

Analysis approaches for polytopal schemes – the linear and nonlinear cases

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New generation methods
for numerical simulations

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Research cluster 3: Taming physical complexity

- Tools for incomplete differential operators
 - Development of **Discrete Functional Analysis** (DFA) for differential operators beyond the gradient
 - Development of general **analysis frameworks** covering problems involving such operators
 - Extension of the above tools to problems set on manifolds
- Hybrid-dimensional and interface problems
 - Mesh transfer operators and efficient algorithms for moving meshes
 - Application of PEC to systems of PDEs featuring heterogeneous dimensionality
 - Applications to moving domain, contact, and model fluid-structure interaction problems



Outline

1 Linear models: error estimates

- Stability
- Consistency

2 Nonlinear analysis



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3rd Strang Lemma

- Continuous space U , discrete space U_h with norm $\|\cdot\|_{U,h}$.
- **Continuous** problem:

Find $u \in U$ such that $a(u, v) = \ell(v)$ for all $v \in U$.

- **Discrete** problem:

Find $u_h \in U_h$ such that $a_h(u_h, v_h) = \ell_h(v_h)$ for all $v_h \in U_h$.

- Assume the **discrete inf-sup condition**

$$\sup_{v_h \in U_h \setminus \{0\}} \frac{a_h(w_h, v_h)}{\|v_h\|_{U,h}} \geq \alpha \|w_h\|_{U,h} \quad \forall w_h \in U_h.$$



3rd Strang Lemma

Lemma (3rd Strang Lemma (¹))

Let $I_h u \in \mathbf{U}_h$ be an “interpolate” of the continuous solution in the discrete space. Then,

$$\|I_h u - u_h\|_{\mathbf{U},h} \leq \alpha^{-1} \sup_{v_h \in \mathbf{U}_h \setminus \{0\}} \frac{\mathcal{E}_h(u; v_h)}{\|v_h\|_{\mathbf{U},h}}$$

where the consistency error is

$$\mathcal{E}_h(u; v_h) = \ell_h(v_h) - a_h(I_h u, v_h) \quad \forall v_h \in \mathbf{U}_h.$$

- Under uniform continuity of a_h , this is actually \simeq .

^¹[Di Pietro and Droniou, 2018]; see also [Cangiani et al., 2017] for VEM

Model problem: Stokes in curl-curl formulation

- Ω bounded (polytopal) domain, $f \in L^2(\Omega)^d$, $\nu > 0$.
- Find (\mathbf{u}, p) s.t. ⁽²⁾

$$\begin{aligned} \nu \operatorname{\mathbf{curl}} \operatorname{\mathbf{curl}} \mathbf{u} + \operatorname{\mathbf{grad}} p &= f && \text{in } \Omega, \\ \operatorname{div} \mathbf{u} &= 0 && \text{in } \Omega, \\ \mathbf{u} \times \mathbf{n} &= 0 \text{ and } p = 0 && \text{on } \partial\Omega. \end{aligned}$$

- **Weak form:** Find $(\mathbf{u}, p) \in \mathbf{H}_0(\operatorname{\mathbf{curl}}; \Omega) \times H_0^1(\Omega)$ s.t.

$$\begin{aligned} \nu(\operatorname{\mathbf{curl}} \mathbf{u}, \operatorname{\mathbf{curl}} \mathbf{v})_{\Omega} + (\operatorname{\mathbf{grad}} p, \mathbf{v})_{\Omega} &= (f, \mathbf{v})_{\Omega} \quad \forall \mathbf{v} \in \mathbf{H}_0(\operatorname{\mathbf{curl}}; \Omega), \\ -(\mathbf{u}, \operatorname{\mathbf{grad}} q)_{\Omega} &= 0 \quad \forall q \in H_0^1(\Omega). \end{aligned}$$

²[Girault, 1990]



Discrete setup and scheme

- Discrete spaces and operators, in a complex.

$$\begin{array}{ccccc} H_0^1(\Omega) & \xrightarrow{\text{grad}} & \boldsymbol{H}_0(\mathbf{curl}; \Omega) & \xrightarrow{\text{curl}} & \boldsymbol{H}_0(\text{div}; \Omega) \\ P_h & \xrightarrow{\mathbf{G}_h} & \boldsymbol{U}_h & \xrightarrow{\mathbf{C}_h} & \boldsymbol{Z}_h \end{array}$$

- L^2 -like inner products $(\cdot, \cdot)_{\boldsymbol{U},h}$ and $(\cdot, \cdot)_{\boldsymbol{Z},h}$ on the discrete spaces $\boldsymbol{U}_h, \boldsymbol{Z}_h$.
- Approximation $\langle \boldsymbol{f}_h, \cdot \rangle : \boldsymbol{U}_h \rightarrow \mathbb{R}$ of $(f, \cdot)_\Omega$.
- Scheme ⁽³⁾: Find $(\boldsymbol{u}_h, p_h) \in \boldsymbol{U}_h \times P_h$ s.t.

$$\begin{aligned} v(\mathbf{C}_h \boldsymbol{u}_h, \mathbf{C}_h \boldsymbol{v}_h)_{\boldsymbol{Z},h} + (\mathbf{G}_h p_h, \boldsymbol{v}_h)_{\boldsymbol{U},h} &= \langle \boldsymbol{f}_h, \boldsymbol{v}_h \rangle \quad \forall \boldsymbol{v}_h \in \boldsymbol{U}_h, \\ -(\boldsymbol{u}_h, \mathbf{G}_h q_h)_{\boldsymbol{U},h} &= 0 \quad \forall q_h \in P_h. \end{aligned}$$

³[Beirão da Veiga et al., 2022a, Di Pietro et al., 2024]



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Inf-sup condition I

Scheme: $a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = \langle \mathbf{f}_h, \mathbf{v}_h \rangle$ with

$$a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = v(\mathbf{C}_h \mathbf{u}_h, \mathbf{C}_h \mathbf{v}_h)_{Z,h} + (\mathbf{G}_h p_h, \mathbf{v}_h)_{U,h} - (\mathbf{u}_h, \mathbf{G}_h q_h)_{U,h}.$$

Let

$$\mathcal{S} = \sup_{(\mathbf{v}_h, q_h)} \frac{a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h))}{\|(\mathbf{v}_h, q_h)\|_h}$$

$$\text{with } \|(\mathbf{v}_h, q_h)\|_h := \|\mathbf{v}_h\|_{U,h} + \|\mathbf{C}_h \mathbf{v}_h\|_{Z,h} + \|\mathbf{G}_h q_h\|_{U,h}.$$

- Make $\mathbf{v}_h = \mathbf{u}_h + \mathbf{G}_h p_h$, $q_h = p_h$ and use the

Discrete complex property $\mathbf{C}_h \circ \mathbf{G}_h = 0$

$$\sim v \|\mathbf{C}_h \mathbf{u}_h\|_{Z,h}^2 + \|\mathbf{G}_h p_h\|_{U,h}^2 \leq \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$



Inf-sup condition II

Reminders:

$$a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = \nu(\mathbf{C}_h \mathbf{u}_h, \mathbf{C}_h \mathbf{v}_h)_{Z,h} + (\mathbf{G}_h p_h, \mathbf{v}_h)_{U,h} - (\mathbf{u}_h, \mathbf{G}_h q_h)_{U,h}$$
$$\nu \|\mathbf{C}_h \mathbf{u}_h\|_{Z,h}^2 + \|\mathbf{G}_h p_h\|_{U,h}^2 \leq \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$

- Decompose $\mathbf{u}_h = \mathbf{u}_h^\perp + \mathbf{u}_h^* \in (\text{Ker } \mathbf{C}_h)^\perp \oplus \text{Ker } \mathbf{C}_h$.
- Use the:

Discrete Poincaré inequality: $\|\mathbf{v}_h\|_{U,h} \lesssim \|\mathbf{C}_h \mathbf{v}_h\|_{Z,h}$ for all $\mathbf{v}_h \in (\text{Ker } \mathbf{C}_h)^\perp$.

to get

$$\|\mathbf{u}_h^\perp\|_{U,h}^2 \lesssim \|\mathbf{C}_h \mathbf{u}_h^\perp\|_{Z,h}^2 = \|\mathbf{C}_h \mathbf{u}_h\|_{Z,h}^2 \lesssim \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$



Inf-sup condition III

Reminders:

$$a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = \nu(\mathbf{C}_h \mathbf{u}_h, \mathbf{C}_h \mathbf{v}_h)_{Z,h} + (\mathbf{G}_h p_h, \mathbf{v}_h)_{U,h} - (\mathbf{u}_h, \mathbf{G}_h q_h)_{U,h}$$
$$\nu \|\mathbf{C}_h \mathbf{u}_h\|_{Z,h}^2 + \|\mathbf{G}_h p_h\|_{U,h}^2 + \|\mathbf{u}_h^\perp\|_{U,h}^2 \leq \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$

with $\mathbf{u}_h = \mathbf{u}_h^\perp + \mathbf{u}_h^* \in (\text{Ker } \mathbf{C}_h)^\perp \oplus \text{Ker } \mathbf{C}_h$.

- Assuming Ω has a trivial topology, we have $\mathbf{u}_h^* = \mathbf{G}_h r_h$ thanks to the

Exactness of the discrete complex $\text{Ker } \mathbf{C}_h = \text{Im } \mathbf{G}_h$

- Plug $(\mathbf{v}_h, q_h) = (0, r_h)$ and use the Young inequality:

$$\|\mathbf{u}_h^*\|_{U,h}^2 \lesssim \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$



Inf-sup condition IV

$$P_h \xrightarrow{\mathbf{G}_h} \mathbf{U}_h \xrightarrow{\mathbf{C}_h} \mathbf{Z}_h$$

Take-home message: For stability, the discrete sequence must:

- be a **complex** (discrete calculus relations),
- respect the **cohomology** (e.g. exactness) of the continuous complex,
- satisfy uniform **Poincaré inequalities** for operators in the complex.

Examples:

- Finite Element Exterior Calculus
[Arnold et al., 2006, Arnold, 2018, Arnold and Hu, 2021].
- Virtual Element Method [Beirão da Veiga et al., 2018, Beirão da Veiga et al., 2021].
- Discrete De Rham method [Di Pietro et al., 2020, Di Pietro and Droniou, 2021b, Di Pietro and Droniou, 2023, Di Pietro and Hanot, 2024].



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Splitting the consistency error

- The consistency error is (I_h interpolators in the proper space):

$$\begin{aligned}\mathcal{E}_h(u; v_h) &= \langle f_h, v_h \rangle \\ &\quad - [v(\mathbf{C}_h(I_h u), \mathbf{C}_h v_h)_{Z,h} + (\mathbf{G}_h(I_h p), v_h)_{U,h} - (I_h u, \mathbf{G}_h q_h)_{U,h}].\end{aligned}$$

- Recall that $f = v \operatorname{curl} \operatorname{curl} u + \operatorname{grad} p$ and split the consistency error:

$$\begin{aligned}\mathcal{E}_h(u; v_h) &= v \left[\langle (\operatorname{curl} \operatorname{curl} u)_h, v_h \rangle - ((\mathbf{C}_h \circ I_h) u, \mathbf{C}_h v_h)_{Z,h} \right] \\ &\quad + \left[\langle (\operatorname{grad} p)_h, v_h \rangle - ((\mathbf{G}_h \circ I_h) p, v_h)_{U,h} \right] \\ &\quad - (I_h u, \mathbf{G}_h q_h)_{U,h}.\end{aligned}$$



Primal consistency

$$\begin{aligned}\mathcal{E}_h(u; v_h) = & \nu \left[\langle (\mathbf{curl} \mathbf{curl} \mathbf{u})_h, \mathbf{v}_h \rangle - ((\mathbf{C}_h \circ I_h) \mathbf{u}, \mathbf{C}_h \mathbf{v}_h)_{\mathbf{Z},h} \right] \\ & + \left[\langle (\mathbf{grad} p)_h, \mathbf{v}_h \rangle - ((\mathbf{G}_h \circ I_h) p, \mathbf{v}_h)_{U,h} \right] \\ & - (I_h \mathbf{u}, \mathbf{G}_h q_h)_{U,h}.\end{aligned}$$

With \mathcal{D} continuous operator, \mathcal{D}_h discrete operator and I_h interpolator:

$\mathcal{D}_h \circ I_h$ approximates \mathcal{D} .

- Example: $\left[\langle (\mathbf{grad} p)_h, \mathbf{v}_h \rangle - ((\mathbf{G}_h \circ I_h) p, \mathbf{v}_h)_{U,h} \right] \leq C(p) h^\ell \|p\|_{U,h}$.
- Straightforward to prove.



Adjoint consistency

$$\begin{aligned}\mathcal{E}_h(u; v_h) = & \nu \left[\langle (\mathbf{curl} \mathbf{curl} \mathbf{u})_h, v_h \rangle - ((\mathbf{C}_h \circ I_h) \mathbf{u}, \mathbf{C}_h v_h)_{Z,h} \right] \\ & + \left[\langle (\mathbf{grad} p)_h, v_h \rangle - ((\mathbf{G}_h \circ I_h) p, v_h)_{U,h} \right] \\ & - (I_h \mathbf{u}, \mathbf{G}_h q_h)_{U,h}.\end{aligned}$$

Approximate discrete integration by parts involving $(\cdot)_h$, discrete operators and interpolators.

- Examples: $(I_h \mathbf{u}, \mathbf{G}_h q_h)_{U,h} + (I_h \underbrace{\mathbf{div} \mathbf{u}}_0, q_h)_{P,h} \lesssim C(\mathbf{u}) h^\ell \| \mathbf{G}_h q_h \|_{U,h}$,
- $\left[\langle (\mathbf{curl} \mathbf{curl} \mathbf{u})_h, v_h \rangle - ((\underbrace{\mathbf{C}_h \circ I_h}_{I_h(\mathbf{curl} \mathbf{u})}) \mathbf{u}, \mathbf{C}_h v_h)_{Z,h} \right] \lesssim C(\mathbf{u}) h^\ell (\| v_h \|_{U,h} + \| \mathbf{C}_h v_h \|_{Z,h}).$
- Can be very challenging to establish!



Adjoint consistency

Question: w in functional space, v_h discrete vector,

$$\langle (\mathcal{D}^\star w)_h, v_h \rangle - (I_h w, \mathcal{D}_h v_h)_h \lesssim C(w) h^\ell \|v_h\|_h.$$



Adjoint consistency

Fully discrete approach

- **Idea:** Introduce a suitable (local) polynomial functions $(z_T)_{T \in \mathcal{T}_h}$ approximating w and valid in the definition of \mathcal{D}_h .
- Challenge: estimate a quantity

$$\langle (\mathcal{D}^\star w)_h, v_h \rangle - (I_h w, \mathcal{D}_h v_h)_h$$

$$= \sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h.$$

$$\sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h$$

- Optimal approximation of $B_T(z_T - w, v_h)$ straightforward.
- **Reduced approximation** properties for *traces* of $z_T - w$.



Adjoint consistency

Fully discrete approach

- Challenge: estimate a quantity

$$\sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h$$

- Two easy cases:

- $\mathcal{D} = \mathbf{grad}$: integrate by parts some volumetric terms to get

$$\sum_{T \in \mathcal{T}_h} \int_T \tilde{B}_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) (\gamma_F v_h - P_T v_h).$$

and use the properties of the (discrete) gradient to get

$$\|\gamma_F v_h - P_T v_h\|_{L^2(F)} \leq h_T \|\mathbf{grad}_T v_h\|_T.$$



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- Two easy cases:

- $\boxed{\mathcal{D} = \mathbf{grad}}$: integrate by parts some volumetric terms to get

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and use the properties of the (discrete) gradient to get

$$\|\gamma_F v_h - P_T v_h\|_{L^2(F)} \leq h_T \|\mathbf{grad}_T v_h\|_T.$$

- $\boxed{\mathcal{D} = \text{div}}$: degree of z_T large enough \leadsto optimal estimate on $\|\text{tr}(z_T - w)\|_{L^2(F)}$.



Adjoint consistency

Fully discrete approach

- Challenge: estimate a quantity

$$\sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h$$

- $\mathcal{D} = \mathbf{curl}$ is the difficult case...

- Introduce an $\mathbf{H}(\mathbf{curl}; \Omega)$ conforming Rv_h whose suitable projection on F matches $\gamma_F v_h$, then locally integrate by parts:

$$\sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h \rightsquigarrow \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) Rv_h \\ \stackrel{IBP}{=} \sum_{T \in \mathcal{T}_h} \int_T \widehat{B}_T(z_T - w, v_h).$$

Constructing Rv_h requires to locally solve **curl–div** problems and use fine PDE estimates ^(*a*).

^a[Di Pietro and Droniou, 2021a]



Adjoint consistency

Virtual functions approach

- **Idea:** use the conformity of v_h to integrate by parts, and introduce a (local) polynomial function...
- After straightforward approximation properties of source term:

$$\mathcal{T} = \int_{\Omega} \mathcal{D}^{\star} w v_h - (I_h w, \mathcal{D} v_h)_h.$$

Issue: $(\cdot, \cdot)_h$ is a *discrete, non-conforming L^2* inner product, IBP cannot be directly used.



Adjoint consistency

Virtual functions approach

- After straightforward approximation properties of source term:

$$\mathcal{T} = \int_{\Omega} \mathcal{D}^{\star} w v_h - (I_h w, \mathcal{D} v_h)_h.$$

- Introduce a piecewise polynomial function z_h , approximation of w , and use (primal) consistency of $(\cdot, \cdot)_h$:

$$\begin{aligned}\mathcal{T} &= \int_{\Omega} \mathcal{D}^{\star} w v_h - \int_{\Omega} z_h \mathcal{D} v_h + (I_h w - z_h, \mathcal{D} v_h)_h \\ &= \underbrace{\int_{\Omega} \mathcal{D}^{\star} w v_h - \int_{\Omega} w \mathcal{D} v_h}_{=0} + \underbrace{\int_{\Omega} (z_h - w) \mathcal{D} v_h}_{\text{easy}} + (\mathbf{I}_h w - \mathbf{z}_h, \mathcal{D} v_h)_h.\end{aligned}$$



Adjoint consistency

Virtual functions approach

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$$\begin{aligned}\mathcal{T} &= \int_{\Omega} \mathcal{D}^{\star} w v_h - \int_{\Omega} z_h \mathcal{D} v_h + (I_h w - z_h, \mathcal{D} v_h)_h \\ &= \underbrace{\int_{\Omega} \mathcal{D}^{\star} w v_h - \int_{\Omega} w \mathcal{D} v_h}_{=0} + \underbrace{\int_{\Omega} (z_h - w) \mathcal{D} v_h}_{\text{easy}} + (\mathbf{I}_h w - z_h, \mathcal{D} v_h)_h.\end{aligned}$$

- Write $I_h w - z_h = (I_h w - w) + (w - z_h)$: we need to estimate the **interpolation error $I_h w - w$** on the virtual (not polynomial) space.

Estimating $I_h w - w$ requires stability of I_h , based on **fine PDE estimates**^a on systems involving **curl**, **div**, **grad**.

^a[Beirão da Veiga et al., 2022b]



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When can we get error estimates?

- *Remark 1:* estimate of consistency error in $O(h^\ell)$ requires some **smoothness** of the solution.
- *Remark 2:* error estimates imply **uniqueness** of the solution.

Proposition

Let u be a solution to the continuous model, and assume that it satisfies Assumption (A). If the following holds:

There exists meshes such that, with u_h solution to the scheme, for some norm $\|\cdot\|$, we have $\|u_h - u\| \rightarrow 0$ as $h \rightarrow 0$,

then, under Assumption (A), the continuous model has a unique solution.



When can we get error estimates?

- **Smoothness:** even for a simple (linear) Darcy flow, if the permeability is discontinuous the solution may not belong to $H^2(\mathcal{T}_h)$.
- **Uniqueness:**
 - *Navier-Stokes: requires smallness of data or strong smoothness assumption on the solution.*
 - *Multiphase flows in porous media, flows in fractured media, etc.: ??*



Alternative: convergence by compactness

- Convergence by compactness: $(u_h)_h$ solutions to the scheme.
 - Prove that $(u_h)_h$ is bounded in a certain (strong) norm.
 - Use this bound to prove that $(u_h)_h$ and $(\mathcal{D}_h u_h)_h$ converge up to a subsequence to u and $\mathcal{D}u$ in a suitable sense (typically, **strong** on $(u_h)_h$, weak on $(\mathcal{D}_h u_h)_h$),
 - Pass to the limit to see that u solves the continuous problem.



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 - Pass to the limit to see that u solves the continuous problem.
- Strong compactness results (continuous case): Ascoli-Arzela, Kolmogorov, Rellich, Aubin-Simon...
- Adapted to the discrete setting when we can **bound the discrete gradient** of u_h [Eymard et al., 2000, Di Pietro and Ern, 2010, Li et al., 2015, Droniou et al., 2018].



Alternative: convergence by compactness

- Compactness results still **limited** for models based on **curl** and **div**.
Either finite element complexes, or non-complex polytopal methods
[Kikuchi, 1989, Boffi, 2001, Lemaire and Pitassi, 2024].
- Even worse when (discrete) **Sobolev embeddings** are required for the **curl**
[Amrouche et al., 1998, Girault, 1990].



Conclusion

- Properties to establish for error estimates (stability & consistency):
 - Discrete **complex** with the same **cohomology** as the continuous one,
 - **Poincaré** inequalities (for all operators),
 - **Primal** and **adjoint** consistency properties.
- Some are easy, others much more challenging (and **ongoing**).
- For nonlinear models: **compactness** results are still lacking (a lot).



Ongoing/future questions

- Can we have, as we do for the gradient, a generic framework of **discrete functional analysis** tools for the curl/divergence?

Such a framework gives Poincaré, Sobolev, primal and adjoint consistency.
[Droniou et al., 2018]

- Discrete complexes (and analysis) on manifolds? [Droniou et al., 2024b]
- Discrete complexes (whether finite-element based or polytopal) are inherently hybrid-dimensional constructions.

→ naturally adapted to problems with **interfaces** and **hybrid dimensions** such as:

- contact problems [Wriggers, 2006, Aldakheel et al., 2020],
- fluid-structure interactions [Beirão da Veiga et al., 2021],
- flows in fractured media (including, e.g., elastic behaviours)
[Martin et al., 2005, Brenner et al., 2018, Droniou et al., 2024a],
- etc.

requires efficient handling of meshes...



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Thanks!

