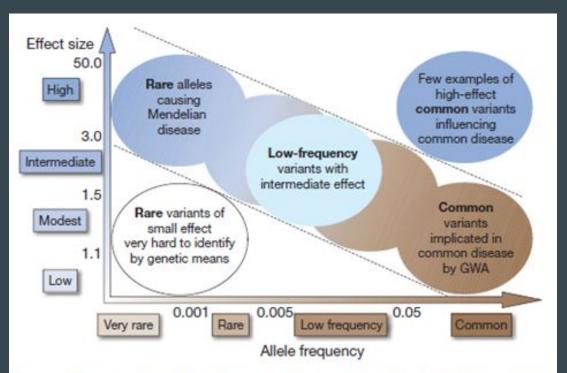


Figure 1 | Feasibility of identifying genetic variants by risk allele frequency and strength of genetic effect (odds ratio). Most emphasis and interest lies in identifying associations with characteristics shown within diagonal dotted lines. Adapted from ref. 42.

#### **GWAS** in Human Genetics

- Genome-wide association studies (GWAS) have identified many SNPs-trait associations
- GWAS catalog (<a href="https://www.ebi.ac.uk/gwas/home">https://www.ebi.ac.uk/gwas/home</a>) contains a high-quality collection of all published (and since 2020 also unpublished) GWAS studies
- As of 2021-12-21, the GWAS Catalog contains 5527 publications and 325,538 associations
- GWAS data are often made available only as summary statistics (Estimated Beta, *p*-value).

#### **GWAS** in Human Genetics



#### **GWAS** in Human Genetics

These GWAS SNP-trait associations have provided:

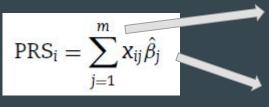
- insights into the genes and pathways that cause disease
- more recently the use of these data for disease risk prediction

#### Polygenic risk scores

Polygenic risk scores (PRS) (also referred as genomic risk scores) is a method to predict an individual's genetic predisposition for a given disease

It is a single value estimate of an individual's genetic liability to a phenotype

Simplest form:



Genotype for the *i* individual for the *j* SNP (allelic dosage of the minor or effect allele)

Estimated SNP effect (obtained from GWAS summary statistics)

The genotypes are typically those of common (minor allele frequency > 0.01) biallelic SNPs



# Polygenic risk scores

PRS can be constructed from genome-wide significant SNPs ( $p < 5x10^{-8}$ ):

Weakly predictive PRS when the set of GWAS hits is small

PRS with larger number of SNPs (e.g.,  $(p < 5x10^{-5})$ ):

Large # of SNPs with increasingly less precise effect estimates



Small # of SNPs with a more precise effect estimates

### Polygenic risk scores

Optimization of PRS:

TRAINING DATASET (a.k.a.

DISCOVERY SAMPLE)

Phenotype ~ individual SNP genotypes

PRS

TESTING DATASET (INDEPENDENT)
(a.k.a. TARGET SAMPLE)

Accounting for LD:

LD pruning (remove one SNP from a pair in high LD)

LD cumpling (LD pruning + most significant SNPs)

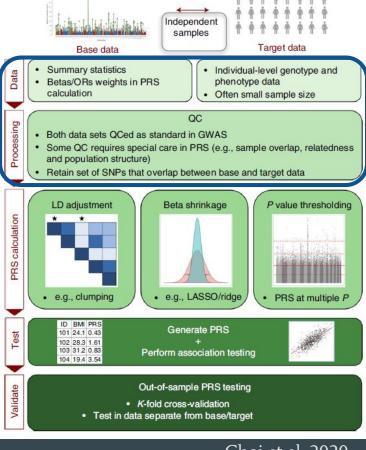
Important: No overlap between training and testing datasets



# PRS analysis process (https://choishingwan.github.io/PRS-Tutorial/base/)

Most powerful GWAS results available on the phenotype

- Important to check the effect allele:
  - Contact authors if not clear from the summary data
  - Ambiguous alleles (A/T, C/G): check MAF or discard them
  - Mismatching alleles: remove non-resolvable matching **SNPs**
- Target data with effective samples with >100 indiv
- Check if corrupted files
- Base and target data SNPs assigned to the same genome build
- Base and target data SNPs with good quality:
  - MAF, genotyping rate, HWE, heterozygosity, info



Choi et al, 2020

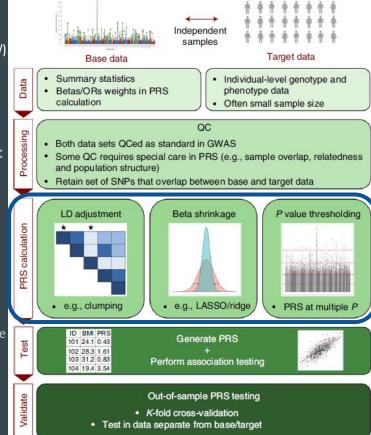


#### PRS analysis process (https://choishingwan.github.io/PRS-Tutorial/base/)

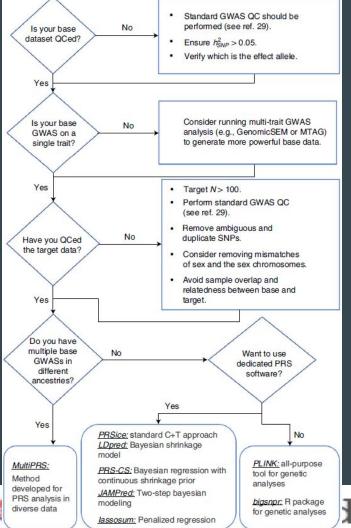
To calculate PRSs for all individuals in the base sample

Key factors in the development of methods for calculating PRSs:

- Accounting for LD (if single SNP analysis was used):
  - clumping (prioritization of SNPs in the locus based on their *p*-value)
  - Inclusion of all SNPs accounting for LD among them
- Potential adjustment of GWAS estimated effect sizes:
  - shrinkage of the effect estimates of all SNPs via standard or tailored statistical techniques
  - use of P value selection thresholds as inclusion criteria for SNPs into the score (Variable selection)
- Tailoring of PRSs to target populations:
  - standardization of the units
  - Standardization (same scale)
  - Transformation of the phenotype should be taken into account



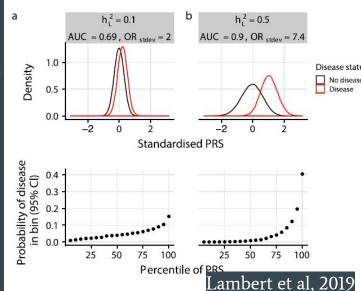




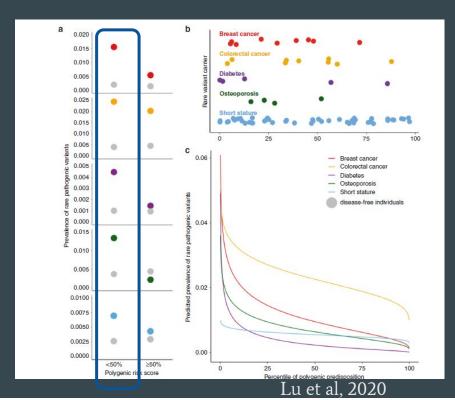
https://doi.org/10.1038/s41596-020-0353-1

le prediction

- Disease heritability ( $h_{SNP}^2 > 0.05$ ):
  - Software to estimate h<sup>2</sup><sub>SNP</sub> from GWAS sum stat:
    - LD score regression (Bullik-Sullivan, 2015)
    - SumHer (Speed and Balding, 2019)



- Disease heritability
- Genetic architecture:
  - Rare genetic causes are more prevalent among patients with a low PRS
  - These patients may be prioritized for deep-depth sequencing of relevant genes

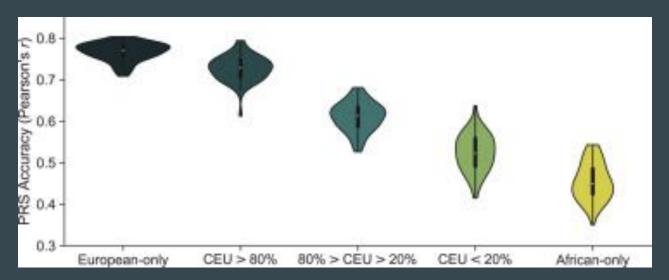




- Disease heritability and genetic architecture
- cor(PRS,PRS\_estimated). It depends on:
  - Method used to construct the PRS
  - Sample size
- Update of PRSs:
  - GWASs expand in size
  - additional risk loci are identified
  - Alternative methods for score calculation
- Imputation variability in underrepresented populations  $\rightarrow$  health disparities
- Different genetic background (one-third as informative for African ancestry individuals (Duncan et al, 2020)



Accuracy of PRSs, with variants and weights from a European GWAS, decreases linearly with increasing proportion of African ancestry

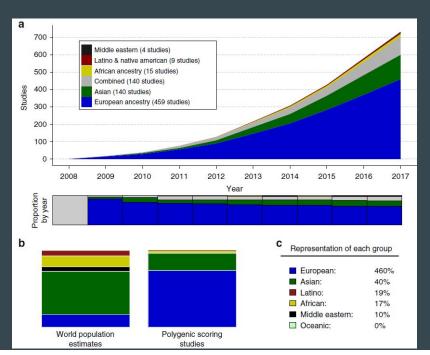


Cavazos and Witte, 2021

- Individualized PRS should be updated over time → Fluctuations in individual-level scores → may affect the application of PRSs in practice which relates to prioritization of preventative behaviors
- Sparse genotyping approaches (SNP arrays or low-pass WGS)  $\rightarrow$  Need of imputing  $\rightarrow$  Variability introduction at the individual level

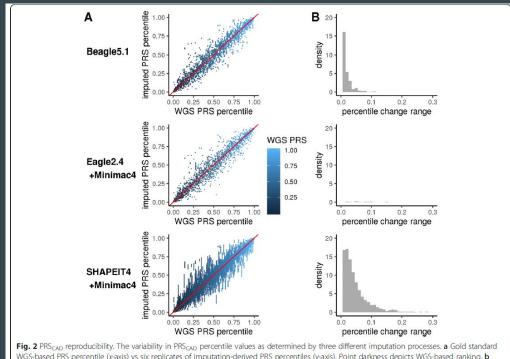
- Findings regarding genetic liability from resources such as UKBiobank or GWAS results may not be generalizable to individuals who are not of European descent:
  - Differences in variant frequencies
  - LD patterns artifactual differences due to uncorrected population stratification (Berg et al, 2019)

Ancestry representation in the first decade of polygenic scoring studies (2008–2017; N = 733 studies)



Duncan et al, 2020





WGS-based PRS percentile (x-axis) vs six replicates of imputation-derived PRS percentiles (y-axis). Point darkness depicts WGS-based ranking. b Histogram of the absolute score deviations relative to the WGS-based standard. Bin for no change is not shown

#### **IMPUTATION:**

- Pre-phasing step introduces the bulk of the stochasticity imputation and PRS results
- SHAPEIT+Minimac leads to the most intra-individual variability, followed by Beagle and Eagle+Minimac
- This algorithm-level variability is observed regardless original approach used to derive the PRS and the number of SNPs included in the score

Chen et al, 2020



- Variability of the PRS percentile is greatest in the middle of the distribution and lowest at the tails (Chen et al, 2020)
   Solution: deterministic imputation processes should be favored or stochastic imputation processes
  - <u>Solution</u>: deterministic imputation processes should be favored or stochastic imputation processes could be run multiple times in order to select the most common result
- Both the clumping and thresholding steps are arbitrary, and reporting the results from the P-value threshold that maximizes out-of-sample prediction in a single cohort is a form of Winner's curse (Wray et al, 2019)
- GWAS one-SNP-at-a-time regression may not the optimal way to estimate SNP effects for use in prediction (Wray et al, 2007):
  - Methods that fit all SNPs simultaneously usually generate more accurate out-of sample prediction than those fitting one SNP at a time

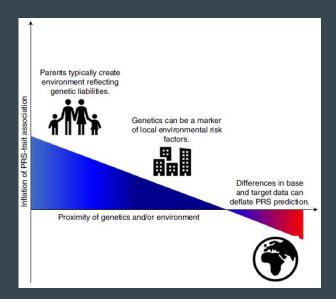
Chen et al, 2020

#### Polygenic Risk Scores - Other considerations

- Having relatives in the discovery sample will improve the prediction for an individual (Lee et al, 2017):
  - However, having first/second degree relatives may inflate the association between PRS and phenotype (target sample) → removal of those ind (Choi et al., 2020)
- Include Family History as predictor, because it captures genetic and not genetic factors not captured by PRS (Inouye et al, 2018)
  - PRS is an estimate of the aggregate genetic value of an individual, tracking only the genetic contribution to the trait tagged by common DNA polymorphisms.
  - Family history reflects the phenotypes of relatives of the individual.

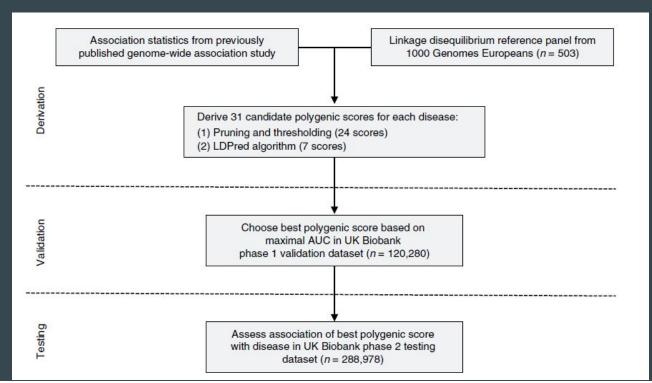
#### Polygenic Risk Scores - Other considerations

Major sources of inflation/deflation of PRS-trait associations



Choi et al, 2020

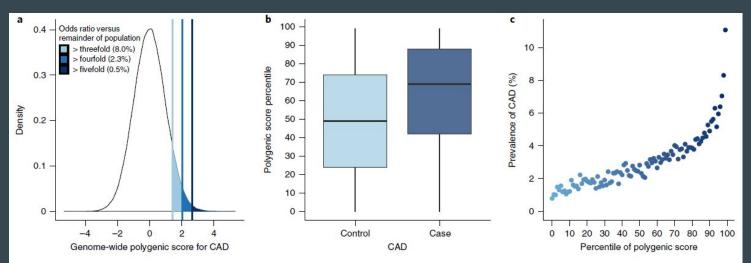
# Polygenic Risk Scores - Examples



Khera et al, 2018



### **Polygenic Risk Scores - Examples**



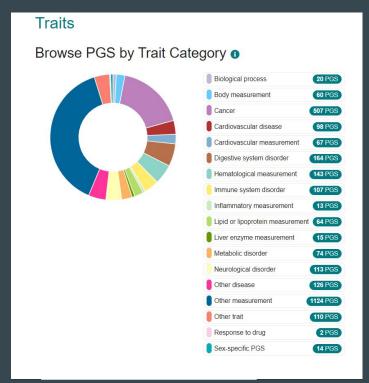
**Fig. 2** | **Risk for CAD** according to **GPS. a**, Distribution of GPS<sub>CAD</sub> in the UK Biobank testing dataset (n=288,978). The x axis represents GPS<sub>CAD</sub>, with values scaled to a mean of 0 and a standard deviation of 1 to facilitate interpretation. Shading reflects the proportion of the population with three-, four-, and fivefold increased risk versus the remainder of the population. The odds ratio was assessed in a logistic regression model adjusted for age, sex, genotyping array, and the first four principal components of ancestry. **b**, GPS<sub>CAD</sub> percentile among CAD cases versus controls in the UK Biobank testing dataset. Within each boxplot, the horizontal lines reflect the median, the top and bottom of each box reflect the interquartile range, and the whiskers reflect the maximum and minimum values within each grouping. **c**, Prevalence of CAD according to 100 groups of the testing dataset binned according to the percentile of the GPS<sub>CAD</sub>.

Khera et al, 2018



An open database of polygenic scores and the relevant metadata

PGS Catalog - The Polygenic Score Catalog



- An atlas of polygenic burden associations across the human phenome
  - A resource to unravel the causal determinants of complex disease from an analysis of 162 PRS and 551 complex traits (Richardson et al, 2020)
  - PRS constructed with GWAS hits considering a more lenient threshold (p<10<sup>-5</sup>) may improve detection rates for causal relationships (phenome wide association studies)

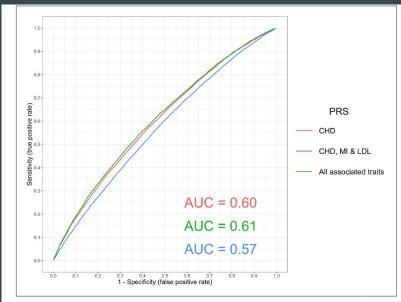


Figure 2. A receiver operator curve for ischaemic heart disease polygenic prediction. A receiver operating characteristic (ROC) curve to compare the sensitivity and specificity of polygenic risk scores (RPGs) and individuals with ischaemic heart disease (defined using 10 octoes 125) in the UK Biobank study. The scores evaluated were 1. Coronary Heart Disease (CHD), 2. A combined scored of CHD, Myocardial Infarction (MI) and Low Density Lipoprotein cholesterol (LDL), 3. All traits with a p-value-1x10<sup>-06</sup> in our PRS analysis (excluding scores from GWAS overlapping with the UK Bobank sample). These were CHD, MI, LDL, Total cholesterol, Triglycerides, High Density Lipoprotein cholesterol, Years of schooling, Height and Waist Circumference. All PRS were constructed from GWAS using independent SNPs with p<5x10<sup>-05</sup>.

DOI: https://doi.org/10.7584/eLif4.9355.7004

(Richardson et al, 2020)



Polygenic Risk Score software for calculating, applying, evaluating and plotting the results of polygenic risk scores (PRS) analyses → <a href="http://PRSice.info">http://PRSice.info</a> (doi:10.1093/gigascience/giz082)

- It handles both genotyped and imputed data
- it provides empirical association P-values free from inflation due to overfitting
- It supports different inheritance models
- it can evaluate multiple continuous and binary target traits simultaneously

### PRS applications

PRS likely to be used in the near future due to:

- data sharing restrictions to individual-level data;
- heterogeneity across cohorts
- the largest sources of individual-level data—population cohorts, such as the UK Biobank—generally have relatively few individuals with specific diseases compared to dedicated case/control studies, for which there is typically only summary statistic data

### Genome-wide Prediction in Animal and Plant breeding

Quantitative genetics is a cornerstone of both plant and animal breeding for the last century

Genomic selection has led to the re-emergence of quantitative genetics as a framework for incorporating marker and sequence information to supplement and complement standard phenotypic descriptors and pedigree information (Hickey et al 2017)

Access to large-scale sequence and phenotypic information would provide opportunities to unify breeding methods and tools across several plant and animal species → Modernization of breeding programs (Hickey et al 2017)

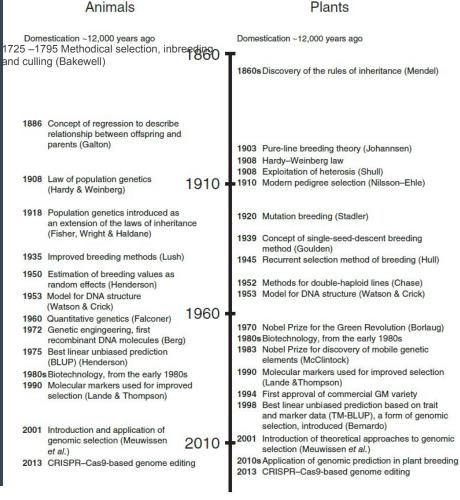




Figure 1 Some key milestones of selective animal and plant breeding.

lict**iö**n

(Hickey et al 2017)

### Differences in plant and animal breeding

Although there are conceptual similarities between animal and plant breeding, breeding methods have diverge:

- Species specific characteristics: reproduction mode, # of progeny per cycle ...
- Plants: breeding since domestication; consisted mainly in selection (need for hybridization recognized in the last 250 years (Kingsbury, 2009))
- Animals: a more structured approach adopted earlier than in plants (Bakewell, 18 century → herdbooks)

Although both plant and animal breeders deal with complex traits, individual mutations with moderate to large effects have been exploited more importantly in plant breeding than in animal breeding (Hickey et al, 2017)



## Differences in plant and animal breeding

#### Other differences:

- Plant breeders used well-designed trials to measure phenotype to inform their selection, and animal breeders use complex statistical methods to estimate breeding value
- Animal breeders use information from the relatives of selection candidates (milk yield in bulls), with low heritability or measured late in the breeding process (longevity); Plant breeders don't have the problem of 'sex-limited' traits and could increase the accuracy growing more plants from the same cultivar

# Genomic selection in plant and animal species

GS was adopted rapidly in the more technologically developed livestock sectors (dairy cattle)

Major international seed companies are routinely using genomic selection

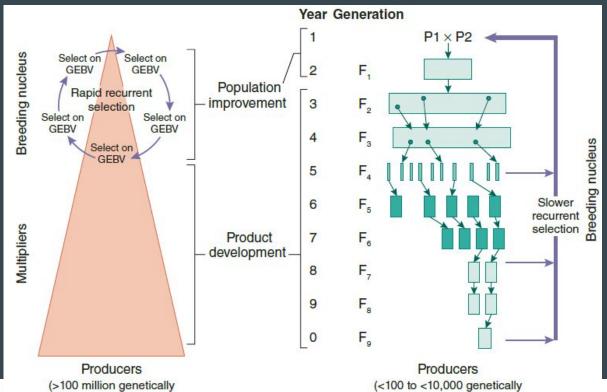
Many public-sector breeding programs are exploring this technology

#### Bottleneck:

- Computational and recording infrastructure
- Genotypic and phenotypic data to implement GS
- Complexity of genomes of many plant species



#### Comparison of plant (inbreeding cereal) and animal breeding approaches



different individuals)

Hickey et al, 2017



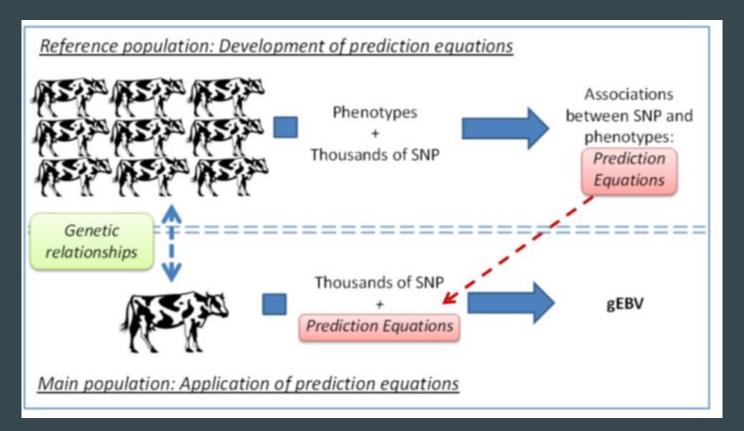
different individuals)



# Joining efforts between animal and plant breeders

Plant and animal breeders will benefit from working together to address problems that are common to the two disciplines, such as prediction of traits in structured populations (Schön and Simianer, 2015)

Examples of GS in animal and plant breeding



Groen Kennisnet (2017), Textbook animal breeding and genetics

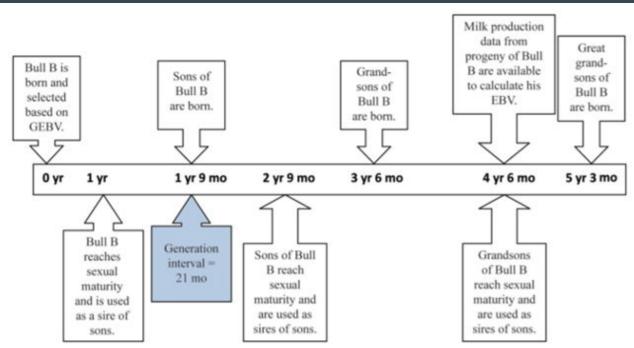
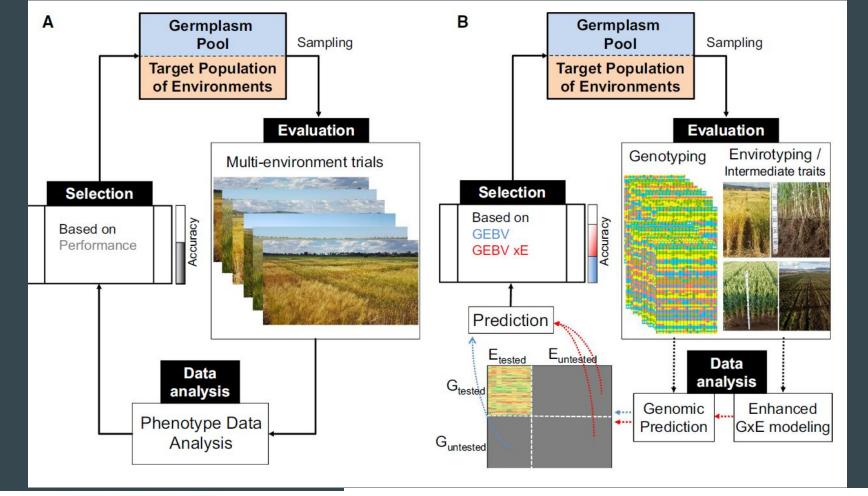


Figure 2. Timeline of an aggressive artificial insemination breeding program based on the use of genomic bulls as sires of sons. GEBV = genomic estimated breeding value; EBV = estimated breeding value.

**Animal Frontiers** 

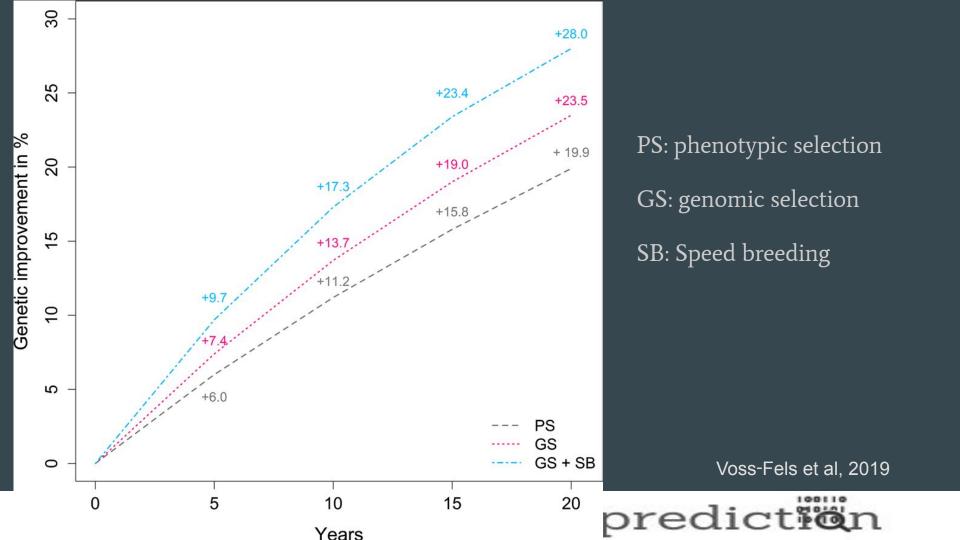
Schefers and Weigel 2012, Vol. 2, No. 1







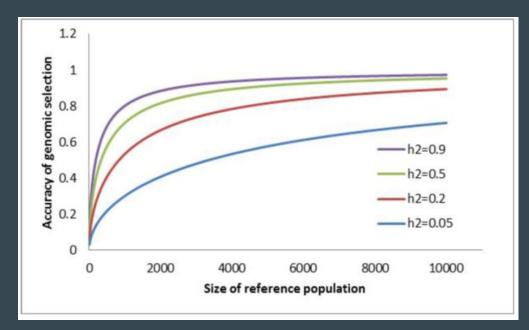
Voss-Fels et al, 2019 (https://doi.org/10.1007/s00122-018-3270-8)



## **Accuracy of Genomic predictions**

- Quality of phenotypes in the training population
- Size of reference population
- Reference population must be updated (Poldich et al, 2004)
- Training and testing should be closely related

$$\sqrt{Nh^2/\left(Nh^2+M_{
m e}
ight)}$$
 Daetwyler et al, 2008  $M_{
m e}=2N_{
m e}L$  . Hayes et al, 2009b



Groen Kennisnet (2017), Textbook animal breeding and genetics



# Similarities and differences in performance of PRS and GS

Both PRS and GEBV are estimates of the additive genetic value of a trait of an individual (Wray et al, 2019)

Higher proportion of genetic variance explained by SNPs in livestock than in humans is due to the greater LD in livestock

SNP-based heritability estimates in humans are lower than those in animal, due to differences in recent effective sample size:

• In animals, common SNPs tag causal variants at much greater physical distance, compared to in humans, and including across chromosomes



# Similarities and differences in performance of PRS and GS

- \* Different in purpose:
  - PRS: predict the future phenotype of an individual (efficacy depends on the SNP h2)
  - GEBV: predict the average value of an animal's genetic material to its offspring

The use of summary statistic data for the genotype effect size estimates distinguishes PRS from phenotypic prediction approaches that exploit individual-level data only

In the latter, genotype effect sizes are usually estimated in joint models of multiple variants and prediction performed simultaneously, using approaches such as best linear unbiased prediction or (LASSO)



# Similarities and differences in performance of PRS and GS

- \* Effect sizes estimation:
  - PRS: usually, one SNPs at a time
  - GEBV: all SNPS jointly fitted

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