- Explaining contingency judgements with a computational model of instance-based memory
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10 Abstract

The purpose of this experiment is to create a simulated version of a study done by Crump, 11 Hannah, Allan, and Hord (2007). Our model replicated several key findings, such as the 12 effects of  $\triangle P$  and outcome density. We created a model using RStudio, based on 13 MINERVA 2, which is a simulation model of episodic memory (Hintzman, 1986). MINERVA 2 assumes that each experience leaves an individual memory trace. Our model 15 was presented with four different conditions, two were high outcome density and two were low outcome density conditions. Low outcome density refers to a trial in which fewer 17 outcomes were presented than cues. High outcome density refers to trials where more outcomes were presented than cues. Four types of trials can be presented to the model. The model can be presented with a cue and no outcome, no cue and no outcome, a cue and an outcome, or no cue and an outcome. Our model was shown all four combinations. It was then asked to predict, based on all of the combinations that it had been presented 22 with, whether an outcome would occur given that cues were presented first with no 23 outcomes. We hypothesized that Just like the human participants in the original study, our 24 computer model also had higher contingency ratings when more outcomes were presented 25 than cues (high outcome density). In contingent conditions ( $\triangle P=.467$ ), contingency 26 ratings were much higher overall than noncontingent conditions ( $\triangle P=0$ ), which, as intended, paralleled the original results. However, it did so with regard to a higher 28 expectation overall than that of the original study. 29

30 Keywords: memory, contingency judgments, MINERVA II, instance theory

31 Word count: 2180

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33 Introduction

Imagine that you are driving down a highway. Your current speed is sixty miles per hour. Suddenly, traffic slows down and you see two police cars pass by. "I guess there was an accident", you think to yourself as you anticipate a longer commute than expected. You later pass the cars involved, and also arrive twenty minutes late to work. Why did you predict that a car crash had occurred? Why did you predict that you would have a longer commute time? These types of questions are asked by researchers when studying contingency judgements. A contingency judgement can be defined as one's perception of whether a particular stimulus predicts a particular outcome. The purpose of studying human contingency judgements is to be able to gain a better understanding of the way that people learn about the causal relationships between events (Beckers, De Houwer, & Matute, 2007).

In order to study this further, we created a model using RStudio. The model

attempts to help us understand the way in which contingency judgements are made. Our model is based on MINERVA 2, which is a simulation model of episodic memory.

Specifically, MINERVA 2 assumes that each experience leaves an individual memory trace Hintzman (1986). Our model focuses on evaluating the percentage of information remembered after cues and outcomes are first presented. The model is first presented a set amount of cues and outcomes, and its memory is then checked by asking the model to predict whether an outcome will occur given that a cue was presented or not.

Our experiment is based on a research study performed by Crump et al. (2007).
While this study involved presenting humans with a contingency task, our computer model
attempts to replicate the findings of the study, and expand upon it. The findings of the
original study explain that people are generally normative. In other words, people generally
act in an expected way when making contingency judgements, and this is referred to as the

ΔP rule (Allan, 1993). For instance, if someone changes the brightness of their phone
screen and it becomes brighter, a person will likely be able to tell that an increase occurred
rather than a decrease, or no change. This would be expected, or normative, behavior. By
the same token, human beings are not robots, and each person has their own biases. For
instance, one may rate contingency as significantly higher or lower than actuality. These
biases result in a departure from expectations during research. This phenomenon is
explained by the outcome density effect. This states that when more outcomes occur, they
lead participants to more strongly predict that there is a contingency occurring in order to
create the outcomes, even if there is not necessarily a true contingency between events. For
instance, if someone is shown a circle followed by a square 95 percent of the time, they are
more likely to predict that the circle indicates that a square will be presented later, even if
the order was randomly generated and no connection between the two cues was intended.

What psychological mechanisms are involved in making contingency judgements? 70 Several theories can be used to explain the way in which contingency judgements work. 71 MINERVA 2 assumes that repeated exposure to the same information creates multiple 72 copies rather than strengthening the same memory. This is called multiple-trace theory. While this theory is assumed for the purposes of this study, many other models attempt to explain how contingency judgments are formed. One of these is called rule-based theory. This theory looks at people or even animals as intuitive statisticians who extract 76 contingency information by applying formulas (Allan, 1993). In other words, animals and humans act as "calculators" unwittingly. Another theory is associative theory, which looks at contingency learning as a result of Pavolvian associations formed between all previously presented events (Allan, 1993). This is based on the Rescorla-Wagner model of learning, which explains that learning diminishes as the conditioned stimulus becomes more familiar. This makes the case that contingencies are learned through the repeated presentation of stimuli. For instance, in Crump et al. (2007), when a red circle is presented after a blue square, participants learn to associate the circle with the square and form a judgement that

the circle is contingent upon the prior presentation of the square. Signal detection theory deals with measuring one's ability to differentiate between actual information and random patterns that distract from it. Based on this theory, contingency judgements are formed 87 based on how well one is able to separate noise (random pairings) from actual 88 contingencies. Several factors may influence whether or not one is able to make an accurate contingency judgement. First, there is a minimum amount of change necessary for one to tell whether something is different from before. For instance, if someone only changes the 91 brightness on their phone by 1% would one be able to notice? There is also a minimum amount of stimulation required in order for someone to be aware that something is happening. This can occur if a significant amount of time is elapsed between two events, as one may be less likely to predict that one event caused another. For example, if you eat spoiled food but do not get sick until three weeks later, you may be less likely to predict that the food caused the illness than if you got sick the next day. Further, noise interference also plays a role. This is anything that distracts the participant in some way while they are trying to focus on the contingency task. Other thoughts, sounds, or objects in sight can create noise in one's memory. These factors can take away from or add to a 100 participant's memory of the task. Noise may reduce contingency judgement accuracy.

## $_{102}$ MINERVA II

MINERVA II is a computational instance theory of human memory (Hintzman, 1984, 1986, 1988). It is conceptually similar to other global-similarity models of memory (Eich, 1982; Murdock, 1993). MINERVA II and related models have been applied to explain many kinds of cognitive phenomena and processes such as recognition memory (Arndt & Hirshman, 1998), probability judgment and decision-making (Dougherty, Gettys, & Ogden, 1999), artificial grammar learning (Jamieson & Mewhort, 2009a), serial reaction time task performance (Jamieson & Mewhort, 2009b), associative learning phenomena (Jamieson, Crump, & Hannah, 2012), and computational accounts of semantic knowledge (Jamieson,

111 Avery, Johns, & Jones, 2018).

In MINERVA 2, memory is a matrix M. Each row represents a memory trace, and the columns represent features of the trace.

How do we compute  $\triangle P$ ?  $\triangle P$  is defined as the contingency between the cue-outcome pairs over trials. "C" and "O" denote cue and outcome, respectively. " $\sim$ C" denotes that a cue does not occur, and " $\sim$ O" denotes that an outcome does not occur (Crump et al., 2007).

$$\triangle P = P(O|C) - P(O|C) = \frac{A}{A+B} - \frac{C}{C+D}$$

How does encoding work? Individual events are represented as feature vectors E, and new events are stored to the next row in the memory matrix M. Individual features are stored with probability L, representing quality of encoding.

How does retrieval work? A probe (feature vector for a current event in the
environment) is submitted to memory, and causes traces to activate in proportion to their
similarity to the probe. Similarity between each trace and the probe is computed with a
cosine:

$$S_i = cos(\theta) = \frac{A\dot{B}}{||A||||B||}$$

$$S_i = \frac{\sum_{i=1}^n A_i B_i}{\sqrt{\sum_{i=1}^n A_i^2} \sqrt{\sum_{i=1}^n B_i^2}}$$

Where A is a probe and B is a memory trace in M.

Activation as function of similarity raised to a power of three.

$$A_i = S_i^3$$

Each trace is then weighted by its activation (cubed similarity) to the probe, and summed to produce an echo.

$$C_j = \sum_{i=1}^m A_i \times M_{ij}$$

How is a contingency judgment computed? We take the raw values in the outcome portion of the echo as measures of expectation for the outcome given the cue.

135 Methods

We used RStudio to create a model of memory. Our model was presented with two 136 types of streams, non-contingent and contingent. Non-contingent refers to trials where  $\triangle P$ 137 is 0. This means there is no relationship between the cues and outcomes shown, regardless 138 of outcome density. In other words, cues do not predict outcomes, or vice-versa. Contingent 139 refers to trials where  $\triangle P$  is .467, where the presence of a cue does foreshadow the presence 140 of an outcome. Each type of stream contained two conditions, low outcome density and 141 high outcome density. Low outcome density refers to a trial in which fewer outcomes were 142 presented than cues. High outcome density refers to trials where more outcomes were 143 presented than cues. Four types of trials can be presented to the model. The model can be 144 presented with a cue and no outcome, no cue and no outcome, a cue and an outcome, or no 145 cue and an outcome. Our model was shown all four combinations. It was then asked to predict, based on all of the combinations that it had been presented with, whether an outcome would occur given that cues were presented first with no outcomes.

MINERVA 2 is a multiple-trace model as it assumes that each experience leaves an individual memory trace Hintzman (1986). In other words, repeated exposure to the same information creates multiple copies rather than strengthening the same memory.

MINERVA 2 is mostly focused on long-term memory. However, there is assumed to be a temporary buffer (short-term memory) that relays information to long-term memory

Hintzman (1988). The model was programmed in R and the code is presented in Appendix 1.

The original experiment by Crump et al. (2007). involved a blue square being presented as the cue and a red circle being presented as the outcome. Our model presents cues and outcomes to the model as sets of 0s and 1s. 0 being not present, 1 being present.

If a cue was presented first (1), it may have either been followed by an outcome (1), or no outcome (0). If no cue was presented first (0), it was either followed by no outcome, or an

outcome. In theory, the more cues and outcomes presented, the more accurate the model will be at predicting the presence or absence of each.

Simulation 1

164 Results

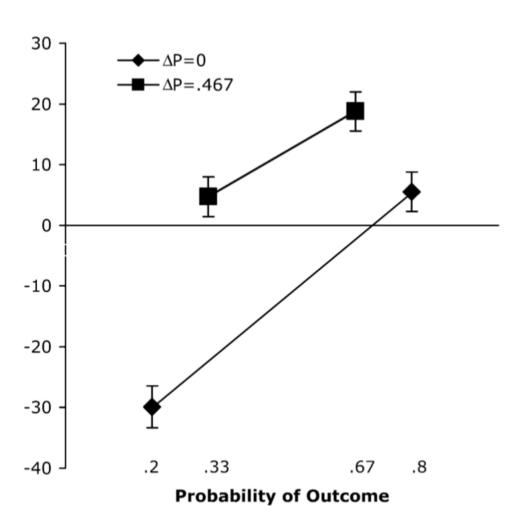


Figure 1. Original results reprinted from Crump et al. (2007)

The original results from Crump et al. (2007) are shown in Figure 1. The figure shows that, for non-contingent conditions ( $\triangle P=0$ , diamond shape), contingency ratings were lower for both low and high outcome density conditions. Participants' contingency

ratings were highest overall during contingent conditions ( $\triangle P$ =.467, diamond shape). However, regardless of stream condition, contingency ratings were always higher when outcome density was larger. This trend indicates that the  $\triangle P$  effect is present. As shown in the figure, some participants gave negative contingency ratings. This is of particular note, as each condition contained an outcome density greater than or equal to 0. This shows that the outcome density effect is present.

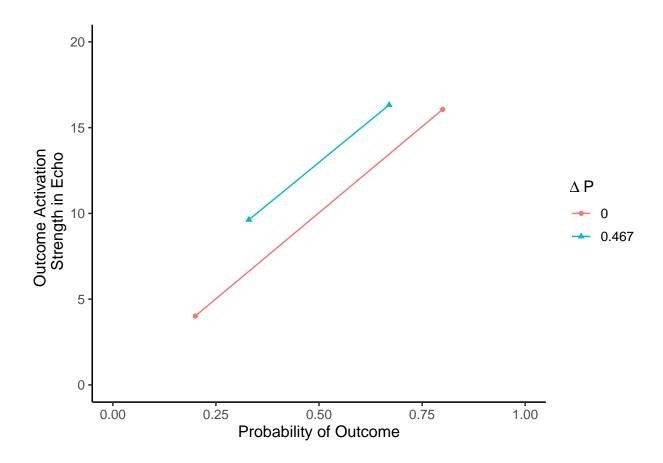


Figure 2. Mean Contingency Ratings Based on Outcome Density

Did our MINERVA model produce a similar  $\triangle P$  effect and outcome density effect to those found in the Crump et al. (2007) study? The results of the model simulations are shown in Figure 2. For both contingent ( $\triangle P$ =.467) and non-contingent ( $\triangle P$ =0) streams of data, contingency ratings (Outcome Activation Strength in Echo) were lower when less outcomes were presented (low outcome density, lower Probability of Outcome). Just like

the human participants in the original study, our computer model also had higher contingency ratings when more outcomes were presented than cues (high outcome density, greater Probability of Outcome). In contingent conditions, contingency ratings were much higher overall than non-contingent conditions, which, as intended, paralleled the results of the original study.

184 Discussion

The purposes of this experiment were to create a simulated version of the Crump et al. (2007) study. In general, our model was able to replicate several attributes of the in-person study, such as the  $\Delta P$  conditions and the outcome densities associated with them. This suggests that aspects of contingency judgments can be explained in terms of memory processes.

By studying contingency judgements, we can gain a better understanding of factors 190 that influence learning, memory, and eventually decision making. Our results indicate that 191 there is a relationship between the number of times a result is shown, and one's prediction 192 of whether or not they will get that an outcome will occur based on a certain cue. This 193 general principle may have implications in the world of mental health, such as with 194 disorders such as anxiety and depression. For instance, it could be the case that one 195 develops depressive symptoms due in part to what they expect to happen (outcomes), 196 based on previous experiences (cues). Of course, it would require a substantial amount of 197 further research to properly examine how previous experiences shape mental disorders. 198

A rule-based account of the acquisition of contingency information is when a person looks for a relationship that occurs between two variables in order to form a contingency.

For example, Allan discusses the delta P rule, which is defined as the difference between two independent conditional probabilities. In studies seeking to determine whether humans make accurate judgements of the sign of the contingency between two variables, most

report a high correlation between contingency judgements and the actual contingency 204 between input and output variables. Despite this high probability of humans correctly 205 determining contingency, departures from the delta P rule did occur. One case of this is 206 known as density bias. Density bias refers to inconsistent contingency judgements despite a 207 fixed delta P, due to frequent outcome presentation. In other words, the more outcomes 208 presented, the greater chance that a participant would incorrectly predict a contingency. 200 Relating this to rule-based accounts, the fact that participants make this error shows that 210 they are looking for a rule to go by when making contingency judgements. They then 211 mistake the number of outcomes presented as a basis for a contingency being present. 212

An associative account of the acquisition of contingency information is when
judgements are formed based on events related together. For example, Allan describes an
experiment where participants played a video game. In this game, a tank moves through a
minefield and participants can choose whether or not to shoot the tank. The tank is then
either destroyed or not destroyed. If the tank is destroyed, participants would likely begin
to associate firing with destruction. In this case, firing is the input variable and destruction
is the outcome variable.

Both accounts assume that details of memories are lost. Rule-based does this by
accounting for human error. Eventually, humans will make mistakes and not do everything
in a particular order, even though they know the rule. Associative does this by blending
memories together to create an abstract representation based on previous presentations.

These are non-instance accounts because both do not expect the participants to remember
specific instances. Instead, the participants are expected to remember a generalized version
that blends together memories, or they are expected to remember information based on
mathematical rules they apply to events.

The Rescorla-Wagner model explains that when a CS is frequently paired with a US and is consistent in eliciting a CR, the CS has associative strength. Participants will easily

come to associate the CS with the US, and respond accordingly. The model infers that 230 once conditioned, people do not think of the US itself and instead recall past encounters 231 with the US in order to respond to it. Specifically, Rescorla and Wagner state, "changes in 232 the strength of a stimulus depend upon the total associative strength of the compound in 233 which that stimulus appears". This is similar to the speaker normalization theory, as it also 234 assumes that when a word is heard, people are responding to memories of hearing that 235 word, rather than the particular voice of the speaker. Remarking on this theory, Goldringer 236 states, "many perceptual and memorial data are best understood in terms of episodic 237 representations". Rescorla and Wagner support their assertion that all stimuli present when 238 the US occurs are important to consider. They do this by discussing the blocking effect, 239 which happens when a new association is unable to be properly formed due to a previous 240 association with the US. This gives credence to the idea that memory may play a role when hearing words, as most words heard have been heard previously, and therefore may have specific connotations due to past experiences.

## 244 Limitations

Our model contains several key differences when compared with the original study done by Crump et al. (2007). One major difference between our model and the in-person study is that our simulation did not produce any negative ratings. Specifically, the outcome density effect was not present. Several factors may explain this result, such as the fact that no human participants were present for our study. In the low outcome density condition ( $\Delta P=0$ ) of the original study, human beings gave negative ratings. This was likely due to the outcome density effect. This phenomenon was not present in our simulation data. Another factor that may explain this result is overlooked variables when creating our model. It is possible that we neglected to code for some aspect of attention or memory.

## Future Research

In order to create a model that produces results that are more accurate to the original study, we plan on creating a negative contingency condition. This condition would set  $\triangle P$  equal to -.467, meaning that the precense of a cue would predict the absence of an outcome. This has the potential to make the model more likely to give negative ratings of contingency.

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